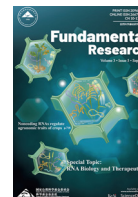


Contents lists available at ScienceDirect

Fundamental Research

journal homepage: <http://www.keaipublishing.com/en/journals/fundamental-research/>

Review

microRNA biogenesis and stabilization in plants

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ARTICLE INFO

Article history:

Received 18 November 2022

Received in revised form 18 February 2023

Accepted 28 February 2023

Available online 17 March 2023

Keywords:

microRNAs

Biogenesis

Processing

AGO1

pri-miRNA

ABSTRACT

MicroRNAs (miRNAs) are short endogenous non-coding RNAs that regulate gene expression at the post-transcriptional level in a broad range of eukaryotic species. In animals, it is estimated that more than 60% of mammalian genes are targets of miRNAs, with miRNAs regulating cellular processes such as differentiation and proliferation. In plants, miRNAs regulate gene expression and play essential roles in diverse biological processes, including growth, development, and stress responses. Arabidopsis mutants with defective miRNA biogenesis are embryo lethal, and abnormal expression of miRNAs can cause severe developmental phenotypes. It is therefore crucial that the homeostasis of miRNAs is tightly regulated. In this review, we summarize the key mechanisms of plant miRNA biogenesis and stabilization. We provide an update on nuclear proteins with functions in miRNA biogenesis and proteins linking miRNA biogenesis to environmental triggers.

1. Introduction

microRNAs (miRNAs) are ~20-to-24 nucleotide (nt) long endogenous non-coding RNAs that play an essential role in regulating gene expression in plants and animals. In 1993, *lin-4*, the first miRNA ever characterized, was identified as a regulator of developmental timing in *Caenorhabditis elegans*, with partial antisense complementarity to the *lin-14* 3'UTR [1,2]. In 2000, the second miRNA, *let-7*, was discovered in *C. elegans* through a similar approach [3]. *lin-4* and *let-7* are endogenous short RNAs that are 21 nt and 22 nt long, respectively. Both are partially complementary to the 3' untranslated regions of their target protein-coding genes and cause degradation and translational inhibition of the messenger RNAs (mRNAs) [1,3]. Phylogenetic analysis of the *let-7* RNA sequence revealed its conservation among bilaterian animals, such as humans and the fly *Drosophila melanogaster*, suggesting that similar gene expression regulatory mechanisms could be adopted by diverse species [4]. In 2001, in a multimodal approach involving bioinformatics and cDNA cloning, many small endogenous RNAs with shared features to *lin-4* and *let-7* were reported in Multiple species, including *C. elegans*, *Drosophila* and humans, and named miRNAs [5–7]. Arabidopsis miRNAs were reported in 2002 [8–10]. With the application of high-throughput sequencing in the past decades, the number of miRNA species has dramatically expanded. According to miRBase (v.22.1), the number of mature miRNAs is more than 2600 in humans, 430 in *C. elegans*, 460 in *D. melanogaster*, 420 in *Arabidopsis thaliana*,

320 in *Zea mays*, and 730 in *Oryza sativa*, and these numbers continue to grow. One caveat, however, is that the numbers may be inflated due to the mis-annotation of endogenous small interfering RNAs (siRNAs) as miRNAs in some cases in plant species [11]. In addition to eukaryotic species, miRNAs have also been found in viruses [12].

In plants, miRNAs are selectively incorporated into an ARGONAUTE (AGO) protein to form a miRNA-induced silencing complex (miRISC) [13,14]. The majority of plant miRNAs are preferentially loaded into AGO1, which prefers miRNAs with a 5' uridine (U) [15]. miRISC then binds to the target messenger RNAs that contain sequences complementary to the miRNA, thus inducing degradation or translational repression of the target transcripts. In addition to regulating gene expression post-transcriptionally, certain 22-nt plant miRNAs are also capable of triggering the biogenesis of secondary siRNAs from protein-coding genes or long non-coding RNAs in a phased pattern. siRNAs produced through this pathway are referred to as phasiRNAs [16]. miRNA-mediated post-transcriptional gene silencing regulates critical biological processes in plants, including growth, development, hormone signaling, and biotic and abiotic stress responses, thus it is vital to tightly control the abundance of miRNAs. The steady-state level of a miRNA reflects the balance between biogenesis, stabilization, and turnover.

2. Plant miRNA biogenesis and regulation

Tens to hundreds of miRNAs have been identified in various plant species, and the vast majority of them are encoded by *MIR* genes located

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in intergenic regions [17]. In Arabidopsis the 420 *MIR* genes belong to ~200 families (miRbase, v22.1), with most families being represented by a single member. Unlike their counterparts in metazoans, plant *MIR* genes are rarely clustered in the genome [17].

The biogenesis of plant miRNAs is composed of three major steps. A *MIR* gene is first transcribed by Pol II into a long pri-miRNA, which folds into a stem-loop structure (Fig.1a) [18]. The pri-miRNA is then recognized and processed by the dicing complex into a miRNA/miRNA* duplex with 2-nt overhangs at the 3' ends [8,19,20]. Next, the miRNA/miRNA* duplex undergoes 2'-O-methylation at the 3' ends by HUA ENHANCER1 (HEN1) (Fig.1b) [21]. The nuclear endonuclease DCL1 [8,19,20], the double-stranded RNA binding protein HYPONASTIC LEAVES 1 (HYL1) [22,23], also known as double-stranded RNA-binding protein1 (DRB1), and the zinc finger protein SERRATE (SE) [24,25] form the dicing complex. In this section, we will review the miRNA biogenesis pathway and summarize recent findings.

2.1. *MIR* gene transcription

The transcription of *MIR* genes into pri-miRNAs is by Pol II, thus the pri-miRNA transcripts are capped and poly-A tailed [18,26,27]. While most *MIR* genes that reside in the non-coding regions between genes are independently transcribed, others are found within introns of protein-coding or non-coding genes, such as *MIR400* and *MIR402*, and are co-transcribed with their host genes by Pol II and regulated by RNA splicing [28,29].

As with protein-coding genes, the transcription of *MIR* genes is regulated by both cis- and trans-regulatory modules, and general regulatory mechanisms that control protein-coding gene transcription might also apply to *MIR* gene transcription. For instance, general cis-regulators, such as the TATA box, are located upstream of the transcription start sites of *MIR* genes [18]. Binding sites for the transcription factors MYC2, ARF, SORLREP3, and LFY are overrepresented in *MIR* promoters [30]. In addition, phosphorylation of the C-terminal domain (CTD) of the largest subunit of Pol II governed by CYCLIN-DEPENDENT KINASE F;1 (CDKF;1) and CYCLIN-DEPENDENT KINASE Ds (CDKDs) promotes pri-miRNA capping and polyadenylation [31]. A panel of trans-regulatory modules promotes the transcription of *MIR* genes by regulating Pol II occupancy at the *MIR* loci. Trans-regulatory module mutants show reduced levels of pri-miRNAs, including those of the Mediator complex subunit MED20a [32], the Elongator complex members ELP2 and ELP5 [33], two intron-lariat spliceosome (ILS) complex disassembly factors POLYPOIDY1–1D (ILP1) and NTC-Related protein 1 (NTR1) [34], the transcription factor NEGATIVE ON TATA LESS 2 (NOT2) [35], the MOS4-ASSOCIATED COMPLEX (MAC) subunit CELL DIVISION CYCLE 5 (CDC5) [36], the chromatin-associated protein REGULATORY SUBUNIT 3A (PP4R3A) of the PROTEIN PHOSPHATASE4 (PP4) complex [37], the Three PRIME REPAIR EXONUCLEASE 2 (TREX-2) complex core components THO/HRP1 PHENOTYPE 1 (THP1) and SUPPRESSOR OF ACTIN 3A (SAC3A) [38], the splicing factor SMALL1 (SMA1) [39], the ribosomal protein SHORT VALVE 1 (STV1) [40], and a WD40 domain protein REDUCTION IN BLEACHED VEIN AREA (RBV) [41].

It is important to note that many *MIR* gene transcriptional regulators also interact with the dicing complex members, indicating they might have dual roles and/or promote the co-transcriptional processing of the pri-miRNAs. For example, NOT2, TREX-2 complex, ILP1, and NTR1 are found to interact with both Pol II and the dicing complex [34,35,38]. PP4 and the MAC subunit CDC5 are associated with *MIR* promoters, Pol II, and the dicing complex [36,37].

2.2. Developmental stages affect the transcription of *MIR* genes

While some transcription factors have a general impact on the transcription of *MIR* genes, others positively and/or negatively regulate individual *MIR* genes at a specific developmental stage. Two B3 domain family transcription factors FUSCA3 (FUS3) and ABSCISIC ACID INSENSE-

TIVE3 (ABI3) directly promote *MIR156* transcription during the embryo-to-seedling transition and early stages of seed development, respectively [42,43]. Unlike FUS3, which functions primarily as a transcriptional activator, ABI3 represses *MIR156D* during later seed development and downregulates *MIR160B*, which regulates *AUXIN RESPONSE FACTOR (ARF)10* and *ARF16* that participate in seed dormancy [43]. Besides FUS3 and ABI3, the expression levels of *MIR156A* are stabilized through a feedback loop - the *SQUAMOSA PROMOTER BINDING PROTEIN-LIKE (SPL) 9* and *SPL10* genes are both targets of miR156 and encode transcriptional activators of *MIR156A* [44].

Some miRNAs can act upstream to regulate the expression of other *MIR* genes. For example, SPL9 and SPL10, targets of miR156, are found to be enriched at the *MIR172B* locus to promote its transcription [44–46]. miR172b is critical for the juvenile-to-adult transition in Arabidopsis and maize, and during the transition, miR156 levels decline while miR172 levels increase [44]. As with *MIR156*, the transcription of *MIR172* is subject to multiple layers of regulation. The SANT-domain-containing protein POWERDRESS (PWR) was found to enhance the transcription of only three of the five *MIR172* members, *MIR172A*, *B*, and *C*, by increasing Pol II occupancy at the promoters [47]. The transcription factor APETALA2 (AP2) plays a complex role in *MIR172* regulation. AP2 was found to be enriched at two *MIR* gene loci, *MIR156* and *MIR172* [48]. AP2 positively regulates *MIR156* expression, which indirectly dampens the expression of *MIR172* [48]. Meanwhile, together with the transcriptional co-repressors LEUNIG (LUG) and SEUSS (SEU), AP2 represses the expression of *MIR172* [49]. As a regulator of *MIR172*, AP2 is also subject to the regulation by its cognate miRNA to form a feedback loop [48,49]. The AP2 protein is probably largely restricted to the outer floral whorls as its mRNA translation is repressed in the inner whorls by miR172 [50]. In another case, during leaf polarity development, class II homeodomain leucine zipper (HD-ZIPs) transcriptional factors HAT3 and ATHB4 interact with the class III HD-ZIP protein REVOLUTA (REV) to directly repress the transcription of *MIR165/166* genes via a conserved cis-element in their promoters while REV is a target of miR165/166 [51,52].

2.3. Epigenetic marks affect *MIR* gene transcription

Histone modification epigenetically regulates gene expression, and studies have shown that such mechanisms also affect *MIR* gene transcription. For instance, GENERAL CONTROL NON-RERESSED PROTEIN 5 (GCN5) promotes the expression of a subset of *MIR* genes through the addition of acetylation marks on H3K14 at the *MIR* loci [53]. By increasing H3K27me3 marks at the *MIR156A/MIR156C* loci, POLYCOMB REPRESSIVE COMPLEX 2 (PRC2) subunits CURLY LEAF (CLF) and SWINGER (SWN) redundantly mediate their transcriptional repression during vegetative phase change [54]. CHROMOSOME REMODELING2 (CHR2), also known as BRAHMA (BRM), is the ATPase subunit of the large switch/sucrose non-fermentable (SWI/SNF) complex, and a defect in CHR2/BRM reduces *MIR* gene transcription [55]. In addition, chromatin modification can affect *MIR* genes differently, for example the SWR1 chromatin remodeling complex (SWR1-C) alters the nucleosome occupancy at *MIR* gene promoters to regulate their expression positively or negatively [56]. It remains unclear how some transcription regulators distinguish one group of *MIR* genes from another.

2.4. Stabilization of pri-miRNAs

During transcription, pri-miRNAs are protected by 5' capping and 3' poly(A) tailing from nuclear RNA exosome-mediated degradation [57,58]. Additional regulation has also been reported to mediate pri-miRNA stability - mutations in specific proteins cause reduced accumulation of pri- and mature miRNA without compromising *MIR* gene transcription. Proteins in this group include the RNA binding protein DAWDLE (DDL) [59] and MAC subunits PLEIOTROPIC REGULATORY

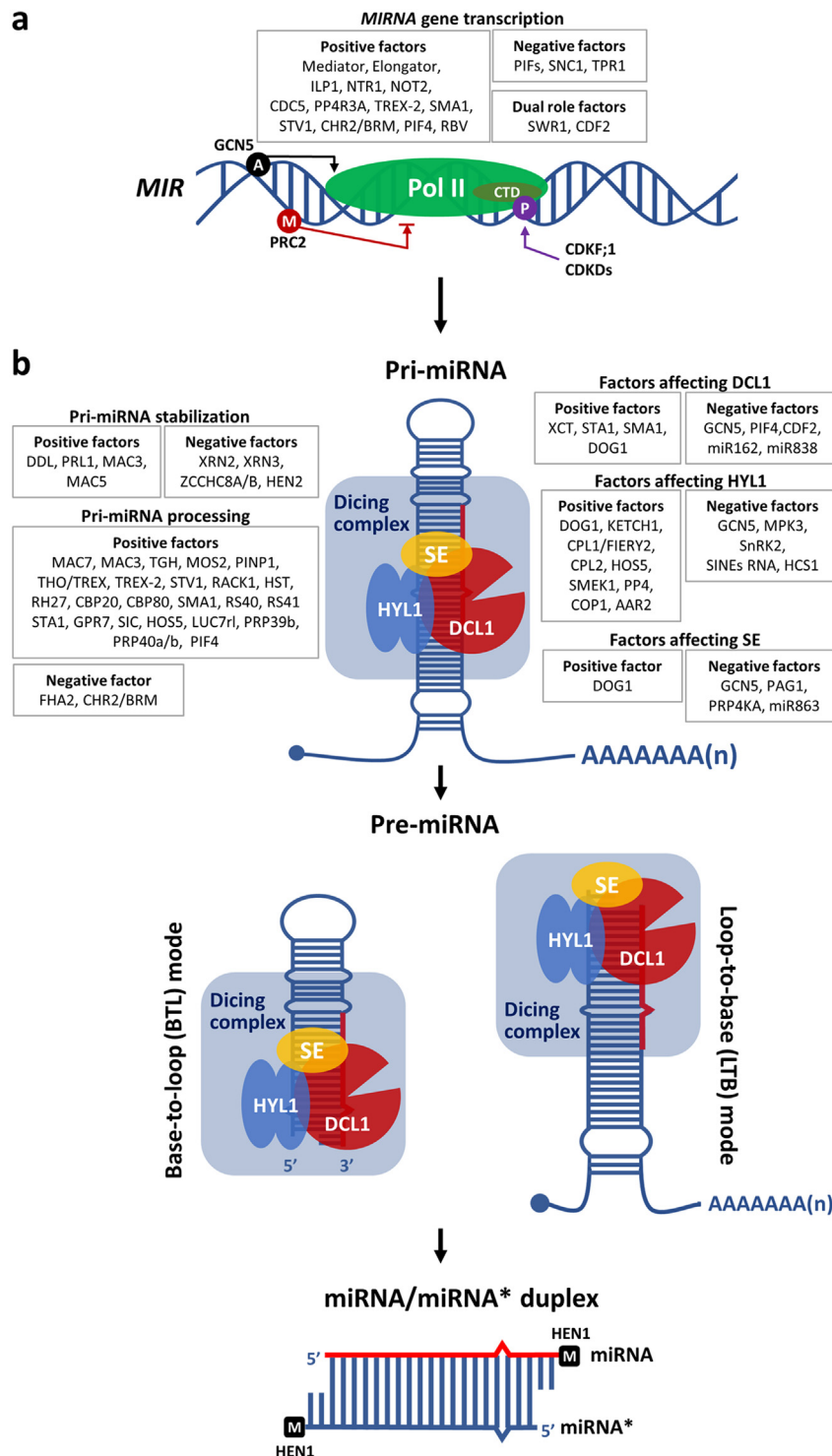


Fig. 1. Mechanisms for the biogenesis of plant miRNAs. (a) *MIR* gene transcription. RNA polymerase II (Pol II)-mediated *MIR* gene transcription is regulated positively and/or negatively by protein factors or other mechanisms. Phosphorylation of Pol II at the C-terminal domain (CTD) of its largest subunit by CDKF;1 and CDKDs promotes *MIR* gene transcription. Phosphorylation is indicated by “P” in a purple circle. Histone acetylation by GCN5 and methylation by PRC2 at *MIR* loci are indicated by “A” in a black circle and “M” in a red circle, respectively. (b) Pri-miRNA processing in the base-to-loop (BTL) and loop-to-base (LTB) mode. The capped and poly-A tailed pri-miRNA folds into a stem-loop structure that harbors mismatches, and is cleaved by the dicing complex into precursor miRNA (pre-miRNA). The pre-miRNA is further cleaved by the dicing complex to release an approximately 21-nt long miRNA/miRNA* duplex with a 2-nt 3’ overhang on each strand. The duplex is 2’-O-methylated at the 3’ ends by HEN1 (the methyl group is marked with “M” in a black square). The miRNA strand is highlighted in red.

LOCUS1 (PRL1) [60], MAC3 [61] and MAC5A [62], with all four proteins showing a direct interaction with pri-miRNA transcripts and the dicing complex. MAC5A interacts with the stem-loop of pri-miRNAs and SE [62]. The reduced accumulation of pri-miRNAs in *mac5a* is partially rescued by introducing *xrn2* or *xrn3*, mutations in two nuclear 5'-to-3' exoribonucleases, suggesting that MAC5A binds to the pri-miRNA stem-loop to prevent it from XRN2- and XRN3-mediated degradation [62]. Interestingly, an *se* mutation also suppresses the molecular defect of *mac5a*, indicating that the reduced accumulation of pri-miRNAs in *mac5a* is SE-dependent [62]. The direct interaction between SE and XRN2, identified in the same study, suggests a possible link between SE and pri-miRNA degradation, in addition to its role in pri-miRNA processing [62]. In line with this hypothesis, a recent study found that SE interacts with the NUCLEAR EXOSOME TARGETING (NEXT) complex subunits ZCCHC8A and ZCCHC8B [57]. In addition, in the absence of HEN2, another NEXT complex subunit, a subset of pri-miRNAs is increased in abundance while mature miRNA levels are not affected [57]. Whereas, in another study, it was found that loss of SUPPRESSORS OF THE PAS2 1 (SOP1), which is also a component of the nuclear exosome and co-localizes with HEN2, does not affect pri-miRNA accumulation [58,63]. Furthermore, both *hen2* and *sop1* partially increase the accumulation of pri-miRNAs in the *hyl1* mutant, and subsequently restore the levels of a subset of the corresponding miRNAs [63]. Together, these studies showed that HEN2-mediated pri-miRNA degradation is independent of HYL1, while HYL1 might antagonize SOP1-mediated pri-miRNA degradation. In another study, HYL1 was found to negatively impact pri-miRNA stability. AAR2, a homolog of a U5 snRNP assembly factor involved in pre-mRNA splicing in yeast and humans, interacts with HYL1 in the cytoplasm, nucleus, and dicing bodies. Mutations in AAR2 lead to reduced pri-miRNA levels and higher pri-miRNA decay rates in the *se-1* mutant but not in the *hyl1-2* mutant, suggesting that pri-miRNA degradation caused by loss of AAR2 function requires HYL1 [64]. Collectively, these discoveries imply a complex role of HYL1 and SE in pri-miRNA stabilization and processing and show that pri-miRNAs are subject to exosome-mediated degradation.

3. Dicing complex assembly and pri-miRNA processing

Maturation of miRNAs requires the precise processing of pri-miRNAs. Pri-miRNAs vary largely in stem-loop shape and length. The dicing complex relies on structural features of pri-miRNAs to distinguish them from other dsRNA species and define the position for the initial cut. In most cases, DCL1 processes the pri-miRNA in a base-to-loop mode, with the dicing complex recognizing a 15–17 bp dsRNA stem below the miRNA/miRNA* and above an internal loop to perform the initial cut that defines one end of the miRNA [65]. In other cases, such as pri-miR159a and pri-miR319a, the first cut is generated at the distal region of the pri-miRNA in a loop-to-base mode, where DCL1 recognizes a 15–17 bp dsRNA stem above the miRNA/miRNA* duplex and below a small terminal loop to perform the initial cut [66,67]. In either case, after the initial cut, DCL1 acts as a molecular ruler and generates a second cut ~21 nt away from the first cut to release the miRNA/miRNA* [14]. While most miRNA precursors are substrates of DCL1, a few young miRNAs including miR822 and miR839 are processed by DCL4 in Arabidopsis [68]. In addition, a class of 24-nt miRNAs requires DCL3 for biogenesis in rice [69].

3.1. Secondary structures of pri-miRNAs

The secondary structures of pri-miRNAs are not all alike, with differing features such as GC content, branched terminal loops, intron position, and flexible internal base-pairing having been shown to affect the efficiency of DCL1-mediated pri-miRNA processing. GC bias and signatures play a role in determining the precision of pri-miRNA processing and the abundance of miRNAs [70]. G/C enrichment at positions 8–9, 18–19 and A/U enrichment at positions 5, 7 and 10 within miRNA

regions positively correlate with miRNA abundance, with one possible explanation being that these signatures influence the structure of pri-miRNAs to promote HYL1 recruitment and binding [70].

Alternative secondary structures of miRNA precursors, such as a terminal branched loop, have been observed to affect the processing of several pri-miRNAs. Pri-miR165 and pri-miR166 possess a terminal branched loop, which is recognized by the dicing complex and leads to bi-directional processing [71]. The canonical base-to-loop mode promotes miRNA production whereas the non-canonical loop-to-base mode leads to unproductive cuts that diminish miRNA biogenesis [71]. For pri-miR157c, the terminal branched loop followed by an ~18 bp dsRNA segment can be recognized by the dicing complex to generate mature miRNAs, albeit the loop-to-base mode of processing is relatively slow [72].

Many pri-miRNAs possess introns, and certain introns residing in the stem-loop region can disrupt the secondary structure of pri-miRNAs and thus must be removed by splicing, such as those in pri-miR842 and pri-miR846 [73]. In contrast, pri-miR161, pri-miR163 and pri-miR172b possess introns downstream of the stem-loop that play a positive role in miRNA maturation, possibly due to the 5' splice site of these introns being recognized by U1 snRNP, which in turn recruits these pri-miRNAs to the dicing complex for processing [29,74,75].

While most miRNA precursors generate only one miRNA, some can produce multiple miRNAs due to their unique sequence features. The miR168/miR168* duplex undergoes flexible internal base-pairing enabling three alternative structural configurations, which promote the production of isomeric miRNAs (isomiRs) [76]. isomiR168s vary in length and 5' terminal nucleotides hence leading to distinct AGO sorting outcomes [76]. In particular, a 22-nt miR168 isoform is enriched in AGO10 instead of AGO1, and AGO10-miR168 promotes the repression of *AGO1* by triggering the production of secondary siRNAs from the *AGO1* mRNA [76]. In addition, certain miRNA precursors can be sequentially processed by DCL1 multiple times to produce several small RNA duplexes [77].

Protein factors are capable of regulating pri-miRNA processing by altering the pri-miRNA secondary structure. In addition to promoting *MIR* gene transcription, CHR2/BRM directly binds to pri-miRNAs and alters their secondary structure thereby inhibiting processing [55]. This inhibition requires the interaction of CHR2/BRM with SE [55]. Interestingly, the association with SE is not necessary for CHR2/BRM to promote *MIR* gene transcription [55]. Extensive cytidylation and uridylation on the 3' termini of pre-miRNAs processed through the base-to-loop mode was reported, with the nucleotidyl transferases HEN1 SUPPRESSOR1 (HESO1), NTP6 and NTP7 contributing to a portion of the cytidine addition and HESO1 being responsible for the majority of uridine addition [78]. This untemplated tailing can restore trimmed pre-miRNAs to their intact length thereby promoting processing [78]. pri-miRNA structures can also be altered by post-transcriptional modification. The mRNA adenosine methylase (MTA) introduces N6-methyladenosine (m6A) in pri-miRNAs [79]. A deficiency in MTA leads to less structured stem-loop regions, which hampers the association of HYL1 with these precursors and results in decreased miRNA levels [79]. MTA also interacts with Pol II and TOUGH (TGH), which are required in the early stages of miRNA biogenesis, suggesting that MTA might also act at early steps [79].

3.2. Assembly of the dicing complex

Although many proteins have been found to assist with pri-miRNA processing, the two main dicing complex components promoting the functions of DCL1 are HYL1 and SE, with these three components forming the dicing complex and being colocalized in nuclear loci termed 'dicing bodies' (D-bodies) [80,81]. SE and HYL1 interact with each other, and both interact with DCL1 [80]. HYL1 functions as a dimer to bind the stem region of pri-miRNAs and partner with SE, a C2H2 zinc finger protein that also binds pri-miRNAs, to ensure the precise cleavage of miRNA precursors by DCL1 [82–86]. A lack of HYL1 or SE causes re-

duced accumulation of mature miRNAs globally and increases levels of pri-miRNAs [83–86].

Dicing complex formation is regulated by multiple factors. MAC subunits have been shown to be involved in dicing complex formation, including MAC7 and MAC3, which are associated with the dicing complex and promote the recruitment of HYL1 [61,87]. Interestingly, the RNA binding protein MODIFIERS1,2 (MOS2) [88] and the DEAD-box helicase PSR1-INTERACTING PROTEIN 1 (PINP1) [89] promote the assembly of the dicing complex without interacting with it. In the absence of MOS2, the association between pri-miRNA and HYL1 is reduced and localization of HYL1 in the D-bodies is compromised [88]. A recent study shows that D-bodies are phase-separated condensates, and the phase separation property of SE drives the formation of D-body [90]. Truncation of the intrinsically disordered regions (IDRs) of SE abrogates D-body formation and results in reduced miRNA processing [90]. Moreover, the TREX-2 complex interacts with SE and promotes D-body formation in addition to its role in *MIR* gene transcription [38]. DEAD-box RNA helicase 6 (RH6), RH8, and RH12 have recently been identified as D-body components that interact with SE and promote the phase separation of SE and the formation of D-bodies [91].

3.3. Regulation of pri-miRNA processing

Recruitment of pri-miRNAs by the dicing complex is facilitated by MOS2 [88], the RNA binding protein TGH [92], the ribosomal protein STV1 [40], and the THO/TREX complex [93]. TGH is a component of the dicing complex, it binds pri- and pre-miRNAs *in vivo*, and is required for the interaction between HYL1 and pri-miRNAs [92]. In addition, a lack of TGH reduced the activity of DCL1 *in vitro* [92]. STV1 binds pri-miRNAs and facilitates the recruitment of pri-miRNAs to the dicing complex [40]. The THO/TREX complex promotes the association of pri-miRNAs with HYL1, however, it does not appear to interact with any miRNA biogenesis pathway factors [93].

It has long been proposed that pri-miRNA processing occurs in D-bodies as many processing complex components, such as DCL1 and HYL1, are co-localized there [80]. However, considering that certain dicing complex cofactors, such as MOS2 [88], are not associated with the D-bodies, miRNA processing might not exclusively take place in D-bodies. Several studies show that dicing complex components are recruited to *MIR* loci during *MIR* transcription, suggesting that the processing of the pri-miRNAs can occur co-transcriptionally like in animals [94], alternatively, this allows for an initial assembly of the dicing complex. First, DCL1 is associated with the chromatin regions of *MIR* genes [33]. In addition, the Elongator complex [33], CDC5 [36], NOT2 [35], and TREX-2 [38] interact with both Pol II and the dicing complex and might act to recruit the dicing complex to *MIR* loci during *MIR* transcription. In accordance with this assumption, mutants of Elongator and NOT2 show impaired DCL1 localization in D-bodies or defects in D-body formation [33,35]. In addition, TREX-2 interacts with SE and promotes D-body formation [38]. It is unclear whether D-body formation is coupled with dicing complex component's recruitment to the *MIR* loci. HASTY (HST), the plant homolog of animal EXPORTIN 5 (EXP5/XPO5), has been shown to facilitate miRNA biogenesis independently of its proposed, yet unproven, role in miRNA nuclear export in Arabidopsis. HST could act as a scaffold to stabilize the DCL1-MED37 complex which in turn enhances the recruitment of DCL1 to *MIR* loci [95].

A recent study provides cogent evidence that pri-miRNAs can be processed co-transcriptionally, with the evidence being that pri-miRNAs can be detected at their *MIR* loci and that pri-miRNA processing intermediates are associated with Pol II [96]. This study also reported that the entire processing steps occur co-transcriptionally for loop-to-base (LTB) pri-miRNAs, while the base-to-loop (BTL) pri-miRNAs undergo the first processing step co-transcriptionally with the resulting pre-miRNA being further processed in the nucleoplasm [96]. R-loop, a DNA-RNA hybrid structure formed during transcription, appears to promote co-

transcriptional processing of pri-miRNAs when within close distance to and upstream of the hairpin [96].

The activities of the dicing complex are modulated by specific factors. For example, RECEPTOR FOR ACTIVATED C KINASE1 (RACK1) promotes pri-miRNA processing through interaction with SE [97]. As it also interacts with AGO1, RACK 1 might bridge pri-miRNA processing and AGO1 loading or play a separate role downstream of pri-miRNA processing [97]. Some factors regulate the dicing complex in a tissue-specific manner. The DEAD-box RNA HELICASE 27 (RH27), which is expressed in embryos, shoot apical meristems and root apical meristems, is associated with pri-miRNAs and interacts with HYL1, SE and DDL to promote pri-miRNA processing [98].

3.4. Roles of splicing in pri-miRNA processing

A number of proteins modulating both pri-miRNA processing and splicing have been identified. For example, the dicing complex core components HYL1 [99] and SE [100,101], CAP-BINDING PROTEIN 20 (CBP20) and CBP80 [100,102], the splicing factor SMA1 [39], HIGH OSMOTIC STRESS GENE EXPRESSION 5 (HOS5), ARGININE/SERINE-RICH SPLICING FACTOR 40 (RS40) and RS41 [103], the pre-mRNA processing factor 6 homolog STABILIZED1 (STA1) [104], GLYCINE-RICH RBP 7 (GRP7) [105], SICKLE (SIC) [106], THO2 of the THO/TREX complex [93], the U1 snRNP subunit LETHAL UNLESS CBC 7 RL (LUC7rl), and the PRE-MRNA-PROCESSING PROTEIN (PRP)39b, PRP40a, PRP40b [29] are positive regulators of pri-miRNA processing and are involved in splicing. In addition, the MAC complex has been shown to associate with the spliceosome [107]. The fact that many proteins influence both pri-miRNA processing and splicing does not necessarily implicate a role of splicing in pri-miRNA processing, as the proteins may have independent functions in the two processes. However, as discussed in Section 3.1, splicing is necessary to form the proper structures of some pri-miRNAs to enable processing. Furthermore, intronic lariat RNAs, the by-products of pre-mRNA splicing, inhibit pri-miRNA processing by acting as a decoy to sequester the dicing complex to prevent its binding to pri-miRNAs [108]. The two intron-lariat spliceosome (ILS) complex subunits ILP1 and NTR interact with DCL1 and SE and facilitate the degradation of lariat RNAs, which may in turn promote miRNA processing [34,108].

3.5. Regulation of the dicing complex components DCL1, HYL1 and SE

The expression and function of the dicing complex core components DCL, HYL1 and SE are regulated at transcriptional, post-transcriptional, and post-translational levels.

The transcription of *DCL1* is promoted by XAP5 CIRCADIAN TIME-KEEPER (XCT) [109] and STA1 [104]. The splicing factor SMA1 facilitates the splicing of the ninth intron of *DCL1* pre-mRNA to promote miRNA processing [39]. DELAY OF GERMINATION1 (DOG1) promotes the expression of *DCL1*, *HYL1*, *SE*, *TGH*, and *CDC5* [110], while the histone acetyltransferase GCN5 indirectly represses the expression of *DCL1*, *HYL1*, and *SE* [53], and the *AGO1* gene encoding the miRNA effector [111], to negatively regulate miRNA maturation. In addition, the levels of *DCL1* mRNA are subject to the negative feedback regulation by miR162 [112] and miR838, the latter residing in intron 14 of *DCL1* pre-mRNA [68]. Furthermore, *SE* RNA is targeted and negatively regulated by miR863 during bacterial infection [113].

At the post-transcriptional level, KARYOPHERIN ENABLING THE TRANSPORT OF THE CYTOPLASMIC HYL1 (KETCH1), an importin beta protein, enhances miRNA processing by promoting the translocation of HYL1 from the cytoplasm to the nucleus [114]. In addition, pri-miRNA-like SHORT INTERSPERSED ELEMENTS (SINES) RNAs can act as a decoy and sequester HYL1 to reduce its participation in pri-miRNA processing [115]. A recent study shows that the 20S core proteasome α subunit G1 (PAG1) promotes pri-miRNA processing by recruiting the SE protein for degradation in a ubiquitin-independent manner [116]. Presumably the

degraded SE is disordered and non-functional [116]. In the *pag1* mutant, SE is distributed in both the cytoplasm and the nucleus, while it is predominantly detected in the nucleus in the wild type [116].

The activity of the dicing complex is regulated by phosphorylation. The phosphorylation of DCL1 is required for its interaction with DDL, with this interaction being necessary for pri-miRNA processing [117,118]. HYL1 activity is also regulated by its phosphorylation states with phosphorylation inhibiting HYL1 function [119,120]. C-TERMINAL DOMAIN PHOSPHATASE-LIKE 1 (CPL1)/FIERY2 and CPL2 dephosphorylate HYL1, with SE serving as a bridge for the interaction between HYL1 and CPL1 [121]. HOS5, also known as REGULATOR OF CBF GENE EXPRESSION 3 (RCF3) and SHINY1, interacts with CPL1 and CPL2 to assist HYL1 dephosphorylation in a tissue specific manner [122]. In addition, SUPPRESSOR OF MEK 1 (SMEK1) partners with PROTEIN PHOSPHATASE 4 (PP4) to dephosphorylate and stabilize HYL1, thus enhancing pri-miRNA processing [123]. Furthermore, AAR2, a splicing factor, associates with HYL1 and is required for its dephosphorylation and D-body localization [64]. MITOGEN-ACTIVATED PROTEIN KINASE 3 (MPK3) and SNF1-RELATED PROTEIN KINASE 2 (SnRK2) can phosphorylate HYL1 *in vitro*, and both kinases interact with HYL1 *in vivo* [119,120]. Besides, SnRK2 also associates with and phosphorylates SE *in vitro*, although the physiological role of this modification remains unclear [120]. PRE-MRNA PROCESSING 4 KINASE A (PRP4KA) interacts with SE and phosphorylates at least five residues of SE *in vitro* and *in vivo* [124]. An *se* mutant can be rescued by the expression of hypo-phosphorylated SE variants *in vivo*, but not its hyper-phosphorylated counterparts [124]. In addition, compared to the hyper-phosphorylated SE variants, the hypo-phosphorylated SE variants displayed stronger binding affinity toward HYL1 and were more resistant to degradation by the 20S proteasome [124].

3.6. Regulation of miRNA biogenesis by environmental cues

As plants are sessile organisms, effective responses to environmental changes are essential. *MIR* gene expression and the activity and subcellular localization of the dicing complex components are regulated by environmental cues in a tissue- and developmental stage-specific manner.

3.6.1. Regulation of *MIR* gene transcription and pri-miRNA processing by environmental cues

A growing number of *MIR* gene transcription and processing factors have been reported as being subject to the regulation by environmental changes. For example, in *Arabidopsis*, red light treatment and white light treatment of etiolated seedlings can alter the expression of a group of miRNAs. During shade avoidance response, the level of PHYTOCHROME-INTERACTING FACTORS (PIFs) is highly induced, and the PIFs bind to the promoters of multiple *MIR156* genes and repress their expression [125]. Another light signaling transcription factor ELONGATED HYPOCOTYL 5 (HY5) directly regulates the expression of several *MIR* genes [126]. Partnering with HY5-HOMOLOG (HYH), HY5 mediates light-induced expression of *HEN1*, which protects miRNAs from degradation [127]. The transcription of *MIR395*, *MIR399*, and *MIR398* genes is specifically induced upon sulfur, phosphate and copper deprivation, respectively [128–133]. In addition to copper deprivation, *mir398* has also been reported to respond to heat [134,135]. Tocopherols (vitamin E) facilitate the accumulation of 3'-phosphoadenosine 5'-phosphate (PAP), which inhibits the activity of nuclear exoribonucleases XRN2 and XRN3 to promote miRNA biogenesis, possibly by protecting pri-miRNAs from being degraded [135]. The induction of tocopherols and PAP by heat is required for the increased accumulation of *mir398* [135]. *MIR402*, an intronic miRNA, is induced by heat stress [136]. In contrast to *mir402*, pri-*mir400* is retained in the host RNA upon heat stress, which results in reduced accumulation of *mir400* [28]. At cold temperatures, the expression of *STAI* is highly induced, which facilitates pri-miRNA splicing and promotes *DCL1* transcription

[104,137]. The *sic* mutant is hypersensitive to cold and salt stresses [106]. SIC participates in pre-mRNA splicing, colocalizes with HYL1 and positively regulates pri-miRNA processing [106]. The cycling DOF transcription factor CDF2, which participates in photoperiodic flowering, binds to the promoter of some *MIR* genes and activates or represses their transcription [138,139]. CDF2 also interacts with DCL1 and suppresses pri-miRNA processing [139]. Under salt stress, the transcription of *MIR163* and *MIR829* genes is increased, while the transcription of *MIR161* and *MIR173* genes is repressed by AGO1 [111]. Furthermore, pathogen responses can affect miRNA biogenesis. The nuclear localized disease resistance protein SNC1 partners with its interacting protein TPR1 to represses the transcription of *MIR* genes [140].

3.6.2. Regulation of the dicing complex by environmental cues

Among the abiotic and biotic stimuli affecting pri-miRNA processing, light plays an influential role. In the light, CONSTITUTIVE PHOTOMORPHOGENIC 1 (COP1), an E3 ubiquitin ligase, translocates from the nucleus to the cytoplasm, where it stabilizes HYL1 by preventing its degradation by HYL1-CLEAVAGE SUBTILASE 1 (HCS1), which would otherwise degrade HYL1 by cleaving its N-terminal region [141,142]. Consistent with this observation, during de-etiolation, pri-miRNAs and the dicing complex components DCL1, HYL1, and SE were observed to accumulate to higher levels [143]. However, the accumulation of most miRNAs did not change; this discrepancy suggests an unknown suppressor hampers pri-miRNA processing during de-etiolation [143]. A recent study shows that FORHEAD-ASSOCIATED DOMAIN 2 (FHA2), a light stabilized protein, might be the suppressor. FHA2 associates with DCL1, HYL1, and SE to suppress their pri-miRNA processing activity [144]. In the dark, the levels of HYL1 are reduced, and the ratio between active (dephosphorylated) and inactive (phosphorylated) HYL1 is also strongly diminished during extended periods of darkness or shade [145]. Interestingly, although inactive phosphorylated HYL1 is stabilized in the nucleus in the dark, HYL1 can be quickly activated (dephosphorylated) on plants' exposure to light [145]. During a dark to red light transition, PHYTOCHROME-INTERACTING FACTOR 4 (PIF4), a basic helix-loop-helix (bHLH) transcription factor, interacts with and destabilizes DCL1 in a ubiquitin-proteasome (UPS) independent pathway [146]. PIF4 also interacts with HYL1, and acts as a transcription activator of a group of miRNA genes [146].

Besides light, ABA treatment and other environmental stresses could activate SnRK2s, thereby phosphorylating HYL1 and SE. SnRK2-mediated phosphorylation promotes HYL1 stability during ABA treatment [120]. In contrast to SnRK2s, MPK3-mediated phosphorylation promotes HYL1 degradation [119]. ABA treatments induce SMEK1 to antagonize HYL1 degradation mediated by MPK3 [123]. In addition, the expression levels of HOS5/RCF3/SHINY are reduced by salt and ABA treatments [103,147]. Furthermore, multiple MAC subunits such as CDC5, PRL1, and MAC7, which promote miRNA biogenesis, are responsive to different environmental cues [36,60,87].

4. miRNA stabilization and RISC assembly

The miRNA/miRNA* duplexes are 2'-O-methylated by the methyltransferase HEN1 at the 3' terminus of each strand to protect miRNAs from degradation [21,148]. Mutations in *HEN1* lead to reduced levels of nearly all miRNAs [21,148,149]. Recent studies show that HEN1 interacts with DCL1 and HYL1 but not SE, suggesting HEN1 might replace SE in the dicing complex after pri-miRNA processing [150]. Next, the methylated miRNA/miRNA* duplex is loaded into an AGO to form a miRISC. Upon loading, AGO unwinds the miRNA/miRNA* duplex and selectively retains one strand (guide strand or miRNA), which directs AGOs for target gene inhibition, whereas the other strand (passenger strand or miRNA*) is ejected [13].

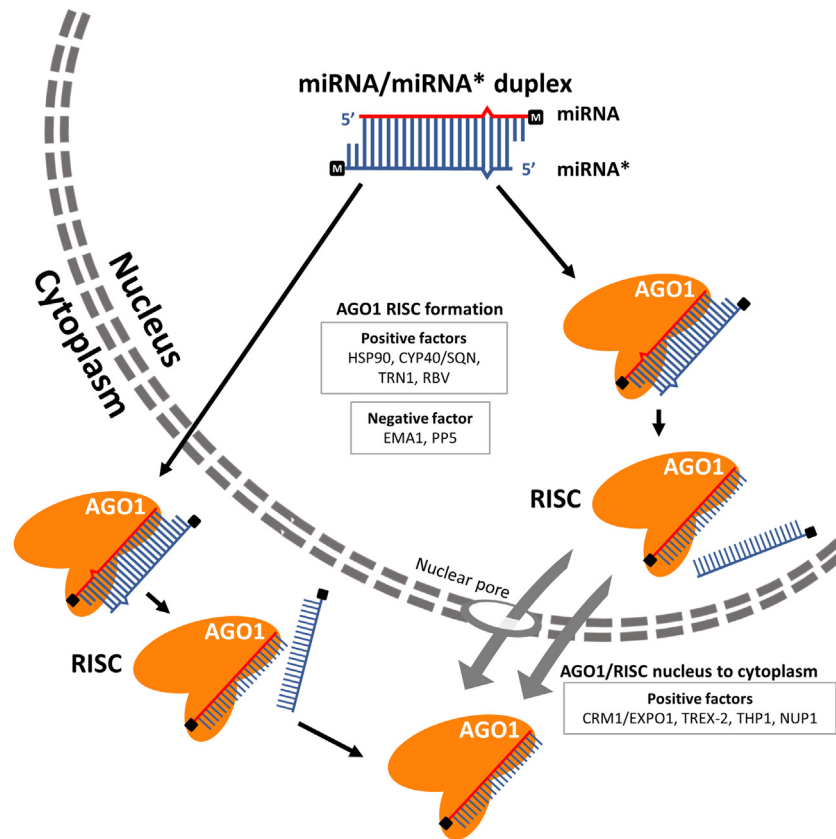


Fig 2. miRNA-induced silencing complex (miRISC) formation for plant miRNAs. The miRNA/miRNA* duplex is loaded into AGO1, and following unwinding, the miRNA strand is selectively retained in AGO1, while the miRNA* strand is ejected. The miRISCs formed in the nucleus are exported to the cytoplasm through the nuclear pore in the CRM1/EXPO1-dependent pathway. Whether other mechanisms underlie the nucleo-cytoplasmic shuttling of AGO1 and/or miRISC is still unknown. The miRNA/miRNA* duplex loading may also occur in the cytoplasm. The miRNA and miRNA* strands are highlighted in red and blue, respectively. The methyl group at the 3' end is marked with "M" in a black square. Positive and negative factors in the formation or nuclear export of AGO1 RISCs are shown.

4.1. miRNA strand selection

The thermodynamic differences in the base-pairing stabilities and/or the identity of the first nucleotide of the miRNA are key features for strand selection and AGO sorting. Usually, the strand with lower 5' thermostability in the duplex is selected as the guide strand and retained by AGOs [151,152]. In Arabidopsis, most miRNA guide strands start with a 5' uridine and are preferentially incorporated into AGO1, the major miRNA effector of the AGO family [15]. Small RNAs that start with a 5' adenosine are predominantly associated with AGO2 and AGO4, whereas those starting with a 5' cytosine are mainly associated with AGO5 [15]. In addition, the miRNA duplex structure has also been shown to affect their sorting. For instance, AGO1 prefers miRNA duplexes with central mismatches, while AGO2 prefers duplexes with no middle mismatches [153]. Arabidopsis AGO7 associates specifically with miR390, which triggers *TAS3* trans-acting siRNA biogenesis [154,155]. The 5' adenosine of the miR390 guide strand and three nucleotides in the middle of the miR390/miR390* duplex are crucial for the specific interaction with AGO7 [154,155].

miRNAs can be loaded into more than one AGO protein. Indeed, in Arabidopsis, miR165/166 is associated with both AGO1 and AGO10 [156,157]. While most miRNA* strands are degraded, some are loaded into AGO proteins and function as the guide strand in gene repression. For instance, miR393b* starts with a 5' adenosine, and is enriched in AGO2, while miR393 starts with a 5' uridine, and is mainly loaded into AGO1 [158]. In 2013, the miRBase database ceased using the miR/miR* nomenclature, instead, miR-5p and miR-3p are assigned to small RNA sequences derived from the 5' and 3' arm of the miRNA precursor, re-

spectively [159]. Furthermore, protein cofactors such as HYL1 [151], CPL1 [121], HOS5, RS40, and RS41 [103] have also been reported to assist with strand selection.

The assembly of AGO1-containing RISC requires the assistance of the molecular chaperone HEAT SHOCK PROTEIN 90 (HSP90), which binds AGO1 in an ATP-dependent manner and triggers a conformational change of AGO1 to allow sRNA duplex loading [160,161]. Following ATP hydrolysis, HSP90 dissociates from and likely prompts a second conformational change of AGO1, which results in the proper removal of the passenger strand [160,161]. The unwinding and removal of miRNA* strands from AGO1 do not require the endonuclease activity of AGO1 [160,162,163]. On the contrary, cleavage of the miR390* strand is essential for the maturation of the AGO7-miR390 complex [154]. The AGO1-miRISC assembly is also facilitated by CYCLOPHILIN 40/SQUINT (CYP40/SQN) and inhibited by PROTEIN PHOSPHATASE 5 (PP5) in an HSP90-dependent manner [164]. In addition, two importin-beta family proteins, ENHANCED MIRNA ACTIVITY 1 (EMA1) and TRANSPORTIN 1 (TRN1), interact with AGO1 and negatively and positively regulate AGO1-miRISC formation, respectively [165,166]. Furthermore, RBV, a nuclear WD40 domain protein, promotes the loading of miRNAs into AGO1, in addition to its role in promoting the transcription of *MIR* genes [41].

4.2. Regulation of AGO1 stability

AGO1 is subjected to post-transcriptional and post-translational regulation. At the post-transcriptional level, *AGO1* transcripts are targeted by AGO1-bound miR168, forming a negative feedback regulatory loop

[167]. At the post-translational level, the F-box protein F-BOX WITH WD-40 2 (FBW2) negatively regulates AGO1 protein levels, with the overexpression of *FBW2* decreasing the accumulation of AGO1 protein but not *AGO1* mRNA, whereas a lack of *FBW2* increases AGO1 protein levels [168]. Several studies show that AGO1 is also targeted by viral F-box RNA silencing suppressors. Poliovirus-encoded F-box protein (P0) and Enamovirus-encoded P0 destabilize host AGO1 upon viral infection [169,170]. Although F-box proteins are known for tethering poly-ubiquitins to mark proteins for degradation through the ubiquitin-26S proteasome system (UPS), treatment with a proteasome inhibitor does not prevent AGO1 from *FBW2*-, Poliovirus P0-, and Enamovirus P0-mediated destabilization, suggesting AGO1 is not degraded via the UPS pathway in these cases [168–170]. Interestingly, AGO1 levels were significantly increased in P0-expressing transgenic plants treated with an autophagy inhibitor [171]. In addition, AGO1 co-localizes with AUTOPHAGY 8 (ATG8), a ubiquitin-like autophagosome membrane protein [171]. Taken together, the data imply that *FBW2* and P0 mediate the degradation of ubiquitinated AGO1 through an autophagy-dependent pathway. Another study shows that AGO1 could also be degraded through the UPS pathway depending on a viral RNA silencing suppressor. The Potato virus X protein P25 interacts with and destabilizes AGO1 through the UPS pathway, as the UPS inhibitor MG132 significantly promotes the accumulation of AGO1 despite the presence of P25 [172]. A recent study shows that under UV exposure, CLF, a methyltransferase subunit of the PRC2, inhibits *FBW2* expression thereby enhancing AGO1 stability [173].

4.3. The cell biology of RISC assembly

Animal pre-miRNAs are exported to the cytosol by EXPORTIN5 (EXP5/XPO5), a RanGTP-dependent dsRNA-binding protein, for final processing and RISC formation [174–176]. Unlike animals, plant miRNA maturation is thought to take place exclusively in the nucleus. It was proposed that plant miR/miR* duplexes are exported from the nucleus to the cytoplasm by HASTY (HST), the plant ortholog of EXP5/XPO5, and loaded into AGO1 in the cytoplasm. However, this assumption is not supported by experimental data, as the nucleo-cytosolic partitioning of miRNAs is not altered in the *hst* loss-of-function mutant [177,178]. A revised model, in which AGO1 is imported into the nucleus to load miRNAs and AGO1-miRNA complexes are exported to the cytoplasm by CRM1/EXPORTIN1 (EXPO1) (Fig. 2) gained traction [179]. A nuclear localization signal (NLS) and a nuclear export signal (NES) residing in the N terminal extension of AGO1 are proposed to direct the nucleo-cytosolic shuttling of AGO1 [179]. Upon treatment with Leptomycin-B, a compound that inhibits EXPO1-mediated, NES-dependent protein nuclear export, the ratio between cytoplasmic and nuclear AGO1 significantly decreased, suggesting AGO1 could be exported to the cytoplasm in an EXPO1/NES-dependent manner [179]. In addition, AGO1 with a mutated NES sequence associated with the same miRNAs as its intact counterpart, indicating that miRNAs' loading into AGO1 could take place in the nucleus [179]. However, it is possible there are other yet unidentified NES or nuclear export pathways that transport AGO1 from the nucleus to the cytoplasm. Indeed, it was recently found that the TREX-2 complex core subunit THP1 interacts with the nucleoporin protein NUP1 at the nuclear envelope, together promoting the nuclear export of AGO1 or AGO1-miRISC (Fig. 2) [38]. When AGO1 was fused with the glucocorticoid receptor (GR) and transiently expressed in plants, the cytoplasmically restricted GR-AGO1 was able to associate with miR165 [180]. Fluorescence microscopy analysis and subcellular fractionation assays revealed that AGO1 is co-localized with the endoplasmic reticulum (ER) and associated with membrane-bound polysomes (MPBs) [181–184]. Furthermore, cellular fractionation combined with genomic approaches shows that all miRNAs are preferably associated with MPBs instead of polysomes in general [184]. Together, these findings suggest that miRNA loading or action could take place on the ER. Further studies need to be done to determine whether miRNAs are loaded into AGO1

exclusively in the nucleus, cytoplasm, or both. And if miRNA loading occurs in the cytoplasm, does it happen on the ER and/or MBPs?

5. Conclusion

Our knowledge of miRNA-mediated gene silencing has greatly advanced in the past two decades. Many factors involved in the regulation of miRNA biogenesis, action, and degradation have been identified, however mechanistic and cell biological details are still lacking. Most past studies have relied on molecular genetic approaches, with a dearth of biochemical and structural insights on pri-miRNA processing or miRISC formation and/or function. Structures of the dicing complex in its various forms, such as apo, pri-miRNA-bound, pre-miRNA-bound, and together with various interacting factors, will elucidate mechanisms such as substrate recognition, processing site choice, and regulation by co-factors. Structures of AGO1, AGO1-miRNA, and AGO1-miRNA-target will reveal the mechanisms of miRNA binding, target recognition, and slicing. Another area that calls for future investigations is the cell biology of miRNA biogenesis. Pieces of evidence exist for two locations of pri-miRNA processing in the nucleus: *MIR* gene loci [96] and D-bodies [80,90], and these locations do not overlap [96]. How is miRNA biogenesis partitioned between these locations? What RNAs and proteins constitute D-bodies? The subcellular locations of miRISC formation also deserve further investigation. Does miRNA loading into AGO1 occur in both the cytoplasm and the nucleus? If so, are certain miRNAs selectively loaded into AGO1 in one place or another? Are cytoplasmically-assembled miRISCs different from nucleus-formed miRISCs in composition or function? Future studies with innovative imaging, biochemical, and genomic tools will be needed to propel the plant miRNA biogenesis field forward.

Declaration of competing interest

The authors declare that they have no conflicts of interest in this work.

Acknowledgments

Research in the Chen laboratory on small RNAs and RNA modifications is supported by grants from National Institutes of Health (R01GM061146 and R01GM129373).

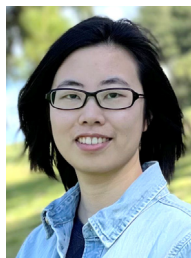
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