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# Priorities for Clinical Research in Pediatric Extracorporeal Membrane Oxygenation Anticoagulation From the Pediatric Extracorporeal Membrane Oxygenation Anticoagulation CollaborativE Consensus Conference

**OBJECTIVES:** To identify and prioritize research questions for anticoagulation and hemostasis management of neonates and children supported with extracorporeal membrane oxygenation (ECMO) from the Pediatric ECMO Anticoagulation CollaborativE (PEACE) consensus.

**DATA SOURCES:** Systematic review was performed using PubMed, EMBASE, and Cochrane Library (CENTRAL) databases from January 1988 to May 2021, followed by serial consensus conferences of international, interprofessional experts in the management of ECMO for critically ill neonates and children.

**STUDY SELECTION:** The management of ECMO anticoagulation for critically ill neonates and children.

**DATA EXTRACTION:** Within each of the eight subgroups, two authors reviewed all citations independently, with a third independent reviewer resolving any conflicts.

**DATA SYNTHESIS:** Following the systematic review of MEDLINE, EMBASE, and Cochrane Library databases from January 1988 to May 2021, and the consensus process for clinical recommendations and consensus statements, PEACE panel experts constructed research priorities using the Child Health and Nutrition Research Initiative methodology. Twenty research topics were prioritized, falling within five domains (definitions and outcomes, therapeutics, anticoagulant monitoring, protocolized management, and impact of the ECMO circuit and its components on hemostasis).

**CONCLUSIONS:** We present the research priorities identified by the PEACE expert panel after a systematic review of existing evidence informing clinical care of neonates and children managed with ECMO. More research is required within the five identified domains to ultimately inform and improve the care of this vulnerable population.

**KEYWORDS:** anticoagulation; blood transfusion; extracorporeal membrane oxygenation; hemolysis; pediatrics

ritically ill children on extracorporeal membrane oxygenation (ECMO) support are at high risk for bleeding and thrombotic complications (1, 2). Although professional societies have published clinical practice guidelines for the management of anticoagulation and hemostatic transfusions in critically ill children on ECMO (3), these are based on clinical expertise stemming almost exclusively from observational data. After completion of a systematic review of the literature on anticoagulation management and hemostasis in neonates

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and children supported on ECMO, the Pediatric ECMO Anticoagulation CollaborativE (PEACE) Consensus Conference concluded that high-quality or even moderate-quality evidence is sorely missing on this topic (4). Anticipating a lack of high-quality evidence, the identification and rating of research priorities was a preplanned component of the PEACE Consensus Conference (5). The objective of this process was to identify and prioritize targeted areas for further clinical research to inform the management of anticoagulation and hemostasis for infants, children, and adolescents receiving ECMO support.

## MATERIALS AND METHODS

The identification of research priorities was undertaken as part of the PEACE Consensus Conference. Gaps in the existing literature related to anticoagulation and hemostasis management of pediatric ECMO patients were identified through a systematic literature review conducted by the PEACE expert panel. Descriptions of the selection and organization of PEACE expert panel members, member characteristics, and methods of the literature search and modified Delphi process can be found in the PEACE executive summary and the accompanying supplement (4, 6–13). PEACE expert panel members were not paid or reimbursed for their participation.

Informed by the systematic literature review, research priorities were constructed using the Child Health and Nutrition Research Initiative (CHNRI) methodology (14, 15). A virtual expert panel meeting was held to finalize the research priority-setting methodology. During this meeting expert panelists defined the intended context and scope for research priorities as follows. The population of interest and intended beneficiaries were defined as neonates, infants, children, and adolescents receiving ECMO support for any indication. The focus of the research priorities was defined as anticoagulation and/or hemostasis management. The geographic scope is intended to include any areas where pediatric ECMO is provided. The research area of focus is clinical research, with questions of diagnosis, prevention, treatment, prognosis, and implementation considered. The target audience for these priorities includes clinicians, researchers, funders (both governmental and private), and industry partners.

At this initial meeting, criteria for setting research priorities were reviewed, defined, and selected. An

anonymous web-based survey (Qualtrics) was used to determine the relative importance of each research priority-setting criterion among expert panel members. A sliding scale was used to assign points to each criterion (with higher points indicating higher priority) out of a total of 100 points across all criteria. Weights were assigned to each criterion based on the median value of points assigned in the survey (**Table 1**).

Each of the eight subgroups of the PEACE expert panel was charged with drafting three to five research topics. To ensure a similar depth of each research topic, the panel reviewed the CHNRI framework which lists the depth of research topics from very broad ("research avenue") to specific ("research option") to very specific ("research question"). Research topics were constructed to fall within the "research option" depth, which we defined as a program of research around a specific topic that would include several specific questions using different research modalities or instruments (15). Research topics were compiled and discussed at a subsequent virtual expert panel meeting, where panelists reviewed language, ensured a similar scope and depth across topics, collapsed topics where appropriate to minimize redundancy, and added new topics. Revisions were made by subgroups as necessary.

In a second web-based survey (Qualtrics), experts were asked to rate each research topic on a scale of 1-9 for each priority-setting criterion based on how favorably one would view the research topic in the context of the criterion. Median values of the expert panels' scores were used to calculate an overall weighted score for each research topic. Weighted scores were calculated by multiplying the median score for each criterion by the weight for that criterion to create a criterion subscore and then adding the criterion subscores together and multiplying by 10 (Supplemental Digital Content, http://links.lww.com/PCC/C500). Research topics were ordered based on their weighted scores. The top 20 of 24 total research topics, representing the top 85th percentile of weighted scores, were retained in the final priority list.

# RESULTS

The survey response rate was 41 of 48 (85%). Final research topics and their weighted scores based on survey results are in **Table 2** and **Supplemental Table 1** (http:// links.lww.com/PCC/C500). After reviewing the final

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# TABLE 1.

## Criteria Used to Prioritize Research Topics for Anticoagulation and Hemostasis Management in Neonates and Children on Extracorporeal Membrane Oxygenation Support

Criterion	Weight	
Cost (how much would the research cost?)	0.2	
Maximum impact on the burden of disease (what is the theoretical potential of the research to lessen disease burden?)	0.15	
Deliverability (how well would research result in interventions that can be delivered to the target population?)	0.15	
Effectiveness (how likely is it that research would result in effective intervention(s)?)	0.1	
Answerability (how likely is it that the research question(s) are answerable?)	0.1	
Equity (will the research result in interventions that would be preferentially available to privileged individuals, thus increasing health inequity?)	0.1	
Ethical aspects (will the research raise ethical concerns?)	0.1	
Fundability (what is the likelihood that the research will be funded?)	0.1	
Criteria and definitions are derived from the Child Health and Nutrition Research Initiative framework. Weights represent the relative importance of each criterion toward prioritizing research topics based on a web-based survey of expert panel members		

list, research priorities were categorized into one of five domains: 1) definitions and outcomes, 2) therapeutics (medications or blood products), 3) anticoagulant monitoring, 4) protocolized management of anticoagulation and hemostasis, and 5) impact of the ECMO circuit and its components on hemostasis. In addition to research priorities, three overarching good practice statements were generated to help guide research in the field (4).

## **Good Practice Statements**

- Clinical research studies of ECMO should include the ECMO circuit components and configurations that were used. 96% Agreement (n = 47), median 9, interquartile range (IQR) 8–9.
- 2. Clinical research studies of ECMO anticoagulation should report details on pump and membrane lung technology, circuit type and coating, connectors, and cannulation techniques. 95% Agreement (n = 44), median 9, IQR 8–9.
- 3. Research studies of ECMO anticoagulation should document anticoagulation monitoring details, including assay methodology (reagent and analyzer/coagulometer used) and reference ranges used, to compare results across studies. 98% Agreement (n = 44), median 9, IQR 7.25–9.

# DISCUSSION

## **Definitions and Outcomes**

A total of five research topics fell under the domain of "definitions and outcomes." The overall highest-ranked research topic was the development, validation, and implementation of standardized bleeding and thrombosis risk assessment tools and definitions for bleeding and thrombosis outcomes. These tools are foundational to consistency of reporting across clinical studies of pediatric ECMO anticoagulation and hemostasis (9, 11, 13 16). Challenges of ECMO research unique to pediatric patients include a small number of patients in each individual center and a high degree of patient heterogeneity (2, 17). These challenges necessitate multicenter studies, the design of which is currently hindered by the lack of standardized risk assessment tools and definitions of bleeding and clotting outcomes. Because of developmental changes in hemostasis over the pediatric age range and smaller intravascular volumes relative to circuit surface area and circuit blood volumes compared with adults, risks of bleeding and clotting are different for pediatric ECMO patients, and data cannot be confidently extrapolated from adult studies. At the same time, a greater array of diagnoses and indications for ECMO in pediatric patients leads to multiple sub-populations that likely have differential bleeding and thrombosis risk and may demonstrate differential treatment effects. Pursuit of evidence from randomized controlled trials will be limited by cost and feasibility given the small sample sizes in individual centers and the larger sample sizes required to account

# TABLE 2.

## Pediatric Extracorporeal Membrane Oxygenation Anticoagulation Collaborative Consensus Conference Research Priorities

Research Topic	Domain	Weighted Score
The development, validation, and implementation of standardized bleeding and thrombosis risk assessment tools and definitions for bleeding and thrombotic complications incorporating variability introduced by developmental hemostasis	Definitions and outcomes	82
Studies comparing unfractionated heparin to: 1) direct thrombin inhibitors and 2) unfractionated heparin plus adjunctive agents to determine the optimal anticoagulation strategy in the pediatric ECMO population. Algorithms should use standardized practice protocols and uniform definitions relating to management, monitoring, and outcomes and incorporate variability expected from developmental hemostasis	Therapeutics (medications or blood products)	81
Studies to determine whether multi-assay monitoring strategies are superior to single-assay monitoring for the prevention of bleeding and thrombosis in pediatric ECMO patients anticoagulated with either heparin or direct thrombin inhibitors	Anticoagulant monitoring	79.75
Studies to evaluate the clinical utility of the available monitoring assays for predicting bleeding and thrombosis in pediatric ECMO patients anticoagulated with either heparin or direct thrombin inhibitors, including evaluation of substances that may interfere with chromogenic and/or optical laboratory assays	Anticoagulant monitoring	77.5
Studies to examine thresholds for RBC, plasma, platelet, and cryoprecipitate transfusions in children supported by ECMO. Specific questions may include: the benefit of patient- specific thresholds that account for patient age, diagnosis, and the trajectory of their illness; whether thresholds incorporating physiologic indications such as measures of oxygen delivery, platelet function, and/or viscoelastic testing are superior to thresholds based on single numbers such as platelet count or hemoglobin alone	Therapeutics (medications or blood products)	77
Studies to determine whether a protocolized approach (anticoagulation management, fibrinolytics) to the management of thrombotic complications during ECMO support improves outcomes in pediatric ECMO patients	Protocolized management	77
Pharmacologic studies, including pharmacokinetic and pharmacodynamic studies, of anticoagulant, antifibrinolytic, and hemostatic medications to ensure efficacy and safety in the pediatric ECMO population	Therapeutics (medications or blood products)	76.5
Implementation studies to determine strategies to improve the capture of procedures, complications, and utilization of periprocedural protocols in data registries	Definitions and outcomes	75.5
Studies to identify the temporal relationships between days on ECMO and intracranial hemorrhage and/or thrombosis/ischemia in neonates, infants, and children and to determine optimum neuromonitoring/screening approaches (including but not limited to electroencephalogram, near infra-red spectroscopy, head ultrasound, head CT) to improve clinical outcomes	Definitions and outcomes	74
Studies to identify optimal strategies for monitoring and replacement of: 1) antithrombin and 2) fibrinogen in pediatric ECMO patients	Therapeutics (medications or blood products)	73.5
The development, validation, and implementation of protocols for procedural bleeding control in synergy with bleeding management algorithms for pediatric ECMO patients	Protocolized management	73.5
Studies comparing different hemostatic agents and bleeding management algorithms, including indications to reduce anticoagulant medications, blood product transfusion, and adjunct hemostatic agents (including topical agents, antifibrinolytics, factor VIIa, prothrombin complex concentrates as well as novel therapies). This requires data comparing different agents/algorithms/protocols using standardized practice protocols, pharmacologic data to guide dosing, and uniform definitions for indications, management, monitoring, and outcomes	Protocolized management	72 (Continued)

(Continued)

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# TABLE 2. (Continued)

## Pediatric Extracorporeal Membrane Oxygenation Anticoagulation Collaborative Consensus Conference Research Priorities

Research Topic	Domain	Weighted Score
Studies to determine the impact of anticoagulation strategies on red cell damage and subsequent hemolysis with a focus on the specific threshold levels of hemolysis that correlate with morbidity or mortality in pediatric ECMO patients	Definitions and outcomes	71.5
Studies to determine the extent to which bleeding and thrombotic risks differ based on type(s) of invasive or operative procedures immediately preceding ECMO or while on ECMO support in pediatric patients	Definitions and outcomes	71.25
Studies to refine the appropriate volume and dosing of RBCs, plasma, platelets, cryoprecipitate, and/or whole blood transfused to children supported by ECMO that minimizes the associated risks and maximizes the efficacy	Therapeutics (medications or blood products)	71
Studies to determine the influence of specific pump and oxygenator technologies, circuit configurations, and/or cannulation techniques on patient outcomes related to anticoagulation strategies in pediatric ECMO patients	Impact of ECMO circuit and components on hemostasis	69.5
Studies to determine the effects of different types of biocompatible surface coatings and/or approaches to regional anticoagulation on patient outcomes in pediatric ECMO patients	Impact of ECMO circuit and components on hemostasis	69.5
Studies to evaluate: 1) indications for decreasing or temporarily ceasing anticoagulation around major procedures and 2) the efficacy of prophylactic antifibrinolytic medications around major procedures in pediatric ECMO patients	Therapeutics (medications or blood products)	69.5
Studies to determine whether individualized therapeutic laboratory ranges based on specific clinical conditions are superior to a single, "one-size-fits-all" range in preventing bleeding and thrombosis in pediatric ECMO patients anticoagulated with either heparin or direct thrombin inhibitors	Anticoagulant monitoring	68.5
Studies to determine the impact of adjuvant therapies and/or devices, including various renal replacement or fluid removal technologies, on outcomes related to anticoagulation strategies in pediatric ECMO patients	Impact of ECMO circuit and components on hemostasis	67.5

ECMO = extracorporeal membrane oxygenation.

for patient heterogeneity (17). Thus, it is likely that multicenter observational comparative effectiveness studies will inform clinical practice until randomized controlled trials can be performed. Validated risk adjustment tools are essential to mitigate confounding and estimate causal inference in observational studies, whereas objective definitions of bleeding and thrombosis are essential to evaluate outcomes attributable to hemostasis management across multiple centers.

Defining interventional procedures and identifying risks of bleeding or thrombosis associated with individual procedures represented two additional research priorities (12, 13). Descriptions of major procedure versus minor procedure are highly variable and there are currently no standardized definitions in this population (18–21). These definitions are necessary to identify differential bleeding risks among procedure categories, to interpret observational data across multiple centers, and to develop and evaluate risk-based hemostasis interventions for postoperative pediatric ECMO patients and those undergoing invasive procedures while on ECMO.

Two research priorities involved thresholds to predict the risk of adverse outcomes. Relationships between RBC hemolysis and resultant cell-free hemoglobin and clinical outcomes in pediatric ECMO patients remain uncertain (22–31). In multiple studies, hemolysis is associated with renal dysfunction and other adverse outcomes. However, current studies are limited by retrospective study designs that often lack

enough granularity to establish temporal relationships between measures of hemolysis and outcomes (24, 29, 30, 32). Because hemolysis could be a potential cause or result of renal dysfunction, establishing these temporal relationships is important. Likewise, because of differences in available assays and reporting across centers, establishing thresholds of cell-free hemoglobin applicable to multiple centers has not been possible and challenges multicenter clinical study design (24, 33).

Lastly, establishing temporal patterns of intracranial bleeding and thrombotic complications is necessary to employ risk-based neuromonitoring protocols to maximize clinical benefit. Although multiple neuromonitoring modalities are incorporated into clinical care, very little evidence exists to optimize neuromonitoring strategies in pediatric ECMO patients (13). This can be particularly problematic for neonatal patients who are at high risk for intracranial complications and often have less reliable neurologic exams due to the sedation required to maintain cannula position and circuit flow. Data to guide neuromonitoring strategies that incorporate differential risks of intracranial complications between different patients and within the same patients over time are needed.

## Impact of the ECMO Circuit and Components on Hemostasis

Three research topics fell under the domain of the ECMO circuit and components. ECMO circuit technology continues to evolve in ways that are expected to impact anticoagulation and hemostasis management. Examples include biocompatible coatings on circuits designed to decrease immunogenicity and thrombogenicity; ECMO pumps designed for lower flow rates which decrease shear stress on blood cells; and decreases in or elimination of connection points that serve as areas of turbulent blood flow and niduses of clot formation (28). Given these changes, it is expected that optimal anticoagulation therapeutic targets could also change, but studies evaluating anticoagulation in the context of different circuit components are lacking (6). Importantly, one of the key limitations in the reviewed literature was a lack of description of ECMO circuit components and configurations in most studies of ECMO anticoagulation and hemostasis (6). Lacking these details, it is challenging to synthesize anticoagulation/hemostasis data from multiple studies, and it is

impossible to estimate the influence of different circuit components and modifications on hemostasis and related outcomes in the clinical setting. Such data are essential for both clinical care and research protocols evaluating anticoagulation strategies.

An intriguing area of future development involves the provision of regional anticoagulation and/or the development of biocompatible surface coatings that would not require systemic anticoagulation. The ability to sustain ECMO circuits in pediatric patients in the absence of systemic anticoagulation without increasing the risk of patient or circuit thrombosis would be a great advance for the field. Lastly, pediatric ECMO patients often suffer organ dysfunction that requires additional support or devices to be added to the ECMO circuit. Examples include renal replacement therapy in the setting of renal dysfunction and plasmapheresis in the setting of thrombocytopenia-associated multiple organ failure. Optimal device combinations and configurations for these additional therapies, while children are on ECMO support, are unknown.

## Therapeutics, Including Medications, and Blood Product Transfusion

Central to the provision of systemic anticoagulation for pediatric ECMO patients is the decision of which medication to use. Historically, unfractionated heparin has been the mainstay of ECMO anticoagulation (7). Recently, direct thrombin inhibitors have been used either in the setting of heparin resistance or as an alternate first-line agent (34–42). Prospective head-to-head comparisons between heparin and direct thrombin inhibitors are lacking and no randomized controlled trial data are available to guide anticoagulant medication choice in pediatric ECMO patients.

Nearly all pediatric ECMO patients receive blood product transfusions, with reported average RBC, platelet, and plasma transfusion volumes of 30 mL/ kg, 17 mL/kg, and 16 mL/kg, respectively, for each day on ECMO support (43, 44). In multiple studies of critically ill children, including those on ECMO support, blood product transfusion is associated with adverse outcomes (1, 43, 45). Although some transfusion is likely beneficial, unnecessary transfusion is harmful. However, high-quality evidence to define necessary versus unnecessary transfusions is lacking. Observational studies are confounded by indication

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bias, and it is difficult to estimate the true impact of different transfusion strategies on patient outcomes. Outside of the neonatal age group, there are no randomized control trial data available. Given the very high frequency of blood product transfusion in pediatric ECMO patients and associated adverse effects, interventional studies to define optimal transfusion indications in this population are needed (9).

Wide practice variation exists in monitoring for and replacing antithrombin (1, 46). Antithrombin is necessary for heparin to exert an anticoagulant effect. Pediatric ECMO patients, particularly neonates, often have low antithrombin levels and it is tempting to conclude that replacing antithrombin would lead to greater heparin efficacy and improved anticoagulation management. However, it is unknown whether optimal strategies would include routine monitoring and replacement of antithrombin, replacement only in the setting of heparin resistance, or no replacement at all. Results of observational studies are mixed, with some studies suggesting benefits associated with antithrombin replacement and others suggesting harm (47-55). No randomized controlled trial data exist for pediatric patients, and the risks versus benefits of antithrombin replacement in pediatric ECMO are largely unknown.

Pediatric ECMO patients are treated with multiple medications, with a recent single-center study documenting a median cumulative drug exposure of over 30 different medications at five weeks following ECMO cannulation (56). For many of these medications, there is insufficient data to understand pharmacokinetics, pharmacodynamics, and optimal drug dosing (57). Pharmacokinetics in pediatric ECMO patients can be highly variable due to drug binding to circuit components, fluid shifts and changes in the volume of distribution, blood loss and high volume of blood product transfusions, and changes in underlying organ function (58-62). For many drugs, therapeutic monitoring is not widely available. Even with the wider availability of therapeutic drug monitoring, serial blood draws to monitor multiple medications and to adapt dosing over time as changes in organ function, circuit saturation, and volume of distribution occur would be limited by small pediatric blood volumes. Innovative methods to estimate the pharmacokinetic and pharmacodynamic effects of the ECMO circuit and components and physiologic changes in ECMO patients over time are needed to guide optimal drug dosing (58, 62).

#### **Anticoagulant Monitoring**

Optimal approaches to anticoagulant monitoring are required for both clinical care and research. Which monitoring assays, alone or in combination, and optimal therapeutic targets to minimize bleeding and thrombotic complications to improve patient outcomes after ECMO support are unknown (8). No randomized controlled trial data are available to inform optimal anticoagulant monitoring strategies in pediatric ECMO patients. Observational studies are limited by insufficient details about monitoring assays used, limited data on preanalytic variables, different reference ranges and therapeutic targets, and different definitions of bleeding and clotting outcomes. The complexity of hemostasis in pediatric ECMO patients due to interaction with the ECMO circuit as well as coagulopathy associated with underlying diagnoses and critical illness lends credibility to multimodal monitoring strategies, incorporating measures of both anticoagulant effect and underlying patient hemostasis. However, the potential benefits of comprehensive laboratory monitoring must be balanced against the risks of high-volume blood draws in small pediatric patients and the contribution of blood sampling to acute blood loss and transfusion requirements in pediatric ECMO patients (1). Local availability of timely results of specialized assays may also be limited by the small number of patients at risk in most pediatric ECMO centers.

Therapeutic thresholds for anticoagulant monitoring assays are often derived from non-ECMO populations and extrapolated from adult data. Whether these thresholds are optimal for pediatric ECMO patients is unknown. Similarly, optimal strategies to adjust anticoagulant monitoring thresholds based on different patient ages, individualized risk of bleeding or thrombosis due to underlying pathophysiology, or different ECMO circuitry are unknown. Lastly, the exact effects of interfering substances, such as triglycerides, bilirubin, or plasma-free hemoglobin, and other preanalytic factors on anticoagulant monitoring assays are uncertain which further impedes the clinician's ability to optimally manage anticoagulation in pediatric ECMO patients.

#### Protocolized Management

Protocolized management is often employed for complex anticoagulation management including during

the management of critically ill children supported with ECMO (35, 55, 63-70). Advantages of protocolized management include consistency in clinical care; reduction in the cognitive load of frequent decisions for complex, high-risk patients; small total patient numbers in most centers such that institutional expertise is difficult to maintain across all providers; and the multidisciplinary nature of ECMO care (55, 63, 70). Although there has been some success in pediatric cardiac surgical protocolization of anticoagulation regimens (71), recent studies from adult ICUs suggest that the presence of protocols alone may not be beneficial (72, 73). Development and implementation of more successful protocols may benefit from nuanced approaches that combine learning healthcare systems with institutional experience resulting in "smarter protocols" that adapt over time as new information is learned.

# CONCLUSIONS

We present the Research Priorities identified by the PEACE Expert Panel after a systematic review of existing evidence informing clinical care of neonates and children managed with ECMO. More research is required within the five identified domains (definitions and outcomes, therapeutics, anticoagulant monitoring, protocolized management, and impact of the ECMO circuit and its components on hemostasis) to ultimately inform and improve the care of this vulnerable population.

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Pediatric Extracorporeal Membrane Oxygenation Anticoagulation Collaborative (PEACE) members are listed in **Appendix 1** (http://links.lww.com/PCC/C500).

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