

# Clinical review

## *Science, medicine, and the future*

### Cancer chemoprevention

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Chemopreventive agents show promise for preventing and reversing cancer development

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Chemoprevention of cancer aims to prevent, arrest, or reverse either the initiation phase of carcinogenesis or the progression of neoplastic cells to cancer. It has been an active area of research for several decades; the use of retinoids to prevent cancer of the head and neck is a notable example.<sup>1</sup> Chemoprevention is widely used and readily accepted by doctors and patients in the form of drugs that lower cholesterol concentrations and blood pressure to reduce the risk of cardiovascular disease. It can also be used in some apparently healthy people at risk of cancer to prevent or reduce their risk of developing invasive disease. The biomedical community needs to recognise and advocate approaches to prevent cancer with the same enthusiasm that it currently directs towards treating it.

#### Methods

I searched the databases PubMed and CANCERLIT for the period from 1 January 1996 to 31 July 2001 using the key words “chemoprevention” and “neoplasms.” I used recent reviews identified by these searches, plus several archived journal articles and textbooks on chemoprevention available at the US National Library of Medicine, to develop an overview of cancer chemoprevention.

#### Identifying suitable chemopreventive agents

Research into chemoprevention uses a systematic strategy that begins by surveying the results of epidemiological, laboratory, and clinical research for compounds, both naturally occurring and synthetic, that seem to inhibit carcinogenesis. Many compounds, belonging to diverse structural and functional chemical classes, have been identified as potential chemopreventive agents. These include vitamins and minerals (such as folate, vitamin E, vitamin D, calcium, and selenium); naturally occurring phytochemicals (such as curcumin, genistein, indole-3-carbinol, and L-perillyl alcohol); and synthetic compounds (such as retinoids, selective oestrogen receptor modulators, and cyclooxygenase-2 inhibitors) (see table A on bmj.com). Several of these potential agents have been investigated in studies of chemoprevention of colorectal cancer.<sup>2</sup> Chemopreventive agents might reduce the cancer risk

#### Summary points

Cancer is a multistage disease, not a single event, and doctors should emphasise cancer prevention in addition to cancer treatment and cure

Chemoprevention with naturally occurring (many dietary) and synthetic agents shows promise for preventing, arresting, and reversing cancer development

Chemopreventive agents must have low toxicities compared with chemotherapeutic agents used in cancer patients

Physicians should identify patients at high risk of cancer who might benefit from participation in chemoprevention trials

Validation of surrogate endpoint biomarkers for clinical cancer is essential to reduce size and duration of chemoprevention trials

through various mechanisms and different stages of carcinogenesis (fig 1).<sup>3-4</sup>

#### Evidence from epidemiological and laboratory studies

Epidemiological studies into diet and cancer development are invaluable for giving clues about which dietary components may be effective chemopreventive agents.<sup>5</sup> One review of more than 250 case-control and cohort studies found that data overwhelmingly supported an inverse association between intake of fruit and vegetables and cancer risk, with associations more consistently observed for vegetables than for fruit.<sup>5</sup> Numerous components found in fruit and vegetables might contribute to their ability to reduce the risk of cancer, including dietary fibre, micronutrients, and various phytochemicals, as well as interactions among the components.

Plant derived foods contain thousands of chemically dissimilar phytochemicals, many of which have been investigated in studies in vitro and in vivo to determine their effects on cancer risk and their related



Extra tables appear on bmj.com

mechanisms of action.<sup>7-9</sup> In one study, for example, diallyl sulphide (found in allium vegetables such as garlic and onion) seemed to suppress cell division in human colon tumour cells by interfering with the cell cycle; cells remained in the inactive G phase instead of moving to the M phase, where mitosis occurs (fig 2).<sup>10</sup> In another example, soybean phytochemicals (such as genistein) may inhibit the growth of prostate tumours through reduced cell proliferation and angiogenesis and increased apoptosis.<sup>11 12</sup>

**Evidence from systematic evaluation of agent classes**  
Chemopreventive agents can be identified by systematic evaluation of classes of agents that act at specific molecular targets, using laboratory assays to characterise their mechanisms of action with respect to cancer. Some agents that are studied by these so called mechanistic assays are signal transduction modulators, hormone modulators, and anti-inflammatories (which inhibit promotion and progression of neoplasia), anti-mutagens (which inhibit initiation), and antioxidants (which inhibit initiation and promotion).<sup>13</sup> Such systematic evaluations can provide additional information on the chemopreventive potential of phytochemicals initially identified through epidemiological and laboratory research.

#### Evidence from cancer treatment

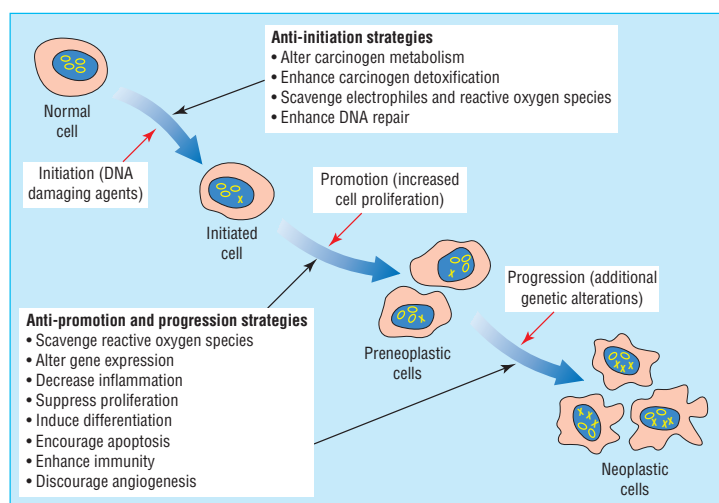
Strategies developed for the treatment of cancer have provided indications for the potential chemopreventive value of certain agents used in treatment—for example, finasteride (a 5- $\alpha$ -reductase inhibitor used to treat benign prostatic hyperplasia) for prostate cancer and tamoxifen (a selective oestrogen receptor modulator) for breast cancer. Finasteride is being tested in a prostate cancer prevention trial in about 18 000 men aged over 55 whose concentrations of prostate specific antigen (PSA) are lower than 3 ng/ml and in whom a digital rectal examination was negative.<sup>14</sup> The trial is designed to determine whether daily doses of finasteride can reduce the incidence of cancer over seven years.

A breast cancer prevention trial was initiated in 1992 in response to data from trials in women with early breast cancer that indicated that treatment with tamoxifen resulted in a significant decrease (40-50%) of contralateral breast cancer.<sup>15</sup> The trial, conducted with more than 13 000 women at increased risk of breast cancer because of age or other risk factors, was unblinded in 1998 when it was found that women who took tamoxifen daily for five years had a 49% reduced risk of breast cancer compared with those taking placebo.<sup>16</sup> An ongoing study of tamoxifen and raloxifene aims to determine whether raloxifene, also a selective oestrogen receptor modulator, is as effective as tamoxifen in reducing the risk of breast cancer in postmenopausal women at high risk.<sup>17</sup>

The effects of agents such as tamoxifen underscore the sometimes vague boundary between prevention and treatment of cancer, an issue complicated by new findings in molecular biology that blur the distinctions between premalignant and malignant lesions.<sup>17</sup>

#### Preclinical testing of suitable agents

The preclinical development of chemopreventive agents includes an initial assessment of their efficacy



**Fig 1** Multistage carcinogenesis: processes and prevention strategies. The initiation stage is characterised by the conversion of a normal cell to an initiated cell in response to DNA damaging agents (genetic damage indicated by an X). The promotion stage is characterised by the transformation of an initiated cell into a population of preneoplastic cells, a result of alterations in gene expression and cell proliferation. The progression stage involves the transformation of the preneoplastic cells to a neoplastic cell population as a result of additional genetic alterations. (Adapted from Hursting et al (1999)<sup>4</sup> with authors' permission)

using in vitro and cell based mechanistic assays and in vivo screens in animal models of carcinogenesis that are representative of human cancers and exhibit pre-cancerous lesions (see table B on bmj.com). The most promising agents are characterised more fully in the animal models to evaluate, for example, dose-response curves, dosing regimens, and combinations with other agents tested.<sup>15</sup> Compounds that show high efficacy and low toxicity in animal studies are considered for testing in humans. Potential chemopreventive agents selected for testing in people at



**Fig 2** Garlic (*Allium sativum*) contains chemicals that suppress cell division in human colon tumour cells by interfering with the cell cycle

high risk of developing cancer must have low toxicities compared with the drugs used to treat existing cancer.

### Clinical chemoprevention trials

Phase I clinical trials are generally conducted in a limited number of healthy subjects. They determine the dose related safety and efficacy of an agent and its pharmacokinetic variables, including absorption, distribution, metabolism, and excretion.

Phase II clinical trials evaluate the efficacy of an agent in a larger group of subjects at high risk of certain cancers. Important objectives include identifying biochemical, genetic, molecular, cellular, or histological biomarkers of cancer that can be used to estimate possible neoplastic progression and determining whether the chemopreventive agent can affect the modulation of the identified biomarker(s).

Phase III clinical trials, conducted either in populations at high risk of specific cancers or in subjects from the general population, are usually randomised, controlled, large scale trials conducted primarily to determine the efficacy of the intervention.<sup>18</sup> The selenium and vitamin E clinical trial, for example, is a phase III trial to test vitamin E and selenium, individually and in combination, in 32 000 middle aged men with normal prostate specific antigen concentrations. The primary end point will be prostate cancer diagnosed by community practices, and the trial is projected to last 12 years, including seven years of intervention and five years of follow up.<sup>19</sup> The Division of Cancer Prevention of the US National Cancer Institute is currently sponsoring more than 65 phase I, II, and III chemoprevention trials (table 1).

Study designs and findings for several phase III trials have been summarised.<sup>20</sup> The outcomes of the  $\alpha$  tocopherol,  $\beta$  carotene cancer prevention study and the  $\beta$  carotene and retinol efficacy trial highlight the difficulty in identifying single dietary components as chemopreventive agents.<sup>21 22</sup> Epidemiological data that linked high intakes of food containing  $\beta$  carotene (such as certain vegetables and fruits) to reduced risk of lung cancer provided strong support for clinical interventions to test the chemopreventive effect of  $\beta$  carotene supplements on the risk of lung cancer. Results from both studies, however, indicated harmful effects for both  $\beta$  carotene (a vitamin A precursor) and retinol (vitamin A) in terms of an increased incidence of lung cancer in cigarette smokers. In contrast, the physicians' health study found no significant evidence of either benefit or harm for cancer from  $\beta$  carotene supplementation.<sup>23</sup> Fruit and vegetables contain numerous potential chemopreventive agents in addition to  $\beta$  carotene, and it is possible that  $\beta$  carotene is simply a marker for other protective dietary components. Such "unsuccessful" trials can, however, provide valuable leads for further research. In the  $\alpha$  tocopherol,  $\beta$  carotene cancer prevention study, for example, 34% fewer cases of prostate cancer and 16% fewer cases of colorectal cancer were diagnosed in men who received vitamin E supplements.<sup>21</sup>

**Table 1** Selected ongoing phase I, II, and III cancer prevention trials sponsored by the US National Cancer Institute

Target organ	Agent
<b>Phase I trials</b>	
Breast	L-Perillyl alcohol
	Selective oestrogen receptor modulators-3 (2 trials)
	Soy isoflavones
Colon	Curcumin
	Ursodiol
Lung	Phenethyl isothiocyanate
	L-Selenomethionine and vitamin E
Prostate	Lycopene (3 trials)
	Soy isoflavones
Skin	Epigallocatechin gallate and polyphenon E
<b>Phase II trials</b>	
Anogenital warts, human papillomavirus, HIV	Indole-3-carbinol
Barrett's oesophagus	Celecoxib
	2-Difluoromethylornithine
Bladder	Celecoxib
Breast	2-Difluoromethylornithine (2 trials)
	Exemestane
	L-Perillyl alcohol
	Selective oestrogen receptor modulators-3 (2 trials)
	Tamoxifen (2 trials)
	Tamoxifen and <i>N</i> -(4-hydroxyphenyl) retinamide (2 trials)
	Targretin
Cervix	9- <i>cis</i> -Retinoic acid
	2-Difluoromethylornithine
	<i>N</i> -(4-hydroxyphenyl) retinamide
Colon	Celecoxib (2 trials)
	Celecoxib and 2-difluoromethylornithine
	2-Difluoromethylornithine and sulindac
	Folic acid
	Vitamin D and calcium
Endometrium	Medroxyprogesterone v depo-provera
Liver	Oltipraz
Lung	Anetholetrithione
	Budesonide
Mouth	Celecoxib
	<i>N</i> -(4-hydroxyphenyl) retinamide
Ovary	<i>N</i> -(4-hydroxyphenyl) retinamide and oral contraceptive
Prostate	Celecoxib
	2-Difluoromethylornithine
	2-Difluoromethylornithine and casodex
	Flutamide
	Flutamide and luprolide
	Flutamide and toremifene
	<i>N</i> -(4-hydroxyphenyl) retinamide
	Selenised yeast
	Soy (dietary)
	Soy isoflavones
	Vitamin D analogue
Skin	Celecoxib
	Polyphenon E (wartheal)
	Sulindac
<b>Phase III trials</b>	
Bladder	2-Difluoromethylornithine
	<i>N</i> -(4-hydroxyphenyl) retinamide
Breast	Raloxifene and tamoxifen
Colon	Celecoxib
Oesophagus	L-Selenomethionine and celecoxib
Prostate	Finasteride
	Selenomethionine
	Selenium and vitamin E
Skin	2-Difluoromethylornithine
	<i>N</i> -(4-hydroxyphenyl) retinamide

## Biomarkers as surrogate end points in clinical chemoprevention trials

Considerable research is currently focused on identifying biomarkers as surrogate end points in place of overt cancer in cancer chemoprevention trials. Cancer is a comparatively infrequent event, and clinically overt cancer usually takes many years to develop. Clinical trials to test the effectiveness of chemopreventive agents therefore require large study populations and a long term commitment of resources. The availability of biomarkers as surrogate end points for clinical disease would allow smaller trials of shorter duration, facilitating clinical research into chemoprevention.

Acceptable biomarkers for cancer must be reliable (repeatable), highly sensitive and specific, quantitative, readily obtained by non-invasive methods, part of the causal pathway for disease, capable of being modulated by the chemopreventive agent, and have high predictive value for clinical disease.<sup>24</sup> Table 2 shows examples of potential biomarkers that are being evaluated as surrogate end points in phase II and III trials sponsored by the National Cancer Institute's Division of Cancer Prevention. The use of presurgical models, in which a chemopreventive agent is administered for several weeks before surgery, is an innovative approach to identifying possible biomarkers and evaluating the effects of agents on these. For example, phase II trials of finasteride and lycopene have been conducted in patients before they had radical prostatectomies, and patients with early breast cancer are being recruited to a presurgical intervention with tamoxifen and fenretinide.<sup>25-27</sup> Finasteride did not exhibit any chemopreventive effect on potential biomarkers in prostate tissue at the dose given.<sup>25</sup> Lycopene, however, significantly reduced the extent of diffuse high grade prostatic intraepithelial neoplasia.<sup>26</sup>

No biomarkers have yet been validated as surrogate end points for cancer. Research is focusing on intraepithelial neoplasia, a premalignant condition exemplified by colorectal adenomas, prostatic intraepi-

thelial neoplasia, and cervical intraepithelial neoplasia. Intraepithelial neoplasia and its associated genetic and molecular changes are currently considered to provide the best opportunities for validating surrogate endpoint biomarkers in epithelial tissues.<sup>24</sup> The National Cancer Institute's early detection research network was established to accelerate the development and validation of biomarkers for evaluating cancer risk and detecting premalignancy. The network links centres of expertise from academia and industry and includes a centre for data management and coordination that will develop a common database for network research.

## Chemoprevention and medical practice

The medical community can play an important part in cancer prevention by recognising the multistage nature of cancer development, making all patients aware of factors that increase cancer risk and ways to reduce risk, and identifying patients at high risk of cancer who might benefit from chemopreventive interventions. Primary care doctors should evaluate cancer risk even for people who seem healthy. A woman's risk of invasive breast cancer, for example, can be calculated by using the breast cancer assessment tool found at <http://bcra.nci.nih.gov/brc/questions.htm>. Similar assessment tools are not yet available for other cancers, but risk factors for various cancers are outlined at [www.cancer.org](http://www.cancer.org) and provide some basis for assessing a patient's degree of risk for a particular cancer. Although this approach needs refinement, it allows doctors to develop an individual risk profile for cancer that may help guide preventive interventions, such as chemoprevention, and motivate patients to change their behaviour.

Competing interests: None declared.

- 1 Khuri FR, Lippman SM, Spitz MR, Lotan R, Hong WK. Molecular epidemiology and retinoid chemoprevention of head and neck cancer. *J Natl Cancer Inst* 1997;89:199-211.

**Table 2** Potential surrogate end points being evaluated in phase II and III chemoprevention trials sponsored by the National Cancer Institute (adapted from Kelloff et al (2000)<sup>21</sup>)

Cancer site	End points		
	Primary	Histopathology	Other
Prostate	Prevention or regression of prostatic intraepithelial neoplasia	Nuclear morphometry (nuclear texture and shape), size and No of nucleoli, DNA ploidy	Proliferation (MIB-1, PCNA), apoptosis (No of apoptotic bodies, transglutaminase, bcl-2 gene), differentiation Lewis <sup>x</sup> antigen, androgen receptor expression), cell regulatory molecules (c-erbB-2 gene, TGF $\beta$ , p53 gene), invasion or metastasis (angiogenesis, PSA)
Breast	Prevention or regression of hyperplasia or ductal carcinoma in situ	Mammographic density, nuclear morphometry, DNA ploidy	Proliferation (MIB-1, PCNA), apoptosis (bcl-2), differentiation (sialyl Tn-antigen), cell regulatory molecules (oestrogen receptor, EGFR, c-erbB-2, IGF-1, p53)
Colon	Prevention of colorectal adenoma	Nuclear morphometry (nuclear texture and shape), size and No of nucleoli, DNA ploidy	Proliferation (BrdU uptake, MIB-1, PCNA, ratio of proliferation to apoptosis), apoptosis (apoptotic bodies by confocal laser microscopy, TUNEL assay), differentiation (Lewis <sup>x</sup> antigen, sialyl Tn-antigen, apomucins), cell regulatory molecules (p53)
Lung	Regression of bronchial dysplasia	Nuclear morphometry (pleomorphism), DNA ploidy	Proliferation (PCNA), apoptosis (bcl-2), cell regulatory molecules (telomerase, EGFR, p53)
Bladder	Prevention of new tumour	DNA ploidy	Proliferation (PCNA), differentiation (G-actin), cell regulatory molecules (EGFR)
Head and neck	Prevention or regression of dysplastic lesion	DNA ploidy	Proliferation (MIB-1, PCNA), cell regulatory molecules (EGFR, c-erbB-2, TGF $\alpha$ , TGF $\beta$ )
Cervix	Regression of cervical intraepithelial neoplasia	Nuclear morphometry (pleomorphism, DNA content), DNA ploidy	Proliferation (PCNA), differentiation (keratins), cell regulatory molecules (EGFR, ras gene expressions or mutations)
Oesophagus	Prevention or regression of Barrett's dysplasia	Nuclear morphometry (pleomorphism, DNA content), nuclear morphometry (size and No of nucleoli), DNA ploidy	Proliferation (MIB-1, PCNA), apoptosis, cell regulatory molecules (EGFR, p53)
Skin	Prevention or regression of actinic keratosis	No data	Proliferation (PCNA, ODC activity), cell regulatory molecules (EGFR, TGF $\beta$ , p53)

MIB-1=monoclonal antibody, PCNA=proliferating cell nuclear antigen, TGF=transforming growth factor, PSA=prostate specific antigen, EGFR=epidermal growth factor receptor, IGF-1=insulin-like growth factor 1, BrdU=5-bromodeoxyuridine, TUNEL=terminal deoxynucleotidyl transferase-mediated dUTP-biotin nick end labelling, ODC=ornithine decarboxylase.



### Additional educational resources

#### Journal articles

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#### Websites

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- National Cancer Institute's comprehensive clinical trials database ([www.cancer.gov/clinical\\_trials/](http://www.cancer.gov/clinical_trials/)). Includes information on cancer chemoprevention trials (accessed 15 Mar 2002)
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### A patient who changed my practice His own way

I was looking forward to my brother's wedding—at last he was settling down, and it was a chance to see my family, including my grandfather. It was a bright, sunny September morning, but Grandad did not look right—a bit pale and short of breath. After a few puffs of his glyceryl trinitrate spray, however, he felt better. The ceremony went without a hitch, but I sat next to Grandad to make sure he was all right. Then the photographs were taken—everyone was happy and laughing, and Grandad was back to his normal ebullient self. We walked up the slope to the car park when he almost collapsed and had to be supported by my husband and a friend of my brother. We gave him an aspirin and more glyceryl trinitrate while we waited for the ambulance to arrive.

He looked better that evening when we visited him on the coronary care unit, and, as I was on call the next day, we said our goodbyes and went home. At 10.30 pm the consultant cardiologist telephoned me: "Your grandfather has had a myocardial infarction, and now he is in cardiogenic shock with renal failure." As the only medic in the family, I was asked for my opinion on further management. No, I did not think that, at 83

years old, he should be resuscitated if he arrested, but should he have a central line and inotropes? I wavered—I knew it was probably hopeless, but I wanted him to live and I felt guilty that I had not realised what was going on earlier. Then it occurred to me—what did my grandfather want? He was always a man to know his own mind. He had not been asked, but when the consultant explained it all to him he chose to be left alone—he died two hours later.

Sudden death, whatever the patient's age, is always difficult to cope with. But what gave me and my family the most comfort was the fact that he did it his way—and I believe he knew he was dying that day anyway. When making decisions in these situations, we often shy away from asking the patients directly what their wishes are for fear of distressing them and because it is difficult for us—instead, we place the onus on their family. However, I now believe that, if possible, we should talk to the patients rather than their relatives, who will be grateful in the long term.

Ginny Bowbrick *specialist registrar in general surgery, London*