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**Corticostriatal ensemble dynamics across heroin self-administration to reinstatement**

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35 **ABSTRACT**

36 Corticostriatal projection neurons from prelimbic medial prefrontal cortex to the nucleus  
37 accumbens core critically regulate drug-seeking behaviors, yet the underlying encoding  
38 dynamics whereby these neurons contribute to drug seeking remain elusive. Here we use two-  
39 photon calcium imaging to visualize the activity of corticostriatal neurons in mice from the  
40 onset of heroin use to relapse. We find that the activity of these neurons is highly  
41 heterogeneous during heroin self-administration and seeking, with at least 8 distinct neuronal  
42 ensembles that display both excitatory and inhibitory encoding dynamics. These neuronal  
43 ensembles are particularly apparent during relapse, where excitatory responses are amplified  
44 compared to heroin self-administration. Moreover, we find that optogenetic inhibition of  
45 corticostriatal projection neurons attenuates heroin seeking regardless of the relapse trigger.  
46 Our results reveal the precise corticostriatal activity dynamics underlying drug-seeking  
47 behaviors and support a key role for this circuit in mediating relapse to drug seeking.

48

49 **INTRODUCTION**

50 Substance use disorder is a chronically relapsing disorder, characterized by long  
51 lasting neurobiological adaptations in brain regions that encode reward<sup>1</sup>. One hallmark of  
52 addiction is dysregulated prefrontal cortex activity that manifests as hypoactivity at baseline,  
53 and hyperactivity in response to drug-associated cues<sup>2,3</sup>. Despite clear evidence that  
54 substance abuse results in aberrant prefrontal cortex activity, leading to impaired behavioral  
55 inhibition of maladaptive drug-seeking<sup>2</sup>, we currently lack an effective treatment strategy to  
56 target the neurobiological adaptations to substance abuse within the prefrontal cortex and  
57 prevent relapse in the long-term.

58 The extreme heterogeneity of activity dynamics, gene expression, projection targets,  
59 and afferent connectivity that exists within the prefrontal cortex means it is a challenging brain  
60 region to study and target. For example, there is now converging evidence from human and  
61 preclinical studies that supports a critical role for the corticostriatal circuit connecting the  
62 prelimbic medial prefrontal cortex (PrL) projections to the nucleus accumbens core (NAcc) in  
63 drug seeking<sup>4-14</sup>. However, even within circuit-specific projection neurons there is  
64 heterogeneity of activity dynamics<sup>15</sup> and cell types<sup>16,17</sup> making it difficult to decipher the  
65 necessary components of prefrontal cortex activity that are required to initiate and maintain  
66 drug seeking. To date, no studies have investigated the precise activity dynamics of the  
67 neuronal ensembles within the corticostriatal projection neurons during drug seeking,  
68 representing a vital gap in our understanding of how activity in this circuit contributes to drug  
69 seeking. To address this issue, here we use a newly developed head-fixed model of heroin  
70 self-administration in mice<sup>18,19</sup>, enabling simultaneous two-photon calcium imaging of  
71 PrL→NAcc neurons from the onset of heroin self-administration through reinstatement (a  
72 model of relapse).

## 73 **RESULTS**

### 74 **Optogenetic inhibition of PrL→NAcc circuit prevents cue-, drug- and stress-induced** 75 **heroin seeking.**

76 Previous studies have demonstrated glutamatergic PrL→NAcc projections are  
77 necessary for cue- and drug-induced reinstatement of heroin seeking<sup>7,8</sup>. However, these  
78 studies were performed in freely moving rodents, and whether this circuit is required for heroin  
79 seeking in a head-fixed model of heroin self-administration has not been tested. Moreover,  
80 whether PrL→NAcc projection neurons are required for stress-induced heroin seeking is  
81 unknown. Therefore, we used optogenetics to inhibit PrL→NAcc neuronal activity during cue-  
82 , drug- and stress-induced heroin seeking using our previously reported model of head-fixed  
83 heroin self-administration<sup>18,19</sup>. Firstly, we trained head-fixed mice (Fig. 1A) to press an active,  
84 but not inactive, lever for presentation of an auditory cue preceding subsequent delivery of  
85 heroin (Fig. 1B). Total active lever presses were used as an index of drug seeking, and goal-  
86 directed behavior assessed by lever discrimination (active vs inactive lever presses). Mice  
87 underwent 14 days of heroin self-administration (Fig. 1C) followed immediately by 10 days of  
88 extinction training, wherein active lever presses no longer resulted in heroin or cue delivery  
89 resulting in an attenuation of active lever pressing compared to early extinction (Fig. 1D). To  
90 acutely inhibit PrL→NAcc projection neurons, we delivered a retrogradely-trafficked virus  
91 encoding Cre-recombinase (rgAAV2-CAG-Cre) bilaterally into the NAcc and a Cre-dependent  
92 virus encoding halorhodopsin (AAV5-DIO-Ef1α-eNpHR3.0-eYFP) or control enhanced yellow  
93 fluorescent protein (AAV5-ef1α-DIO-eYFP) into PrL, with optical fibers implanted dorsal to PrL  
94 (Fig. 1E-F, Fig. S1A). To model “relapse” to drug seeking, after self-administration and  
95 extinction mice underwent reinstatement testing where they were re-exposed to the heroin-  
96 associated auditory cue (cue-reinstatement), given a priming injection of heroin (1 mg/kg, ip;  
97 drug-reinstatement), or exposed to predator odor (15 min pre-session 2,5-dihydro-2,4,5-  
98 trimethylthiazoline, TMT; stress-reinstatement). Optogenetic inhibition of the PrL→NAcc  
99 projection neurons during cue, drug- and stress-induced reinstatement tests abolished active  
100 lever pressing (Fig. 1G), with no differences observed between halorhodopsin and eYFP  
101 groups under laser off conditions (Fig S1B), or in inactive lever pressing rates (Fig S1C-D).  
102 Therefore, our results suggest that PrL→NAcc projection neurons are necessary for cue-,  
103 drug- and stress-induced reinstatement of heroin seeking. However, the precise activity  
104 dynamics of the PrL→NAcc projection neurons required for reinstatement of heroin seeking  
105 remain unknown.

### 106 **PrL→NAcc neurons display heterogenous activity dynamics across heroin self-** 107 **administration.**

108 To assess PrL→NAcc neuronal activity dynamics across heroin self-administration and  
109 seeking, we combined our head-fixed self-administration assay with two-photon calcium  
110 imaging. We injected a retrogradely trafficked virus encoding Cre-recombinase (rgAAV2-CAG-  
111 Cre) bilaterally into the NAcc and a Cre-dependent virus encoding a calcium indicator (AAVdj-  
112 Ef1a-DIO-GCaMP6m) into the PrL, before implanting a gradient index (GRIN) lens dorsal to  
113 PrL (Fig. 2A). This allowed longitudinal imaging of individual GCaMP6m expressing  
114 PrL→NAcc neurons as mice underwent heroin self-administration (Fig 2B-D). Two-photon  
115 calcium imaging occurred during early (days 1- 2), middle (days 5-6), and late (days 13-14)  
116 behavioral acquisition sessions (Fig. 2E). At the population level, PrL→NAcc neurons show  
117 an excitatory fluorescence response upon active lever pressing during early, middle and late  
118 acquisition sessions (Fig. 2F-H, top). However, when considering the calcium dynamics of  
119 individual neurons within the PrL→NAcc circuit, we observed heterogenous activity around  
120 the lever press, with some neurons excited and others inhibited (Fig. 2F-H, bottom). To

121 determine the proportions of excited or inhibited neurons on each day, we used an area under  
122 the receiver operator characteristic (auROC) analysis that compares the average fluorescence  
123 of each neuron around the lever press (5 seconds before and after active lever press) to  
124 baseline. We observed subsets of significantly excited or significantly inhibited neurons across  
125 early, middle and late heroin self-administration (Fig. 2I, Fig. S2A-C), with significant  
126 differences in the proportion of excited neurons present on each day of heroin self-  
127 administration. Together our results suggest that distinct subsets of neurons within the  
128 PrL→NAcc circuit display opposing activity patterns during heroin self-administration.

129

### 130 **PrL→NAcc neuronal activity is heterogenous during reinstatement of heroin seeking.**

131 To measure PrL→NAcc activity dynamics during heroin seeking, following acquisition  
132 mice underwent extinction training for a minimum of 10 days where heroin and heroin-paired  
133 cues were omitted. Once active lever pressing was suppressed to less than 20% of average  
134 active presses on the last two days of heroin self-administration, mice underwent  
135 reinstatement tests in a pseudo-randomized order (Fig. 3A-C) with simultaneous two-photon  
136 calcium imaging. Mice showed elevated active lever pressing during cue- (Fig. 3A), drug- (Fig.  
137 3B), and stress-induced (Fig. 3C) reinstatement tests compared to extinction conditions, with  
138 no changes to inactive lever presses (Fig. S3A). Average fluorescence of PrL→NAcc neurons  
139 was elevated from baseline following active lever press during cue-, drug-, and stress-induced  
140 reinstatement (Fig. 3D-F, top). However, when visualizing the fluorescence changes of  
141 individual neurons within the PrL→NAcc circuit across reinstatement tests, we observed  
142 heterogenous activity around the lever press, with some neurons excited and others inhibited  
143 (Fig. 3D-E, bottom). As described above, we then determined the proportion of significantly  
144 excited or inhibited neurons present during each reinstatement test using an auROC analysis  
145 (Fig 3G, Fig. S3B-D). We observed differences in the proportions of both excited and inhibited  
146 neurons present during each reinstatement test, with the most excited and least inhibited  
147 neurons observed during stress-induced reinstatement.

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### 149 **Spectral clustering reveals that discrete ensembles within the PrL→NAcc differentially 150 predict aspects of heroin self-administration and heroin seeking.**

151 To identify discrete ensembles within the PrL→NAcc circuit, we combined all excited  
152 neurons (n=658) or all inhibited neurons (n=442) across each imaging session and applied a  
153 principle components analysis and spectral clustering algorithm to each population (Fig. 4A,  
154 Fig S4 A-D). This revealed 4 excited and 4 inhibited ensembles with distinct activity patterns  
155 around the active lever press (Fig. 4A). To determine whether significantly excited or inhibited  
156 neurons displayed stable activity within a behavioral session, we compared neuronal activity  
157 early in the session (first 33% of active lever presses) to activity towards the end of the session  
158 (last 33% of active lever presses) (Fig. 4B-D). For excited and inhibited neurons, we found  
159 that auROC values remained stable across early and late trials (Fig. 4C). However, when we  
160 compared early and late auROC responses for non-significant responders we observed a  
161 negative association (Fig. 4D). Together, these results suggest that significantly excited and  
162 inhibited PrL→NAcc neurons are stable classifiers of lever pressing across trials, while  
163 neurons that do not exhibit significant activity dynamics around the lever press have poor  
164 stability across trials.

165 **DISCUSSION**

166 Here we characterize the precise activity dynamics of corticostriatal projection neurons  
167 across drug self-administration and relapse. At the population level, we find PrL→NAcc  
168 projection neurons are excited during drug seeking and are necessary for relapse-like  
169 behavior. However, when we examine the activity of individual neurons, we observe  
170 heterogenous dynamics within the PrL→NAcc circuit with distinct subpopulations that display  
171 opposing activity during drug seeking. Throughout both drug self-administration and drug  
172 seeking, spectral clustering identified 4 excited and 4 inhibited PrL→NAcc neuronal  
173 ensembles, each with a distinct activity pattern around the lever press. While it remains  
174 unclear whether these ensembles discretely encode specific elements of drug seeking, such  
175 as drug-associated cues or lever pressing, our data suggest that this heterogenous activity  
176 within the PrL→NAcc circuit is required for the expression of drug-seeking behavior.

177 Previous investigations into the neuronal ensembles underlying drug seeking have  
178 relied on the expression of immediate early genes (IEG) to label ensembles<sup>20–22</sup>. Conditioned  
179 drug seeking induces the expression of the IEG *c-Fos* and its protein product Fos in a subset  
180 of prefrontal cortex neurons, and molecular silencing of these Fos-expressing ensembles  
181 confirms they play a causal role in drug seeking<sup>20–22</sup>. However, Fos expression requires strong  
182 and persistent neuronal activity over a period of minutes to hours<sup>23</sup>, and it is unclear if Fos  
183 expression is correlated with action potentials<sup>24</sup>. Because of this issue, studies using Fos  
184 expression to identify and target neuronal ensembles may underestimate the true number of  
185 neurons encoding a stimulus, and cannot provide information about the true computational  
186 dynamics or heterogeneity between these ensembles due to poor temporal resolution. Here  
187 we demonstrate that within the subset of PrL→NAcc neurons excited during drug seeking,  
188 there are 4 neuronal ensembles with temporally distinct activity dynamics around the lever  
189 press. These data suggest that drug-seeking ensembles identified using IEG techniques may  
190 encompass neurons with transiently different activity patterns during drug-seeking behavior.  
191 Therefore, IEG-dependent techniques likely only capture a subset of the excited ensembles  
192 we observe here, and within the labelled neurons there may be distinctly diverse activity  
193 patterns during drug seeking. These data could explain why silencing cortical IEG-labelled  
194 ensembles only modestly disrupts drug-seeking behavior<sup>20–22</sup>. Additionally, we find there are  
195 4 inhibited ensembles within the PrL→NAcc circuit during drug seeking that have distinct  
196 activity patterns around the lever press. Only recently has a marker of decreased neuronal  
197 activity been identified<sup>25</sup>. Future studies are required to determine if activating inhibited drug-  
198 seeking ensembles in the prefrontal cortex is also sufficient to disrupt drug-seeking behavior.

199 Corticostriatal glutamate release has long been understood to be a key feature of  
200 relapse to drug seeking across drug classes and modes of reinstatement<sup>4–8,13,26,27</sup>. Consistent  
201 with our results, inhibition of the PrL→NAcc circuit suppresses cue- and drug-induced cocaine  
202 seeking<sup>5,9,10</sup>, and aversion-resistant alcohol seeking<sup>13</sup>. Here, we build upon these existing  
203 studies and demonstrate that optogenetic inhibition of PrL→NAcc projection neurons prevents  
204 cue-, drug- and stress-induced reinstatement of heroin seeking, supporting the idea that  
205 PrL→NAcc circuit is a common pathway driving relapse regardless of drug class or trigger.  
206 Our single-cell calcium imaging of PrL→NAcc projection neurons reveals that different  
207 proportions of excited or inhibited neurons are recruited by each stimulus (cue, drug or stress)  
208 during drug seeking. One possibility is that each reinstatement trigger differentially recruits  
209 distinct brain regions that project to the prefrontal cortex. The PrL→NAcc neurons might then  
210 act as a critical integrator of afferent inputs, driving the expression of drug-seeking behavior  
211 in response to elevated excitatory transmission. Future research to determine whether the  
212 PrL→NAcc ensembles we have identified here can be defined by afferent inputs is warranted.

213 One limitation of the present study is that we have not functionally tested the  
214 contribution of each neuronal ensemble to drug-seeking behavior. Future experiments can  
215 leverage technological advances in holographic optogenetics to manipulate distinct  
216 PrL→NAcc neuronal ensembles to determine the relative contribution of each ensemble to  
217 heroin seeking<sup>34,35</sup>. Additionally, future studies can combine spatial transcriptomics with  
218 calcium imaging to further parse the distinct corticostriatal ensembles driving relapse. Overall,  
219 we provide the first insight into the diverse activity dynamics within the corticostriatal circuit  
220 that guide drug seeking. Further research into the anatomical and molecular features of the  
221 corticostriatal ensembles we reveal here could lead to the development of effective therapies  
222 for prevention of relapse.

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### 224 **Acknowledgements**

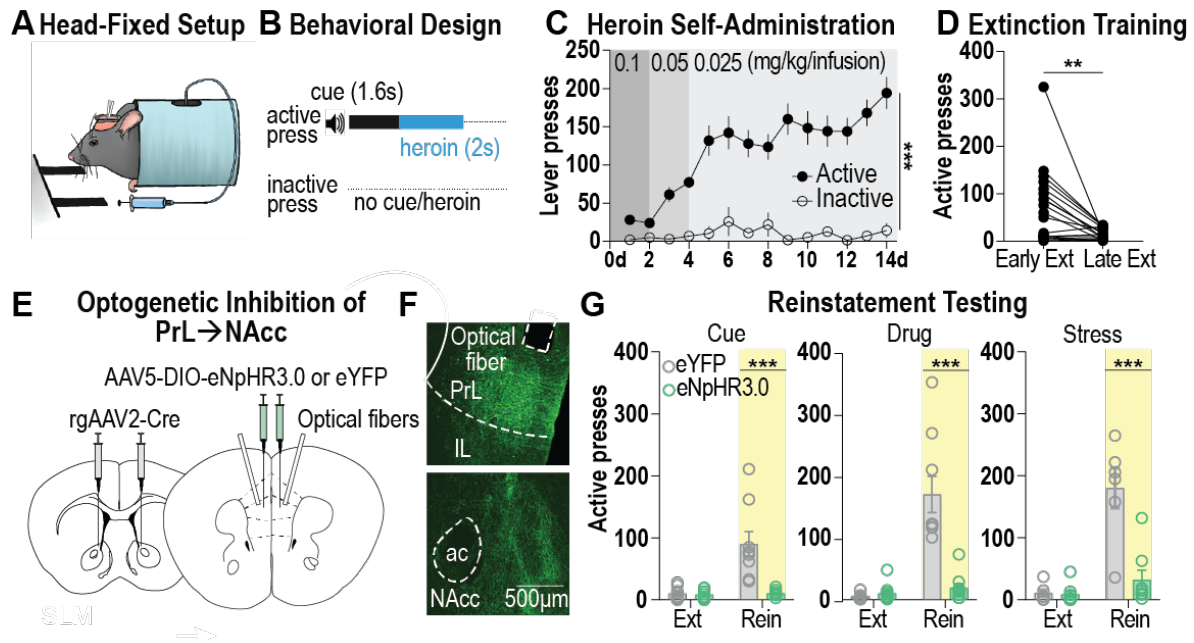
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### 233 **Author Contributions**

234 REC & JMO designed the experiments and wrote the manuscript. All authors provided  
235 technical assistance and intellectual feedback on the project.

### 236 **Competing Interests**

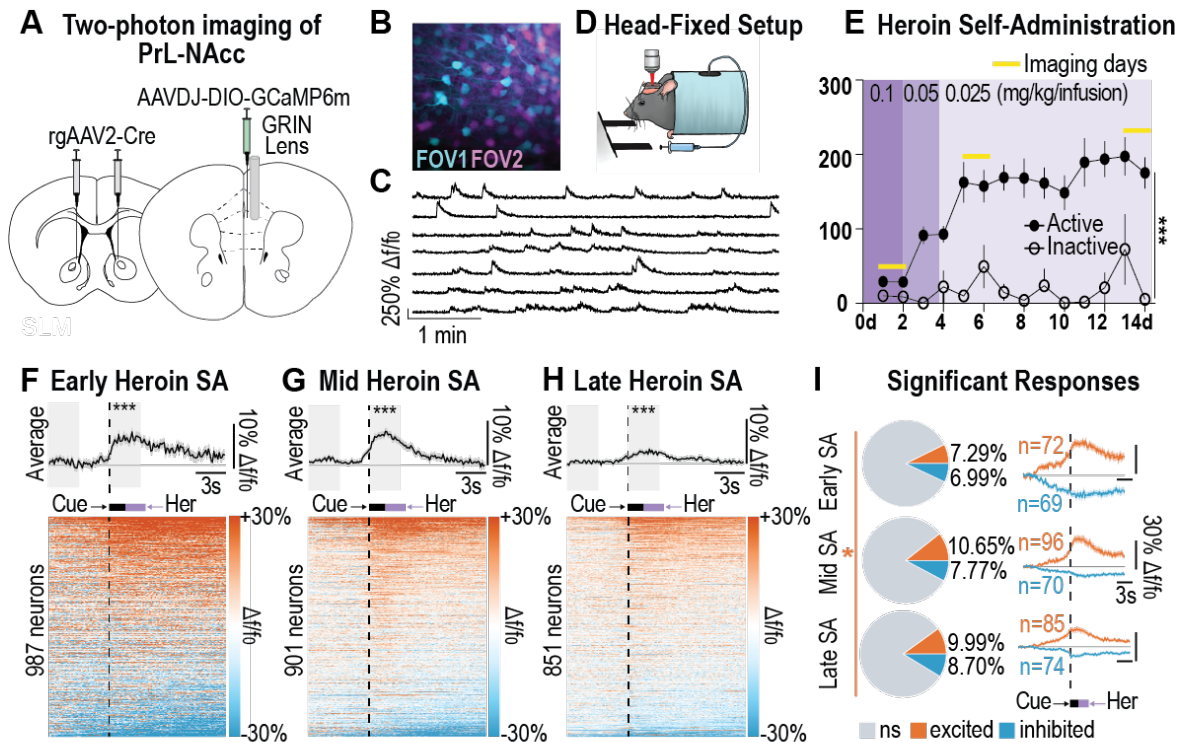
237 The authors have no competing interests to declare.



**Figure 1. PrL→NAcc circuit is necessary for reinstatement of heroin seeking.** (A) Schematic showing head-fixed behavioral apparatus. (B) Behavioral paradigm for intravenous head-fixed heroin self-administration. (C) Grouped data for acquisition of heroin self-administration. Mice learn to discriminate between the active and inactive levers, with greater active lever presses across acquisition ( $n=19$  mice; lever:  $F_{1,36} = 119.7$ ,  $p<0.001$ ). (D) Grouped data for extinction training. Mice decreased activer lever pressing following a minimum of 10 days of extinction training ( $n = 19$  mice; averaged first 3-days of extinction vs last 3 days of extinction:  $t_{18}=3.39$ ,  $p=0.003$ ). (E) Viral approach used to optogenetically inhibit PrL neurons that project to the NAcc. (F) Representative images showing eNpHR3.0-eYFP expression and optical fiber placement in the PrL (top), and eNpHR3.0-eYFP expressing fibers in the NAcc (bottom). (G) Optogenetic inhibition of PrL→NAcc neurons prevents cue-, drug- and stress-induced reinstatement of heroin seeking, measured as active lever presses ( $n= 6-10$ /group; cue reinstatement: interaction:  $F_{1,17}=21.36$ ,  $p<0.001$ , group comparisons: ext:  $p=0.9935$ , rein:  $p<0.001$ ; drug reinstatement: interaction:  $F_{1,17}=28.70$ ,  $p<0.001$ , group comparisons: ext:  $p=0.9477$ , rein:  $p<0.001$ ; stress reinstatement: interaction:  $F_{1,12}=21.24$ ,  $p<0.001$  group comparisons: ext:  $p=0.9965$ , rein:  $p<0.001$ ). AC, anterior commissure; Ext, extinction; PrL, IL, infralimbic cortex; prelimbic cortex; NAcc, nucleus accumbens core; ns, not significant; Rein, reinstatement; SA, self-administration. Data are mean  $\pm$  SEM. \*\* $p<0.01$ , \*\*\* $p<0.001$ .



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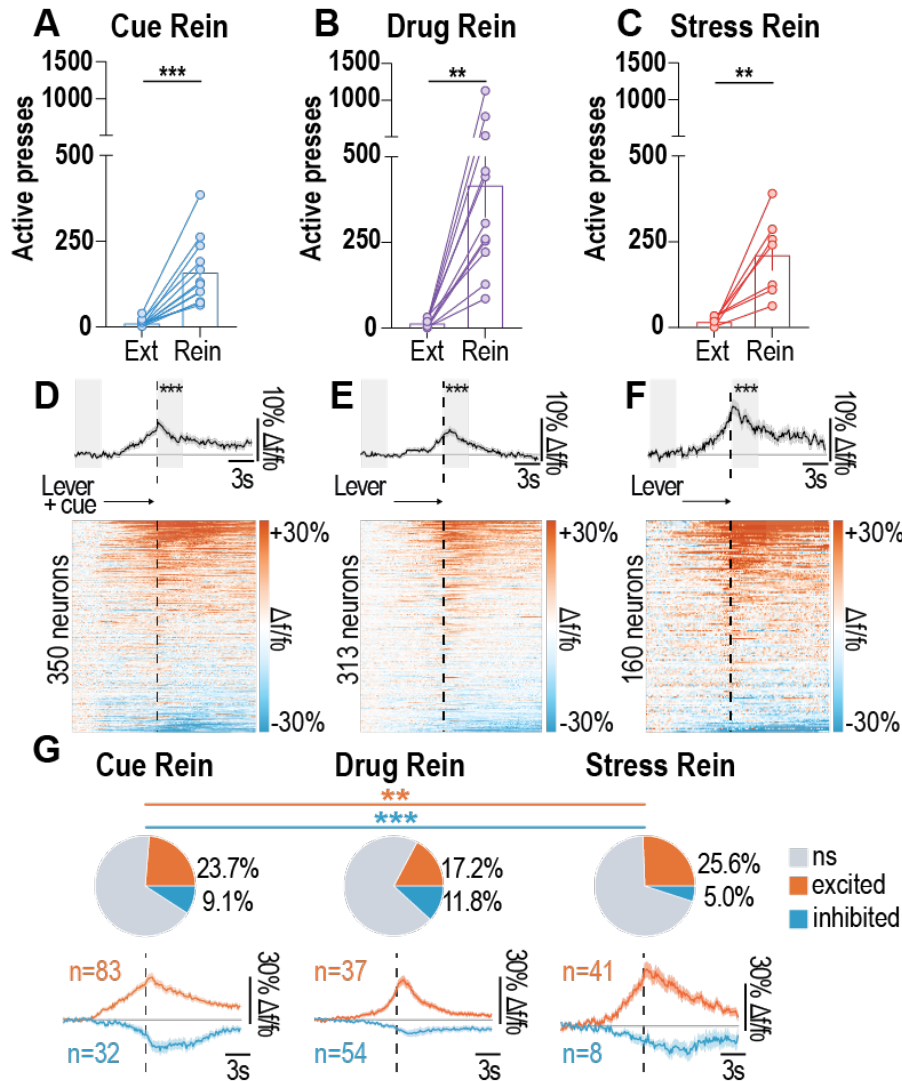


**Figure 2. PrL→NAcc calcium activity is heterogeneous across heroin self-administration.** (A) Viral approach used for two-photon calcium imaging of PrL→NAcc neurons. (B) Example field of view (FOV) in cyan and second FOV in magenta separated by 50 $\mu$ m. (C) Example extracted signals of calcium activity of cells in FOV1 during habituation to head-fixed chamber. (D) Head-fixed apparatus used for two-photon imaging and heroin self-administration (SA). (E) Heroin SA data for imaging animals. Imaging days shown in yellow. Mice learned to press the active and not inactive lever (n=14 mice; lever:  $F_{1,26} = 59.45$ ,  $p < 0.001$ ). (F-H) Averaged traces (top) and single-cell heatmaps (bottom) reveal PrL→NAcc activity during early (F; n=987 neurons; 14 mice), middle (G; n = 901 neurons; 14 mice), and late (H; n=851 neurons, 14 mice) SA sessions. Average fluorescence (top) increased in the 3 seconds following lever press compared to a 3 second baseline period (early:  $t_{22}=24.94$ ,  $p < 0.001$ ; mid:  $t_{22}=31.47$ ,  $p < 0.001$ ; late:  $t_{22}=24.77$ ,  $p < 0.001$ ). (I) Pie charts (left) and averaged traces (right) for each phase of heroin SA show excited (orange) and inhibited (blue) neurons with significant area under the receiver operator characteristic (auROC) scores ( $p < 0.05$ ). The proportion of excited neurons varied across day of heroin SA ( $\chi^2_2 = 7.721$ ,  $p = 0.021$ ) while the proportion of inhibited neurons were similar between day of heroin SA ( $\chi^2_2 = 2.416$ ,  $p = 0.299$ ). FOV, field of view; PrL, prelimbic cortex; NAcc, nucleus accumbens core; ns, not significant; SA, self-administration. Data are mean  $\pm$  SEM. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .

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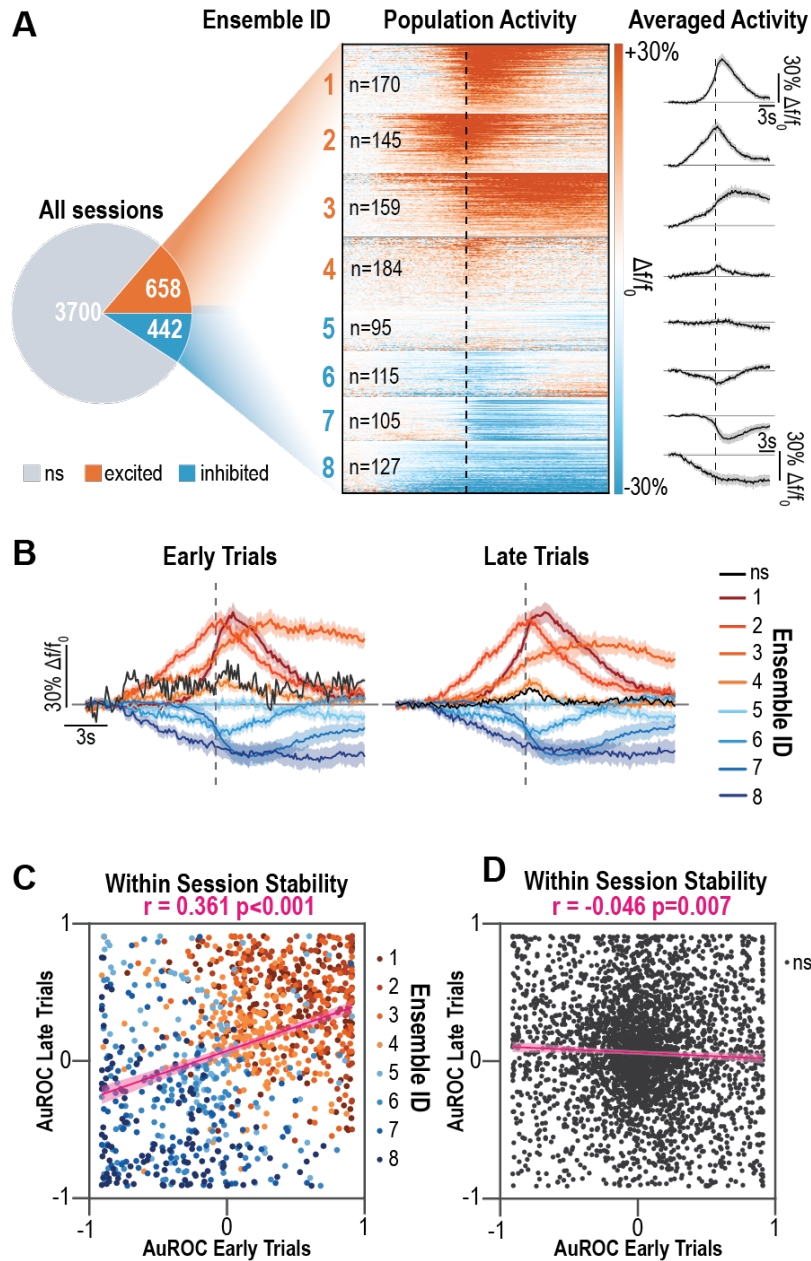
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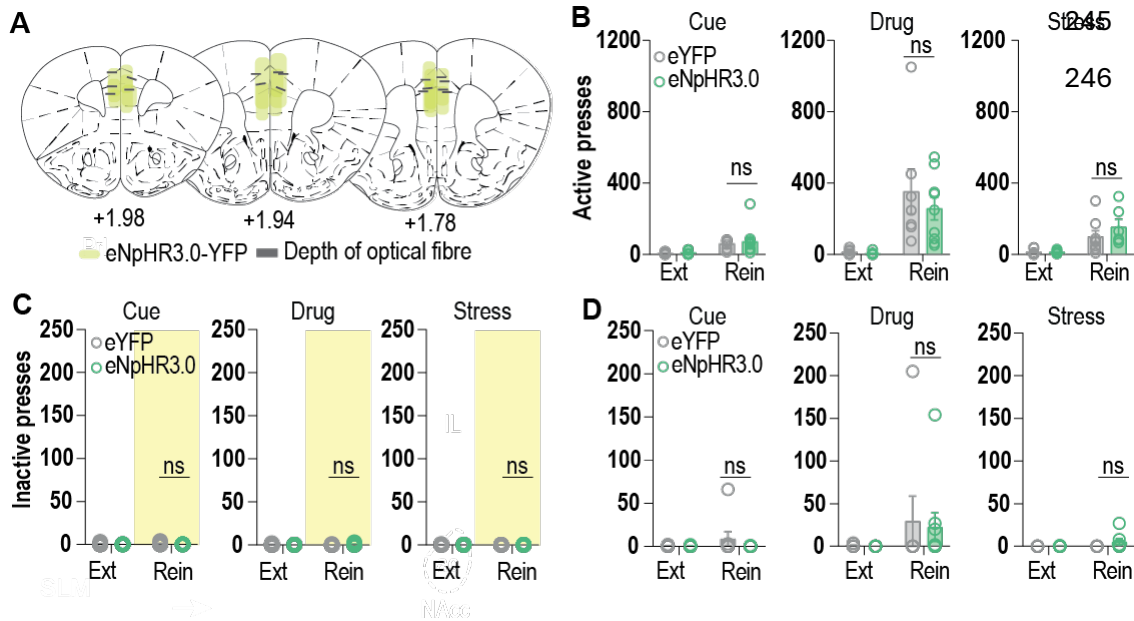
**Figure 3. PrL→NAcc calcium activity is heterogeneous during reinstatement of heroin seeking.** (A-C) Active lever presses during cue- (A), drug- (B), and stress-induced (C) reinstatement tests where active lever presses increased above previous extinction session (n=7-12 mice; cue:  $t_{11}=5.867$ ,  $p=0.0001$ ; drug:  $t_{10}=4.1414$ ,  $p=0.0013$ ; stress:  $t_6=4.197$ ,  $p=0.0057$ ). (D-E) Averaged traces (top) and single-cell heatmaps (bottom) reveal PrL→NAcc neuronal activity during cue- (D; n=350 neurons, 12 mice), drug-(E; n=313 neurons, 11 mice) and stress-induced reinstatement (F; n=160 neurons, 7 mice). (G-I) Pie-charts (top) and averaged traces (bottom) for cue- (G), drug- (H), and stress-induced (I) reinstatement tests show excited (orange) and inhibited (blue) neurons with significant area under the receiver operator characteristic (auROC) scores ( $p<0.05$ ). The proportion of excited neurons varied with reinstatement test ( $\chi^2_2=12.06$ ,  $p=0.002$ ), as did the proportion of inhibited neurons ( $\chi^2_2=19.11$ ,  $p<0.001$ ). PrL, prelimbic cortex; NAcc, nucleus accumbens core; ns, not significant; Rein, reinstatement. Data are mean  $\pm$  SEM. \* $p<0.01$ , \*\*\* $p<0.001$ .

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**Figure 4. PrL→NAcc neuronal ensembles are stable across trials and differentially encode distinct aspects of heroin seeking.** (A) Spectral clustering reveals 4 excited ensembles (total n=658, orange, top half of heat map), and 4 inhibited ensembles (total n=442, blue, bottom half of heatmap) across all imaging sessions (n=8) and all animals (n=14 mice), with distinct activity patterns around the lever press (averaged line graphs, right). (B) Averaged traces for neurons that do not have significant responses (black) and for each ensemble for early trials (first 33% of trials, left) and late trials (last 33% of trials, right) (C) Significantly excited or inhibited neurons exhibit stable auROC scores within session (Pearson-R value=0.361,  $p < 0.001$ ), while (D) neurons that do not have significant responses exhibit negatively correlated auROC scores between early and late trials (Pearson-R value=-0.046,  $p = 0.007$ ). auROC, area under the receiver operator characteristic; PrL, prelimbic cortex, NAcc, nucleus accumbens core ns, not significant. Data are mean  $\pm$  SEM. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$



**Figure S1. Supplemental data relating to Figure 1. Optogenetic inhibition of PrL→NAcc neurons during reinstatement of heroin seeking.** (A) Coronal sections illustrating eNpHR3.0-YFP expression and optical fiber placements (n=9 mice). (B) No differences in active lever pressing under laser off conditions between eYFP and eNpHR3.0 groups during cue-, drug-, or stress-induced reinstatement tests (n=6-10/group, cue reinstatement: interaction:  $F_{1,16}=0.067$ ,  $p=0.800$ , group comparisons: ext:  $p=0.971$ , rein:  $p=0.802$ ; drug reinstatement: interaction:  $F_{1,14}=0.450$ ,  $p=0.514$ , group comparisons: ext:  $p=0.996$ , rein:  $p=0.527$ ; stress reinstatement:  $F_{1,11}=0.957$ ,  $p=0.349$ , group comparisons: ext:  $p=0.999$ , rein:  $p=0.322$ ). (C) No differences in inactive lever presses under laser on conditions between eYFP and eNpHR3.0 groups during cue-, drug-, or stress-induced reinstatement tests (n=7-10/group, cue reinstatement: interaction:  $F_{1,17}=0.607$ ,  $p=0.447$ , group comparisons: ext:  $p=0.601$ , rein:  $p=0.080$ ; drug reinstatement: interaction:  $F_{1,17}=2.616$ ,  $p=0.124$ , group comparisons: ext:  $p=0.494$ , rein:  $p=0.387$ ; stress reinstatement: interaction:  $F_{1,13}=1.156$ ,  $p=0.302$ , group comparisons: ext:  $p=0.261$ , rein:  $p>0.999$ ). (D) No differences in inactive lever presses under laser off conditions between eYFP and eNpHR3.0 groups during cue-, drug-, or stress-induced reinstatement tests (n=6-10/group, cue reinstatement: interaction:  $F_{1,16}=1.342$ ,  $p=0.264$ , group comparisons: ext:  $p=0.998$ , rein:  $p=0.204$ ; drug reinstatement: interaction:  $F_{1,14}=0.033$ ,  $p=0.859$ , group comparisons: ext:  $p=0.999$ , rein:  $p=0.946$ ; stress reinstatement: interaction:  $F_{1,11}=1.706$ ,  $p=0.218$ , group comparisons: ext:  $p=0.999$ , rein:  $p=0.147$ ). PrL, prelimbic cortex, NAcc, nucleus accumbens core. Data are mean  $\pm$  SEM.

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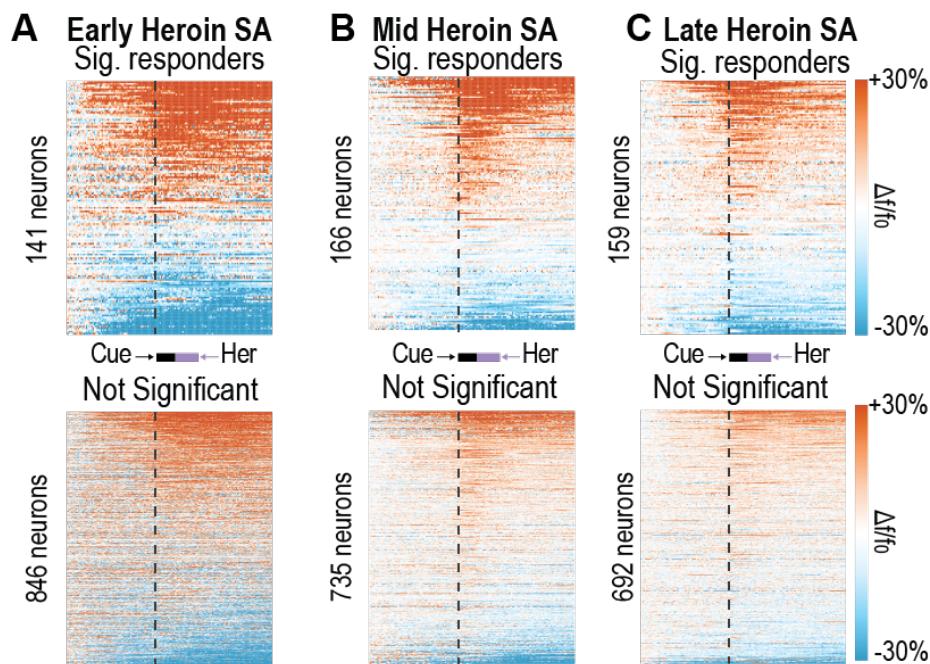
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**Figure S2. Supplemental data relating to Figure 2. Two-photon imaging of PrL→NAcc neurons during heroin self-administration. (A-C)** Heatmaps displaying averaged fluorescent activity of each neuron across all active lever presses/session separated into significant responding neurons (top) and not significant responding neurons (bottom) for early (A), middle (B), and late (C) heroin self-administration (n=14 mice).

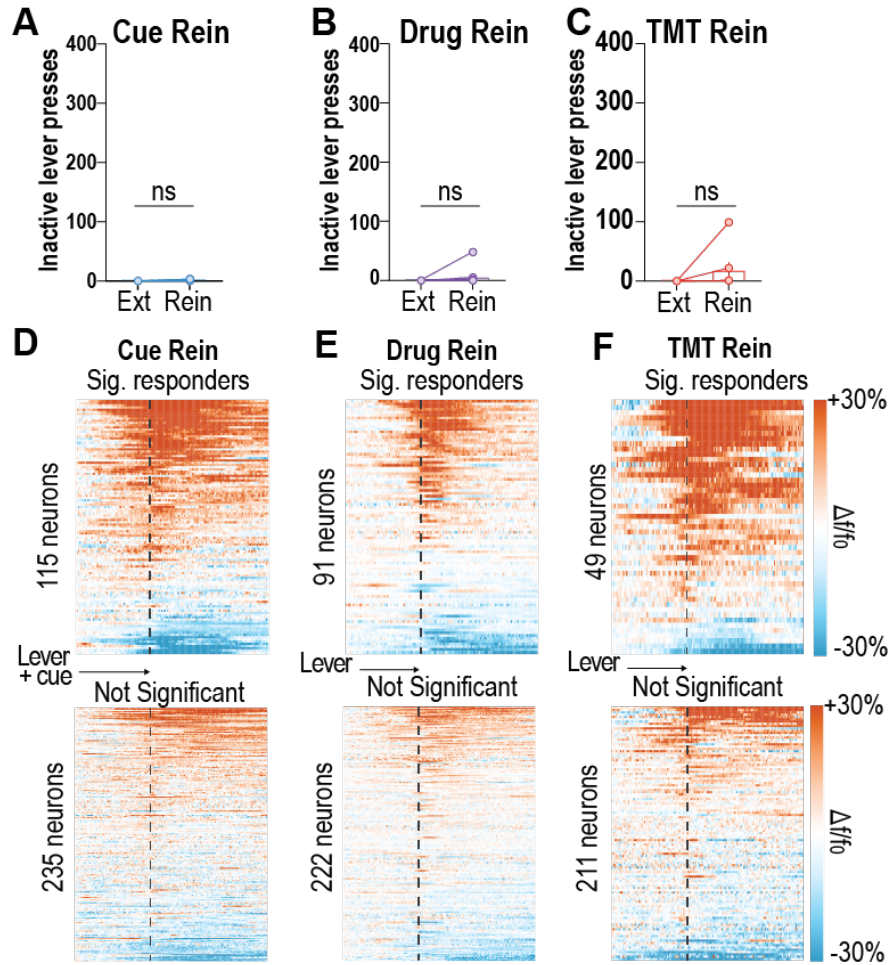
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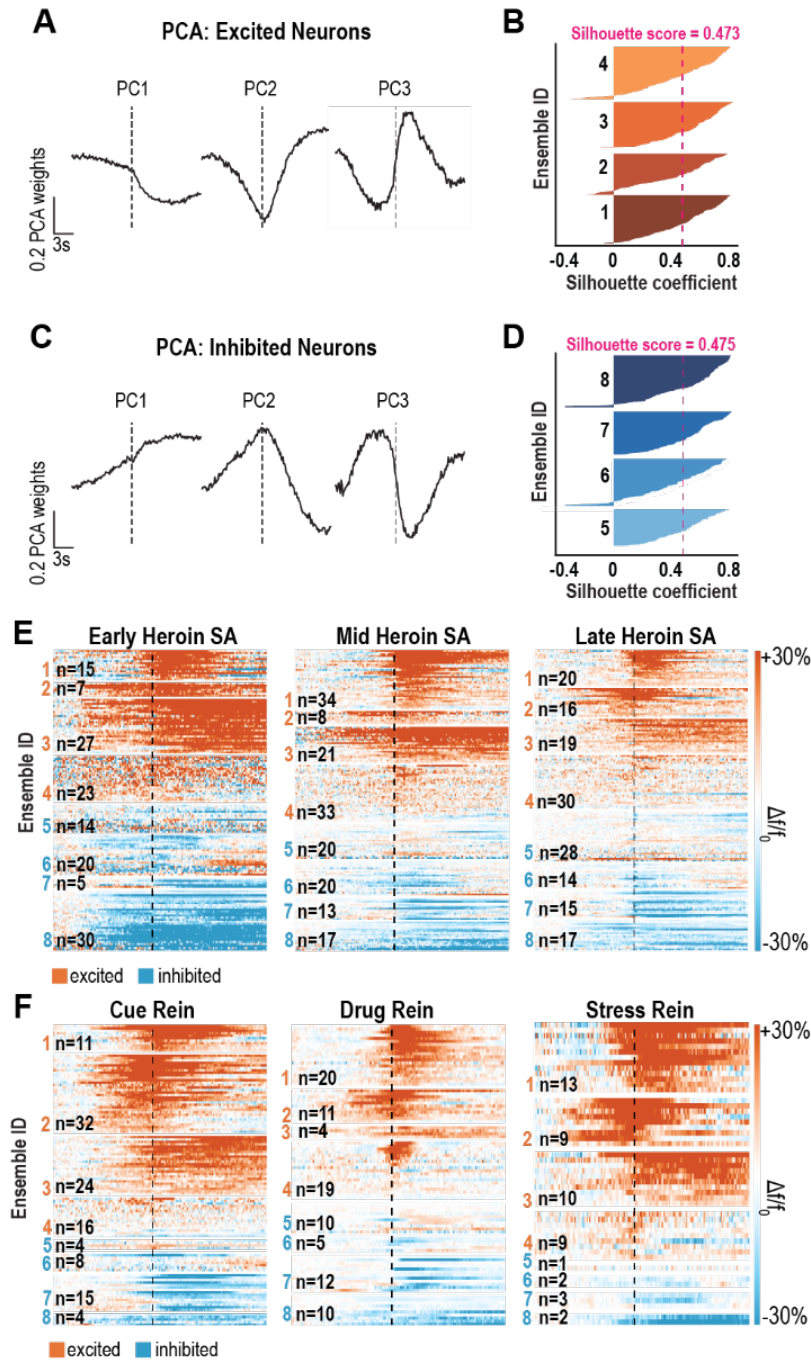
262

263



**Figure S3. Supplemental data relating to Figure 3. Two-photon imaging of PrL→NAcc neurons during reinstatement of heroin seeking.** (A) No differences in inactive lever presses between extinction sessions and cue-, drug-, and stress-induced reinstatement tests (n=7-12 mice, cue:  $t_{11}=1.483$ ,  $p=0.166$ ; drug:  $t_{10}=1.156$ ,  $p=0.2746$ ; stress:  $t_6=1.263$ ,  $p=0.253$ ). (B-D) Heatmaps displaying averaged fluorescent activity of each neuron across all active lever presses/session separated into significant responding neurons (top) and not significant responding neurons (bottom) for cue- (B), drug- (C), and stress-induced (D) reinstatement tests (n=7-12 mice). Data are mean  $\pm$  SEM.

264



**Figure S4. Supplemental data relating to Figure 4. Two-photon imaging of PrL→NAcc neurons and spectral clustering reveals 8 distinct neuronal ensembles present during heroin seeking. (A-B)** Principal components analysis (A) and silhouette plot (B) show the relative fit for excited neurons from each ensemble formed by spectral clustering. **(C-D)** Principal components analysis (C) and silhouette plot (D) show the relative fit for inhibited neurons from each ensemble formed by spectral clustering. **(E-F)** Heatmaps displaying significant responding neurons split by cluster across early, mid, and late heroin self-administration (E) and cue-, drug-, and stress-induced reinstatement tests (F).

## 265 RESOURCE AVAILABILITY

266

### 267 **Lead Contact**

268 Further information and request for resources and reagents should be directed to and will be  
269 fulfilled by the lead contact, James M. Otis ([otis@musc.edu](mailto:otis@musc.edu)).

270

### 271 **Materials Availability**

272 This study did not generate new unique reagents.

273

### 274 **Data and Code Availability**

275 Behavioral data generated in this study, and all original code, will be deposited in the Otis Lab  
276 GitHub database and will be publicly available as of date of publication. Access to data or code  
277 prior to publication will be made upon request. Two-photon imaging datasets will be made  
278 available upon request but are not immediately available for download due to file size. Any  
279 additional information required to reanalyze the data reported in this paper is available from  
280 the lead contact upon request.

281

282

## 283 EXPERIMENTAL MODEL AND STUDY PARTICIPANT DETAILS

284

### 285 **Animals**

286 All experiments were approved by the Institutional Animal Care and Use Committee (IACUC)  
287 at the Medical University of South Carolina in accordance with the NIH-adopted Guide for the  
288 Care and Use of Laboratory Animals. Adult male and female C57BL6/J wild-type mice were  
289 group-housed pre-operatively and single-housed post-operatively, with access to standard  
290 chow and water *ad libitum* throughout all experiments. Mice were at least 8-weeks of age and  
291 18.5g prior to study onset. Male and female mice were randomly assigned to experimental  
292 groups. Mice were housed under a reverse 12:12-hour light cycle (lights off at 8:00am), with  
293 experiments performed during the dark phase.

294

## 295 METHOD DETAILS

296

### 297 **Surgery**

298 For intracranial or intravenous catheter surgeries, mice were anesthetized with isoflurane (1-  
299 2.5% in oxygen; 1L/minute). Ophthalmic ointment (Akorn), topical anesthetic (2% Lidocaine;  
300 Akorn), analgesic (Ketorolac, 2 mg/kg, intraperitoneal injection), were given pre- and intra-  
301 operatively for health and pain management. An antibiotic (Cefazolin, 200 mg/kg,  
302 subcutaneous injection) was given post-operatively to reduce the possibility of infection.

303

304 Optogenetics surgeries: Once anesthetized, mice were placed within a stereotactic frame  
305 (Kopf Instruments). To target the PrL→NAcc circuit for optogenetic manipulations we infused  
306 a Cre-dependent virus encoding for one of two constructs (AAV5-ef1a-DIO-eNpHR3.0-eYFP;  
307 AAV5-ef1a-DIO-eYFP; 300nl/ injection site) into the PrL (AP: +1.85mm; ML: ±0.35mm; DV: -  
308 2.6mm & -2.3mm; relative to bregma), and a retrogradely-trafficked virus encoding for Cre-  
309 recombinase (rgAAV2-CAG-Cre; 400nL/side) into the anterior NAcc (AP: +1.42mm; ML:  
310 ±1.75mm; DV: -4.70mm; 10° angle). Custom-made optical fibers<sup>30</sup> were implanted dorsal to  
311 the PrL injection site (AP: +1.85mm; ML: ±0.95mm; DV: -1.90mm; 10° angle), allowing laser-  
312 evoked inhibition of PrL→NAcc projection neurons. A stainless-steel head ring was cemented



313 around the optical fiber using dental cement and skull screws. Optical fiber and viral  
314 placements were confirmed post-mortem via histology (Fig. S1A).

315 Two-photon calcium imaging surgeries: Once anesthetized, mice were placed within a  
316 stereotactic frame (Kopf Instruments). To target the PrL→NAcc circuit for two-photon calcium  
317 imaging we infused a Cre-dependent virus encoding for the calcium indicator GCaMP6m  
318 (AAVdj-ef1a-DIO-GCaMP6m; 400nL/site) unilaterally into the PrL (AP: +1.85mm; ML: -  
319 0.35mm; DV: -2.6mm & -2.3mm) and a retrogradely-trafficked virus encoding for Cre-  
320 recombinase (rgAAV2-CAG-Cre; 400nL/site) into the anterior NAcc (AP: +1.42mm; ML:  
321 ±1.75mm; DV: -4.70mm; 10° angle). A microendoscopic gradient refractive index lens (GRIN  
322 lens; 4mm long, 1mm diameter, Inscopix) was then implanted dorsal to the PrL injection site  
323 (AP: +1.85mm; ML: -0.35mm; DV: -2.25mm) allowing for chronic visualization of PrL→NAcc  
324 neurons<sup>7,31</sup>. Finally, a stainless-steel head ring was cemented around the GRIN lens using  
325 dental cement and skull screws. GRIN lens placement and GCaMP6m fluorescence of  
326 PrL→NAcc neurons was confirmed post-mortem.

327 IV Catheter Surgeries: Mice were allowed at least 7-days of recovery from intracranial surgery  
328 before catheterization occurred. Once anesthetized, mice were implanted with custom-made  
329 intravenous catheters, using a method previously described<sup>9</sup>. Catheters were implanted  
330 subcutaneously with the tubing inserted into the external jugular vein. All mice received  
331 analgesic, ophthalmic, and antibiotic treatments as described above, as well as topical  
332 antibiotic ointment and lidocaine (2%) jelly around incision sites. Following a minimum of 5-  
333 days of recovery, mice began behavioral experiments wherein catheters were flushed daily  
334 with heparinized saline (60 units/mL, 0.03mL) to maintain patency. Mice with non-patent  
335 catheters were to be excluded from the study. If necessary, patency was determined by giving  
336 mice an intravenous infusion of brevipal (10 mg/mL, 0.03 mL).

337

### 338 **Head-fixed Behavior**

339 Heroin Self-administration: Experiments involving heroin self-administration were performed  
340 as previously described<sup>18,19</sup>, enabling simultaneous two-photon calcium imaging<sup>19</sup>. After  
341 recovery from surgery, mice were habituated for 2-days to head fixation during 45-minute  
342 sessions wherein levers were not presented.

343 Acquisition: Mice next underwent heroin self-administration or saline control sessions through  
344 14 daily sessions, during which two levers were placed in front of the animal within forelimb  
345 reach. Pressing the active lever, but not inactive lever, resulted in the presentation of a tone  
346 cue (8 kHz, 1.6s) followed immediately by the intravenous infusion of heroin (administered  
347 over a 2s epoch). A timeout period (20s) was given after each cue- and heroin-reinforced  
348 active lever press, wherein active lever pressing had no effect. Mice were trained on a fixed  
349 ratio 1 (FR1) schedule of reinforcement using a decreasing dose design (Day 1-2: 0.1  
350 mg/kg/12.5 µL heroin, 10 infusion maximum; Day 3-4: 0.05 mg/kg/12.5 µL heroin, 20 infusion  
351 maximum; Day 5-14: 0.025 mg/kg/12.5 µL heroin, 40 infusion maximum), for a maximum of 1  
352 mg/kg of heroin per session. To avoid issues with excessive infusion volume and overdose,  
353 mice were capped to receiving 1 mg/kg per session to prevent overdose. Self-administration  
354 sessions were a maximum of 2-hours.

355 Extinction: Following acquisition, heroin self-administering mice underwent 1-hour extinction  
356 training sessions, wherein active lever presses resulted in neither cue nor drug delivery until  
357 extinction criteria were reached. Extinction criteria were determined *a priori*, as (1) ≥ 10-days  
358 of extinction training and (2) the last 2-days of extinction training resulting in ≤20% of the  
359 average active lever pressing observed during the last 2-days of acquisition.

360 Reinstatement: After mice reached extinction criteria, they then underwent 1-hour cue-, drug-  
361 , or stress-induced reinstatement tests in a pseudorandomized order. For optogenetic

362 experiments, each mouse experienced each reinstatement test twice, once under laser on  
363 conditions and once under laser off conditions. Between tests, mice underwent a minimum of  
364 2 extinction sessions, until lever pressing returned to below extinction criteria for 2 consecutive  
365 days. For cue-induced reinstatement, active lever presses resulted in cue presentation as in  
366 acquisition, however drug infusions were omitted. A timeout period (20s) was given after the  
367 onset of each cue, wherein active lever pressing did not result in cue delivery. For drug-  
368 induced reinstatement, mice received an acute injection of heroin (1 mg/kg, ip) immediately  
369 before the session, and active lever presses resulted in neither cue nor drug delivery. For  
370 stress-induced reinstatement, mice were exposed to the fox feces derivative 2,5-dihydro-  
371 2,4,5-trimethylthiazoline (TMT; 30  $\mu$ L; 1% v/v ddH<sub>2</sub>O) for 15-minutes, contained in a vacuum-  
372 sealed line to control duration and spread of the odorant, in the head-fixed chamber with levers  
373 removed prior to the session. TMT was then removed, and levers returned for the stress-  
374 induced reinstatement session where, like drug-induced reinstatement, active lever presses  
375 did not result in cue or drug delivery.

376

### 377 ***Behavioral Optogenetics***

378 We used optogenetics to inhibit the activity of bilateral PrL $\rightarrow$ NAcc neurons during cue-, drug-  
379 , or stress-induced reinstatement tests. For both eNpHR3.0 or control eYFP mice, the laser  
380 (532nm; ~10mW) was displayed (constant light) for 30-second intervals once/minute  
381 throughout the session.

382

### 383 ***Two-photon Calcium Imaging***

384 We visualized GCaMP6m-expressing PrL $\rightarrow$ NAcc projection neurons using a two-photon  
385 microscope (Bruker Nano Inc) equipped with a tunable InSight DeepSee laser (Spectra  
386 Physics, laser set to 920nm, ~100fs pulse width), resonant scanning mirrors (~30Hz frame  
387 rate), a 20X air objective (Olympus, LCPLN20XIR, 0.45NA, 8.3mm working distance), and  
388 GaAsP photodetectors. For most animals (12/14 mice) two fields of view (FOVs) were visible  
389 through the GRIN lens (separated by >60 $\mu$ m in the Z-axis to avoid overlapping recordings  
390 from the same neurons), in which case we recorded from each FOV during separate imaging  
391 sessions. Data were acquired with 4 frames averaged per second using PrairieView software.  
392 Data was then converted into hdf5 format and motion corrected using SIMA<sup>32</sup>. Following  
393 motion correction, a motion-corrected video and averaged time-series frame were used to  
394 draw regions of interest (ROIs) around dynamic and visually distinct somas using the polygon  
395 selection tool in FIJI<sup>33</sup>. Fluorescent traces for each ROI were then extracted and analyzed  
396 using custom Python codes in Jupyter Notebook<sup>7,34</sup>. Two-photon imaging was performed  
397 during select acquisition (early: days 1-2; middle: days 5-6; late: days 13-14) and extinction  
398 sessions (early: days 1-2; late: last 2-days) and during all reinstatement tests.

399

### 400 ***Immunohistochemistry***

401 Free-floating 40 $\mu$ m coronal sections containing the PrL were blocked in 0.1M PBS with 2%  
402 Triton X-100 (PBST) with 2% normal goat serum (NGS, Jackson Immuno Research,  
403 Westgrove, PA) for 2-hours at room temperature with agitation. Sections were then incubated  
404 overnight at 4°C with agitation in GFP primary antisera diluted in 2% PBST with 2% NGS,  
405 washed 3 times for 5-minutes in PBST, then incubated in the appropriate secondary antisera  
406 diluted in PBST with 2% NGS for 4-hours at room temperature with agitation. Secondary  
407 antisera were raised in goat, conjugated to Alexa fluorophores, were used at a concentration  
408 of 1:1000, and were purchased from Invitrogen (Carlsbad, CA). Sections were then washed 3  
409 times for 5-minutes in PBST, mounted on SuperFrost+ slides, and cover slipped with  
410 ProLong™ Gold Antifade. Slides were stored in a dark area. Brain sections were imaged using

411 a Leica SP8 laser-scanning confocal microscope. For detection of eYFP+ cells, an OPSEL  
412 488nm laser line was used.

413

## 414 **QUANTIFICATION AND STATISTICAL ANALYSIS**

### 415 ***Behavioral Data***

416 *Acquisition and Extinction:* Lever pressing during acquisition was analyzed across levers and  
417 days using two-way ANOVAs followed by Sidak's post-hoc comparisons when applicable. For  
418 extinction, the first 3 days of extinction were averaged and compared to the last 3 days of  
419 extinction using a two-tailed paired t-test.

420 *Reinstatement:* For optogenetic experiments we compared lever pressing during the previous  
421 extinction session to lever pressing during the reinstatement test between the experimental  
422 groups (eYFP vs eNpHR3.0) using two-way ANOVAs with Sidak's post-hoc comparisons when  
423 applicable. For two-photon imaging mice, we compared lever pressing during the previous  
424 extinction session to lever pressing during the reinstatement test using a two-tailed paired t-  
425 test. All statistical analyses were performed using GraphPad Prism statistical software.  
426 Behavioral data is represented as mean  $\pm$  standard error of the mean.

427

### 428 ***Two-photon Calcium Imaging Data***

429 To normalize fluorescent signals, we z-scored the activity of each neuron prior to analysis. We  
430 then aligned normalized fluorescent traces of each neuron to active lever presses, including  
431 the 10-seconds beforehand, 1.6-seconds from the lever press to the start of heroin delivery,  
432 and 10-seconds after start of heroin delivery. The 21.6-second fluorescent trace was averaged  
433 across trials (active lever presses) and plotted as a peri-stimulus time heatmap across  
434 neurons. The activity of all neurons across each session were averaged and plotted as an  
435 average trace with  $\pm$  standard error of the mean. The average activity of the 3-second baseline  
436 period (10-seconds prior to the lever press) was compared to the average activity 3-seconds  
437 after the lever press using a two-tailed paired t-test (scipy function: stats.ttest\_rel).

438 To determine which individual neurons showed significant responses during the lever  
439 press period, we used an auROC analysis to compare the activity of each neuron during the  
440 3-second baseline period to the activity during the 5-seconds before and after each lever press  
441 (with or without cue and infusion depending on session type). Significant responses with a  
442 positive auROC value were labelled as significant excited neurons and those with a negative  
443 auROC value were labelled as significant inhibited neurons. The proportions of significant  
444 excited neurons or inhibited neurons were compared across days using a chi-squared test.

445 We combined all significant excited neurons across all sessions and all significant  
446 inhibited neurons across all sessions into separate 2-dimensional arrays that were used to  
447 inform separate principal component analyses. The first 3 principal components were plotted  
448 into a subspace and used to inform the Scikit-learn function *sklearn.cluster.SpectralClustering*,  
449 a spectral clustering algorithm that uses a k-nearest neighbor connectivity matrix to identify  
450 unique cell clusters. Spectral clustering was chosen due to its improved performance for  
451 separating dynamic neuronal datasets as compared with other clustering algorithms<sup>36-37</sup>. We  
452 used spectral clustering to separately cluster excited neurons and inhibited neurons. The  
453 auROC values for each neuron were compared between early and late trials (first and last  
454 33% respectively) using Pearson-R correlation tests with separate analyses for significant  
455 responders and not significant neurons.

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