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# Targeting Unique Ligand Binding Domain Structural Features Downregulates DKK1 in Y537S ESR1 Mutant Breast Cancer Cells

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#### 2 DKK1 in Y537S ESR1 Mutant Breast Cancer Cells

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#### 20 **ABSTRACT**

Resistance to endocrine therapies remains a major clinical hurdle in breast cancer. 21 22 Mutations to estrogen receptor alpha (ER $\alpha$ ) arise after continued therapeutic pressure. 23 Next generation selective estrogen receptor modulators and degraders/downregulators (SERMs and SERDs) show clinical efficacy, but responses are often non-durable. A 24 25 tyrosine to serine point mutation at position 537 in the ER $\alpha$  ligand binding domain (LBD) is among the most common and most pathogenic alteration in this setting. It enables 26 endocrine therapy resistance by superceding intrinsic structural-energetic gatekeepers of 27 ER hormone-dependence, it enhances metastatic burden by enabling neomorphic ER-28 dependent transcriptional programs, and it resists SERM and SERD inhibiton by reducing 29 their binding affinities and abilities to antagonize transcriptional coregulator binding. 30 However, a subset of SERMs and SERDs can achieve efficacy by adopting poses that 31 force the mutation to engage in a new interaction that favors the therapeutic receptor 32 antagonist conformation. We previously described a chemically unconventional SERM, 33 T6I-29, that demonstrates significant anti-proliferative activities in Y537S ERα breast 34 cancer cells. Here, we use a comprehensive suite of structural-biochemical, in vitro, and 35 36 in vivo approaches to better T6I-29's activities in breast cancer cells harboring Y537S ERa. RNA sequencing in cells treated with T6I-29 reveals a neomorphic downregulation 37 of DKK1, a secreted glycoprotein known to play oncogenic roles in other cancers. 38 Importantly, we find that DKK1 is significantly enriched in ER+ breast cancer plasma 39 40 compared to healthy controls. This study shows how new SERMs and SERDs can identify new therapeutic pathways in endocrine-resistant ER+ breast cancers. 41

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#### 43 INTRODUCTION

Over seventy percent of breast cancers are classified by their expression of the nuclear 44 45 hormone receptor estrogen receptor alpha (ERa), encoded by the ESR1 gene [1]. In these cases, the estrogenic steroid hormones bind to the receptor with high affinity, 46 promoting transcriptional complex formation at enhancers and promoters that propels 47 48 tumor cell proliferation, invasion, migration, and metastasis [2-5]. Hormone therapies target this transcription-driven pathology through direct and indirect effects on ER $\alpha$  [6, 7]. 49 Aromatase inhibitors, such as anastrozole/arimidex, starve ERa of endogenous 50 estrogens by preventing their conversion from androgens [8-10]. Direct ERα therapies, 51 such as the selective estrogen receptor modulator (SERM) tamoxifen, achieve 52 therapeutic endpoints by competitively binding to the hormone binding pocket within the 53 ERα ligand binding domain (LBD) and favoring distinctive conformational ensembles that 54 repopulate coregulator complexes to favor guiescent phenotypes [11, 12]. The second-55 fulvestrant/faslodex, 56 line hormone therapy а selective estrogen receptor degrader/downregulator (SERD), competitively antagonizes transcription, but also 57 induces proteasomal degradation by exposing buried hydrophobic LBD structural motifs 58 59 to solvent [13, 14]. Although response to these primary targeted treatments in ER+ breast cancers is initially successful, over 30% of patients will relapse following 5 years of 60 hormone therapy, highlighting the need to understand cellular mechanisms of therapy 61 resistance [15]. 62

*ESR1* missense mutations emerge after prolonged hormone therapy regiments and
 enable hormone therapy resistance by negating ERα's hormone-dependence [16, 17].
 Hotspot activating somatic missense mutations tyrosine 537 to serine (Y537S) and

aspartic acid 538 to glycine (D538G) together account for >50% of identified mutants. 66 Both mutations enable the formation of ERa transcriptional coregulator complexes in the 67 absence of 17β-estradiol (E2), a requirement of WT ERα [17-19]. Y537S is perhaps the 68 most clinically relevant because breast cancer cells harboring the mutant are more 69 metastatic and resistant to first and second-line hormone therapies [20, 21]. Initial studies 70 71 suggested that SERD (ER $\alpha$ -degrading) activity was required to achieve improved efficacy in Y537S ESR1 breast cancer cells [22, 23]. However, we recently evaluated a panel of 72 SERMs and SERDs and showed that ERa-degrading activities did not correlate with 73 antagonistic efficacy in this setting [24]. Rather, the most effective SERMs and SERDs 74 favored the formation of a new S537-E380 hydrogen bond that stabilized the LBD 75 antagonist conformation. This interaction is sterically disallowed in the WT Y537 ERa 76 LBD. 77

Our laboratory recently developed a novel isoguinoline-based SERM, T6I-29, based on 78 79 structural insights from the recently approved elacestrant and other SERMs and SERDs, to better understand mechanisms of hormone therapy efficacy in Y537S ESR1 breast 80 tumors [25]. The active enantiomer, T6I-29-1A, showed significant anti-proliferative 81 82 activities in cultured ER+ breast cancer cell lines; however, its anti-tumoral activities remained to be examined in vivo [25]. In this paper, we reveal how T6I-29 interacts with 83 Y537S ERa LBD to engage anti-proliferative activities, downregulate target genes, and 84 elicit anti-tumoral activities in vivo. Importantly, we identify neomorphic antiestrogenic 85 activities through the downregulation of DKK1, a tumor-secreted glycoprotein that is 86 associated with metastasis in other cancers [26-29]. Subsequent profiling of circulating 87

DKK1 shows a significant elevation of DKK1 in the plasma of ER+ breast cancer patients
versus healthy controls, which increases with tumor stage.

90 **RESULTS** 

91 T6I-29 Enforces the Antagonist Conformation of the Y537S ERα Ligand Binding Domain

92 The T6I SERM scaffold adopts a unique ligand binding pose within the WT ERα hormone 93 binding pocket to favor the therapeutic ligand binding domain (LBD) helix 12 (H12) 94 antagonist conformation [25]. It also shows effective anti-proliferative activities in Y537S 95 ESR1 MCF7 breast cancer cells [25]. Here, we solved an x-ray co-crystal structure of T6I-29 in complex with Y537S ERa LBD to reveal the structural basis of anti-cancer activities. 96 The T6I-29 structure was solved to 2.20 Å with a canonical ERα homodimer in the 97 asymmetric unit. Figure 1 shows the structural analysis of the Y537S ERa LBD-T6I-29 98 complex. Figure 1A shows an overview of the Y537S ERa LBD homodimer-T6I-29 99 complex. In the "B" monomer, there are significant crystal contacts in the H11-12 loop 100 and H12 regions confounding analysis. Therefore, analysis is primarily based on the "A" 101 monomer where these crystal contacts are not present. 102

T6I-29 is resolved in the hormone binding pocket, but reduced difference density is observed in the fluoropropyl group suggesting that the side-arm is more mobile in the Y537S than the previously described WT LBD (**Figure 1B**) [25]. The isoquinoline core forms a hydrogen bond network with E353, R394, and a water molecule within the hormone binding pocket, while the pyrrolidine side-arm forms a hydrogen bond with D351 and the fluorpropyl group adopts a conformation between D351 and helix 12 (H12) (**Figure 1B**). Our earlier study showed that the ineffective SERM 4-hydroxytamoxifen 110 (4OHT) poorly enforced the Y537S H12 antagonist conformation with S537 at too great a distance to form a hydrogen bond with E380 (Figure 1C) [19], whereas effective 111 molecules like raloxifene (RAL) maintained a WT-like antagonist conformation with a well 112 resolved H12 and a hydrogen bond between S537 and E380 (Figure 1D). Compared to 113 existing structures of SERMs and SERDs in complex with Y537S ERa LBD, the T6I-29-114 bound structure is most like raloxifene (RAL), which showed significant anti-transcriptional 115 efficacy in breast cancer cells harboring Y537S ESR1 [24]. H12 is superimposable 116 between the RAL and T6I-29 structures. However, the 537S side chain is poorly resolved 117 in the T6I-29 structure (Figure 1B) suggesting that, while more effective than 4OHT, it is 118 less effective than RAL. 119

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**Figure 1:** Structural basis of T6I-29 efficacy in Y537S *ESR1* breast cancer cells. A) Overview of the Y537S ER $\alpha$  LBD homodimer x-ray co-crystal structure with T6I-29 (green sticks) bound in the hormone binding pocket. Helix 12 (H12) is highlighted in green. B) T6I-29 interactions with residues in the hormone binding pocket, the difference density map of relevant atoms are shown in blue mesh. C) Difference density map from the Y537S-4OHT x-ray co-crystal structure highlighting the poor density of H12 that is representative of poor transcriptional antagonists in Y537S *ESR1* breast cancer cells. D) Difference density map from the Y537S-RAL x-ray co-crystal structure highlighting the improved density of H12 that is found in effective transcriptional antagonists in Y537S *ESR1* breast cancer cells. E) Superposition of T6I-29 (green) with RAL (blue) x-ray co-crystal structures. F) Superposition of T6I-29 in complex with WT (cyan) or Y537S (green) ER $\alpha$  LBD. G) Chemical structures of T6I-29, T6I-14-1, and T6I-4-1. H) Side-by-side comparison of ligand, E380, and S537 difference density maps for T6I-29, T6I-14-1, or T6I-4-1 in complex with Y537S ER $\alpha$  LBD. All difference density maps are 2mFo-DFc and are contoured to 1.0  $\sigma$ . Protein DataBank (PDB) accession codes are: 9BPX for Y537S-T6I-29, 7UJ8 for Y537S-T6I-4-1.

Effective SERMs and SERDs maintain a WT-like H12 antagonist conformation when 124 Y537S mutation is present [24]. Here, few differences are observed between the WT and 125 Y537S T6I-29 x-ray co-crystal structures (Figure 1F). H12 in the Y537S structure lies in 126 a slightly altered position but is still docked in the AF-2 cleft compared to the WT. This 127 suggests that there is only a minor impact to the H12 antagonist conformation due to the 128 129 presence of the mutation. Interestingly, the unique impact of T6I-29 on F425 conformation is maintained between the WT and Y537S ERα LBD co-crystal structures (Figure 1F). 130 We also solved x-ray crystal structures of analogous T6I-SERMs T6I-14-1 and T6I-4-1 to 131 better understand the structural-basis of activities. The T6I-14-1 structure was solved to 132 1.98 Å, and the T6I-4-1 structure was solved to 1.75 Å. Compared to T6I-29, T6I-14-1 133 lacks a fluoro group on the propyl side arm while T6I-4-1 contains a propylazetidine size 134 arm (Figure 1G). In each case the T6I core adopts an identical conformation and few 135 conformational differences are observed in H12, S537, and E380 (Figure 1H). Therefore, 136 different side-arms can be accommodated on the T6I scaffold to induce the effective H12 137 conformation in Y537S ERα LBD. 138

The Y537S ERα LBD mutation can impact the conformational dynamics of the SERM or 139 SERD-saturated complex [19, 30]. Atomistic molecular dynamics simulations were 140 performed to identify potential differences in the mobility between WT and Y537S ERa 141 LBD in complex with 4OHT, lasofoxifene (Laso), T6I-29, or elacestrant (Rad1901). 4OHT 142 is a major active metabolite of tamoxifen and is a SERM that shows reduced efficacy in 143 the presence of *ESR1* LBD mutations [19, 20]. Laso is also a SERM, but it retains efficacy 144 in the presence of Y537S ERa [31]. It is currently in clinical trials (ELAINE trials) for 145 treatment of advanced stage ESR1 mutant breast cancer [31, 32]. Rad1901 has recently 146

been approved for treatment of advanced ESR1 mutant breast cancers [33, 34]. In all the 147 simulated systems, the root mean squared fluctuation (RMSF) is low except in regions 148 with the residues 322-342, 392-422, 452-472, and 522-535 (H11-12 loop). Differences in 149 the molecular dynamics induced by the Y537S mutation were most pronounced in the 150 H11-12 loop (residues 525-536) (Supplemental Figure 1). For each complex, the Y537S 151 mutant has a much higher RMSF than WT in the H11-12 loop region. These higher 152 fluctuations are consistent with the poorly resolved electron density of the x-ray crystal 153 structures. Interestingly, T6I-29 appears to increase the RMSF to the greatest extent of 154 155 any of the ligands in the WT LBD, suggesting that it may have unique effects on this region of the protein. 156

# T6I-29-1A Attenuates the Proliferation, Migration, and ERα Target Gene Upregulation in Breast Cancer Cells Harboring Y537S ESR1

The active enantiomer of T6I-29, T6I-29-1A, was first assessed for its anti-proliferative 159 activities in Y537S ESR1 breast cancer cell lines compared to clinically relevant 160 compounds and other T6I SERMs. Clinically relevant compounds included fulvestrant 161 (ICI), 4OHT, Laso, Rad1901, and giredestrant (Gir). Rad1901, an orally available SERD 162 also retains efficacy in the presence of ESR1 mutations, and was recently FDA-approved 163 for patients with ESR1 mutated advanced ER+ breast cancer based on the positive 164 165 results of the phase III EMERALD trial [35]. Gir is an orally available SERD, also in clinical trials for treatment of advanced ER+ breast cancers [36, 37]. Figure 2 shows the impact 166 of T6I-29-1A on Y537S ESR1 cell proliferation and ER target gene regulation. To assess 167 168 anti-proliferative effects, T47D Y537S ESR1 and MCF7 Y537S ESR1 breast cancer cells were treated with 1 µM compound in the presence of 1 nM estradiol (E2) and changes in 169

cell count were measured over time (Figure 2A/B). In both cell lines, T6I-29-1A
significantly blunts cell proliferation comparable to other clinically relevant compounds
(Figure 2A/B). Other T6I compounds T6I-4-1, T6I-6-1, and T6I-10-1 showed limited
success in blunting proliferation in both *ESR1* mutant cell lines (Figure 2A/B).

We next measured the ability of T6I-29-1A to inhibit migratory and stem cell phenotypes of MCF7 Y537S *ESR1* cells. A scratch wound assay showed T6I-29-1A significantly blunted migration in Y537S *ESR1* MCF7 cells (**Supplemental Figure 2A-C**). Mammosphere assays, or 3D colony formation assays, assess the "stemness" of the breast cancer cells [38, 39]. T6I-29-1A decreased the size of mammospheres, but not the total number compared to control, while other relevant compounds decreased both the size and number (**Supplemental Figure 3A-C**).

To investigate effects of T6I-29-1A on ER target gene regulation, we performed RT-qPCR 181 on both MCF7 and T47D Y537S ESR1 mutant cell lines. Cells were treated with 1 µM 182 compound in the presence of 1 nM E2. In Y537S ESR1 T47D cells, T6I-29-1A potently 183 downregulated the ER target gene CA12, but did not significantly decrease expression of 184 PGR, GREB1, and cMYC (Figure 2C-F). Conversely, in Y537S ESR1 MCF7 cells, T6I-185 29-1A significantly downregulated ER target genes GREB1, PGR, and cMYC, but did not 186 CA12 (Figure 2G-J). Although it appears that T6I-29 does not downregulate ER $\alpha$  target 187 188 genes as potently as ICI (with the exception of CA12 in T47D Y537S ESR1 cells) it behaves similarly to Rad1901, recently approved for *ESR1* mutated advanced metastatic 189 190 breast cancer.



**Figure 2:** The impact of T6I-29-1A on the proliferation and ER target gene expression of Y537S *ESR1* mutant breast cancer cells. A) T47D Y537S *ESR1* and B) MCF7 Y537S *ESR1* breast cancer cell proliferation, treated with 1  $\mu$ M compound in the presence of 1 nM E2. Graphs represent mean of three independent replicates, data normalized to E2 treatment, error bars are s.d. Statistical analysis was performed using ANOVA with Tukey post-hoc test. C-F) RT-qPCR in T47D Y537S *ESR1* and G-J) MCF7 Y537S *ESR1* cells. Representative data are the mean of three replicates ± s.d. and error bars show s.d. Significance determined by one-way ANOVA test with tukey post-hoc where \*p < 0.05, \*\*p < 0.005, \*\*\*p < 0.0005, and \*\*\*\*\*p < 0.00005.

In ER+ breast cancers, ERa recruits various coactivators to fuel transcriptional-driven 194 195 tumor growth, with steroid receptor coactivator-3 (SRC3) being one of the most 196 associated with pro-oncogenic activities [40-42]. SERMs and SERDs favor a H12 conformation that disfavors SRC3 binding via LXXLL motifs in the activating function-2 197 198 cleft of ERa [43]. We used the NanoBiT assay to measure how T6Is and other relevant compounds impacted the association between SRC3 and WT or Y537S ERa [44]. 199 200 Clinically relevant compounds used in this assay included: Gir, ICI, amcenestrant (Amc), camizestrant (Cam), 4OHT, Laso, Rad1901, and lead T6Is (T6I-29-1A, T6I-4-1, and T6I-201 6-1). The SERD Amc was recently discontinued after phase II clinical trials after failure to 202 meet primary endpoints [45]. Cam is an oral SERD currently in clinical trials [46, 47]. 203 Plasmids encoding either wild-type (WT) smBiT-ERa or mutant smBiT-Y537S ERa were 204 co-transfected with a plasmid encoding IrgBiT-SRC3 into HEK293T cells. Following 205 transfection, cells were introduced into charcoal-stripped serum depleted of hormone for 206 72 hours. Cells were then treated with serial dilutions of SERM or SERD (5-fold from 5 207 µM to 12.8 pM in triplicate, over three biological replicates) in the presence of 1 nM E2. 208 209 Each plate included DMSO and 1 nM E2 control wells in triplicate. After 48 hours of treatment, which showed the best signal-to-noise ratio, wells were read for luminescence. 210 From this, we derived IC50 data for WT-SRC3 and mutant Y537S-SRC3 interactions in 211 the presence of different drug treatments. Figure 3 shows the IC50s of relevant clinical 212 compounds and T6Is on this protein-protein interaction. 213

Table 1 shows IC50 values for each compound in WT and Y537S-SRC3 interactions. All
 compounds tested showed increased inhibitory potency in the WT setting compared to

Y537S (Table 1). In the WT setting, SERDs including Gir and ICI demonstrated the 216 greatest potency followed by SERMs Laso and 4OHT, while Rad1901 and the T6Is, 217 including T6I-29-1A, had the lowest IC50s (Figure 3A-C, Table 1). In the Y537S setting, 218 Laso showed the greatest inhibitory potency while Rad1901 and the T6Is remained the 219 least potent (Figure 3D-F, Table 1). It should not be surprising that Laso showed the 220 221 greatest potency in the presence of the mutant since it also maintains its binding affinity and enforcement of the LBD antagonist conformation [31]. Both Rad1901 and the T6Is 222 required additional treatments up to 20 µM in order to measaure IC50 values in the Y537S 223 224 setting (Figure 3F). In concordance with these findings, there is a larger difference in IC50 values between WT and Y537S in SERMs 4OHT, Rad1901 and the T6I compounds 225 compared to SERDs (ICI, Gir, Amc, Cam) (Table 1). Based on these data, Rad1901 as 226 well as the T6Is may primarily function to blunt tumor growth via other mechanisms of 227 antagonism than this specific coactivator interaction with ER $\alpha$  and SRC3. 228



**Figure 3:** Lead T6Is and clinically relevant SERMs and SERDs inhibit WT and Y537S ER $\alpha$ -Coactivator binding. Clinically relevant A) SERD and B) SERM inhibition curves with WT-SRC3 binding. C) Rad1901 and T6Is inhibition curves for WT-SRC3 binding. D-F) Same as A-C, but with Y537S-SRC3 binding. Data are shown as the mean  $\pm$  s.d.

WT-SRC3 IC50, R <sup>2</sup>				Y537S-SRC3 IC50, R <sup>2</sup>			<b>ΔIC50</b>					
Compound	IC50 ± StDev	Log(IC50) ± StDev	R <sup>2</sup>	IC50 ± StDev	Log(IC50) ± StDev	R <sup>2</sup>	<b>ΔIC50</b>	ΔLog(IC50)				
ICI	2.83 ± 0.21	0.45 ± 0.68	0.8	23.05 ± 17.33	$1.36 \pm 1.24$	0.8072	20.22	0.91				
Gir	0.68 ± 0.25	0.17 ± 0.60	0.87	47.63 ± 11.40	$1.68 \pm 1.06$	0.7938	46.95	1.51				
Laso	1.74 ± 0.57	0.24 ± 0.24	0.8889	$11.34 \pm 3.53$	$1.05 \pm 0.55$	0.8377	9.59	0.81				
Amc	3.00 ± 2.04	0.48 ± 0.31	0.7696	38.20 ± 10.63	1.58 ± 1.03	0.7411	35.20	1.11				
Cam	5.41 ± 1.36	0.73 ± 0.13	0.7941	50.08 ± 87.53	1.70 ± 1.94	0.7547	44.67	0.97				
40HT	21.67 ± 31.70	1.50 ± 1.34	0.7472	42.91 ± 18.13	1.63 ±1.25	0.815	21.22	2.30				
Rad1901	17.97 ± 32.34	1.25 ± 1.50	0.85	3578 ± 1217.07	$3.55 \pm 3.08$	0.6991	3560.03	2.30				
T61-29-1A	47.59 ± 32.34	1.67 ± 1.51	0.7966	4039 ± 2511.38	3.61 ± 3.40	0.6087	3991.41	1.93				
T6I-4-1	622.8 ± 8884.41	2.79 ± 3.95	0.6525	7005 ± 7650.15	$3.85 \pm 3.88$	0.4355	6382.20	1.05				
T6I-6-1	262.3 ± 287.537	2.41 ± 2.46	0.7059	3762 + 3336 23	3 58 + 3 52	0 4845	3499 70	1 16				

**Table 1:** IC50s and standard deviations of clinically relevant and T6I compounds on inhibition of receptor-coactivator interaction. Left: IC50s, standard deviation, and R<sup>2</sup> of WT-SRC3 co-transfection interaction. Middle: IC50s, standard deviation, and R<sup>2</sup> of Y537S-SRC3 co-transfection interaction. Right: Differences in IC50 values between WT-SRC3 and Y573S-SRC3 co-transfection interactions. All data represents three biological replicates.

#### 230

#### 231 Pharmaceutical Properties of T6I-29

- 232 Preliminary drug metabolism and pharmacokinetics (DMPK) and adsorption, distribution,
- metabolism (ADME) were measured to determine the suitability of T6I-29 for in vivo

studies. **Figure 4** shows DMPK and ADME profiles of T6I-29 *in vivo* preliminary studies. For the DMPK studies, 25 mg/kg was chosen as the starting dose and it was tested by intraperitoneal (IP) and oral gavage (PO) administration routes in C57/BL/6J mice (**Figure 4** A/B). For drug delivery vehicle we used 20% DMSO dissolved in 20% captisol in water for IP and the pH was adjusted with HCI. For PO, 2% tween 80 and 0.5% methylcellulose was used in water (**Supplemental Tables 1/2).** T6I-29 shows a serum half-life is 3.60  $\pm$ 



**Figure 4:** Pharmacokinetics of T6I-29-1A measured at 25 mg/kg dose A) By IP and B) By PO. Serum half-life was interpolated from curves. Three mice were used per study. Plasma concentration measured by ELISA.

240 0.07 and 4.02  $\pm$  0.96 hours by IP and PO respectively. Its mean Cmax was 5,053  $\pm$  995 241 and 752  $\pm$  70 ng/mg for IP and PO respectively. The AUC was 8,350  $\pm$  1,038 and 2,931 242  $\pm$  503 h\*ng/mL for IP and PO respectively. The ADME for T6I-29 in human and mouse 243 plasma protein binding showed that 1.54% and 2.57% fraction unbound by protein 244 respectively. This ADME profile is similar to other SERMs and SERDs, with tamoxifen 245 also showing greater than 98% protein binding [48]. No signs of toxicities were observed 246 in these preliminary studies. 249 To characterize the effects of T6I-29 on tumor growth and to determine the best mode of 250 delivery, we used an ectopic murine Y537S ESR1 MCF7 xenograft model and treated 251 with different doses of T6I-29-1A. Female NOD/SCID ovariectomized mice were bilaterally injected with homozygous Y537S ESR1 MCF7 cells in their mammary fat pads. 252 253 After tumors reached 100 mm<sup>3</sup>, mice were randomized into different treatment groups. Figure 5 shows anti-tumoral effects of T6I-29-1A in preliminary *in vivo* IP and PO studies. 254 We found that via IP injection five times a week, T6I-29-1A appeared to significantly inhibit 255 256 tumor growth at 25 mg/kg and 100 mg/kg doses, measured at day 9 of treatment (n= 4-8 tumors/treatment group) as measured by caliper three times per week (Figure 5A). 257 Examining metastatic lesions at common sites (liver, lung, brain, femurs, and uterus) by 258 pathologist Dr. Khin Su Mon showed the fewest number of metastases occurred with the 259 25 mg/kg dose of T6I-29-1A (Figure 5B). There was no significant uterine stimulatory or 260 antagonistic effects with any dose of T6I-29-1A (Supplemental Figure 4A/B). We did 261 not observe a significant survival benefit with any dose of T6I-29-1A by IP in this pilot 262 study but the 100 mg/kg cohort trended towards significance (p = 0.056). (Figure 5C). 263 264 Representative metastatic lesions in the liver, adrenal gland, femur, and uterus by H&E stain are shown (Figure 5D). 265

To investigate whether oral administration maintained tumor blunting activities, heterozygous, luciferase tagged Y537S *ESR1* MCF7 were used. Using the same cell injection and mouse randomization protocol, we monitored tumor growth with 5 and 25 mg/kg doses of T6I-29-1A, administered five times per week by oral gavage. By caliper, T6I-29-1A did not appear to significantly inhibit tumor growth (**Figure 5E**). However, tumors were also analyzed using bioluminescence imaging with the IVIS system, and through this method, T6I-29-1A significantly diminished tumor growth compared to vehicle at 25 mg/kg treatment (Figure 5F). Ex vivo analysis of common sites of breast cancer metastasis (liver, brain, femurs, uterus) showed a trend toward significant decrease with increasing dose of T6I-29-1A (Figure 5G). To this end, metastatic characterization by Dr. Marteen Bosland confirmed some metastatic lesions as determined by IVIS system (Supplemental Figure 5A-C). However, very few metastatic lesions were found overall via histological staining, revealing shortcomings of this xenograft model. We did not observe any uterine stimulatory or degradation with oral dosing of T6I-29-1A (Supplemental Figure 6C/D). 



**Figure 5:** T6I-29-1A inhibits tumor growth in preliminary *in vivo* studies. A) Tumor growth (error bars indicate SEM) in I.P. pilot study, n= 4-8 tumors/ group. Significance is measured by Two-Way Anova with Bonferroni post-hoc test, results indicate day 9 treatment analysis. B) Total metastatic lesions as measured by H&E staining by Dr. Khin Su Mon across groups. C) Survival curve of I.P. pilot study, significance determined using log rank test. Veh vs 100 mg/kg p=0.0624. D) Representative photos capturing metastases (top to bottom) in liver (vehicle treated), left femur (vehicle treated), adrenal gland (100 mg/kg treated), and uterus (vehicle treated). E) Tumor growth (error bars indicate SEM) in oral pilot study, n= 6 tumors/ group. Analyzed with Two-Way Anova with Bonferroni post-hoc test. F) Tumor luminescence of oral pilot study measured weekly (error bars indicate SEM). Analyzed with unpaired t-test at treatment week 3. G) Luminescence of liver, lung, brain, femurs, uterus were measured for each mouse in each group *ex vivo* (error bars indicate s.d.), results were graphed based on treatment groups, including both sides of organ luminescent signal. Anova with Tukey post-hoc statistical test was used to determine significance.

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#### 289 ICI Exhibits Improved Tumor Growth Inhibition Compared to T6I-29-1A

Based on our preliminary murine pilot IP and PO studies (**Figure 5**), we used a 25 mg/kg IP dose in a comparative study to ICI to investigate tumor growth and metastatic colonization differences between treatment conditions with increased statistical power. Using the same ectopic xenograft model, heterozygous luciferase tagged Y537S *ESR1* MCF7 cells were bilaterally injected into the mammary fat pads of female NOD/SCID ovariectomized mice, and mice were randomized to different treatment groups when

tumors reached 100 mm<sup>3</sup> (10 mice/ group). Figure 6 shows anti-tumoral effects of T6I-296 29-1A compared to a clinical standard for advanced ER+ breast cancer, ICI. Mice were 297 treated with Vehicle (Veh), 25 mg/kg T6I-29-1A five times per week, or a clinically relevant 298 dose of 25 mg/kg ICI once per week [49]. We observed a reduced but not significant 299 reduction in tumor growth in the T6I-29-1A-treated group compared to vehicle, while ICI 300 significantly blunted tumor growth, as measured by digital caliper (Figure 6A, 301 Supplemental Figure 7A). While ICI significantly decreased final uterine weights, T6I-302 29-1A had no significant stimulatory or degrading effects (Figure 6B). Previous studies 303 304 have shown that SERM treatment increases endometrial thickness due to estrogenic nature of compounds, while SERDs such as ICI, inhibit growth [50-52]. To this end, rodent 305 uterine models are used to assess estrogenic-stimulatory capacity of compounds, and 306 higher estrogenic stimulation may indicate higher risk for endometrial cancer [49, 53, 54]. 307 In an additional uterine SERM agonist study, we measured endometrium thickness in 308 female BALB/c ovariectomized mice treated with 4OHT, ICI, and T6I-29-1A in the 309 presence and absence of E2 compared to vehicle with and without E2 treatment 310 (Supplemental Figure 8). Based on analysis of endometrium thickness, 40HT treatment 311 312 significantly increased width, T6I-29-1A treatment did not have a significant effect, and ICI diminished the thickness (Supplemental Figure 8A-E). 313

In the comparative study with T6I-29-1A and ICI, survival increase was not significant for mice treated with T6I-29-1A (p= 0.0966), while it was significantly prolonged for ICI treated mice (**Figure 6C**). Tumor growth was also monitored via bioluminescent imaging using the IVIS system. We observed that tumor luminescence signal was significantly diminished by T6I-29-1A, measured at treatment week 2, but tumor luminescence was 319 non-significant at week 3, indicating a potential early anti-tumor effect that is lost over time (Figure 6E). However, there was no significant difference in bioluminescent signal 320 when comparing ICI to vehicle (Figure 6E). IVIS ex vivo analysis showed no statistical 321 difference in metastatic bioluminescence of common sites (liver, brain, femurs, uterus) 322 (Figure 6F). While some of these metastatic sites measured by IVIS were confirmed by 323 pathology analysis of H&E stained tissues, these results showed very little metastatic 324 burden across any group (Supplemental Figure 9A-E). Individual sites metastatic 325 luminescence was quantified individually, all with no significant change in metastases, 326 327 with exception of right femur (Supplemental Figure 10A-G). RT-qPCR was used to quantitate ER target gene effects with different treatment groups, with trends towards 328 downregulation in T6I-29-1A treated mice, that is heightened with treatment of ICI, 329 although no significance was noted (Supplemental Figure 11A-G). 330



**Figure 6:** ICI blunts tumor growth more effectively than T6I-29-1A. A) Tumor growth (error bars represent SEM) in vehicle, T6I-29-1A, and ICI treatment groups (n=17-20 tumors/ group). Significance is measured by Two-Way Anova with Bonferroni post-hoc test. B) Final uterine weights (n= 10 mice/ group). Significance is measured by Anova with Tukey post-hoc test. C) Survival curve compared to vehicle. Log Rank test used to determine significant survival benefit. Veh vs. T6I-29-1A: p=0.0966, Veh vs. ICI: p=0.0001, T6I-29-1A vs. ICI p=0.0052. D) Representative weekly IVIS bioluminescent imaging denoting weekly tumor growth. Scale bar shown on right. E) Quantified luminescence for each treatment group (error bars represent SEM). Significance was determined using unpaired t test at each week with Mann-Whitney correction. F) Ex vivo metastatic luminescence at common sites (liver, femurs, uterus, brain) for each mouse. Anova with Tukey post-hoc was used to determine significance.

331

## 332 T6I-29 Uniquely Downregulates DKK1 in Y537S ESR1 Breast Cancer Cells

333 Structurally unconventional SERMs and SERDs can reveal new ER-coregulator 334 interactions and transcriptional activities [25, 55]. In WT *ESR1* breast cancer cells T6I-335 29-1A showed unique effects on genes related to SUMO and SUMOylation [25]. Here, 336 RNA-sequencing was used to determine whether T6I-29-1A engaged unique 337 transcriptional programs in MCF7 Y537S *ESR1* cells. RNA was isolated from cells treated 338 with relevant clinical compounds (ICI, Laso, and Rad1901) and T6I-29-1A at 1 μM in the 339 presence of 1 nM E2 for 24 hours. **Figure 7** shows distinct transcriptional programs 340 engaged by T6I-29-1A compared to other SERMs and SERDs. While there was significant overlap between all treatment conditions, T6I-29-1A uniquely and significantly 341 downregulated pathways associated with cell morphogenesis and components of the 342 extracellular matrix (Figure 7A/B). As we previously observed in WT ESR1 cells T6I-29-343 1A shares the most differentially expressed transcripts in common with ICI (Figure 7C) 344 [25]. Pathway analysis showed that T6I-29-1A uniquely impacted genes associated with 345 the Wnt/β-Catenin pathway, including cell adhesion and morphogenesis (Figure 7D). 346 Interrestingly, these were Y537S ESR1 allele-specific pathways previously shown to 347 enhance the metastasis of breast cancer cells harboring the mutant [56]. The gene that 348 was most significantly downregulated by T6I-29-1A is DKK1, (gene for Dickkopf-1) a 349

known modulator of the Wnt/β-Catenin pathway (**Figure 7E**) [57]. Based on these findings, we further studied the significance of *DKK1* in ER+ breast cancer.

352



**Figure 7:** RNA-sequencing reveals DKK1 downregulation uniquely by T6I-29-1A. A) Gene ontology and B) Reactome of T6I-29-1A. Increasing red color denotes higher significance (smaller P value), with dot size correlating to number of transcripts. GeneRatio refers to number of transcripts changed in T6I-29-1A treated cells versus genes associated with each term. C) Uniquely and shared differentially expressed transcripts with T6I-29-1A, Laso, Rad1901, and ICI. T6I-29-1A uniquely regulates 204 transcripts. D) Pathways most differentially regulated by T6I-29-1A. E) *DKK1* downregulation by different antietrogen treatments. T6I-29-1A most significantly downregulates the gene expression, followed by ICI.

#### 354 DKK1 is Elevated in the Plasma of ER+ Breast Cancer Patients

DKK1 is a secreted glycoprotein that is classically known as an inhibitor in the Wnt/ $\beta$ -355 356 Catenin pathway, although it demonstrates non-canonical activities that that are 357 implicated in pathogenic progression across many cancers [26, 57, 58]. In breast cancer, DKK1 is amplified in the serum of breast cancer patients with bone metastases [59, 60]. 358 359 However, there were relatively few studies to show the patient-relevance of DKK1 expression in ER+ breast cancers. To improve our understanding of the patient-360 significance of differential DKK1 expression, we profiled DKK1 levels in 108 ER+ breast 361 cancer patient plasma samples compared to 105 matched plasma controls from healthy 362 women. These were obtained from the Simon Cancer Center at Indiana University and 363 the Susan G. Komen Tissue Bank respectively. Figure 8 shows patient plasma DKK1 364 concentrations compared to healthy controls. For each sample, an ELISA dilution curve 365 was ran to obtain the linear range of signal absorption (Supplemental Figure 12A). 366 Plasma concentrations were interpolated based on a dilution series from recombinant 367 DKK1 adsorbed on each ELISA plate. DKK1 protein levels are significantly higher in ER+ 368 patient plasma compared to healthy controls (Figure 8A). 369

Correlation with available clinicopathologic variables showed that DKK1 levels were elevated in patients with higher pathologic T stage (Tis-T4) with exception of T4 tumors, however this may be due to small sample size (**Figure 8B**). Pathologic T stage relates to primary tumor size, with higher T stage indicative of larger primary tumor size [61]. When stratified by self-reported race, Caucasian patients showed a bi-modal distribution, while African American patients were in the middle of the two distributions but trended towards high levels (**Figure 8C**). So, while DKK1 levels are not significantly different in patients stratified by race, future studies may reveal a disparity in DKK1 expression between these
patient populations. Together, these data further add to the body of evidence that DKK1
protein levels are elevated ER+ breast cancer patients compared to healthy controls.
They also point to a potential role and ER-dependence in endocrine-resistant breast
cancer patients.



**Figure 8:** DKK1 levels are increased in ER+ patients compared to healthy control samples. A) Plasma DKK1 values interpolated by ELISA assay, significance determined by unpaired t-test, \*\*\*\*p < 0.00005. B) DKK1 values stratified by T path grade, significance determined by Kruskal Wallace test, with Dunn's multiple comparison, \*p < 0.05, \*\*p < 0.005, and \*\*\*p < 0.0005. C) DKK1 values stratified by self-reported race. Significance determined by Mann Whitney test. DKK1 values are all based on a 1 to 100 dilution in the ELISA assay interpolated to the standard curve.

382

#### 383 **DISCUSSION**

In examining the potential utility of the SERM T6I-29-1A in Y537S *ESR1* mutant breast cancers, this study revealed a potential new method to modulate DKK1, a paracine factor associated with metastatic progression in many cancers. Comprehensive structural studies show that T6I-29 engages the S537-E380 hydrogen bond that is associated with improved anti-proliferative efficacy [24]. It also maintains the unique influence on helix 8, specifically perturbing F425, which was observed in the WT ERα LBD co-crystal structure
In situ coactivator (SRC3) binding studies show that T6I-29 largely matches the
potency and efficacy of elacestrant (Rad1901). Whereby, potency is reduced in the
presence of the Y537S mutation, but the interaction can be fully inhibited at higher
concentrations.

394 Pilot in vivo studies showed a significant inhibition of tumor growth when Y537S ESR1 xenograft tumors were treated with T6I-29-1A, with more significant anti-tumoral effects 395 observed in the I.P. pilot study. Interestingly, although T6I-29-1A structurally is more 396 397 SERM-like, we did not observe any change in uterine weights in any study, even with the highest dosing of T6I-29-1A. This agrees with the reduced alkaline-phosphatase activities 398 that were previously observed in Ishikawa endometrial cells [25]. Therefore, while T6I-29-399 1A does not induce ERa degradation like a SERM, it does not have the uterine-stimulating 400 liabilities of SERMs like tamoxifen. 401

Although initially promising, a powered comparative study with ICI (a current clinical 402 standard-of-care) T6I-29-1A falied to significantly decrease tumor burden when 403 measured by digital caliper; however, T6I-29-1A had a significantly diminished 404 luminescent signal compared to vehicle (measured weekly by IVIS Spectrum imaging 405 machine) while ICI did not. When investigating metastatic burden in the mice treated with 406 407 T6I-29-1A, our studies largely found no effect from the drug versus vehicle treatment. With ex vivo measuring of metastatic organs, we observed that T6I-29-1A had a 408 significantly diminished luminescent signal in the oral dosing pilot study in the brain 409 410 specifically, but overall metastatic burden was not significantly decreased (p=0.0540). However, mice did not show signs of toxicities with one mouse receiving 100 mg/kg daily 411

by I.P. for forty days. It may be the case that optimizing the vehicle formulation, treatment
schedule, and increasing the dose will improve the anti-tumoral properties of T6I-29-1A.
Moreover, this scaffold will also benefit from further optimization to maximize potency and
improve DMPK and ADME.

These *in vivo* studies present additional opportunities for future directions. While we did 416 417 not see significant differences overall in metastatic lesions, the duration of the studies were quite short, as tumors grew out very quickly. In the future, resecting the initial tumor 418 419 in the mice, followed by monitioring the mice until tumors reach growth endpoints again 420 might allow for more metastatic lesions to occur and aid in measuring. A limitation of this study is that not many metastatic lesions were found by pathology (H&E) staining, and 421 422 these MCF7 Y537S ESR1 cells are known to have metastatic colonization properties [20, 62]. It is also important to note that these tumors are xenograft models, where tumor cells 423 are injected into the mammary fat pad. However, moving forward, other models that better 424 recapitulate the breast microenvironment should be considered. This includes the Mouse 425 Mammary Intraductal Method (MIND) model, which resembles human disease to a 426 greater degree [31, 63, 64]. 427

Unconventional SERMs and SERDs can reveal neomorphic ERα activities by targeting
unique LBD structural elements and repopulating coregulator complexes [55]. In WT *ESR1* breast cancer cells, T6I-29-1A uniquely impacted genes related to SUMOylation
[25]. In this study, mRNA sequencing shows that T6I-29-1A significantly downregulates
DKK1, a paracine factor associated with metastasis in other cancers. The significance of
this finding extends beyond cultured breast cancer cell lines because DKK1 is significantly
elevated in the blood plasma of ER+ breast cancer patients compared to healthy donor

controls. While these findings are exciting, we are limited by the annotation of our initial pilot cohort. As such, further profiling in patients with complete genomic profiling, treatment histories, and outcome is required to understand who these DKK1<sub>high</sub> patients are. If, as our data suggest, DKK1 expression can be modulated by a SERM and the glycoprotein contributes to metastatic progression, then this study has revealed a new therapeutic axis that can be exploited to treat endocrine-resistant *ESR1* mutant breast cancer.

#### 442 MATERIALS AND METHODS

443 Chemicals, reagents, and kits

All T6I SERMs were synthesized as previously described [25]. 17β-estradiol was 444 purchased from Millipore Sigma (50-28-2) and used for all experiments. 4-445 hydroxytamoxifen, fulvestrant, Rad1901, lasofoxifene, giredestrant, amcenestrant, and 446 camizestrant were purchased from MedChem Express (catalog numbers HY-16950, HY-447 13636, HY-19822, HY-A0037, HY-109176, HY-133017, HY-136255, respectively). All cell 448 culture, bacterial expression media and reagents, and quantitative PCR reagents were 449 purchased from Thermo Fisher Inc. RNA extraction was performed using RNeasy mini kit 450 from Qiagen (catalog number 74106). 451

452 Protein Expression, Purification, and Crystal Structure Determination

Estrogen receptor ligand binding domain (positions 300 - 550) with C381S, C417S, C530S, and Y537S was recombinantly expressed in *E.coli* and purified exactly as described [25]. For the x-ray co-crystal structures with T6Is, 1 mM of each SERM was incubated overnight at 4°C with 400 µM ERα LBD. The next morning, the mixture was

centrifuged at 16,000 xq for 30 minutes to remove any precipitate. Hanging drop vapor 457 diffusion was used to obtain diffraction guality crystals whereby 2 µL of the LBD mixture 458 at 5 or 10 mg/mL was incubated with 2  $\mu$ L of mother liquor. After an average of a week 459 rectangular crystals formed in 20-30% PEG 8,000, 200 mM MgCl<sub>2</sub>, and 100 mM HEPES 460 pH 7-8.0. Crystals were cryo-protected in mother liquor with 25% glycerol. X-ray 461 462 diffraction data sets were collected and processed using the automated protocols at the 17-ID-1 beamline at the NSLS-II, Brookhaven National Laboratories. Supplemental 463 **Table 3** shows the x-ray data collection and refinement statistics. Molecular replacement 464 465 was used to solve the structure using PDB: 8DUK with the ligand removed as the starting model. Elbow was used to generate ligand constraints. Ligands were placed in the 466 orthosteric hormone binding pocket after clear difference density was observed following 467 the first round of refinement using Phenix refine [65]. Iterative rounds of Phenix refine 468 followed by manual inspection and editing in Coot was used to fully solve the structures. 469 Unresolved atoms were not included in the final model. 470

471 Molecular Dynamics Simulations

Molecular dynamics (MD) simulations were performed of the Estrogen Receptor alpha 472 (ERalpha) wild type (WT) and Y537S mutant monomer, in complexes with four ligands: 473 4-hydroxytamoxifen (4OHT), lasoxifene (Laso), RAD1901, and T6I-29. Models were built 474 475 based on the corresponding crystal structures, except that models of the Y537S mutant bound to 4OHT and RAD1901 were based on superposing the ligand into the crystal 476 structure originally solved with T6I-29 (PDB: 9BPX). As the crystal structures contain 477 478 thermostabilizing mutations of exposed serines to cysteines, these were reverted to wild type using PDBFixer (v1.9). Protein-ligand complexes were protonated at a pH of 7.0 479

with pdb2pgr30 (v3.6.1) [66]. Amber ff14SB, General Amber force field (GAFF), and 480 OPC3 force fields were used to parameterize protein, ligand, and solvent topologies, 481 respectively [67, 68]. Simulations were performed using the open source MD engine 482 OpenMM (v8.0.0) with the Langevin Middle Integrator maintaining a temperature of 300 483 K with a timestep of 3 femtoseconds [69]. Constant pressure simulations used a Monte 484 485 Carlo barostat with a pressure of 1 bar. Each protein-ligand complex was equilibrated with 125 ps of constant volume simulation and 500 ps of constant pressure simulation. 486 Production runs comprising 500 ns of constant pressure simulation for each protein-ligand 487 complex were computed in triplicate. Root-mean-square fluctuations (RMSF) of 488 production simulation were calculated using the open source python package MDAnalysis 489 (v2.4.2) [70, 71]. 490

491 Mammosphere Assays (MS)

MCF7 *ESR1* Y537S mutant cells were seeded at single cell density of 400cells/well on 96W low attachment plates. MS medium was prepared according to Dontu et al. and supplemented with 1% methyl cellulose to prevent cellular aggregation [72]. After 7 days in culture, the number and average diameter size of mammospheres ≥50 or 75µm in diameter determined.

497 Cell Culture

HEK293T/17 cells were purchased from ATCC (CRL-11268) and were cultured in DMEM
(Corning) with 10% FBS. Homozygous MCF7 Y537S *ESR1* cells (generously donated by
Dr. Sarat Chandralapaty, MSKCC) were grown in DMEM (Corning) with 5% FBS
supplementation. Homozygous T47D Y537S *ESR1* cells (generously donated by Dr.

Geoffrey Greene, University of Chicago) were grown in RPMI (Corning) supplemented with 6.5 µg/mL, and 10% FBS. Heterozygous MCF7 Y537S *ESR1* luciferase tagged cells (generously donated by Drs. Geoffrey Greene and Muriel Lainé, University of Chicago) were grown in DMEM (Corning), 5% FBS, and 1% pen-strep and L-glut (Corning). Cells were mycoplasma tested every 15-20 passages and their identities confirmed using STR profiling through ATCC before experiments.

508 Scratch Migration Assay

509 MCF7 Y537S *ESR1* homozygous cells were seeded in a 24 well plate and monitored until 510 100 percent confluency was achieved. Cells were pretreated with Mitomycin C 2 hours 511 prior to scratch. At this point, a sterile pipette tip was dragged through the center of the 512 well. Immediately after scratch, media was changed and drug was added for a final 513 concentration of 1  $\mu$ M of T6I-29-1A. Cells were monitored and photos were taken 514 immediately after scratch, and 24 hours after. Distance was measured using imaging 515 software.

516 Murine Breast Cancer Models

517 Murine studies were conducted in compliance with an approved Institutional Animal Care 518 and Use Committee (IACUC) protocols at Loyola University Chicago. Female 519 ovariectomized NOD.Cg-Prkdcscid/J (Jackson Labs) were implanted with 0.30cm silastic 520 capsules containing E2. Mice bilaterally injected with 2 million homozygous MCF7 Y537S 521 *ESR1* cells (generous gift from Dr. Sarat Chandralapaty) in mammary fat pads (pilot 522 mouse study). In subsequent oral pilot study and comparative study with ICI, 523 heterozygous luciferase-labeled MCF7 Y537S *ESR1* cells (generously provided by Dr.

Geoffrey Greene) were bilaterally injected into the mammary fat pads at 1, and 1.5 million 524 per mammary fat pad, respectively. In each experiment, cells were individualized and 525 suspended in 100µL of a 1:1 Matrigel (Corning):DMEM (Corning) mixture. Tumor cell 526 growth was monitored via caliper measurements 3x per week. In oral pilot study and 527 comparative study with ICI, tumor cell growth was also monitored with IVIS Spectrum In 528 Vivo Imaging System (Perkin Elmer). To visualize tumor growth, 100µL of 30 mg/mL D-529 luciferin (PerkinElmer catalog number 122799) suspended in PBS is injected via IP into 530 a 20 gram mouse. After 10 minutes, mice are anestatized with a flow rate of 1-2% and 531 imaged using IVIS Spectrum In Vivo Imaging System (PerkinElmer). Mice were sacrificed 532 when tumor size reached 2000 mm<sup>3</sup> as stated in IACUC protocol. 533

## 534 Ex Vivo Murine Tissue Imaging

In oral pilot study and comparative ICI mouse studies endpoint, mice were IP injected 535 with 100µL of 30 mg/mL D-luciferin (PerkinElmer catalog number 122799) suspended in 536 PBS. Mice were humanely sacrificed, and relevant tissues including, femurs, lung, liver, 537 uterus, adrenal glands, and brain were imaged rapidly with the IVIS Spectrum In Vivo 538 Imaging System (PerkinElmer). Metastatic burden was calculated by measuring the total 539 flux of each organ (photons per second [p/s]) normalized to average radiance (cm<sup>2</sup>/sr) 540 using Living Image Software (PerkinElmer). Statistical analysis of metastatic burden by 541 542 organ and overall was performed using one-way ANOVA with relevant post-hoc tests.

543 Treatments

In the intraperitoneal (IP) Pilot Murine Xenograft experiment, T6I-29-1A was dissolved in 545 50 percent DMSO to PBS vehicle at different dosing concentrations (5,10,25,or 100

mg/kg). T6I-29-1A was administered IP 5 times per week (M-F), with tumor caliper 546 measurements performed 3 times per week. In the oral pilot study, T6I-29-1A was 547 dissolved in 0.2 percent tween 80, .5 percent carboxymethylcellulose (CMC) vehicle at 548 different dosing concentrations of 5 or 25 mg/kg. The comparative murine xenograft 549 experiment had three treatment arms, consisting of 10 mice treated with IP vehicle (50% 550 DMSO in PBS) and subcutaneous (SC) vehicle (5% DMSO, 95% peanut oil). 10 mice 551 receiveing T6I-29-1A were treated at 25 mg/kg IP 5 times per week dissolved in IP 552 vehicle, and mice in fulvestrant (ICI) arm received clinically relevant 25 mg/kg dose SC 553 554 once weekly, as reported as a clinically relevant dose previously [49].

555 Histology

556 Relevant tissues were harvested immediately post-euthanasia and fixed in 10% formalin (Fisher). After 24 hours in formalin, tissues were washed and moved to 70% ethanol in 557 PBS for long term storage and femurs and long bones were decalcified at 4 degrees 558 celcius rocking for 5 days. Hematoxylin and Eosin (H&E) staining was performed by the 559 core at Loyola University Medical Center (LUMC) by Lourdcymole Pazhampally for the IP 560 pilot mouse study and uterine wet weight studies. All other H&E staining was performed 561 using Eprendia Hematoxylin (catalog number 7211) and eosin (catalog number 7111). 562 Slides were analyzed for metastatic lesion analysis by Dr. Khin Su Mon (LUMC pathology) 563 564 in the IP pilot study and Dr. Marteen Bosland (UIC pathology) for subsequent studies.

565 Uterine Wet Weight Study

566 Murine studies were conducted in compliance with an approved Institutional Animal Care 567 and Use Committee (IACUC) protocols at Loyola University Chicago. Adult female 568 ovariectomized BALB/c mice (Jackson labs) were assigned randomly to 8 groups. Mice 569 groups were treated once daily with one of the following: vehicle (0.2 percent tween 80, 570 .5 percent CMC), E2 (0.1mL of 0.1  $\mu$ g/mL E2 in 95% cottonseed oil and 5% ethanol), 571 tamoxifen (50 mg/kg tamoxifen in vehicle), tamoxifen +E2, ICI (25 mg/kg in 95% 572 cottonseed oil and 5% ethanol), ICI+E2, T6I-29-1A (50 mg/kg orally dosed), or T6I-29-1A 573 +E2. After three days of consecutive treatment, animals were humanely euthanized and 574 uteri were weighed and embedded and fixed in cassettes [30].

575 NanoBiT ERα-SRC3 assay

HEK293T/17 cells were grown in a white walled, 96-well clear bottom plate, seeded at 576 9k/well. When cells achieved 50-70% confluence, they were transfected with DNA 577 plasmids containing C-terminus tagged smBiT ERa, smBiT ERa Y537S and N-terminus 578 tagged IgBiT SRC3 were generously donated by Dr. Donald P. McDonnell. smBiT was 579 cotransfected with IgBiT SRC-3 at 0.1 µg/ plasmid per well with 3:1 µL:µg Turbofectin 8.0 580 (Origene, TF81001) with 9µL Opti-MEM/well (Gibco/ Thermo Fisher, catalog number 31-581 985-070) in full media. After 24 hours, media was replaced with serum-starved media for 582 72 hours. Cells were then treated in the presence of 1 nM E2 with different compounds 583 at various concentrations, with 1% vehicle (DMSO) and 1 nM E2 controls on each plate. 584 Cells were treated with Nano-Glo substrate (Promega, catalog number N2012) at a 1:20 585 dilution in buffer and read immediately for luminescent signal using BioTek Cytation 5. 586 The data shown are three biological replicates, with nine total replicates per 587 concentration. 588

589 Cell Proliferation

MCF7 Y537S ESR1 and T47D Y537S ESR1 cells were seeded at low confluencies (750 590 cells per well and 1000 cells per well, respectively) in serum-starved media on a 96 well 591 plate. Cells were in serum starved media for 72 hours prior to treatment. After 72 hours, 592 cells were treated with vehicle (1% DMSO), 1 nM E2, or 1 µM SERM/ SERD +1 nM E2. 593 Cells were grown in a BioSpa attached to a BioTek Cytation 4 and were automatically 594 counted with the BioTek software every 12 hours for 5-10 days, or until the E2-only wells 595 reached confluency. Media and drug treatment were replaced every 3-4 days. Each graph 596 represents three replicates with three separate repeats. 597

598 RNA sequencing

Homozygous MCF7 Y537S *ESR1* breast cancer cells were grown in 6 well dishes in serum-starved media for 48 hours. Upon reaching 50 percent confluency, cells were treated with vehicle (1% DMSO), 1 nM E2, or 1 nM E2 + 1  $\mu$ M ICI, Laso, Rad1901, or T6I-29-1A for 24 hours in triplicate. After 24 hours, RNA was isolated using Quigen RNeasy Kit and sent to Novogene for sequencing and bioinformatics analysis.

604 ELISA assays

ELISA plates from Thermo Fisher (catalog number 12-565-135) were filled with 100uL of standard per well or plasma sample dilution. Each sample was ran in duplicate and each standard curve was run in duplicate on each plate. Recombinant DKK1 was purchased from Gibco through Fisher (catalog number PHC9214). Recombinant DKK1 was reconstitutied as per manufacturer instructions and further diluted in 2 mg/mL BSA in PBS. Standard curve dilutions ranged from 30000 pg/mL to 122.9 pg/mL. 1 to 100 dilution of plasma samples was used (as it was determined to be in linear range) to interpolate DKK1 values. Plasma samples were diluted in 2 mg/mL BSA in PBS for dilutions. DKK1 was detected using DKK1 monoclonal rabbit antibody (Invitrogen, 1D12), and detected with secondary antibody conjugated to HRP (Fisher, PI31460) followed by incubation with TMB substrate (Fisher, ENN301). Reaction was quenched with diluted sulfuric acid. Absorbance was read on BioTek Cytation 5 plate reader at 450nm.

617 Healthy and ER+ Breast Cancer Patient Plasma Samples

618 Healthy women plasma samples were obtained through the Komen Tissue Bank (KTB)

at Indiana University. ER+ Breast Cancer Patient Plasma and chart review was obtained

through the Indiana University Simon Comprehensive Cancer Center (IUSCCC).

621 Quantitative PCR (qPCR)

MCF7 Y537S *ESR1* or T47D Y537S *ESR1* breast cancer cells were seeded in 6-well plates in serum starved media for 72 hours. After 72 hours, cells were confirmed to have reached 50-70 percent confluence and were treated with 1 nM E2 and 1 μM of each SERM or SERD indicated. After 24 hours, RNA was harvested using the Qiagen RNeasy Kit. cDNA was made using the M-MLV reverse transcriptase (Invitrogen, catalog number 28025013). qPCR was performed using Power up SYBR Green Master Mix (Thermo Fisher, catalog number A25741).

629 Statistical Analysis

Appropriate statistical tests were used to analyze data through packages on GraphPad Prism 10 as indicated. Significance was determined using t test in ELISA data, one-way ANOVA with post-hoc test in cell proliferation data, qPCR, or two-way ANOVA in murine tumor growth studies. Log-rank test determined significance in survival analysis. Significance was determined using p-value of <0.05 as threshold. All biological assays</li>
are reports of three replicates, each with three technical replicates. Patient plasma was
ran in duplicate at 10 dilutions per patient to determine linear range. X-ray crystal statistics
were acquired using HKL 3000 and Phenix.

638 Primers:

- 639 GREB1 F: 5'-CTGCCCCAGAATGGTTTTTA-3'
- 640 GREB1 R: 5'-GGACTGCAGAGTCCAGAAGC-3'
- 641 PGR F: 5'-AGCCAGAGCCCACAATACAG-3'
- 642 PGR R: 5'-GACCTTACAGCTCCCACAGG-3'
- 643 CA12 F: 5'-GACCTTTATCCTGACGCCAGCA-3'
- 644 CA12 R: 5'-CATAGGACGGATTGAAGGAGCC-3'
- 645 *cMyc* F: 5'-TTCGGGTAGTGGAAAACCAG-3'
- 646 *cMyc* R: 5'-CAGCAGCTCGAATTTCTTCC-3'

#### 647 **Data Availability**

All data is available upon request from the authors. X-ray co-crystal structures are 648 deposited in the protein databank (PDB) under accession IDs 9BPX for Y537S-T6I-29, 649 7UJ8 for Y537S-4OHT, 7UJC for Y537S-RAL, 8DVB for WT-T6I-29, 9BQE for Y537S-650 9BU1 for Y537S-T6I-4-1. These structures found 651 T6I-14-1, and can be at www.RCSB.org. The RNAseq data for MCF7 ESR1 Y537S cells has been uploaded to 652 653 the BioProject database. Open access to these data are located at the National Center 654 for Biotechnology Information (NCBI) Sequence Read Archive (SRA) repository 655 at https://www.ncbi.nlm.nih.gov/sra/PRJNA889442, reference number PRJNA88944250.

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#### 660 **Competing Interests**

Sean Fanning has patent on T6I-29. PCT/US2022/016813 Estrogen Receptor Alpha
Antagonists and Uses Thereof.

#### 663 Author's Contributions

KY performed major experiments and wrote manuscript. GH aided in writing manuscript 664 and performed cell proliferation and gPCR experiments. EF assisted in *in vivo* murine 665 models and performed data analysis. AZ performed 3D culture experiments and migration 666 assay and wrote methods for these sections. BF assisted in vivo murine studies. DC and 667 VN performed molecular dynamics simulations and wrote methods. MM assisted Nano-668 BiT assays. KSM and MB were pathologists for the murine studies. DZ analyzed uterine 669 wet weight study. AR assisted in murine studies. MNS provided clinical feedback and 670 edited manuscript. IK and DDLM edited manuscript. SK provided murine study support 671 and reviewed manuscript. SF performed x-ray co-crystal analysis and aided in writing and 672 editing. 673

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