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The Future of Orthodontics: Deep Learning Technologies

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Abstract

Deep learning has emerged as a revolutionary technical advancement in modern orthodontics, offering novel methods for diagnosis, treatment planning, and outcome prediction. Over the past 25 years, the field of dentistry has widely adopted information technology (IT), resulting in several benefits, including decreased expenses, increased efficiency, decreased need for human expertise, and reduced errors. The transition from preset rules to learning from real-world examples, particularly machine learning (ML) and artificial intelligence (AI), has greatly benefited the organization, analysis, and storage of medical data. Deep learning, a type of AI, enables robots to mimic human neural networks, allowing them to learn and make decisions independently without the need for explicit programming. Its ability to automate cephalometric analysis and enhance diagnosis through 3D imaging has revolutionized orthodontic operations. Deep learning models have the potential to significantly improve treatment outcomes and reduce human errors by accurately identifying anatomical characteristics on radiographs, thereby expediting analytical processes. Additionally, the use of 3D imaging technologies such as cone-beam computed tomography (CBCT) can facilitate precise treatment planning, allowing for comprehensive examinations of craniofacial architecture, tooth movements, and airway dimensions. In today's era of personalized medicine, deep learning's ability to customize treatments for individual patients has propelled the field of orthodontics forward tremendously. However, it is essential to address issues related to data privacy, model interpretability, and ethical considerations before orthodontic practices can use deep learning in an ethical and responsible manner. Modern orthodontics is evolving, thanks to the ability of deep learning to deliver more accurate, effective, and personalized orthodontic treatments, improving patient care as technology develops.

Categories: Dentistry, Radiology

Keywords: ai-assisted robotic orthodontics, 3d imaging, machine learning, deep learning, artificial intelligence

Introduction And Background

Over the last 25 years, the use of information technology (IT) in the field of dentistry has increased substantially. Artificial intelligence (AI) facilitates the examination, organization, visualization, and cataloging of medical data. For example, AI algorithms enable the evaluation of dental images and conebeam computed tomography (CBCT) images, identifying cephalometric landmarks, performing cephalometric analysis, and predicting treatment outcomes. Its sophisticated pattern recognition and predictive algorithms are driving scientific discoveries across various disciplines. The current paradigm is machine learning (ML), a term coined by Arthur Samuel in 1952. ML and symbolic AI differ primarily in that, in ML, models learn from real-world examples instead of human-established rules [\[1\]](javascript:void(0)).

Deep learning, a subtype of AI, enables computers to learn and make decisions without explicit programming. These algorithms, modeled after the neural networks of the human brain, have a wide range of uses in many industries, including medicine. In orthodontics, deep learning has become an invaluable technique for enhancing treatment planning, diagnosis, and outcome prediction. The integration of advanced technologies in orthodontics has revolutionized the design, implementation, and evaluation of treatment in the modern era [\[2,](javascript:void(0)) 3]. In the past, orthodontic specialists have been the primary authorities for diagnosing and addressing orthodontic issues through their analysis of radiographs, clinical findings, and patient documentation. However, deep learning algorithms can extract significant patterns and insights from large volumes of data, including medical records, cephalometric radiographs, and 3D imaging. This capability allows for more comprehensive and data-driven treatment planning, resulting in more precisely tailored and effective orthodontic therapies [\[4\]](javascript:void(0)).

One common application of deep learning in contemporary orthodontics is the automation of cephalometric analysis. To reduce human error and streamline the analysis process, deep learning algorithms may be trained to recognize anatomical features on radiographs. These models can help in the early detection of orthodontic abnormalities, which can lead to better treatment outcomes and earlier intervention. CBCT, one of the 3D imaging technologies, has also enabled deep learning in orthodontics. Deep learning algorithms can analyze 3D imaging data to obtain valuable insights regarding tooth movements, airway dimensions, and craniofacial design. This facilitates proper treatment planning and contributes to a more complete

image of the patient's oral and facial structure [\[5,](javascript:void(0)) 6]. In this era of personalized medicine, the use of deep learning in orthodontics offers the potential to tailor treatments to the specific needs of each patient. By using data analytics and ML, orthodontists can estimate treatment results, make well-informed decisions, and improve treatment plans for greater efficacy and efficiency.

Review

History and evolution

Numerous studies have demonstrated that incorporating AI into orthodontic clinical practice can increase its effectiveness. Notably, several AI-powered software systems, including Mastro 3D V6.0 (ASTRON d.o.o., Slovenia), Uceph 4.2.1 (TOMA Dental, South Korea), and 3Shape Dental System 2.22.0.0 (3Shape A/S, Denmark), have been widely utilized in orthodontic therapy. Their use has resulted in significant improvements in the efficiency and accuracy of orthodontic treatment plans [\[2,](javascript:void(0)) 7]. With advancements in AI algorithms, processing capacity, and dataset accessibility, the use of AI in orthodontics is expanding and improving patient outcomes.

Researchers can gain a thorough understanding of the rapidly changing field of orthodontics by regularly reviewing summaries of the latest advancements in artificial intelligence applications. The use of AI in orthodontics has shown promising results, but there is still considerable room for improvement. This study will cover diagnosis, treatment planning, and clinical implementation to offer a comprehensive evaluation of the status of AI applications in orthodontics. In addition to addressing current limitations of AI, the study provides potential future directions and valuable insights for utilizing AI in orthodontic treatment.

Due to the extensive volume of the literature on automated cephalometric analysis, it is impractical to list all relevant studies. Therefore, this review focuses on summarizing pertinent literature, as detailed in Table *[1](javascript:void(0))*, to highlight the past and the latest advancements in this field.

TABLE 1: Application of AI in orthodontics (past to present)

AI: artificial intelligence, CNN: convolutional neural network, TMJ: temporomandibular joint, SS: spatial spectroscopy, BN: Bayesian network, ANN: artificial neural network.

Search strategy

An electronic search was conducted on PubMed, Web of Science, the Cochrane Central Library, and Google Scholar to find the relevant literature. The search was limited to English publications, and no filters were applied. Various combinations of the following keywords were used in the search procedure: artificial intelligence, machine learning, deep learning, neural networks, ANN, and orthodontics. No restrictions on the year or status of publications or languages were applied.

Applications of AI in orthodontics

Image Analysis and Diagnosis

Orthodontic diagnosis is challenging, as it requires a comprehensive assessment of various facial components from multiple angles. Digital dentistry now enables us to collect patient information digitally and store it in a database for diagnosis and treatment planning. Automation solutions utilizing AI and machine learning have significantly reduced the evaluation burden and minimized diagnostic variations.

Deep learning algorithms have been employed in the analysis of medical images, including those used in orthodontics. This includes the interpretation of X-rays, CT scans, and intraoral scans for orthodontic diagnosis and treatment planning. A thorough orthodontic diagnosis entails several evaluations, such as examinations of upper airway obstruction, skeletal maturation, facial anatomy, functional occlusion, and cranial anatomy. These evaluations assess the patient's skeletal maturity, facial features, dental and skeletal relation, and upper-airway patency in distinct ways.

An essential aspect of orthodontic treatment planning, outcome assessment, and diagnosis involves cephalometric analysis, particularly the identification of landmarks on lateral cephalograms. The precision and efficiency of clinical practice are impacted by manual landmarking since it takes time, depends on expertise, and can be inconsistent [\[28-31\]](javascript:void(0)). Even in the mid-1980s, automated landmark detection was attempted, but the early error margins were too large for practical use [\[32\]](javascript:void(0)). Recent advancements in AI have led to several studies focusing on enhancing the precision, effectiveness, and consistency of cephalometric analysis. Among the diverse uses of AI in orthodontics, cephalometric analysis has been extensively researched.

A total of 16 studies were included in the analysis of orthodontic data for diagnosis, problem classification, and orthodontic assessments. Similar to cephalometric landmark detection, most studies utilized deep learning techniques and artificial neural networks (ANN). Given the substantial amount of literature available, this review primarily aims to summarize relevant papers from the previous years, as outlined in Table *[2](javascript:void(0))*, to present the recent developments in automated cephalometric analysis.

TABLE 2: Evidence-based applications for image analysis and diagnosis

CNN: convolutional neural network, AI: artificial intelligence, ICC: intraclass correlation coefficient.

AI has demonstrated effectiveness in diagnosing and assessing orthodontic problems. Orthodontic treatments for malocclusion are generally categorized as extraction or non-extraction treatments. The choice between these methods is traditionally guided by clinical expertise, which can be challenging for inexperienced practitioners. Deep learning techniques have been suggested as a solution to assist in making such decisions [\[49\]](javascript:void(0)).

Assessing the necessity for orthognathic surgery can be intricate. AI has exhibited the potential to aid clinicians in determining the need for surgical intervention [\[43\]](javascript:void(0)). Cephalometric analysis plays a crucial role in diagnosing facial growth irregularities in orthodontics. Manual identification of cephalometric landmarks on X-ray images is laborious and error-prone. Recent research has shown notable advancements in landmark detection through AI techniques, especially deep learning models [\[39\]](javascript:void(0)). Additionally, AI now enables researchers to forecast post-orthognathic surgery aesthetics, providing a critical factor for surgical decision-making [\[50\]](javascript:void(0)).

Automated Treatment Planning

Deep learning has shown promise in automated diagnosis and treatment planning. Algorithms can analyze patient data and propose treatment plans based on established orthodontic principles. Thorough decisionmaking is necessary while undergoing orthodontic treatment, especially when deciding on important details like the extraction strategy and whether or not surgery will be required. It is expected that AI will help orthodontists, especially inexperienced ones, make wise selections.

Tooth crowding and protrusions are primary reasons for tooth extraction in orthodontics. While there is no definitive guideline for extractions, experienced specialists' decisions can guide less experienced practitioners. Studies have identified orthodontic features using cephalometric data, patient photographs, and clinical examinations, mostly utilizing artificial neural networks (ANN). Xie et al. used an ANN to detect the need for tooth extraction, focusing on anterior teeth not covered by lips and the incisor mandibular plane angle (IMPA), though their study had limited generalizability [\[14\]](javascript:void(0)). Jung and Kim addressed extraction positions, using validation and test sets to prevent overfitting, but their study was limited to nonsurgical cases [\[15\].](javascript:void(0))

Choi et al. achieved a 91% success rate in diagnosing extraction needs in orthognathic surgeries [\[20\]](javascript:void(0)). Li et al. reached 94% accuracy in extraction decisions, outperforming Xie et al. by 14%, using a more stable feature importance method [\[27,](javascript:void(0)) 14]. Suhail et al. employed a random forest algorithm but excluded certain extractions and focused on nonsurgical procedures [\[51\]](javascript:void(0)).

Table *[3](javascript:void(0))* provides an overview of how AI is used in treatment planning. In the reviewed studies, machine learning and deep learning techniques were employed for decision-making in orthodontic treatment planning. These included determining whether to extract teeth (with accuracies ranging from 80% to 96%), evaluating treatment procedures, and deciding when to terminate orthodontic treatment (with accuracies between 94.2% and 98.7%). The techniques were also used to choose between orthognathic surgery and orthodontic treatment (with accuracies between 91.9% and 96%), predict blood loss before orthognathic surgery (showing a high correlation between predicted and actual blood loss values, with $P = 0.001$), design treatment plans with free-form certificates (F1 score of 58.5), and identify key features contributing to the success of pre-orthodontic treatments for cleft lip and palate patients (with an accuracy of 88.89%) [\[50\]](javascript:void(0)).

TABLE 3: Evidenced-based applications for automated treatment planning

AI: artificial intelligence, ML: machine learning

Facial Recognition and Analysis

Deep learning models have been used for facial recognition and analysis, aiding orthodontists in understanding the facial structure and predicting the effects of orthodontic interventions on a patient's appearance. Orthognathic surgery, when combined with orthodontic therapy, is frequently required to realign the jaws in adults with substantial dentofacial anomalies. However, there are no clear-cut standards for determining whether surgical intervention is necessary, which can be confusing for novice orthodontists, particularly in circumstances where the choice between surgery and camouflage orthodontic treatment is unclear [\[57-59\]](javascript:void(0)).

Lateral cephalograms are frequently employed in clinical settings to assess sagittal skeletal anomalies. Achieving accuracy rates above 90%, several studies have utilized lateral cephalograms as input data for convolutional neural networks (CNNs) or artificial neural networks (ANNs) [\[60,](javascript:void(0)) 61]. In their training dataset, Shin et al. incorporated both lateral and posteroanterior (PA) cephalograms to evaluate the sagittal as well as lateral relationships of the jaws. Their CNN model achieved a 95.4% accuracy in predicting the need for orthognathic surgery [\[61\]](javascript:void(0)).

Another important factor in deciding between surgery and non-surgery is facial attractiveness. Knoops et al. utilized support vector machines (SVMs) to accurately predict, with a 95.4% success rate, the necessity of surgery based on 3D face scans [\[62\].](javascript:void(0)) Conversely, Jeong et al. trained a CNN model on front and right facial photos but achieved a lower accuracy of 89% [\[63\]](javascript:void(0)). Choi et al. employed a variety of factors, including cephalometric measurements, dental characteristics, profile attributes, and the complaint associated with forwardly placed teeth, as part of the training data. The proposed ANN model demonstrated an accuracy rate between 88% and 97%, successfully predicting both the need for surgery and the decision to extract teeth in surgical scenarios. However, this study did not include Class I surgical cases, potentially affecting the generalizability of the model to wider populations [\[20\]](javascript:void(0)). Lee et al. explored the predictive capabilities of random forests (RF) and logistic regression (LR) in ascertaining the necessity for surgery in Class III subjects, achieving accuracies of 90% (RF) and 78% (LR) with comparable input data [\[60\]](javascript:void(0)).

Even though artificial intelligence (AI) has made strides in orthognathic surgery decision-making, further development is required to cover a wider variety of cases, particularly borderline cases, in order to improve AI's diagnostic skills. The application of AI in facial analysis is depicted in Table *[4](javascript:void(0))*.

TABLE 4: Evidence-based applications for facial recognition and analysis

AI: artificial intelligence.

Predictive Modeling

Predictive modeling using deep learning techniques helps in forecasting the outcomes of orthodontic treatments. This includes predicting tooth movement and assessing the impact of different treatment options. Orthodontists often develop multiple treatment plans, especially for borderline cases, which can be challenging to decide on, particularly for inexperienced practitioners. Unsatisfactory treatment outcomes and permanent consequences might result from suboptimal plans, especially those that include extraction and interproximal enamel loss. In order to reduce potential dangers and complications, orthodontists can analyze and treat malocclusions more scientifically with the use of treatment outcome prediction. AI can currently aid in predicting dental, skeletal, and facial changes, as well as patient experiences with clear aligners, thereby guiding treatment planning [\[66,](javascript:void(0)) 67].

Although Kesling originally suggested orthodontic tooth setup, which involves labor-intensive manual procedures such as tooth segmentation and repositioning, it allows for the visualization of treatment progress and ultimate occlusion. With ongoing advancements in digital orthodontics and AI, automated virtual setups are increasingly utilized, particularly in the realm of clear aligners. In a study by Woo et al., the six directions of tooth movement were compared between the accuracy of three automated digital-setting software and human setup. Although the study found that the automatic virtual setup software was usually effective, further human modifications could still be required in clinical practice. Crucially, the analysis only comprised instances in which no extractions were carried out [\[36\]](javascript:void(0)).

Several studies have examined the application of AI to predict changes to the skeletal and facial structures after orthodontic treatment, in addition to changes to the teeth. A study conducted by Park et al. involved the utilization of modified C-palatal plates on Class II individuals. The study employed a Convolutional Neural Network (CNN) model to predict cephalometric changes, demonstrating an accuracy of 1.79 ± 1.77 mm [\[66\]](javascript:void(0)). In a separate study, Tanikawa et al. combined geometric morphometric techniques with deep learning to anticipate 3D facial changes following orthodontic treatment and orthognathic surgery. The surgical and orthodontic groups achieved average error values of 0.94 ± 0.43 mm and 0.69 ± 0.28 mm, respectively [\[67\].](javascript:void(0)) Additionally, a study was conducted to determine the impact of incisor movement, age, and gender on 3D facial alterations following orthodontic treatment. A conditional generative adversarial network (cGAN) was utilized to achieve an accuracy rate of 97.5% with a mean prediction error of 1.2 ± 1.01 mm [\[68\]](javascript:void(0)).

Choosing the right treatment appliance is essential when planning orthodontic treatment, particularly for patients undergoing clear aligner therapy, as a negative wearing experience can impact patient adherence and treatment results. Xu et al. utilized the training data of 17 subjects to develop an artificial neural network (ANN) model that could predict the experiences of patients undergoing Invisalign treatment. Their model achieved a prediction accuracy of 92% for quality of life, 93% for anxiety, and 87% for pain [\[69\]](javascript:void(0)). This work lays the groundwork for future research in this field by being the first and, as of now, the only one to use AI to predict patient experiences with orthodontic treatment. One drawback of this research is that it only used the clinical characteristics of the patients as input data; it ignored other variables that can have an impact, such as gender and educational attainment, which could affect the model's predictive capacity.

Computer-Based Decision Support System

Treatment planning is a critical phase in the orthodontic procedure. AI enhances this process by incorporating knowledge gained from experienced experts. Predicting treatment outcomes assists clinicians in making more informed decisions. Anticipating aesthetic and clinical results can help both the surgeon and the patient make the best possible choices.

The deep learning algorithm 3D U-Net is mostly used for 3D image segmentation. A modified version, 3D-UnetSE, incorporates squeeze-and-excitation modules, demonstrating superior performance in capturing high-level characteristics. Palatal micro-implant stability is impacted by both soft and hard tissues. The team led by Tao et al. utilized a 3D-UnetSE tool to determine optimal locations for palatal miniscrews. This was achieved through the automated evaluation of hard palate and soft tissue thickness using CBCT. By doing so, the team was able to make informed decisions on the best locations based on the thickness of the bone and soft tissues [\[70\]](javascript:void(0)).

Monitoring tooth root locations during orthodontic treatment is essential to preventing unfavorable outcomes and evaluating treatment success. Traditional modalities such as cone beam computed tomography (CBCT) or panoramic radiography contribute to elevated radiation exposure. Hu et al. and Lee et al. used deep learning to create integrated tooth models. They integrated intraorally scanned dental crowns with precisely segmented teeth using CBCT images. Orthodontists may now identify the position of the tooth root using intraoral scanning. These studies showcase the promising ability to predict tooth positions, with continual enhancements anticipated to expand the use of integrated models in clinical settings [\[29\]](javascript:void(0)).

Deep learning has been integrated into clinical decision support systems to assist orthodontists in making informed decisions about treatment plans. These systems can provide recommendations based on a large dataset of patient cases.

Radiographs and clinical images are often used in orthodontics for monitoring therapy and diagnosis. Using AI to assist in the classification and categorization of these images can improve the efficacy of healthcare practice. Ryu et al. employed convolutional neural networks (CNNs) to automatically classify intraoral and facial photos, yielding an overall correct prediction rate of 98% [\[34\]](javascript:void(0)). Li et al. increased the number of orthodontic picture categories to 14 by using a deep learning model based on Deep Hidden IDentity (DeepID). In addition to one panoramic video and one lateral cephalogram, these pictures featured six distinct facial and six intraoral photographs. With the use of joint Bayesian for verification and deep convolutional networks for feature extraction, the DeepID model obtained a high accuracy of 99.4% while also greatly accelerating computation [\[35\]](javascript:void(0)).

Patient Monitoring

Deep learning models have been applied to monitor the progress of orthodontic treatment. This involves sequential images or scans to assess changes in tooth alignment and overall treatment effectiveness. Orthodontists can monitor treatment progress and offer feedback using photographs or oral scans, reducing unnecessary frequent visits for patients [\[71,](javascript:void(0)) 72].

AI has improved the functionality and efficacy of remote monitoring software [\[71\].](javascript:void(0)) One prominent example

of AI-driven remote monitoring software that is gaining traction and academic interest is dental monitoring (DM) [\[72\]](javascript:void(0)). With DM, patients may conveniently capture images of their teeth using a smartphone. Research indicates that DM enhances patient compliance while also cutting down on chairside time [\[71\]](javascript:void(0)). It is compatible with transparent aligners and fixed appliances, and it can instantly identify problems including misfitting aligners, missing attachments, bracket breakage, and relapse [\[71\]](javascript:void(0)). Furthermore, DM's detections are quite accurate. According to Homsi et al., intraoral scans and digital models produced by DM were equally precise [\[73\]](javascript:void(0)). Comparable precision was observed by Moylan et al. when measuring the intercanine and intermolar widths [\[74\].](javascript:void(0)) However, the extensive use of AI-driven remote monitoring tools should be done with caution. A recent study found inconsistent DM recommendations, especially in relation to teeth that have tracking problems and obvious aligner replacement.

Limitations and future

Recent advancements in artificial intelligence (AI) have significantly impacted orthodontics, particularly in clinical practice, treatment planning, and diagnosis. This comprehensive review provides a detailed overview of the latest AI developments within the field. While these advancements hold promise for improving orthodontic care, several challenges hinder their widespread adoption.

The reliability of current research is compromised by the limited and non-representative training data availability. Although certain AI models demonstrate high accuracy, their ability to perform well on uncommon deformities is questionable due to inadequate representation in the training dataset. Approaches like data augmentation, semi-supervised learning, and few-shot learning are intended to mitigate data scarcity, but their efficacy is constrained [\[75\]](javascript:void(0)). To minimize the requirement for a large amount of training data, transfer learning uses pre-trained models in similar domains; however, these models may not be as generalized when applied to other domains [\[76\]](javascript:void(0)). Data augmentation can increase sample size but cannot improve biological variability [\[75\]](javascript:void(0)). Semi-supervised learning still requires a large amount of unannotated data and high-quality annotations even with limited annotated data [\[75\]](javascript:void(0)). Few-shot learning faces challenges due to the absence of standardized assessment frameworks and specialized data [\[77\].](javascript:void(0)) Ethical and privacy considerations make data sharing difficult, resulting in biased AI models trained on data with limited generalizability [\[78\].](javascript:void(0)) Potential solutions to enable data sharing while maintaining data security and privacy include federated learning and blockchain technology, which can result in larger and more varied datasets [\[79,](javascript:void(0)) 80].

Moreover, variations in research methodologies, dataset magnitudes, and evaluation criteria pose challenges in comparing different studies. The minimum-information-about-clinical-artificial-intelligence modeling (MI-CLAIM) framework, proposed by Norgeot et al., seeks to resolve this by establishing uniform standards for effectiveness and transparency in clinical AI modeling [\[81\]](javascript:void(0)). Furthermore, despite the remarkable capabilities of AI algorithms, especially deep learning, there are ongoing concerns regarding their interpretability. Explainable AI (XAI) techniques aim to improve the clarity and understandability of AI algorithms. Approaches like gradient-weighted class activation mapping (Grad-CAM) and DeConvNet can uncover the underlying features influencing decision-making, which could improve the interpretability of AI models in orthodontics [\[82\].](javascript:void(0))

Finally, overfitting is a prevalent problem in AI, characterized by models performing well on training data but poorly on testing data. Techniques such as enhancing data samples, data augmentation, cross-validation, and selecting appropriate algorithms can mitigate overfitting [\[83\]](javascript:void(0)). However, not all studies in this review have addressed overfitting concerns. Despite these hurdles, AI holds significant promise in orthodontics, particularly in automating the identification of orthodontic treatment requirements and managing treatment complexities. With the continuous expansion of clinical data availability and AI computational capabilities, significant advancements in orthodontics are anticipated [\[84,](javascript:void(0)) 85].

Conclusions

AI shows promise as a valuable aid in conducting diagnostic assessments, prognostic predictions, and treatment planning, particularly for complex cases. However, current scoping review results suggest that widespread clinical use of end-to-end machine learning tools is still a distant goal. The most promising applications of AI in orthodontics include landmark detection on lateral cephalograms, skeletal classification, and decision-making regarding tooth extraction.

In conclusion, the integration of deep learning in orthodontics represents a transformative leap toward more accurate, personalized, and efficient patient care. The burgeoning field has witnessed substantial progress in image analysis, diagnosis, treatment planning, and research, offering numerous advantages while acknowledging certain challenges. As we anticipate future developments in this dynamic field, it is imperative to prioritize ongoing research, ethical considerations, and the establishment of robust regulatory frameworks. By doing so, the integration of deep learning in orthodontics is poised to redefine the standard of care, ushering in an era of precision medicine and data-driven decision-making in orthodontic practice.

Additional Information

Author Contributions

All authors have reviewed the final version to be published and agreed to be accountable for all aspects of the work.

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