DOI: 10.1111/ene.16006

# **ORIGINAL ARTICLE**

# **Inflammation biomarkers in acute ischemic stroke according to different etiologies**

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# **Abstract**

**Background:** High throughput technologies provide new opportunities to further investigate the pathophysiology of ischemic strokes. The present cross-sectional study aimed to evaluate potential associations between the etiologic subtypes of ischemic stroke and blood-based proteins.

**Methods:** We investigated the associations between ischemic stroke subtypes and a panel of circulating inflammation biomarkers in 364 patients included in the Stroke Cohort Augsburg (SCHANA). Stroke etiologies were categorized according to the TOAST (Trial of Org 10172 in Acute Stroke Treatment) classification. Serum concentrations of 52 biomarkers were measured using the Bio-Plex Pro™ Human Cytokine Screening Panel, ICAM-1 set and VCAM-1 set, plus the Pro™ Human TH17 cytokine sCD40L set and IL31 set (all Bio-Rad, USA). Multivariable linear regression models were used to examine associations. Point estimates were calculated as the mean difference in *σ*-standardized cytokine levels on the  $log_2$ -scale.

**Results:** Stromal-cell-derived-factor 1 alpha (SDF-1a) showed significantly higher serum levels in cardioembolic compared with large vessel atherosclerotic stroke (*β*= 0.48; 95% CI 0.22; 0.75; *P*<sub>adi</sub>=0.036). Significantly lower levels of interleukin-6 (IL-6) (*β*=−0.53; 95% CI −0.84; −0.23; P<sub>adi</sub>=0.036) and macrophage migration inhibitory factor (MIF) (*β*= −0.52; 95% CI −0.84; −0.21; *P*adj= 0.043) were found in the small vessel versus large vessel stroke subtype.

**Conclusions:** Immune dysregulations observed in different stroke subtypes might help uncover pathophysiological mechanisms of the disease. Further studies are needed to validate identified biomarkers in diverse study populations before they can potentially be used in clinical practice to further improve stroke management and patient outcomes.

**KEYWORDS** biomarker, inflammation, pathophysiology, stroke, TOAST classification

Christa Meisinger and Dennis Freuer contributed equally to this work.

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# **INTRODUCTION**

In industrialized countries, stroke is the third leading cause of death and the most widespread cause of long-term disability. Ischemic stroke is the most common form of all strokes, accounting for approximately 87% of all cases [[1](#page-7-0)]. Whenever possible, acute stroke therapy consists of recanalization of the occluded artery by administration of intravenous recombinant tissue plasminogen activator (tPA). In the case of occlusion of larger intracranial vessels, this therapy needs to be combined with endovascular mechanical thrombectomy [[2\]](#page-7-1).

The etiology of ischemic stroke is usually classified according to the TOAST (Trial of Org 10172 in Acute Stroke Treatment) classification [[3](#page-7-2)] into large artery atherosclerosis (20%), cardioembolism (20%), small vessel occlusion (20%), other (5%) determined causes (e.g., dissection, mitochondrial or genetic) and a fifth category termed "cryptogenic (30%)" which includes all strokes of unknown causes [[4\]](#page-7-3). The correct identification of stroke etiology is important to start a specific secondary prevention treatment in order to effectively reduce the risk for recurrent strokes. Furthermore, multiple potential causes can be present simultaneously leading to uncertainty in identifying the relevant cause and difficulties in prioritizing treatment decisions. Biomarkers have the potential to be supportive in assigning the relevant stroke etiology.

Due to the varying causes of the different stroke subtypes (e.g., atherosclerosis versus cardiac embolism), it can be hypothesized that the various stroke etiologies may be associated with a specific expression pattern of serum proteins. To date, no biological marker exists that provides a precise etiology of stroke [\[5\]](#page-7-4). However, specific blood-based biomarker measurements might have the potential to improve the classification of ischemic stroke mechanisms and thus increase the chance of a specific therapy. A better understanding of the pathophysiology of ischemic stroke would lead to improved preventive measures in addition to diagnostic and therapeutic improvement. Therefore, in the present study we aimed to evaluate potential associations between different etiologic stroke subtypes and a wide panel of blood-based proteins in the 'Stroke Cohort Augsburg' (SCHANA).

# **PATIENTS AND METHODS**

#### **Study sample**

This study is based on data from the SCHANA study, an observational single-center stroke cohort study that included 945 patients aged 18 years and older treated at Augsburg University Hospital between September 2018 and November 2019 [[6](#page-7-5)]. For the present cross-sectional investigation, we excluded patients with a cerebral hemorrhage or unavailable fasting blood samples. Thus, 364 patients with ischemic stroke were included in the analysis. The study was performed in accordance with the Declaration of Helsinki. Ethical approval was granted by the Ethics Committee of

the Ludwig-Maximilians-Universität München (Reference: 18–196). Written informed consent was obtained from all participants ortheir legal guardians. This study followed the STROBE (Strengthening the Reporting of Observational Studies in Epidemiology) statements [[7](#page-7-6)].

## **Data collection**

Patients enrolled in the SCHANA study completed a standardized questionnaire after the acute stroke event during their hospitalization. The questionnaire collected demographic information, medical history, risk factors and lifestyle factors. Clinical data were gathered from the participants' medical records. Fasting status (yes, no) before blood collection and the elapsed time between hospital admission and blood collection were documented. Several clinical variables including modified Rankin Scale (mRS) [\[8\]](#page-7-7), National Institutes of Health Stroke Scale (NIHSS) [[9](#page-7-8)] and stroke treatments (e.g., systemic thrombolysis, thrombectomy) were recorded. Stroke etiology as determined by the treating physicians according to the TOAST criteria was grouped into the following five categories: (1) large artery atherosclerosis, (2) cardioembolism, (3) small vessel disease, (4) other determined or (5) undetermined etiology, with the last two categories combined for the present analysis. Strokes of unexplained etiology (with no landmark diagnostic finding, in the presence of competing causes or with inadequate diagnostic testing) were referred to as cryptogenic strokes.

#### **Assessment of blood parameters**

From each SCHANA participant about 70 mL blood were collected during the hospital stay. The blood samples were processed immediately. Serum was kept at room temperature, centrifuged and separated after 30 min. They were aliquoted into sample tubes at the Chair of Epidemiology, University Hospital Augsburg, and frozen at −80°C until they were used for biomarker analyses.

Concentrations of 52 cytokines and chemokines were measured in serum using the Bio-Plex Pro™ Human Cytokine Screening Panel, ICAM-1 set and VCAM-1 set, plus the Pro™ Human TH17 cytokine sCD40L set and IL31 set (all Bio-Rad, USA) according to the manufacturer's specifications. Briefly, 50 μL of diluted magnetic beads were placed in the wells and washed two times. Then the standard samples (dilution 1:4 HCSP and IL31, sCD40L, 1:100 VCAM/ICAM), blank and controls were pipetted into the respective well and incubated between 30 and 60 min on the shaker at  $850 \pm$ rpm at room temperature depending on the panel (60 min IL31 and sCD40L, 30 min VCAM/ICAM and HCSP). This incubation was followed by a three-fold wash step, the addition of the diluted detection antibody and an incubation of 30 min. After another wash step, streptavidin phycoerythrin (PE) was added and incubated for 10 min. Finally, the plate was washed three more times and re-suspended with 125 microliter Bio-Plex assay

buffer. All incubation steps were performed at room temperature. Measurements were carried out on a Luminex xMAP technology instrument using Bioplex Manager Software.

Measurements were performed in six batches, and the technical variation between the plates was corrected using the intensity normalization defined as  $x_{norm} = x - \widetilde{X}_{plate} + \widetilde{X}_{overall}$ , where  $\widetilde{X}_{plate}$  and  $\widetilde{X}_{\text{overall}}$  represent the plate-specific and overall medians, respectively. Values specified as below or above the lower or upper limit of detection, respectively, were replaced by the lowest or highest detectable level according to the manufacturer's specifications, if present in fewer than 25% of the cases. Otherwise the corresponding cytokine was excluded from the statistical analyses. Finally, cytokine-specific outliers (exceeding  $μ±3σ$ ) were excluded resulting in 39 cytokines, which were used for further analyses (Table [S1\)](#page-8-0).

#### **Statistical analysis**

Continuous variables were described as median and interquartile range (IQR) and categorical variables as absolute and relative frequencies. Differences between the four stroke categories were tested using the nonparametric Kruskal–Wallis rank sum test in the case of continuous variables and Fisher's exact test in the case of categorical variables.

Multivariable linear regression models were performed to assess the cross-sectional associations between the ischemic stroke subtypes (exposure) and the 39 inflammation biomarkers (outcomes). Regarding the model performance and comparability of estimates, all inflammation parameters were  $log<sub>2</sub>$ -transformed and  $σ<sup>2</sup>$ -standardized (i.e., division of individual cytokines by their standard deviation), respectively. The confounder selection process based on directed acyclic graphs revealed the following variables to be controlled for in the regression models. Age (years), body mass index (BMI) (kg/m $^2$ ), the time between admission and blood collection (days) and the National Institutes of Health Stroke Scale (NIHSS) were considered as continuous variables to minimize residual confounding. Sex, smoking status (never, ex, current), hypertension (yes, no), incident stroke (yes, no) and any treatment (systemic thrombolysis or thrombectomy, no) were considered as categorical variables. Robustness of results was assessed by performing subgroup analyses excluding patients who underwent reperfusion therapies.

All regression assumptions were ensured in the following way. Linearity between all continuous variables and a specific outcome was tested and, if necessary, corrected using restricted cubic splines. More precisely, in each regression model, where linearity could not be assumed for at least one continuous variable based on the likelihood-ratio test, the optimum number of variable-specific knots (between three and five) was determined with regard to the Akaike information criterion (AIC). Normality of residual-distribution was ensured by visual assessment of the model-specific Q-Q plots. Highly leveraged outliers leading to

violation of the normally distributed residuals were removed from the analyses. Heteroscedasticity and autocorrelation were tested using the Breusch–Pagan test and Durbin–Watson test, respectively. Finally, the variance inflation factor was calculated to assess multicollinearity.

All statistical tests were performed based on the significance level  $\alpha$ =0.05. Regarding multiple testing issues, *p*-values obtaining from the 39 regression models were false discovery rate (FDR) adjusted. All analyses were done using the statistical software R (version 4.2.2).

## **RESULTS**

As presented in Table [1,](#page-3-0) the median age ranged significantly between 67 (IQR: 60; 78) years in the small vessel occlusion and 76 (IQR: 63.5; 81.25) in the cardioembolic stroke subtypes. Median duration between admission and blood collection varied between 3.87 days (IQR: 3.26; 5.31) for patients with a small vessel occlusion stroke and 5.28 days (IQR: 3.84; 6.82) for patients with large vessel atherosclerotic subtype. The NIHSS as indicator for stroke severity was lower in patients with cryptogenic stroke compared with the other subtypes. Sex, hypertension and receipt of any therapy also differed significantly between etiologies (Table [1](#page-3-0)).

Notable cytokines included interleukin-6 (IL-6), interleukin-16 (IL-16) and macrophage migration inhibitory factor (MIF), which had the lowest median levels in the microangiopathic subtype and the highest levels in large vessel atherosclerotic strokes (Table [2](#page-4-0)). The same applied reversely for the human interferon gamma-induced protein (IP-10). Further differences were observed for the human monokine induced by gamma interferon (MIG) and stromal cell-derivedfactor 1 alpha (SDF-1a) levels for patients with atherothrombotic stroke compared with the undetermined and cardioembolic strokes, respectively.

Point estimates presented in the following can be interpreted as the mean difference in  $\sigma$ -standardized cytokine levels on the  $log<sub>2</sub>$ -scale between a specific etiology and large artery atherosclerosis subtype as the reference group. SDF-1a levels were significantly higher in the cardioembolic stroke subjects compared with the large vessel atherosclerotic subtype (*β*= 0.48; 95% CI 0.22; 0.75; adjusted *p*-value = 0.036) (Figures [1](#page-5-0) and [2](#page-6-0)). Furthermore, both MIF (*β*= −0.52; 95% CI −0.83; −0.21; adjusted *p*-value = 0.043) and IL-6 (*β*= −0.53; 95% CI −0.84; −0.23; adjusted *p*-value = 0.036) levels were significantly lower in the small vessel disease subtype. ICAM 1 levels in the cardioembolic subtype, IL-16 levels in the microangiopathic subtype as well as ICAM 1, IL-6 and IL-7 levels in the undetermined subtype were significantly lower before but not after correction for multiple testing (designated as "suggestive significant" in the Figures). All cytokine-specific associations can be found in Figures [S1–S3.](#page-8-0) Subgroup analyses excluding all subjects who received reperfusion therapy yielded consistent estimates (Figure [S4](#page-8-0)) and thus supported the findings of main analyses (Figure [2](#page-6-0)).



TABLE 1 Clinical characteristics regarding etiology of stroke according to the TOAST (Trial of Org 10172 in Acute Stroke Treatment) classification. **TABLE 1** Clinical characteristics regarding etiology of stroke according to the TOAST (Trial of Org 10172 in Acute Stroke Treatment) classification.

Bold type denotes statistical significance. Bold type denotes statistical significance.

<sup>a</sup>Tested with the Fisher's exact test (categorical variables) or the Kruskal-Wallis rank sum test (continuous variables).  $^{\sf{TP}}$ Eested with the Fisher's exact test (categorical variables) or the Kruskal-Wallis rank sum test (continuous variables).

<span id="page-3-1"></span><span id="page-3-0"></span>Abbreviations: BMI, body mass index; NIHSS, National Institutes of Health Stroke Scale. Abbreviations: BMI, body mass index; NIHSS, National Institutes of Health Stroke Scale.

<span id="page-4-0"></span>**TABLE 2** Characteristics of inflammation markers regarding etiology of stroke according to the TOAST (Trial of Org 10172 in Acute Stroke Treatment) classification.



*Note*: Data presented as median (interquartile range).

Bold type denotes statistical significance.

<span id="page-4-1"></span>a Tested with the Kruskal–Wallis rank sum test. Meanings of the cytokine abbreviations are listed in Table S1.



<span id="page-5-0"></span>**FIGURE 1** Volcano plot of results from multivariable linear regression models investigating the associations between etiology of stroke and inflammation markers. In the linear regression models, it was controlled for age (years), body mass index (kg/m<sup>2</sup>), the time between admission and blood collection (days), the National Institutes of Health Stroke Scale (NIHSS), sex, smoking status (never, ex, current), hypertension (yes, no), incident stroke (yes, no) and any treatment (systemic thrombolysis or thrombectomy, no). Atherothrombotic subtype is the reference category. Estimates and 95% confidence intervals represent the standard deviation change in cytokine levels on the log<sub>2</sub>-scale. On the y-axis the -log<sub>10</sub>-transormed p-values are given. The significance level of an association is labeled as suggestive if the association lost its significance after correction for multiple testing.

# **DISCUSSION**

The results of the present study suggest that differences in serum proteins may be useful for distinguishing different ischemic stroke etiologies. We observed that the biomarker SDF-1a was significantly positively associated with the cardioembolic stroke subtype when compared with large artery atherosclerosis strokes. Small vessel occlusion strokes were independently inversely related to the biomarkers MIF and IL-6. However, there was no association between cryptogenic strokes and any biomarker after adjustment for multiple testing.

In recent years, research has intensified regarding the relation-ship between blood biomarkers and various stroke mechanisms [[10,](#page-7-9) [11](#page-7-9)]. Some prior studies have been conducted to examine whether markers of systemic inflammation such as C-reactive protein (CRP) and pro-inflammatory cytokines (e.g., IL-6) can be used to

differentiate between stroke subtypes [[12, 13\]](#page-7-10). Other studies in this context focused on common lipid parameters (e.g., total cholesterol, triglycerides, high-density lipoprotein cholesterol) [[14–16](#page-8-1)] or on hemostatic biomarkers (e.g., D-dimer concentration) [\[17,](#page-8-2) 18]. Various biomarkers were found to be significantly associated with cardioembolic stroke, such as D-dimer, CRP, IL-6, BNP/NT-proBNP, while common lipids and inflammation markers (CRP, NLR) were related to the atherosclerotic stroke subtype [\[19](#page-8-3)].

In our study, patients with cardioembolic stroke subtype had significantly higher levels of SDF-1a, also known as CXCL12, a member of the CXC chemokine family. SDF-1a is a chemoattractant cytokine with diverse biological functions including stem cell migration, proliferation, cell apoptosis and angiogenesis [[20](#page-8-4)] and is constitutively expressed by many tissues, including the heart [[21](#page-8-5)] and the brain [[22](#page-8-6)]. It is known that SDF-1a has various functions in the brain during development and in adulthood [[23\]](#page-8-7). Furthermore,



<span id="page-6-0"></span>**FIGURE 2** Notable associations from the main analyses (Figure [1](#page-5-0)) presented in graphical and tabular form as point estimates, 95% confidence intervals and false-discovery rate (FDR)-adjusted *p*-values. These estimates (colored blue) are compared with estimates from subgroup analyses (colored red), in which patients undergoing reperfusion therapies were excluded. In the linear regression models, it was controlled for age (years), body mass index (kg/m<sup>2</sup>), the time between admission and blood collection (days), the National Institutes of Health Stroke Scale (NIHSS), sex, smoking status (never, ex, current), hypertension (yes, no), incident stroke (yes, no) and – only in the main analyses – for any treatment (systemic thrombolysis or thrombectomy, no).

it is upregulated under pathological conditions such as ischemic stroke [[24](#page-8-8)]. Studies have shown that cell death of cortical neurons after stroke is reduced by administration of SDF-1a. This is probably due to a stimulation of angiogenesis and neurogenesis and due to a modulation of inflammatory responses [\[25\]](#page-8-9). SDF-1a is upregulated in the penumbra of ischemic stroke and has a role in promoting re-pair [[26\]](#page-8-10). In a Chinese acute stroke cohort, elevated serum levels of SDF-1a were associated with increased lesion volumes and stroke severity [[27](#page-8-11)] and predicted unfavorable outcome and mortality [[28](#page-8-12)]. Cardioembolic strokes are associated with larger infarct sizes and poorer prognosis, thus higher serum SDF-1a levels may not be due to other pathophysiological mechanisms but rather due to infarct size. Conversely, elevated circulating SDF-1a levels seem to indicate an increased risk of future strokes [\[29,](#page-8-13) 30].

In our study we observed significantly lower concentrations of MIF and IL-6 in patients with small vessel strokes in comparison with large artery atherosclerosis strokes. MIF is a proinflammatory cytokine with pleiotropic properties and involved, among others, in the pathogenesis of inflammatory diseases [[31\]](#page-8-14). It is basically found in various cell types and tissues and is released as a response to acute stress or inflammation [[32](#page-8-15)]. It is associated with the release of proinflammatory cytokines, overcomes the inhibitory effects of glucocorticoids, and exerts a chemokine function that causes increased migration and recruitment of leukocytes to inflamed tis-sues [[31\]](#page-8-14). There is accumulating evidence that MIF is a multifaceted protein with various functions within the central nervous system. MIF plays a role in neurological diseases but its role appears diverse with both beneficial and adversary effects [[32](#page-8-15)]. While some prior studies found a protective function of MIF in stroke [[33](#page-8-16)], other studies suggested an association of MIF concentrations with increasing clinical severity of stroke and a poor early outcome [\[29](#page-8-13)]. A study

in mice demonstrated that MIF promotes cell death and aggravates neurologic symptoms after experimental stroke [[30](#page-8-17)]. Very recently, another study in mice concluded that MIF exerts a neuroprotective function after ischemic stroke by protecting neuronal cells from apoptosis while inducing the inflammatory process, facilitating neurogenesis [[34\]](#page-8-18).

A prior study showed that patients with small vessel occlusion stroke subtype had significantly lower serum levels of IL-6, an important regulator of the immune system, compared with large ar-tery atherosclerosis strokes [\[35](#page-8-19)]. These results were consistent with our findings, which revealed that the highest IL-6 levels were found in patients with large artery atherosclerosis stroke compared with the other stroke subtypes, thus supporting the hypothesis that inflammation plays an important role in the pathogenesis of the large artery atherosclerosis stroke subtype. An inflammatory response including endothelial and coagulation mechanisms contributes pathophysiologically to neuronal injury in ischemic stroke. The lower IL-6 concentrations in patients with small vessel subtype strokes may therefore be an expression of the lower acute neurological deficit in patients with this subtype, which typically occurs without any change detectable on computed tomography or magnetic resonance imaging of the brain [[35,](#page-8-19) 36].

### **Strengths and limitations**

There are limitations to the present study. First, this was a crosssectional study, thus only associations rather than causal relationships could be shown. Second, blood samples were collected at different time points after the acute stroke event. Thus, time between admission and blood collection was considerable and

there were statistically significant differences between the various stroke subtypes. This shortcoming may have influenced the results. We attempted to minimize potential bias by accounting for this fact in the regression models. Third, no neuroimaging parameters could be considered in the present analysis. Fourth, the reason why a stroke was classified as cryptogenic was not provided, and why patients with multiple etiologies were assigned to the cryptogenic stroke subtype and could not be excluded from the analyses. This fact could partially explain the high proportion of cryptogenic strokes in our study. In addition, ESUS cases were not explicitly defined in our dataset. Fifth, the study was carried out by using a single-center approach. Fifth, mainly Caucasians were included in the present study, so the results do not apply to individuals of other ethnic origins.

Strengths of our study include the availability of a large panel of standardized measured protein biomarkers to investigate the research question. In addition, selection of confounding factors on a theoretical basis and optimization of each regression model with respect to its assumptions led to robust results and stronger evidence.

In conclusion, using a broad panel of inflammatory proteins in acute stroke patients we found some differences according to the stroke subtype. The immense progress in the measurement of proteomics offers the possibility to study and understand the pathophysiology of stroke subtypes in greater detail. Further studies are needed to validate and confirm identified biomarkers in diverse study populations before they can be used in the clinical setting to further improve stroke management and patient outcomes. In addition to the postulated etiology of stroke and classification into stroke subtypes, future biomarker studies should also consider infarct size in neuroimaging.

#### **AUTHOR CONTRIBUTIONS**

Conceptualization: Jakob Linseisen, Markus Naumann, Michael Ertl. Methodology: Christine Meisinger, Dennis Freuer, Timo Schmitz. Formal analysis and investigation: Dennis Freuer, Timo Schmitz, Philipp Zickler. Writing – original draft preparation: Christine Meisinger, Dennis Freuer. Writing – review and editing: Timo Schmitz, Michael Ertl, Philipp Zickler, Markus Naumann, Jakob Linseisen. Funding acquisition: Michael Ertl. Resources: Jakob Linseisen, Markus Naumann.

#### **ACKNOWLEDGMENTS**

We are grateful to all members of the Department of Neurology and Clinical Neurophysiology at the University Hospital Augsburg and the Chair of Epidemiology, University of Augsburg, for their support. Moreover, we express our appreciation to all study participants. Open Access funding enabled and organized by Projekt DEAL.

#### **FUNDING INFORMATION**

The study was funded by the Medical Faculty, University of Augsburg, Augsburg, Germany. Open Access funding enabled and organized by Projekt DEAL.

## **CONFLICT OF INTEREST STATEMENT**

The authors declare that there are no conflicts of interest.

# **DATA AVAILABILITY STATEMENT**

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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## <span id="page-8-0"></span>**SUPPORTING INFORMATION**

Additional supporting information can be found online in the Supporting Information section at the end of this article.

**How to cite this article:** Meisinger C, Freuer D, Schmitz T, et al. Inflammation biomarkers in acute ischemic stroke according to different etiologies. *Eur J Neurol*. 2024;31:e16006. doi[:10.1111/ene.16006](https://doi.org/10.1111/ene.16006)