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RESEARCH ARTICLE

Proteins linking *APOE* **ɛ4 with Alzheimer's disease**

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Abstract

INTRODUCTION: The ɛ4 allele of the *apolipoprotein E* gene (*APOE* ɛ4) is the strongest genetic risk factor for Alzheimer's disease (AD), but the mechanisms connecting *APOE* ɛ4 to AD are not clear.

METHODS: Participants (*n* = 596) were from two clinical-pathological studies. Tissues from dorsolateral prefrontal cortex were examined to identify 8425 proteins. *Post mortem* pathological assessment used immunohistochemistry to obtain amyloid beta (A*β*) load and tau tangle density.

RESULTS: In separate models, *APOE* ɛ4 was associated with 18 proteins, which were associated with A*β* and tau tangles. Examining the proteins in a single model identified Netrin-1 and secreted frizzled-related protein 1 (SFRP1) as the two proteins linking *APOE* ɛ4 with A*β* with the largest effect sizes and Netrin-1 and testican-3 linking *APOE* ɛ4 with tau tangles.

DISCUSSION: We identified Netrin-1, SFRP1, and testican-3 as the most promising proteins that link *APOE* ɛ4 with A*β* and tau tangles.

KEYWORDS

Alzheimer's disease, amyloid, apolipoprotein *ε*4, proteins, tau proteins

Highlights

- ∙ Of 8425 proteins extracted from prefrontal cortex, 18 were related to *APOE* ɛ4.
- ∙ The 18 proteins were also related to amyloid beta (A*β*) and tau.
- ∙ The 18 proteins were more related to *APOE* ɛ4 than other AD genetic risk variants.
- ∙ Netrin-1 and secreted frizzled-related protein 1 were the two most promising proteins linking *APOE* ɛ4 with A*β*.
- ∙ Netrin-1 and testican-3 were two most promising proteins linking *APOE* ɛ4 with tau.

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1 BACKGROUND

The ɛ4 allele of the *apolipoprotein E* gene (*APOE* ɛ4) is the strongest genetic risk factor for Alzheimer's disease (AD). 1 However, the mechanisms connecting *APOE* ɛ4 to AD are not clear. As *APOE* ɛ4 is a common allele and present in approximately [2](#page-11-0)0% of Americans, 2 elucidation of the mechanisms connecting *APOE* ɛ4 to AD will have a large impact in the development of the primary prevention of AD since the allele is sufficiently common to warrant population screening should there be a robust therapeutic.

We and others have shown that AD pathology mediates the association of *APOE* ɛ4 to Alzheimer's dementia.[3,4](#page-11-0) Further, we found that amyloid beta (A*β*) mediated the association of *APOE* ɛ4 with tau tangles.[5,6](#page-11-0) Finally, we reported that in the absence of A*β*, *APOE* ɛ4 was not associated with tau tangles.^{[7](#page-11-0)} Yet the mechanism underlying the association between *APOE* ɛ4 and AD remains poorly understood.

As A*β* deposits and tau tangles are aggregates of proteins, few prior studies examined brain proteins in relation to *APOE* ɛ4, A*β*, and tau tangles to identify molecular pathways connecting *APOE* ɛ4 with AD. Following the first studies that used targeted proteomics to quantify select proteins including synaptic proteins, 8 recent studies used unbiased hypothesis-free mass spectrometry-based proteomic methods to measure hundreds to thousands of proteins with the objective of finding novel druggable molecules and pathways. $9-11$ However, the sample sizes were modest and their results inconsistent. Here, we build on prior work in three important ways. First, we employed a far larger sample size, markedly increasing power. Second, we leveraged deep proteomics with more proteins than most prior studies. Finally, we examined, for the first time, a wide range of clinical and pathological phenotypes of AD and AD-related dementias (ADRD).

2 METHODS

2.1 Participants

Data were collected from community-dwelling older adults enrolled in one of two longitudinal clinical-pathological studies of aging conducted at Rush Alzheimer's Disease Center: the Religious Orders Study (ROS) or the Rush Memory and Aging Project (MAP). Both studies enrolled persons without known dementia who agreed to annual clinical evaluations and brain donation at the time of death. ROS participants were nuns, priests, and brothers living across the United States. The study started in 1994. MAP participants were living in northeastern Illinois in retirement facilities, personal accommodations, or subsidized housing. MAP started in 1997. Both studies utilize harmonized data acquisition protocols administered by the same staff, a strategy facilitating joint analysis. Details of the studies are provided elsewhere. 12 12 12 Both studies were approved by an Institutional Review Board of Rush University Medical Center.

RESEARCH IN CONTEXT

- 1. **Systematic review**: We reviewed the literature using PubMed. Although *APOE* ε 4 is the strongest genetic risk factor for AD, we found no studies that had systematically examined levels of thousands of proteins quantified in human brain in relation to *APOE* ɛ4 and AD pathological hallmarks, A*β* and tau.
- 2. **Interpretation**: We found 18 out of 8425 examined proteins extracted from the prefrontal cortex that were related to *APOE* ɛ4. The 18 proteins were also related to A*β* and tau. Of the 18 proteins, Netrin-1 and secreted frizzled-related protein 1 were the two most promising proteins linking the association of *APOE* ɛ4 with A*β* and Netrin-1 and testican-3 linking the association of *APOE* ɛ4 with tau.
- 3. **Future directions**: Future studies should confirm the identified proteins as mediators of the relation between *APOE* ɛ4 and AD and examine whether targeting these proteins might prevent AD in carriers of *APOE* ɛ4.

Through December 2022, of 3750 participants recruited in both studies, 1887 had died and had completed *post mortem* pathological assessments. However, proteomic analysis of cortical proteins is ongoing and has been completed in 596 participants who composed the analytic sample of the current study.

2.2 *APOE* **genotyping**

DNA was extracted from peripheral blood or frozen brain tissue. *APOE* genotyping was performed at Polymorphic DNA Technologies (Alameda, CA, USA) by sequencing rs429358 (codon 112) and rs7412 (codon 158) at exon 4 of the *APOE* gene.^{[13](#page-11-0)} In the current study, the *APOE* genotype was summarized using a dichotomous variable indicating the presence of at least one *APOE* ɛ4 allele.

2.3 *Post mortem* **pathological assessment**

The median *post mortem* interval was 6.5 h (IQR: 5.1 to 8.6 h). After brain removal, hemispheres were separated. One hemisphere was frozen for further molecular studies, including proteomic analysis and bulk tissue and single-nucleus RNA sequencing (snRNA-seq), and the other hemisphere was fixed in 4% formaldehyde in phosphate buffer. The fixed hemisphere was cut into 1-cm slabs, and slabs from predetermined regions were further cut into blocks and sections to be used for pathological assessment. The process is explained in more detail elsewhere.[14,15](#page-11-0)

2.3.1 A*β* load

Multiple brain regions were immunohistochemically examined for the presence of A*β*, including anterior cingulate cortex, superior frontal cortex, mid-frontal cortex, inferior temporal cortex, hippocampus, entorhinal cortex, angular gyrus/supramarginal cortex, and calcarine cortex. Antibodies specific for A*β* (6F/3D [1:50, Dako North America Inc., Carpinteria, CA, USA]; 10D5 [1:600, Elan Pharmaceuticals, San Francisco, CA, USA]; 4G8 [1:9000, Covance Labs, Madison, WI, USA]) were used for staining the 20-µm sections. The immunostained sections were examined by digital image analysis to calculate percentage of each section occupied by immunohistochemically labeled areas. The percent areas were summarized in and across brain regions to yield brain A*β* load, as described previously.[14,16](#page-11-0)

2.3.2 \parallel Tau tangle density

The same brain regions examined for A*β* were also examined for tau tangles using antibodies specific for phosphorylated tau (AT8 [1:1000, Innogenetics, Alpharetta, GA, USA]). The immunostained sections were examined under microscopes equipped with a computer-aided stereology program to count tau-labeled tangles, which were summarized in and across brain regions to yield brain tau tangles density, as described previously.[14,16](#page-11-0)

$2.3.3$ | AD pathological diagnosis

Multiple brain regions were stained using a modified Bielschowsky silver stain to identify diffuse and neuritic plaques and neurofibrillary tangles, which were used to adjudicate a pathological diagnosis of AD according to established criteria.^{[17](#page-11-0)}

2.3.4 | Non-AD brain pathologies

Structured *post mortem* pathological assessments included collection of indices of eight other brain pathologies, including TAR DNAbinding protein 43 (TDP-43), hippocampal sclerosis, Lewy bodies, macroinfarcts, microinfarcts, intracranial atherosclerosis, basal ganglia arteriolosclerosis, and cerebral amyloid angiopathy (CAA). Binary variables were used to summarize the eight brain pathologies, which are described in the eMethods.

2.4 Proteomic analysis

Dorsolateral prefrontal cortex (DLPFC) is a brain region heavily involved in cognitive function and is also vulnerable to the accumulation of AD and other pathologies. Therefore, this brain region was selected for proteomic analysis, described previously in more

detail.^{[18,19](#page-11-0)} In brief, 100 mg DLPFC tissue was homogenized, sonicated, and centrifuged, and protein concentration was determined in the supernatant. Protein digestion was carried out using lysyl endopeptidase and trypsin. The digests were labeled using the tandem mass tag method, which was subsequently fractionated under high pH in a high-performance liquid chromatography system. The fractions were analyzed by liquid chromatography coupled to mass spectrometry. The spectra were searched against the canonical UniProtKB human proteome database, and, following spectra assignments, peptides were assembled into proteins. Several quality control (QC) measures were in place, including the use of a global internal standard and regressing out effects of protein batch, MS2 versus MS3 quantitation mode, sex, age at death, *post mortem* interval, and study (ROS vs. MAP), as described previously.[20](#page-11-0) The result was a quantification of 8425 proteins in 596 participants.

2.5 Bulk tissue RNA sequencing

Details of RNA-seq have been published elsewhere.²¹⁻²³ After RNA extraction from tissues prepared from DLPFC, standard protocols with minor modifications were used for making libraries and sequencingextracted RNA, which were aligned to a human reference genome. The expression levels of transcripts were estimated, aggregated at the gene level, and normalized. Finally, multiple steps were taken to remove major technical and biological factors including batch effect, RNA integrative number, number of aligned reads, study, *post mortem* interval, age, and sex.

2.6 Single-nucleus RNA sequencing

The nuclei were isolated from 479 DLPFC samples for snRNA-seq data acquisition, as previously described. 24 24 24 Tissues were processed in 60 batches with eight donors each. The experiment was designed to balance clinical, pathological diagnosis, and sex as much as possible. The libraries were prepared using the 10x Genomics 3 Gene Expression kit (version 3 chemistry), run on the Chromium platform. The libraries were sequenced and aligned to a human reference genome, and the expression levels of transcripts were estimated. Then we used whole genome sequencing data of the participants to identify the original donors of the examined nuclei using genetic demultiplexing approaches. For QC analysis, genotype concordance of RNA and whole genome sequencing, sex check, duplicated individuals, cell doublets, and sequencing depth were assessed. For the cell type annotation step, nuclei were classified into seven major cell types by a weighted ElasticNet-regularized logistic regression classifier and standard work-flows implemented in the R Seurat package.^{[25](#page-11-0)} Over 1.64 million nuclei from 424 donors after QC were retained for downstream analysis. Pseudo-bulk matrices were generated by summing counts per cell type, per donor, and TMM-voom normalized.^{[26](#page-11-0)} Finally, we selected the expression of the targeted genes used in this project.

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2.7 Alzheimer's dementia polygenic risk score

Alzheimer's dementia polygenic risk score was calculated using results of a large genome-wide meta-analysis^{[27](#page-11-0)} and genotyping of the ROS and MAP participants.^{[28](#page-11-0)} Based on the association with Alzheimer's dementia and after linkage disequilibrium-related pruning, sequence variants were selected and weighted by their number and their coefficients of association with Alzheimer's dementia. Then the weights were averaged across the sequence variants to yield Alzheimer's dementia polygenic risk score. As we had aimed to compare other sequence variants of Alzheimer's dementia with *APOE* ɛ4, we excluded from the polygenic risk score sequence variants that were in the *APOE/TOMM40* genetic region. We used two polygenic risk scores by applying two *p* value thresholds for sequence variants inclusion: *<*5×10−⁸ and *<*1.[29](#page-11-0)

2.8 Cognition

Global cognition and five cognitive domains were annually assessed using 19 neuropsychological tests. The included tests were as follows: immediate and delayed recall of the East Boston Story and Logical Memory Story A, Word List Memory, Recall, and Recognition (episodic memory); Symbol Digit Modalities Test, Number Comparison, Stroop Color and Word Test (perceptual speed); Boston Naming Test, Verbal Fluency, Word Reading (semantic memory); Digit Span Forward and Backward, and Digit Ordering (working memory); Judgment of Line Orientation and Standard Progressive Matrices (visuospatial ability). The neuropsychological tests' scores at each annual visit were standardized using means and standard deviations of the scores at baseline and averaged to come up with global cognition and cognitive domain scores.[30,31](#page-11-0)

The neuropsychological tests' scores were also reviewed by a neuropsychologist and rated. The ratings, along with other clinical data, were reviewed by a clinician with expertise in dementia to adjudicate presence of dementia and its subtypes according to established criteria.[32](#page-11-0)

2.9 Other covariates

Age at death was calculated using dates of death and birth. In addition, self-report questions at baseline were used to obtain sex, years of education, and race data.

2.10 Statistical methods

Separate linear regressions ($n = 8425$) were used to examine associations of *APOE* ɛ4 with proteins. The *APOE* ɛ4-associated proteins were further examined in association with A*β* load and tau tangle density, which were square-root-transformed to have a distribution closer to normality. The *APOE* ɛ4-associated proteins were also examined in

TABLE 1 Characteristics of study participants at the last visit prior to death (*n* = 596).

separate linear mixed-effects models that used longitudinal measurements of global cognition and cognitive domain scores as the outcome. In these linear mixed-effects models, the model term of interest was the interaction between *APOE* ɛ4-related protein and time, which estimated association of the protein with rate of cognitive decline. In further analyses, linear regressions were used to examine association of *APOE* ɛ4 with RNA expression levels of the genes of *APOE* ɛ4-related proteins and association of AD polygenic risk score with *APOE* ɛ4-related proteins. We used backward elimination in the linear regression models that included all *APOE* ɛ4-related proteins to identify proteins that were independently associated with A*β* and tau tangles. To estimate effect sizes, we used Cohen's *f* ² that indicates relative change in the explained variance of the outcome when we remove the variable of interest.^{[33](#page-11-0)} All the linear regressions were controlled for age at death and sex, and linear mixed-effects models examining cognitive decline were controlled for age at death, sex, education, and their interactions with time. To correct for multiple testing, the *p* values of all the analyses were adjusted using Bonferroni method.

3 RESULTS

Participant characteristics are summarized in Table 1. On average, participants were 90 years old at death, 70% were women, 30% had Alzheimer's dementia, and 60% had pathologic AD.

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FIGURE 1 Chicago plot of proteome-wide association of *APOE* ɛ4 with proteins in dorsolateral prefrontal cortex.

3.1 *APOE* **ɛ4 and proteins**

One hundred thirty-three (23%) participants had at least one *APOE* ɛ4 allele, with 128 of them having one and five having two *APOE* ɛ4 alleles. Of the 8425 proteins, *APOE* ɛ4 was associated with the levels of 18. Interestingly, as illustrated in the Chicago plot (Figure 1), all 18 proteins had a positive association with *APOE* ɛ4 (Table e-1). The 18 proteins were involved in different cellular functions, including axonal growth and brain development, neurogenesis, angiogenesis, amyloid production and aggregation, and extracellular matrix hemostasis. Of note, the association between *APOE* ɛ4 and APOE protein was not significant following a conservative Bonferroni correction (estimate $= 0.251$, $SE = 0.099, p = .011$.

We next examined whether the 18 proteins were associated with AD pathological indices and cognitive decline. In two series of linear regressions, we separately examined the associations of the 18 *APOE* ɛ4-related proteins with A*β* and tau tangles. As expected, due to the associations with APOE $\mathsf{e}4,^{3,13}$ $\mathsf{e}4,^{3,13}$ $\mathsf{e}4,^{3,13}$ the analyses found that all the proteins were associated with higher levels of A*β* load and tau tangle density (Figure [2A;](#page-5-0) Table e-1). Then, in a series of linear mixed-effects models,

we separately examined the associations of the 18 proteins with global cognitive decline and decline in five cognitive domains (Figure [2B;](#page-5-0) Table e-2). Higher levels of 16 out of 18 proteins were associated with faster global cognitive decline. Not surprisingly given the relatively selective effect of the allele in our data, 34 the number of proteins associated with faster decline in a cognitive domain was the highest for episodic memory (*n* = 17), followed by semantic memory (*n* = 16), working memory $(n = 14)$, processing speed $(n = 9)$, and visuospatial ability $(n = 1)$.

3.2 *APOE* **ɛ4 and RNA expressions of the 18 proteins related to** *APOE* **ɛ4**

To test whether the associations between *APOE* ɛ4 and the 18 proteins were also seen at the RNA level, we examined bulk tissue RNA expression levels of genes corresponding to the proteins. RNA expression level of *APCS* did not pass QC. Of the remaining 17 proteins, three had modest correlations with their RNA expression levels (Table e-3). We found no associations of *APOE* ɛ4 with the 17 RNAs (Table e-4). RNA expression of the 17 genes had also been measured in 600 other

FIGURE 2 Associations of *APOE* ɛ4-related proteins with AD pathological indices and rate of cognitive decline. The circles and bars illustrate estimates and their 95% confidence intervals (CIs) of the associations between the 18 *APOE* ɛ4-related proteins and different outcomes. The estimates and 95% CIs are derived from analyzing proteins in separate models. The estimates of the associations with *APOE* ɛ4 were derived from separate linear regression models where each of the 18 proteins was the outcome and *APOE* ɛ4 was the model term (A). The associations between the proteins and A*β* or tau tangles were derived from separate linear regression models where A*β* or tau tangle was the outcome and each of the proteins was the model term (A). The association with the rate of cognitive decline is derived from separate mixed-effects models with repeated measurements of global cognition or the five cognitive domains as the outcome (B). Each mixed-effects model term was one of the proteins, time (i.e., the years in the study), and interaction of the protein with time. All the linear regression models were controlled for age at death and sex, and the mixed-effects models were controlled for age at death, sex, education, and their interaction with time. Crossed circles indicate the associations that were not significant after Bonferroni adjustment.

participants whose proteomic data were not available. Examining the association of *APOE* ɛ4 with RNA expression of the 17 genes in all participants with available RNA data ($n = 1196$) did not change the finding that *APOE* ɛ4 was not related to RNA expression (Table e-5).

In 218 participants, we had snRNA-seq pseudo-bulked data of the 17 genes (similarly to bulk tissue RNA data, *APCS* was not expressed

in snRNA-seq data). Seven genes were expressed in all cell types (Figure [3\)](#page-6-0). However, replacing bulk tissue with snRNA expression levels did not change the finding that *APOE* ɛ4 was not associated with RNA expression of any of the examined genes (Table e-6). snRNA-seq data were also available in 206 other participants whose proteomic data were not available. Examining the association of *APOE* ɛ4 with snRNA

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end = Endothelia ۹ ext = Excitatory neurons

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- $inh = Inhibitory$ neurons
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- nic = Microglia
oli = Oligodendroglia
opc = Oligodendrocyte precursor cells

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FIGURE 3 Pseudo-bulk snRNA-seq gene expression data for the 18 proteins related to *APOE* ɛ4.

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FIGURE 4 A heatmap plot illustrating correlations of the 18 proteins associated with *APOE* ɛ4. The gray squares denote missing in the levels of Cellular Communication Network family member 1 (O00622) and collagen alpha-1(XXV) chain (Q9BXS0) as there were no participants having non-missing levels of both proteins.

expression of the 17 genes in all participants with available snRNA-Seq data (*n* = 424) indicated that only the association between *APOE* ɛ4 and snRNA expression of *FLT1* (vascular endothelial growth factor receptor 1) in microglia was significant after Bonferroni correction (Table e-7).

3.3 Alzheimer's dementia polygenic risk scores and the 18 *APOE* **ɛ4-related proteins**

Next, we examined whether the 18 proteins were exclusively related to *APOE* ɛ4 or whether they were also related to other Alzheimer's

dementia genetic risk factors. We used an Alzheimer's dementia polygenic risk score developed by applying a *p* value threshold of *<*5×10−⁸ and by excluding the *APOE* region. Examination of the 18 proteins in separate linear regression models indicated that the polygenic risk score was associated with only two proteins (SPOCK2 and NRXN1; Table e-8). However, these associations were not robust as two other proteins (secreted frizzled-related protein 1 [SFRP1] and SPOCK3) were associated with Alzheimer's dementia polygenic risk score when we changed the *p* value threshold to *<*1 and included more sequence variants in the risk score (Table e-8). The aforementioned findings indicated that the 18 proteins were mostly related to *APOE* ɛ4 as opposed to other Alzheimer's dementia genetic risk variants.

FIGURE 5 Associations of five and two *APOE* ɛ4-related proteins independently associated with A*β* and tau tangles, respectively. In two separate models including 15 *APOE* ɛ4-related proteins, only five proteins (A–E) remained associated with A*β* and two proteins (F–G) with tau tangles.

3.4 Proteins linking associations of *APOE* **ɛ4 with A***β* **and tau tangles**

The 18 *APOE* ɛ4-related proteins were moderately to strongly correlated (Figure [4\)](#page-7-0). Only seven proteins were present in all participants (Table e-9), and no participant had non-missing levels of all 18 proteins. Therefore, we excluded three proteins with the most missing (230, 343, 450 missing, Table e-9) and examined 302 participants with nonmissing data of the remaining 15 proteins to examine the 15 proteins together in a single model. These 302 participants were not different from the other 294 participants in sex, race, education, frequency of *APOE* ɛ4, and levels of A*β*, tau tangle, and the 18 proteins, but on average they were 1 year older in age at death (Table e-10).

In a single linear regression model, we examined the associations of the 15 proteins with A*β*, controlling for age at death and sex using backward elimination. Only five proteins remained associated with A*β* (Figure 5A-E) and explained 58% of the variance of A*β*. Examination of the effect sizes of the five proteins indicated that two proteins (netrin-1 and SFRP1) had the largest effect sizes, twice or more compared with the other three proteins (Table e-11). Moreover, in a model that included the two proteins and *APOE* ɛ4, the association of *APOE* ɛ4 with A*β* was attenuated and no longer significant, indicating that the two proteins linked *APOE* ɛ4 with A*β* (Figure [6,](#page-9-0) Table e-12).

Similarly, in a separate linear regression model, we examined the associations of the 15 proteins with tau tangles as the outcome, controlled for age at death and sex using a backward elimination method. Only two proteins (netrin-1 and testican-3) remained associated with tau tangles (Figure 5F-G) and explained 32% of the variance of tau tangles. The effect size of netrin-1 was three times larger than the effect size of testican-3 (Table e-11). In a model that included the two proteins and *APOE* ɛ4, the association of *APOE* ɛ4 with tau tangles was attenuated by 50% but still significant (Figure [6,](#page-9-0) Table e-12). Inclusion of A*β* in the model did not change the finding (Tables e-12).

3.5 Pleiotropy of the 18 *APOE* **ɛ4-related proteins**

We examined whether the 18 proteins were related exclusively to AD pathological hallmarks or to other brain pathologies as well. In eight series of logistic regression models, we separately examined

FIGURE 6 Associations of *APOE* ɛ4 with A*β* and tau tangles with and without adjustment for *APOE* ɛ4-related proteins. The left panel illustrates associations of *APOE* ɛ4 with A*β* (upper panel) and tau tangles (lower panel) where levels of A*β* and tau tangles were adjusted for age at death and sex. The right panels illustrate the same associations where levels of A*β* (upper panel) and tau tangles (lower panel) were further adjusted for the related proteins, which were Netrin-1 and secreted frizzled-related protein 1 for A*β* and netrin-1 and testican-3 for tau tangles. The figure illustrates attenuation of the association between *APOE* ɛ4 and A*β* or tau tangles after adjustment for the proteins.

associations of the 18 *APOE* ɛ4-related proteins with eight other brain pathologies. As binary indices were used to summarize the other pathologies, we also examined the association of the 18 proteins with the binary variable of pathological diagnosis of AD to make comparable comparisons. While all 18 proteins were associated with higher odds of pathological diagnosis of AD, 16, 11, and eight proteins were related to CAA, TDP-43, and Lewy bodies, which are also correlated with AD, no protein was related to hippocampal sclerosis, macroinfarcts, microinfarct, or arteriolosclerosis, and one protein was inversely related to atherosclerosis (Table e-13). Moreover, the proteins that were related to both AD and other brain pathologies had stronger associations (larger odds ratios) with AD than with other pathologies. These findings suggest that the 18 *APOE* ɛ4-related proteins had relative specificity in association with AD.

4 DISCUSSION

Clinical, pathological, and omics data from nearly 600 older adults were examined to identify proteins that link *APOE* ɛ4 with AD hallmarks, A*β* and tau tangles. The proteome-wide association analysis identified 18 proteins that had higher levels in carriers of *APOE* ɛ4. Moreover, higher

levels of the 18 proteins were associated with higher levels of A*β* and tau tangles, and faster cognitive decline was observed in higher levels of 16 of the proteins. Examining the proteins in a unified model identified two proteins (netrin-1 and SFRP1) linking *APOE* ɛ4 with A*β* and two proteins (netrin-1 and testican-3) linking *APOE* ɛ4 with tau tangles. Replication of these findings in other studies with different methodologies will support targeting these proteins for drug development against AD in general and among those with *APOE* ɛ4 in particular.

Prior studies suggested different mechanisms underlying the association of *APOE* ɛ4 with AD, including impaired A*β* removal through less expression of amyloid degrading proteases, less A*β* degradation by astrocytes and microglia, and less efficient perivascular drainage of A*β*. [35](#page-11-0) However, these mechanisms are based on findings from experimental studies including cell cultures and animal models, with limitations of such findings for generalization to the human body. Moreover, as the expression of genes and proteins may vary in different tissues, it is essential to examine omics data of human brain tissue rather than other tissues, including plasma, to uncover molecular mechanisms linking *APOE* ɛ4 to AD. Although a handful of studies used mass spectrometry methods to quantify brain tissue-derived proteins and examine their relation to AD, $36-41$ fewer studies specifically investigated the quantified proteins in relation to $APOE \epsilon 4$ ⁹⁻¹¹ Compared with the prior studies, the current study quantified proteins in a much larger sample of approximately 600 participants. Further, we linked the proteins to a wide range of AD/ADRD clinical and pathologic traits and to other AD-related genetic risk variants. Thus, the current study builds on prior work in important ways.

We found 18 proteins that showed higher expression in*APOE* ɛ4 carriers and were related to greater A*β* load and more tau tangles. The proteins were involved in diverse cellular functions, just as proteins that were found related to A*β* and tau tangles in proteome-wide association studies of AD. 42 Moreover, 11 of the 18 proteins were among 58 proteins that were related to AD and validated across three cohort studies. 40 Interestingly, the 18 proteins were not among the top proteins related to *APOE* ε 4 in prior studies⁹⁻¹¹ possibly due to different sample sizes, participant age, number of quantified proteins, and examined brain regions. Of note, there was almost no association between *APOE* ɛ4 and RNA expression of these proteins, which indicates that the pathways linking *APOE* ɛ4 and the 18 proteins are at the posttranslational stages of the protein synthesis, not at the RNA transcription level.

By examining the *APOE* ɛ4-related proteins together in one model, we identified netrin-1 as the protein linking *APOE* ɛ4 with A*β* and tau tangles with the largest effect sizes. Netrin-1 is a matrix protein contributing to axonal guidance during brain development. 43 Prior studies showed that netrin-1 was enriched in A β deposits but not tau tangles^{[41](#page-12-0)} and also bound to A*β*. [40,43](#page-12-0) Moreover, a variant of a netrin-1 receptor, UNC5C, is a rare genetic risk factor for late-onset AD^{44} and is related to CAA^{45} CAA^{45} CAA^{45} and cognition.^{[46](#page-12-0)} As both netrin-1 and APOE protein are present in the brain matrix and APOE may contribute to A*β* clearance with less efficient clearance by APOE E4 protein compared with E2 and E3 isoforms, 35 we hypothesized that the contribution of netrin-1 to A*β* clearance pathways facilitated by APOE was the mechanism through which netrin-1 mediated the association between*APOE* ɛ4 and AD. This hypothesis needs to be tested in future studies.

Fewer data are available to suggest how SFRP1 and testican-3 link *APOE* ɛ4 with A*β* and tau tangles, respectively. SFRP1 is an inhibitor of disintegrin and metalloproteinase domain-containing protein 10 (ADAM10),^{[47,48](#page-12-0)} which is a part of α -secretase, which provides an alternative processing pathway for amyloid precursor proteins yielding less toxic soluble amyloid precursor protein *α* rather than A*β*. In addition, lower levels of SFRP1 were observed in brain organelles derived from *APOE*-deficient stem cells.[49](#page-12-0) We hypothesize that higher levels of SFRP1 associated with *APOE* ɛ4 result in the production of more A*β* as a result of inhibiting *α*-secretase. If our hypotheses are correct, netrin-1 and SFRP1 link *APOE* ɛ4 with more A*β* in two separate pathways; one yields less A*β* clearance and the other more A*β* production. Testican-3 is a secreted proteoglycan that is involved in the regulation of extra-cellular protease cascade and neuronal function.^{[50](#page-12-0)} We could not find a plausible mechanism explaining the relation between testican-3, *APOE* ɛ4, and tau tangles.

Some of the 18 proteins were also related to TDP-43, Lewy bodies, and CAA, which were found to be correlated with AD.^{[51](#page-12-0)} However, the proteins were not related to cerebrovascular disease pathologies that were not correlated with AD. 51 The latter, together with the stronger

associations of the proteins with AD compared with their associations with TDP-43 and Lewy bodies, suggest that the proteins are specifically involved in the molecular biology of AD. However, the proteins may be representative of the molecular biology of neurodegeneration and neuronal loss, that is, the end stage of all the neurodegenerative diseases of the brain.^{[52](#page-12-0)} These possibilities should be addressed in future studies.

The current study has several strengths. Proteome data of frontal cortex of approximately 600 older adults were examined with AD pathological markers and *APOE* ɛ4. A common structured harmonized data collection system reduced the variability of measurements and increased the reliability of the findings. The availability of both proteome and transcriptome data provided the opportunity to examine whether the findings of proteomes linking *APOE* ɛ4 with AD were because of changes in gene expression or because of posttranslational changes. However, limitations must be noted when drawing inferences from the findings. The findings come from analyzing observational cross-sectional study data and are more indicative of correlations rather than cause and effect. While more than 15,000 genes are expressed in the human brain, we could quantify only 8500 proteins. Future studies with advanced proteomic techniques may quantify more proteins and more pathways linking *APOE* ɛ4 with AD. The study participants were volunteers, mostly Whites and with high educational level; further studies with more diverse participants are required for the generalizability of the findings. As the association of *APOE* ɛ4 with AD varies across race and ethnicity,^{[53](#page-12-0)} the findings of this study may not be generalizable to more diverse populations. Less than 1% of the participants had an *APOE* ɛ4ɛ4 genotype, which is much less than expected.[54](#page-12-0) Because individuals with two *APOE* ɛ4 alleles have a much higher risk of AD compared with one *APOE* ε4 allele,^{[54](#page-12-0)} our findings need replication in samples with more persons with *APOE* ɛ4ɛ4 genotype.

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CONFLICT OF INTEREST STATEMENT

The authors report no disclosures relevant to the manuscript. Author disclosures are available in the supporting information.

CONSENT STATEMENT

All participants signed informed and repository consents and an Anatomic Gift Act.

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