Selection for Neutralization Resistance of the Simian/Human Immunodeficiency Virus SHIV_{SF33A} Variant In Vivo by Virtue of Sequence Changes in the Extracellular Envelope Glycoprotein That Modify N-Linked Glycosylation

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We previously reported on the in vivo adaptation of an infectious molecular simian/human immunodeficiency virus (SHIV) clone, SHIV_{SF33}, into a pathogenic biologic viral variant, designated SHIV_{SF33A}. In the present study, we show that SHIV_{SF33A} is resistant to neutralization by human immunodeficiency virus (HIV) and SHIV antisera. Multiple amino acid substitutions accumulated over time throughout the env gene of SHIV_{SF33A}; some of them coincided with the acquisition of the neutralization resistance of the virus. Of interest are changes that resulted in the removal, repositioning, and addition of potential glycosylation sites within the V1, V2, and V3 regions of envelope gp120. To determine whether potential glycosylation changes within these principal neutralization domains of HIV type 1 formed the basis for the resistance to serum neutralization of SHIV_{SF33A}, mutant viruses were generated on the backbone of parental SHIV_{SF33} and tested for their neutralization sensitivity. The mutations generated did not alter the in vitro replication kinetics or cytopathicity of the mutant viruses in T-cell lines. However, the removal of a potential glycosylation site in the V1 domain or the creation of such a site in the V3 domain did allow the virus to escape serum neutralization antibodies that recognized parental SHIV_{SE33}. The combination of the V1 and V3 mutations conferred an additive effect on neutralization resistance over that of the single mutations. Taken together, these data suggest that (i) SHIV variants with changes in the Env SU can be selected in vivo primarily by virtue of their ability to escape neutralizing antibody recognition and (ii) carbohydrates play an important role in conferring neutralization escape, possibly by altering the structure of envelope gp120 or by shielding principal neutralization sites.

Viral diversity is a hallmark of human immunodeficiency virus (HIV) and simian immunodeficiency virus (SIV) infections. The ability of these viruses to continually evolve in the host may contribute to their ability to persist in an individual despite an active specific immune response against them. Accordingly, characterizing virus variants that evolve during the course of infection and establishing the basis for their selection within the host should provide insight into viral persistence and hence pathogenesis and assist in the design of therapeutic approaches.

Phenotypic and immunologic variants have been reported to emerge over the course of both HIV and SIV infections (for reviews, see references 9, 25, 34, and 38). Indeed, variants resistant to neutralization by autologous sera can be detected in vivo and can also be generated by prolonged culturing in the presence of neutralizing antibodies in vitro (1, 2, 8, 14, 23, 30, 33, 36, 42, 45, 56). The majority of neutralizing antibodies present in sera from individuals infected with HIV type 1 (HIV-1) or immunized with recombinant HIV-1 proteins or in experimentally infected animals are directed either to the V3 loop of envelope gp120 or to epitopes overlapping the CD4binding site of gp120 (10, 15). For SIV, the V1 and V4 domains appear to contain the principal neutralizing determinants (9, 46, 47). It is generally accepted that anti-V3 loop antibodies are type or sequence specific, whereas anti-CD4-binding-site antibodies are broadly cross-neutralizing (11, 41, 54, 55). Neutralization resistance can be acquired either directly by a point mutation within the antibody-binding site that reduces or abrogates the binding of the antibody or indirectly by a point mutation elsewhere in the envelope gene that alters the conformation of the antibody-binding site (4, 30, 33, 42, 53, 59). Resistance can also be conferred by epitope masking. In this regard, N-glycans have been shown to play a critical role in the shielding of neutralizing epitopes of both HIV-1 and SIV (3, 14, 20, 47, 49). Furthermore, carbohydrate side chains have been reported to modulate immune responses (5, 6, 44) and to play a role in maintaining the proper expression and function of envelope gp120 (17, 21, 27, 31, 37, 40, 60).

Although a temporal relationship between sequence changes in the extracellular envelope glycoprotein and neutralization sensitivity has been demonstrated for viruses that evolve during the natural course of SIV infection (8, 14, 39, 47), similar studies have not been reported for HIV-1. Toward this end, we examined temporal changes in the sequence and immunological properties of the HIV-1 env gene in viruses that evolve during the course of simian/human immunodeficiency virus (SHIV) infection of macaques. SHIVs are chimeric viruses constructed between molecular clones of SIVmac and various strains of HIV-1 (38). These chimeras contain an HIV-1 DNA fragment carrying the tat, rev, vpu, and env genes cloned into the genome of the proviral form of pathogenic SIVmac239 (26, 29, 48, 50). We previously infected four juvenile macaques with SHIV_{SE33} (29). One of these four macaques (Mnu25814) exhibited an increase in virus load at about

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TABLE 1. Viral load over time in Mnu25814^a

Wk postinfection	Cell-associated virus load (TCID ₅₀) per 10^6 PBMC
2	
4	
8	
32	
52	
72	
91	
104	

^a See text for details.

16 months after infection (Table 1) (28, 29) concomitant with a decline in the level of $CD4^+$ T cells and the development of simian AIDS. Virus recovered from this animal in the symptomatic stage (i.e., 104 weeks postinfection), designated SHIV_{SF33A}, caused fatal immunodeficiency in juvenile and infant rhesus macaques. In vitro, the SHIV_{SF33A} biologic isolate displayed growth and cytopathicity properties that differed from those of the parental SHIV_{SF33} molecular clone (28).

In the present study, we show that in contrast to the parental SHIV_{SF33} clone, SHIV_{SF33A} is resistant to neutralization by HIV antisera and autologous SHIV antisera. The evolution of SHIV_{SF33} into SHIV_{SF33A} in the infected host therefore provides a system to assess the temporal relationship between specific sequence changes in the HIV-1 envelope that are selected for over time and the establishment of neutralization resistance in vivo. We find that sequence changes that modulate potential N-linked glycosylation of the HIV-1 envelope are selected for within the infected host and play an important role in conferring escape from immune recognition.

MATERIALS AND METHODS

Cells and virus. RhPBMC (rhesus peripheral blood mononuclear cells [PBMC]) were obtained from healthy rhesus macaques free of simian type D retroviruses, SIV, and simian T-lymphotropic virus by Ficoll gradient centrifugation (lymphocyte separation medium; BioWhittaker, Walkersville, Md.). Purified cells were stimulated with 5 μ g of staphylococcal entertoxin B (Sigma Biochemicals) per ml for 72 h and propagated in RPMI 1640 medium supplemented with 10% heat-inactivated fetal calf serum, L-glutamine, penicillin, streptomycin, and 10 U of recombinant interleukin 2 (Hoffmann-La Roche) per ml. CEMX174 cells, a human hybrid T-B cell line provided by J. Hoxie (University of Pennsylvania, Philadelphia), were maintained in RPMI 1640 medium supplemented with 10% and antibiotics. Cell-associated virus load was determined by coculturing 106 PBMC (and serial 1:10 dilutions thereof) from Mnu25814 with 2.5×10^5 CEMX174 cells per well, with four wells per dilution. Titers were calculated by determining the numbers of infected PBMC per 106 total PBMC. SHIV variants were recovered over time from infected animal Mnu25814 by cocultivation of PBMC with CEMX174 cells (29). Stocks of cell-free SHIV (SHIV_{SE33}, SHIV_{SE33A}, and glycosylation mutant viruses) were prepared by passage in CEMX174 cells. Culture supernatants were collected at 7 to 10 days postinfection, passed through a 0.45-µm-pore-size filter, and frozen in 1-ml aliquots. The 50% tissue culture infective doses (TCID₅₀) of these viruses in CEMX174 cells were determined as described previously (32).

In vitro viral infections. For in vitro infection studies, 2×10^6 RhPBMC and 10^5 CEMX174 cells were infected with 100 TCID₅₀ of each virus for 3 h at 37°C. The viral inocula were removed, and cells were washed twice in Hanks' buffered saline solution (HBSS) and maintained in culture media as described above. At various times postinfection, p27 antigen production in culture supernatants was determined by the RETRO-TEK SIV type 1 p27^{sag} antigen enzyme-linked immunosorbent assay (Cellular Products Inc.) according to the manufacturer's instructions.

PCR and sequencing of viral DNA. Viral DNA sequences containing the HIV-1 *env* gene were amplified from Mnu25814 PBMC by a nested PCR with ED3 and ED14 as first-round primers and ED5 and ED12 as second-round primers as described previously (22). The amplified products were cloned into the TA vector (Invitrogen, Carlsbad, Calif.), and the *env* clone sequences were determined with $[\alpha^{-33}P]$ ATP and the AmpliCycle sequencing kit (Perkin-Elmer) according to the manufacturer's instructions. The consensus sequence for PCR products was obtained by direct sequencing of PCR products.

TABLE 2. Neutralization of SHIV _{SF33} and SHIV _{SF33} ,	_λ by
autologous SHIV and heterologous HIV-1 sera ^a	-

Sera	IC ₉₀	0 for:
Sera	SHIV _{SF33}	SHIV _{SF33A}
Autologous SHIV at wk:		
12	<1:20	<1:20
32	1:50	<1:20
52	1:500	<1:20
72	1:1,000	<1:20
96	1:1,000	<1:20
104	1:500	<1:20
Polyclonal HIV-1	1:500	<1:20

^{*a*} Neutralization of SHIV_{SF33} and SHIV_{SF33A} by sera collected over time from Mnu25814 and by a pool of sera collected from HIV-1-infected individuals was determined as described in the text, and the IC_{90} was then determined. Data represent one of three independent neutralization experiments.

Neutralization assay. Neutralization experiments were performed with CEMX174 cells in 96-well plates as previously described (16, 52). Briefly, serum samples from HIV-infected individuals and SHIV_{SF33}-infected macaque Mnu25814 were heat inactivated (56°C, 30 min). A 50-µl serial dilution of each serum sample was incubated in triplicate wells with an equal volume of each virus (100 TCID₅₀) for 1 hour at room temperature. Subsequently, 2×10^4 cells in a 100-µl volume of medium were added to the virus-serum mixtures, and incubation was continued at 37°C for an additional 3 h. At the end of this incubation period, the cells were washed three times with HBSS and resuspended in 200 µl of culture medium. Control cultures received virus incubated with preimmune sera or in the absence of antisera. Culture supernatants were assayed for p27 antigen production at 7 days postinfection. A neutralization curve was generated by plotting the percent neutralization versus the serum dilution. The dilution of antiserum that resulted in 90% inhibition (IC₉₀) was then extrapolated from this curve.

Generation of glycosylation mutants. Site-directed mutagenesis to alter the potential glycosylation sites in the V1, V2, and V3 domains of the HIV-1 *env* gene in the 3' genomic fragment of SHIV_{SF33} was performed with a Quick-Change mutagenesis kit according to the manufacturer's instructions (Stratagene, San Diego, Calif.). The presence of the mutation was confirmed by DNA sequencing. Mutant viruses were recovered by cotransfection of the *SphI*-linearized mutagenized 3' SHIV_{SF33} proviral DNA together with the 5' SHIV_{SF33} proviral DNA into 293T cells as described previously (29), followed by cocultivation with CEMX174 cells. In most cases, two independent clones of each mutated envelope were obtained and characterized to ensure that spontaneous mutations distant from the desired mutation were not responsible for the observed phenotype.

RESULTS

SHIV_{SF33A} is resistant to serum neutralization. We previously reported that in vitro, the pathogenic SHIV_{SF33A} isolate recovered from Mnu25814 in the symptomatic stage (104 weeks postinfection) replicated more efficiently and exhibited

TABLE 3. Neutralization of sequential isolates by sera collected over time from $Mnu25814^a$

Wk of serum collection	IC ₉₀ for isolates from wk:					
	32	52	72	96		
12	<1:20	<1:20	<1:20	<1:20		
32	1:50	1:50	<1:20	<1:20		
52	1:500	1:500	<1:20	<1:20		
72	1:500	1:500	<1:20	<1:20		
96	1:1,000	1:500	1:50	<1:20		
104	1:500	1:250	1:50	<1:20		

^{*a*} Sequential isolates recovered from Mnu25814 were propagated in CEMX174 cells, their titers were determined, and they were subjected to neutralization by autologous sera collected over time as described in Materials and Methods. The IC₉₀ was then determined. Data represent one of two independent studies.

V1

33WT	CVTLNCTDYL	GNATNTNNSS	GGTVEKEEIK	nCS	CLONES
52wk	• • • • • • • • • •	• • • • • • • • • • •	• • • • • • • • • • •	•••	(4/4)
72wk	• • • • • • • • • • •	• • • • • • • • • • •	• • • • • • • • • •	•••	(4/4)
91wk	• • • • • • • • • •	• • • • • • • • • • •	• • • • • • • • • •	h	(2/4)
	•••••	• • • • • • • • • • •	·e····	h	(2/4)
96wk	• • • • • • • • • • •	•••• g••• ••	·e····	h··	(4/4)
33A	• • • • • • • • • • •	••••g•••••	·e····	h	Consensus

v2

33WT	CSFNITTGIR	DKVQKaYAFY	KLDVVPIDDD	NTNTSYRLIH	С	CLONES
52wk	• • • • • • • • • • •	••••e••••	• • • • • • • • • • •	••••	•	(4/4)
72wk	• • • • • • • • • • •	····e····	• • • • • • • • • • •	• • • • • • • • • •	•	(3/3)
91wk	····a··	····e····	$\cdot h \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot$	••g•••••	•	(1/4)
	····a··	·r···e····	$\cdot_{\mathtt{h}} \cdot \cdot \cdot \cdot \cdot \cdot \cdot \cdot$	••s•••••	•	(3/4)
96wk	·····a··	·r···e····	$\cdot\mathtt{h}\cdot\cdot\cdot\cdot$	··· 8 · · · · · · · ·	•	(4/4)
33A	·····a··	·r···e···	$\cdot\mathtt{h}\cdot\cdot\cdot\cdot$	···g·····	•	Consensus

Ψ3

33WT	CTRPNNnrRr	RITSgPGkVL	YTTGEIIGDI	RKAYC	CLONES
52wk	• • • • • • • • • • • •		• • • • • • • • • • • •	••••	(3/3)
72wk	• • • • • • • • • • •	• • • • • • • • • • • •	• • • • • • • • • • •	••••	(4/4)
91wk	····yt··	•••• r ••	• • • • • • • • • • •	• • • • •	(2/4)
	····yt··	•••• r ••	••••	•••h•	(1/4)
	••••yt•k	•••• r ••	••••	••••	(1/4)
96wk	••••yt•k	•••• r ••	• • • • • • • • • • •	···h·	(2/4)
	····yt··	····e··r··	• • • • • • • • • • •	···h·	(1/4)
	····yt··	•••• r ••	• • • • • • • • • • •	••••	(1/4)
33A	••••yt•k	••••r••		•••h•	Consensus

FIG. 1. Amino acid alignment of the V1, V2, and V3 domains of $SHIV_{SF33A}$, $SHIV_{SF33A}$, and sequential isolates obtained from Mnu25814. Viral DNA sequences encoding the V1 to V5 regions of the HIV-1 *env* gene were amplified by nested PCR. The amplified products were cloned, and the predicted amino acid sequences of the V1, V2, and V3 domains of the variants were determined and compared to the corresponding sequences of the reference $SHIV_{SF33}$ clone (33WT). The numbers in parentheses represent the numbers of clones displaying the indicated sequence divided by the total number of clones sequenced; the consensus sequence of the $SHIV_{SF33A}$ isolate (33A) is provided for comparison. Dots are used to indicate identity, and overlining denotes the positions of glycosylation changes within the various domains. 52wk, 72wk, 91wk, and 96wk represent the variants present in Mnu25814 at 52, 72, 91, and 96 weeks postinfection, respectively.

greater cytopathicity than the parental molecular clone SHIV_{SF33} (28). To determine whether SHIV_{SF33A} had also changed antigenically, the ability of (i) a pool of sera from HIV-1-infected individuals and (ii) sera collected over time from Mnu25814 to neutralize $\mathrm{SHIV}_{\mathrm{SF33A}}$ was evaluated and compared to the results for $SHIV_{SF33}$. We found that whereas $\mathrm{SHIV}_{\mathrm{SF33}}$ was highly sensitive to neutralization by both HIV-1-positive sera and sera collected from Mnu25814 at 32 weeks postinfection and thereafter, the pathogenic variant was resistant (Table 2). To assess when neutralization escape was established, isolates recovered over time from Mnu25814 were examined for their neutralization sensitivity. We observed that viruses recovered at 32 and 52 weeks postinfection were still sensitive to serum neutralization. Virus recovered at 72 weeks postinfection exhibited enhanced neutralization resistance, and by 96 weeks postinfection, resistance was fully established (Table 3).

Sequence changes in gp120 of $SHIV_{SF33}$ over time. In an attempt to identify the genetic basis for the change in the

neutralization sensitivity of $SHIV_{SF33A}$, the sequences of the V1, V2, and V3 regions of envelope gp120 of viral variants present in Mnu25814 over time were determined. These regions were selected since they have been shown to contain or modulate major neutralization target sites of HIV-1 (11). The results in Fig. 1 show only a minor change in the sequences of these regions for variants present at 52 and 72 weeks postinfection. By 91 weeks postinfection, however, considerable amino acid substitutions had accumulated in all three regions examined, and these changes were maintained at later times. This increase in sequence diversity between 72 and 91 weeks postinfection parallels, in addition to the change in the neutralization sensitivity of the virus (Table 3), increases in the viral load of Mnu25814 (Table 1) and the pathogenicity of the virus (28). Of interest are amino acid substitutions in all three regions that alter potential glycosylation sites. In the V1 region, an asparagine (N)-to-histidine (H) change at the base of the loop abolishes a potential glycosylation site. In the V2 region, an N-to-serine (S) change repositions a potential gly-

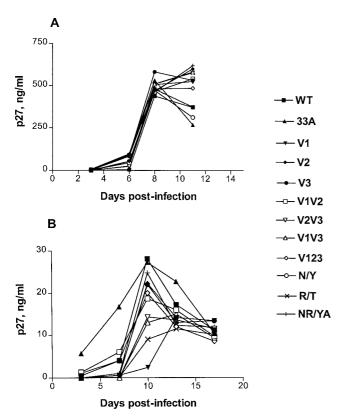


FIG. 2. Replication of SHIV_{SF33} (WT), SHIV_{SF33A} (33A), and glycosylation mutants in cells CEMX174 (A) and RhPBMC (B). CEMX174 cells (10^5) or RhPBMC (2×10^6) were infected with 100 TCID₅₀ of each virus for 3 h at 37°C. The viral inocula were then removed, and the infected cells were maintained in culture media. At the times indicated, p27 antigen production in culture supernatants was determined.

cosylation site. An arginine (R)-to-threonine (T) change at the N terminus of the V3 loop creates a potential glycosylation site at this position of the V3 loop. Since carbohydrate side chains have been reported to modulate immune responses and to play a role in immune evasion, mutant viruses were generated to assess whether these potential glycosylation changes contribute to the ability of $SHIV_{SF33A}$ to escape antibody recognition.

Changes in potential glycosylation sites do not alter the replication kinetics or cytopathicity of SHIV_{SF33}. Glycosylation mutants were generated on the genomic backbone of the parental clone SHIV_{SF33} by introducing N-to-H (N/H), N/S, and NR/YT substitutions into the V1, V2 and V3 domains, respectively (Fig. 1). Combinations of glycosylation mutations in the V1 and V2 (V1V2), V1 and V3 (V1V3), V2 and V3 (V2V3), and V1, V2, and V3 (V123) domains were also generated. In addition, since the NR/YT amino acid substitution in the V3 domain involved a -1 charge change in addition to generating a potential glycosylation site, additional V3 mutants were generated to address specifically the role of glycosylation. These V3 mutants contained R/T and NR/YA substitutions within the loop. The former substitution creates a potential N-linked site, and the latter is isogenic for the NR/YT substitution, except for the R/A substitution, which results in only a charge change.

The abilities of the mutant viruses to replicate in RhPBMC and CEMX174 cells were examined and compared to that of parental SHIV_{SF33}. We observed that, relative to SHIV_{SF33}, the mutant viruses replicated with similar kinetics and to com-

parable titers in CEMX174 cells (Fig. 2A). The degree of cytopathicity induced by these mutant viruses was also similar to that induced by the wild-type virus (data not shown). In contrast, differences were seen for replication in RhPBMC (Fig. 2B). In agreement with a previous report, relative to SHIV_{SF33}, SHIV_{SF33A} replicated faster but to similar titers in this cell type (28). The kinetics of replication of the mutant viruses were comparable to those of wild-type SHIV_{SF33}, but different levels of virus production were observed, with the V1 mutant virus replicating to the lowest titer and with the slowest kinetics. Nevertheless, these phenotypes of the V1 mutant virus depended on the batch of RhPBMC used (data not shown).

V1 and V3 glycosylation mutations confer neutralization resistance. The neutralization sensitivities of the single V1 N/H, V2 N/S, and V3 NR/YT N-linked glycosylation mutants were first examined to determine whether any of the changes mediated evasion from immune recognition. Neutralization assays were performed with CEMX174 cells since, relative to the wild-type virus, the mutant viruses replicated with similar kinetics and to comparable titers in this cell type (Fig. 2A). The results in Fig. 3A show that either the removal of a potential N-linked glycosylation site in the V1 domain of SHIV_{SF33} or the creation of such a site in the V3 domain confers resistance to neutralization by sera collected from Mnu25814 at the symptomatic stage (96 or 104 weeks postinfection). The extent of neutralization resistance is higher for the V3 NR/YT mutant virus than for the V1 N/H virus. In contrast, repositioning of a

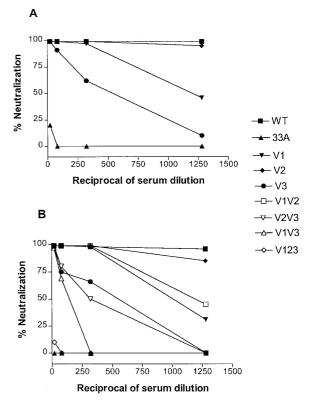


FIG. 3. Neutralization of SHIV_{SF33} (WT), SHIV_{SF33A} (33A), and single (A) or double and triple (B) glycosylation mutants by serum collected from Mnu25814 at 96 weeks postinfection. Serum neutralization was performed as described in Materials and Methods. The percent neutralization of each virus was determined and plotted against the reciprocal of serum dilutions used. Data represent one of three independent neutralization experiments, and similar findings were obtained with serum collected from Mnu25814 at 104 weeks postinfection.

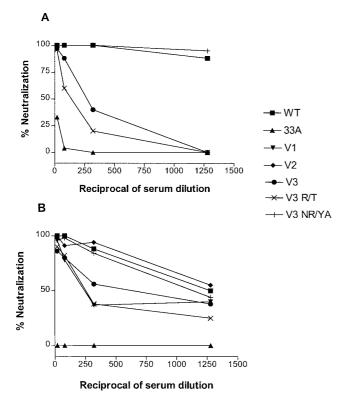


FIG. 4. Neutralization of V1, V2, and V3 glycosylation mutants by antisera to SHIV and HIV-1. Serum neutralization was performed with serum collected from Mnu25814 at 96 weeks postinfection (A) and with a pool of sera collected from HIV-1-infected individuals (B) as described in Materials and Methods. The percent neutralization was determined and plotted against the reciprocal of serum dilutions used. Data represent one of three independent neutralization experiments.

potential glycosylation site within the V2 domain does not have an effect by itself. When the double and triple glycosylation mutant viruses were examined, we observed that a combination of the V1 and V3 mutations appeared to have an additive effect on conferring neutralization resistance, and this effect was enhanced by the presence of the V2 mutation in the V123 mutant virus (Fig. 3B). The V2 mutation, however, did not appear to have an effect in the context of the V1 or V3 mutation alone.

A direct role of N-linked glycosylation in mediating neutralization escape is illustrated in the results summarized in Fig. 4A. We found that whereas the V3 NR/YT and R/T viruses are relatively resistant to neutralization by sera from Mnu25814, the V3 NR/YA virus is not. Taken together, the data show that the creation of an N-linked glycosylation site within the V3 loop of envelope gp120 alone is sufficient to confer neutralization resistance on the virus. Figure 4B shows that these changes in the V1 and V3 domains also confer partial resistance to neutralization by a pool of human polyclonal anti-HIV-1 sera. Again, the addition of an N-linked glycosylation site in the N terminus of the V3 loop rather than the charge change appears to be responsible for conferring partial neutralization escape from anti-HIV-1 sera.

DISCUSSION

We have used the model of SHIV infection to define the nature of the selection process that occurs in vivo for HIV *env* gp120. We characterize genetically and antigenically the viral variants that evolved during the course of infection of rhesus

macaques with molecularly cloned SHIV and establish the basis for their selection within the host. Longitudinal sequence analyses of viruses isolated from $SHIV_{SF33}$ -infected Mnu25814 demonstrate an accumulation of amino acid substitutions within HIV-1 envelope gp120 over time. The occurrence of the amino acid substitutions correlates with a rise in virus titers (Table 1) and with the change in antigenicity demonstrated here as well as the change in virus phenotype previously reported (28).

Whereas the parental SHIV_{SF33} clone is sensitive to neutralization by both human polyclonal HIV-1-positive sera and sera collected from Mnu25814 at all times postinfection, viral variants present in Mnu25814 late in infection are not (Tables 2 and 3). Using mutagenesis studies, we show that genetic changes in the V1 or V3 domain of gp120 mediate the change in the neutralization sensitivity of SHIV_{SF33} (Fig. 3 and 4). The degree of neutralization resistance conferred by changes in the V3 loop is higher than that conferred by changes in the V1 domain. Although we have not formerly proven that the genetic changes introduced in the V1 and V3 domains result in carbohydrate modifications, other studies have shown that similar sequence changes in HIV-1 envelope gp120 do lead to the anticipated biochemical changes (3, 17, 20, 24, 60). Our data obtained with additional V3 mutants offer further support of this notion (Fig. 4A). Thus, our findings establish a temporal relationship between sequence substitutions in the Env SU of HIV-1 and neutralization sensitivity for viruses that evolve during the course of an in vivo infection. Furthermore, we find that carbohydrates play an important role in conferring neutralization escape.

Significant resistance to serum neutralization is observed for the week-72 virus despite the lack of sequence changes in the V1 and V3 regions of the viral genome (Table 2 and Fig. 1). Since only four clones in these regions were sequenced, the possibility exists that resistant viruses were present as minor sequences and were not detected. The presence of such minor resistant variants within the mixed population of viruses isolated at week 72 would give the apparent appearance of neutralization resistance. The week-96 virus exhibits a neutralization resistance pattern identical to that of SHIV_{SF33A}. The appearance of a fully resistant virus coincides with a time at which the titer of neutralizing antibodies against the parental $SHIV_{SF33}$ clone is the highest (Table 2). Thus, the selection pressure for neutralization escape may have reached a maximum at that time. Interestingly, the acquisition of neutralization resistance is associated with an increase in the viral load of Mnu25814 (Table 1) and the pathogenicity of the virus in vivo (28).

The amino acid substitutions in the V3 domain that confer neutralization resistance create a potential glycosylation site (Fig. 1). It is possible that the presence of N-linked carbohydrates at this position of the loop shields the virus from immune recognition. Indeed, this N-linked glycosylation site within the V3 loop appears to be dispensable for virus replication and yet is highly conserved (24). The amino acid substitution in the V1 domain, however, results in the removal of a potential glycosylation site. Elimination of glycosylation sites in the V1 domain of HIV-1 and SIV has also been reported to affect the ability of monoclonal antibodies (MAbs) to bind and subsequently to neutralize viral infectivity (14, 18, 20, 47). Sequence changes in the V1 domain have been reported to alter V3 and CD4-binding-site recognition (7, 12, 20). It is conceivable, therefore, that the effect on neutralization mediated by the removal of the glycosylation site within the V1 domain of SHIV_{SF33} envelope gp120 occurs through modulation of the structures of the principal neutralizing epitopes.

The finding that the V3 glycosylation mutants are resistant to neutralization by autologous SHIV as well as heterologous HIV antisera suggests that the epitope(s) that is masked by the N-linked site in the V3 domain is shared between T-cell-lineadapted (TCLA) HIV-1_{SF33} and primary viruses that establish infections in vivo. This epitope could lie within the V3 loop itself. Indeed, broadly neutralizing anti-V3 loop MAbs that are directed against discontinuous conserved epitopes comprising the N-terminal side or both flanks of the V3 loop have been described (19, 35). Furthermore, the observation that the V3 NR/YA virus is still sensitive to serum neutralization (Fig. 4) is consistent with the notion that this masked epitope, if located within the V3 domain, is not linear in nature. Alternatively, the absence of the N-linked site in the V3 loop might lead to conformational changes that alter or expose a major neutralizing epitope in another region of the envelope. In view of the finding by Back et al. (3) that the removal of this highly conserved N-linked site in the amino terminus of the V3 loop of HXB2 envelope gp120 confers enhanced sensitivity to neutralization by both anti-V3 and anti-CD4 MAbs, epitopes located within the CD4-binding site could be affected. Anti-CD4-binding site antibodies are known to be broadly cross-neutralizing (54, 55).

It has been reported that whereas anti-V3 antibodies present in sera from HIV-1-infected individuals can effectively neutralize the TCLA MN strain, primary isolates are resistant (13, 51, 57, 58). Interestingly, the highly conserved N-linked glycosylation site that is located in the N terminus of the V3 loop but that is absent from envelope gp120 of HIV-1_{SF33} is also missing in the MN strain. Thus, the possibility exists that the reported (57) relative sensitivity and resistance of TCLA versus primary isolates to neutralization by anti-V3 antibodies are due to the absence or presence of this highly conserved N-linked site in the V3 loop. Studies with other TCLA viruses that contain this N-linked site or with molecularly cloned primary isolates that are genetically engineered to lack this conserved N-linked site should address this possibility.

The sera obtained from Mnu25814 late in infection (104 weeks postinfection) and at necropsy (132 weeks postinfection), although capable of neutralizing the parental $SHIV_{SF33}$ clone, were unable to neutralize $SHIV_{SF33A}$ or variants recovered at 96 weeks postinfection (Table 1 and data not shown). Furthermore, sera from animals infected with cell-free SHIV_{SF33A} do not neutralize the autologous virus (unpublished observations). These findings indicate that Mnu25814 mounted antibody responses that neutralized the parental SHIV_{SF33} envelope but not envelopes of viruses that evolved later in infection and suggest that $SHIV_{SF33A}$ does not appear to elicit a strong neutralizing response. Carbohydrate shielding of a neutralizing epitope(s) on the surface of SHIV_{SF33A} might be responsible for the failure to elicit an effective response. Nevertheless, antibodies directed at putative shielded sites, in particular, those masked by the highly conserved N-linked site within the N terminus of the V3 loop, can be found in sera from HIV-infected individuals, since such sera neutralized parental $SHIV_{SF33}$ and not the potential V3 glycosylation mutants constructed here. This observation suggests that the putative protected epitopes are immunogenic and are exposed to the immune system at some point during the natural process of HIV-1 infection. Alternatively, these epitopes may be present on immature viral proteins or debris, e.g., unprocessed gp160 that has been suggested to play a major role in eliciting immune responses (43). Identification of these epitopes should aid in the design of effective viral vaccines.

In summary, our studies on the genetic and antigenic changes in the env gene of SHIV_{SE33} variants identify neutral-

ization escape as a major mechanism for viral adaptation in vivo. Our findings further support a role of carbohydrate side chains in mediating evasion from immunosurveillance and in modulating the immunogenicity of the envelope glycoprotein. Additional studies are required to define and compare the nature of the envelope glycoprotein epitopes recognized by antibodies present in SHIV_{SF33}⁻ and SHIV_{SF33A}-infected macaques and to establish the role of neutralization resistance in the enhanced pathogenicity of SHIV_{SF33A} infection.

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