

HHS Public Access

Author manuscript Med Mycol. Author manuscript; available in PMC 2024 August 13.

Published in final edited form as: Med Mycol. 2023 April 03; 61(4): . doi:10.1093/mmy/myad030.

Whole-genome sequencing of Candida haemulonii species complex from Brazil and the United States: Genetic diversity and antifungal susceptibility

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Abstract

Candida haemulonii complex species can be multidrug-resistant and cause infections such as candidemia. This study determined the genetic relationship between isolates from Brazil and the United States through whole-genome sequencing and performed antifungal susceptibility testing to investigate drug resistance. Contrary to what is widely described, most isolates were susceptible to azoles. However, an atypical susceptibility profile was found in 50% of *Candida* pseudohaemulonii strains, including resistance to the three echinocandins. Isolates from both countries formed distinct clusters with wide genetic diversity. Isolates from three hospitals in Brazil were clonal and involved in candidemia cases, pointing to the importance of improving hospital infection control measures and molecular identification.

Lay Summary

Declaration of interest

Author contribution

Dality Keffelen de Barros Rodrigues (Data curation, Formal analysis, Investigation, Methodology, Writing–original draft, Writing– review & editing), Shawn R. Lockhart (Conceptualization, Data curation, Project administration, Supervision, Visualization, Writing– review & editing), Elizabeth L. Berkow (Conceptualization, Investigation, Project administration), Lalitha Gade (Data curation, Formal analysis, Investigation, Methodology, Writing–original draft), Lucas Xavier Bonfietti (Methodology, Project administration), Viviane Mazo Fávero Gimenes (Formal analysis, Methodology), Luciana Silva Ruiz (Formal analysis, Methodology), Milena Bronze Macioni (Formal analysis, Methodology), and Marcia de Souza Carvalho Melhem (Conceptualization, Data curation, Funding acquisition, Investigation, Methodology, Project administration, Resources, Supervision, Validation, Visualization, Writing–review & editing).

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper. The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

Candida haemulonii complex species is worldwide distributed, and this study aimed to evaluate the resistance to antifungal drugs in cases from Brazil and the United States, and also compare their genetic relationships. A total of 50 strains were studied; most of them from Brazil were from cases of bloodstream infections, while the strains from the United States came from cases of wounds and may be associated with diabetic patients. The vast majority of strains were resistant to amphotericin B, one of the most effective drugs, and susceptible to fluconazole. In addition, 50% of *C. pseudohaemulonii* strains were resistant to echinocandins. The strains from Brazil and the United States had no genetic relationship and formed two distinct groups. In three Brazilian hospitals, strains were clonal, indicating an intra-hospital transmission. Our findings contribute to guiding therapy in bloodstream fungal infections caused by C . *haemulonii* species and alerting for nosocomial transmission of this yeast complex species.

Introduction

Candida haemulonii was first isolated from Pacific Ocean seawater and fish in 1962.1 Since 2012, the taxonomic classification of *C. haemulonii* has been changed from a single species to a species complex (SC) , which includes the cryptic species C . haemulonii sensu stricto (ss) C. duobushaemulonii, C. vulturna, and C. haemulonii var. vulnera.2,3C. pseudohaemulonii is phylogenetically close but not currently considered part of the complex.4 All these species belong to the *Metschnikowia* clade known to host multidrugresistance C. auris. Systems that rely on biochemical analysis like VITEK generally cannot distinguish between the species in the complex, so the sequencing of rDNA intragenic spacer region is the gold standard methodology for identification of this SC.2 Matrix-assisted laser desorption/ionization-time of flight mass spectrometry (MALDI-TOF MS) has also been successfully used, but accurate identification depends on the creation of robust databases, and some studies have reported misidentification or low scores in the identification of C. haemulonii var. vulnera and C. vulturna.5–7 Furthermore, a multiplex PCR for differentiating C. auris, C. haemulonii ss, C. duobushaemulonii, and C. pseudohaemulonii was developed and might be a cheaper alternative for places that do not have access to other molecular tool.8,9

The first clinical case of *C. haemulonii* was described from a blood culture of a patient with renal failure.10 Since then, other infections by C. haemulonii SC like fungemia, cutaneous infections, and especially wounds in diabetic patients have been reported,8,11 as well as outbreaks such as the one in a neonatal unit in Kuwait,12 and transmission within a health care facility.13

Many of the genes associated with resistance in C. albicans are conserved in the C. haemulonii SC.14 In addition, resistance to azoles and amphotericin B has been frequently documented.9,15–17 Although echinocandins have good in vitro activity against most isolates, there are reports of high minimum inhibitory concentrations (MICs) for echinocandins in C. haemulonii SC isolates.2,11 This indicates that there is some species specificity to susceptibility profiles, and more molecular studies may be necessary to identify the mechanisms of resistance.

The genetic relationship between strains from the American continent has been researched previously, but there are no studies that include Brazilian strains.18 Thus, strains from Brazil and the United States were collected through surveillance laboratories, analyzed phylogenetically, and performed antifungal susceptibility profiles between them to look for clonal strains and better understand resistance in this SC.

Methods

Isolates

Adolfo Lutz Institute in Brazil and the Mycotic Diseases Branch Reference Laboratory at the CDC in the United States received isolates for routine fungal identification. The isolates from Brazil were previously identified using MALDI-TOF MS (Bruker, Bremen, Germany) in the Adolfo Lutz Institute and after confirming that they belonged to the C. haemulonii SC, they were included in the study and sent to the Mycotic Diseases Branch at CDC. There, their identification was reconfirmed through Sanger Sequencing. Isolates from Brazil were collected between 2011 and 2019 and those of the United States from 2017 to 2019 and all belonged to different patients. Isolates were identified by Sanger sequencing of the ITS2 region of the rDNA using the primers ITS3/ITS4, D1/D2 domain.19,20 Furthermore, MALDI-TOF MS (Bruker, Bremen, Germany) using a CDC-developed database, MicrobeNet ([https://www.cdc.gov/microbenet/index.html\)](https://www.cdc.gov/microbenet/index.html) also identified the species and a minimum score of 2.3 to 3.0 was required.

Whole-genome sequencing

The extraction of DNA was performed with the ZR Fungal/Bacterial DNA MiniPrep kit (Zymo Research, Irvine, CA, USA) following the manufacturer's instructions. NEBNext Ultra DNA Library Prep Kit for Illumina (New England Biolabs, Ipswich, MA, USA) was used to construct and barcode genomic libraries following the manufacturer's instructions. Libraries were sequenced on the Illumina HiSeq 2500 platform (Illumina, San Diego, CA, USA) using the HiSeq Rapid SBS Kit v2 500-cycles. HiSeq 500-cycle kit generated 251 bp paired and reads.18

Single-nucleotide polymorphism analysis

The methodology was carried out according to Gade et al., 2020.18 Paired-end sequences that had at least 50X coverage were used for downstream analyses. For read quality, FastQC v0.11.5 ([https://www.bioinformatics.babraham.ac.uk/projects/fastqc/\)](https://www.bioinformatics.babraham.ac.uk/projects/fastqc/) was assessed and for filtering low-quality sequences, PRINSEQ v0.20.3 ([http://prinseq.sourceforge.net/](http://prinseq.sourceforge.net/manual.html) [manual.html](http://prinseq.sourceforge.net/manual.html)) was performed using the following command: '-trim_left 15 -trim_qual_left 20 -trim_qual_right 20 -min_len 100 -min_qual_mean 25 -derep 14'. For identifying singlenucleotide polymorphisms (SNPs), paired-end reads of each species were aligned using BWA mem v0.7.1221 to their respective previously published assemblies [C. haemulonii] strain B11899, GenBank accession PKFO0000000022; C. duobushaemulonii strain B09383, GenBank accession PKFP0000000023; C. pseudohaemulonii strain B12108, GenBank accession PYFQ00000000,14C. vulturna strain CBS14366,7 and C. auris reference strain B8441.14 SNPs were identified and filtered using the publicly available pipeline NASP [\(http://tgennorth.github.io/NASP/](http://tgennorth.github.io/NASP/)) to remove SNPs that had <10× coverage, <90% variant

allele calls, or that were identified by Nucmer24 as being within duplicated regions in the reference (Supplementary Table 1).

Phylogenetic analyses

Maximum parsimony phylogenies were constructed using the subtree-pruning-regrafting (SPR) algorithm, bootstrapped using 500 reiterations, and pairwise distance was done in MEGA X Software25 and visualized in Interactive Tree of Life (iTOL) v4.26

Antifungal susceptibility testing

Antifungal susceptibility testing was performed according to Clinical and Laboratory Standards Institute (CLSI) standard M27-A4.27 Custom-prepared frozen panels (Trek Diagnostics, Thermo Fisher Scientific, Oakwood Village, OH, USA) were used for echinocandins (anidulafungin, caspofungin, and micafungin) and the azoles (fluconazole, voriconazole, itraconazole, posaconazole, and isavuconazole). For amphotericin B, E-test™ strips (BioMérieux, Marcy l'Etoile, France) were used. As suggested for CLSI M27-A4, plates were read at 48 h due to the slow growth of C. haemulonii.

There are no breakpoints for *C. haemulonii* complex. In order to interpret the results, we followed the epidemiological cutoff values (ECVs) in the CLSI document M57S.28 The ECVs for C. duobushaemulonii are: anidulafungin (1 μg/ml), caspofungin (0.25 μg/ml), micafungin (0.5 μg/ml), fluconazole (32 μg/ml), voriconazole (0.5 μg/ml), itraconazole (1 μg/ml), posaconazole (1 μg/ml), and isavuconazole (0.25 μg/ml). For C. haemulonii ss the ECVs are: anidulafungin (0.5 μg/ml), fluconazole (128 μg/ml), posaconazole (1 μg/ml), and voriconazole (2 μg/ml).

For the other species, there are no proposed EVCs. Since there are also no breakpoints and ECVs for amphotericin B, we considered $>1 \mu g/ml$ as resistant as it is for most *Candida* species.

Results

Species distribution

Overall, 50 isolates within the C. haemulonii SC were identified as C. haemulonii ss (64%; $n = 32$), C. duobushaemulonii (24%; $n = 12$), C. pseudohaemulonii (8%; $n = 4$), and C. vulturna (4%; $n = 2$). The 25 isolates from Brazil were identified as *C. haemulonii* (76%; $n = 19$) and *C. duobushaemulonii* (24%; $n = 6$). The 25 US isolates were identified as *C*. haemulonii (52%; n = 13), C. duobushaemulonii (24%; 6), C. pseudohaemulonii (16%; n = 4), and C. vulturna (8%) ; 2) (Table 1). Isolates from Brazil came from blood (76%; $n = 19$), urine (8%; $n = 2$), body fluid (8%; $n = 2$), bone marrow (4%; $n = 1$), and skin (4%; $n = 1$) 1). The isolates from the United States came from foot or toes $(24\%; n = 6)$, tissue or skin (20%; $n = 5$), wound (12%; $n = 3$), blood (12%; $n = 3$), bronchial washing (4%; $n = 1$), or no identified source (28%; $n = 7$).

Phylogenetic relationships

Figure 1 shows the relationships within the SC. C. vulturna has a distinct monophyletic branch on the phylogenetic tree, and C. duobushaemulonii is closely related to C. pseudohaemulonii.

Genetic relationships among *C. haemulonii* isolates in Brazil and the United States are shown in Fig. 2. The average pairwise difference between the isolates was 241 SNPs (range 0–437), and there was no distinct phylogeographic population structure. Most isolates were genetically distinct. However, three pairs of Brazilian C. haemulonii ss isolates were closely related with 0–2 SNPs, and formed small, well-supported clusters in the phylogenetic tree based on bootstrap analysis (Fig. 2). Isolates IAL6905/IAL6839 from hospital A were genetically identical with 0 SNP difference, whereas IAL6842/IAL6843 from hospital B and IAL6895/IAL6897 from hospital C were different with 1 and 2 SNPs, respectively. All of the identical pairs were recovered from blood, and each pair was collected during the same period of time.

The average pairwise distance between *C. duobushaemulonii* isolates was 585 SNPs (range 51–1257). The isolates can be separated into three genetically distinct clades separated by more than 201 SNPs. Interestingly, all Brazilian isolates were included in only one of these clades. No C. duobushaemulonii isolates showed a high degree of relatedness (Fig. 3).

Antifungal susceptible testing

Table 1 shows the distribution of MIC values for the isolates, the $MIC₅₀$, and the MIC₉₀. The MICs for C. haemulonii complex ranged for fluconazole from 0.5 to 128 μg/ml and most strains were susceptible to all azoles with exception of one non-wild C. duobushaemulonii isolate for fluconazole (128 μ g/ml), isavuconazole (1 μ g/ml), voriconazole (1 μ g/ml), and amphotericin B (32 μg/ml).

A large proportion of isolates had elevated MICs to amphotericin B (86%; 43/50) ranged from 0.094 to >32 μg/ml. The MIC₅₀ of *C. haemulonii* SC strains in amphotericin B was 3 μg/ml for Brazilian strains versus 32 μg/ml for US strains. All strains of C. duobushaemulonii have MICs $32 \mu g/ml$. Both C. vulturna isolates (2/50) were non-wild types for amphotericin B $(24$ to >32 μg/ml) and susceptible to other drugs.

Candida pseudohaemulonii was identified only among US strains and two of the four isolates exhibited high MICs for caspofungin (>16 μg/ml), micafungin (1 μg/ml), and anidulafungin (4 μg/ml), but all four were susceptible to amphotericin B and azoles.

Discussion

The variety of species was greater among US isolates with the inclusion of C. pseudohaemulonii and C. vulturna, the latter of species that has not yet been reported in Brazil. Unfortunately, the ability to discriminate cryptic species may not be routinely available in Brazil, as it is estimated that only about 20% of health centers in Brazil and South America have access to MALDI-TOF MS or sequencing.29,30 The prevalence of infections caused by the C. haemulonii complex increased to 1.7% in the years 2014–2019

in hospitals from São Paulo State, Brazil.31 Furthermore, a study conducted in Brazil found a high prevalence $(>4\%)$ for this SC.32 However, the fact that *C. auris* isolates are often misidentified as species within the C. haemulonii complex has caused surveillance measures to monitor C . auris to impact the increase in reported C . haemulonii infections.33

Two strains identified by Bruker MALDI-TOF MS as C. haemulonii var. vulnera in Brazil were re-identified as *C. haemuloni* ss by CDC MALDI-TOF and ITS sequencing in the United States reinforcing the need to update the MALDI-TOF MS databases for an accurate identification. Our study used MALDI-TOF, ITS, and the D1/D2 domain to identify the isolates. There was 98% agreement between the ITS and MALDI-TOF and 96% between the ITS and the D1/D2 domain. The results of our sequencing were BLASTed in GenBank, and as it is known that this database is not curated, it is important, especially in cases of low confidence identification to resort to databases with greater reliability, such as Mycobank, and phylogenetic analyzes to determine the true identity of the species.

Brazilian isolates were predominantly from bloodstream infections; however, it is difficult to estimate the prevalence of fungal infections caused by *Candida* spp. in Brazil because cases of non-invasive infection are often underreported and isolates from non-sterile body site infections are rarely referred to reference centers in Brazil. The US strains were from various body sites, especially wounds. The ability of C. haemulonii SC to produce hyphae and switch morphology at lower temperatures has already been described, and together with uncontrolled diabetes as a risk factor and other comorbidities may explain skin commensalism and why many cases of infection by this species are described from wounds.11,34 Patients who have suffered extensive burns are also at risk for invasive infections, as their skin and mucosal barriers have been breached, facilitating the invasive infection of Candida spp. that were previously commensal in the skin.35 Some authors also believe that the use of topical azole antifungals in the treatment of ulcers may have influenced the selective pressure of some species.13 All US strains of C. duobushaemulonii came from non-invasive sites such as the skin. Although our study did not have clinical data and we can know whether the strains isolated from wounds and tissues are infections or colonization, it is *C. duobushaemulonii* that should draw attention due to its potential for multidrug resistance, as observed by other authors.

The majority of the *C. haemulonii* SC isolates from both countries were found to be susceptible to fluconazole (MICs $<$ 32 μg/ml; MIC₅₀ 4 μg/ml) in contrast to what has been reported previously.9,12,36–38 The $MIC₅₀$ calculated separately for both Brazil and US strains was also 4 μg/ml. All isolates were susceptible to fluconazole, with the exception of one isolate of C. duobushaemulonii (128 μg/ml) from US and isolated from wound. MIC₅₀s of 4 μg/ml and MIC₉₀ 16 μg/ml for both *C. haemulonii* ss and *C. duobushaemulonii* were below those described by other authors who found an $MIC₅₀$ of 64 μ g/ml for both species13,15,31,33 and a higher susceptibility rate to azoles than previously described.37,39 The fluconazole non-wild type C. duobushaemulonii isolate was also non-wild type for isavuconazole (1 μg/ml), voriconazole (1 μg/ml), and amphotericin B (32 μg/ml).

All C. duobushaemulonii (MIC₅₀ > 32 µg/ml), C. vulturna, and the majority of C. haemulonii (MIC₅₀ 6 μg/ml) isolates had elevated MIC values to amphotericin B (MICs

24 to $>$ 32 μg/ml). In contrast to previous reports, we found C. pseudohaemulonii isolates were susceptible to amphotericin B (MIC < 1 μ g/ml).11,36

Interestingly, half of C. pseudohaemulonii isolates (2/4) had decreased susceptibility to caspofungin (16 μg/ml), anidulafungin (4 μg/ml), and micafungin (1 μg/ml). This may be the first report of such high MICS for this species to the echinocandins, which is concerning as it was associated with an atypical profile of susceptibility to amphotericin B and fluconazole.4,18,36 In Brazil, about 50% of hospitals may not have access to echinocandins, which makes the treatment of species resistant to fluconazole or amphotericin B a public health problem.29,30 One isolate that was non-wild type to echinocandins came from a bloodstream infection, which could pose a treatment problem as echinocandins are the recommended first-line treatment. Unfortunately, three out of four strains of C. pseudohaemulonii did not have records from which body site they were isolated.

Whole-genome sequencing (WGS) of the 50 isolates showed that the American and Brazilian isolates of *C. haemulonii* SC were phylogenetically distant. This heterogeneity was also observed in another study that used WGS to determine the genetic relationship between isolates from the United States, Latin America, and Central America.18 The overall population shows a high degree of diversity, but clonal bloodstream isolate pairs were found in three different hospitals in Brazil, which is an indicator of the transmissibility of this species within healthcare settings.40 These six isolates were all *C. haemulonii* ss and were mostly susceptible to fluconazole and echinocandins but not amphotericin B, the exceptions being one isolate that was susceptible to amphotericin B and another that was resistant to fluconazole (64 μg/ml).

Studies that define the clonality and formation of clusters of strains of genus Candida obtained from hospitalized patients may help us to understand the occurrence of endemic genotypes that are transmitted from patient to patient. Most intra-hospital transmission of yeast infections is related to adult and neonatal intensive care units. Outbreaks are mainly caused by strains that spread within the same ward, while outbreaks containing unrelated clusters usually originate outside the hospital.41,42 In addition, a study by Guinea et al., designated the term 'generalized clusters' for those that do not have an epidemiological relationship, such as patients hospitalized in different wards and which are difficult to interpret because they may be better-adapted strains that persist in hospitals for a long time, and their spread also depends on adherence to infection controls and other factors.43,44 Our study did not use epidemiological data that could infer how the strains of C. haemulonii were circulated within the three Brazilian hospitals.

We also did not look for the origin of the resistance, considering that there could be several causes, such as genetic mutations, overexpression of efflux pumps, and formation of biofilms, among others.

We conclude that the *C. haemulonii* SC is an important cause of fungemia, and cases of non-invasive candidiasis, such as wound infections, should also be closely monitored and reported to improve the epidemiological data of this SC, especially in Brazil. The strains circulating in Brazil and the United States are diverse and may have different susceptibility

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

This work was supported by the São Paulo Research Foundation, FAPESP, São Paulo, Brazil (Grant #2017/50333– 7, Grant #2018/18996–9, Grant #2019/26037–4), and the National Council of Research, Ministry of Science and Culture, CNPq, Brasilia, Brazil (Grant #303324/2018–0).

Data availability

All Illumina sequence data generated by this project are available in the NCBI SRA under BioProject accession numbers are PRJNA938413.

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Figure 1.

Genetic relationship of isolates among *C. haemulonii* SC. The maximum parsimony tree was constructed using 37 391 SNPs called against B8441 Candida auris reference genome (GenBank accession PEKT00000000.2).

Figure 2.

Genetic relationship among *C. haemulonii sensu stricto isolates*. The maximum parsimony tree was constructed using 5912 SNPs called against B11899 C. haemulonii reference genome (GenBank accession PKFO00000000). Scale bar shows pairwise SNPs.

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Figure 3.

Genetic relationship among *C. duobushaemulonii* isolates. The maximum parsimony tree was constructed using 8374 SNPs called against B09383 C. duobushaemulonii reference genome (GenBank accession PKFP00000000). Scale bar shows pairwise SNPs.

Table 1.

Origin and antifungal susceptibility profile of 50 isolates from Brazil and the United States. Origin and antifungal susceptibility profile of 50 isolates from Brazil and the United States.

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Table 3.

Antifungal susceptibility profiles

Abbreviations: MIC, minimum inhibitory concentration; VOR voriconazole; AND anidulafungin; CAS caspofungin; FZ fluconazole; IZ itraconazole; ISA isavuconazole; PZ posaconazole; MF micafungin; AMB amphotericin B;