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## ABSTRACT

**PURPOSE** Treatment options are limited for patients with previously treated metastatic colorectal cancer (mCRC). In the LEAP-017 study, we evaluate whether lenvatinib in combination with pembrolizumab improves outcomes compared with standard of care (SOC) in previously treated mismatch repair proficient or not microsatellite instability high (pMMR or not MSI-H) mCRC.

**METHODS** In this international, multicenter, randomized, controlled, open-label, phase III study, eligible patients age 18 years and older with unresectable, pMMR or not MSI-H mCRC, that had progressed on or after, or could not tolerate, standard treatment, were randomly assigned 1:1 to lenvatinib 20 mg orally once daily plus pembrolizumab 400 mg intravenously once every 6 weeks or investigator's choice of regorafenib or trifluridine/tipiracil (SOC). Randomization was stratified by presence or absence of liver metastases. The primary end point was overall survival (OS). LEAP-017 is registered at ClinicalTrials.gov (NCT04776148), and has completed recruitment.

**RESULTS** Between April 8, 2021, and December 21, 2021, 480 patients were randomly assigned to lenvatinib plus pembrolizumab (n = 241) or SOC (n = 239). At final analysis (median follow-up of 18.6 months [IQR, 3.9]), median OS with lenvatinib plus pembrolizumab versus SOC was 9.8 versus 9.3 months (hazard ratio [HR], 0.83 [95% CI, 0.68 to 1.02]; P = .0379; prespecified threshold P = .0214). Grade ≥3 treatment-related adverse events occurred in 58.4% (lenvatinib plus pembrolizumab) versus 42.1% (SOC) of patients. Two participants died due to treatment-related adverse events, both in the lenvatinib plus pembrolizumab arm.

**CONCLUSION** In patients with pMMR or not MSI-H mCRC that had progressed on previous therapy, there was no statistically significant improvement in OS after lenvatinib plus pembrolizumab treatment versus SOC. No new safety signals were observed.

## ACCOMPANYING CONTENT

-  [Appendix](#)
-  [Data Sharing Statement](#)
-  [Protocol](#)

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## INTRODUCTION

Despite advances in treatment and earlier detection, the prognosis remains poor for patients with recurrent or metastatic colorectal cancer (mCRC) that is mismatch repair proficient or not microsatellite instability high (pMMR or not MSI-H), with a 5-year survival of approximately 15%.<sup>1-3</sup> For patients with pMMR or not MSI-H mCRC that progresses after treatment with fluoropyrimidine-based regimens with or without targeted therapies such as anti-vascular endothelial

growth factor (VEGF) or epidermal growth factor receptor (EGFR) monoclonal antibodies, the most commonly accepted standard of care (SOC) includes regorafenib, trifluridine/tipiracil, and, more recently, trifluridine/tipiracil plus bevacizumab.<sup>4-7</sup>

In patients with mCRC, regorafenib and trifluridine/tipiracil have limited clinical activity, with median overall survival (OS) of approximately 7.0 months.<sup>8,9</sup> Data from the phase III SUNLIGHT study showed that the addition of bevacizumab

## CONTEXT

### Key Objective

Does the multikinase inhibitor lenvatinib (len) plus PD-1 inhibitor pembrolizumab (pembro) improve efficacy and safety versus standard of care (regorafenib or trifluridine/tipiracil) in patients with mismatch repair proficient or not microsatellite instability high (pMMR or not MSI-H) metastatic colorectal cancer (mCRC)?

### Knowledge Generated

This study did not meet its primary end point—there was no significant difference in overall survival between treatment arms—and the significance of secondary end points (progression-free survival and overall response rate) was not tested per the prespecified statistical analysis plan. No new safety signals were observed; treatment exposure was longer in the len plus pembro group.

### Relevance (A.H. Ko)

The negative results of this oral tyrosine kinase inhibitor plus immune checkpoint inhibitor strategy highlight the need to develop alternative immune-based combinatorial approaches for treating microsatellite stable colorectal cancer.\*

\*Relevance section written by JCO Associate Editor Andrew H. Ko, MD, FASCO.

to trifluridine/tipiracil significantly improved median OS (10.8 v 7.5 months) versus trifluridine/tipiracil alone.<sup>7</sup> Recently, fruquintinib was approved for patients with refractory mCRC on the basis of prolonged OS versus placebo in the FRESCO and FRESCO-2 trials.<sup>10,11</sup> However, the overall prognosis remains poor for this population, with a median OS under 1 year with existing therapies. As such, development of novel therapeutic options for these patients remains an area of high unmet need.

Previous studies suggest that combining tyrosine kinase inhibitors (TKIs) with immunotherapies might be efficacious in this population. The TKI regorafenib plus anti-PD-1 inhibitor nivolumab showed some antitumor activity (overall response rate [ORR] of 7%-33%) in patients with microsatellite stable (MSS) mCRC<sup>12,13</sup>; and in REGOMUNE, regorafenib plus anti-PD-L1 avelumab increased immune cell infiltration in MSS mCRC, although ORR was 0%.<sup>14</sup> The TKI lenvatinib in combination with the anti-PD-1 therapy pembrolizumab is approved by the Food and Drug Administration for the first-line treatment of renal cell carcinoma and previously treated pMMR or not MSI-H advanced endometrial cancer.<sup>15,16</sup> These approvals were based in part on data from the KEYNOTE-581 and KEYNOTE-775 studies that showed significantly longer progression-free survival (PFS) and OS with lenvatinib plus pembrolizumab compared with the control arms. Initial results from the multicohort phase II LEAP-005 study showed that lenvatinib plus pembrolizumab provided promising antitumor activity in patients with previously treated pMMR or not MSI-H mCRC, including an ORR of 21.9% and disease control rate of 47% at the first interim analysis, with a manageable safety profile.<sup>17</sup>

We report results of the phase III, randomized LEAP-017 study of lenvatinib plus pembrolizumab versus investigator's

choice of standard treatment with regorafenib or trifluridine/tipiracil in patients with previously treated pMMR or not MSI-H mCRC.

## METHODS

### Study Design and Participants

Eligible patients were age 18 years and older with histologically or cytologically confirmed diagnosis of unresectable and metastatic colorectal adenocarcinoma (stage IV A-C as defined by American Joint Committee on Cancer 2017 classification, 8th edition) that was pMMR or not MSI-H by local testing, with Eastern Cooperative Oncology Group performance status of 0 to 1, and measurable disease per RECIST v1.1 as assessed by the investigator. Patients must have received previous treatment and have disease that progressed per RECIST v1.1 on or after, or that could not tolerate, standard treatment. Standard treatment was defined as receiving fluoropyrimidine, irinotecan, and oxaliplatin, with or without an anti-VEGF monoclonal antibody (eg, bevacizumab), with cetuximab or panitumumab (*KRAS*-/*NRAS*-wildtype tumors), and BRAF inhibitor (in combination with cetuximab with or without binimetinib) for *BRAF*<sup>V600E</sup>-mutant tumors, if those treatments were approved or available locally. Patients with brain metastases; a gastrointestinal condition that may affect study drug absorption; and who received previous treatment with a combination of anti-PD-1, anti-PD-L1, or anti-PD-L2 with anti-VEGF monoclonal antibodies or inhibitors, or had previously received regorafenib or trifluridine/tipiracil, were excluded.

### Trial Design and Treatment

In this phase III, randomized, controlled, open-label study, patients were randomly assigned centrally 1:1 to receive

lenvatinib plus pembrolizumab (Arm A) or investigator's choice of regorafenib or trifluridine/tipiracil (Arm B). The choice of regorafenib or trifluridine/tipiracil was determined before random assignment and reasons for selection documented. Randomization was stratified by the presence or absence (yes/no) of liver metastases. Crossover was not allowed.

Patients received either 20 mg lenvatinib orally once daily plus 400 mg pembrolizumab once every 6 weeks intravenously or SOC treatment with 160 mg regorafenib (once daily on days 1–21, no dose on days 22–28; in cycle one, regorafenib may be administered per local or institutional guidelines as 80 mg once daily on days 1–7, the 120 mg once daily on days 8–14, followed by 160 mg once daily on days 15–21, and 160 mg once daily on subsequent cycles [days 1–21])<sup>18</sup> or 35 mg/m<sup>2</sup> trifluridine/tipiracil (twice daily on days 1–5 and 8–12, no dose on days 6–7 or 13–28) orally once every 4 weeks. Pembrolizumab dosing is allowed for up to 18 administrations (approximately 2 years). Patients could continue to receive lenvatinib after completing pembrolizumab ( $\geq 25$  cycles of lenvatinib) until reaching a discontinuation criterion (eg, disease progression or intolerance). Treatment continued until disease progression, unacceptable toxicity, intercurrent illness preventing administration of treatment, pregnancy, noncompliance, or withdrawal of consent. Complete details are in the protocol and a summary of its amendments (Appendix 1, online only).

## End Points

The primary end point was OS (time from random assignment to death from any cause). Secondary end points included PFS (time from random assignment to first disease progression per RECIST v1.1 by blinded independent central review [BICR] or death from any cause), ORR (proportion of patients with complete or partial response), and duration of response (DOR; time from first complete or partial response until first disease progression) per RECIST v1.1 by BICR, health-related quality of life (HRQOL) as assessed by the EORTC QLQ-C30 and EORTC QLQ-CR29 questionnaires, safety, and tolerability. Protocol-specified exploratory end points include HRQOL as assessed by the EQ-5D-5L questionnaire. Data from HRQOL assessments will be reported in future publications.

## Statistical Analysis

Efficacy was assessed in the intention-to-treat population of all randomly assigned patients. Safety was assessed in the as-treated population of randomly assigned patients who received at least one dose of study treatment. The Kaplan-Meier method was used to estimate OS, PFS, and DOR. Between-group differences in OS and PFS were assessed using a stratified log-rank test. Differences in response rate were assessed with the stratified Miettinen and Nurminen method. A stratified Cox proportional hazards model with Efron's method of tie handling was used to estimate the

hazard ratios (HRs) and associated 95% CIs. More details can be found in Appendix 1.

## RESULTS

### Patients and Treatment

From April 8, 2021, to December 21, 2021, 633 patients were screened from 91 medical centers globally and 480 were randomly assigned to receive lenvatinib plus pembrolizumab (N = 241) or investigator's choice of regorafenib or trifluridine/tipiracil (N = 239) in the intention-to-treat population. Baseline characteristics were generally well balanced between groups. Patients had a median age of 58 years (IQR, 17), 336 (70%) had presence of liver metastasis, 181 (38%) had PD-L1 combined positive score (CPS)  $\geq 1$  tumors, 267 (56%) had RAS-mutant status, 219 (46%) had  $\geq 3$  previous therapies, and 242 (50%) versus 238 (50%) were assigned to receive regorafenib versus trifluridine/tipiracil, respectively, by investigator's choice before random assignment (Table 1). Enrollment across regions was consistent between the treatment groups. All patients had pMMR or not MSI-H status confirmed by local testing. At the data cutoff date of February 20, 2023, the median follow-up time was 18.6 months (IQR, 3.9).

A total of 238 patients in the lenvatinib plus pembrolizumab group and 235 in the SOC group received at least one dose of treatment, with a mean (standard deviation) of 6.0 (5.3) and 3.3 (3.1) months on therapy, respectively. Study treatment exposure of at least 6 months occurred in 88 (37%) patients in the lenvatinib plus pembrolizumab group and 33 (14%) patients in the SOC group. Of 238 patients who started lenvatinib plus pembrolizumab, 216 (91%) discontinued treatment, including 171 (72%) because of progressive disease, 16 (7%) because of clinical progression, and 19 (8%) because of an adverse event. All 235 patients who started SOC discontinued, including 195 (83%) because of progressive disease and 19 (8%) because of clinical progression (Appendix Fig A1). At data cutoff, 22 (9%) patients in the lenvatinib plus pembrolizumab group, and none in the SOC group, were ongoing on study treatment (Appendix Fig A1).

### Overall Survival

At final analysis, OS with lenvatinib plus pembrolizumab (median 9.8 months, 95% CI, 8.4 to 11.6) versus SOC (median 9.3 months [95% CI, 8.2 to 10.9]) did not meet the prespecified one-sided boundary of  $P = .0214$  required for superiority (HR, 0.83 [95% CI, 0.68 to 1.02];  $P = .0379$ ; Fig 1). A total of 366 patients died, 174 in the lenvatinib plus pembrolizumab group and 192 in the SOC group. The 12-month OS rates were 42.7% (95% CI, 36.4 to 48.9) and 40.3% (95% CI, 34.1 to 46.5), respectively, with 18-month OS rates of 28.4% (95% CI, 22.6 to 34.4) and 19.8% (95% CI, 14.6 to 25.5), respectively. OS was generally consistent across most prespecified subgroups (Fig 2). Favorable OS with lenvatinib plus pembrolizumab was observed in patients from Asia (HR,

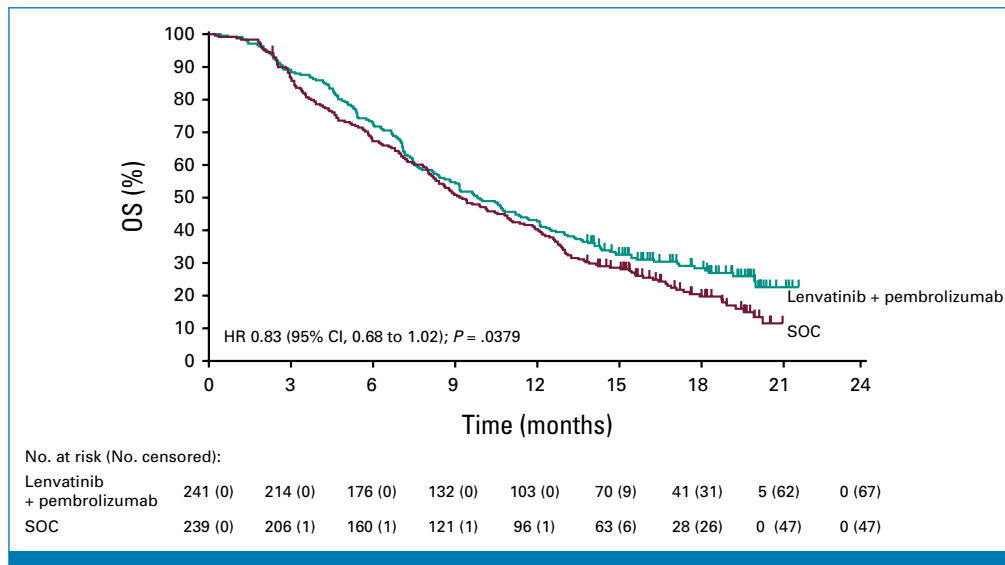
**TABLE 1. Demographic and Patient Characteristics at Baseline**

Characteristic	Lenvatinib + Pembrolizumab (n = 241)	Standard of Care (n = 239)
Age, years, median (IQR)	58.0 (16)	58.0 (18)
≥65	79 (33)	76 (32)
Male, No. (%)	136 (56)	142 (59)
ECOG PS 0, No. (%)	129 (54)	132 (55)
Region, No. (%)		
Asia	77 (32)	77 (32)
Western Europe/North America	90 (37)	83 (35)
Rest of the world	74 (31)	79 (33)
Primary tumor location, No. (%)		
Left tumor	176 (73)	177 (74)
Right tumor	64 (27)	58 (24)
Other/missing tumor site	1 (<1)	4 (2)
MSI status, No. (%)		
pMMR only	127 (53)	130 (54)
Non-MSI-H only	72 (30)	73 (31)
pMMR and non-MSI-H	42 (17)	36 (15)
Presence of liver metastasis, No. (%)		
Yes	168 (70)	168 (70)
No	73 (30)	71 (30)
No. of previous lines of systemic therapy, No. (%)		
1	10 (4)	5 (2)
2	126 (52)	120 (50)
≥3	105 (44)	114 (48)
Previous treatment <sup>a</sup> , No. (%)		
Fluoropyrimidine	241 (100)	239 (100)
Oxaliplatin	241 (100)	239 (100)
Irinotecan	241 (100)	239 (100)
Anti-EGFR	96 (40)	109 (46)
Anti-VEGF/VEGFR	203 (84)	207 (87)
Previous neoadjuvant/adjunct therapy, No. (%)		
Adjuvant	47 (20)	31 (13)
Neoadjuvant ± adjuvant	6 (2)	11 (5)
None	188 (78)	197 (82)
PD-L1 status, No. (%)		
CPS ≥1	85 (35)	96 (40)
CPS <1	126 (52)	113 (47)
Missing	30 (12)	30 (13)
Mutation status, No. (%)		
<i>BRAF</i> -wildtype	217 (90)	212 (89)
<i>BRAF</i> -mutant	5 (2)	13 (5)
<i>RAS</i> -wildtype	99 (41)	111 (46)
<i>RAS</i> -mutant	139 (58)	128 (54)
<i>BRAF</i> , <i>RAS</i> unknown, or other	22 (9)	14 (6)
Chemotherapy choice before random assignment, No. (%)		
Regorafenib	119 (49)	123 (51)
Trifluridine/tipiracil	122 (51)	116 (49)

NOTE. Data are shown for the intention-to-treat population. Percentages may not total 100 because of rounding.

Abbreviations: CPS, combined positive score; ECOG PS, Eastern Cooperative Oncology Group performance status; EGFR, epidermal growth factor receptor; pMMR, mismatch repair proficient; MSI-H, microsatellite instability high; VEGF, vascular endothelial growth factor.

<sup>a</sup>Fluoropyrimidine includes fluorouracil, capecitabine, or S1 (tegafur, gimeracil, oteracil); anti-EGFR includes cetuximab or panitumumab; and anti-VEGF/VEGFR includes bevacizumab, aflibercept, or ramucirumab.



**FIG 1.** OS in patients with unresectable, pMMR or not MSI-H metastatic colorectal cancer at final analysis. Kaplan-Meier estimates of OS in the intention-to-treat population. HR, hazard ratio; MSI-H, microsatellite instability high; OS, overall survival; pMMR, mismatch repair proficient; SOC, standard of care.

0.66 [95% CI, 0.45 to 0.96]) and those without liver metastases (HR, 0.65 [95% CI, 0.42 to 0.99]), although no conclusions can be drawn because these were subgroup analyses.

In this study, 111 (46%) patients in the lenvatinib plus pembrolizumab group and 142 (59%) patients in the SOC group received subsequent anticancer therapy. This included 41% versus 49% of patients in the lenvatinib plus pembrolizumab versus SOC groups, respectively, who received chemotherapy, and 10% versus 15% of patients, respectively, who received targeted therapies (Appendix Table A2).

### Progression-Free Survival

Median PFS was 3.8 months with lenvatinib plus pembrolizumab (95% CI, 3.7 to 5.1) versus 3.3 months for SOC (95% CI, 2.0 to 3.7) at final analysis (HR, 0.69 [95% CI, 0.56 to 0.85]). The PFS was not formally tested for statistical significance per the prespecified multiplicity strategy as the OS null hypothesis was not rejected (Fig 3). The 12-month PFS rates were 12.8% (95% CI, 8.6 to 17.8) for lenvatinib plus pembrolizumab versus 4.4% (95% CI, 1.6 to 9.7) for SOC; 18-month PFS rates were 7.9% (95% CI, 4.4 to 12.7) and 1.5% (95% CI, 0.1 to 6.6), respectively. PFS with lenvatinib plus pembrolizumab versus SOC was generally consistent across the prespecified subgroups, with overlapping confidence intervals (Appendix Fig A2).

### Antitumor Response

At final analysis, the ORR was 10.4% (25 of 241 [95% CI, 6.8 to 14.9]) in the lenvatinib plus pembrolizumab group and

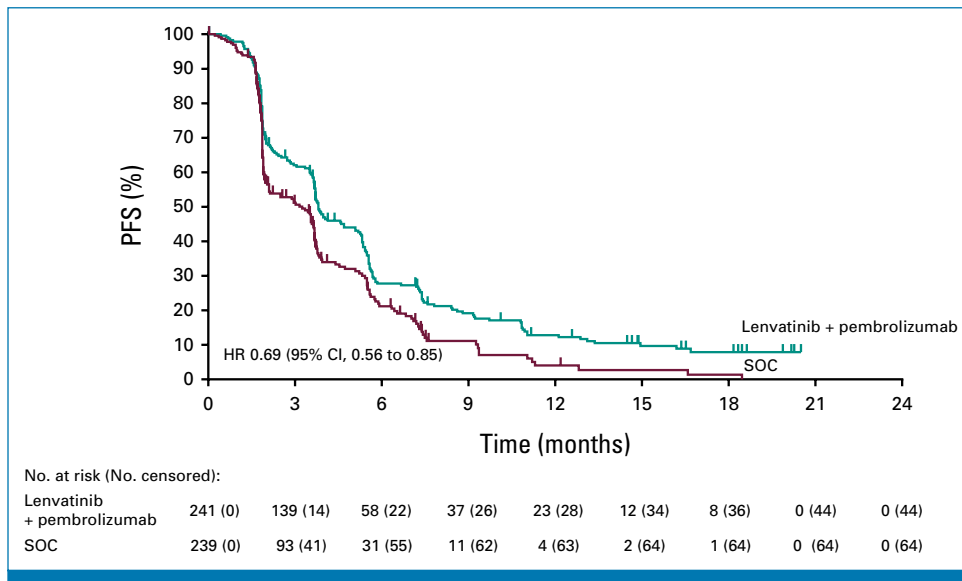
1.7% (four of 239 [95% CI, 0.5 to 4.2]) in the SOC group, with a difference of 8.7% (95% CI, 4.7 to 13.5); this difference was not formally tested for statistical significance per the prespecified multiplicity strategy as the OS null hypothesis was not rejected. The median DOR was 11.1 months (IQR, 5.7) in the lenvatinib plus pembrolizumab group versus 7.6 months (IQR, 2.1) in the SOC group. The difference in objective response was consistent across the prespecified subgroups (Appendix Fig A3).

### Safety

The median duration of treatment exposure was 4.2 months (range, 0.1–21.6) versus 2.1 months (range, 0.0–20.0) for patients in the lenvatinib plus pembrolizumab versus SOC groups, respectively. Adverse events of any cause occurred in 237 (100%) patients in the lenvatinib plus pembrolizumab and 230 (98%) patients in the SOC group (Table 2). The most common ( $\geq 30\%$  in any group) were hypertension (58% v 24%), proteinuria (45% v 12%), diarrhea (42% v 25%), hypothyroidism (38% v 7%), decreased appetite (30% v 26%), fatigue (30% v 25%), and nausea (26% v 30%). Events of grade 3 or greater occurred in 183 (77%) versus 138 (59%) patients, respectively, most commonly hypertension (28% v 9%), proteinuria (11% v 1%), and diarrhea (9% v 4%). There were two treatment-related deaths in the lenvatinib plus pembrolizumab group, one due to cerebral hemorrhage and one due to pneumonitis (Table 2).

As expected, there were more potentially immune-mediated adverse events and infusion reactions, which occurred in 115 (48%) patients in the lenvatinib plus pembrolizumab group and 23 (10%) patients in the SOC group, the most common of





**FIG 3.** PFS in patients with unresectable, pMMR or not MSI-H metastatic colorectal cancer. Kaplan-Meier estimates of progression-free survival in the intention-to-treat population. Progression-free survival was assessed per the RECIST version 1.1 by blinded, independent central review. HR, hazard ratio; MSI-H, microsatellite instability high; PFS, progression-free survival; pMMR, mismatch repair proficient; SOC, standard of care.

standard of care with regorafenib or trifluridine/tipiracil did not meet prespecified significance for improved OS in patients with previously treated pMMR or not MSI-H mCRC. As a result, per the prespecified statistical analysis plan, the key secondary end points of PFS and ORR were not tested for statistical significance. The safety profile was consistent with previous reports for the therapeutic combination in other solid tumors.<sup>15,16,19</sup>

Significant advances have been made in the treatment of certain subgroups of mCRC. In patients with dMMR or MSI-H mCRC, anti-PD-1 therapy is highly efficacious and has been approved as first-line monotherapy<sup>20</sup>; by contrast, pMMR or not MSI-H tumors are poorly immunogenic.<sup>21</sup> Accordingly, studies evaluating immune checkpoint inhibitors in an unselected population of pMMR or not MSI-H mCRC patients, either as monotherapy or in combination, have not consistently demonstrated clinical benefit, nor have randomized studies of immunotherapy in this population shown statistical superiority over SOC.<sup>22-24</sup>

Despite the lack of statistical significance for OS in LEAP-017, outcomes from other clinically relevant end points did show numerical improvement for patients treated with lenvatinib plus pembrolizumab. For example, DOR was longer in patients treated with lenvatinib plus pembrolizumab compared with SOC (median 11.1 months *v* 7.6 months). Furthermore, the ORR of 10.4% reported with lenvatinib plus pembrolizumab in LEAP-017 is numerically higher than that of available therapies in this population, including the SUNLIGHT regimen, which had an ORR of 6.1%.<sup>7,17</sup>

Data in the current study suggest that some subgroups may benefit more from lenvatinib plus pembrolizumab, including in patients without liver metastases and patients from Asia (Fig 2). Descriptive subgroup analyses in this study should be interpreted with caution because they are not adjusted for in the multiplicity strategy and the study was not powered to compare specific subgroups. Nevertheless, these results highlight the need to refine the selection of subpopulations of pMMR or not MSI-H mCRC that may maximally benefit from immunotherapy-based combination therapy.

The reduced benefit of immunotherapy in this study for patients with liver metastases was also observed in early clinical studies of regorafenib plus anti-PD-1 therapy, nivolumab, regorafenib plus nivolumab and ipilimumab, and botensilimab plus bastilimab.<sup>12,13,25,26</sup> Several ongoing phase III studies (ClinicalTrials.gov identifiers: [NCT05425940](#), [NCT05064059](#), and [NCT05328908](#)) will investigate the impact of liver metastases and other biomarkers on the efficacy of immunotherapy in patients with pMMR or not MSI-H mCRC.

Although tumor PD-L1 status has been predictive of patient benefit after checkpoint inhibitor therapy in other indications, there was no difference in efficacy outcomes in LEAP-017 on the basis of PD-L1 status (CPS <1 or CPS ≥1 tumors). Anti-PD-1 monotherapy has demonstrated limited activity in pMMR or not MSI-H colorectal cancer, including in PD-L1-expressing tumors.<sup>23</sup> Furthermore, evaluations of efficacy by PD-L1 tumor expression in combinations of checkpoint inhibitors plus TKIs have produced inconsistent results. Although no association between PD-L1 expression

**TABLE 2. Summary of Adverse Events in all Treated Patients**

Event	Lenvatinib + Pembrolizumab (n = 238)		Standard of Care (n = 235)	
	Any	Grade ≥3	Any	Grade ≥3
Any adverse event, No. (%)	237 (100)		230 (98)	
Treatment-related events, No. (%)	226 (95)		201 (86)	
Grade 3 to 4	138 (58)		99 (42)	
Led to discontinuation of any drug	30 (13)		5 (2)	
Led to death <sup>a</sup>	2 (1)		0	
Adverse events of interest, No. (%)	115 (48)		23 (10)	
Treatment-related events ≥10% in any arm <sup>b</sup> , No. (%)	Any	Grade ≥3	Any	Grade ≥3
Hypertension	117 (49)	58 (24)	34 (14)	14 (6)
Proteinuria	101 (42)	26 (11)	19 (8)	1 (<1)
Hypothyroidism	85 (36)	1 (<1)	12 (5)	0
Diarrhea	84 (35)	16 (7)	45 (19)	8 (3)
Fatigue	55 (23)	5 (2)	42 (18)	4 (2)
Decreased appetite	52 (22)	1 (<1)	43 (18)	4 (2)
Palmar-plantar erythrodysesthesia syndrome	45 (19)	7 (3)	45 (19)	8 (3)
Dysphonia	43 (18)	0	19 (8)	1 (<1)
Aspartate aminotransferase increased	38 (16)	5 (2)	16 (7)	1 (<1)
Asthenia	36 (15)	6 (3)	27 (11)	2 (1)
Nausea	36 (15)	1 (<1)	52 (22)	3 (1)
Platelet count decreased	36 (15)	5 (2)	20 (9)	2 (1)
Vomiting	36 (15)	2 (1)	26 (11)	2 (1)
Alanine aminotransferase increased	32 (13)	4 (2)	12 (5)	2 (1)
Rash	26 (11)	3 (1)	10 (4)	2 (1)
Arthralgia	25 (11)	3 (1)	2 (1)	0
Stomatitis	23 (10)	2 (1)	10 (4)	1 (<1)
Anemia	14 (6)	3 (1)	30 (13)	7 (3)
Neutropenia <sup>c</sup>	8 (3)	4 (2)	31 (13)	23 (10)
Neutrophil count decreased	6 (3)	1 (<1)	30 (13)	23 (10)
Adverse events of interest <sup>d</sup> , No. (%)				
Hypothyroidism	90 (38)	1 (<1)	16 (7)	0
Hyperthyroidism	11 (5)	0	2 (1)	0
Colitis	5 (2)	3 (1)	0	0
Adrenal insufficiency	4 (2)	0	1 (<1)	0
Hepatitis	3 (1)	1 (<1)	0	0
Infusion reactions	3 (1)	1 (<1)	0	0
Myositis	2 (1)	0	0	0
Nephritis	1 (<1)	1 (<1)	0	0
Pancreatitis	3 (1)	3 (1)	1 (<1)	1 (<1)
Pneumonitis	5 (2)	4 (2)	1 (<1)	1 (<1)
Severe skin reactions	7 (3)	5 (2)	3 (1)	3 (1)
Thyroiditis	5 (2)	0	0	0
Type 1 diabetes mellitus	1 (<1)	1 (<1)	0	0

NOTE. The as-treated population included all patients who were randomly assigned and received at least one study treatment. Percentages may not total 100 because of rounding.

<sup>a</sup>Grade 5 treatment-related events occurred in two patients in the lenvatinib plus pembrolizumab arm because of pneumonitis and cerebral hemorrhage in one patient each.

<sup>b</sup>Reported are treatment-related adverse events that occurred in at least 10% of patients in any group. Grade 3 or greater events among these events are reported.

<sup>c</sup>Neutropenia is the clinical diagnosis resulting from decreased neutrophil count. Both are reported here separately.

<sup>d</sup>Adverse events of interest (immune-mediated adverse events and infusion reactions) were based on a list of terms specified by the sponsor, regardless of attribution to any study treatment by investigators. All adverse events of interest are reported.



and tumor response was observed in REGOMUNE<sup>14</sup> or the initial REGONIVO study,<sup>12</sup> an association between PD-L1 expression and PFS ( $P = .0027$ ) was observed in a subsequent phase II of regorafenib plus nivolumab study.<sup>13</sup> In the study of the RIN regimen (regorafenib, ipilimumab, and nivolumab) of the patients with available PD-L1 expression data, the only responder had a score of 0, suggesting that PD-L1 expression is not required for effective combinations of VEGF TKIs and checkpoint inhibitors.<sup>25</sup> In the IMblaze370 randomized trial combining cobimetinib, a TKI targeting MEK, and the PD-L1 inhibitor atezolizumab, no clinically meaningful differences in efficacy were observed on the basis of PD-L1 expression.<sup>22</sup> Overall, these data suggest limited utility of tumor PD-L1 expression as a predictive biomarker for anti-PD-1/PD-L1 inhibitors in combination with TKIs in pMMR or not MSI-H mCRC.

In LEAP-017, patients in the SOC arm treated with regorafenib or trifluridine/tipiracil had a median OS of 9.3 months, which was longer than the protocol assumption of 7 months on the basis of the CORRECT and RECURSE studies.<sup>8,9</sup> One potential explanation is that patients in this study are less heavily pretreated compared with other studies—44% of patients in the experimental arm received  $\geq 3$  lines of previous therapy compared with 74% in CORRECT,<sup>8</sup> 73% in FRESCO-2,<sup>11</sup> and 82% in RECURSE<sup>9</sup> (SUNLIGHT had only 2% of patients with  $\geq 3$  lines of previous therapy<sup>7</sup>). Another explanation is a relatively higher rate of subsequent anti-cancer therapies in this study compared with other studies—59% of patients in the control arm in LEAP-017 received subsequent therapy (Appendix Table A2) versus 42% in RECURSE<sup>9</sup> and 26% in CORRECT.<sup>8</sup> The SUNLIGHT regimen

is less likely to have contributed to the difference, as a higher proportion of patients in the lenvatinib plus pembrolizumab arm received this regimen compared with those in the SOC arm.

The safety profile in this study was consistent with the safety profiles observed with lenvatinib and pembrolizumab as monotherapies or in combination across solid tumors.<sup>15,16,19,27</sup> No new safety concerns were observed. Of note, adverse events associated with lenvatinib plus pembrolizumab were likely to have been influenced by the longer treatment duration in this group than in the SOC group; when adjusted for exposure, the safety profiles between the two arms were generally similar (Appendix Table A3). A potential limitation of this study is the open-label design, which may have influenced adherence to study medication and biased patient management. Additionally, this study did not include an experimental arm investigating the impact of lenvatinib alone in this population, although the limited antitumor activity of lenvatinib monotherapy was well characterized in the phase II LEMON study.<sup>27</sup>

In conclusion, in the final analysis of the phase III LEAP-017 study, lenvatinib plus pembrolizumab did not meet pre-specified significance for improved OS versus SOC in patients with pMMR or not MSI-H mCRC. No new safety signals were observed; treatment exposure was longer in the lenvatinib plus pembrolizumab group. Novel therapeutic options for patients with previously treated pMMR or not MSI-H mCRC are needed; future studies should further identify subgroups of patients who could benefit from novel immunotherapeutic approaches.

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

### Lenvatinib Plus Pembrolizumab Versus Standard of Care for Previously Treated Metastatic Colorectal Cancer: Final Analysis of the Randomized, Open-Label, Phase III LEAP-017 Study

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No other potential conflicts of interest were reported.

## APPENDIX 1. SUPPLEMENTAL METHODS

### Assessments

Disease assessment by computed tomography or magnetic resonance imaging was performed at screening within 28 days of random assignment, at 8 weeks from the date of random assignment, and then every 8 weeks, or as clinically indicated until confirmed disease progression. Treatment beyond centrally verified progression per RECIST v1.1 may be permitted in Arm A at the discretion of the investigator after consultation with the sponsor and receiving documented informed consent. For patients who received surgery with curative intent, imaging assessments were performed at least 4 weeks after surgery and no more than 8 weeks before the next treatment cycle. Survival assessments were performed every 12 weeks until consent withdrawal, lost to follow-up or death, or end of study. Adverse events were collected throughout the study and up to 30 days (90 days for serious events) after treatment discontinuation and graded according to the NCI Common Terminology Criteria for Adverse Events, version 4.0.

### Trial Oversight

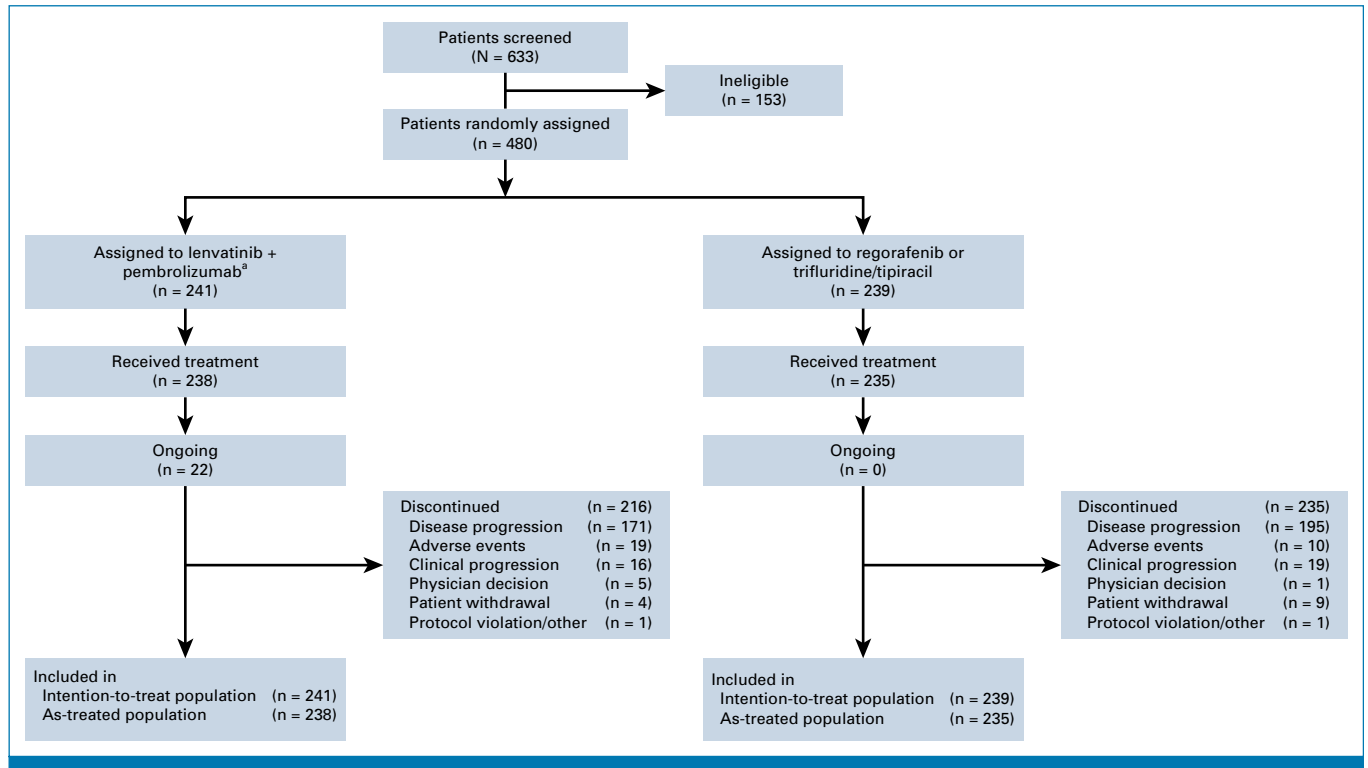
The protocol and all amendments were approved by the relevant institutional review board or independent ethics committee at each study center. The study was conducted in accordance with the protocol, Good Clinical Practice guidelines, and the Declaration of Helsinki. All patients provided written informed consent. The study was designed by academic investigators and employees of the sponsor (Merck Sharp & Dohme LLC, a subsidiary of Merck & Co., Inc, Rahway, NJ). An external data safety

monitoring committee reviewed interim study results to ensure patient safety and to assess efficacy at a prespecified interim analysis. All authors had access to the data, were involved in reviewing and editing the manuscript, and approved the submitted draft, and vouch for the accuracy of the data reported.

### Statistical Analysis

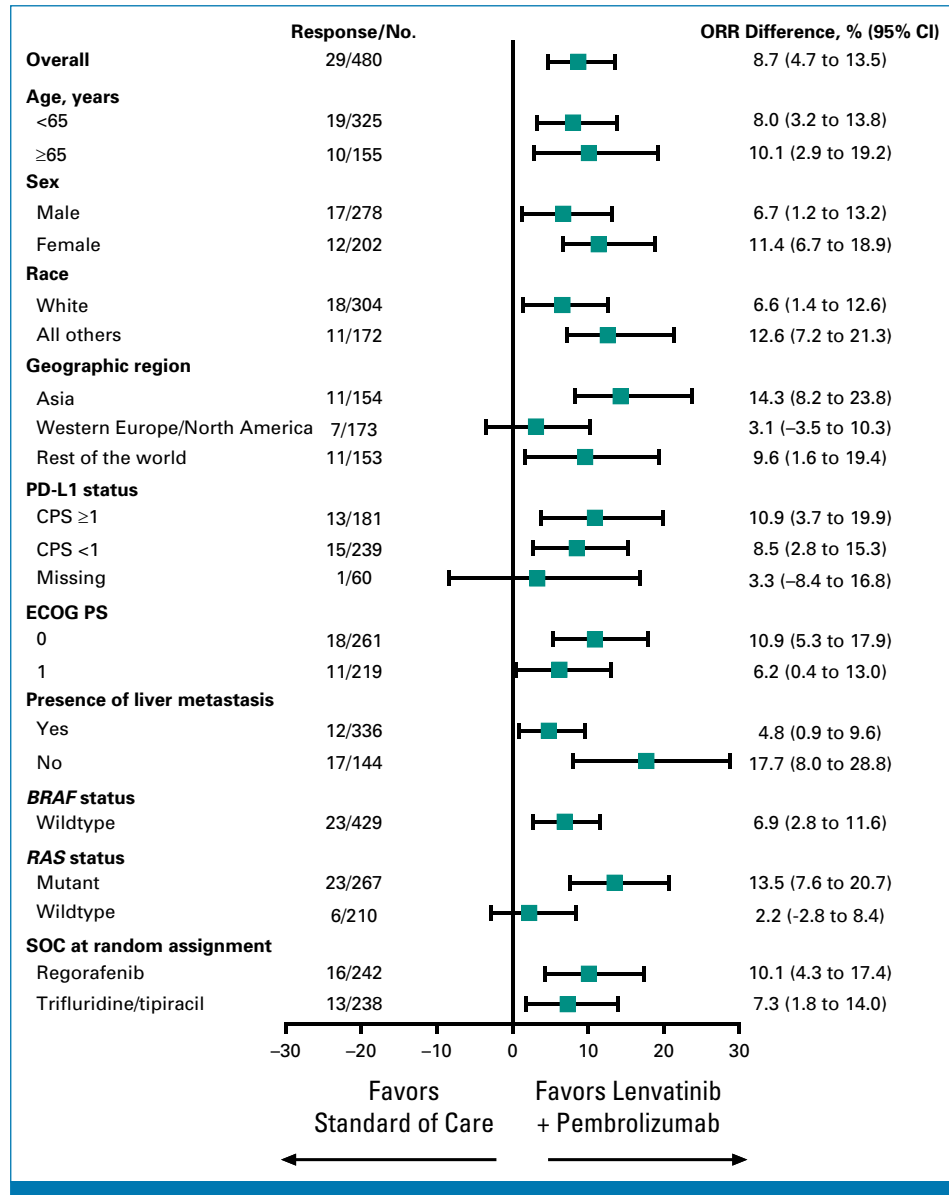
The protocol specified one interim analysis and one final analysis. Approximately 434 patients were planned to be enrolled. The protocol-specified final analysis of overall survival (OS) was performed after approximately 336 deaths occurred and 7 months after the interim analysis. This would allow comparison of the superiority of lenvatinib plus pembrolizumab versus standard of care for OS to have approximately 90% power to detect a hazard ratio of 0.7 at the initially allocated one-sided alpha of 2.5%. The progression-free survival (PFS) and objective response hypotheses were only tested if the OS null hypothesis was rejected.

The overall type I error was strongly controlled at a one-sided alpha of 2.5% using the graphical method of Maurer and Bretz, with 0.025 initially allocated to OS, 0 to PFS, and 0 to overall response rate. If the OS null hypothesis was rejected, the corresponding alpha could be reallocated equally to PFS and objective response. The Lan-De-Mets O'Brien-Fleming alpha spending function was used to construct sequential boundaries to control the type 1 error rate. Statistical analyses were performed using SAS (v9.4). Sample size and power calculations were performed using the gsDesign package in R. Complete study and treatment details are provided in the study protocol.



**FIG A1.** Study disposition at final analysis. <sup>a</sup>Two patients assigned to lenvatinib + pembrolizumab received regorafenib or trifluridine/tipiracil instead and were moved to the standard-of-care treatment arm.





**FIG A3.** Forest plot of difference in overall response rate across prespecified subgroups. CPS, combined positive score; ECOG PS, Eastern Cooperative Oncology Group performance status; SOC, standard of care.



**TABLE A1. LEAP-017 Primary Investigators Who Screened  $\geq 1$  Participant for Enrollment**

Country/Region	Primary Investigator	Site Name
Argentina	Cundom, Juan	IDIM—Instituto de Diagnostico e Investigaciones Metabolicas
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	Grasselli, Julieta	Centro de Educacion Medica e Investigaciones Clinicas (CEMIC)-Medical Oncology
	Fein, Luis	Instituto de Oncologia de Rosario
	Bella Quero, Luciana	Hospital Britanico de Buenos Aires-Oncology
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	Gibbs, Peter	Western Health-Sunshine Hospital
	Price, Timothy	The Queen Elizabeth Hospital
	Burge, Matthew	Royal Brisbane and Women's Hospital-Medical Oncology Clinical Trials Unit, Cancer Care Services
	Ananda, Sumitra	Epworth Freemason
	Khattak, Muhammad	Hollywood Private Hospital-Medical Oncology
Canada	Colwell, Bruce	NSHA-QEII Health Sciences Centre-Dickson Bldg-Dept. of Medical Oncology
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	Meyers, Brandon	Hamilton Health Sciences-Juravinski Cancer Center
	Towns, Kathryn	North York General Hospital
	Sawyer, Michael	Cross Cancer Institute-Department of Medical Oncology
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	China	Xu, Ruihua
Wang, Wei		The First People's Hospital of Foshan-Gastrointestinal oncology
Pan, Hongming		Sir Run Run Shaw Hospital-Medical Oncology
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	Arnold, Dirk	Asklepios Altona-Oncology
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	Kubicka, Stefan	Klinikum am Steinenberg-Kreiskliniken Reutlingen GmbH
	Deppenbusch, Reinhard	Onkodok GmbH
Israel	Passhak, Maria	Rambam Health Care Campus-Oncology
	Geva, Ravit	Sourasky Medical Center-Oncology
	Hubert, Ayala	Hadassah Medical Center-Oncology
	Shacham-Shmueli, Einat	Sheba Medical Center
	Kornev, Gleb	Shaare Zedek Medical Center-Oncology
Japan	Kawazoe, Akihito	National Cancer Center Hospital East
	Masuishi, Toshiki	Aichi Cancer Center Hospital
	Takashima, Atsuo	National Cancer Center Hospital
	Hara, Hiroki	Saitama Prefectural Cancer Center
	Kawakami, Hisato	Kindai University Hospital—Osakasayama Campus-Medical Oncology
	Machida, Nozomu	Kanagawa Cancer Center
	Yamazaki, Kentaro	Shizuoka Cancer Center
	Yasui, Hisateru	Kobe City Medical Center General Hospital
	Tsuji, Akihito	Kagawa University Hospital
	Esaki, Taito	National Hospital Organization Kyushu Cancer Center
	Yamaguchi, Kensei	Japanese Foundation for Cancer Research-GI Oncology

(continued on following page)

**TABLE A1. LEAP-017 Primary Investigators Who Screened  $\geq 1$  Participant for Enrollment (continued)**

Country/Region	Primary Investigator	Site Name
Korea, Republic of	Kim, Tae-You	Seoul National University Hospital-Internal Medicine
	Ahn, Joong Bae	Severance Hospital, Yonsei University Health System-Medical Oncology
	Lee, Myung Ah	The Catholic Univ. of Korea Seoul St Mary's Hospital
	Kim, Tae Won	Asan Medical Center
	Park, Joon Oh	Samsung Medical Center-Division of Hematology/Oncology
	Lee, Soohyeon	Korea University Anam Hospital
Russia	Orlova, Rashida	Saint-Petersburg City Clinical Oncology Dispensary-Department of Chemotherapy
	Sarzhhevskiy, Vladislav	The National Medico-Surgical Center N.I. Pirogov
	Sekacheva, Marina	First Moscow State Medical University I.M. Sechenov-Interhospital Institution Health Management
	Tjulandin, Sergey	Fed State Budgetary Inst N.N. Blokhin Med Center of Oncology MHRF
	Shirokova, Oksana	Sverdlovsk Regional Oncology Dispensary
	Iskhakova, Alsu	GBUZ Republican Clinical Oncological Dispensary-Antitumor Drug Therapy Department
	Lebedinets, Andrey	SHBI Leningrad Regional Clinical Oncology Dispensary-Clinical Trials Department
Spain	Jimenez Fonseca, Paula	Hospital Universitario Central de Asturias-Digestive
	Rivera Herrero, Fernando	Hospital Universitario Marques de Valdecilla
	Elez Fernandez, Elena	Hospital Universitari Vall d'Hebron-Oncology
	Garcia Alfonso, Pilar	Hospital General Universitario Gregorio Marañón
	Gomez Reina, Maria Jose	Hospital Universitario Virgen de Valme-Departamento de Oncologia
Taiwan	Yeh, Kun-Huei	National Taiwan University Hospital
	Teng, Hao-Wei	Taipei Veterans General Hospital-Oncology
	Yang, Tsai Sheng	Chang Gung Medical Foundation. Linkou Branch
	Wang, Hwei-Ming	China Medical University Hospital-Surgical Department
	Yeh, Yu-Min	National Cheng-Kung Uni. Hosp.
Turkey	Ozguroglu, Mustafa	Istanbul Universitesi Cerrahpasa-Medical Oncology
	Gumus, Mahmut	TC Saglik Bakanligi Goztepe Prof Dr Suleyman Yalcin Sehir Hastanesi-Oncology
	Yalcin, Suayib	Hacettepe Universitesi-Oncology Hospital
	Erdogan, Bulent	Trakya University-Oncology
	Demirci, Umut	Memorial Ankara Hastanesi-Medical Oncology
	Gursoy, Pinar	Ege University Medicine of Faculty-Medical Oncology
	Harputluoglu, Hakan	İnönü Üniversitesi Turgut Özal Tıp Merkezi
	Demir, Atakan	Acibadem Maslak Hastanesi
United Kingdom	Shiu, Kai-Keen	UCLH-Cancer Clinical Trials Unit
	Brown, Ewan	Western General Hospital
	Ross, Paul	Guy's and St Thomas' NHS Foundation Trust
	Smyth, Elizabeth	Addenbrooke's Hospital
	Chau, Ian	The Royal Marsden NHS Foundation Trust
	Saunders, Mark	The Christie-Medical Oncology
United States	Vaccaro, Gina	Providence Portland Medical Center
	McCune, Steven	Northwest Georgia Oncology Centers, a Service of Wellstar Cobb Hospital
	Wadlow, Raymond	Inova Schar Cancer Institute
	Khan, Gazala	Henry Ford Hospital
	Bashir, Babar	Thomas Jefferson University—Clinical Trials Office
	Koontz, Michael	Pacific Cancer Care
	Martin, Ludmila	Northwest Medical Specialties, PLLC
	Shergill, Ardaman	University of Chicago Medical Center-Medicine—Section of Hematology/Oncology—Gastrointestinal P
	Cobb, Patrick	St Vincent Frontier Cancer Center
	Kochenderfer, Mark	Blue Ridge Cancer Care

**TABLE A2. Subsequent Anticancer Therapies**

Subsequent Therapy	Lenvatinib + Pembrolizumab (n = 241)	Standard of Care (n = 239)
Patients who received subsequent anticancer therapy, No. (%)	111 (46.1)	142 (59.4)
Chemotherapy, No. (%)	98 (40.7)	116 (48.5)
Fluoropyrimidine ± targeted therapy	18 (7.5)	25 (10.5)
FOLFIRI ± targeted therapy	15 (6.2)	33 (13.8)
FOLFOX ± targeted therapy	33 (13.7)	33 (13.8)
FOLFOXIRI ± targeted therapy	3 (1.2)	7 (2.9)
Irinotecan ± targeted therapy	2 (0.8)	8 (3.3)
Trifluridine/tipiracil	31 (12.9)	26 (10.9)
Trifluridine/tipiracil + bevacizumab	25 (10.4)	14 (5.9)
Targeted therapy, No. (%)	24 (10.0)	37 (15.5)
Anti-PD-1/PD-L1	4 (1.7)	18 (7.5)
Regorafenib	18 (7.5)	20 (8.4)
Other TKI	2 (0.8)	2 (0.8)
Other, No. (%)	10 (4.1)	26 (10.9)

Abbreviations: FOLFIRI, fluorouracil, leucovorin, and irinotecan; FOLFOX, infusional fluorouracil, leucovorin, and oxaliplatin; FOLFOXIRI, leucovorin, fluorouracil, oxaliplatin, and irinotecan; TKI, tyrosine kinase inhibitors.

**TABLE A3. Summary of Exposure-Adjusted AEs**

Event Count and Rate (events/100 person-months) <sup>a</sup>	Lenvatinib + Pembrolizumab (n = 238)	Standard of Care (n = 235)
Total exposure in person-months <sup>b</sup>	1,633.65	1,017.23
Total events (rate)		
AEs	3,329 (203.78)	2,087 (205.17)
Drug-related AEs	1,948 (119.24)	1,133 (111.38)
Grade ≥3 AEs	435 (26.63)	321 (31.56)
Grade ≥3 drug-related AEs	271 (16.59)	182 (17.89)
Serious AEs	175 (10.71)	97 (9.54)
Serious drug-related AEs	71 (4.35)	25 (2.46)
AEs leading to death	3 (0.18)	3 (0.29)
Drug-related AEs leading to death	2 (0.12)	0
AEs leading to drug discontinuation	42 (2.57)	11 (1.08)
Drug-related AEs leading to drug discontinuation	35 (2.14)	6 (0.59)
Serious AEs leading to drug discontinuation	25 (1.53)	6 (0.59)
Serious drug-related AEs leading to drug discontinuation	20 (1.22)	1 (0.10)

Abbreviation: AEs, adverse events.

<sup>a</sup>Event rate per 100 person-months of exposure = event count × 100/person-months of exposure.

<sup>b</sup>Drug exposure defined as the between the first dose date + 1 day and the earlier of the last dose date + 30 or the database cutoff date.