

Discrepancy between global- and disease-specific outcome measures following lumbar spine surgery

Presented at the 2024 AANS/CNS Joint Section on Disorders of the Spine and Peripheral Nerves

*Avani S. Vaishnav, MBBS,^{1,2} Cole Kwas, BS,¹ Jung Kee Mok, MD,¹ Kasra Araghi, BS,¹ Nishtha Singh, MBBS,¹ Olivia Tuma, BS,¹ Maximilian Korsun, BS,¹ Chad Z. Simon, BS,¹ Tomoyuki Asada, MD,¹ Eric Mai, BS,^{1,3} Joshua Zhang, BS,¹ Myles Allen, MBChB,¹ Eric Kim, BS,¹ Annika Heuer, MD,¹ Sravisht Iyer, MD,^{1,3} and Sheeraz Qureshi, MD, MBA^{1,3}

¹Hospital for Special Surgery, New York, New York; ²Department of Orthopaedic Surgery, Boston Medical Center, Boston, Massachusetts; and ³Weill Cornell Medical College, New York, New York

OBJECTIVE The aim of this study was to assess the correlation between patient-perceived changes in health and commonly utilized patient-reported outcome measures (PROMs) in lumbar spine surgery.

METHODS This was a retrospective review of prospectively collected data on consecutive patients who underwent lumbar microdiscectomy, lumbar decompression, or lumbar fusion at a single academic institution from 2017 to 2023. Correlation between the global rating of change (GRC) questionnaire, a 5-item Likert scale (much better, slightly better, about the same, slightly worse, and much worse), and PROMs (Oswestry Disability Index, visual analog scale for back and leg pain, 12-Item Short Form Health Survey Physical Component Summary and Mental Component Summary, and PROMIS physical function) was assessed using Spearman's rank correlation coefficients.

RESULTS A total of 1871 patients (397 microdiscectomies, 965 decompressions, and 509 fusions) were included. A majority of patients in each group rated their lumbar condition as much better at each postoperative time point compared with preoperatively and reported improved health status at each postoperative time point compared with the previous follow-up visit. Statistically significant but weak to moderate correlations were found between GRC and change in PROM scores from the preoperative time point. Correlation between GRC and change in PROM scores from the prior visit showed some statistically significant correlations, but the strengths ranged from very weak to weak.

CONCLUSIONS A majority of patients undergoing lumbar microdiscectomy, decompression, or fusion endorsed notable improvements in health status in the early postoperative period and continued to improve at late follow-up. However, commonly used PROMs demonstrated very weak to moderate correlations with patient-perceived changes in overall lumbar spine–related health status as determined by GRC. Therefore, currently used PROMs may not be as sensitive at detecting these changes or may not be adequately reflecting changes in health conditions that are meaningful to patients undergoing lumbar spine surgery.

https://thejns.org/doi/abs/10.3171/2024.4.SPINE24282

KEYWORDS lumbar spine surgery; patient-reported outcome measures; global rating change; PROs; decompression; microdiscectomy; fusion

I hight of the shift from fee-for-service to value-based care in spine surgery, the importance of understanding patients' perception of their health status has increased drastically.¹ Thus, patient-reported outcome measures (PROMs) are a valuable tool in quantitatively measuring and tracking clinical outcomes data and evalu-

ating patient health preoperatively and postoperatively. Commonly used PROMs during spine surgery that assess function, pain, and quality of life, such as the Oswestry Disability Index (ODI), visual analog scale (VAS) back and leg, 12-Item Short Form Health Survey (SF-12) Physical Component Summary (PCS) and Mental Compo-

ABBREVIATIONS CCI = Charlson Comorbidity Index; GRC = global rating of change; MCS = Mental Component Summary; ODI = Oswestry Disability Index; PCS = Physical Component Summary; PROM = patient-reported outcome measure; PROMIS-PF = Patient-Reported Outcomes Measurement Information System–Physical Function; SF-12 = 12-Item Short Form Health Survey; VAS = visual analog scale.

SUBMITTED March 11, 2024. ACCEPTED April 23, 2024.

INCLUDE WHEN CITING Published online July 19, 2024; DOI: 10.3171/2024.4.SPINE24282.

* A.S.V. and C.K. share first authorship of this work.

nent Summary (MCS), and Patient-Reported Outcomes Measurement Information System-Physical Function (PROMIS-PF), have been demonstrated as reliable and valid instruments to evaluate lumbar spinal disorders.²⁻⁵ The global rating of change (GRC) is a patient-reported outcome measure that assesses whether a patient's condition has improved, declined, or remained the same compared with prior to treatment or an earlier time point. It has grown in popularity in clinical practice as a result of its ease and efficiency of use as well as its applicability to a wide range of musculoskeletal conditions.^{6,7} Unlike other outcome measures that focus on a specific domain of a patient's health, such as disability, or quality of life, the "global" nature of GRC allows patients themselves to focus on what they consider to be most relevant in assessing their own health status.⁶

Previously, studies have examined the utility and validity of various PROMs in lumbar spine surgery.⁸⁻¹⁴ However, there is currently a paucity of data regarding how these PROMs correlate with patients' own perceptions of change in health status postoperatively. Thus, the purpose of this study was to evaluate the correlation between commonly used PROMs and patients' perceived changes in spine-related health status, assessed using the GRC, in patients undergoing lumbar spine surgery.

Methods

Institutional review board approval was obtained for this study. All data were collected and managed using REDCap (Research Electronic Data Capture) hosted at Weill Cornell Medicine Clinical and Translational Science Center supported by the National Center for Advancing Translational Science of the National Institutes of Health under award number UL1 TR002384. REDCap is a secure, web-based software platform designed to support data capture for research studies.^{15,16}

Study Design and Patient Population

This was a retrospective review of a prospectively maintained multisurgeon institutional registry at a single academic institution. The registry was queried for consecutive patients who underwent lumbar microdiscectomy, lumbar decompression, or lumbar fusion between 2017 and 2023. For inclusion in this study, patients were required to have completed at least one PROM questionnaire preoperatively and the same PROM questionnaire at least one time point postoperatively, along with the GRC questionnaire at the same time point. For patients who underwent revision surgery or reoperations at other levels in the lumbar spine, PROMs data collection was stopped at the time of the revision to reflect the outcomes of only the index operation. All surgeries were performed by fellowship-trained spine surgeons.

Data Collection

Demographic data included age, BMI, sex, age-adjusted Charlson Comorbidity Index (CCI), race, insurance, and American Society of Anesthesiologists Physical Status class. Perioperative and postoperative data included the number of operative levels, estimated blood loss (in mL), operative time (in minutes), and postsurgical length of stay (in hours).

PROMs were collected prospectively as standard of care. The following PROMs were collected preoperatively and at 6 weeks, 12 weeks, 6 months, and 1 year postoperatively: ODI, VAS for back and leg pain, SF-12 PCS and MCS, and PROMIS-PF. Additionally, at each postoperative visit, patients were administered a GRC questionnaire, which assessed the patient's spine condition compared with before surgery and their previous visit. This was assessed using a 5-item Likert scale (much better, slightly better, about the same, slightly worse, and much worse).

Statistical Analysis

Demographic, operative, and postoperative variables and PROMs were summarized as means and standard deviations for continuous variables and percentages for categorical variables. The correlation between GRC and changes in PROM scores at each postoperative time point was assessed using Spearman's rank correlation coefficients. The strength of correlation coefficients was defined as previously described: very weak (0.00–0.20), weak (0.21–0.40), moderate (0.41–0.6), strong (0.61–0.8), and very strong (0.81–1.00). Statistical significance was defined with a Bonferroni-adjusted p value set at < 0.0012 to correct for multiple comparisons. All statistical analyses were conducted using IBM SPSS (version 29, IBM Corp.).

Results

Demographic, Operative, and Postoperative Variables

Demographics and perioperative data are shown in Table 1. A total of 1871 patients were included; 397 patients underwent microdiscectomy (mean age 48.38 years; mean BMI 26.76 kg/m²), 965 patients underwent decompression (mean age 59.12 years, mean BMI 27.47 kg/m²), and 509 patients underwent fusion (mean age 59.52 years, mean BMI 28.09 kg/m²). The age-adjusted CCIs for the microdiscectomy, decompression, and fusion patients were 1.22, 2.37, and 2.18, respectively. The majority of patients were Caucasian (microdiscectomy, 82.4%; decompression, 84.6%; and fusion, 83.3%), had commercial or private insurance (microdiscectomy, 77.3%; decompression, 57.7%; and fusion, 69.0%), were in ASA class II (microdiscectomy, 66.2%; decompression, 73.6%; and fusion, 80.4%), and had 1 level treated (microdiscectomy, 97.0%; decompression, 82.5%; and fusion, 74.5%).

Global Rating Change

GRCs at each time point are shown in Tables 2 and 3. Compared with their preoperative condition, 70.0%, 74.0%, 76.1%, and 80.8% of microdiscectomy patients described their lumbar spine condition as "much better" at 6 weeks, 12 weeks, 6 months, and 1 year, respectively. Compared with their condition at previous time points, 43.6%, 46.8%, and 59.0% of microdiscectomy patients described their lumbar spine condition as "much better" at 6 weeks to 12 weeks, 12 weeks to 6 months, and 6 months to 1 year, respectively. Compared with their preoperative condition, 66.6%, 70.5%, 71.7%, and 71.1% of decompression patients described their lumbar spine condition as

	Microdiscectomy	Decompression	Fusion
No. of patients	397	965	509
Age, yrs	48.38 ± 15.35	59.12 ± 17.06	59.52 ± 12.38
BMI, kg/m ²	26.76 ± 5.04	27.47 ± 4.98	28.09 ± 5.96
Sex			
Male	237 (59.7)	589 (61.0)	253 (49.7)
Female	160 (40.3)	376 (39.0)	256 (50.3)
Age-adjusted CCI	1.22 ± 1.63	2.37 ± 2.13	2.18 ± 1.68
Race			
White or Caucasian	327 (82.4)	816 (84.6)	424 (83.3)
Black or African American	11 (2.8)	27 (2.8)	23 (4.5)
American Indian or Alaska Native	1 (0.3)	2 (0.2)	0 (0.0)
Asian	17 (4.3)	39 (4.0)	15 (2.9)
Other	22 (5.5)	44 (4.6)	26 (5.1)
Unavailable	8 (2.0)	15 (1.6)	9 (1.8)
Patient declined	11 (2.8)	22 (2.3)	12 (2.4)
Insurance type			
Medicare	55 (13.9)	341 (35.3)	118 (23.2)
Workers' compensation	6 (1.5)	8 (0.8)	14 (2.8)
Commercial/private	307 (77.3)	557 (57.7)	351 (69.0)
Medicaid	5 (1.3)	7 (0.7)	3 (0.6)
Other	22 (5.5)	46 (4.8)	21 (4.1)
Unavailable	2 (0.5)	6 (0.6)	2 (0.4)
ASA Class			
	102 (25.7)	128 (13.3)	46 (9.0)
II	263 (66.2)	710 (73.6)	409 (80.4)
	24 (6.0)	109 (11.3)	50 (9.8)
IV	3 (0.8)	3 (0.3)	0 (0.0)
Missing	5 (1.3)	15 (1.6)	4 (0.8)
No. of levels			
1	385 (97.0)	796 (82.5)	379 (74.5)
2	11 (2.8)	144 (14.9)	106 (20.8)
3	1 (0.3)	22 (2.3)	24 (4.7)
4	0 (0.0)	2 (0.2)	0 (0.0)
5	0 (0.0)	1 (0.1)	0 (0.0)
EBL, mL	21.90 ± 27.83	21.01 ± 56.22	102.33 ± 184.2
Operative time, mins	60.56 ± 28.75	71.81 ± 35.62	144.39 ± 80.40
Postoperative LOS, hrs	10.67 ± 16.58	16.65 ± 31.04	43.54 ± 40.29

TABLE 1. Patient demographics and operative data

ASA = American Society of Anesthesiologists; EBL = estimated blood loss; LOS = length of stay. Values are presented as the number of patients (%) or mean \pm SD.

"much better" at 6 weeks, 12 weeks, 6 months, and 1 year, respectively. Compared with their condition at previous time points, 41.6%, 46.3%, and 51.2% of decompression patients described their lumbar spine condition as "much better" at 6 weeks to 12 weeks, 12 weeks to 6 months, and 6 months to 1 year, respectively. Compared with their preoperative condition, 59.5%, 69.1%, 73.2%, and 71.8% of fusion patients described their lumbar spine condition as "much better" at 6 weeks, 12 weeks, 6 months, and 1 year, respectively. Compared with their preoperative. Compared with their preoperative spine condition as "much better" at 6 weeks, 12 weeks, 6 months, and 1 year, respectively. Compared with their condition at previous time points, 43.8%, 38.6%, and 44.7% of fusion patients

described their lumbar spine condition as "much better" at 6 weeks to 12 weeks, 12 weeks to 6 months, and 6 months to 1 year, respectively.

Correlation Between GRC and PROMs in Microdiscectomy Patients

As seen in Table 4 and Fig. 1, changes in PROMs compared with preoperatively demonstrated significant but weak to moderate correlations with GRC at all time points for all PROMs except for leg VAS at 6 months, and SF-12 MCS at 12 weeks, 6 months, and 1 year (Spearman's

	Preop to 6 wks	Preop to 12 wks	Preop to 6 mos	Preop to 1 yr
Microdiscectomy				
Much better	189 (70.0)	151 (74.0)	143 (76.1)	135 (80.8)
Slightly better	35 (13.0)	29 (14.2)	21 (11.2)	16 (9.6)
About the same	22 (8.1)	15 (7.4)	16 (8.5)	8 (4.8)
Slightly worse	14 (5.2)	4 (2.0)	4 (2.1)	2 (1.2)
Much worse	10 (3.7)	5 (2.5)	4 (2.1)	6 (3.6)
Follow-up %	68.0	51.4	47.4	42.1
Decompression				
Much better	449 (66.6)	390 (70.5)	362 (71.7)	318 (71.1)
Slightly better	116 (17.2)	90 (16.3)	65 (12.9)	60 (13.4)
About the same	57 (8.5)	45 (8.1)	43 (8.5)	37 (8.3)
Slightly worse	35 (5.2)	18 (3.3)	21 (4.2)	23 (5.1)
Much worse	17 (2.5)	10 (1.8)	14 (2.8)	9 (2.0)
Follow-up %	69.8	57.3	52.3	46.3
Fusion				
Much better	200 (59.5)	210 (69.1)	216 (73.2)	191 (71.8)
Slightly better	67 (19.9)	47 (15.5)	40 (13.6)	40 (15.0)
About the same	29 (8.6)	20 (6.6)	17 (5.8)	14 (5.3)
Slightly worse	29 (8.6)	19 (6.3)	18 (6.1)	14 (5.3)
Much worse	11 (3.3)	8 (2.6)	4 (1.4)	7 (2.6)
Follow-up %	66.0	59.7	58.0	52.3

TABLE 2. Evaluation	of	GRC fro	om preo	peratively
---------------------	----	---------	---------	------------

Values are presented as the number of patients (%) unless stated otherwise.

TABLE 3	. Evaluation	of GRC f	rom the	previous	visit
---------	--------------	----------	---------	----------	-------

	6 wks to 12 wks	12 wks to 6 mos	6 mos to 1 yı
Microdiscectomy			
Much better	89 (43.6)	88 (46.8)	98 (59.0)
Slightly better	62 (30.4)	45 (23.9)	16 (9.6)
About the same	31 (15.2)	43 (22.9)	36 (21.7)
Slightly worse	18 (8.8)	8 (4.3)	11 (6.6)
Much worse	4 (2.0)	4 (2.1)	5 (3.0)
Follow-up %	51.4	47.4	41.8
Decompression			
Much better	230 (41.6)	234 (46.3)	228 (51.2)
Slightly better	153 (27.7)	111 (22.0)	77 (17.3)
About the same	122 (22.1)	110 (21.8)	97 (21.8)
Slightly worse	37 (6.7)	40 (7.9)	35 (7.9)
Much worse	11 (2.0)	10 (2.0)	8 (1.8)
Follow-up %	57.3	52.3	46.1
Fusion			
Much better	133 (43.8)	113 (38.6)	119 (44.7)
Slightly better	106 (34.9)	98 (33.4)	66 (24.8)
About the same	43 (14.1)	53 (18.1)	60 (22.6)
Slightly worse	18 (5.9)	26 (8.9)	15 (5.6)
Much worse	4 (1.3)	3 (1.0)	6 (2.3)
Follow-up %	59.7	57.6	52.3

Values are presented as the number of patients (%) unless stated otherwise.

	Preop to 6 wks	Preop to 12 wks	Preop to 6 mos	Preop to 1 yr	6 wks to 12 wks	12 wks to 6 mos	6 mos to 1 y
ODI						·	
Correlation coefficient	0.408	0.380	0.396	0.400	0.327	NS	0.309
Strength of correlation	Moderate	Weak	Weak	Weak	Weak	NS	Weak
p value	<0.001	<0.001	<0.001	<0.001	<0.001	0.021	0.001
VAS back							
Correlation coefficient	0.247	0.271	0.252	0.313	0.310	NS	NS
Strength of correlation	Weak	Weak	Weak	Weak	Weak	NS	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	0.005	0.007
VAS leg							
Correlation coefficient	0.314	0.391	NS	0.281	0.278	NS	0.304
Strength of correlation	Weak	Weak	NS	Weak	Weak	NS	Weak
p value	<0.001	<0.001	0.004	<0.001	<0.001	0.053	0.001
SF-12 PCS							
Correlation coefficient	-0.369	-0.424	-0.401	-0.489	NS	NS	NS
Strength of correlation	Weak	Moderate	Moderate	Moderate	NS	NS	NS
p value	<0.001	<0.001	<0.001	<0.001	0.006	0.021	0.002
SF-12 MCS							
Correlation coefficient	-0.355	NS	NS	NS	NS	NS	NS
Strength of correlation	Weak	NS	NS	NS	NS	NS	NS
p value	<0.001	0.008	0.008	0.066	0.499	0.139	0.94
PROMIS							
Correlation coefficient	-0.428	-0.497	-0.491	-0.514	-0.254	NS	NS
Strength of correlation	Moderate	Moderate	Moderate	Moderate	Weak	NS	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	0.002	0.007

TABLE 4. Correlations between change in PROMs and GRC in the lumbar microdiscectomy cohort

NS = not significant.

Boldface type indicates statistical significance (p < 0.0012).

rho range 10.2471 to 10.5141). Changes in PROMs compared with the previous time point demonstrated significant correlations at 12 weeks for ODI, back VAS, leg VAS, and PROMIS-PF, and at 1 year for ODI and leg VAS. There were no significant correlations found with previous time points for SF-12 PCS and SF-12 MCS. All significant correlations were weak (Spearman's rho range 10.2541 to 10.3271).

Correlation Between GRC and PROMs in Decompression Patients

As seen in Table 5 and Fig. 1, changes in PROMs compared with preoperatively demonstrated significant but weak to moderate correlations with GRCs at all time points for all PROMs (Spearman's rho range: 10.2011 to 10.5561). Changes in PROMs compared with the previous time point demonstrated significant correlations at all time points for back VAS and PROMIS-PF, at all time points except at 1 year for ODI, leg VAS, and SF-12 PCS, and at no time points for SF-12 MCS. All significant correlations were very weak to weak (Spearman's rho range: 10.1881 to 10.3011).

Correlation Between GRC and PROMs in Fusion Patients

As seen in Table 6 and Fig. 1, changes in PROMs com-

pared with preoperatively demonstrated significant but weak to moderate correlations with GRC at all time points for all PROMs, except for SF-12 MCS at 12 weeks and 6 months (Spearman's rho range 10.2091 to 10.5621). Changes in PROMs compared with the previous time point demonstrated significant correlations at all time points for ODI, at 12 weeks and 6 months for back VAS, at no time points for VAS leg and SF-12 MCS, only at 6 months for SF-12 PCS, and at 6 months and 1 year for PROMIS. All significant correlations were weak (Spearman's rho range 10.2011 to 10.3381).

Discussion

The results of this study demonstrate that a majority of patients undergoing lumbar spine surgery report notable improvements in their spine-related health status in the early postoperative period and continue to have improvements at longer follow-ups. However, changes in PROMs from pre- to postoperatively show a weak to moderate correlation with patient-perceived changes in health status, as assessed by GRC. Furthermore, changes in PROMs from one postoperative visit to the next visit did not consistently show a correlation with GRC, and the strengths of the correlations ranged from very weak to weak when the correlations were statistically significant.

		From preo	peratively	Fron	1 previous v	isit			
	6-week	12-week	6-month	1-year	12-week	6-month	1-year		
	Lumbar Microdiscectomy (n = 397, mean age = 48 years)								
ODI	0.408	0.380	0.396	0.400	0.327	n.s.	0.309		
VAS Back	0.247	0.271	0.252	0.313	0.310	n.s.	n.s.		
VAS Leg	0.314	0.391	n.s.	0.281	0.278	n.s.	0.304		
SF-12 PCS	-0.369	-0.424	-0.401	-0.489	n.s.	n.s.	n.s.		
SF-12 MCS	-0.355	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.		
PROMIS	-0.428	-0.497	-0.491	-0.514	-0.254	n.s.	n.s.		
	Lumbar Decompression (n = 965, mean age = 59 years)								
ODI	0.433	0.492	0.486	0.473	0.288	0.301	n.s.		
VAS Back	0.269	0.359	0.373	0.306	0.266	0.272	0.180		
VAS Leg	0.318	0.428	0.380	0.361	0.193	0.201	n.s.		
SF-12 PCS	-0.338	-0.440	-0.489	-0.489	-0.246	-0.274	n.s.		
SF-12 MCS	-0.269	-0.226	-0.226	-0.201	n.s.	n.s.	n.s.		
PROMIS	-0.423	-0.499	-0.524	-0.556	-0.188	-0.258	-0.188		
		Lumba	r Fusion (n =	= 509, me	ean age = 59) years)			
ODI	0.470	0.519	0.464	0.533	0.201	0.338	0.264		
VAS Back	0.353	0.401	0.397	0.439	0.227	0.288	n.s.		
VAS Leg	0.371	0.396	0.376	0.375	n.s.	n.s.	n.s.		
SF-12 PCS	-0.394	-0.414	-0.426	-0.524	n.s.	-0.225	n.s.		
SF-12 MCS	-0.209	n.s.	n.s.	-0.256	n.s.	n.s.	n.s.		
PROMIS	-0.410	-0.519	-0.562	-0.537	n.s.	-0.344	-0.261		

Very strong	
Strong	
Moderate	
Weak	
Very weak	

FIG. 1. Heatmap of correlations between change in commonly used PROMs and GRC. n.s. = not significant.

	Preop to 6 wks	Preop to 12 wks	Preop to 6 mos	Preop to 1 yr	6 wks to 12 wks	12 wks to 6 mos	6 mos to 1y
ODI							
Correlation coefficient	0.433	0.492	0.486	0.473	0.288	0.301	NS
Strength of correlation	Moderate	Moderate	Moderate	Moderate	Weak	Weak	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.012
VAS back							
Correlation coefficient	0.269	0.359	0.373	0.306	0.266	0.272	0.180
Strength of correlation	Weak	Weak	Weak	Weak	Weak	Weak	Very weak
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.001
VAS leg							
Correlation coefficient	0.318	0.428	0.380	0.361	0.193	0.201	NS
Strength of correlation	Weak	Moderate	Weak	Weak	Very weak	Weak	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.056
SF-12 PCS							
Correlation coefficient	-0.338	-0.440	-0.489	-0.489	-0.246	-0.274	NS
Strength of correlation	Weak	Moderate	Moderate	Moderate	Weak	Weak	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.006
SF-12 MCS							
Correlation coefficient	-0.269	-0.226	-0.226	-0.201	NS	NS	NS
Strength of correlation	Weak	Weak	Weak	Weak	NS	NS	NS
p value	<0.001	<0.001	<0.001	<0.001	0.194	0.043	0.523
PROMIS							
Correlation coefficient	-0.423	-0.499	-0.524	-0.556	-0.188	-0.258	-0.188
Strength of correlation	Moderate	Moderate	Moderate	Moderate	Very weak	Weak	Very weak
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001

TABLE 5. Correlations between change in PROMs and GRC in the lumbar decompression cohort

Boldface type indicates statistical significance (p < 0.0012).

In a review by Guzman et al., VAS, ODI, and SF-12 were identified as the first, second, and 14th most frequently utilized PROMs in spine surgery research, respectively.¹⁷ PROMIS, a more recently developed PROM, has gained significant attention as a less burdensome yet highly responsive alternative to legacy PROMs.^{5,18}

Patient perceptions of PROMs have been investigated, as Whitebird et al. assessed outcomes that joint surgery and spine surgery patients deem most important to themselves, as well as their perceived usefulness of standardized PROMs.¹⁹ They found that patients found their individual preferred outcomes more meaningful than a standardized PROM score in tracking their recovery. Therefore, patients preferred evaluating surgical success by assessing outcomes specific to their own lives, which are often not fully captured in currently used PROMs.

Multiple studies have investigated the correlation of GRC with PROMs in spine surgery, with the results of the current study differing from those found in the literature. Namely, Hägg et al., who evaluated the efficacy of a singleitem global assessment as a substitute for the use of more comprehensive PROMs after fusion surgery for chronic low-back pain, found that their single-item question of global assessment significantly correlated with various PROMs with moderate to strong strength and concluded that global assessment is a substitute for multi-item PROMs in randomized control trials of treatment for chronic lowback pain.²⁰ Parai et al. found that their single-item global assessment question was a useful reference for interpreting PROM scores, as it correlated well with pain-specific items within quality-of-life PROMs and condition-specific VAS and ODI after surgical treatment for degenerative lumbar spine conditions.²¹ We believe that the ODI, back and leg VAS, SF-12 MCS and PCS, and PROMIS each do not capture the full spectrum of disability experienced by our patient population, which could contribute to the discrepancy between changes in numerical PROM scores and alterations in patient-perceived changes in health status.

However, some of this study's conclusions are corroborated by previous studies that investigated the association of commonly used PROMs with other measures implemented to assess the whole-person effects of spine conditions. Duculan et al. found weak correlations between the Lumbar Surgery Expectations Survey scores and PROM scores in patients with lumbar degenerative spondylolisthesis, concluding that currently used PROMs do not comprehensively encapsulate patient-centered issues or patient perspectives.²² Similarly, Abtahi et al. found no correlation between patient functional status as determined by PROMs and patient experience of care.23 Key differences between the current study and these previous studies are that the current study assessed correlations between a multitude of PROMs and global assessments of health at multiple postoperative time points, allowing for a long-

	Preop to 6 wks	Preop to 12 wks	Preop to 6 mos	Preop to 1 yr	6 wks to 12 wks	12 wks to 6 mos	6 mos to 1 yr
ODI							
Correlation coefficient	0.470	0.519	0.464	0.533	0.201	0.338	0.264
Strength of correlation	Moderate	Moderate	Moderate	Moderate	Weak	Weak	Weak
p value	<0.001	<0.001	<0.001	<0.001	0.001	<0.001	<0.001
VAS back							
Correlation coefficient	0.353	0.401	0.397	0.439	0.227	0.288	NS
Strength of correlation	Weak	Moderate	Weak	Moderate	Weak	Weak	NS
p value	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	0.002
VAS leg							
Correlation coefficient	0.371	0.396	0.376	0.375	NS	NS	NS
Strength of correlation	Weak	Weak	Weak	Weak	NS	NS	NS
p value	<0.001	<0.001	<0.001	<0.001	0.128	0.191	0.166
SF-12 PCS							
Correlation coefficient	-0.394	-0.414	-0.426	-0.524	NS	-0.225	NS
Strength of correlation	Weak	Moderate	Moderate	Moderate	NS	Weak	NS
p value	<0.001	<0.001	<0.001	<0.001	0.034	<0.001	0.002
SF-12 MCS							
Correlation coefficient	-0.209	NS	NS	-0.256	NS	NS	NS
Strength of correlation	Weak	NS	NS	Weak	NS	NS	NS
p value	<0.001	0.008	0.002	<0.001	0.089	0.005	0.555
PROMIS							
Correlation coefficient	-0.410	-0.519	-0.562	-0.537	NS	-0.344	-0.261
Strength of correlation	Moderate	Moderate	Moderate	Moderate	NS	Weak	Weak
p value	<0.001	<0.001	<0.001	<0.001	0.005	<0.001	<0.001

TABLE 6. Correlations between ch	ange in PROMs and GRO	in the lumbar fusion cohort
----------------------------------	-----------------------	-----------------------------

Boldface type indicates statistical significance (p < 0.0012).

term analysis of the association of PROMs typically used in clinical practice with GRC.

The version of GRC used in this study asked patients to generally rate their current back condition compared with prior to surgery and compared with the previous visit, thus requiring patients to cognitively appraise their own health. The ability for patients to assess their perceived lumbar condition using any construct they deem most relevant is simultaneously a strength and a weakness of the GRC.⁶ Hence, the development of PROMs that fully reflect patient voice and experience is an integral component of moving toward a more patient-centered healthcare system, elucidating a clear gap in health services research and the importance of the continuous evolution of how clinicians measure outcomes following lumbar spine surgery.^{24–26}

There are several limitations to the current study. This was a retrospective review of prospectively collected data, which may introduce selection bias. Additionally, this was a single-center study, which may serve to limit the external validity of the study. Furthermore, GRC may introduce recall bias. It is important to consider the relatively limited utilization of GRC in spine surgery research and clinical practice. Although more commonly utilized in other fields of musculoskeletal care, GRC as a tool in spine surgery is not as well described.⁶ Our study population consisted primarily of privately insured Caucasian patients, and owing

to the limited sample size, we were unable to stratify patients by operative level or preoperative diagnosis, which collectively may impact PROM scores. Larger studies involving the stratification of patients by operative level or preoperative diagnosis are warranted.

Conclusions

Our results indicate that a majority of patients undergoing lumbar decompression, fusion, or microdiscectomy reported notable improvements in their lumbar spine condition in the early postoperative period and continued to demonstrate improvements at longer follow-up. However, commonly used PROMs, namely ODI, back and leg VAS, SF-12 PCS and MCS, and PROMIS, demonstrated very weak to moderate correlations with patient-perceived changes in spine-related health status as determined by the GRC questionnaire. These findings suggest that currently used PROMs may not be adequately reflecting changes in patients' perception of their health status or may not be sufficiently capturing changes in health condition that are meaningful and relevant to patients.

Acknowledgments

This study used REDCap (Research Electronic Data Capture) hosted at Weill Cornell Medicine Clinical and Translational Sci-

ence Center supported by the National Center For Advancing Translational Science of the National Institute of Health under award number: UL1 TR002384.

References

- 1. Lee Y, Issa TZ, Vaccaro AR. State-of-the-art applications of patient-reported outcome measures in spinal care. *J Am Acad Orthop Surg.* 2023;31(20):e890-e897.
- Koivunen K, Widbom-Kolhanen S, Pernaa K, Arokoski J, Saltychev M. Reliability and validity of Oswestry Disability Index among patients undergoing lumbar spinal surgery. *BMC Surg.* 2024;24(1):13.
- Wewers ME, Lowe NK. A critical review of visual analogue scales in the measurement of clinical phenomena. *Res Nurs Health*. 1990;13(4):227-236.
- Boden SH, Farley KX, Campbell C, Boden SD, Gottschalk MB. Rational selection of patient-reported outcomes measures in lumbar spine surgery patients. *Int J Spine Surg.* 2020;14(3):347-354.
- Vaishnav AS, McAnany SJ, Iyer S, Albert TJ, Gang CH, Qureshi SA. Psychometric evaluation of Patient-reported Outcomes Measurement Information System Physical Function Computer Adaptive Testing in minimally invasive lumbar spine surgery: an analysis of responsiveness, coverage, discriminant validity, and concurrent validity. J Am Acad Orthop Surg. 2020;28(17):717-729.
- Kamper SJ, Maher CG, Mackay G. Global rating of change scales: a review of strengths and weaknesses and considerations for design. *J Manual Manip Ther.* 2009;17(3):163-170.
- 7. Bobos P, Ziebart C, Furtado R, Lu Z, MacDermid JC. Psychometric properties of the global rating of change scales in patients with low back pain, upper and lower extremity disorders. A systematic review with meta-analysis. *J Orthop.* 2020;21:40-48.
- Korsun MK, Shahi P, Shinn DJ, et al. Improvement in predominant back pain following minimally invasive decompression for spinal stenosis. *J Neurosurg Spine*. 2023;39(4): 576-582.
- Shahi P, Song J, Dalal S, et al. Improvement following minimally invasive lumbar decompression in patients 80 years or older compared with younger age groups. *J Neurosurg Spine*. 2022;37(6):828-835.
- Bovonratwet P, Samuel AM, Mok JK, et al. Minimally invasive lumbar decompression versus minimally invasive transforaminal lumbar interbody fusion for treatment of low-grade lumbar degenerative spondylolisthesis. *Spine (Phila Pa 1976)*. 2022;47(21):1505-1514.
- Zhao E, Hirase T, Kim AG, et al. The impact of posterior intervertebral osteophytes on patient-reported outcome measures after L5-S1 anterior lumbar interbody fusion and transforaminal lumbar interbody fusion. *Spine (Phila Pa 1976)*. 2024;49(9):652-660.
- Shahi P, Dalal S, Shinn D, et al. Improvement following minimally invasive transforaminal lumbar interbody fusion in patients aged 70 years or older compared with younger age groups. *Neurosurg Focus*. 2023;54(1):E4.
- Shahi P, Subramanian T, Araghi K, et al. Comparison of robotics and navigation for clinical outcomes after minimally invasive lumbar fusion. *Spine (Phila Pa 1976)*. 2023;48(19): 1342-1347.
- Bovonratwet P, Vaishnav AS, Mok JK, et al. Association between Patient Reported Outcomes Measurement Information System Physical Function with postoperative pain, narcotics consumption, and patient-reported outcome measures following lumbar microdiscectomy. *Global Spine J.* 2024;14(1): 225-234.
- 15. Harris PA, Taylor R, Thielke R, Payne J, Gonzalez N, Conde JG. Research electronic data capture (REDCap)—a metada-

ta-driven methodology and workflow process for providing translational research informatics support. *J Biomed Inform*. 2009;42(2):377-381.

- 16. Harris PA, Taylor R, Minor BL, et al. The REDCap consortium: building an international community of software platform partners. *J Biomed Inform*. 2019;95:103208.
- Guzman JZ, Cutler HS, Connolly J, et al. Patient-reported outcome instruments in spine surgery. *Spine (Phila Pa 1976)*. 2016;41(5):429-437.
- Young K, Steinhaus M, Gang C, et al. The use of Patient-Reported Outcomes Measurement Information System in spine: a systematic review. *Int J Spine Surg.* 2021;15(1):186-194.
- Whitebird RR, Solberg LI, Norton ČK, Ziegenfuss JY, Asche SE, Grossman ES. What outcomes matter to patients after joint or spine surgery? J Patient Cent Res Rev. 2020;7(2):157-164.
- 20. Hägg O, Fritzell P, Odén A, Nordwall A. Simplifying outcome measurement: evaluation of instruments for measuring outcome after fusion surgery for chronic low back pain. *Spine (Phila Pa 1976)*. 2002;27(11):1213-1222.
- 21. Parai C, Hägg O, Lind B, Brisby H. The value of patient global assessment in lumbar spine surgery: an evaluation based on more than 90,000 patients. *Eur Spine J*. 2018;27(3): 554-563.
- 22. Duculan R, Fong AM, Cammisa FP, et al. Emerging need for PROMs to measure the impact of spine disorders on overall health and well-being: measuring expectations as an example for lumbar degenerative spondylolisthesis. *HSS J.* 2023;19(2): 163-171.
- Abtahi AM, Lyman KS, Brodke DS, Lawrence BD, Zhang C, Spiker WR. Patient satisfaction is not associated with selfreported disability in a spine patient population. *Clin Spine Surg.* 2017;30(8):E1165-E1168.
- Finkelstein JA, Schwartz CE. Patient-reported outcomes in spine surgery: past, current, and future directions. *J Neuro*surg Spine. 2019;31(2):155-164.
- 25. Beighley A, Zhang A, Huang B, et al. Patient-reported outcome measures in spine surgery: a systematic review. *J Craniovertebr Junction Spine*. 2022;13(4):378-389.
- 26. Rushton A, Elena B, Jadhakhan F, et al. Immediate patient perceptions following lumbar spinal fusion surgery: semistructured multi-centre interviews exploring the patient journey and experiences of lumbar fusion surgery (FuJourn). *Eur Spine J.* 2022;31(12):3590-3602.

Disclosures

Dr. Heuer reported being funded by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation; project no. 526240791) outside the submitted work. Dr. Iyer reported ownership/equity/investment in HSS ASC Development Network HS2, LLC; research support (either personally or through HSS) from Innovasis; speakers bureau for Globus Medical, Inc.; consultant for Intrinsic Therapeutics Inc.; personal fees from Bioventus, outside the submitted work. Dr. Qureshi reported ownership/equity/investment in HS2, LLC and Tissue Differentiation Intelligence; personal fees royalties from intellectual property, designer, and consultant for Stryker K2M; royalties from intellectual property, speakers' bureau, and consultant for Globus Medical, Inc.; honoraria from AMOpportunities; consultant for Viseon, Inc., outside the submitted work; medical or scientific advisory board membership for LifeLink.com Inc.; editorial board of Contemporary Spine Surgery and Annals of Transitional Medicine; political engagement committee member, Payor Policy Review Committee member, SpinePAC advisory committee member, and CME committee member for North American Spine Society; editorial board member/senior associate editor of Hospital Special Surgery Journal; program committee member, 2018 annual meeting program chair, board of directors,

Vaishnav et al.

and professional society member of directors/trustees/governors/ managers, member-at-large or committee member of Society of Minimally Invasive Spine Surgery; website committee member and professional society member of directors/trustees/governors/ managers, member-at-large or committee member for Lumbar Spine Research Society; publications committee member and Professional Society member of the Cervical Spine Research Society; board of directors (treasurer) of Minimally Invasive Spine Study Group Board of Directors; program committee member and professional society member of Association of Bone and Joint Surgeons; education committee, program committee, 2021 annual meeting program chair, and professional society member of International Society for the Advancement of Spine Surgery; and ownership/equity/investment in HSS ASC Development Network.

Author Contributions

Conception and design: Vaishnav, Qureshi. Acquisition of data: Vaishnav, Kwas, Araghi, Singh, Tuma, Korsun, Simon, Asada, Mai, Zhang, Allen, Kim, Heuer. Analysis and interpretation of data: Vaishnav, Kwas, Mok, Qureshi. Drafting the article: Vaishnav, Kwas, Mok. Critically revising the article: Vaishnav, Kwas, Iyer. Reviewed submitted version of manuscript: all authors. Approved the final version of the manuscript on behalf of all authors: Vaishnav. Statistical analysis: Vaishnav. Administrative/technical/material support: Iyer. Study supervision: Iyer, Qureshi.

Supplemental Information

Previous Presentations

Portions of this work were presented at AANS/CNS Section Spine Summit, Las Vegas, Nevada, February 20–23, 2024.

Correspondence

Avani S. Vaishnav: Hospital for Special Surgery, New York, NY. avani.s.vaishnav@gmail.com.