patients

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Abstract

Background Hypodontia is the most prevalent dental anomaly in humans, and is primarily attributed to genetic factors. Although genome-wide association studies (GWAS) have identifed single-nucleotide polymorphisms (SNP) associated with hypodontia, genetic risk assessment remains challenging due to population-specifc SNP variants. Therefore, we aimed to conducted a genetic analysis and developed a machine-learning-based predictive model to examine the association between previously reported SNPs and hypodontia in the Saudi Arabian population. Our case–control study included 106 participants (aged 8–50 years; 64 females and 42 males), comprising 54 hypodontia cases and 52 controls. We utilized TagManTM Real-Time Polymerase Chain Reaction and allelic genotyping to analyze three selected SNPs (*AXIN2*: rs2240308, *PAX9*: rs61754301, and *MSX1*: rs12532) in unstimulated whole saliva samples. The chi-square test, multinomial logistic regression, and machine-learning techniques were used to assess genetic risk by using odds ratios (ORs) for multiple target variables.

Results Multivariate logistic regression indicated a signifcant association between homozygous *AXIN2* rs2240308 and the hypodontia phenotype (ORs [95% confdence interval] 2.893 [1.28–6.53]). Machine-learning algorithms revealed that the *AXIN2* homozygous (A/A) genotype is a genetic risk factor for hypodontia of teeth #12, #22, and #35, whereas the *AXIN2* homozygous (G/G) genotype increases the risk for hypodontia of teeth #22, #35, and #45. The *PAX9* homozygous (C/C) genotype is associated with an increased risk for hypodontia of teeth #22 and #35.

Conclusions Our study confrms a link between *AXIN2* and hypodontia in Saudi orthodontic patients and suggest**s** that combining machine-learning models with SNP analysis of saliva samples can efectively identify individuals with non-syndromic hypodontia.

Keywords Machine learning algorithms, Non-syndromic hypodontia, Single nucleotide polymorphism, *AXIN2*, *PAX9*, *MSX1*, Genetics, Orthodontics

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Background

Non-syndromic hypodontia is the most prevalent human dental anomaly [[1](#page-9-0)], across various regions and ethnicities $[2, 3]$ $[2, 3]$ $[2, 3]$ $[2, 3]$. The wide variation in prevalence rates (3.48% and 9.4% in the Spanish and Japanese population, respectively) $[2, 3]$ $[2, 3]$ $[2, 3]$ is attributed to differences in the genetic backgrounds, sample sizes, and diagnostic criteria employed in previous studies [[4\]](#page-10-0). In Saudi Arabia, where hypodontia is the predominant dental anomaly, several studies have examined the prevalence of hypodontia in various regions [\[5](#page-10-1)]. Regarding congenitally missing teeth, one study reported a 25.7% prevalence [[6\]](#page-10-2), whereas another found a prevalence rate of 24.7%, in eastern Saudi Arabia [[7\]](#page-10-3). Furthermore, these disparities in hypodontia prevalence could be ascribed to diferences in sample sizes, geographic locations, testing methods, participants' age groups, and ethnic backgrounds [[8\]](#page-10-4).

Dental development is a complex multigenetic process [[9\]](#page-10-5). Hypodontia follows an autosomal dominant pattern of inheritance, with incomplete penetrance and variable expression $[10]$ $[10]$ $[10]$. Genes associated with non-syndromic hypodontia include *MSX1, PAX9,* and *AXIN2* [\[11](#page-10-7)]. *MSX1*, a homeobox gene that modulates epithelial–mesenchymal interactions, crucially modulates early tooth development, with *MSX1* mutations causing failure of tooth development [[12\]](#page-10-8). *PAX9*, a member of the transcription factor family, is associated with autosomal dominant, non-syndromic, and familial hypodontia [\[13](#page-10-9)]. *AXIN2*, a Wnt-signaling regulator, is associated with autosomal dominant hypodontia and incisor agenesis [[14](#page-10-10), [15](#page-10-11)]. *WNT10* and *SMOC2* mutations cause severe hypodontia [[16,](#page-10-12) [17\]](#page-10-13). As *PAX9*, *MSX1,* and *AXIN2* are most frequently associated with non-syndromic hypodontia [\[11\]](#page-10-7), we studied these specifc genes.

Machine learning utilizes complex algorithms for healthcare data extraction to enhance clinical effectiveness $[18]$ $[18]$ through models that offer dentists and physicians up-to-date medical knowledge, facilitate optimal patient care, reduce diagnostic and therapeutic errors, and aid health prediction $[19, 20]$ $[19, 20]$ $[19, 20]$ $[19, 20]$. The identification of genetic predictors of non-syndromic hypodontia for early non-radiographic detection could prevent dental complications, reduce treatment costs, and enhance quality of life [[21\]](#page-10-17). Recognizing hypodontia of permanent teeth facilitates preventive strategies, including primary-teeth preservation and fuoride application [[22\]](#page-10-18). Identifcation of congenitally missing permanent teeth enables improved case management, including primary-teeth extraction for spontaneous alignment of the remaining teeth and prevention of treatment-related complications [\[23](#page-10-19)].

We aimed to establish a model for assessing the genetic risk of non-syndromic hypodontia in the Saudi Arabian population.

Methods

This case-control study was approved (NRC22R/020/01) by the Institutional Review Board of King Abdullah International Medical Research Center (KAIMRC). This study was performed according to the strengthening the reporting of observational studies in epidemiology (STROBE) guidelines [[24\]](#page-10-20).

Participants

The present study screened the patients who visited the orthodontic clinic of King Abdulaziz Medical City, Riyadh (KAMC-RD) and College of Dentistry (COD), King Saud Bin Abdulaziz University for Health Sciences (KSAU-HS) in Riyadh, Saudi Arabia in December 2021. The participants were randomly selected, enrolled, and categorized into the study and control groups (at least one and no congenitally missing tooth [excluding the third molar], respectively) based on the initial clinical and radiographic examination. The inclusion criteria were: healthy Saudi nationals aged 7-70 years. The exclusion criteria comprised the presence of dental or craniofacial anomalies, craniofacial syndromes, history of jaw trauma, and cardiac, autoimmune, endocrine, bleeding, neurological, kidney, liver, or mental illnesses. By October 2023, we randomly selected a total of 114 participants. All participants underwent a standard clinical and radiographic examination. However, eight of the 114 participants with hypodontia were excluded. Ultimately, we established a case-control study with 54 cases of hypodontia and randomly selected 52 healthy controls from the same source population. The cases and controls were individually matched based on age and sex.

Clinical assessment

The participants underwent a clinical examination and history-taking. Panoramic radiographs, previously taken for orthodontic treatment, were used to detect and grade hypodontia. Written informed consent was obtained from all participants or their legal guardians. For the scheduled collection of high-quality saliva samples, the participants received written instructions, including refraining from eating, drinking, brushing teeth, chewing gum, or smoking for 1 hour prior to saliva collection.

Saliva collection and analysis

If the participants followed the specifed instructions, the screening and saliva collection visits were combined. Unstimulated whole saliva was collected using the Oragene DNA (OG-500) collection kit (DNA Genotek,

Stittsville, Canada) in accordance with the manufacturer's instructions, and samples were coded to ensure confdentiality; the collection time was recorded, all samples were collected during the same period of the day, and samples were stored at −80°C until analysis.

DNA extraction

The saliva samples were transported to the medical genomic department at KAIMRC for DNA isolation. DNA was extracted using the prepIT.L2P extraction kit (PT-L2P-5, DNA Genotek, Stittsville, Canada). We assessed DNA quality and quantity with a NanoDropTM spectrophotometer (Thermo Fisher Scientific, Wilmington, DE, USA) and a Qubit® 3.0 fluorimeter (catalog number: Q33216, Thermo Fisher Scientific, Wilmington, DE, USA), and the examiner (M.A.) who conducted the laboratory analysis was blinded to the group allocation.

Genotyping and single nucleotide polymorphisms (SNPs) analysis

Genotyping was performed using the TaqManTM genotyping master mix (Thermo Fisher Scientific, Wilmington, DE, USA) according to the manufacturer's instructions, with a DNA concentration of 20 ng/ μ L in the final reaction volume of $25 \mu L$. The experiments were conducted on the Applied BiosystemsTM QuantStudioTM 6 Flex Real-Time PCR system (Thermo Fisher Scientific, Wilmington, DE, USA). The thermocycler conditions for stages were as follows: pre-read at 60°C for 30 seconds, hold at 95°C for 10 minutes, PCR of 40 cycles at 95°C for 15 seconds and 60°C for 1 minute, and postread at 60°C for 30 seconds. Genotyping for the selected three SNPs, *AXIN2*: rs2240308, *PAX9*: rs61754301, and *MSX1*: rs12532, was performed using C__26933394_10, C 90244317 10, and C 2577354 1 assay kits, respectively (Applied Biosystems, Waltham, MA, USA).

AI‑assisted discovery of SNPs

We employed machine learning to perform genetic risk assessment by using odds ratios (OR) for multiple target variables from a dataset ad focusing on teeth #12, #22, #35, and #45, which are the most frequently missing teeth $[25-27]$ $[25-27]$ $[25-27]$. The analysis was implemented and executed using Python programming language and the scikit-learn library.

The logistic regression algorithm from scikit-learn was selected as the machine-learning model because it is commonly employed for binary classifcation problems and is appropriate for predicting the presence or absence of genetic risks associated with the target variables: teeth #12, #22, #35, and #45. By training individual logistic regression models for each target variable, we sought to delineate the associations between genetic markers (features) and the presence or absence of genetic risk for each specifed target.

Prior to model training, the dataset underwent preprocessing. Categorical data, including genetic markers, were transformed into numerical form via one-hot encoding, which enabled the algorithms to accurately interpret and learn from the data. Each categorical marker was converted into several binary columns, wherein each column represented a distinct category or allele.

A logistic regression model was trained on the preprocessed dataset for each target variable. Throughout the training process, the model discerned the relationships between genetic markers and the presence or absence of associated genetic risk for the specifc target variable. ORs were computed using the model's learned coefficients to determine the influence of each genetic marker on the genetic risk assessment of each target variable. An increased or decreased OR signifed a more robust or less substantial association, respectively, with the presence of genetic risk. The machine-learning steps are depicted in Fig. [1](#page-3-0). The outline of genetic risk assessment of hypodontia is illustrated in Fig. [2.](#page-4-0)

Generation of pseudocode

By utilizing a genetic dataset to evaluate dental risk and outputting results for specifc teeth, the algorithm assessed genetic risk for dental issues. Data were initially collected from genetic information and the corresponding labels for target teeth (#12, #22, #35, and #45) from individuals who had undergone genetic testing for dental risk assessment. Data preprocessing was undertaken to resolve missing or erroneous values in the dataset and to identify features (genetic markers) and target variables (presence/absence of genetic risk) for each tooth. Logistic regression modeling was conducted for each target tooth by training a model with features (x) as the genetic markers and the target variable (y) as the presence/absence of genetic risk. The model was ftted using these features and target variable, and its performance was evaluated on metrics, such as accuracy, precision, recall, and F1-score. Techniques, such as k-fold cross-validation, were utilized to assess the model's generalizability. ORs were calculated for each target tooth to derive coefficients from the logistic regression model. Statistical analysis was conducted to determine the signifcance of associations between gene markers and the risk of dental issues, including hypothesis testing to calculate *P*-values. The algorithm concluded by outputting the genetic risk assessment results for the target teeth.

Fig. 1 The phases for machine learning model generation. The frst phase included data collection and data pre-processing. Phase 2 involved training the model to identify genetic markers and logistic regression was used for evaluation. Phase 3 included statistical analysis using odd ratio calculation and investigation of the association between genetic markers and congenitally missing teeth. The fnal phase involved the generation of a genetic risk assessment

Fig. 2 Schematic diagram illustrating the steps conducted to create a machine learning model for genetic risk assessment for hypodontia. The first step in this study was to categorize the participants into control and phenotype groups. Thereafter, unstimulated whole saliva was collected following the manufacturer's instructions. Then, samples were genotyped. Finally, machine learning algorithms were utilized to conduct a genetic risk assessment model for hypodontia

Statistical analysis

Based on the Martha et al. study [[28\]](#page-10-23), a sample size of 50 participants per group was determined to obtain a Type I error rate of 5% and 90% power from calculations in PASS 2023 version 15 (Power Analysis and Sample Size Software (2023), NCSS, LLC, Kaysville, Utah, USA, nss. com/software/pass).

The clinicodemographic variables were summarized by frequency and proportion or mean and standard deviation (Table [1\)](#page-4-1). Allele frequencies for *MSX1* gene rs12532 (A allele) and *PAX9* gene rs61754301 (C allele) were initially calculated, followed by those for *AXIN2* gene rs2240308 (A allele). Logistic regression served as the primary statistical method to analyze the intergroup diferences in the associations between SNPs of *MSX1, PAX9,* and *AXIN2* in the hypodontia and control groups. A backward stepwise-selection approach was employed to construct the final model. The characteristics of the *MSX1*, *PAX9*, and *AXIN2* genes and their alleles are as follows: the *MSX1* (rs12532) gene's reference allele was A, with G as the alternative allele, resulting in homozygous genotypes of A/A or G/G and heterozygous genotypes of A/G or G/A; the *PAX9* (rs61754301) gene's reference allele was C, with T as the alternative allele, leading to homozygous genotypes of C/C or T/T and heterozygous genotypes of C/T or T/C; and the *AXIN2* (rs2240308) gene's reference allele was A, with G as the alternative allele, yielding homozygous genotypes of A/A or G/G and heterozygous genotypes of A/G or G/A. *P*<0.05 were considered statistically signifcant. All data analyses were performed using SAS version 9.4 (SAS Institute Inc., Cary, NC, USA).

Results

The study cohort of 106 Saudi Arabian participants (64 females and 42 males, aged: 8–50 years) was divided into 54 cases with hypodontia and 52 controls. In the study group, the congenital absence of one tooth (53.70%) showed the highest frequency, followed by the absence of two teeth (31.48%). Our fndings presented that the most common congenitally missing teeth were #35 and #45 with a prevalence rate of 16.98% among cases, followed by #12 and #22 with a prevalence rate of 14.15%, and 13.20%, respectively.

Table [2](#page-5-0) summarizes the intergroup diferences in the genotypic distribution and allele frequencies of the three SNPs in the hypodontia and control groups. No statistically signifcant intergroup diference was observed in the distribution of *MSX1* rs12532, *PAX9* rs61754301, and *AXIN2* rs2240[3](#page-5-1)08. Table 3 presents the multivariate logistic regression analysis for genetic risk assessment in the hypodontia group. Individuals in the

Our machine-learning model's genetic risk assessment for teeth #12, #22, #35, and #45 revealed that, for tooth #12, the *PAX9* homozygous (C/C) genotype was associated with a marginally reduced risk of dental issues as compared to individuals without this allele (OR 0.860989). Conversely, the *PAX9* heterozygous (C/T) genotype conferred a marginally increased risk (OR 1.161477). The *AXIN2* homozygous (A/A) and heterozygous (A/G) genotypes denoted a moderately higher risk (OR 1.364085) or a marginally decreased risk, respectively. The $AXIN2$ homozygous (G/G) allele was associated with a slightly lower risk (OR 0.887142).

For tooth #22, the *PAX9* homozygous (C/C) genotype conferred a marginally increased risk of dental issues (OR 1.099051). Conversely, the *PAX9* heterozygous (C/T) genotype exhibited a marginally reduced risk (OR

Table 2 *MSX1*, *PAX9* and *AXIN2* genotype distribution and allele frequencies

Table 3 Multivariate logistic regression analysis for hypodontia predictions

* *P* value < 0.05

0.909903). The *AXIN2* homozygous (A/A) and heterozygous (A/G) genotypes conferred a slightly elevated risk (OR 1.040714) and a lower risk (OR 0.7249), respectively. The *AXIN2* homozygous (G/G) genotype created a moderately increased risk (OR 1.325418).

For tooth #35, the *PAX9* homozygous (C/C) genotype was associated with a marginally increased risk (OR 1.034322). Conversely, the *PAX9* heterozygous (C/T) genotype indicated a marginally decreased risk (OR 0.966832). The *AXIN2* homozygous (A/A) and heterozygous (A/G) genotypes signifed a slightly elevated risk (OR 1.045664) and a reduced risk (OR 0.817666), respectively. The $AXIN2$ homozygous (G/G) genotype denoted a modestly increased risk (OR 1.169603).

For tooth #45, the *PAX9* homozygous (C/C) genotype was associated with a decreased risk (OR 0.689289). Conversely, the *PAX9* heterozygous (C/T) genotype indicated an increased risk (OR 1.450768). The *AXIN2* homozygous (A/A) and heterozygous (A/G) genotypes conferred a decreased risk (OR 0.825193) and a slightly decreased risk (OR 0.847812), respectively. The AXIN2 homozygous (G/G) genotype was associated with a slightly increased risk (OR 1.429367).

The ORs offer a measure of the association between gene alleles and the risk of dental issues in individual teeth. It is important to note that these associations were relatively modest, with ORs close to 1. Nonetheless, even minor increases or decreases in risk can carry implications for dental health.

Discussion

In this study, we investigated the use of machine learning to predict hypodontia risk based on selected SNPs in the *MSX1, PAX9,* and *AXIN2* genes. To our knowledge, this is the frst orthodontic study to employ a combination of machine learning and hypodontia to thoroughly examine the association between genetic factors and hypodontia.

Machine learning, a subfeld of artifcial intelligence, has been widely applied to the diagnosis, prediction, and prognosis of various medical conditions and uses statistical algorithms to make decisions or provide data-driven predictions [[29\]](#page-10-24). In this study, we initially examined the genotype distribution and allele frequency of SNPs of the *MSX1, PAX9,* and *AXIN2* genes that were previously identifed in GWAS as being associated with hypodontia susceptibility. We conducted multivariate logistic regression analysis to assess the genetic risk for the hypodontia group.

In the present study, the mandibular second premolars were the teeth that were most commonly afected by hypodontia, followed by the maxillary lateral incisors among the patients in the hypodontia group. These findings align with previous research [[25–](#page-10-21)[27\]](#page-10-22); however, our results difer from studies that identifed the maxillary lateral incisors as the most frequently congenitally absent teeth [\[30](#page-10-25), [31](#page-10-26)]. These discrepancies may stem from varied genetic and ethnic backgrounds, as well as underreporting of hypodontia cases. Dahlberg et al. [[32](#page-10-27)] noted that within each tooth class, the key tooth is the most morphologically stable, whereas the others are more variable, prone to reduction, and more frequently missing.

The genes that are most frequently associated with nonsyndromic hypodontia are *MSX1*, *PAX9,* and *AXIN2*. SNPs in MSX1, PAX9, and AXIN2 infuence the hypodontia phenotype [[33](#page-10-28)]. In this study, we analyzed SNPs previously reported to be associated with non-syndromic hypodontia: *MSX1* rs12532, *PAX9* rs61754301, and *AXIN2* rs2240308 [\[28\]](#page-10-23). Our results revealed no signifcant diferences in allele frequency or genotype distribution between the control and hypodontia groups, suggesting that these SNPs may not have infuenced hypodontia expression in our cohort. Notably, we combined homozygous variant genotypes with heterozygous genotypes for comparison against wild-type genotypes (Table [3](#page-5-1)).

Conversely, multivariate logistic regression analysis offers greater power and control over variables and revealed a signifcant association between *AXIN2* gene variations and non-syndromic hypodontia, corroborating the genetic risk assessment for the hypodontia group. These findings align with those of previous studies [[33](#page-10-28), [34](#page-10-29)]. However, our analysis did not show a signifcant association between *MSX1* and *PAX9* variants and hypodontia, which contrasts with fndings from earlier research $[28, 33]$ $[28, 33]$ $[28, 33]$ $[28, 33]$. This discrepancy may be attributed to diferences in the studied populations, and the SNPs tested could be signifcant specifcally in the Saudi Arabian population.

The scikit-learn library in Python provides a comprehensive and efficient set of tools for machine learning methods that comprises various algorithms, including logistic regression, and utilities for data preprocessing, model training, and evaluation. The flexibility and ease of use of this library allowed us to implement genetic risk assessment analysis seamlessly $[35]$. The ORs obtained from the logistic regression models yielded valuable insights into the association between genetic markers and the presence or absence of genetic risk for each tooth. The presence of the *AXIN2* homozygous (A/A) genotype is a genetic risk factor for hypodontia of teeth #12, #22, and #35. Additionally, the presence of *AXIN2* homozygous (G/G) was a genetic risk factor for hypodontia of teeth #22, #35, and #45. The presence of *PAX9* homozygous (C/C) was a genetic risk factor for hypodontia of teeth $#22$ and $#35$. These results contribute to the understanding of genetic factors infuencing the risk for specifc teeth and can potentially guide personalized dental and healthcare interventions.

With the trend toward more personalized medicine, a phenotype in the oral cavity could serve as a diagnostic marker for systemic health diseases [\[36\]](#page-10-31). In addition to the association of hypodontia with syndromes, genetic mutations in tooth formation genes have been linked to other medical conditions, such as cancer [[14](#page-10-10)]. For example, *AXIN2* gene mutations may be implicated in hypodontia along with earlyonset colon, prostate, and ovarian cancers [\[14,](#page-10-10) [37](#page-10-32), [38\]](#page-10-33). Based on these associations, an orthodontist might refer a patient for clinical and cancer screening. However, it is crucial to note that a recent systematic review reported low-quality evidence of the link between hypodontia and cancer [[39\]](#page-10-34).

Section and topic

Introduction Background/ rationale

Item no.

Considering the constraints of our study, the relatively small sample size may have afected the precision of the associations observed. Consequently, a larger sample size is required to corroborate our fndings. Furthermore, our investigation was confned to a limited number of SNPs and preselected genes. Furthermore, we restricted our research to the Saudi Arabian population, and therefore, the results may not be applicable to other populations. Nonetheless, despite these limitations, our data offer important insights into the application of machine learning in the evaluation of the genetic risk of hypodontia.

Conclusions

Our study identifed an association between *AXIN2* and hypodontia in the studied population and highlighting the importance of utilizing machine learning in hypodontia research. Further research with a larger sample size and more SNPs is recommended to explore the validity of machine learning. Additionally, our study could guide orthodontic and dental practices in early genetic diagnosis using noninvasive saliva sampling to facilitate prevention and treatment options for orthodontic patients with hypodontia.

Appendix 1 STROBE statement—checklist of items that should be included in reports of observational studies

Abbreviations

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Author contributions

N.A. contributed to conception, design, data acquisition and interpretation, drafted and critically revised the manuscript. A.A. contributed to conception, design, interpretation, and critically revised the manuscript. B.A. contributed to conception, interpretation, and critically revised the manuscript. M.A. contributed to analysis, interpretation, and critically revised the manuscript. G.A. contributed to data acquisition and interpretation, and critically revised the manuscript. F.A. contributed to data acquisition and interpretation, and critically revised the manuscript. O.A. contributed to analyses, interpretation, and critically revised the manuscript. H.A contributed to analyses, interpretation, and critically revised the manuscript. All authors gave their fnal approval and agree to be accountable for all aspects of the work.

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Availability of data and materials

The datasets used and analyzed in the present study are available from the corresponding author upon reasonable request.

Declarations

Ethics approval and consent to participate Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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