



# Article Conventional Spinal Chordomas: Investigation of SMARCB1/INI1 Protein Expression, Genetic Alterations in SMARCB1 Gene, and Clinicopathological Features in 89 Patients

Margherita Maioli <sup>1</sup><sup>(D)</sup>, Stefania Cocchi <sup>1,\*</sup><sup>(D)</sup>, Marco Gambarotti <sup>1,\*</sup><sup>(D)</sup>, Stefania Benini <sup>1</sup>, Giovanna Magagnoli <sup>1</sup><sup>(D)</sup>, Gabriella Gamberi <sup>1</sup>, Cristiana Griffoni <sup>2</sup><sup>(D)</sup>, Alessandro Gasbarrini <sup>2</sup>, Riccardo Ghermandi <sup>2</sup>, Luigi Emanuele Noli <sup>2</sup>, Chiara Alcherigi <sup>2</sup>, Cristina Ferrari <sup>3</sup><sup>(D)</sup>, Giuseppe Bianchi <sup>4</sup><sup>(D)</sup>, Sofia Asioli <sup>5,6</sup><sup>(D)</sup>, Elettra Pignotti <sup>1</sup> and Alberto Righi <sup>1</sup><sup>(D)</sup>

- <sup>1</sup> Department of Pathology, IRCCS Istituto Ortopedico Rizzoli, 40136 Bologna, Italy
- <sup>2</sup> Department of Spine Surgery, IRCCS Istituto Ortopedico Rizzoli, 40136 Bologna, Italy
- <sup>3</sup> Experimental Oncology Laboratory, IRCCS Istituto Ortopedico Rizzoli, 40136 Bologna, Italy
- <sup>4</sup> Department of Orthopedic Oncology, IRCCS Istituto Ortopedico Rizzoli, 40136 Bologna, Italy
- <sup>5</sup> Department of Biomedical and Neuromotor Sciences (DIBINEM),
- Alma Mater Studiorum—University of Bologna, 40126 Bologna, Italy
- <sup>5</sup> IRCCS Istituto delle Scienze Neurologiche di Bologna, 40139 Bologna, Italy
- \* Correspondence: stefania.cocchi@ior.it (S.C.); marco.gambarotti@ior.it (M.G.); Tel.: +39-051-63666665 (S.C. & M.G.)

**Simple Summary:** Alterations in the SMARCB1/INI1 expression pattern have been detected in many tumors, including chordomas. We studied a large group of patients with conventional spinal chordomas, and the aims were to assess the differences in the immunohistochemical expression of SMARCB1/INI1 and the underlying alterations in the *SMARCB1* gene and to investigate the correlation between clinicopathological features and patient survival. Partial SMARCB1/INI1 loss was identified in several patients, and this pattern correlated with mobile spine location and inadequate surgical margins. Moreover, mobile spine tumor location and inadequate surgical margins negatively impacted disease-free survival. The complete loss of SMARCB1/INI1 is currently ongoing as a target for molecular therapy; therefore, the partial loss of SMARCB1/INI1 in tumors could also have therapeutic implications.

Abstract: The partial loss of SMARCB1/INI1 expression has recently been reported in skull base conventional chordomas, with possible therapeutic implications. We retrospectively analyzed 89 patients with conventional spinal chordomas to investigate the differences in the immunohistochemical expression of SMARCB1/INI1 and the underlying genetic alterations in the SMARCB1 gene. Moreover, we assessed the correlation of clinicopathological features (age, gender, tumor size, tumor location, surgical margins, Ki67 labelling index, SMARCB1/INI1 pattern, previous surgery, previous treatment, type of surgery, and the Charlson Comorbidity Index) with patient survival. Our cohort included 51 males and 38 females, with a median age at diagnosis of 61 years. The median tumor size at presentation was 5.9 cm. The 5-year overall survival (OS) and 5-year disease-free survival (DFS) rates were 90.8% and 54.9%, respectively. Partial SMARCB1/INI1 loss was identified in 37 (41.6%) patients with conventional spinal chordomas (27 mosaic and 10 clonal). The most frequent genetic alteration detected was the monoallelic deletion of a portion of the long arm of chromosome 22, which includes the SMARCB1 gene. Partial loss of SMARCB1/INI1 was correlated with cervicalthoracic–lumbar tumor location (p = 0.033) and inadequate surgical margins (p = 0.007), possibly due to the high degree of tumor invasiveness in this site. Among all the considered clinicopathological features related to patient survival, only tumor location in the sacrococcygeal region and adequate surgical margins positively impacted DFS. In conclusion, partial SMARCB1/INI1 loss, mostly due to 22q deletion, was detected in a significant number of patients with conventional spinal chordomas and was correlated with mobile spine location and inadequate surgical margins.



Citation: Maioli, M.; Cocchi, S.; Gambarotti, M.; Benini, S.; Magagnoli, G.; Gamberi, G.; Griffoni, C.; Gasbarrini, A.; Ghermandi, R.; Noli, L.E.; et al. Conventional Spinal Chordomas: Investigation of SMARCB1/INI1 Protein Expression, Genetic Alterations in *SMARCB1* Gene, and Clinicopathological Features in 89 Patients. *Cancers* 2024, *16*, 2808. https://doi.org/10.3390/ cancers16162808

Academic Editor: Catrin Sian Rutland

Received: 19 June 2024 Revised: 5 August 2024 Accepted: 6 August 2024 Published: 9 August 2024



**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/).

#### Keywords: conventional chordoma; SMARCB1/INI1; SMARCB1 gene; FISH analysis

## 1. Introduction

Chordomas are rare malignant neoplasms that develop from embryonic remnants of the notochord. They exhibit distinct histotypes (conventional, poorly differentiated, and dedifferentiated) with different clinical behavior [1]. Conventional chordoma accounts for approximately 95% of cases [1,2]. Chordomas are locally destructive tumors characterized by very slow growth, with possible local recurrence and metastases. The 5- and 10-year OS rates are estimated to be 68.4% and 39.2%, respectively, and the 5- and 10-year DFS rates are 80.9% and 60.1%, respectively [3]. The diagnostic hallmark of chordomas is the nuclear expression of the brachyury protein [1,4]. Complete loss of the SMARCB1/INI1 nuclear protein has also been reported as a peculiar feature of poorly differentiated chordoma [3,5,6]. Recently, the partial loss of SMARCB1/INI1 protein expression has been detected in conventional chordomas localized in the skull base [7]. SMARCB1/INI1 is a tumor suppressor encoded by the SMARCB1 gene (SWI/SNF-related, matrix-associated, actin-dependent regulator of chromatin, subfamily B, member 1), which is located on the long arm of chromosome 22 (22q11.23). This protein is part of the multisubunit 'SWItch/Sucrose Non-Fermentable ATP-dependent chromatin remodelling complex' (SWI/SNF), which regulates different cellular mechanisms, including gene expression and cell proliferation and differentiation [8,9]. Abnormal expression of SMARCB1/INI1 has been detected extensively in different tumor types, and three distinct expression patterns have been identified: complete loss, partial loss, and reduced expression [10,11]. However, the type of abnormal expression pattern and the type of mutation in the SMARCB1 gene do not always match; in some cases, no DNA or RNA changes are detected [10]. Among tumors with focal expression of SMARCB1/INI1, different types of genetic alterations have been described, the most frequent being the monoallelic deletion of a portion of the long arm of chromosome 22, which includes the SMARCB1 gene [7,10]. However, several studies have revealed that SMARCB1/INI1-deficient tumors, despite being very different from each other in location and type, generally share an aggressive clinical course with high local recurrence rates and a prognosis that is often poor [11–14].

From a treatment perspective, chordoma appears to be resistant to common chemotherapy, and clinical studies are currently ongoing to treat some of these forms with new targeted molecules, including tyrosine kinase inhibitors, CDK4 inhibitors, and immunotherapy based on monoclonal antibodies [2,3,15]. Specifically, the complete loss of SMARCB1/INI1 expression is considered a marker for the evaluation of the effectiveness of Enhancer of Zeste Homolog 2 (EZH2) inhibitors (Tazemetostat) [15,16]. The most frequent cytogenetic abnormalities observed in conventional chordomas are monosomy of chromosome 1 and copy number gains of chromosomes 2, 6, and 7 [1,3]. Loss of chromosome 22 and/or genetic alterations in the *SMARCB1* gene seem to be rare [17–19].

This study aimed to compare SMARCB1/INI1 protein expression patterns in spinal conventional chordomas with genetic alterations detectable in the *SMARCB1* gene by FISH, clinicopathological features, OS, and DFS.

### 2. Materials and Methods

A retrospective study of 89 patients with conventional spinal chordoma diagnosed at the Anatomy and Pathological Histology Unit of the Rizzoli Orthopedic Institute from 2010 to 2019 was carried out. In order to perform morphological, immunohistochemical, and molecular analyses, a formalin-fixed paraffin-embedded (FFPE) tumor tissue sample of adequate size and quality was used, after selection by pathologists (MG and AR). The diagnosis of all the original tumor slides was confirmed independently by two pathologists (MG and AR) via the immunohistochemical expression of brachyury and pan-cytokeratin AE1/AE3. The clinicopathological parameters investigated were: age, gender, tumor size, tumor location, surgical margins, Ki67 labelling index, SMARCB1/INI1 pattern, previous surgery, previous treatment, type of surgery, and comorbidities. The surgical margins were classified according to the Enneking classification [20] and to the Weinstein–Boriani–Biagini (WBB) system [21]. The comorbidities were evaluated by the Charlson Comorbidity Index (CCI) [22]. Ethical committee approval was obtained from the Comitato Etico di Area Vasta Emilia Centro on 27/04/2023 (protocol # CE AVEC: 312/2023/Oss/IOR). As a comparison group, 4 patients with poorly differentiated chordoma were included in the analysis.

Immunohistochemical staining was performed using an automated immunostainer following the manufacturer's guidelines (Ventana BenchMark-Ventana Medical Systems, Tucson, AZ, USA), with a mouse monoclonal anti-INI-1 antibody at a concentration of 0.4  $\mu$ g/mL (MRQ-27; Cell Marque, Rocklin, CA, USA) and a rabbit monoclonal primary anti-Ki-67 antibody at a concentration of 0.2  $\mu$ g/mL (clone 30-9, Ventana). The immuno-histochemical evaluation was executed independently by two pathologists to determine the percentage of proliferating cells (Ki67 labelling index) and to select only samples with partial SMARCB1/INI1 expression and a minimum 10% cut-off of neoplastic nuclei. Regarding SMARCB1/INI1, both patients with mosaic expression (defined by the presence of negative nuclei mixed with positive nuclei) and patients with clonal expression (characterized by the presence of a completely negative high-magnification field alongside a fully positive high-magnification field) were considered eligible; homogeneous nuclear staining in the background of inflammatory cells, stromal fibroblasts, normal epithelial cells, and/or vascular endothelial cells were used as an internal control.

FISH for the SMARCB1 gene was performed using a commercial SPEC SMARCB1/22q12 Dual color CE/IVD Probe (ZytoVision, Bremerhaven, Germany). The analysis was performed on conventional chordomas with focal SMARCB1/INI1 expression and four poorly differentiated chordomas. The probe included a 545 kb sequence mapped to the 22q11.23 region (ZyGreen fluorochrome label) harboring the SMARCB1 gene and a 335 kb sequence mapped to the 22q12.1–q12.2 region (ZyOrange fluorochrome label) harboring the KRE-MEN1 gene, which was used as an internal control region to detect large chromosome 22q deletions. FISH was performed on interphase nuclei using the Histology FISH accessory kit (Dako, Glostrup, Denmark) according to the manufacturer's protocol [23], as previously described [7]. For each slide, a minimum of 100 intact nuclei within the tumor area previously marked by the pathologist were scored using a BX41 fluorescence microscope (Olympus, Tokyo, Japan) at  $100 \times$  magnification, and visible alteration in at least 10% of the cells was considered a positive result. Nuclei with no signal or signals in overlapping nuclei were considered non-informative and were not analyzed. A Color View III CCD camera soft imaging system (Olympus) was used to capture images, which were subsequently analyzed with CytoVision imaging software version 7.5 (Leica Biosystem Richmond Inc., Richmond, IL, USA). The presence of two green signals and two orange signals in a 1:1 ratio was considered the normal copy number pattern; any FISH signals differing from this pattern were classified as altered. The detection of one green signal and one orange signal indicated a monoallelic co-deletion of SMARCB1 and the control region, which was classified as a monoallelic 22q large deletion, and the presence of additional copies of both green and orange signals indicated a copy number gain (CNG) of chromosome 22.

OS was defined as the time between the date of diagnosis and the date of death or the last follow-up, and DFS was defined as the time between the first disease relapse or metastasis and the last follow-up. Descriptive statistics were used to report patient and clinical characteristics. All the continuous data were expressed as the means and the standard deviations of the means; the categorical data were expressed as frequencies and percentages. Fisher's chi-square exact test was used to analyze dichotomous variables. Pearson's chi-square exact test was performed to investigate categorical variables. Kaplan-Meier survival analyses with the log-rank test were performed to assess the influence of the different parameters on OS and DFS. For all the tests, p < 0.05 was considered as statistically significant. All the statistical analyses were performed using SPSS v.19.0 (IBM Corp., Armonk, NY, USA).

# 3. Results

Table 1 summarizes the main clinicopathological features of 89 patients with conventional spinal chordomas.

|--|

Parameters	All Samples (n = 89)
Gender (N, %)	
Male	51 (57.3%)
Female	38 (42.7%)
Age (median, range in years)	61 (17–86)
Age (N, %)	
$\leq 60$ years	42 (47.2%)
>60 years	47 (52.8%)
Tumor size (N, %)	
<5 cm	36 (40.4%)
$\geq$ 5 cm	39 (43.9%)
Not available	14 (15.7%)
Tumor localization	
Cervical-thoracic-lumbar region	43 (48.3%)
Sacrococcygeal region	46 (51.7%)
Surgical margin	
Adequate	45 (50.6%)
Inadequate	25 (28.1%)
Not available	19 (21.3%)
Ki-67 index (median, range)	3 (1–12)
Ki-67 index (N, %)	
$\leq$ 3%	43 (48.3%)
>3%	37 (41.6%)
Not evaluable	9 (10.1%)
SMARCB1/INI1 immunohistochemical expression (N, %)	
Positive	52 (58.4%)
Positive/negative	37 (41.6%)
Previous surgery	
No	53 (59.6%)
Yes	21 (23.6%)
Not available	15 (16.9%)
Previous treatment	
No	59 (66.3%)
Yes	14 (15.7%)
Not available	16 (18%)
Type of surgery	
En bloc resection	54 (60.7%)
Other surgery	16 (18%)
No surgery	19 (21.3%)
Charlson Comorbidity Index (CCI)	
Mean (SD)	4.1 (0.260)

The dataset included 51 (57.3%) males and 38 (42.7%) females, with a median age at diagnosis of 61 years (range 17–86). Clinically, 43 (48.3%) tumors were located in the cervical–thoracic–lumbar region (mobile spine), while 46 (51.7%) were located in the sacrococcygeal region. The median tumor size at presentation was 5.9 cm (range 1.4–16 cm). The mean CCI of the population was 4.1. Twenty-one patients (23.6%) underwent previous surgical treatment, and 14 patients (15.7%) underwent previous systemic therapy and/or radiotherapy for the same tumor.

Among the 70 patients who underwent surgical resection, 45 patients (50.6%) had adequate surgical margins (wide and radical), while 25 (28.1%) had inadequate surgical margins (intralesional and marginal), according to the Enneking classification [20] (Table S1). Among the remaining 19 inoperable patients, 12 were treated with carbon ion therapy, 3 with proton therapy, and 1 with radiation and chemotherapy; for 3 patients only biopsy information was available without follow-up data. Of the cases with inadequate margins, nine cases were localized at the cervical region, seven cases were localized at the thoracic–lumbar region (six patients were previously treated with surgery at other centers), and nine cases were localized at the sacrococcygeal region (three patients were previously treated with surgery at other centers). When feasible, a classification according to the WBB system [21] was performed and all 10 tumors analyzed had very large extensions with both extra-osseous and intracanal components (Table 2), which did not allow resection with wide margins.

Case Number	<b>Tumor Localization</b>	WBB Classification	<b>Revision Surgery</b>
1	L3	layers A–E; zones 12–1	NO
2	sacrum	n.a.	NO
7	C4–C5	layers C–E, zones 8–5	NO
15	sacrum	n.a.	NO
19	sacrum	n.a.	NO
25	L5	n.a.	YES
29	C2	layers A–E; zones 11–7	NO
34	C3	layers A–E; zones 2–8	NO
35	C2	layers A–E; zones 9–4	NO
40	L3	n.a.	YES
42	sacrum	n.a.	YES
44	C2–C3	layers A–E; zones 6–2	NO
45	L4–L5	n.a.	YES
48	L2	n.a.	YES
52	sacrum	n.a.	YES
58	T2–T3	n.a.	YES
64	Т9	layers A–E; zones 9–1	YES
66	C2	layers A–E; zones 7–4	NO
68	C2	layers A–E; zones 11–5	NO
71	C5–C6	n.a.	YES
72	coccyx	n.a.	NO
73	sacrum	n.a.	YES
78	sacrum	n.a.	NO
79	C1–C2	layers A–E; zones 6–3	NO
89	sacrum	n.a.	NO
. 1. 1.1 1	(1) 11		6.1 1 6

**Table 2.** The WBB classification of patients with surgical inadequate margins.

n.a. = not applicable, because of localization on the sacrococcygeal region or because of the absence of preoperative imaging.

The median Ki-67 labelling index was 3% (range 1–12%), excluding nine non-evaluable cases (absence of positive internal controls in normal bone marrow cells). The SMARCB1/INI1 immunohistochemical analyses revealed a partial loss of SMARCB1/INI1 (range 10–80%) in 37 (41.6%) patients, while 52 (58.4%) patients exhibited complete protein expression in all neoplastic cells (Table S1). In the 37 patients with focal SMARCB1/INI1 loss, 2 different staining patterns were identified: 27 cases had a mosaic expression pattern (with mixed negative and positive nuclei), while 10 cases had a clonal expression pattern (with separate fully negative and fully positive high-magnification fields) (Figure 1A,B). The four poorly differentiated chordomas exhibited complete loss of SMARCB1/INI1 in all the evaluated neoplastic cells.



**Figure 1.** (**A**) Case n.25 showing clonal expression of SMARCB1/INI1; (**B**) case n.44 showing mosaic expression of SMARCB1/INI1.

Partial loss of the immunohistochemical expression of SMARCB1/INI1 was significantly associated with localization in the cervical–thoracic–lumbar region (p = 0.033) and inadequate surgical margins (p = 0.007). No significant associations were found with gender, age at diagnosis, tumor size, or Ki67 index (Table 3).

	SMARCB1/INI1 + (n = 52)	SMARCB1/INI +/- (n = 37)	<i>p</i> -Value
Gender (N, %)			
Male	28 (53.8%)	23 (62.2%)	0.516
Female	24 (46.2%)	14 (37.8%)	
Age (median, range in years)	61.5 (28–86)	59 (17–79)	0.511
Age (N, %)			
$\leq 60$ years	22 (42.3%)	20 (54.1%)	0.291
>60 years	30 (57.7%)	17 (45.9%)	
Tumor size (N, %)			
<5 cm	20 (38.5%)	16 (43.2%)	
$\geq$ 5 cm	20 (38.5%)	19 (51.4%)	0.818
Not available	12 (23%)	2 (5.4%)	
Tumor localization			
Cervical-thoracic-lumbar region	20 (38.5%)	23 (62.2%)	0.033
Sacrococcygeal region	32 (61.5%)	14 (37.8%)	
Surgical margin			
Adequate	30 (57.7%)	15 (40.5%)	
Inadequate	8 (15.3%)	17 (46%)	0.007
Not available	14 (27%)	5 (13.5%)	
Ki-67 index	2(1, 100/)	2(1,00/)	0.450
(median, range in percentage)	3 (1-12%)	3 (1-9%)	0.459
Ki-67 index (N, %)			
$\leq 3\%$	26 (50%)	17 (46%)	
>3%	24 (46.2%)	13 (35%)	0.817
Not evaluable	2 (3.8%)	7 (19%)	

Table 3. Clinicopathological features according to SMARCB1/INI1 immunohistochemical expression.

Statistically significant *p* values are shown in red color.



The FISH analysis performed on 37 conventional spinal chordoma patients with focal SMARCB1/INI1 loss revealed three possible molecular patterns (Figure 2).

**Figure 2.** (**A**) Normal nucleus, with two signals for the control region (orange) and two signals for the *SMARCB1* gene (green); (**B**) nucleus with monoallelic deletion, with only one signal for the control region (orange) and only one signal for the *SMARCB1* gene (green); (**C**) nucleus with CNG, with three or more signals for both the control region (orange) and *SMARCB1* gene (green).

Monoallelic deletion of the *SMARCB1* gene associated with co-deletion of the control region was observed in 16 cases of conventional chordoma (range 26–94%) (Figure 3A,B); 5 of these also had nuclei with additional copies of both signals (Figure 3C,D). One case exhibited only nuclei with CNG and none with deletions. Due to poor tissue quality, 20 samples did not show hybridized signals and were considered inadequate for FISH scoring (Table S1). Considering the two different staining patterns of focal SMARCB1/INI1 expression, all 10 cases with mosaic patterns had a monoallelic 22q deletion (range 30–94%), 3 of these cases also had nuclei with CNG of both signals; 5 of 6 cases with clonal patterns had a monoallelic 22q deletion (range 26–81%); 2 of these cases also had nuclei with extra copies of *SMARCB1* and the control region, whereas 1 case had only nuclei with CNG of both signals.



**Figure 3.** (**A**,**B**) Nuclei with monoallelic co-deletion of the *SMARCB1* gene and the control region from cases n.58 and n.21, respectively; (**C**,**D**) nuclei with CNG from cases n.37 and n.66, respectively.

In the four cases of poorly differentiated chordoma, FISH analyses revealed biallelic *SMARCB1* deletions in two cases, a monoallelic deletion in one case, and a pattern with a monoallelic *SMARCB1* deletion associated with an additional control region signal in one case. The average follow-up duration after treatment completion was 66 months (range 2–148). The 5-year OS and 5-year DFS rates were 90.8% (SE 3.6%) and 54.9% (SE 6%), respectively. Univariate analysis revealed worse overall survival for patients older than 60 years (p = 0.046). The risk of local recurrence or metastasis was greater for patients with a tumor in the cervical–thoracic–lumbar region (p = 0.017), for those with inadequate surgical margins (p = 0.009), and for patients who underwent a previous surgery for the same tumor (p < 0.0005) (Table 4; Figures 4 and 5). Moreover, the presence of comorbidities significantly affected both OS and DFS, as shown in Tables 4 and 5.

5 Years-OS % (SE) p-Value 5 Years—DFS % (SE) p-Value **Entire sample** 90.8% (3.6%) 54.9% (6%) Gender (N, %) Male 91% (5%) 0.731 0.728 51.1% (8.1%) Female 89.7% (5.6%) 59.8% (8.8%) Age (N, %)  $\leq 60$  years 96.8% (3.2%) 0.046 51.9% (8.3%) 0.907 >60 years 85.1% (6.2%) 58.3% (8.5%) Tumor size (N, %) 90.5% (5.2%) 52.7% (9%) <5 cm 0.800 0.486 >5 cm 94.4% (5.4%) 49.7% (9.3%) **Tumor** localization Cervical-thoracic-lumbar region 87.7% (5.8%) 0.477 44.2% (8.5%) 0.017 Sacrococcygeal region 94.6% (3.7%) 64.8% (8.1%) Surgical margin Adequate 96.8% (3.2%) 0.065 61% (8%) 0.009 Inadequate 82.2% (9.3%) 23.2% (10.4%) Ki-67 index (N, %)  $\leq 3\%$ 89.6% (5.7%) 0.648 60.5% (7.9%) 0.125 >3% 96.7% (3.3%) 47.3% (9.1%) SMARCB1/INI1 immunohistochemical expression (N, %) Positive 94.8% (3.6%) 0.210 58.6% (8.8%) 0.275 Positive/negative 85.5% (6.8%) 49.4% (9.1%) **Previous surgery** No 88.9% (4.8%) 0.98 66.3% (7.5%) < 0.0005 93.7% (7.4%) Yes 25.3% (10.4%) **Previous treatment** 90.6% (4.5%) 0.858 54.4% (7.4%) No 0.56 Yes 90.0% (9.5%) 58.4% (14.5%) Type of surgery En bloc resection 88.7% (4.8%) 0.693 0.899 61.6% (7.2%) Other 90.0% (9.5%) 44.7% (17.1%) **Charlson Comorbidity Index (CCI)** 92.3% 0.076 63.0% (7.3%) 0.011  $\leq 4$ >4 83.8% 39.3% (10.2%)

Table 4. Results from univariate Kaplan-Meier models for OS and DFS.

Statistically significant *p* values are shown in red color.



Figure 4. Kaplan–Meier survival analyses for age and gender features.

The results of the multivariate analysis demonstrated that the inadequate surgical margin and an age older than 60 years significantly impaired the OS (Table 6). The risk of local recurrence or metastases was increased by a higher Ki67 index, by an inadequate surgical margin, and by a high CCI: with the same surgical margin and Ki67 scores, the increase of 1 unit of the CCI increases the risk by 40.5% (Table 7). It should be noted that the CCI includes the age, and all patients older than 60 years have a CCI higher than 4.



**Figure 5.** Kaplan–Meier survival analyses for size and tumor localization, surgical margins, Ki-67 index, and SMARCB1/INI1 immunohistochemical expression.

		u Value		95.0% CI		
5 years—OS		<i>p</i> -value	нк	Inferior	Superior	
- <b>)</b>	CCI	0.043	1.694	1.018	2.820	
				95.0% CI		
5 years—DFS		<i>p</i> -value	HK	Inferior	Superior	
	CCI	0.078	1.222	0.978	1.528	
Statistically significant <i>p</i> values are shown in red color.						

Table 5. Univariate analysis for CCI as continuous variable.

**Table 6.** Multivariate analysis for overall survival.

		u Valua	HR	95.0% CI		
		<i>p</i> -value		Inferior	Superior	
Phase 1	CCI	0.788	1.110	0.519	2.373	
	margin (1 vs. 0) *	0.006	29.965	2.619	342.854	
	age (>60 vs. ≤60)	0.050	19.600	1.001	383.640	
Phase 2 —	margin (1 vs. 0) *	0.006	30.049	2.634	342.745	
	age (>60 vs. ≤60)	0.012	24.592	2.019	299.586	

 $\overline{0}$  = adequate margin; 1 = inadequate margin. Statistically significant *p* values are shown in red color.

 Table 7. Multivariate analysis for disease-free survival.

		n-Valuo	Value HR	95.0% CI	
		<i>p</i> -value		Inferior	Superior
	Ki67	0.037	1.216	1.012	1.461
	margin (1 vs. 0) *	0.036	2.501	1.060	5.904
	localization	0.233	0.530	0.187	1.504
Phase 1	previous surgery	0.321	1.489	0.678	3.270
	CCI	0.008	1.526	1.119	2.079
	type of surgery (other)	0.556	0.681	0.189	2.447
	type of Surgery(en bloc resection)	0.868	0.913	0.313	2.664
Phase 2	Ki67	0.033	1.216	1.016	1.455
	margin (1 vs. 0) *	0.026	2.598	1.119	6.032
	localization	0.210	0.548	0.214	1.403
	previous surgery	0.279	1.529	0.709	3.298
	CCI	0.004	1.513	1.141	2.007
Phase 3 –	Ki67	0.032	1.216	1.017	1.453
	margin (1 vs. 0) *	0.018	2.771	1.195	6.429
	localization	0.203	0.547	0.216	1.383
	CCI	0.004	1.517	1.143	2.013
Phase 4	Ki67	0.061	1.188	0.992	1.421
	margin (1 vs. 0) *	0.019	2.667	1.173	6.059
	CCI	0.004	1.502	1.142	1.976

\*0 = adequate margin; 1 = inadequate margin. Statistically significant *p* values are shown in red color.

## 4. Discussion

Conventional spinal chordoma is a rare, slow-growing, locally aggressive malignant neoplasm [1,2]. In recent years, an increasing number of tumors, including poorly differentiated chordomas, have been found to exhibit complete loss of SMARCB1/INI1 protein expression. In many patients, molecular analyses of the SMARCB1 gene revealed a biallelic deletion [3,11]. Recently, conventional skull base chordomas have also been investigated by immunohistochemistry, and partial loss of SMARCB1/INI1 was identified [7]. In our study, the immunohistochemical pattern of SMARCB1/INI1 in conventional spinal chordomas was analyzed for the first time, and partial loss of SMARCB1/INI1 was observed in 41.6% of cases. In particular, two distinct expression patterns were detected, mosaic and, less frequently, clonal, confirming what has been previously reported on conventional skull base chordomas [7]. From a molecular perspective, several types of genetic alterations have been described among tumors with focal expression, but the most frequent is the monoallelic deletion of a portion of the long arm of chromosome 22 (involving SMARCB1) [7,10,16]. However, the genomic studies in the literature revealed that the loss of chromosome 22 or the monoallelic deletion of SMARCB1 is rare in conventional spinal chordomas [17,18]. In our series, we genetically investigated only conventional chordomas with impaired SMARCB1/INI1 pattern expression, and in 43.2% of the feasible cases, a monoallelic co-deletion of the SMARCB1 gene and the control region was observed. To evaluate the SMARCB1 locus at chromosome 22q, we used FISH analysis with a CE-IVD probe. Due to cross-hybridization of chromosome 22 alpha satellites to other centromeric regions, a probe mapped to the 22q12.1-q12.2 region was used as an internal control, which has already been proven to be a reliable control for investigating large deletions [24]. Heterozygous partial deletion of the long arm of chromosome 22 was confirmed as the main molecular mechanism underlying the focal expression of the SMARCB1/INI1 protein. Specifically, the chordomas with mosaic SMARCB1/INI1 expression showed mainly monoallelic 22q deletion, whereas the cases with clonal SMARCB1/INI1 expression were associated with different types of genetic patterns. Nuclei with additional copies of the SMARCB1 gene and 22q12 control region were also frequently detected in several subclones of cases with deletion, confirming a previously described event [7,16,19]. However, point mutations in SMARCB1 were not investigated in our study, and epigenetic alterations or post-translational modifications might play an additional role in interpreting the large genetic variability associated with the phenotypic expression of SMARCB1/INI1. We observed that partial loss of SMARCB1/INI1 was significantly associated with the cervicalthoracic–lumbar region (p = 0.033) and inadequate surgical margins (p = 0.007), suggesting that partial loss of the protein might be associated with increased clinical aggressiveness. A possible reason for the correlation between partial SMARCB1/INI1 loss and inadequate margins could be the major extra-osseous and intracanal involvement of the tumors in the mobile spine, thus increasing the difficulty in obtaining adequate surgical margins. Indeed, 37.5% of patients with inadequate surgical margins were treated for local recurrence of the tumor. The statistical analysis, moreover, indicated the localization in the mobile spine and the presence of surgical inadequate margins as negative prognostic factors in terms of the disease-free survival (p = 0.017 and p = 0.009, respectively), unlike the cases located in the skull base, where no correlations were found between the partial loss of SMARCB1/INI1 and the clinicopathological parameters evaluated [7]. The multivariate analyses revealed the most crucial factors to be monitored for patient prognosis. The presence of inadequate surgical margins was confirmed as the prevalent risk factor both for OS and DFS; moreover, an age older than 60 years also significantly impaired the OS, whereas DFS was also associated with a high Ki67 index and by a high CCI.

Due to the difficulty in surgically eradicating tumors and the known resistance of chordoma to common chemotherapies [25,26], new molecular targets are being investigated to properly treat these tumors [15]. Increasing knowledge of SMARCB1/INI1 function has enabled the identification of specific targets, including the EZH2 gene. This target is a catalytic subunit of the polycomb repressive complex 2 (PRC2), which plays a role in

the chromatin regulation, in cell fate determination, and in cellular differentiation and is often up-regulated in tumors with a loss of SMARCB1/INI1 [8,27,28]. An increase in EZH2 expression correlates with tumor aggressiveness [28], and specifically, this mechanism has been associated with the progression of chordomas [29]. Thus, clinical trials on inhibitors of the EZH2 enzyme are currently underway in tumors with complete loss of SMARCB1/INI1 expression, including poorly differentiated chordomas (ClinicalTrials.gov Identifiers: NCT02601950 and NCT05407441) [30–32]. These trials show the safety tolerability and effectiveness of the drug, with the possibility of use in other types of malignancies [2,3,28]; specifically, the potential use of EZH2 inhibitors could also be promising for patients with partial SMARCB1/INI1 loss, but it needs further exploration.

### 5. Conclusions

In conclusion, we retrospectively analyzed 89 cases of conventional spinal chordoma, and two distinct expression patterns (mosaic and clonal) of partial SMARCB1/INI1 loss were observed. The most frequent molecular alteration detected in conventional chordoma was the monoallelic deletion of the 22q locus (including *SMARCB1* gene). Partial loss of SMARCB1/INI1 was significantly associated with location in the mobile spine and inadequate surgical margins. Inadequate surgical margins, a high Ki67 index, a high CCI, and an age older than 60 years were also associated with a worse prognosis. Treatments with inhibitors of the EZH2 enzyme are currently ongoing in tumors with complete loss of SMARCB1/INI1 expression; therefore, tumors with partial loss of SMARCB1/INI1 could also have therapeutic implications.

**Supplementary Materials:** The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/cancers16162808/s1.

Author Contributions: Conceptualization, A.R.; formal analysis, E.P.; investigation, M.M., S.C., G.G. and S.B.; resources, G.B., A.G. and R.G.; data curation, C.G., L.E.N., C.A., A.R, M.M., M.G. and C.F.; writing—original draft, M.M., S.C., C.G. and E.P.; writing—review and editing, A.R., M.G., S.B., G.M., G.G., S.C., M.M., C.G., C.F. and R.G.; funding acquisition, S.A. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was supported by funds for selected research topics from the Fondazione CARISBO Project (#19344).

**Institutional Review Board Statement:** The study was conducted according to the guidelines of the Declaration of Helsinki and approved by the Ethics Committee of Area Vasta Emilia Centro on 27/04/2023 (protocol # CE AVEC: 312/2023/Oss/IOR).

Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

**Data Availability Statement:** The original contributions presented in the study are included in the article. Further inquiries can be directed to the corresponding authors.

**Acknowledgments:** We are grateful to Muscolo skeletal tumor biobank – biobanca dei tumori muscoloscheletrici (BIOTUM)—member of the CRB-IOR—which provided us with the biological samples. The authors thank Cristina Ghinelli for her help in the graphic design and Monica Contoli for recovery of archival material.

Conflicts of Interest: The authors declare no conflicts of interest.

## References

- 1. WHO Classification of Tumours Editorial Board (Ed.) WHO Classification of Tumours 5th Edition: Soft Tissue and Bone Tumours, 5th ed.; WHO Press: Geneva, Switzerland, 2020.
- Wedekind, M.F.; Widemann, B.C.; Cote, G. Chordoma: Current status, problems, and future directions. *Curr. Probl. Cancer* 2021, 45, 100771. [CrossRef]
- 3. Ulici, V.; Hart, J. Chordoma. Arch. Pathol. Lab. Med. 2022, 146, 386-395. [CrossRef]
- 4. Vujovic, S.; Henderson, S.; Presneau, N.; Odell, E.; Jacques, T.S.; Tirabosco, R.; Boshoff, C.; Flanagan, A.M. Brachyury, a crucial regulator of notochordal development, is a novel biomarker for chordomas. *J. Pathol.* **2006**, 209, 157–165. [CrossRef]

- 5. Shih, A.R.; Chebib, I.; Deshpande, V.; Dickson, B.C.; Iafrate, A.J.; Nielsen, G.P. Molecular characteristics of poorly differentiated chordoma. *Genes Chromosomes Cancer* **2019**, *58*, 804–808. [CrossRef]
- Mobley, B.C.; McKenney, J.K.; Bangs, C.D.; Callahan, K.; Yeom, K.W.; Schneppenheim, R.; Hayden, M.G.; Cherry, A.M.; Gokden, M.; Edwards, M.S.B.; et al. Loss of SMARCB1/INI1 expression in poorly differentiated chordomas. *Acta Neuropathol.* 2010, 120, 745–753. [CrossRef]
- Righi, A.; Cocchi, S.; Maioli, M.; Zoli, M.; Guaraldi, F.; Carretta, E.; Magagnoli, G.; Pasquini, E.; Melotti, S.; Vornetti, G.; et al. SMARCB1/INI1 loss in skull base conventional chordomas: A clinicopathological and molecular analysis. *Front. Oncol.* 2023, 13, 1160764. [CrossRef]
- 8. Kalimuthu, S.N.; Chetty, R. Gene of the month: SMARCB1. J. Clin. Pathol. 2016, 69, 484–489. [CrossRef]
- 9. Centore, R.C.; Sandoval, G.J.; Soares, L.M.M.; Kadoch, C.; Chan, H.M. Mammalian SWI/SNF Chromatin Remodeling Complexes: Emerging Mechanisms and Therapeutic Strategies. *Trends Genet.* **2020**, *36*, 936–950. [CrossRef]
- 10. Kohashi, K.; Oda, Y. Oncogenic roles of SMARCB1/INI1 and its deficient tumors. Cancer Sci. 2017, 108, 547–552. [CrossRef]
- 11. Pawel, B.R. SMARCB1-deficient Tumors of Childhood: A Practical Guide. Pediatr. Dev. Pathol. 2018, 21, 6–28. [CrossRef]
- 12. Parker, N.A.; Al-Obaidi, A.; Deutsch, J.M. SMARCB1/INI1-deficient tumors of adulthood. F1000Research 2020, 9, 662. [CrossRef]
- Chitguppi, C.; Rabinowitz, M.R.; Johnson, J.; Bar-Ad, V.; Fastenberg, J.H.; Molligan, J.; Berman, E.; Nyquist, G.G.; Rosen, M.R.; Evans, J.E.; et al. Loss of SMARCB1 Expression Confers Poor Prognosis to Sinonasal Undifferentiated Carcinoma. *J. Neurol. Surg. Part B Skull Base* 2020, *81*, 610–619. [CrossRef]
- 14. Wang, J.; Andrici, J.; Sioson, L.; Clarkson, A.; Sheen, A.; Farzin, M.; Toon, C.W.; Turchini, J.; Gill, A.J. Loss of INI1 expression in colorectal carcinoma is associated with high tumor grade, poor survival, BRAFV600E mutation, and mismatch repair deficiency. *Hum. Pathol.* **2016**, *55*, 83–90. [CrossRef]
- 15. Chen, S.; Ulloa, R.; Soffer, J.; Alcazar-Felix, R.J.; Snyderman, C.H.; Gardner, P.A.; Patel, V.A.; Polster, S.P. Chordoma: A Comprehensive Systematic Review of Clinical Trials. *Cancers* 2023, *15*, 5800. [CrossRef]
- Wen, X.; Cimera, R.; Aryeequaye, R.; Abhinta, M.; Athanasian, E.; Healey, J.; Fabbri, N.; Boland, P.; Zhang, Y.; Hameed, M. Recurrent loss of chromosome 22 and SMARCB1 deletion in extra-axial chordoma: A clinicopathological and molecular analysis. *Genes Chromosomes Cancer* 2021, 60, 796–807. [CrossRef]
- 17. Choy, E.; MacConaill, L.E.; Cote, G.M.; Le, L.P.; Shen, J.K.; Nielsen, G.P.; Iafrate, A.J.; Garraway, L.A.; Hornicek, F.J.; Duan, Z. Genotyping cancer-associated genes in chordoma identifies mutations in oncogenes and areas of chromosomal loss involving CDKN2A, PTEN, and SMARCB1. *PLoS ONE* **2014**, *9*, e101283. [CrossRef]
- 18. Wang, L.; Zehir, A.; Nafa, K.; Zhou, N.; Berger, M.F.; Casanova, J.; Sadowska, J.; Lu, C.; Allis, C.D.; Gounder, M.; et al. Genomic aberrations frequently alter chromatin regulatory genes in chordoma. *Genes Chromosomes Cancer* **2016**, *55*, 591–600. [CrossRef]
- Curcio, C.; Cimera, R.; Aryeequaye, R.; Rao, M.; Fabbri, N.; Zhang, Y.; Hameed, M. Poorly differentiated chordoma with whole-genome doubling evolving from a SMARCB1- deficient conventional cordoma: A case report. *Genes Chromosomes Cancer* 2021, 60, 43–48. [CrossRef]
- Enneking, W.F.; Spanier, S.; Goodman, M.A. A system for the surgical staging of musculoskeletal sarcoma. *Clin. Orthop. Relat. Res.* 1980, 153, 106–120. [CrossRef]
- 21. Boriani, S.; Weinstein, J.N.; Biagini, R. Primary bone tumors of the spine. Terminology and surgical staging. *Spine* **1997**, *22*, 1036–1044. [CrossRef]
- 22. Charlson, M.E.; Pompei, P.; Ales, K.L.; MacKenzie, C.R. A new method of classifying prognostic comorbidity in longitudinal studies: Development and validation. *J. Chronic Dis.* **1987**, *40*, 373–383. [CrossRef] [PubMed]
- Cocchi, S.; Gamberi, G.; Magagnoli, G.; Maioli, M.; Righi, A.; Frisoni, T.; Gambarotti, M.; Benini, S. CIC rearranged sarcomas: A single institution experience of the potential pitfalls in interpreting CIC FISH results. *Pathol. Res. Pract.* 2022, 231, 153773. [CrossRef] [PubMed]
- Huang, S.C.; Zhang, L.; Sung, Y.S.; Chen, C.L.; Kao, Y.C.; Agaram, N.P.; Antonescu, C.R. Secondary EWSR1 gene abnormalities in SMARCB1-deficient tumors with 22q11-12 regional deletions: Potential pitfalls in interpreting EWSR1 FISH results. *Genes Chromosomes Cancer* 2016, 55, 767–776. [CrossRef] [PubMed]
- 25. Yakkioui, Y.; van Overbeeke, J.J.; Santegoeds, R.; van Engeland, M.; Temel, Y. Chordoma: The entity. *Biochim. Biophys. Acta* 2014, 1846, 655–669. [CrossRef] [PubMed]
- 26. Stacchiotti, S.; Sommer, J.; Chordoma Global Consensus Group. Building a global consensus approach to chordoma: A position paper from the medical and patient community. *Lancet Oncol.* **2015**, *16*, e71–e83. [CrossRef]
- 27. Duan, R.; Du, W.; Guo, W. EZH2: A novel target for cancer treatment. J. Hematol. Oncol. 2020, 13, 104. [CrossRef]
- Rosen, E.Y.; Shukla, N.N.; Bender, J.L.D. EZH2 inhibition: It's all about the context. J. Natl. Cancer Inst. 2023, 115, 1246–1248. [CrossRef]
- Ma, X.; Qi, S.; Duan, Z.; Liao, H.; Yang, B.; Wang, W.; Tan, J.; Li, Q.; Xia, X. Long non-coding RNA LOC554202 modulates chordoma cell proliferation and invasion by recruiting EZH2 and regulating miR-31 expression. *Cell Prolif.* 2017, 50, e12388. [CrossRef] [PubMed]
- Passeri, T.; Dahmani, A.; Masliah-Planchon, J.; Naguez, A.; Michou, M.; El Botty, R.; Vacher, S.; Bouarich, R.; Nicolas, A.; Polivka, M.; et al. Dramatic In Vivo Efficacy of the EZH2-Inhibitor Tazemetostat in PBRM1-Mutated Human Chordoma Xenograft. *Cancers* 2022, 14, 1486. [CrossRef]

- 31. Italiano, A.; Soria, J.C.; Toulmonde, M.; Michot, J.M.; Lucchesi, C.; Varga, A.; Coindre, J.M.; Blakemore, S.J.; Clawson, A.; Suttle, B.; et al. Tazemetostat, an EZH2 inhibitor, in relapsed or refractory B-cell non-Hodgkin lymphoma and advanced solid tumours: A first-in-human, open-label, phase 1 study. *Lancet Oncol.* **2018**, *19*, 649–659. [CrossRef]
- Gounder, M.M.; Zhu, G.; Roshal, L.; Lis, E.; Daigle, S.R.; Blakemore, S.J.; Michaud, N.R.; Hameed, M.; Hollmann, T.J. Immunologic Correlates of the Abscopal Effect in a SMARCB1/INI1-negative Poorly Differentiated Chordoma after EZH2 Inhibition and Radiotherapy. *Clin. Cancer Res.* 2019, 25, 2064–2071. [CrossRef]

**Disclaimer/Publisher's Note:** The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.