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# Case report

# Gilteritinib overcomes primary resistance to venetoclax in a patient with FLT3 wild-type refractory/relapsed AML: Case report and exploration of possible mechanisms

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# ABSTRACT

Venetoclax, a selective BCL-2 inhibitor, has shown superior efficacy in the treatment of AML. Nevertheless, some AML patients with AML1-ETO respond poorly to venetoclax treatment. In this report, a relapsed/refractory (R/R) venetoclax resistant AML1-ETO positive AML patient showed rapid tumor regression after combination therapy with gilteritinib and venetoclax. Additional laboratory findings indicated that the combined impact of the two drugs may be associated with the induction of the integrated stress response. This case presents a novel therapeutic approach for the treatment of FLT3 wild-type RR, AML1-ETO AML patients who have primary resistance to venetoclax

# 1. Introduction

Acute myeloid leukemia (AML) is a clonal malignant hematological disorder that originates from hematopoietic stem cells. The prognosis for most AML subtypes is poor, with long-term survival rates below 50 % [1,2]. Approximately 55 % of adult AML cases display non-random clonal chromosomal aberrations. Notably, the abnormal fusion gene *AML1-ETO*, a consequence of the t (8; 21) (q22; q22) chromosomal rearrangement, is identified in approximately 8 % of AML cases [3]. *AML1-ETO* fusion genes are associated with a relatively better prognosis in AML patients. *AML1-ETO*-positive AML is a highly heterogeneous disease that harbors a high rate of C-KIT mutations, which was believed to have a strong adverse effect on the relapse and survival of AML patients [4]. However, other studies indicated that C-KIT mutations may have no significant impact on the prognosis of the disease [5].

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Venetoclax combined with hypomethylating agents (HMA) or low-dose cytarabine has received approval as a frontline therapy for elderly or unfit AML patients, marking a significant advancement in AML management [6]. Nonetheless, the clinical landscape is complicated by issues of resistance and relapse associated with BCL-2 inhibitor therapy, largely attributed to the upregulation of MCL-1 and BCL-XL. AML1-ETO has been identified as a significant risk factor for venetoclax resistance [7]. The elderly AML patients with AML1-ETO displayed a favorable prognosis in the intensive chemotherapy group, but not in the HMA or HMA/venetoclax group [8], suggesting that venetoclax treatment may provide less benefit in such patients. However, treatment options for older patients are limited. Therefore, rational combination approaches may be needed to improve the efficacy of ABT-199 in AML patients with AML1-ETO, thus potentially increasing their choice.

FLT3 mutations are one of the most prevalent genetic alterations in AML, presenting a valuable therapeutic target for relapsed/refractory (RR) cases [9]. Gilteritinib, a second-generation type I FLT3 inhibitor, has been recognized for its safety profile, and has recently received the US FDA approval for use in RR AML with FLT3 mutations [10]. Studies in preclinical FLT3 wild-type AML models indicated that the gilteritinib-venetoclax combination exhibits enhanced *anti*-AML synergy by reducing MCL-1 expression, though corresponding clinical research is pending [11].

In this report, we presented the case of a *AML1-ETO* (+), FLT3 wild-type AML patient who experienced primary resistance to AZA/VEN (azacitidine + venetoclax), but showed a rapid and positive response to a combination treatment regimen of gilteritinib and AZA/VEN. To explore the possible mechanism, further *in vitro* study was performed.

#### 2. Case presentation

In 2020, a 53-year-old female with no significant past medical history was diagnosed with AML-M2b, characterized by 70 % blasts and a chromosomal profile of 45,X,-X,t (8; 21) (q22; q22), with AML1-ETO positivity at 109.45 %, cKIT mutation in D816V with a 43.4 % variant allele frequency, while the FLT3-mutation and other mutation was negative by a 150 myeloid-focused gene panel. The patient received daunorubicin and cytarabine (DA) as induction chemotherapy in September 2020. After three weeks, the bone marrow (BM) aspirate showed morphological complete response (CR). Subsequently, the patient received consolidation treatments with one cycle of IA (idarubicin + cytarabine), HAA (homoharringtonine + cytarabine + aclacinomycin), and CAG (cytarabine + aclacinomycin + granulocyte colony stimulating factor). However, next-generation sequencing (NGS) showed positive AML1-ETO fusion gene with a level of 9.61 % at the end of the last consolidation treatment, although the minimal residual disease (MRD) by flow cytometry (FCM) was negative (Fig. 1). In March 2021, the patient experienced morphological relapse during the treatment with a combination of venetoclax (100 mg on Day 1, 200 mg on Day 2, and 400 mg from Days 3–28) and azacitidine (75 mg/m<sup>2</sup>) for seven days. She was subsequently retreated with HAA regimen but showed no response, accompanied by an increase in BM blasts to 28 %. Thereafter, the patient received a combination treatment with venetoclax (100 mg on Day 1, 200 mg starting from Day 2), AS<sub>2</sub>O<sub>3</sub> and chidamide, but did not achieve remission. Finally, the patient was treated with a combination of gilteritinib (at an initial dose of 80 mg), venetoclax and azacitidine. The blasts in peripheral blood decreased from 84 % to 56 %. Afterwards, the patient was administered a combination treatment of higher dosage gileritinib (120 mg) and venetoclax, and the proportion of the blasts in peripheral blood decreased to 3.0 % compared to 9.5 % in BM. Thereafter, the patient underwent salvage haploidentical hematopoietic stem cell transplantation (haplo-HSCT) in July 2021 (Fig. 1). Neutrophil engraftment was confirmed on day 15 after HSCT, with a peak absolute neutrophil count (ANC) of  $2.7 \times 10^9$ /L. Short tandem repeat (STR) testing indicated complete chimerism, with donor cells constituting 98.65 % of peripheral blood cells. Simultaneously, blasts in peripheral blood were cleared while BM aspirate revealed 2 % blasts. MRD by FCM was negative, and the AML1-ETO fusion gene levels decreased to 0.11 %. However, the patient developed secondary graft failure due to severe mixed bacterial and fungal infections, and grade II, acute gut graft versus host disease (GVHD), and her ANC dropped below  $0.5 \times 10^9$ /L after one month of haplo-HSCT. Severe infection ensued, and the patient died in a state of agranulocytosis.

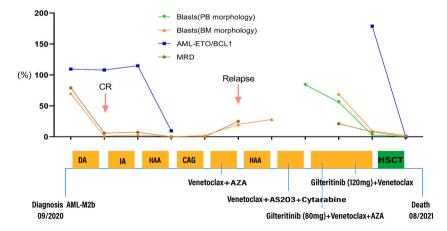


Fig. 1. Treatment process and effect evaluation of the patient.

# 3. Exploring the underlying molecular mechanism

This case report details a FLT3 wild-type refractory AML patient with *AML1-ETO* who demonstrated primary resistance to venetoclax (ABT-199). Our therapeutic strategy effectively highlighted the benefits of combining gilteritinib with venetoclax in treating FLT3 wild-type, *AML1-ETO*-positive, RR AML, facilitating a successful transition to HSCT, although the patient eventually died due to a severe infection. To further understand the synergistic effects and molecular mechanisms of this drug combination in FLT3 wild-type, *AML1-ETO*-positive, RR AML, we conducted *in vitro* experiments using relevant cell lines.

We utilized two cell lines, Kasumi-1 and SKNO-1, both originating from AML patients with *AML1-ETO*. Intriguingly, while both cell lines showed similar sensitivity to gilteritinib, their responses to ABT-199, a BCL-2 inhibitor, varied significantly. Notably, the IC<sub>50</sub> value for ABT-199 at 72 hours post-administration in SKNO-1 was a thousand-fold that in Kasumi-1 (Supplemental Fig. 1A). Western blot analysis revealed that MCL-1 expression in SKNO-1 exceeded that in Kasumi-1, whereas BCL-2 expression was considerably lower (Supplemental Fig. 1B). This disparity might partially account for the different sensitivities to ABT-199 observed between the two cell lines. In both Kasumi-1 and SKNO-1 cells, the gilteritinib and ABT-199 combination showed a potent synergistic effect, effectively reducing the protein expression levels of MCL-1 and BCL-XL (Fig. 2A and B). This synergistic impact was more pronounced in SKNO-1 cells, evidenced by a higher synergy coefficient and a notable decrease in MCL-1 and BCL-XL protein expression as early as Day 1. However, the combination of gilteritinib with ABT-199 failed to reduce *AML1-ETO* mRNA expression in Kasumi-1 and SKNO-1 cells (Supplemental Figs. 1C and 1D). The observed reduction in the *AML1-ETO* fusion gene in this case is likely attributed to the pretransplant conditioning regimen received by the patient.

Recent studies have highlighted that targeting the integrated stress response (ISR) pathway, in conjunction with ABT-199, can synergistically produce anti-leukemic effects. To ascertain whether ISR activation is essential for the synergistic efficacy of gilteritinib and ABT-199, we utilized ISRIB, a chemical inhibitor of ISR, to impede the downstream consequences of eIF2 $\alpha$  phosphorylation. ISRIB treatment successfully restored the viability of SKNO-1 cells and mitigated the reduction in MCL-1 protein levels after the combined administration of gilteritinib and ABT-199. However, these effects were not observed in Kasumi-1 cells (Fig. 2C and D).

Given the increased risk of resistance with extended combination therapy, we exposed Kasumi-1 and SKNO-1 cells to increasing concentration of ABT-199 alone (Kasumi-1 ABT, SKNO-1 ABT) or with the combination of gilteritinib (Kasumi-1 GA, SKNO-1 GA) for 30 days to evaluate shifts in ABT-199 sensitivity (Supplemental Figs. 2A and 2B). The findings revealed that in Kasumi-1 cell line, sequential administration of ABT-199 therapy swiftly led to resistance development, marked by an upsurge in MCL-1 protein and a decline in BCL-2 protein levels (Supplemental Fig. 2C). Conversely, the 30-day combination treatment of gilteritinib and ABT-199 delayed the emergence of ABT-199 resistance in Kasumi-1 cells. This effect was not observed in the SKNO-1 cell line, perhaps due to its inherently lower sensitivity to ABT-199 and the insufficiency of a 30-day period to foster a heightened level of resistance (Supplemental Fig. 2D).

# 4. Discussion

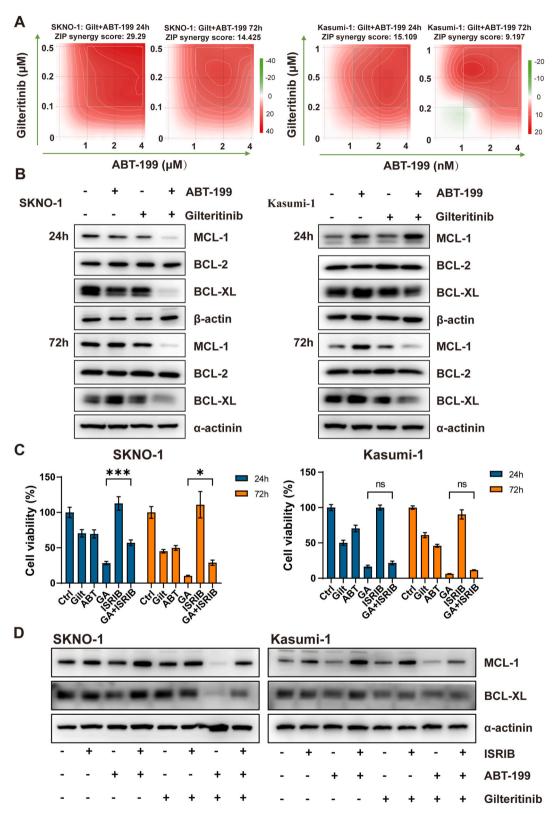
This case provides preliminary evidence for the clinical effectiveness of combining gilteritinib with BCL-2 inhibitors (BCL-2i) in the treatment of FLT3 wild-type RR AML patients with *AML1-ETO*. However, to substantiate these findings, large-scale clinical trials are essential. Furthermore, given the heterogeneity of AML, it is important to understand whether the gilteritinib and BCL-2i combination yields a synergistic killing effect across all AML variants. In our *in vitro* experiments, gilteritinib and venetoclax demonstrated a potent synergistic effect in *AML1-ETO*-positive cell lines, notably reducing MCL-1 and BCL-XL expression. Yet, the underlying mechanisms of their synergistic interaction appear to differ, potentially due to the distinct genetic backgrounds of the cell lines, their variable sensitivities to venetoclax, and alterations in signaling pathways induced by the drug combination. These factors warrant further experimental investigation for a better understanding. Furthermore, there were several limitations in our study. The generalizability of this study is limited because it is a single case report. Additionally, we merely performed the *in vitro* experiment to investigate the effect and the possible underlying mechanisms of combining gilteritinib and venetoclax, the *in vivo* as well as clinical experiment will be performed in future experiments. Finally, our findings suggest that patients exhibiting lower sensitivity to venetoclax may derive greater benefit from the combined use of gilteritinib and venetoclax. While this case enhances the decision-making process for clinical treatment plans in RR AML with *AML1-ETO*, further research is necessary to develop more effective, personalized treatment modalities.

# **Ethics statement**

Written informed consent was obtained from the patient's family, agreeing to publish the relevant clinical image/data included in the manuscript.

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Fig. 2. Possible mechanisms of ABT-199 synergy with gilteritinib in AML1-ETO-positive AML. (A) The ZIP scores of SKNO-1 and Kasumi-1 were subjected to treatment with ABT-199 and gilteritinib for 24h and 72h. (B) The protein expression of BCL-2, MCL-1 , BCL-XL, and β-actin in SKNO-1 and Kasumi-1 cell lines treated with ABT-199 and/or gilteritinib for 24h and 72h. (C) Viability of SKNO-1 and Kasumi-1 after treatment with DMSO, ABT-199 (ABT), gilteritinib (Gilt), or a combination of the two drugs (GA) in the absence or presence of ISRIB for 24h and 72h. (D) MCL-1, BCL-XL, and α-actinin protein expression of SKNO-1 and Kasumi-1 after treatment with DMSO, ABT-199, gilteritinib, or a combination of the two drugs in the absence or presence of ISRIB for 72h. ABT: ABT-199; Gilt: gilteritinib; GA: ABT-199 + gilteritinib.

# Ethics approval and consent to participate

The *in vitro* study of cell lines was approved by the ethical committee of the First Affiliated Hospital of Zhejiang Chinese Medical University (NO.2022-KL-159-02). All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

# Data availability statement

In this study, all relevant data supporting the findings of this research are included within the article. Additional data associated with this study has not been deposited into a publicly available repository, but it will be made available upon reasonable request.

## CRediT authorship contribution statement

Man Li: Writing – original draft, Project administration, Investigation. Xiawan Yang: Writing – original draft, Investigation, Data curation. Yaonan Hong: Investigation, Data curation. Qi Liu: Methodology, Investigation. Yingying Shen: Investigation, Data curation. Tonglin Hu: Validation, Investigation, Data curation. Yiping Shen: Resources, Investigation. Guoyin Kai: Writing – review & editing, Resources, Methodology, Investigation. Dijiong Wu: Writing – review & editing, Resources, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization.

# Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Corresponding Author "Dijiong Wu" is an associate editor of the journal of Heliyon Oncology. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.heliyon.2024.e35847.

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