




NKG2C/KLRC2 tumor cell expression enhances immunotherapeutic efficacy against glioblastoma

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ABSTRACT

Background Activating and inhibitory receptors of natural killer (NK) cells such as Nkp, NKG2, or CLEC are highly relevant to cold tumors including glioblastoma (GBM). Here, we aimed to characterize the expression of these receptors in GBM to gain insight into their potential role as modulators of the intratumoral microenvironment.

Methods We performed a transcriptomic analysis of several NK receptors with a focus on the activating receptor encoded by *KLRC2*, NKG2C, among bulk and single-cell RNA sequencing GBM data sets. We also evaluated the effects of *KLRC2*-overexpressing GL261 cells in mice treated with or without programmed cell death protein-1 (PD-1) monoclonal antibody (mAb). Finally, we analyzed samples from two clinical trials evaluating PD-1 mAb effects in patients with GBM to determine the potential of NKG2C to serve as a biomarker of response.

Results We observed significant expression of several inhibitory NK receptors on GBM-infiltrating NK and T cells, which contrasts with the strong expression of *KLRC2* on tumor cells, mainly at the infiltrative margin. Neoplastic *KLRC2* expression was associated with a reduction in the number of myeloid-derived suppressor cells and with a higher level of tumor-resident lymphocytes. A stronger antitumor activity after PD-1 mAb treatment was observed in NKG2C^{high}-expressing tumors both in mouse models and patients with GBM whereas the expression of inhibitory NK receptors showed an inverse association.

Conclusions This study explored the role of neoplastic NKG2C/*KLRC2* expression in shaping the immune profile of GBM and suggests that it is a predictive biomarker for positive responses to immune checkpoint inhibitor treatment in patients with GBM. Future studies could further validate this finding in prospective trials.

BACKGROUND

Glioma is classified based on the status of the *isocitrate dehydrogenase 1/2* (*IDH1/2*) gene. The *IDH*-mutant (*IDHmut*) subgroup mostly includes grade 2/3 gliomas and a few grade 4 astrocytomas, whereas the term glioblastoma (GBM) refers to *IDH*-wild type (*IDHwt*) grade

WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Previous studies have confirmed the elevated expression of natural killer (NK) cell receptors on NK and T cells within glioblastoma (GBM). However, the role of these receptors, particularly in modulating the intratumoral microenvironment, is not fully understood.

WHAT THIS STUDY ADDS

⇒ We report for the first time that NKG2C, a receptor traditionally associated with immune cells, is also expressed by GBM tumor cells, especially at the invasive front. The presence of NKG2C on tumor cells correlates with an altered immune profile and increased responsiveness to immune checkpoint inhibition.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ Characterizing the macrophage population and the presence of NKG2C and other NK cell receptors could help identify patients with GBM most likely to benefit from checkpoint blockade therapy. The extent of surgical resection and the spatial distribution of these biomarkers should be considered to optimize patient selection for immunotherapy.

4 tumors.¹ The immune landscape of GBM is very different from that of other cancers, characterized by a strong immunosuppressive phenotype enriched in tumor-associated macrophages and exhausted lymphocytes.^{2,3} This phenotype is built on a bidirectional interplay between immune and tumor cells that release cytokines to recruit T-regulatory lymphocytes and promote the polarization of M2-like macrophages and microglia.⁴ These cells, in turn, shape the transcriptional profile of GBM.⁵

The discovery of immune checkpoint regulators has led to the generation of new

therapies for a large number of otherwise therapy-resistant cancers. Drugs that restore the immune response, including cytotoxic T-lymphocyte associated protein 4 (CTLA-4) and programmed cell death protein-1 (PD-1) monoclonal antibodies (mAbs), have achieved enormous success at improving overall survival for patients with melanoma, lung cancer, and renal carcinoma, while also being investigated for other malignancies as well.⁶ These checkpoint inhibitors overcome the inhibition of CD8⁺ T and natural killer (NK) cells in the lymph node and the tumor itself. However, clinical trials using PD-1 mAbs in patients with GBM have yet to demonstrate a survival improvement^{7–10} even though checkpoint inhibitor antibodies appear to penetrate the brain.¹¹ The unique microenvironment of GBM has been used as a rationale to explain why only a small percentage of glial tumors respond to this strategy. Furthermore, the absence of reliable biomarkers hampers efforts to enhance the success rate of upcoming clinical trials.

NK receptors, expressed by T and NK cells, play a key role in cancer immunosurveillance and represent a potentially important element in the response to immunotherapy with checkpoint inhibitors.¹² In gliomas, NK receptor proteins, such as CD161/Clec5B, have been identified as new control points in tumor development.¹³ Furthermore, GBM has low major histocompatibility complex (MHC) class I expression.¹⁴ In this situation, the interaction between NKG2D and its ligands appears to be highly relevant for tumor cell killing.¹⁵

Here, we have performed a thorough analysis of NK receptor genes in GBM samples and have found a striking overexpression of *KLRC2*, which encodes for the activating receptor NKG2C, in GBM cells and is predominantly localized at the infiltrative margin of the tumor. We demonstrated that when NKG2C is overexpressed by GL261 cells, the immune phenotype transforms into a microenvironment that favors fewer myeloid cells with decreased immunosuppressive properties. The results presented here also show that the induction of NKG2C expression is able to enhance the antitumor effect of PD-1 mAb treatment that fuels the entrance of cytotoxic lymphocytes. The analysis of GBM after patient treatment with PD-1 mAb suggests that high levels of NKG2C and low levels of inhibitory receptors correlate with higher overall survival in response to immunotherapy and underscores their potential use as predictive biomarkers.

METHODS

The workflow followed in this manuscript is graphically represented in online supplemental table 2A.

Human samples

For the flow cytometry study and the MRI-guided analysis (online supplemental table 1A) the samples were obtained after the patient's written consent, in accordance with the Declaration of Helsinki

Sequential tumor tissue samples from a phase II clinical trial in patients with newly diagnosed or relapsed GBM that was conducted at Clinic University of Navarra were also analyzed (NCT02550249). Patients from two subgroups were recruited to the study; (1) patients who required salvage surgery to treat relapsed disease (n=11) as well as (2) patients who required surgery for primary tumor resection (n=2). Clinicopathological characteristics of patients are summarized in online supplemental table 1A. Treatment consisted of a 3mg/kg dose of nivolumab given 2 weeks (\pm 3days) before the surgery. Patients received postsurgical doses of nivolumab every 2 weeks (\pm 3days) until radiologic progression or unacceptable toxicity. For the three patients who underwent primary surgical treatment, nivolumab was stopped to receive standard-of-care chemoradiotherapy (CRT). In two of these cases, nivolumab was reintroduced following completion of CRT.⁹

Mouse cells

The GL261 murine glioma cell line was purchased from Creative Biolabs and grown in Dulbecco's Modified Eagle Medium (DMEM) with 10% fetal bovine serum (FBS), 2mM L-glutamine, 0.1% penicillin (100 U/mL), and streptomycin (100 μ g/mL). The cells were passaged (1:2) every 3 days to ensure logarithmic growth and tested monthly for *Mycoplasma* contamination by a luminescent detection kit (MycAlert Mycoplasma Detection, Lonza). The retroviral vectors used to transduce the cells were pLV-mKLRC2 (pLV(Exp)-Bsd-mPGK>mKlrc2(NM_010653.4), Vector Builder) and pLV-GFP (pLV(Exp)-Bsd-EF1A>EGFP, Vector Builder). All cell lines were maintained in a 37°C humidified incubator with 5% CO₂ and passaged to maintain 70% confluency. Infected cells were selected in the presence of blasticidin (InvivoGen). For tumor implantation into C57BL/6 mice, cells were trypsinized with 0.05% trypsin—EDTA, washed, and resuspended at a final concentration of 5×10^3 cells/2 μ L.

Co-culture experiments GL261 and macrophages

Macrophages were obtained by intraperitoneal injection of 3% thioglycollate medium into two adult C57 mice. The inflammatory response was allowed to proceed for 3 days before the mice were euthanized and macrophages were isolated as previously described.¹⁶ GFP and KLRC2 GL261 cells were co-cultured with peritoneal macrophages in three different ratios (1:10, 1:2, and 1:1 macrophage:GL261 proportion) in Roswell Park Memorial Institute (RPMI) 1640 medium supplemented with 10% FBS for the first 24 hours. Subsequently, the medium was changed to RPMI 1640 supplemented with 2% FBS for 24 hours, followed by 48 hours in RPMI 1640 before collecting the pellet for messenger RNA (mRNA) isolation. The detailed protocol and workflow are provided in online supplemental table 2E.

In vivo assays

The Research Ethics and Animal Welfare Committee at “Instituto de Salud Carlos III” approved and reviewed animal experiments in accordance with the European Union and national directives (PROEX 055/19). To establish orthotopic allografts, intracranial transplantation was performed on C57BL/6 mice by injecting a 2 μ L volume containing GL261 cells into the striatum using a Hamilton syringe. The injection was done using a Stoelting’s Stereotaxic device with the coordinates A-P at -0.5 mm, M-L at $+2$ mm, and D-V at -3 mm relative to bregma.

Mice were treated with anti-mouse PD-1 mAb (purified anti-mouse CD279 Antibody, BioLegend) starting on postoperative day 8, with three doses administered every other day at 10 mg/kg (250 μ g/dose) by intraperitoneal injection. Control mice received equivalent doses of isotype murine IgG (Purified Rat IgG2a, κ Isotype Ctrl, BioLegend) with the same dosing schedule mice. Daily animal monitoring was carried out to ensure that humane endpoints were reached. Euthanasia was carried out if animals showed signs such as a protruded skull, hunched posture, extreme lethargy, or weight loss. Characteristics of experimental mouse model samples are summarized in online supplemental table 1B.

RNA extraction and quantitative PCR

Total RNA from the human and mouse tumors was extracted using a commercial RNA extraction kit (Relia-Prep RNA Miniprep System, Promega) and equivalent amounts (1 μ g) of purified RNA were reverse transcribed using PrimeScript RT Reagent Kit (Takara). Quantitative real-time PCR was performed using the LightCycler 480 (Roche Diagnostics) with the SYBR Premix (TB Green Premix Ex Taq II, Takara) and gene-specific primers (online supplemental table 2B). Relative gene expression was calculated using the $\Delta\Delta$ Ct method and normalized to *GAPDH*.

Western blot

Proteins were extracted from the cells and tissues through mechanical disaggregation using lysis buffer (50 mM Tris-pH 7.5, 300 mM NaCl, 0.5% SDS, and 1% Triton X-100) (15 min with agitation at 95°C). Protein content was determined using the BCA Protein Assay Kit (Thermo Scientific) and 30 μ g of proteins were resolved by 12% SDS-PAGE and then transferred to a nitrocellulose membrane (Amersham Biosciences). The membranes were blocked and then incubated with the primary (overnight at 4°C) and the respective secondary antibody (2 hours at room temperature (RT)) (online supplemental table 2B). Protein bands were visualized with the Imager 800 (Amersham Biosciences) and quantitatively analyzed using ImageQuant TL software (Cytiva).

Immunohistochemical staining and quantification

Immunohistochemical (IHC) staining with different antibodies (online supplemental table 2B) was performed

on brain tissue sections from the murine model or from patient’s tumors that were fixed in 4% formalin, paraffin-embedded, and sectioned onto slides of 4 μ m thickness. Before the 3,3'-diaminobenzidine (DAB) or red staining, slides were dewaxed and stained with H&E to verify tumor presence. For the chromogenic and fluorescent detection, the slides were prior preheated for 30 min at 65°C and the BOND RXm automated advanced staining system (Leica Biosystems) programmed with the specific protocol according to the staining type was used (online supplemental table 2C).

The chromogenic slides were scanned using the Hamamatsu NanoZoomer-SQ microscope slide digitizer (C13140-01). QuPath software was used for the quantification by setting two different methods depending on the specific antibody stain. For CD3 and CD8 stains, the positive-cell detection method was used by calculating the percentage of positive cells in comparison to negative cells within a consistently defined area for each stain. In contrast, for CD68, CD206, and NKG2C stains, the pixel classification method was applied. This involved setting an intensity threshold in each region of interest corresponding to the specific markers. A signal exceeding this threshold was considered positive and the percentage of such staining in the total area was determined. The specific codes and parameters used for quantification of each stain are detailed in online supplemental table 2D.

The immunofluorescence images were acquired with a STELLARIS 8 Confocal Microscope (Leica), using HCPL APO CS2 20 \times /0.75NA dry objective in low-magnification images and HCPL APO CS2 63 \times /1.40NA oil-immersion objective in high-magnification images.

Flow cytometry

Tumor suspensions were obtained after mechanical and enzymatic disaggregation (Accumax (Merck Millipore)) (15 min at RT). Erythrocytes were lysed with Quicklysis buffer (Cytognos) and cells were incubated with hFcR Blocking (Miltenyi) prior to antibody (online supplemental table 2B) incubation (20 min at 4°C in phosphate-buffered saline 1% FBS). Viable cells were labeled with a Fixable Viability Stain (Becton Dickinson) (20 min at RT). The analysis was conducted in a MACSQuant V.10 flow cytometry (Miltenyi). Subset definition was: CD45⁺, lymphoid (CD45⁺CD11b⁻SSC^{lo}), myeloid (CD45⁺CD11b⁺SSC^{lo} or SSC^{hi}), microglia (CD45^{low}CD11b⁺SSC^{lo} or SSC^{hi}), neutrophils (CD45+CD11b+CD16+CD15+CD14-CD33-); MDSCs (CD45+CD11b+CD16+CD15 CD14 \pm CD33+); macrophages (CD45+CD11b+CD16CD15-CD14 \pm CD33-93 MHC-II+) as previously used¹⁷ (online supplemental table 2B).

In silico studies

Single-cell RNA sequencing data sets analysis

To generate the integrated single-cell transcriptomic atlas, we downloaded different single-cell RNA sequencing (scRNA-seq) databases available in public repositories of normal brain,¹⁸ IDHmut gliomas, and GBMs.¹⁹

Subsequent data processing and analysis were performed using the Seurat package V.4.3.0. For the analysis, the two IDHmut samples were selected, and in the case of GBMs, the three cases of newly diagnosed and recurrent GBM with the highest number of counts were chosen (online supplemental table 1C). Integration and batch-corrected dimensionality reduction were performed using Harmony²⁰ correcting for sample type. Cell types were identified using scCATCH (Single-Cell Cluster-Assisted Annotation Toolkit for Cellular Heterogeneity)²¹ and confirmed by canonical markers described in a previous study²² (online supplemental figure 1A).

For scRNA-seq analyses of the tumor periphery²³ and PD-1 mAb therapy response,²² the already processed RDS files were downloaded from the respective public repositories, selecting only the IDHwt patients with GBM (online supplemental table 1C). Data processing and analysis that followed were also conducted using the Seurat package V.4.3.0.

RNA sequencing data set analyses

Kaplan-Meier survival analysis was conducted on GBM samples from the Cancer Genome Atlas (TCGA) and the Chinese Glioma Genome Atlas (CGGA) data sets based on *KLRC2* expression using the GlioVis web platform (<http://gliovis.bioinfo.cnio.es/>).

Functional enrichment analyses

Gene ontology (GO) biological process (GO:BP) was performed using g:Profiler (v e109_eg56_p17_1d3191d) with g:SCS multiple testing correction method applying a significance threshold of 0.05.²⁴

Immune landscape heatmap

Quantitative real time-polymerase chain reaction (qRT-PCR) data were normalized and the results of correlation analysis (unsupervised clustering) were visualized using the pheatmap package (Kolde R pheatmap: Pretty Heatmaps. R package V.1.0.12. <https://CRAN.R-project.org/package=pheatmap>. 2019) in R software.

Statistics

GraphPad Prism V.9.5.0 and R V.4.1.2 were used for statistical analysis. The normality of the variables was previously verified using the Kolmogorov-Smirnov test and the differences between pairs of experimental groups were analyzed by the Student's t-test. To assess survival differences observed between mice injected with glioma cells, distributions of overall survival were estimated using the Kaplan-Meier method, and the p values were calculated using the log-rank (Mantel-Cox) test. A threshold of $p < 0.05$ was used to determine the statistical significance of each experiment.

RESULTS

Characterization of NK receptor expression in glioma

We integrated publicly available data from scRNA-seq studies of non-tumoral brain¹⁸ and glioma.¹⁹ This

analysis identified different clusters of immune cell populations (macrophages, microglia and T cells), astrocytic cells and vascular cells (endothelial cells and pericytes) (figure 1A; online supplemental figure 1A). We then determined the expression of genes encoding NK receptors (figure 1B) in the different cell types of the integrated object. In agreement with a recent study,¹³ we found high levels of expression for the inhibitory receptors, CLEC5B (also named CD161) (encoded by *KLRB1*), CLEC15A (encoded by *KLRG1*) and NKG2A/CD94 (encoded by *KLRC1/KLRD1*) in the cluster of T cells and NK cells, although few of them also express the activating receptor NKG2D (encoded by *KLRK1*) and Nkp30 (encoded by *NCR3*) (figure 1C). Monocyte/macrophage Ig-like receptor (MIR) 7, LIR-1, was mostly expressed by myeloid cells while NKG2E (encoded by *KLRC3*) and NKG2C (encoded by *KLRC2*) were mostly expressed by glial cells including the proliferative cluster (figure 1C). *KLRC3* was previously characterized as a gene differentially expressed in GBM cancer stem cells,²⁵ but there were no previous reports of the glial expression of *KLRC2*. To further explore which cells are expressing NKG2C, we selected *KLRC2*+cells from our integrated database (figure 1D) and compared the expression level of the *KLRC2* gene in the different sample types. Our analysis revealed that *KLRC2*+cells are almost absent in normal brain tissue while they are abundant in GBM tumors (figure 1E). Furthermore, the analysis revealed a significant decrease in *KLRC2* expression in glial cells in recurrent GBM compared with newly diagnosed tumors (figure 1E) (online supplemental figure 1B,C, online supplemental table 3A). By contrast, inhibition-related genes such as *LILRB1*, *KLRB1* and *KLRG1* show a higher expression after tumor recurrence (online supplemental figure 1C). To obtain an independent confirmation of the neoplastic expression of *KLRC2*, we conducted a qRT-PCR analysis on a collection of patient-derived GBM xenografts (PDX)²⁶ using human-specific primers to exclude mRNAs from host cells. The results confirmed the expression of human *KLRC2* expression in several PDX models (figure 1F). Moreover, immunofluorescence (IF) staining of human GBM samples showed a clear NKG2C expression in SOX2+cells (figure 1G), SOX2 being a pan-glioma marker that is widely used to detect GBM cells.²⁷

For a more comprehensive characterization of *KLRC2* expression in glioma, we employed a new cohort of regionally annotated biopsies of the tumor core and periphery. The qRT-PCR analysis showed that *KLRC2* expression is more pronounced in the peritumoral zone compared with the tumor mass, finding differences in paired (online supplemental figure 2A,B) and unpaired analyses (figure 2A). An in-silico analysis of published scRNA-seq data (figure 2B)²³ corroborated the spatial distribution of *KLRC2* expression and indicated a higher percentage of cells expressing this gene in the periphery in the clusters annotated as neoplastic cells, oligodendrocytes and T cells (figure 2C,D; online supplemental table 3B). To corroborate these results, we performed an IF analysis on

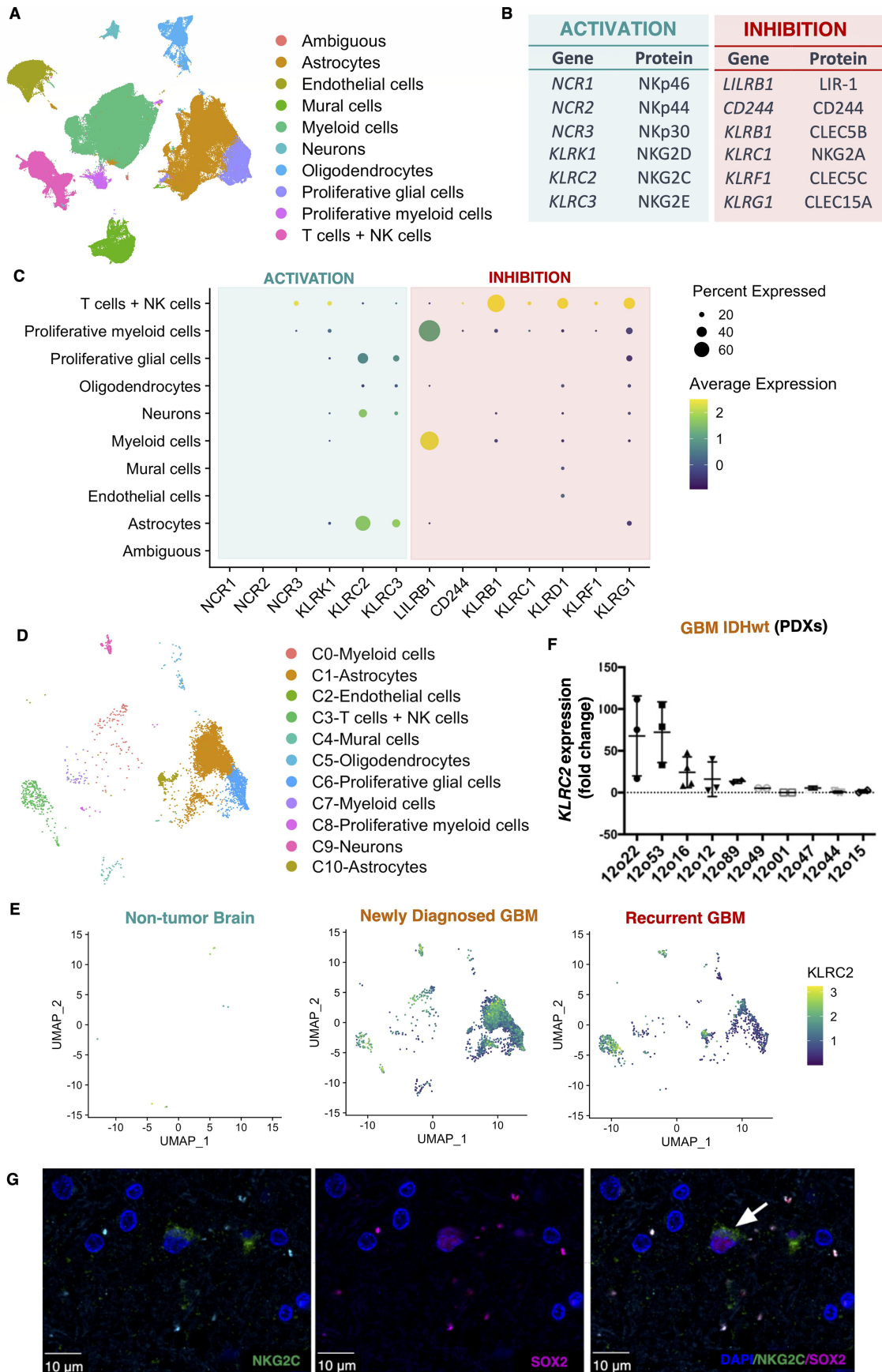


Figure 1 NKG2C is expressed on neoplastic cells in gliomas. (A) UMAP plot annotated according to each cell type of the integrated object (control and glioma scRNA-seq databases) (online supplemental table 1C). (B) List of the main genes and their **Figure 1** (Continued)

corresponding proteins that are involved in the activation or inhibition of immune cell function; particularly regarding natural killer (NK) cells. (C) Dot plot showing the percentage and average expression of activation and inhibition markers in the different cell types of the integrated object (control and glioma scRNA-seq databases). The dot.min value was set to 0.01, meaning only genes with expression levels above 1% are represented by a dot. (D) UMAP plot with the cells expressing *KLRC2* detailing the clusters annotated according to each cell type of the integrated object. (E) UMAP plot of cells with positive *KLRC2* expression in non-tumor brain, newly diagnosed glioblastoma (GBM) and recurrent GBM. (E) UMAP plot of cells with positive *KLRC2* expression in non-tumor brain, newly diagnosed GBM and recurrent GBM. (F) Human *KLRC2* relative expression in human GBM patient-derived xenografts (PDXs) (n=3). *GAPDH* expression was used for normalization. (G) Representative image of the immunofluorescence analysis of SOX2 (magenta) and NKG2C (green) in patients with GBM. The white arrow points to a double-positive cell. Nuclei were counterstained with DAPI. Scale: 10 μ m. DAPI, 4',6-diamidino-2-phenylindole; IDHwt, isocitrate dehydrogenase-wild type; scRNA-seq, single-cell RNA sequencing; UMAP, uniform manifold approximation and projection.

regionally segregated sections from patients with GBM. In agreement with the previous results (figure 1G), NKG2C staining was found in SOX2+ cells, but also in SOX4+ cells (figure 3A). Notably, we observed stronger NKG2C expression in the peripheral region compared with the tumor core in different GBM cases (figure 3B–D). In summary, our results indicate that *KLRC2* is expressed by glioma cells with a particularly high expression in the peripheral zone of GBM, a region containing highly invasive tumor cells that express oligodendrocyte-lineage markers (online supplemental figure 2C).²⁸

Glioma cell *KLRC2* expression reshapes the immune microenvironment of mouse and human glioblastoma

To study the role of *KLRC2* expression in glioma cells, we used a lentiviral construct to overexpress the gene in GL261 cells. The efficacy of this overexpression in vitro was validated at mRNA (online supplemental figure 3A) and protein level (online supplemental figure 3B). These cells were injected into the brain of C57BL/6 mice and we observed a similar overexpression pattern at mRNA (online supplemental figure 3A) and protein level (figure 4A) in the tumors. While there was no significant change in tumor burden (online supplemental figure 3C), histological analysis of tumors with *KLRC2* overexpression revealed a fibrillar matrix with microcystic formations (figure 4B) that are characteristic of astrocytic histology and a better prognosis.^{29 30} Additionally, IHC analysis of the tumor tissue showed a robust decrease in infiltrating myeloid cells and CD206 staining (figure 4C), which is a typical marker of immunosuppressive macrophages. We also noted the downregulation of M2-related gene expression (figure 4D), a significant increase in the dendritic cell marker *CLEC10A*, and a decrease in the classical “don’t-eat-me signal” *CD47*, (figure 4E) in *KLRC2*-GL261 compared with GFP-GL261 tumors (figure 4E). Conversely, we did not detect significant changes in the number of CD3⁺ or CD8⁺ cells during *KLRC2* overexpression (online supplemental figure 3D). Notably, there was an upregulation of several MHC molecules including HLA-G (encoded by *H2-M3*) and a downregulation of NKG2A/CD94 (encoded by *KLRD1/KLRG1*) in NKG2C overexpressing tumors (figure 4F). Similar changes in macrophage polarization were observed when we co-cultured GL261 cells with peritoneal macrophages. *KLRC2* overexpression induced the downregulation of M2 markers (*CD206* and *ARG*) and the upregulation of

iNOS, an M1-related gene (online supplemental figure 3E), suggesting a direct effect of tumor cells expressing NKG2C on the profile of the associated macrophages.

To validate the findings from the mouse model, we examined a cohort of patient tumors that were previously characterized for tumor microenvironment composition via flow cytometry analysis.¹⁷ We categorized the tumors based on high or low *KLRC2* expression as measured by qRT-PCR. No differences were observed in the number of leukocytes, lymphocytes, microglia, or total myeloid cells in the groups of tumors (figure 4G). However, a detailed analysis of myeloid populations revealed a significant decrease in the number of myeloid-derived suppressor cells and macrophages in glioma expressing high *KLRC2* levels (figure 4H).

Glioma cell *KLRC2* overexpression enhances the antitumor effect of PD-1 blockade in mice

Overall, our results suggest that *KLRC2* expression in neoplastic cells changes the immune profile of gliomas, reduces the number of immunosuppressive macrophages, and alters the expression of molecules involved in antigen presentation and antitumor activity. To analyze if these changes could affect glioma response to checkpoint inhibitors, two groups of animals injected with green fluorescent protein (GFP)-expressing control or *KLRC2*-overexpressing GL261 cells (figure 5A) were treated with a low dose of PD-1 mAb in parallel to the animals of figure 4 that received control IgG. The treatment induced a decrease in tumor burden in the *KLRC2* group compared with the GFP group (figure 5B). Moreover, anti-PD-1 treatment only induced a significant delay in tumor growth after the injection of *KLRC2*-GL261 cells and not after the injection of control GFP cells (online supplemental figure 4A). The IHC analysis of PD-1 mAb treated tumors revealed a decreased number of myeloid cells, particularly those with a protumoral activity (figure 4C). Moreover, we observed again the presence of microcysts (online supplemental figure 4B) and the upregulation of HLA-G (encoded by *H2-M3*) (online supplemental figure 4C) after *KLRC2* overexpression.

In contrast to what we had observed in IgG-treated tumors, there was a clear upregulation of the number of CD3⁺ cells and a tendency for more CD8⁺ cells in *KLRC2* overexpressing gliomas after PD-1 mAb treatment (figure 5D). Moreover, there was a strong activation of the expression for several genes expressed by NK cells and

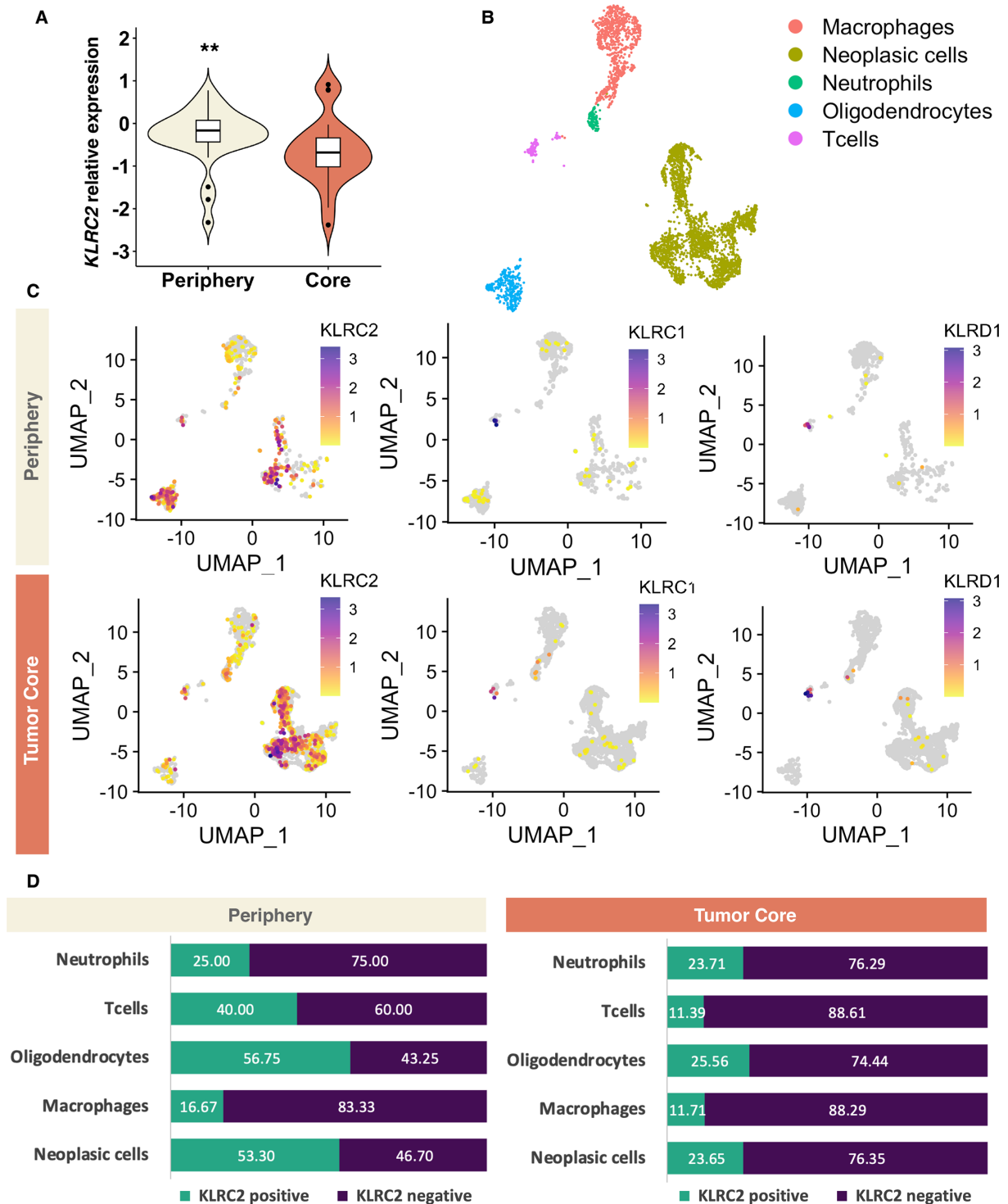


Figure 2 Intertumoral and intratumoral heterogeneity of NKG2C expression in IDHwt GBM. (A) KLRC2 relative expression (qRT-PCR) in the periphery (n=30) versus tumor core (n=18) of IDHwt GBM. P values using unpaired t-test: **p<0.01, ***p<0.001. (B) UMAP plot annotated according to each cell type of the integrated GBM scRNA-seq database (GSE117891). A detailed description of the samples and counts used for the study can be found in online supplemental table 1C). (C) UMAP plot of cells with positive KLRC2, KLRC1 and KLRD1 expression in the periphery and tumor core of the GBM scRNA-seq database (GSE117891). (D) Bar chart of the percentage of cells expressing KLRC2 in each cell type in both the periphery and tumor core of the GBM scRNA-seq database (GSE117891). Significant differences were observed in the proportions of KLRC2-positive cells, especially in neoplastic cells (p=5.2e-22), oligodendrocytes (p=7.1e-7), and T cells (p=7.0e-3). The statistical analysis was conducted using the χ^2 test. The statistical analysis was conducted using the χ^2 test and can be found in more detail in online supplemental table 3B. GBM, glioblastoma; IDHwt, isocitrate dehydrogenase-wild type; qRT-PCR, quantitative real time-polymerase chain reaction; scRNA-seq, single-cell RNA sequencing; UMAP, uniform manifold approximation and projection.

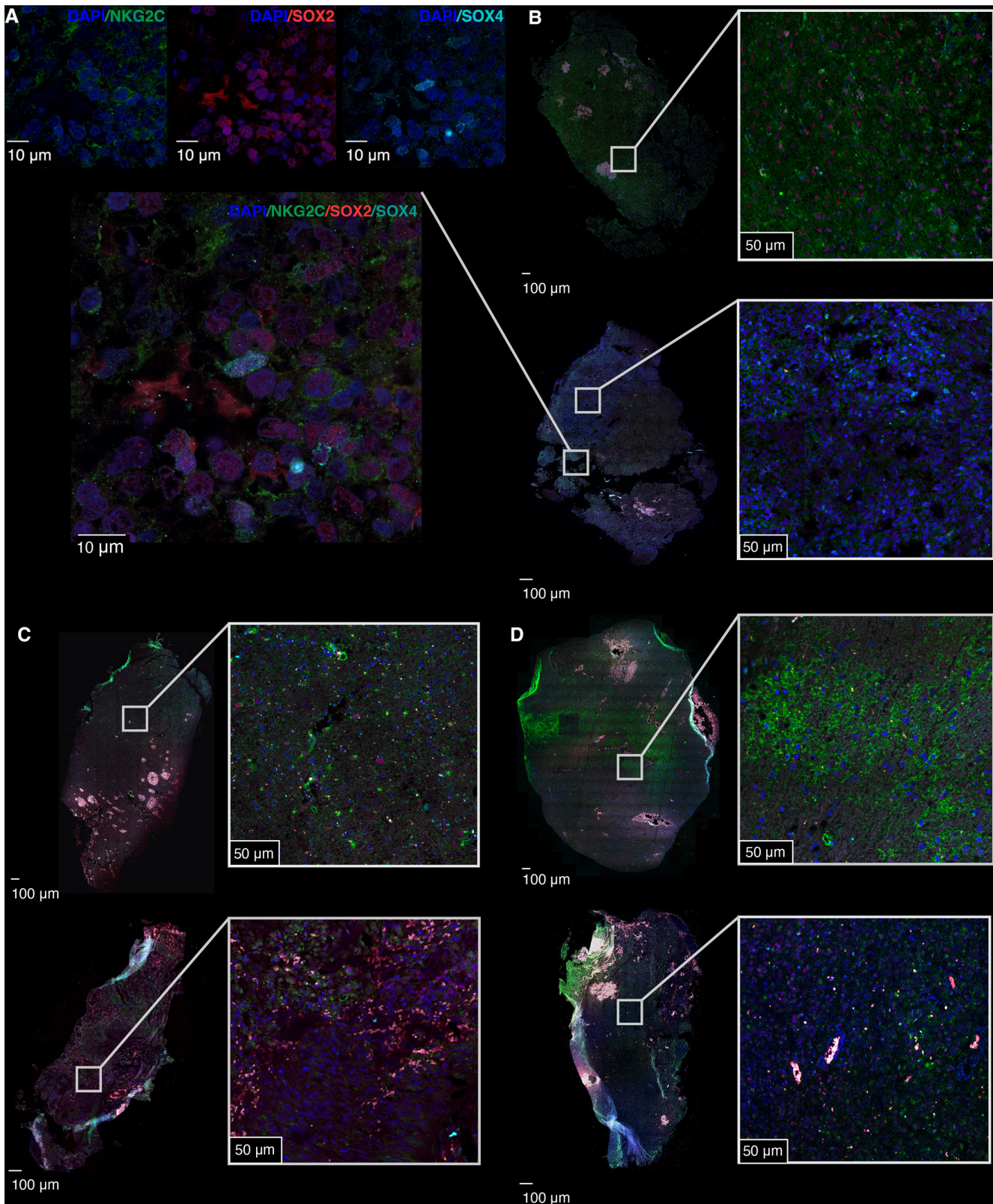


Figure 3 Intertumoral and intratumoral heterogeneity of NKG2C expression in three patients with GBM. (A) Representative high-magnification image showing the co-expression of SOX2 (magenta), SOX4 (cyan), and NKG2C (green) in tumor cells. The upper panels display individual DAPI/NKG2C, DAPI/SOX2, and DAPI/SOX4 staining. (B–D) Representative images of the immunofluorescence analysis of the expression of SOX2 (magenta), SOX4 (cyan), and NKG2C (green) in the periphery (top images) and tumor core (bottom images) of three different patients with GBM. Nuclei were counterstained with DAPI (blue). The left images show the overall tissue structure with boxes indicating regions of interest magnified on the right. Scale: 10 μ m (A) 100 μ m for the main images and 50 μ m for the insets (B–D). DAPI, 4',6'-diamidino-2-phenylindole; GBM, glioblastoma; qRT-PCR, quantitative real time-polymerase chain reaction; UMAP, uniform manifold approximation and projection.

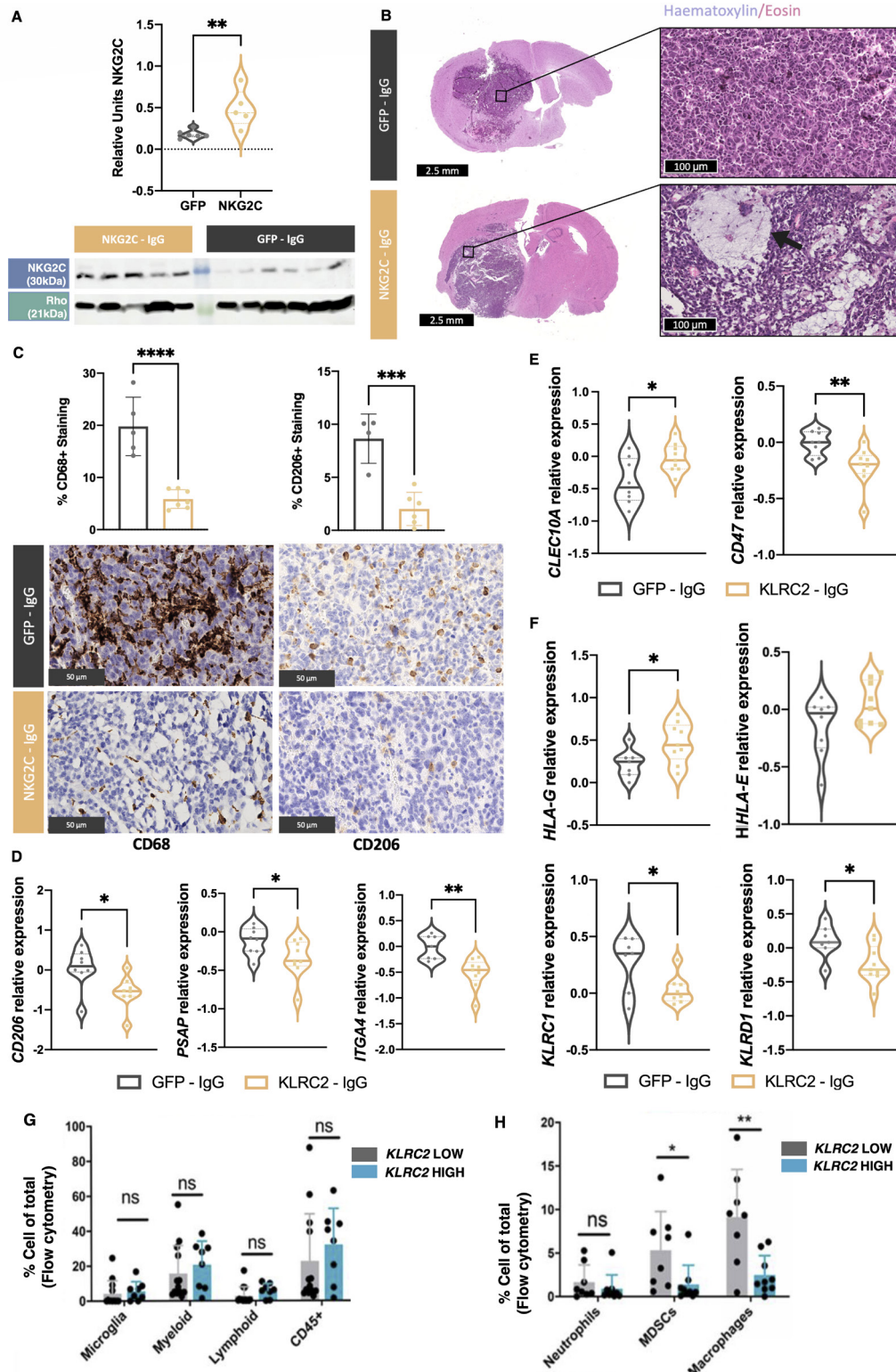


Figure 4 Effect of *KLRC2* overexpression in murine and human glioma. GFP cDNA-expressing GL261 or *KLRC2* cDNA-expressing GL261 cells were injected into the striatum of C57BL/6 mice. (A) Western blot analysis quantified NKG2C expression in GL261 brain tumors with Rho as the normalization control (GFP, n=6; NKG2C, n=5). (B) Representative H&E staining of tumor sections. *KLRC2* cDNA-expressing GL261 brain tumors show microcystic formations (arrow). (C) Representative immunohistochemical staining of tumor sections using a CD68 or a CD206-specific antibody. Quantification of each staining is shown above (n=5). (D–F) qRT-PCR analysis of the expression for several macrophage-related (D) immune-related (E) or *KLRC2*-associated (F) gene markers in GFP versus *KLRC2* cDNA-expressing GL261 brain tumors (n=7). (G–H) Flow cytometry assessment of immune cell population percentages in human glioma categorized by *KLRC2* expression levels (n=8). * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq 0.001$, **** $p \leq 0.0001$. Scale: 2.5 mm and 100 μ m (B) 50 μ m (C). cDNA, complementary DNA; GFP, green fluorescent protein; qRT-PCR, quantitative real time-polymerase chain reaction.

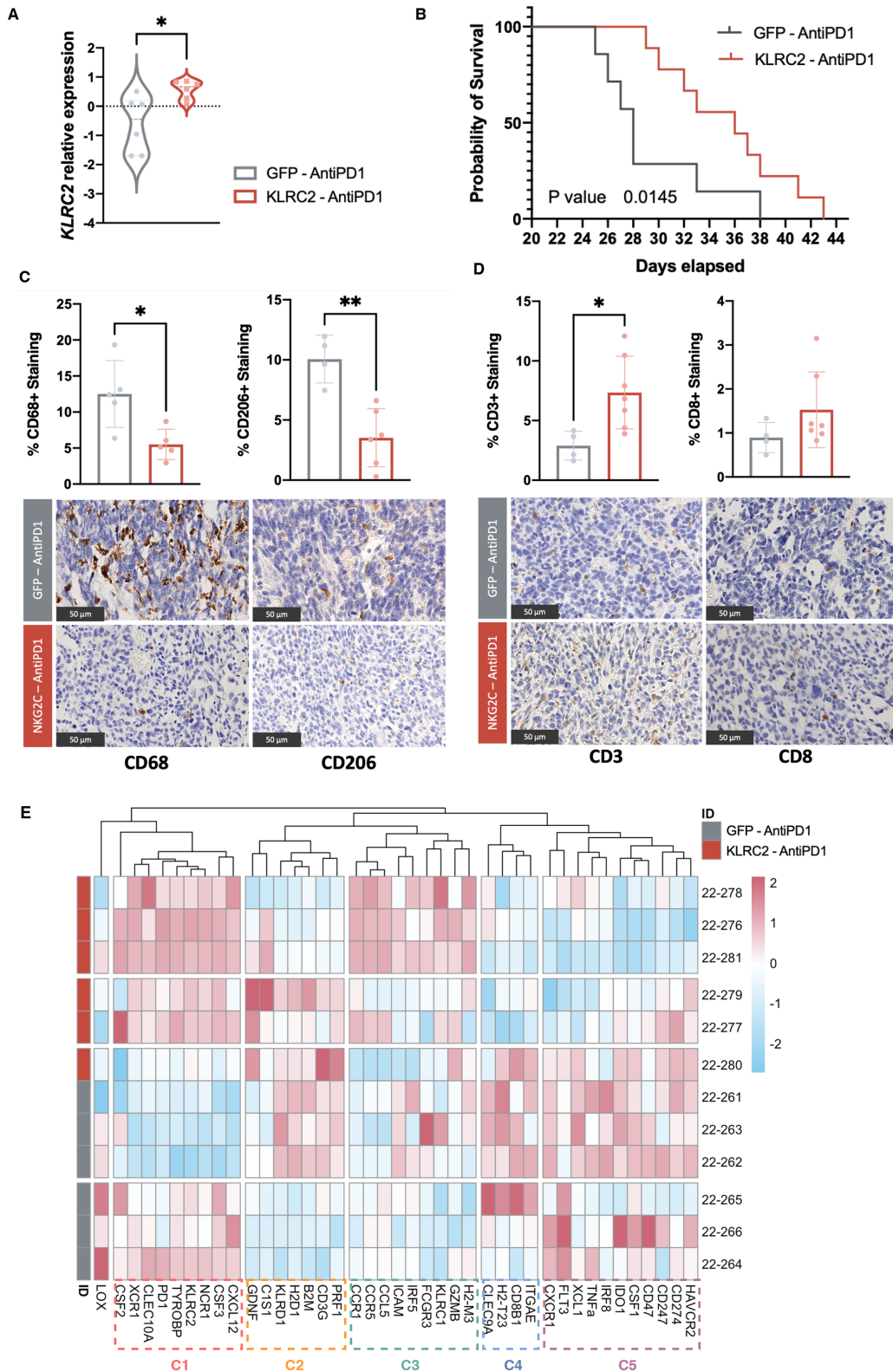


Figure 5 *KLRC2* cDNA expression enhances the antitumor immune response against a brain tumor after treatment with PD-1 mAb. (A) qRT-PCR-based *KLRC2* expression in tumor tissues comparing GFP control with *KLRC2* in the PD-1 mAb-treated group (n=6). (B) Mouse survival rates post-injection of GFP (n=7) or *KLRC2* (n=9) GL261 cells and treatment that is depicted by Kaplan-Meier curves (p value=0.0145). (C–D) Representative immunohistochemical staining of tumor sections using CD68 and CD206 (n=5) (C) or CD3 and CD8 (GFP, n=4; *KLRC2*, n=7) (D) antibodies. Quantification of each staining is shown on the top. (E) Heatmap of normalized expression levels of genes associated with the immune environment. The heatmap’s color gradation corresponds to the relative expression levels of targeted markers. *p<0.05, **p<0.01. Scale: 50 μm (C–D). GFP, Green Fluorescent Protein; mAb, monoclonal antibodies; PD-1, programmed cell death protein-1; qRT-PCR, quantitative real time-polymerase chain reaction.

cytotoxic lymphocytes, including *GZMB*, *NCRI*, *CCR1*, *TYROBP*, *XCRI*, and *CSF3* (online supplemental figure 4D) with a reduction in the transcription for several immunosuppressive markers including *IDO1*, *CXCR1*, and *CD47* (online supplemental figure 4E).

We performed unsupervised clustering of the PD-1 mAb-treated samples according to the expression profile of immune environment-related genes. We were able to differentiate two large tumor clusters based on *KLRC2* overexpression and confirmed the changes at the immune environment level (figure 5E). The heatmap showed that cluster 3, whose genes are directly related to the immune response mediated by lymphocytes or cytotoxicity driven by NK cells (online supplemental figure 4F) (online supplemental table 3C), is induced in *KLRC2*-overexpressing GL261 tumors. By contrast, the expression of cluster 5, that is, enriched in immunosuppressive genes (online supplemental table 3C), is higher in control tumors (figure 5E).

Tumor cell NKG2C overexpression as a biomarker for PD-1 mAb treatment response in patients with GBM

The results presented so far led us to hypothesize that NKG2C expression in glioma cells could induce a distinct immune pattern that favors the antitumor response in the presence of PD-1 mAb treatment. To test this hypothesis in patients, we performed an IHC analysis using an NKG2C-specific antibody in a cohort of baseline samples from patients who had been treated with neoadjuvant nivolumab.⁹ We categorized patients based on the extent of surgical resection, distinguishing those receiving complete resection (>95% of tumor removal) from those with partial or non-complete resections (between 80% and 95% of tumor removal). Interestingly, the amount of NKG2C staining (figure 6A) in samples before nivolumab treatment correlated positively with overall survival of the patients, but only for those receiving complete surgical resections (figure 6B). Notably, *KLRC2* expression in primary or recurrent

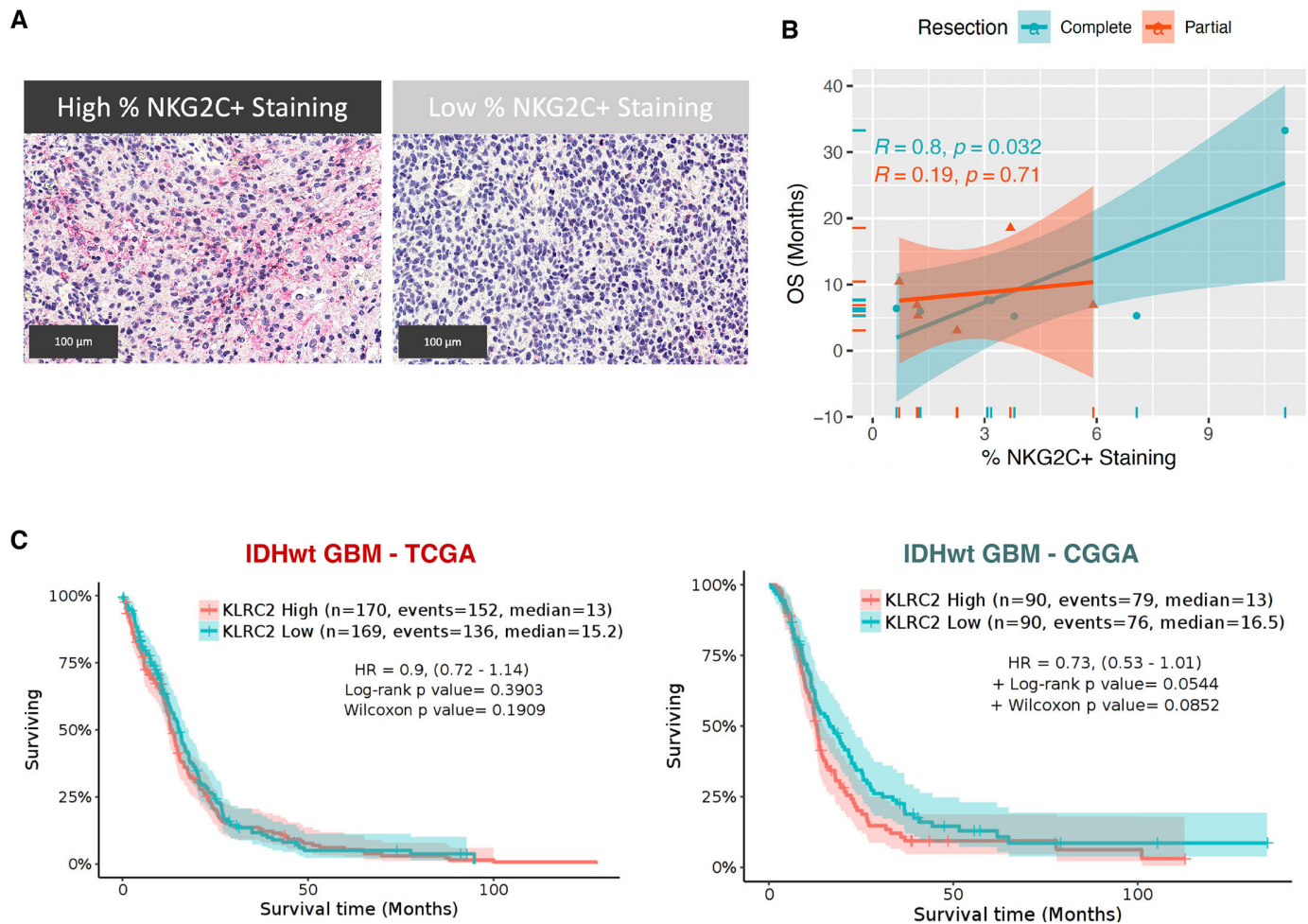


Figure 6 Relationship between NKG2C Expression and PD-1 mAb treatment efficacy in IDHwt patients with GBM. (A) Representative image of the immunohistochemical analysis (red) of NKG2C in patient tumor sections. (B) Correlation analysis between NKG2C+staining and overall survival (OS), stratified by the extent of tumor resection (complete (n=7) or partial (n=6)). The correlation coefficient (R) and p values are provided for each resection group. (C) Kaplan-Meier survival curves of IDHwt GBM divided according to the *KLRC2* expression in the TCGA and CGGA studies. Scale: 100 μ m. CGGA, Chinese Glioma Genome Atlas; GBM, glioblastoma; IDHwt, isocitrate dehydrogenase-wild type; mAb, monoclonal antibodies; PD-1, programmed cell death protein-1; TCGA, the Cancer Genome Atlas.

tumors does not have a prognostic value in the absence of immunotherapy (figure 6C).

To further validate the value of NKG2C expression as a biomarker to predict the response to PD-1 mAb treatment, we conducted an in-silico analysis of a recently published scRNA-seq data set from patients receiving pembrolizumab and anlotinib (a tyrosine kinase inhibitor) neoadjuvant combination therapy (figure 7A). The authors classified the group of patients as responders if their tumor volume either remained stable or continuously shrunk over at least 3 months or non-responders.²² Remarkably, the expression of *KLRC2* was higher in tumors from patients who responded to immunotherapy, with a particular enrichment in glial cell clusters, including radial glial cells, astrocytes and oligodendrocyte progenitor cells (OPCs) between responders and non-responders (figure 7B,C) (online supplemental figure 5A). Furthermore, our analysis revealed that other NK activating receptors (NKCRI, NCR2, NCR3, KLRK1, or KLRC3) did not exhibit significant overexpression in the responders' group when assessed using the predefined criteria (adjusted p value $\leq 1.0E-04$ and average log₂ fold change ≥ 1.0) (online supplemental table 3D). Notably, the expression of NKG2A/CD94 (encoded by *KLRK1/KLRD1*) (figure 7B–C), as well as other inhibitory receptors (online supplemental figure 5B,C), showed the opposite trend with higher expression in the non-responding tumors.

These results reinforce the idea that the immune remodeling induced by NKG2C expression in glioma cells does not have a strong impact on tumor burden without immunotherapy. In contrast, NKG2C expression facilitates GBM to become more sensitive to immune checkpoint inhibitor treatment and the quantification of this receptor becomes useful as a biomarker of response to PD-1 mAb-based immunotherapies.

DISCUSSION/CONCLUSION

NK cell receptors have emerged as possible regulators of the tumor immune microenvironment. However, a detailed characterization of NK-related genes in glioma has been missing until now. Our comprehensive analysis of the expression of these genes confirms previous reports showing high expression of NK receptors in NK and T cells in GBM.¹³ However, we described here for the first time the expression of NKG2C in neoplastic glial cells. This adds to the well-known plasticity of GBM cells that have been shown to acquire myeloid markers to attract additional immunosuppressive macrophages into the tumor milieu and further promote immune evasion.³¹ In fact, GBM cells can transition between different glioma phenotypes, and even transform into endothelial cells or pericytes to fuel tumor growth and to escape from therapies.³² *KLRC3*, encoding NKG2E and representing another NK activating receptor, was previously characterized as a gene that is differentially expressed in GBM cancer stem cells and promotes cell invasion and radioresistance through

TYROBP (encoded by *DAPI2*) and GSK3 β .²⁵ In our analysis, *KLRC2* that encodes for NKG2C has an even stronger expression in glial cells and particularly at the GBM infiltrating margin, suggesting that this receptor could also induce a more invasive profile to the cells. Although we did not describe the mechanism of action NKG2C in glioma cells, we found an increase in *TYROBP* expression in *KLRC2*-overexpressing tumors suggesting a similar function to that of NKG2E, possibly through autocrine and paracrine interactions with other cells. However, we cannot discard the induction of cell-intrinsic pathways in the presence of NKG2C.

Using both a mouse model and samples from patients, our study reveals a novel function for *KLRC2* expression by GBM cells, inducing a change in the immune profile with a clear reduction in the number of protumoral macrophages. While these changes do not impact tumor burden, they significantly enhance the response of tumors to immune checkpoint inhibition. This is in accordance with recent findings indicating that the depletion of immunosuppressive macrophages expressing CD73³³ or SIGLEC9²² synergizes with PD-1 or CTLA-4 mAbs. Additionally, the activation of MAPK, either through mutations in the BRAF/PTPB11 pathway present in 2–3% of GBM or through other mechanisms, has been consistently associated with longer overall survival in response to immunotherapy.^{34–36} Notably, the presence of phospho-ERK-positive cells in tumors was linked to the abundance of microglia with an inflammatory profile.³⁴ These results underscore the critical role of macrophages in the response to immunotherapy and the opportunities for better treatment through combination therapies.³⁷ Moreover, our results point to the fact that OPCs should also be considered as possible targets to modulate the immune profile of glioma. Notably, the highest accumulation of *KLRC2* expression in patients responding to nivolumab was found in the oligodendrocyte cluster (figure 7), underscoring the relevance of oligodendrocyte lineage cells in the control of the immune microenvironment of glioma, as happens in other diseases where they can serve as antigen-presenting cells.³⁸

Our results suggest that NKG2C accumulates in the peritumoral zone of GBM, a region that expands beyond the contrast-enhancing tumor core and contains infiltrating tumor cells that are supposed to be responsible for the recurrence of GBM.^{39–41} Although the tumor microenvironment of the extratumoral zone of GBM is still poorly characterized, previous investigations have suggested that it is enriched in microglial cells, while the intratumoral region possesses a higher proportion of blood-derived macrophages^{42–43} and immunosuppressive markers.^{44–45} These findings collectively suggest that the microenvironment at the invasive front of glioma is more favorable to the activation of an antitumor immune response, at least in part due to NKG2C expression in glioma cells. Consequently, immunotherapies may exhibit greater efficacy against tumor cells residing in non-contrast-enhancing areas. In contrast,

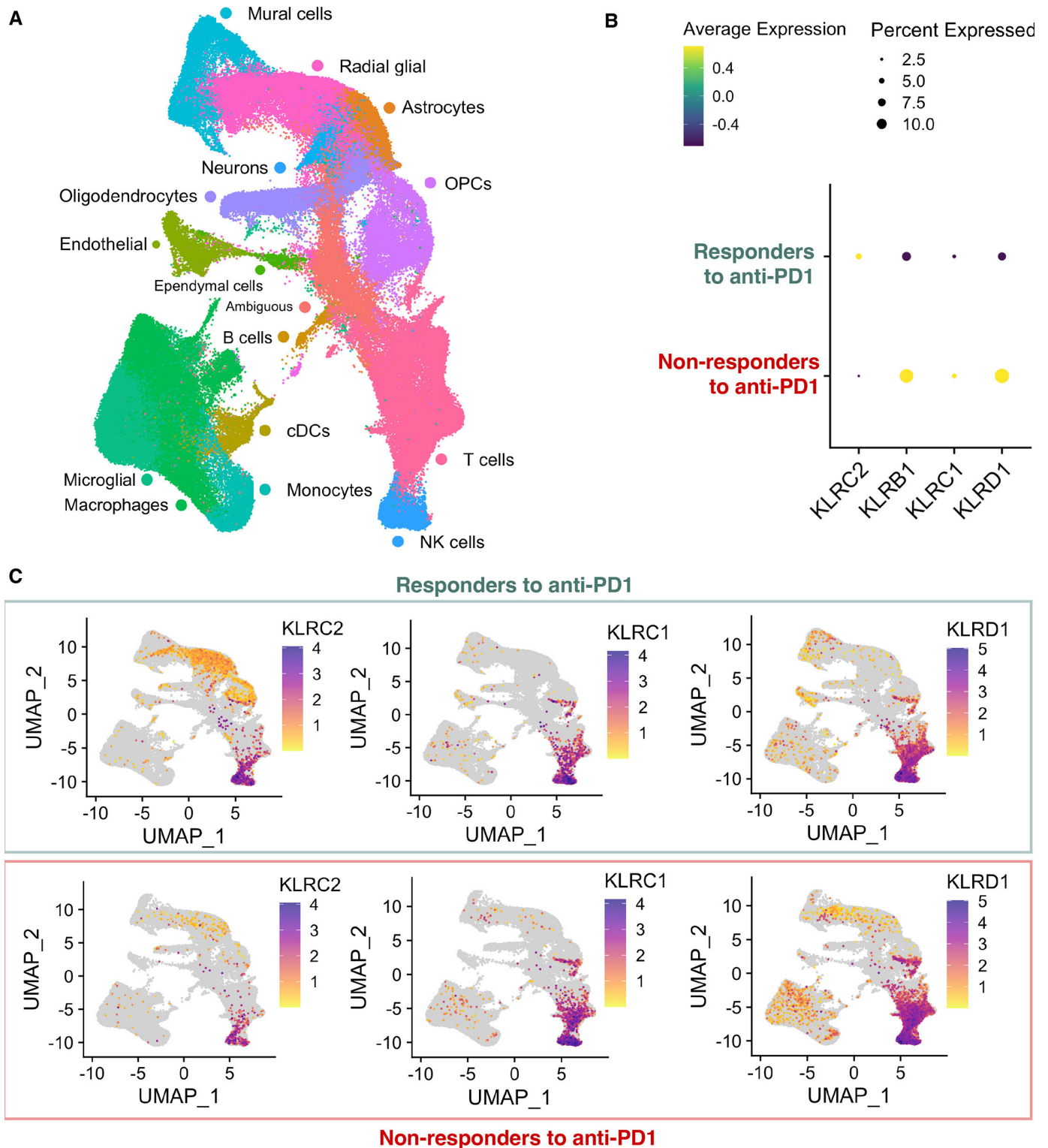


Figure 7 Association of the neoplastic expression of KLRC2 and other NK receptors with the response to anti-PD-1 in patients. (A) UMAP plot annotated by cell type within the isocitrate dehydrogenase-wild type glioblastoma single cell RNA sequencing database (CRA011176) (online supplemental table 1C). (B) Dot plot showing the percentage and average expression of *KLRC2*, *KLRB1*, *KLRC1*, and *KLRD1* markers among responders and non-responders to anti-PD-1. The dot.min value was set to 0.01, meaning only genes with expression levels above 1% are represented by a dot. (C) UMAP plot of cells with positive *KLRC2*, *KLRC1*, and *KLRD1* expression among responder and non-responder patients. cDCs, conventional dendritic cells; NK, natural killer; OPCs, oligodendrocyte progenitor cells; PD-1, programmed cell death protein-1; UMAP, uniform manifold approximation and projection.

patients who have undergone non-complete resections may retain a more immunosuppressed residual disease. This could explain why NKG2C expression did not have a predictive value in patients who did not undergo complete resections and contained larger amounts of immunosuppressive tumor when they received PD-1 inhibitor treatment. It is surprising that none of the published clinical trials have incorporated the extent of resection as a variable to study the response to checkpoint inhibitors despite its clear impact on patient survival and even in the absence of immunotherapies.^{46 47}

Initial studies determined that while PD-1/PD-L1 expression can serve as a biomarker for response, as observed in other types of cancer, although the data in patients with GBM are controversial.^{36 48} Similarly, a high mutational burden does not appear to be a robust predictor of response in GBM.⁴⁹ Our results highlight the relevance of characterizing the macrophage population and the repertoire of antigen-presenting molecules⁵⁰ and NK receptors (NKG2C in particular) to identify gliomas with a higher probability of responding to immune checkpoint regulators. Additionally, it will be highly interesting to determine whether the predictive value of the proposed biomarkers could be enhanced when assessed in the peripheral zone rather than in the tumor core. In any case, this combinatorial approach could refine the categorical decision-making for future trials with checkpoint inhibitors or other immunotherapies in patients with GBM.

Another important corollary of our study is the relevance of NK receptors as checkpoint modulators of the immune profile for GBM that reinforces the potential of NK cell-based immunotherapy as a novel GBM treatment strategy.^{51 52} In GBM, there is a high expression of the non-classical HLA-E and HLA-G, which contribute to the expression of peptides that interact with NK cells expressing NKG2A/CD94 (the inhibitory receptor) or those expressing NKG2C/CD94, which could have an important anti-glioma effect.⁵³ Based on our results, we can propose that NKG2C-positive cells or specific peptides to activate this receptor⁵⁴ should be tested in patients with GBM in synergism with PD-1 inhibitor treatments or other immunotherapies.

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