

1 **Prefrontal working memory signal primarily**
2 **controls phase-coded information within**
3 **extrastriate cortex**

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19

20 **Abstract**

21 In order to understand how prefrontal cortex provides the benefits of working memory (WM) for visual
22 processing we examined the influence of WM on the representation of visual signals in V4 neurons in two
23 macaque monkeys. We found that WM induces strong β oscillations in V4 and that the timing of action
24 potentials relative to this oscillation reflects sensory information- i.e., a phase coding of visual information.
25 Pharmacologically inactivating the Frontal Eye Field part of prefrontal cortex, we confirmed the necessity
26 of prefrontal signals for the WM-driven boost in phase coding of visual information. Indeed, changes in the
27 average firing rate of V4 neurons could be accounted for by WM-induced oscillatory changes. We present
28 a network model to describe how WM signals can recruit sensory areas primarily by inducing oscillations
29 within these areas and discuss the implications of these findings for a sensory recruitment theory of WM
30 through coherence.

31

32 **Keywords:** neural phase code, working memory, top-down control, prefrontal cortex, neural oscillations

33

34 **Introduction**

35 Our capacity to dynamically interact with the world around us based on our own needs, priorities
36 and goals is an example of our cognitive flexibility. The goals and plans preserved by working memory (WM)
37 are capable of altering our perceptions of and actions toward the world around us. Thus, understanding
38 how our plans alter the representation of sensory information can reveal the neural basis of cognitive
39 flexibility. Prefrontal cortex (PFC) is believed to be one of the sources controlling sensory signals according
40 to goals and plans¹⁻⁶. In this study, we are specifically examining how WM information sent from PFC can
41 influence the representation of sensory information in visual areas in macaque monkeys. We have already
42 shown that the Frontal Eye Field (FEF) part of PFC sends a direct WM signal to extrastriate area V4⁷, and
43 visual areas manifest the WM content in their oscillatory behavior⁸. Within the FEF, WM alters the efficacy
44 of V4 inputs as well, and these inputs mostly target neurons believed to be involved in the transformation
45 of visual information into motor action⁹. The finding that extrastriate visual areas receive the content of
46 WM, and that information sent from these areas to prefrontal cortex is undergoing a visuomotor
47 transformation, provide a clear picture of the sequence of events giving rise to the benefits of WM.
48 However, exactly how this top-down WM signal enhances the representation in visual areas is not known,
49 considering that neurons in extrastriate visual areas show little or no change in their firing rate in response
50 to WM content¹⁰⁻¹⁴. Thus, how WM impacts visual representations is crucial for understanding the neural
51 code, since the behavioral consequences of WM¹⁵⁻²¹ suggest that the representation altered by WM content
52 is likely to be the representation that our behavior relies on.

53 Knowing that the FEF sends a spatially-specific signal to V4 carrying the content of WM⁷, we studied
54 the responses of V4 neurons while top-down WM is directed to their receptive field (RF) or elsewhere,
55 under the conditions in which FEF activity is intact or disrupted using pharmacological manipulation. V4
56 neurons were provided with a bottom-up visual input with the goal of understanding how a top-down WM
57 signal can alter the representation of bottom-up information. This arrangement revealed that WM primarily
58 enhances the phase coding of visual information in V4. Pharmacological inactivation demonstrated that FEF
59 activity is necessary for this phase-dependent representational enhancement in V4. We also show that
60 average firing rate modulations within visual areas can be accounted for by WM-induced oscillations within
61 these areas, supporting the primacy of WM-dependent oscillations in generating the signatures of WM
62 within sensory areas. These results corroborate a WM model in which sensory areas can be recruited by
63 higher areas without needing to change the average firing rate of sensory neurons.

64

65 **Results**

66 **WM modulates oscillatory power and spike timing in V4, but not average firing rates**

67 In order to assess the impact of WM on the visual representation, we recorded local field potentials
68 (LFPs) and neuronal activity in extrastriate area V4 during a spatial WM task with visual signals presented
69 to neurons independent of the WM demand (see Methods; Fig. 1A). The animal had to remember a visual
70 cue presented either inside the V4 RF or in the opposite hemifield (memory IN and OUT conditions,
71 respectively), and following a delay period, made a saccade to the remembered location to receive a
72 reward. The background stimulus could have one of four orientations and three levels of contrasts (or no
73 contrast, the classic memory-guided saccade (MGS) task), allowing us to examine the interaction between
74 bottom-up sensory information and top-down WM signals. We recorded 145 V4 neurons across 88
75 recording sessions; most of our analysis focuses on their responses during the last 700ms of the delay period
76 of the WM task.

77 As expected, V4 neurons were capable of signaling the properties of the background stimulus present in
78 their RF. The left panel in figure 1B shows the response of a sample V4 neuron during the IN condition.
79 During the fixation period and the cue period, the neuron exhibited sensitivity to different contrast levels
80 of the background stimulus projected to its RF (main effect of contrast: $F_{\text{Fixation}}=41.744$, $p<10^{-16}$; $F_{\text{Cue}}=14.541$,
81 $p<10^{-6}$; one-way ANOVAs). The neuron's contrast sensitivity was still manifested in its firing rate during the
82 delay period ($F_{\text{IN}}=19.950$, $p<10^{-8}$; One-way). As shown on the right side of figure 1B, this neuron also showed
83 contrast sensitivity during the delay period of the OUT condition ($F_{\text{OUT}}=17.851$, $p<10^{-7}$; One-way ANOVA).
84 Importantly, the contrast sensitivity during the delay period was not significantly different between the IN
85 vs. OUT conditions ($F_{\text{condition}}=5 \times 10^{-4}$, $p=0.981$; $F_{\text{contrast}}=37.540$, $p<10^{-16}$; $F_{\text{interaction}}=0.301$, $p=0.742$; Two-way
86 ANOVA). Similarly, the neuron was selective for the orientation of the background stimulus. The neuron
87 exhibited orientation sensitivity during the fixation period as well as the delay period in both IN and OUT
88 conditions (main effect of orientation: $F_{\text{Fixation}}=16.401$, $p<10^{-8}$; $F_{\text{IN}}=10.410$, $p<10^{-5}$; $F_{\text{OUT}}=19.014$, $p<10^{-10}$;
89 One-way ANOVAs; Fig. 1C). As with contrast, the content of WM (IN vs. OUT condition) did not significantly
90 change the neuron's orientation sensitivity during the delay period ($F_{\text{Condition}}=5 \times 10^{-4}$, $p=0.982$;
91 $F_{\text{Orientation}}=28.082$, $p<10^{-16}$; $F_{\text{Interaction}}=0.810$, $p=0.492$; Two-way ANOVA). Thus, this sample neuron exhibited
92 contrast and orientation sensitivity for the background stimulus; however, WM did not alter the stimulus
93 information reflected in the neuron's firing rate.

94 The same pattern was observed in the population of 145 V4 neurons: neurons' firing rates reflected
95 the properties of the background stimulus, but this capacity was not affected by WM. The response to
96 different background stimuli was similar between the fixation period, delay IN, and delay OUT conditions,
97 both for contrast ($F_{\text{Contrast}}=16.923$, $p<10^{-7}$; $F_{\text{Condition}}=0.311$, $p=0.733$; $F_{\text{Interaction}}=0.400$, $p=0.811$; Two-way
98 ANOVA) and orientation ($F_{\text{Orientation}}=23.294$, $p<10^{-14}$; $F_{\text{Condition}}=0.770$, $p=0.465$; $F_{\text{Interaction}}=0.202$, $p=0.976$;
99 Two-way ANOVA) (Fig. 1D, 1E; see also Fig. S1A-B). Figure 1F shows the ability of V4 neurons to discriminate
100 between all 12 stimuli of various contrasts and orientations across time. Overall, the ability of V4 neurons
101 to discriminate between various background stimuli was not altered during the delay period of the IN vs.
102 OUT conditions ($\text{discriminability}_{\text{IN}}=1.514\pm 0.783$, $\text{discriminability}_{\text{OUT}}=1.482\pm 0.680$, $p=0.462$; Fig. 1G; see also
103 Fig. S1C-D). Therefore, whereas sensory information is reflected in the firing rate of V4 neurons, the impact
104 of spatial WM on the sensory representation is not traceable by this neural signature.

105 In contrast to the lack of WM-driven change in firing rates, we found that V4 LFP oscillations strongly
106 reflect the content of WM. Figure 1H shows the LFP power spectrum during the delay period for the same
107 channel of recording as of the example neuron shown in Figure 1B-C. The β (14-22 Hz; see Methods) band
108 power was 0.019 ± 0.013 dB/Hz during the IN condition, which is 18.9% greater than the 0.016 ± 0.012 dB/Hz
109 during the OUT condition (Wilcoxon ranksum, $p=0.002$). Therefore, although neurons' firing rates do not
110 change due to WM, the power of the LFP oscillation in the β range reflects the impact of WM in the same
111 recording channel. This phenomenon was observed across the 88 LFP recordings (Fig. 1I): β LFP power
112 during the delay was greater for the IN condition compared to the OUT condition ($\text{Power}_{\text{IN}}=2.001\pm 0.336$,
113 $\text{Power}_{\text{OUT}}=1.842\pm 0.333$, $p<10^{-3}$; Fig. 1J). Importantly, this WM-dependent enhancement of β power was
114 observed independent of the background stimuli (Fig. S2). In summary, a visual area known to receive the
115 spatial WM signal exhibits the signature of this top-down signal in its subthreshold LFP activity, but not in
116 neurons' firing rates.

117 The LFP reflects a combination of nearby currents, including synaptic inputs²²⁻²⁵, and is not directly
118 transmitted along axons to other brain areas in the manner that spikes are. Therefore, we sought to identify
119 whether these WM-dependent oscillatory changes impact any other aspects of spiking activity within V4.
120 Although at the coarse scale of average firing rate there was no change due to WM, at a higher temporal
121 resolution it became evident that the timing of V4 action potentials depended on the phase of WM-induced
122 β oscillations. Figure 2A shows the distribution of spikes generated by a sample V4 neuron across various
123 phases of the β oscillation during the delay period, for memory IN and OUT conditions. The average delay

124 period FR was not different between the two memory conditions ($F_{\text{Condition}}=2.050$, $p=0.153$; $F_{\text{Contrast}}=11.511$,
125 $p<10^{-4}$; $F_{\text{Interaction}}=1.314$, $p=0.271$; Two-way ANOVA), but the phase distribution of spikes during the delay
126 period of the IN condition was more concentrated (centered around 160-degree phase) compared to the
127 OUT condition. To quantify the phenomenon, we used the spike-phase locking (SPL) as a measure of how
128 consistently spikes of a neuron are generated at a certain phase. The SPL index varies between 0 (spikes
129 homogeneously distributed across phases) to 1 (all spikes occurring at a certain phase). For the sample
130 neuron shown in Figure 2A, SPL changed from 0.063 for the OUT to 0.163 for the IN condition. Across the
131 population of 145 V4 neurons, we found a consistent impact of WM on SPL: SPL relative to the β oscillation
132 was significantly greater during the IN condition compared to the OUT condition ($n=145$ neurons;
133 $\text{SPL}_{\text{IN}}=0.164\pm 0.014$, $\text{SPL}_{\text{OUT}}=0.155\pm 0.005$, $p<10^{-3}$; Fig. 2B). These results indicate that although WM does not
134 change the average firing rate, it influences V4 spike timing to be more closely aligned with WM-dependent
135 oscillations. Conversely, evaluating the consistency of the LFP at the time of V4 spikes, the spike triggered
136 average (STA) LFP also shows this strong coupling of spikes to LFP phase in the β range (Fig. S3).

137 **Spatial WM specifically enhances phase coding of visual information**

138 To understand how WM-induced oscillations benefit the sensory representation within V4, we
139 examined whether V4 neurons' sensitivity was reflected in the timing of their spikes relative to these WM-
140 induced oscillations. As shown in figure 2C, analyzing the average normalized LFP at the time of spiking (the
141 STA) for an example neuron, we observed that in the presence of the high contrast stimulus in the
142 background during the memory IN condition, V4 spikes were associated with a steeper LFP change
143 compared to when a low contrast stimulus was in the background ($\text{Slope}_{\text{High}}=0.052$, $\text{Slope}_{\text{Low}}=0.029$). For
144 this neuron, this difference was less prominent during the OUT condition ($\text{Slope}_{\text{High}}=0.042$, $\text{Slope}_{\text{Low}}=0.035$).
145 We observed a similar phenomenon across the population. The LFP slope around the time of a spike was
146 significantly sharper when the preferred (high contrast) stimulus was presented in the background,
147 compared to the nonpreferred (low contrast) stimulus, during the IN condition ($\text{Slope}_{\text{High}}=0.046\pm 0.003$,
148 $\text{Slope}_{\text{Low}}=0.021\pm 0.002$, $p<10^{-8}$; Fig. 2D left). This difference was not observed during the OUT condition
149 ($\text{Slope}_{\text{High}}=0.030\pm 0.002$, $\text{Slope}_{\text{Low}}=0.039\pm 0.004$, $p=0.110$, Fig. 2D right). This indicates that WM-induced
150 oscillations can facilitate the spiking activity in visual areas, consistent with what other groups have shown
151 regarding the role of oscillations as a boost for passing the spiking threshold²⁶. Similar results were
152 observed for preferred versus nonpreferred orientations (Fig. S4).

153 The idea that a WM-induced oscillation can change the timing of spikes also suggests the possibility
154 that the timing of spikes relative to that oscillation could convey information, referred to as a neural phase
155 code. We used the mutual information (MI) to quantify visual information conveyed by either the phase or
156 rate of spikes to have a side-by-side comparison of a neural phase vs. rate code (see Methods). Figure 2E
157 left shows the average population MI measured based on phase coding across time for both the IN and OUT
158 conditions. The presence of the WM cue reduced both phase and rate coding of background information
159 during the visual period (Phase $MI_{IN}=0.007\pm 0.001$ bits, Phase $MI_{OUT}=0.014\pm 0.002$ bits; $p=0.012$; Rate
160 $MI_{IN}=0.019\pm 0.002$ bits, Rate $MI_{OUT}=0.031\pm 0.003$ bits; $p=0.012$). However, maintenance of WM information
161 during the delay period increased the phase coding capacity of the V4 neurons to represent information
162 about the stimulus in their RF, but did not alter their rate coding. Figure 2F shows the capacity of V4 neurons
163 to encode the background stimulus during the IN vs. OUT conditions under phase (left) and rate (right)
164 coding schemes. Phase MI during the delay period of the IN condition was 0.019 ± 0.002 bits, significantly
165 greater than the 0.012 ± 0.002 bits during the OUT condition ($p<10^{-3}$). However, delay period rate MI was
166 not significantly different between the IN and OUT conditions ($MI_{IN}=0.024\pm 0.003$ bits; $MI_{OUT}=0.022\pm 0.003$
167 bits, $p=0.261$). We also found that the WM-dependent enhancement of phase-dependent visual
168 representation was limited to the β range oscillations (Fig. 2G) It is imperative to note that despite the
169 seemingly small values of MI (e.g., 0.019 bits), an increase of 54% in phase MI between the IN and OUT
170 conditions means a huge boost in coding capacity due to WM. The MI value can be interpreted as a measure
171 of the rate of statistical learning from incoming data through which sensory decisions can be made. For
172 example, with 0.012 bits per 100 ms phase MI available in the OUT condition, for a population of 100
173 neurons firing independently it would take 294 ms to fully differentiate 12 stimuli. WM-induced
174 enhancement of phase MI means that the same discriminatory capacity can be achieved within 190 ms with
175 the same number of neurons, or within the same amount of time but with only 65 neurons. Altogether,
176 consistent with the finding that WM mainly modulated oscillations within the β range (Fig. 1I, J), we found
177 that WM mostly improves the phase coding in V4 within the same β range. Thus, WM specifically enhanced
178 β range phase coding in V4, without altering rate coding.

179

180 **FEF activity is necessary for the phase-dependent representation within V4 during WM.**

181 The FEF sends direct projections to V4 carrying the content of spatial WM⁷. To causally test whether
182 the observed WM-driven phase coding in V4 depended on signals received from the FEF, we recorded from

183 V4 neurons before and after pharmacologically inactivating a portion of the FEF using a small-volume
184 injection of the GABAa-agonist muscimol (Fig. 3A). Localized FEF inactivation is known to impair
185 performance on the MGS task in a spatially-specific manner^{27,28}. As shown for an example inactivation
186 session in figure 3B: prior to inactivation, the animal performed well at all locations, and after FEF
187 inactivation performance was disrupted for conditions in which the cue appeared in the left hemifield,
188 contralateral to the inactivated FEF. Figure 3C shows average MGS performance over time at various
189 locations across 33 inactivation sessions: performance for the IN condition and neighboring locations
190 decreased over time following FEF inactivation. For the IN condition, the performance dropped from
191 90.32 ± 2.62 percent correct before to 68.49 ± 5.39 percent correct three hours after inactivation ($p < 10^{-3}$).
192 Across the same 33 sessions, saccade error during the IN condition compared to the OUT condition was not
193 different prior to FEF inactivation ($\text{Scatter}_{\text{IN}} = 1.012 \pm 0.022$ dva, $\text{Scatter}_{\text{OUT}} = 0.989 \pm 0.023$ dva, $p = 0.520$; Fig.
194 3D), but was significantly greater for the IN condition after FEF inactivation ($\text{Scatter}_{\text{IN}} = 1.192 \pm 0.042$ dva,
195 $\text{Scatter}_{\text{OUT}} = 1.033 \pm 0.032$ dva, $p = 0.001$; Fig. 3D). Similarly, reaction time (RT) increased following FEF
196 inactivation for the IN condition compared to the OUT condition (before inactivation: $\text{RT}_{\text{IN}} = 1.015 \pm 0.003$,
197 $\text{RT}_{\text{OUT}} = 1.023 \pm 0.007$, $p = 0.741$; after inactivation: $\text{RT}_{\text{IN}} = 1.075 \pm 0.015$, $\text{RT}_{\text{OUT}} = 1.014 \pm 0.006$, $p < 10^{-4}$, Fig. 3E).

198 We recorded from 66 V4 neurons before and after FEF inactivation. Both the V4 LFP power spectrum
199 and SPL showed a reduction in the β range following FEF inactivation (Fig. S5). In these 66 neurons, prior to
200 inactivation, the impact of WM on phase coding was evident: during the delay period there was significantly
201 stronger phase coding of information in the β range for the memory IN condition (Fig. 2E-G; Phase
202 $\text{MI}_{\text{IN}} = 0.023 \pm 0.004$ bits, Phase $\text{MI}_{\text{OUT}} = 0.018 \pm 0.003$ bits, $p = 0.039$). Consistent with figure 2E, WM did not alter
203 the strength of rate coding (Rate $\text{MI}_{\text{IN}} = 0.022 \pm 0.005$ bits, Rate $\text{MI}_{\text{OUT}} = 0.020 \pm 0.005$ bits, $p = 0.446$).
204 Importantly, the phase coded information within the β range during the delay period of the task dropped
205 following FEF inactivation (Fig. 3F). Figure 3G shows the cross section of figure 3F at the β frequency,
206 depicting the dynamics of phase MI over the course of a trial before and after FEF inactivation. The MI
207 values for individual neurons during the delay period of the IN condition for each session are shown in figure
208 3H; following FEF inactivation, phase-coded MI in the β range dropped from 0.023 ± 0.004 bits to
209 0.014 ± 0.003 bits ($n = 66$ neurons, $p = 0.005$; Fig. 3H). Rate coding, in contrast, was unaffected by FEF
210 inactivation (IN condition: Rate $\text{MI}_{\text{Pre}} = 0.022 \pm 0.005$ bits, Rate $\text{MI}_{\text{Post}} = 0.020 \pm 0.004$ bits, $p = 0.880$). Thus, WM's
211 enhancement of phase coding in V4 depended on activity within the FEF.

212

213 **Primacy of a phase code**

214 The finding that WM mainly modulates phase coded information within extrastriate areas
215 fundamentally shifts our understanding of how the top-down influence of prefrontal cortex shapes the
216 neural representation, suggesting that inducing oscillations is the main way WM recruits sensory areas.
217 However, while this side-by-side comparison of rate and phase coding shows the strength of the latter,
218 several studies have reported an impact of WM on the firing rate of visual neurons^{7,14,29-31}. One can argue
219 that a slight increase in firing rate at each stage of visual processing can gradually accumulate to eventually
220 emerge in the form of a robust firing rate change¹¹, and that this will be sufficient to support WM. In order
221 to determine the primary means by which WM alters neural representations, we constructed a neural field
222 network model of visual areas during WM. In order to examine the impact of WM on oscillatory and firing
223 rate changes in visual areas, we designed the model to consist of interconnected excitatory and inhibitory
224 units (Fig. 4A, e-cells and i-cells) capable of generating oscillatory activity. To modulate this oscillatory
225 activity, these neural field units received bottom-up and top-down type input. The units were tuned to
226 different stimulus ‘features’ of the bottom-up input (analogous to orientation tuning of V4 neurons in the
227 experimental data, with input strength analogous to contrast). The top-down input was not feature
228 selective, providing a uniform input across the network, with stronger connections to e-cells than i-cells,
229 consistent with what is known about the FEF-V4 circuitry and anatomy^{7,32}. A higher strength of WM signal
230 in the model corresponds to the memory IN condition, in comparison to the absence of WM input in the
231 memory OUT equivalent. The model replicates several key features of the experimental data: units reflect
232 sensory information in their phase and rate, WM-enhanced β power, and locking of units’ activity to this
233 oscillation under the influence of WM (Fig. S6). The model’s phase coding of visual stimuli is evident in the
234 relative timing of responses of differently tuned e-cells to an input stimulus (Fig. 4B). Using this model, we
235 can directly compare the magnitude of information encoded by the phase and rate of model units,
236 quantified via information gain (see Methods). We found that not only was information encoded by phase
237 much greater than that encoded by rate, but also that phase and rate information were oppositely affected
238 by changes in WM strength: phase information increased and rate information decreased as the WM signal
239 increased (Fig. 4C). We also found this same divergent pattern between phase and rate codes when
240 measuring coding performance using mutual information (see Fig. S7). Therefore, the quantification of
241 information within a tangible network model revealed that in an oscillating network, a top-down induced
242 oscillation can be detrimental to the rate-dependent representation of information.

243 The model revealed that stronger WM input increased both oscillation strength and peak frequency
244 (Fig. S6), and we hypothesized that this change in frequency could explain changes in firing rate. We tested
245 this idea both in the model and in the experimental data. In the model, we varied the strength of the WM
246 signal across 4 levels of stimulus input strength (resembling various levels of stimulus contrast). We then
247 divided oscillatory cycles occurring in the respective stimulus input levels into deciles based on their
248 oscillation frequency, and measured information encoded by phase and rate as a function of oscillation
249 frequency (Fig. 4D). Phase information increased with increasing oscillation frequency while rate
250 information decreased at higher oscillation frequencies, for all non-zero contrast levels (Model utility t-test
251 for contrasts 0-3 respectively; phase code: $p > 0.05$, > 0.05 , < 0.01 , 0.001 ; and rate code: $p > 0.05$,
252 < 0.05 , 0.01 , 0.0001). Importantly, we found that the network replicating the oscillatory and representational
253 characteristics of V4 during WM shows an increased firing rate as oscillation frequency increases. Figure 4E
254 shows how small variations in the strength of the WM signal resulted in correlated changes in oscillation
255 frequency and firing rate ($r = 0.901$; linear model utility t-test significant: $p < 0.0001$). Thus, firing rate is
256 positively correlated with oscillation frequency, but information encoded by that rate is negatively
257 correlated (Fig. 4C vs. 4E). To confirm that such a relationship exists in the experimental data, for each
258 neuron we measured the peak LFP frequency and average firing rate across subsamples of trials, allowing
259 us to test the relationship between peak β frequency and evoked firing rate within a single condition. As
260 shown for two sample V4 neurons, such a relationship between peak frequency and average firing rate
261 existed between the IN and OUT conditions (for a single background stimulus; Fig. 4F & G). For the first
262 sample neuron, in which the peak frequency changed from 18.81 to 15.84 Hz between the IN and OUT
263 conditions, the firing rate changed from 1.084 to 0.869, and peak frequency and firing rate were correlated
264 across subsamples of trials (Pearson correlation, $r = 0.716$, $p < 10^{-32}$; Fig. 4F). Results from the second sample
265 neuron show that this relationship remains the same even in cases where WM reduces the peak frequency
266 (peak-frequency_{IN} = 15.041 Hz, peak-frequency_{OUT} = 16.827 Hz, FR_{IN} = 0.630, FR_{OUT} = 0.816): the correlation
267 between firing rate and peak frequency remains positive (Pearson correlation, $r = 0.671$, $p < 10^{-26}$; Fig. 4G). At
268 the population level, we looked at firing rate during the delay period across subsamples of trials for all 145
269 V4 neurons, sorted according to their peak frequency (Fig. 4H). As shown in Figure 4H left, this relationship
270 between peak frequency and firing rate was present across both IN and OUT conditions; more importantly,
271 this relationship remained the same between the two memory conditions ($F_{\text{Condition}} = 9.649$, $p = 0.003$;
272 $F_{\text{Frequency}} = 399.566$, $p < 10^{-31}$; $F_{\text{Interaction}} = 2.935$, $p = 0.091$, ANCOVA), suggesting that the frequency of WM-
273 induced oscillations can account for firing rate changes. Critically, a similar analysis for the visual period of

274 the task revealed that the presence or absence of a visual stimulus (IN vs. OUT condition) creates a much
275 larger change in firing rate, which cannot be accounted for by changes in β frequency ($F_{\text{Condition}}=5.113 \times 10^3$,
276 $p < 10^{-72}$; $F_{\text{Frequency}}=103.220$, $p < 10^{-15}$; $F_{\text{Interaction}}=71.427$, $p < 10^{-11}$, ANCOVA) (Fig. 4H right). In other words,
277 changes in rate during the delay period may be a consequence of changes in phase locking frequency. We
278 also examined the relationship between peak frequency and firing rate as a function of stimulus efficacy.
279 Both firing rate and peak frequency vary with stimulus efficacy (Fig. S8A; two sample neurons), but there
280 was no difference for this relationship between the IN and OUT condition (Fig. S8B). Figure S9 shows that
281 this relationship between firing rate and peak frequency is specific to the β frequency range. While there
282 was no overall change in average firing rate due to WM across the V4 population (see Fig. 1, Fig. S1, and
283 related statistics), this analysis further suggests that any WM-related changes in the firing rates of individual
284 V4 neurons could be primarily driven by changes in oscillatory frequency.

285 Discussion

286 Prefrontal cortex modulates sensory and motor signals in order to guide our actions based on goals and
287 priorities maintained in WM^{3,4,33,34}. Within prefrontal areas, FEF sends direct projections to extrastriate
288 visual areas carrying the content of WM⁷. We designed a paradigm in which neurons in extrastriate area V4
289 are provided with bottom-up input while the top-down signal carrying WM content can be directed to the
290 part of space represented by these neurons or elsewhere. This allowed us to examine which aspect of the
291 sensory representation within V4 is influenced by a top-down WM signal, and to causally test the role of
292 FEF activity in this WM-driven modulation. We found that a neural phase code representation of sensory
293 stimuli was strongly modulated by top-down WM signals coming from the FEF, while firing rates were
294 relatively unaffected, leading us to conclude that representations based on the average firing rate of
295 neurons are not the primary way that top-down signals enhance sensory processing. Using a combination
296 of computational modelling and experimental data analysis, we provided evidence that any changes in the
297 average firing rate of individual neurons might be a byproduct of small changes in the frequency of the WM-
298 induced oscillation.

299 The long³⁵ and growing³⁶⁻³⁸ list of neural signatures of attention begs a unifying theory describing
300 the exact mechanisms involved in generating this plethora of neural signatures. Many of these signatures
301 are seen in both attention and WM, including enhanced visual responses^{7,39}, changes in inter-neuronal
302 correlations^{40,41}, decreased variability^{7,42}, and shifts in RFs^{7,43,44}. In light of the present findings, we suggest
303 that by inducing an oscillation, top-down signals allow expression of sensory representations in the form of

304 a neural phase code: neurons emit action potentials in response to this induced oscillation with a relative
305 timing that reflects their sensitivity. Slight changes in the frequency of the oscillation might then account
306 for changes in the average firing rate of neurons in sensory areas, and one can imagine coherent oscillations
307 altering the dependent and independent variability of the neurons as well. Understanding whether the β
308 oscillations observed in our study function in the same way as the mostly gamma oscillations reported in
309 attention studies will require a more complete understanding of the characteristics of oscillators operating
310 in the presence and absence of visual information (see also ⁴⁰).

311 A framework in which top-down signals primarily alter the phase of the spikes faces an important
312 challenge: in communication between brain areas, LFP oscillations are not carried along with spikes down
313 axonal projections. A phase code without its oscillatory reference frame is likely unreadable. However,
314 studies in our lab and others have provided growing evidence that there are coherent oscillations between
315 brain areas during WM, which could provide the shared oscillatory frame of reference required to transfer
316 phase-coded information. For example, oscillatory coherence between FEF and inferotemporal cortex
317 exists and predicts performance on an object WM task⁴⁵; similarly, synchrony between PFC and V4 is also
318 correlated with WM performance⁴⁶. Oscillatory coherence between prefrontal and parietal areas also
319 reflects the content of WM⁴⁷⁻⁴⁹. For a more complete review of findings of inter-areal coherence during
320 WM and their relationship to performance, see ⁵⁰. The significance of enhancing the efficacy of signals by
321 generating a coherent signal ⁵¹ has previously been presented in the context of communication through
322 coherence (CTC)⁵²⁻⁵⁵, as has the idea that phase in the receiving area can influence sensitivity to incoming
323 signals^{56,57}. In addition to gating of efficacy by phase of the receiving area (as in CTC, where this gating can
324 make a downstream area more sensitive to input from one source than another⁵⁸), the precise timing of
325 spikes relative to oscillations even within a coherently oscillating site (i.e. phase coding) could also be crucial
326 when this timing is going to be gated back into signal strength using a coherent oscillation in the receiving
327 area.

328 We found that WM signals allow expression of visual representations in the form of a neural phase
329 code, indicating that prefrontal cortex can recruit sensory areas using a WM-induced oscillation. This new
330 finding, along with the abundant evidence of coherent oscillations across brain areas during WM⁵⁰, lead to
331 a working hypothesis about how WM can recruit sensory areas. Consistent with sensory recruitment
332 theories of WM^{33,59-61}, these results suggest that sensory and memory signals can be preserved in sensory
333 areas without being expressed in their average firing rate. This latent information is expressed in the form
334 of a phase code in response to a WM-induced oscillation, and is potentially readable by other areas that

335 have oscillations coherent with the oscillatory frame of reference induced by WM. This proposed
336 recruitment through coherence framework of working memory⁶² offers an explanation for how WM can
337 recruit highly feature-sensitive sensory areas in the absence of robust firing rate changes within them.

338

339 Materials and methods

340

341 ***Experimental model details***

342 We recorded from two male rhesus monkeys (Macaca mulatta, 12 and 16Kg). All experiments and animal
343 procedures in this study were in accordance with the National Institutes of Health Guide for the Care and
344 Use of Laboratory Animals and the Society for Neuroscience Guidelines and Policies. Protocols for
345 experimental and behavioral procedures were approved by the University of Utah Institutional Animal Care
346 and Use Committee.

347

348 ***General and surgical procedures***

349 All surgeries were performed under aseptic conditions, using standard techniques and gas anesthesia, with
350 appropriate peri-surgical analgesia and monitoring. After the study's conclusion, both animals remained
351 healthy and were subsequently utilized in other research endeavors. Stereotactic surgery coordinates for
352 the PFC and V4 chambers (20mm diameter) were performed for monkey 1, right hemisphere, at (AP 25+(2),
353 ML 15 (± 0)) and (AP -5(-1), ML 20+(2)), and for monkey 2, left hemisphere, at (AP 30+(1-2), ML 15-(1-2)) and
354 (AP -5-(1-2), ML 20+(1-2)).

355

356 ***Behavioral tasks***

357 We programmed all behavioral tasks using the NIMH Monkeylogic toolbox (ML2) 55, on 64-bit Matlab
358 software (The MathWorks, Inc., Natick, MA). We monitored eye position with an infrared optical eye-
359 tracking (EyeLink 1000, SR Research, Ottawa, Canada). Visual tasks were presented on a VG248 ASUS LED
360 monitor with a refresh rate of 144 Hz and resolution of 1920 x 1080 pixels.

361

362 ***V4 RF mapping***

363 On a daily basis, we first identified V4 RFs using audible responses to oriented bars. Second, we presented
364 a series of visual stimuli on a black background, to quantitatively estimate V4 RFs based on the neuron's
365 firing rate response. Visual stimuli were white circles (1dva diameter), 100ms on, 100ms off,
366 pseudorandomly presented in a 7x7 grid spaced 2.5 dva between stimuli. The monkeys fixated on a central
367 white circle (1dva diameter) throughout the trial.

368

369 *FEF RF mapping*

370 We estimated FEF RFs using electrical stimulation within the anterior bank of the arcuate sulcus, in biphasic
371 microcurrent pulses (50 μ A) using a S88 Grass stimulator. Stimulation was performed via tungsten
372 microelectrodes (FHC, Bowdoin, ME). FEF sites were identified based on the landing point of the evoked
373 eye movement following stimulation with currents \leq 50 μ A.

374

375 *Memory guided saccade tasks*

376 To assess the influence of WM on the representation of sensory stimuli, we used a variant of MGS task with
377 a background stimulus (Fig. 1A). The MGS-background task is similar to classic MGS task with a task-
378 irrelevant full field stimulus in the background. The background stimulus was an oriented grating, which
379 could appear in one of four orientations and 4 contrasts (0% contrast is just a classic MG task). The WM cue
380 was placed either within the overlapping RF of FEF and V4 (IN condition) or 180 degrees away (OUT
381 condition). During FEF inactivation experiments, a classic 8 location MGS task with no background was used
382 to assess the behavioral consequences of drug injection over space and time (Fig. 3B-E).

383

384 ***Neurophysiological recording***

385 We recorded the activity of 145 V4 neurons across 88 recording sessions (55 sessions Monkey E, 33 sessions
386 Monkey O), including 66 V4 neurons during 33 FEF inactivation sessions (29 sessions Monkey E, 4 sessions
387 Monkey O). We recorded neurophysiological activity using Neuralynx and Blackrock data acquisition
388 systems. We digitized spike waveforms at 32 KHz, and performed offline spike sorting manually. We used
389 single tungsten microelectrodes of 200 μ m diameter, with epoxylite insulation (FHC, Bowdoin, ME), and
390 linear 16-channel arrays (Plexon, Dallas, TX). Electrodes were inserted using a hydraulic microdrive
391 (Narishige, Japan).

392 *V4 recordings:* We simultaneously recorded from FEF (single electrode) and V4 (single or linear array
393 electrodes). In this paper we only present the data from the V4 recordings.

394 *FEF inactivation with V4 recording:* FEF was pharmacologically inactivated through infusion of 0.5-1 μ L of
395 the GABA-a agonist muscimol, using a custom microinjectrode system (described in ^{63,64}). Muscimol
396 concentration was 5mg/ml (pH 6.5 to 7). V4 activity was recorded from a site with RFs overlapping the
397 estimated FEF RF, before and after FEF inactivation. Performance on the memory guided saccade task was
398 used to verify FEF inactivation.

399

400 **Data analysis**

401 *Quantification and statistical analysis*

402 For all analyses of V4 responses (main Figs. 1,2,4), we pooled V4 data from simultaneous FEF-V4 recording
403 sessions with data from FEF inactivation sessions (using the V4 data prior to FEF inactivation). For the results
404 of Figure 3, we assess the role of FEF on V4 coding using inactivation data in which we recorded from V4
405 before and after FEF inactivation. Evaluations of neural responses to background stimuli of varying contrast
406 or orientation include the three non-zero contrast values. Wherever a statistical test is not specified it is
407 Wilcoxon sign rank. P values are reported up to three decimal digits, and p values less than 0.001 are
408 reported as $p < 10^{-x}$. The β range here is 14-22 Hz.

409

410 *LFP power spectrum, spike-phase locking, and STA*

411 The power spectral density of the local field potentials (LFPs) was calculated using the multitaper method,
412 employing three tapers (discrete prolate spheroidal (DPSS)-Slepian sequences) for each trial and channel.
413 For population LFP power statistics, LFP power spectrums were normalized, $[(X - \min)/(max - \min)]$. In
414 sessions with array recordings (51/88 sessions) power calculations were performed for each channel and
415 then averaged across all channels in that session before calculating population statistics. To quantify the
416 reliability of spike timing relative to the LFP of the same channel, we employed the Spike-phase locking
417 (SPL) method⁶⁵, which measures the consistency or locking strength of spike phases to the LFPs. This is
418 achieved by calculating the angular summation between phases of LFPs and spike times. The amplitude of
419 the SPL indicates the strength of spike locking to LFP phase, while the angle reflects the phases of LFPs when
420 spikes occurred. For the spike-triggered average (STA) of the LFP, we first normalized the LFP by taking the
421 z-score of the LFP across timepoints within 100ms of a spike for each trial, then averaged those values
422 across trials.

423

424 *Rate and phase coding capacity*

425 Our primary means of measuring coding capacity was the method developed by Panzeri and colleagues,
426 which allowed us to quantify and compare information contained in rate and phase codes^{66,67}. This
427 calculation of coding capacity was done in four steps. 1) First, using the FIR filter, LFPs were filtered into ten
428 different frequency bands (1-4; 4-8; 8-12; 12-17; 17-22; 22-27; 27-35; 35-55; 65-90; 90-120). 2) Next, based

429 on Hilbert transform, the phase of filtered LFPs were extracted. 3) Subsequently, the average of phases at
430 the time of spike occurrence were estimated for a window of 100ms duration with 100ms shift. 4) Finally,
431 mutual information (MI) was calculated between these average phases (phase code) or average spike rate
432 (rate code) and different stimuli. The MI was calculated across all stimulus contrasts and orientations. For
433 full mathematical details see ⁶⁶. The configuration we used was: direct method, biased naive estimates and
434 20 bootstraps ⁶⁷.

435

436 **Mathematical modelling methods**

437 Details of this model were previously published in *Frontiers in Computational Neuroscience* ⁶⁸.

438 *Neural field model*

439 Our neural field model is defined by a periodic orientation tuning domain parameter $\theta \in [0, \pi)$.
440 This neural field model is intended to represent a hypercolumn-like population with a subset of cells within
441 the neural field preferentially responsive to a θ -oriented stimulus. This model has been studied in detail in
442 a previous article by our group ⁶⁸. The neural field model is described by $u(\theta, t)$, $v(\theta, t)$, the e- and i-activity
443 for every θ -location on the ring, that solves the integro-differential equation:

$$444 \quad \tau_e \frac{\partial u}{\partial t} = -u + f_\sigma(W * [w_{ee}u - w_{ei}v] + I_e),$$
$$445 \quad \tau_i \frac{\partial v}{\partial t} = -v + f_\sigma(W * [w_{ie}u - w_{ii}v] + I_i),$$

446 The integral convolution “*” in the above, is over the θ -domain, with $W(\theta)$ being the von-Mises periodic
447 weight kernel

$$448 \quad W(\theta) = \frac{1}{\pi I_0(\kappa)} \exp(\kappa \cos(2\theta)).$$

449 A mass-model at θ and θ' will be connected with weight $W(\theta - \theta')d\theta'$. The parameter κ is the inverse-
450 variance-like scale parameter that shapes the broadness/tightness of the distribution, and $I_0(\kappa)$ is the
451 order-zero modified Bessel function of the first kind, which serves as the normalization constant. Note that
452 the half-circle orientation tuning domain $\theta \in [0, \pi)$ necessitates a “2” factor in the weight function to be
453 π -periodic. The same spatial scale κ is used for both e- and i-cell populations.

454 The $f_\sigma(I)$ function defines the output firing rate of each population as a function of its input I ---an
455 F-I curve. We have used a sigmoidal-shaped F-I curve defined as the inverse mean first passage time, plus a
456 5ms refractory period, of a leaky integrate and fire LIF model neuron driven by uncorrelated Gaussian white
457 noise $\sigma\xi(t)$ with standard deviation σ (see for example ^{68,69}:

458
$$C \frac{dV}{dt} = g_l(V_l - V) + I + \sigma \xi(t),$$

459 with capacitance $C = 1$ micro-Farads, spike threshold voltage $V_t = -50\text{mV}$, and reset and leak voltages
460 $V_r = V_l = -65\text{mV}$ (all parameters are listed in Table S1). With these parameters, $I = 1\text{nA}$ of current
461 induces the membrane voltage to approach spike threshold in the absence of noise. Increasing noise
462 parameter σ has the effect of reducing the overall gain of the F-I curve (see ⁶⁸, for more details on this
463 model).

464 Three types of external inputs were given to the neural field: working memory inputs, stimulus
465 inputs, and random inputs. WM inputs are uniform current inputs, added to I_e and I_i , over the entire neural
466 field (equal for all θ -values). These uniform inputs raised very slightly the mean firing rate and oscillation
467 frequency and represent an WM-like or attentional-like enhancement of hypercolumn activity. Stimulus
468 inputs are orientation-tuned given by Von Mises-like distribution functions

469
$$S(\theta) = e^{\kappa_s \cos(2(\theta - \theta_0)) - \kappa_s}$$

470 in which the peak strength (set to unity) of the stimulus located at orientation θ_0 . We fix $\theta_0 = \pi/2$ --a 90-
471 degree (vertical) orientated stimulus, without loss of generality. Finally, to capture the temporal variations
472 in network oscillations observed in real cortical tissues, on simulations in which we assessed sensory coding
473 (see below), we included slow-timescale Ornstein-Uhlenbeck noise $y(t)$ to both e- and i-cell input currents
474 I_e and I_i globally to the entire network (uniformly across all θ -values). The dynamics of y are given by the
475 stochastic differential equation

476
$$\tau_z \frac{dy}{dt} = -y + \sigma_z \sqrt{\tau_z} \xi(t),$$

477 where $\xi(t)$ is uncorrelated zero-mean unit-variance gaussian white noise. This equation results in a normal
478 stationary distribution of y -values, with zero-mean, and standard deviation σ_z , and a temporal
479 autocorrelation decay timescale $\tau_z = 50\text{ms}$, so that the network oscillations, which were typically in the
480 20 Hz range (50 ms oscillation cycles), showed robust cycle to cycle variability but little long-timescale multi-
481 cycle correlation.

482 In the absence of any external input, we set neural field model to be very near the a supercritical
483 Hopf instability (see ⁶⁸) in which additional current above a current threshold I^* , elicited oscillations with
484 amplitude emerging continuously from zero, and oscillation frequency in the β -band around 18-20 Hz. From
485 this I^* parameter starting point we ran simulations from over four levels of WM input (uniform current)
486 and four levels of orientation-selective stimulus input (contrast levels), starting from zero. We call these
487 WM 0,1,2,3 levels, and contrast levels 0,1,2,3. Altogether, the input to cells can be represented by

488
$$I_e(\theta, t) = I_{e0} + a \Delta_{stim} S(\theta) + b \Delta_{WM} + y(t),$$

489 $I_i(\theta, t) = I_{i0} + a \Delta_{stim} S(\theta) + b \Delta_{WM} + y(t)$,
 490 where Δ_{stim} and Δ_{WM} are the current increments for the respective input levels of stimulus $a = 0,1,2,3$
 491 and WM $b = 0,1,2,3$. In addition to the input current changes that occur for our model, it is common to
 492 accept that increased stimulus input comes with increased input current fluctuations. We modeled this by
 493 adjusting the σ -parameter the F-I curve as a function of contrast input:

$$494 \quad \sigma = \sigma_0 + a \Delta_{\sigma}.$$

495 *Coding performance*

496 The phase- and rate-based responses of the neural field model can be used to discriminate the input
 497 stimuli. We have chosen to discriminate the neural field model responses at the neural field locations $\theta =$
 498 $\pi/2$ and $\pi/4$. We computed the coding performance for two competing codes: a rate code (i.e., a spike
 499 count code) and a phase code. To define the phase variable in the phase code, we examined the proxy LFP
 500 signal formed by averaging e-cell rate responses over the entire field domain. We derived a phase angle
 501 $\varphi(t) \in [-\pi, \pi]$ of oscillation via the Hilbert transform of this LFP signal. After segmenting the simulation
 502 run time into oscillation cycles $\varphi(t) \in [-\pi, \pi]$, for $t \in [0, T]$, where $T = 1/f$ is oscillation period. The mean
 503 rate response is simply $\lambda_{\theta} = \frac{1}{T} \int_0^T u(\theta, t) dt$, from which we assume Poisson-distributed n number of spikes
 504 are emitted:

$$505 \quad p_{\theta}(n) = \frac{(\lambda_{\theta} T)^n e^{-\lambda_{\theta} T}}{n!},$$

506 which constituted the rate code distribution.

507 The phase code distribution is obtained from the rate response $u(\theta, t)$, by using a change-of-
 508 variables between time and phase $t = g(\varphi)$, where $g(\varphi)$ is the inverse of the Hilbert phase angle:

$$509 \quad q_{\theta}(\varphi) = \frac{1}{\lambda_{\theta} T} u(\theta, g(\varphi)) g'(\varphi).$$

510 Using the spike count and phase distributions as the basis of the rate and phase codes, respectively,
 511 we computed two different measures of coding performance. First, to measure the amount of information
 512 gained from the code at $\theta = \pi/2$, given one assumes the data are distributed according to $\theta = \pi/4$, we
 513 computed the information gain rate (IG)--the Kullback Libler divergence (in natural units of information,
 514 nats):

$$515 \quad IG_{\varphi} = D_{KL} \left(q_{\frac{\pi}{4}} \parallel q_{\frac{\pi}{2}} \right),$$

$$516 \quad IG_n = D_{KL} \left(p_{\frac{\pi}{4}} \parallel p_{\frac{\pi}{2}} \right),$$

517 where $D_{KL}(p||q) = \int p \ln \ln \left(\frac{p}{q} \right) dx$. Second, we computed the mutual information (MI) between
 518 stimulus feature $\theta = \pi/2$ or $\pi/4$, and the phase data φ . We assumed the two stimuli were equally likely

519 on a given "trial" in which case the probability of each stimulus was 1/2. The phase mutual information
520 MI_φ is then defined as the Kullback Libler divergence D_{KL} (using log-base-two, in this case) between the
521 pooled distributions $\frac{1}{2}q_{\pi/2} + \frac{1}{2}q_{\pi/4}$ to the product distribution $q_{\pi/2}q_{\pi/4}$; and similarly, for the rate codes:

$$522 \quad MI_\varphi = D_{KL} \left(\frac{1}{2}q_{\pi/2} + \frac{1}{2}q_{\pi/4} \parallel q_{\pi/2}q_{\pi/4} \right),$$
$$523 \quad MI_n = D_{KL} \left(\frac{1}{2}p_{\pi/2} + \frac{1}{2}p_{\pi/4} \parallel p_{\pi/2}p_{\pi/4} \right).$$

524

525

526 **Data availability**

527 The code for mathematical modelling are publicly available at <https://osf.io/dhcr2/>. Further information for
528 data and resources should be directed to Lead Contact, Behrad Noudoost (behrad.noudoost@utah.edu).

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533 **Author contributions**

534 Conceptualization, M.P., I.V., and B.N.; methodology, I.V., K.C., and B.N.; software, M.P., M.Z.; formal
535 analysis, M.P. and M.Z., B.N.; modeling: W.N.; writing – original draft, M.P. and M.Z., K.C., B.N.; writing –
536 review & editing, all authors; visualization, M.P., W.N., K.C.; supervision, B.N.; funding acquisition, B.N.

537 **Declaration of interests**

538 The authors declare no competing interests.

539 **Supplemental information**

540 Document S1. Figures S1-S9 and Table S1.

541

542 **Figure legends**

543 **Figure 1. WM alters β oscillatory power but not firing rates in V4.**

544 A) Memory-guided saccade with background (MGS-background) task. The monkey fixated and a peripheral
545 visual cue appeared (Cue). The monkey maintained fixation while remembering the cue location (~1s;
546 Delay), and after the fixation point disappeared, executed a saccadic eye movement to the remembered
547 location (Response) to receive a reward. Throughout the task, there was a task-irrelevant, full-field oriented
548 bar background; the background contrast ranged from 0-64%, in one of 4 orientations. The memory location
549 was either inside the extrastriate RF (IN condition, shown) or 180 degrees away (OUT condition).
550 Neurophysiological recordings of spiking and LFP activity were made from extrastriate visual area V4, with
551 linear array or single electrodes.

552 B-C) Mean firing rate of a sample neuron over time for three different contrasts (B) or orientations (C) of
553 the background stimulus, for the IN (left) and OUT (right) conditions. Shaded areas in all panels show
554 standard error of mean (SEM).

555 D-E) Mean firing rate of the population of 145 neurons over time for three different contrasts (low, medium,
556 and high contrast, D) and four different orientations (preferred, nonpreferred, and middle 1 & 2
557 orientations, E) for the IN condition (left) and OUT (right) conditions.

558 F) Time course of mean F-statistic values across 145 neurons, based on a one-way ANOVA for discrimination
559 between 12 stimulus conditions for the IN (red) and OUT (black) conditions.

560 G) Scatter plot of F-statistic averaged in the last 700ms of the delay period for each session, for the IN vs.
561 OUT conditions. Histogram in the upper right shows the distribution of change in F-statistic (OUT-IN) across
562 sessions.

563 H) Mean power spectrum of the LFP recorded from the same channel as the sample V4 neuron in (B), during
564 the delay period for the IN (red) vs. OUT (black) conditions. Inset panel shows 8-25 Hz. Asterisk indicates a
565 significant difference ($p < 0.05$) in the range shown.

566 I) Mean power spectrum of population of the V4 LFPs (88 sessions) during the delay period for the IN (red)
567 vs. OUT (black) conditions. Inset shows the power spectrum between 14-22 Hz.

568 J) Scatter plot of power spectrum averaged in the β range for each session, for the IN vs. OUT conditions.
569 Histogram in the upper right shows the distribution of change in power (OUT-IN) across sessions (***, p
570 < 0.001).

571

572 **Figure 2. WM alters the sensory representation in extrastriate cortex.**

573 A) The distribution of spikes generated by a sample V4 neuron across various phases of β oscillations during
574 the delay period. Arrows show the average of phase distributions for the IN (red) and OUT (black)
575 conditions.

576 B) Scatter plot of SPL in the β range for each neuron, for the IN vs. OUT conditions. Histogram in the upper
577 right shows the distribution of change in SPL (OUT-IN) across neurons.

578 C) Spike-triggered average of the normalized LFP of a sample neuron during the delay period, for the high
579 contrast (red) and low contrast (blue) background stimuli, for the IN (left) and OUT (right) conditions.
580 Shaded bars indicate the slopes in the falling phase.

581 D) Histogram of the distribution of STA slopes ($\text{abs}(V_{\text{peak}} - V_{\text{trough}})/(\text{time}_{\text{peak}} - \text{time}_{\text{trough}})$) across neurons, for
582 high contrast (red) and low contrast (blue) stimuli, for the IN (left) and OUT (right) conditions.

583 E) Population phase (left) and rate (right) coding over time, for the IN (red) and OUT (black) conditions,
584 based on mutual information (MI) between 12 stimulus conditions. MI was measured in 100ms windows
585 with steps of 100ms. Shaded areas show standard error of mean (SEM).

586 F) Scatter plot of MI using a phase code in the β range (left) and rate code (right) for each neuron, for the
587 IN vs. OUT conditions. Red crosses indicate population mean. Histograms in the upper right show the
588 distribution of differences in MI (IN-OUT) across neurons.

589 G) Phase coding, measured by MI (colorbar), as a function of frequency and time, for memory IN (left) and
590 OUT (right). Black rectangle shows the time and frequency range selected for phase code analysis. (*, p
591 <0.05 ; **, $p <0.01$; ***, $p <0.001$; ns, $p >0.05$)

592

593 **Figure 3. FEF inactivation alters WM behavioral performance and phase coding in visual areas.**

594 A) V4 recordings were made before and after infusion of muscimol into FEF. Muscimol injections into FEF
595 were made with a custom microinjector, at sites with stimulation-evoked saccade endpoints overlapping
596 with simultaneous V4 recording site RFs.

597 B) Eye traces for 8 MGS target locations, before (left) and after (right) FEF inactivation, for an example
598 session where 0.5 microliter of muscimol was injected into the FEF; performance deficits were localized to
599 the infusion hemifield.

600 C) Average behavioral performance across sessions, at different locations over time following FEF
601 inactivation (red pre-inactivation; green, blue, and black, 1, 2, and 3 hours after inactivation, respectively).
602 Data from each session is aligned so that 0 degrees corresponds to the FEF RF.

603 D-E) Normalized saccade error (D) and reaction times (E) for the memory IN (red) and OUT (black)
604 conditions, over time relative to the FEF inactivation. Black bar indicates times with a significant difference
605 between IN and OUT. Shaded areas show SEM across sessions.

606 F) Heatmap shows phase coding (MI, colorbar) over time and frequency for 66 V4 neurons, for the IN
607 condition, before (left) and after (right) FEF inactivation. Black rectangle indicates time and frequency range
608 considered in (G-H): 14-22Hz, 200-800ms after start of delay period.

609 G) Strength of β phase coding over time, for memory IN, before (red) and after inactivation (blue). The
610 phase-code MI is averaged in the β range. Shading shows SEM across neurons. Gray area indicates time
611 window plotted in (H).

612 H) Scatter plot of β phase MI during the delay period (shaded area in G) of the IN condition for each V4
613 neuron, before vs. after FEF inactivation. Red square shows population mean. The histogram in the upper
614 right shows the distribution of difference in MI (Pre-Post) across neurons. (*, $p < 0.05$; **, $p < 0.01$; ***, p
615 < 0.001 ; ns, $p > 0.05$)

616

617 **Figure 4. Experimental and computational dependence of firing rate, phase-coded information, and rate-**
618 **coded information on changes in peak oscillation frequency.**

619 A) Schematic of dynamical neural field network architecture. Excitatory and inhibitory units are
620 interconnected and organized to respond to different input stimuli (theta). Units also receive a global WM
621 input (not shown); see Methods for description of connectivity weights.

622 B) Example activity of excitatory units in the model over time, in response to an input at $\pi/2$. Excitatory
623 units are plotted along the y-axis according to their input tuning, which ranges from 0 to π . Activity reflects
624 both a beta-frequency oscillation across the entire population, and an earlier and stronger response of units
625 whose preference matches the input feature (i.e., phase and rate coding).

626 C) Information about the input stimulus feature coded by phase and rate (left and right y-axes; see
627 Methods) in the neural field model, as a function of WM input strength.

628 D) Phase information (shades of orange) and rate information (shades of blue) as a function of contrast
629 levels and oscillation frequency, for the neural field model. Data for each contrast is divided into deciles

630 based on oscillation frequency, variability in which comes from noise in the WM input strength. Note that
631 rate code values are several orders of magnitude smaller than phase code values (left vs. right y-axis). Error
632 bars show standard deviation.

633 E) Correlation between oscillation frequency and firing rate in the neural field model under conditions of
634 noisy WM input strength.

635 F) Relationship between frequency of peak LFP power and firing rate during the delay period for the
636 memory IN (red) and OUT (black) conditions, for two example neurons with increased (left) or decreased
637 (right) firing rate during the IN condition. Each dot shows the average frequency of max power and
638 normalized firing rate for a subsample consisting of 50% of trials (n=100 subsamples per neuron).

639 G) Average normalized response as a function of peak frequency, pooled across subsamples of trials from
640 each of 145 V4 neurons (100 subsamples/neuron), during the IN (red) and OUT (blue) conditions, during
641 the delay period (left) and the cue period (right). Plot shows mean \pm SE for all subsamples with the peak
642 frequency indicated on the x-axis.

643

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645

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