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Disruptions in antigen processing and presentation machinery on sarcoma

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Abstract

Background The antigen processing machinery (APM) plays a critical role in generating tumor-specific antigens that can be recognized and targeted by the immune system. Proper functioning of APM components is essential for presenting these antigens on the surface of tumor cells, enabling immune detection and destruction. In many cancers, defects in APM can lead to immune evasion, contributing to tumor progression and poor clinical outcomes. However, the status of the APM in sarcomas is not well characterized, limiting the development of effective immunotherapeutic strategies for these patients.

Methods We investigated 126 patients with 8 types of bone and soft tissue sarcoma operated between 2001–2021. Tissue microarrays mapped 11 specific areas in each case. The presence/absence of APM protein was determined through immunohistochemistry. Bayesian networks were used.

Results All investigated sarcomas had some defects in APM. The least damaged component was HLA Class I subunit β 2-microglobulin and HLA Class II. The proteasome LMP10 subunit was defective in leiomyosarcoma (LMS), myxoid liposarcoma (MLPS), and dedifferentiated liposarcoma (DDLPS), while MHC I transporting unit TAP2 was altered in undifferentiated pleomorphic sarcoma (UPS), gastrointestinal stromal tumor (GIST), and chordoma (CH). Among different neoplastic areas, high-grade areas showed different patterns of expression compared to high lymphocytic infiltrate areas. Heterogeneity at the patient level was also observed. Loss of any APM component was prognostic of distant metastasis (DM) for LMS and DDLPS and of overall survival (OS) for LMS.

Conclusion Sarcomas exhibit a high degree of defects in APM components, with differences among histotypes and tumoral areas. The most commonly altered APM components were HLA Class I subunit β 2-microglobulin, HLA Class I subunit α (HC10), and MHC I transporting unit TAP2. The loss of APM components was prognostic of DM and OS and clinically relevant for LMS and DDLPS. This study explores sarcoma molecular mechanisms, enriching personalized therapeutic approaches.

Keywords Sarcoma · Antigen Processing Machinery · HLA Class I · Distant Metastasis · Personalized Therapy

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Introduction

Bone and soft tissue sarcomas are a heterogeneous group of rare tumors, comprising more than 80 histotypes, with different clinical behavior [1]. Due to the great heterogeneity as regards histopathology, anatomic site and biologic potential, sarcomas are frequently diagnosed at advanced stages, with locally aggressive large tumors or metastatic disease. To the date, complete surgical resection remains the unique chance of cure. However, radically resected patients will fail in about 50% of cases, due to local recurrences or distant metastases [2, 3]. The addition of adjuvant chemotherapy did not show a significant improve of sarcoma patients outcomes [4, 5].

In the last years, immunotherapy emerged as an effective treatment for refractory malignant tumors [6, 7]. In this context, classifications based on the tumor microenvironment (TME), including of both the composition of the tumoral immune infiltrate and the expression of immune checkpoint ligands and receptors, were demonstrated significantly predictive and prognostic [8]. However, limited evidences about sarcoma exist: A recent study from The Cancer Genome Atlas (TCGA) consortium suggested the association between the composition of sarcoma microenvironment (SME) and oncological prognosis [9]. Nevertheless, only few reports investigating SME have been published [10–12]. On the other hand, efficacy of immunotherapy in sarcoma is yet to be defined [13].

Antigen processing and presentation are necessary for an effective cytotoxic T cell activation, and an intact antigen processing machinery (APM) is crucial for cancer control. Malignant transformation of cells is associated with down-regulation of HLA Class I components in most of the tumors [14]. After antigen release, uptake and presentation, primed and activated T cells infiltrate the tumor and thanks to the antigen presentation by HLA Class I can recognize and kill the tumor cells [15]. To present the antigen, a tumor cell needs to have a proficient immunoproteasome, a proficient transporting protein and an intact histocompatibility complex Class I (MHC I) composed by the α and the β subunits [16].

The present study investigates the APM status in sarcoma.

Materials and methods

Patient selection

We explored 8 bone and soft tissue sarcoma histotypes: chordoma (CH), chondrosarcoma (CHS), gastrointestinal stromal tumor (GIST), retroperitoneal dedifferentiated liposarcoma (DDLPS), soft tissue leiomyosarcoma (LMS), myxoid liposarcoma (MLPS), myxofibrosarcoma (MFS), undifferentiated pleomorphic sarcoma (UPS) and selected 126 patients (15 for each histotype and 21 for GIST) who underwent surgery at IRCCS Humanitas Research Hospital between 2001 and 2021. Diagnosis were made by sarcomaexpert pathologists and confirmed to fulfill the latest diagnostic criteria [1]. Inclusion criteria were primary disease, availability of sufficiently abundant histologic material (see next section) and updated clinical follow-up. Metastases and local recurrences were excluded. If available, naïve cases were selected; only for UPS cases treated with neoadjuvant therapies were included. The following data were extracted from our institutional prospectively maintained database: patient and tumor characteristics, administration of neoadjuvant or adjuvant treatments, type of surgery, vital status and occurrence of local relapse and/or distant metastasis. Patient characteristics are summarized in Supplementary Table 1. The institutional review board of our hospital approved this retrospective study (145/23). Dataset is available on https:// zenodo.org/records/10204127.

Tissue microarray construction

We built tissue microarray specifically for microenvironment characterization. We reviewed the slides, confirmed the diagnosis and selected 11 areas of each of the 126 cases: 3 high-grade areas (defined as portions showing the highest mitotic activity, or cytologic atypia/pleomorphism); 1 low-grade area (portion showing the lowest mitotic activity, or cytologic atypia/pleomorphism); 2 high-infiltrate areas (portions with high lymphocytic infiltrate); 2 tumor periphery areas (tumoral portions within 1 mm from tumorhealthy tissue interface); 2 tumor border areas (portions of non-neoplastic tissue within 1 mm from healthy tissue-tumor interface); 1 non-neoplastic area: portion of tissue far from the tumor. The presence of tumor heterogeneity (i.e., presence of high and low-grade areas) and of high infiltrate was also recorded for each case; if they were absent, we sampled additional high-grade areas. TMA assembly was performed with an automated arrayer (TMA Grand Master-3D HISTECH, Budapest, Hungary) using cores with a diameter of 1.0 mm.

APM score determination

Formalin-fixed, paraffin-embedded TMA sections were treated with 3% hydrogen peroxide solution for 10 min at room temperature (RT) to quench endogenous peroxidase. Sections were then blocked with Background Sniper (Biocare Medicals, USA) for 20 min at RT and incubated with antibodies (clones) (see also supplementary Table 2 for dilution) against proteasome component LMP10 (TO-7) [17], MHC I transporting unit TAP2 (NOB2) [18], HLA Class I subunit β 2-microglobulin (SP0936) [17], HLA Class I subunit α (HC10) [17] and HLA Class II (DPDQ) [19]. All the antibodies were kindly provided by Prof. Soldano Ferrone (Harvard Medical School, Boston, MA, USA). Slides were then incubated with MACH1 HRP-polymer for 30 min at RT (Biocare Medicals, USA). The chromogenic reaction was developed using 3,3'-Diaminobenzidine-tetrahydrochloride (DAB) Chromogen-Kit (Biocare Medicals, USA), and slides were counterstained with hematoxylin. For each TMA's core, we recorded the status of the APM protein, as absent if there was total absence of staining on neoplastic cells, present if otherwise.

Bayesian statistical analysis

Interactions of variables were modeled with Bayesian networks [20] (Supplementary Figs. 1 and 2). Models were fit using Stan (a probabilistic programming language) and R [21, 22]. CI was calculated as 89% of the highest posterior density interval. For survival modeling, APM was coded as *present* when the mean value of the *high-grade* cores was \geq 0.6. Custom code showing model assumptions, model testing and diagnostics is available at https://github. com/slrenne/APM_Sarcoma.

Results

APM presence among histotypes

All the investigated sarcoma had some APM defects (Fig. 1), the least damaged component being HLA Class I subunit β 2-microglobulin and HLA Class II.

Proteasome LMP10 subunit was likely to be defective in LMS, MLPS and DDLPS with a mean posterior probability of 0.69 (CI: 0.28-1.00), 0.75 (CI: 0.60-0.92) and 0.83 (CI: 0.55-1.00), respectively. MHC I transporting unit TAP2 was likely to be altered in UPS, GIST and CH with a mean posterior probability of 0.76 (CI: 0.39–1.00), 0.74 (CI: 0.55–0.94) and 0.84 (CI: 0.68-0.99), respectively. HLA Class I subunit ß2-microglobulin was mostly lost in LMS, MLPS and DDLPS with a mean posterior probability density of 0.83 (CI: 0.53-1.00), 0.85 (CI: 0.75-0.96) and 0.90 (CI: 0.70-1.00), respectively. HLA Class I subunit a (HC10) was instead lost in CHS, DDLPS and MFS with a mean posterior probability density of 0.68 (CI: 0.38-0.97), 0.81 (CI: 0.49-1.00) and 0.90 (0.68-1.00). Lastly HLA Class II was lost almost exclusively in MFS with a mean posterior probability density of 0.78 (CI: 0.32-1.00).

Spatial differences of APM among tumoral areas and histotypes

We then investigated the different patterns of expression of APM in the different neoplastic areas (i.e., high grade, low grade, high infiltrate, tumor periphery and tumor border) of each histotype (Fig. 2).

These highlighted several differences, in particular between the areas of high grade compared with the areas of high infiltrate (Fig. 3).

DDLPS cores with high infiltrate had less probability to lose proteasome LMP10 subunit expression compared to highgrade areas with a mean contrast posterior probability density of -0.04 (CI: -0.08-0.00). Similarly, MHC I transporting unit TAP2 was likely to be retained in the high-infiltrate areas of UPS and GIST compared to the high-grade cores, with a mean contrast posterior probability density of -0.15(CI: -0.26-0.04) and -0.15 (-0.29-0.01), respectively. GIST also showed a similar trend with HLA Class I subunit β 2-microglobulin expression having a greater probability of its loss in the high-grade areas, with a mean contrast posterior probability density of -0.06 (-0.12-0.00). Lastly, HLA Class I subunit α (HC10) was more likely to be retained in the high-infiltrate areas of CHS with a mean contrast posterior probability density of -0.04 (-0.09-0.00).

Interpatients variability of APM among tumoral areas and histotypes

We therefore investigated the heterogeneity at patient level. To do so we draw 10 random samples from the posterior probability distribution for each combination of the APM subunit and the core type (Fig. 4).

This analysis showed that some patients were more likely to be deficient in a particular APM component in a specific core: as in the cases of the MHC I transporting unit TAP2 in UPS and HLA Class I subunit β 2-microglobulin in CH. However, most of the effect was uniform within each histotype reflecting a lower interpatient variability once the histotype and the type of tumoral area were known.

Survival analysis

We finally investigated the role of APM component loss in each histotype both for distant metastases and overall survival (Fig. 5).

To estimate the effect of the APM loss on distant metastases free survival and of overall survival, given the assumptions depicted in the directed acyclic graph underlying the model (Supplementary Fig. 1 [APM_DM], 2 [APM_OS]), we need to adjust for tumor grade. Loss of any of the APM component was prognostic of distant metastasis for LMS and



<Fig. 1 Probability of APM's presence among sarcoma histotypes. Rows are the histotype, and columns are the APM protein evaluated. Each figure shows the density (solid lines) of the probability of finding APM protein and the mean value (dashed vertical lines). LMP10 in UPS has most of the probability mass (i.e., the density)—as well as the mean—over 0.95; it is hence very unlikely to find defects in LMP10 in UPS. On the contrary, LMP10 in LMS can be defective in a substantial fraction of cases; similarly, MHC I transporting unit TAP2 was likely to be defective in UPS, GIST and HLA Class I subunit α (HC10) in CHS

DDLPS with mean hazard ratio of 26.57 (CI: 2.39–86.17) and 9.75 (CI: 1.03–31.04), respectively (Supplementary Fig. 3 [Post_DFS_HR_g]). Similarly, loss of any of the APM component was prognostic of overall survival for LMS and DDLPS with mean hazard ratio of 86.15 (CI: 1.06–87.86) and 2.91 (CI: 0.39–8.32), respectively (Supplementary Fig. 4 [Post_OS_HR_g]).

Discussion

We here characterized with immunohistochemistry the main components of the antigen processing and presenting machinery (APM), specifically studying the proteasome subunit LMP10, the MHC I transporting unit TAP2, the HLA Class I subunit β2-microglobulin, the HLA Class I subunit α and the HLA Class II in a cohort of 126 sarcoma cases (patients). This is the first study that systematically analyzed the APM in sarcoma and showed the difference in APM presence among the most prevalent histotypes. We explored the spatial and interpatient differences, and finally the prognostic value of APM loss. The first attempt to highlight the role of APM in sarcoma was pioneered by Tsukahara et al. that characterized HLA Class 1 ABC and β 2-microglobulin in a large series of bone (comprising 33 osteosarcomas and 5 chondrosarcomas) and soft tissue tumors (among them 15 undifferentiated pleomorphic sarcomas, 5 liposarcomas, 3 rhabdomyosarcomas and 2 cases of Ewing, clear cell, synovial, alveolar soft part, leiomyosarcoma and 1 malignant peripheral nerve sheath tumor) [23]. In this seminal work, they found a prognostic role for APM loss in osteosarcoma, but not in UPS-finding confirmed in our analysis-however their cohort of osteosarcomas comprised both primary and metastatic diseases. From the same group, Yabe et al. studied the same molecules in 28 Ewing sarcoma family cases where they found a prognostic role for APM [24]. In contrast with these publications, we focused on the most prevalent sarcomas [25]. Moreover, we mapped the neoplasm including different areas from multiple blocks with 11 cores for each case. Tissue microarrays constructed punching multiple selected areas from a single tumor are comparable for tissue description to whole slide [26]. Given the extensive mapping of our cases, TMA might be even more effective than whole slide staining in sarcoma given the size and higher degree of heterogeneity compared to other (nonmesenchymal) tumor types.

In fact, compared to other tumor types, sarcoma also showed a lower rate of defect in all the components of APM [14]. Indeed, size and heterogeneity pose an important challenge in studying sarcoma and microenvironment; however, we mapped the tumor heterogeneity and this enable us to demonstrate that within the same tumor the areas with inflammatory cells had a preserved APM expression compared to the high-grade area that is the one that drive the prognosis [27]. In particular, this was true for LMP10 in DDLPS, TAP2 in UPS and GIST, β 2-microglobulin in GIST and HLA Class I subunit α in CHS.

We also investigated the heterogeneity at patient level; indeed, our statistical model was able to gather the difference among each single patient using a multilevel structure [28]. This approach allowed us to see that most of the differences occurred among histotypes and that the differences between patients within these two categories were minimal. This finding may be reassuring and underlines the importance of a histological diagnosis, relevance that has been underlined also by genomic data [9].

Lastly, similar to many other histotypes, we found APM loss associated with a worse distant metastases free survival and overall survival in LMS and DDLPS [14]. This is expected because the generation of the immune response against cancer is—also—due to proficient APM [15], and therefore, the absence of APM will lead, even in the presence of correctly primed cytotoxic T cells, in absence in cancer recognition and consequently tumor survival [16]. On the other hand, the absence of association with survival cannot be interpreted as the evidence that this alteration are not relevant in the other cancer types (namely UPS, MFS, MLPS, GIST, CHS and CH), indeed APM loss represents just one of the way in which cancer can avoid immune destruction [15], and this avoidance is just one small facet of the mechanisms that cancer need to acquire to thrive [29, 30].

Our findings revealed various defects in the antigen processing and presenting machinery across various sarcoma histotypes, emphasizing the complexity and heterogeneity within these tumors. The loss of specific APM components, such as HLA Class I subunit β 2-microglobulin and the proteasome subunit LMP10, varies spatially within tumor areas and across different sarcoma subtypes. This suggests that sarcomas may employ diverse mechanisms to evade immune detection, which could affect the effectiveness of immunebased therapies. Particularly, the association between APM loss and poor prognosis in LMS and DDLPS underscores the potential of APM components as prognostic biomarkers. This suggests that multimodal therapies, such as combining radiation oncology, could be crucial for enhancing the



◄Fig. 2 Spatial heterogeneity in the probability of APM's presence among sarcoma histotypes. Rows are the histotype, and columns are the APM protein evaluated. Each figure shows the mean (line) and CI (shade) for each "core type" evaluated. HG, high grade; HI, high infiltrate; LG, low grade; HI, high infiltrate; TP, tumor periphery; TB: tumor border. See text for further details

adaptive immune response against these tumors by recruiting an innate immune response with preserved APM functionality. Overall, our study highlights the need for a nuanced understanding of the tumor microenvironment and the role of APM components in developing targeted and personalized therapeutic strategies for sarcoma patients.

The study cohort was limited to a relatively small sample size of 126 cases. A larger sample size would provide more robust data; however, multilevel hierarchical models are the state of the art technique to draw inference and regularize even in presence of small sample size [28]. Moreover, the study focused on a limited number of APM components. While the selected proteins are key players in the antigen processing and presentation pathway, other components, such as the chaperone proteins tapasin and calreticulin, were not examined. Future studies that examine a more comprehensive set of APM components would be useful. Finally, we did not examine the effect of APM dysregulation on immune cell infiltration or function-and the reverse-within the tumor microenvironment. Future studies that examine the impact of APM dysregulation on the recruitment and function of different immune cell populations, such as T cells and natural killer cells, would provide valuable insights.

In summary, we here systematically characterized the expression of several APM proteins in a series of 126 soft tissue, viscera and bone sarcomas. This analysis revealed multiple APM defects among the histotypes, highlighting the heterogeneity and complexity of the tumor microenvironment. The study also demonstrated that when lymphocytes are infiltrating the tumor tissue, APM expression tends to be preserved, suggesting a potential role for immune cells in promoting an antitumor immune response. Furthermore, the study showed that APM defects were found to be prognostic in certain sarcoma types, indicating that the presence or absence of APM expression could be used as a marker for predicting patient outcomes. Overall, these findings help to elucidate the intricate interactions between tumor cells and the microenvironment and provide important insights for developing more effective strategies for the diagnosis and treatment of sarcomas. Future research will be focused on the validation of these findings in larger and more diverse cohorts of sarcoma patients to confirm the prognostic value of APM loss in DDLPS and LMS; the investigation of the underlying mechanisms responsible for APM loss in sarcoma, including the role of genetic alterations, epigenetic modifications and the tumor microenvironment; and finally the evaluation of the impact of APM preservation on immune cell infiltration and function within the tumor microenvironment.

In conclusion, our study provides a comprehensive characterization of the antigen processing and presenting machinery (APM) in sarcomas, identifying differences in



Fig. 3 GIST, DDLPS, UPS and CHS lose APM in high-grade areas. We computed the contrast with the high-infiltrate areas. In fact, the latter are defined as those areas with high lymphocytic infiltrate, therefore where APM function is somehow preserved. The densities of the contrast show that almost all the probability mass fall below 0, indicating a substantial decrease in the probability of having that peculiar APM protein in that specific histotype



Fig. 4 Interpatient variability. The figure shows 10 realizations for each patient, for each TMA core and for each APM protein (i.e., the model representation of the data, with the advantage to show variability). Each column shows all the 126 patients, where the histotype is

highlighted by the color and the core by the bending sites. The five columns are the APM protein tested. Cases that show greater uncertainty show more dispersed lines





Fig. 5 Kaplan-Meier plots for disease-free survival (DFS) and overall survival (OS) in APM proficient and deficient tumors

APM presence among the most prevalent histotypes, exploring the spatial and interpatient differences and revealing the prognostic value of APM loss in DDLPS and LMS. This work sheds light on the complexity of the tumor microenvironment and highlights the importance of incorporating APM status in sarcoma diagnosis and treatment. Our findings provide a basis for further research to develop targeted therapies and personalized treatment approaches for sarcoma patients.

Supplementary Information The online version contains supplementary material available at https://doi.org/10.1007/s00262-024-03822-2.

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Author contributions Renne Salvatore Lorenzo was involved in conceptualization, research design, original draft writing, paper validation, data collection and funding acquisition; Samà Laura, Kumar Sonia, Ruspi Laura, Santori Ilaria, Sicoli Federico, Bertuzzi Alexia Francesca, Laffi Alice, Bonometti Arturo, Colombo Piergiuseppe, Bressan Alessandra, Scorsetti Marta, Terracciano Luigi, Navarria Pierina, D'incalci Maurizio, Quagliuolo Vittorio Lorenzo, Pasqualini Fabio and Grizzi Fabio contributed to writing—review and editing; Mintemur Omer was responsible for statistical analysis design; D'Amato Vittoria took part in project administration; Cananzi Ferdinando Carlo Maria performed paper supervision and validation.

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Data availability Only openly accessible public data sources or simulated data were used in this work.

Declarations

Conflict of interest The authors declare no potential conflicts of interest.

Ethical approval The Independent Ethics Committee of IRCCS Humanitas Clinical Research reviewed the following project, giving approval on February 21, 2023 (145/23).

Consent for publication All necessary patient consent (including consent to publish) has been obtained and the appropriate institutional forms have been archived and any patient/sample identifiers included were not known to anyone (e.g., hospital staff, patients or participants themselves) outside the research group and cannot be used to identify individuals.

Human or animal rights The study reports research involving patients, human subjects, human samples and data.

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