

## Contrasting predictors of severe primary graft dysfunction following transplant for chronic and acute respiratory failure

# Chitaru Kurihara<sup>1</sup>^, Taisuke Kaiho<sup>1</sup>^, Benjamin Thomae<sup>1</sup>^, Emily Cerier<sup>1</sup>^, Kalvin Lung<sup>1</sup>, Diego Avella Patino<sup>1</sup>, Takahide Toyoda<sup>1</sup>^, Yuanqing Yan<sup>1</sup>, G. R. Scott Budinger<sup>2</sup>^, Ankit Bharat<sup>1,2</sup>^

<sup>1</sup>Division of Thoracic Surgery, Department of Surgery, Northwestern University Feinberg School of Medicine, Chicago, IL, USA; <sup>2</sup>Division of Pulmonary and Critical Care Medicine, Department of Medicine, Northwestern University Feinberg School of Medicine, Chicago, IL, USA *Contributions*: (I) Conception and design: C Kurihara, A Bharat; (II) Administrative support: C Kurihara; (III) Provision of study materials or patients: C Kurihara; (IV) Collection and assembly of data: T Kaiho, B Thomae, E Cerier, T Toyoda; (V) Data analysis and interpretation: T Kaiho, B Thomae, E Cerier, T Toyoda, Y Yan; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

*Correspondence to:* Ankit Bharat, MD, FACS. Division of Thoracic Surgery, Department of Surgery, Northwestern University Feinberg School of Medicine, Chicago, IL, USA; Division of Pulmonary and Critical Care Medicine, Department of Medicine, Northwestern University Feinberg School of Medicine, 676 N. Saint Clair St., Suite 650, Chicago, IL 60611, USA. Email: ankit.bharat@nm.org.

**Background:** Lung transplantation represents a pivotal intervention for individuals grappling with endstage lung diseases, and the role of lung transplantation in acute respiratory distress syndrome (ARDS) patients has garnered increased attention especially after the coronavirus disease 2019 (COVID-19) pandemic. Multiple studies have demonstrated a high incidence of primary graft dysfunction (PGD) in patients with ARDS compared to contemporaneous controls undergoing transplantation for chronic endstage lung diseases although underlying mechanisms or risk factors remain unknown. This retrospective study investigates the contrasting risk factors for PGD grade 3 in patients with ARDS and chronic respiratory failure undergoing lung transplantation.

**Methods:** The study included 293 patients who underwent lung transplantation from January 2018 through June 2023. We performed a multivariate logistic regression analysis using variables from the univariate logistic regression analyses to predict PGD grade 3.

**Results:** Our findings reveal distinct predictors for PGD grade 3 in the two cohorts. ARDS patients had higher incidence of PGD grade 3 than non-ARDS patients (30.2% *vs.* 9.6%, P<0.001). Multivariate logistic regression analysis showed ischemic time [odds ratio (OR) =0.60; 95% confidence interval (CI): 0.40–0.90; P=0.01] as predictor of PGD grade 3 for non-ARDS patients, and age (OR =0.72; 95% CI: 0.52–0.99; P=0.048), pre-operative albumin (OR <0.01; 95% CI: <0.01–0.74; P=0.042) for ARDS patients. Interestingly, there was no notable difference in post-transplant survival between the two groups.

**Conclusions:** This study highlights differing risk profiles for severe PGD in ARDS and non-ARDS lung transplant recipients, underscoring the need for tailored approaches in managing these patients. It paves the way for further research to refine strategies aimed at reducing PGD incidence and enhancing transplant outcomes in these distinct populations.

Keywords: Acute respiratory distress syndrome (ARDS); lung transplant; primary graft dysfunction (PGD)

Submitted Jan 18, 2024. Accepted for publication Jun 19, 2024. Published online Aug 28, 2024. doi: 10.21037/jtd-24-100 View this article at: https://dx.doi.org/10.21037/jtd-24-100

<sup>^</sup> ORCID: Ankit Bharat, 0000-0002-1248-0457; G. R. Scott Budinger, 0000-0002-3114-5208; Emily Cerier, 0000-0002-0095-2592; Taisuke Kaiho, 0009-0003-1056-5929; Chitaru Kurihara, 0000-0003-3536-4675; Benjamin Thomae, 0000-0001-5065-9696; Takahide Toyoda, 0000-0003-4766-5221.

#### Introduction

#### Background

Lung transplantation represents a pivotal intervention for individuals grappling with end-stage lung diseases, offering a renewed chance at life. In the U.S., the field has progressed to performing around 2,500 transplants annually with a steady increase (1). However, primary graft dysfunction (PGD), a form of severe acute lung injury occurring within 72 hours post-transplantation, remains a formidable challenge, often resulting in early morbidity and mortality (2,3). The existing body of research on lung transplantation and PGD has identified several risk factors, such as prolonged ischemic time and the use of intraoperative cardiopulmonary bypass (4-11).

#### Knowledge gap

However, a significant knowledge gap exists, particularly in the context of acute respiratory distress syndrome (ARDS), a condition with notably high mortality (12). The role of lung transplantation in ARDS management, especially in the wake of the coronavirus disease 2019 (COVID-19) pandemic, has garnered increased attention

#### Highlight box

#### Key findings

 Lung transplantation represents a pivotal intervention for individuals grappling with end-stage lung diseases, but primary graft dysfunction (PGD) remains an early morbidity and mortality.

#### What is known and what is new?

- Known risk factors of PGD are prolonged ischemic time and the use of intraoperative cardiopulmonary bypass. The role of lung transplantation in acute respiratory distress syndrome (ARDS) management, especially in the wake of the coronavirus disease 2019 pandemic, has garnered increased attention.
- Multiple studies have demonstrated a high incidence of PGD in patients with ARDS compared to traditional lung transplant patients. However, the risk of PGD in patients with ARDS has not been investigated.

#### What is the implication, and what should change now?

 This study highlights differing risk profiles for severe PGD in ARDS and non-ARDS lung transplant recipients, underscoring the need for tailored approaches in managing these patients. It paves the way for further research to refine strategies aimed at reducing PGD incidence and enhancing transplant outcomes in these distinct populations. (13,14), and it is an accepted indication by both the U.S. (Organ Procurement and Transplant Network) and Europe (Eurotransplant) regulatory bodies. However, multiple studies have demonstrated a high incidence of PGD in patients with ARDS compared to contemporaneous controls undergoing transplantation for chronic endstage lung diseases although underlying mechanisms or risk factors remain unknown (11,15,16). Nevertheless, this disproportionately high incidence of PGD in patients with acute respiratory failure provides a unique opportunity to identify the contrasting clinical risk factors predisposing the two groups of patients which would not only improve our insights into the pathogenesis of PGD but enable better patient selection for transplant.

#### Objective

Accordingly, this study seeks to bridge this gap by comparing the risk factors for PGD in two distinct patient cohorts: those with ARDS and those with chronic respiratory failures, such as interstitial lung disease and chronic obstructive pulmonary disease. Through a retrospective analysis of lung transplant recipients in these categories, our research aims to illuminate specific risk factors contributing to PGD, thereby enhancing our understanding and management of this critical posttransplant complication.

#### Methods

#### Study design

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Northwestern University (Nos. STU00207250 and STU00213616). The need for patient consent for data collection was waived by the institutional review board because this was a retrospective study. Patient data were collected retrospectively using electronic medical records that were stored in a lung transplant database at the Northwestern University Medical Center in Chicago, Illinois, USA. Consecutive adult patients who underwent lung transplantation at our institution from January 2018 to June 2023 were included. From the study, patients with multiorgan transplants and re-lung transplant were excluded. Data on patient demographics, comorbidities, donor characteristics, preoperative laboratory values, intraoperative, and postoperative outcomes were collected. ARDS patients were defined as listed diagnosis for lung transplant.

## Definition of ARDS and indication and management of extracorporeal membrane oxygenation (ECMO)

ARDS was defined by accordance with the American-European Consensus Conference (AECC) (12,17). Intubated patients were managed by our multidisciplinary team led by critical care pulmonologists in the medical intensive care unit (ICU) in accordance with ARDSnet guidelines. Patients who developed respiratory failure were considered for ECMO if they fail to achieve satisfactory gas exchange (PaO<sub>2</sub> >55 mmHg, oxygen saturations >88%, pH >7.2, with plateau pressures less than 35) despite lung protective mechanical ventilation and recruitment maneuvers with neuromuscular blockade as well as prone ventilation, based on the Extracorporeal Life Support Organization (ELSO; www.elso.org). The decision to cannulate was made by a multidisciplinary ECMO team including pulmonologists, thoracic surgeons, ECMO specialists, and intensivists, using a multidisciplinary teleconference line. Different cannulation strategies [internal jugular vein-femoral vein cannulation or ProtekDuo® cannulation (CardiacAssist Inc., Pittsburgh, PA, USA)] were used. The venovenous extracorporeal membrane oxygenation (VV-ECMO) circuit included Quadrox iD adult (7.0) oxygenator (MAQUET Holding B.V. & Co. KG, Germany) and Rotaflow pump (MAQUET Holding B.V. & Co. KG). Patients did not receive continuous anticoagulation unless there was clinical and/or radiological evidence of a thrombotic complication including deep vein thrombosis (DVT), pulmonary embolism (PE), arterial thrombosis, etc. that warranted its use consistent with our prior reports (18-20). Patient received unfractionated heparin (5,000 U given subcutaneously every 8 hours) for deep venous thrombosis prophylaxis. To avoid thrombotic complications in ECMO circuit, flow was maintained at least 3.0-3.5 L/min consistent with our recent reports demonstrating the feasibility of using VV-ECMO without anticoagulation (18-20). Transfusion thresholds included: platelets <50,000/mL, hemoglobin <7 g/dL, or hemodynamic instability in the setting of active blood loss.

#### Immunosuppression management

## Induction

- Methylprednisolone 1,000 mg via intravenous at intraoperatively;
- Basiliximab 20 mg at intraoperatively and

postoperative day (POD) 4.

#### Maintenance immunosuppression

- Prednisone 0.5 mg/kg p.o. daily from POD 1. Maximum dose = prednisone 40 mg daily. 0.5 mg/kg daily for 1 month, then taper by 5 mg every 2 weeks down to 5 mg/day as a maintenance dose.
- Mycophenolate mofetil 1,000 mg b.i.d. from POD 1.
- Tacrolimus start POD 1. Goal target levels 8–12 within first year post-transplant; then target 8–10 thereafter.

## Definition of complications

## PGD

Patients with no evidence of pulmonary edema on chest X-ray (CXR) were considered grade 0. The absence of invasive mechanical ventilation was graded according to the  $PaO_2/FiO_2$  ratio using methods similar to those used for mechanical ventilation. If  $PaO_2$  was not available for calculation of the  $PaO_2/FiO_2$  ratio, then an oxygen saturation/FiO<sub>2</sub> ratio was used. Grade 1:  $PaO_2/FiO_2$  ratio >300; grade 2:  $PaO_2/FiO_2$  ratio is 200–300; grade 3:  $PaO_2/$ FiO<sub>2</sub> ratio <200. The lowest  $PaO_2/FiO_2$  ratio, within 72 hours of lung transplantation, was used. The use of ECMO for bilateral pulmonary edema on CXR images was classified as grade 3. Continuous use of ECMO without pulmonary edema on CXR imaging was excluded (21).

## Acute kidney injury (AKI)

AKI was defined using the risk, failure, loss of kidney function, and the end-stage kidney disease classification (22).

## Statistical analysis

Recipient and donor characteristics, preoperative laboratory values, and intra- and post-operative outcomes were compared between ARDS patients and non-ARDS patients. The Mann-Whitney U test or Student t-test was used to compare independent, continuous variables between the groups. The Chi-squared test was used to compare categorical variables, which were reported as numbers and percentages. The Kaplan-Meier test was used to estimate survival, while the Wilcoxon signed-rank test was performed to compare survival between the groups. Univariate logistic regression analyses were utilized to assess the ability of recipient and donor characteristics, and other intra-operative outcomes to predict PGD grade 3. We then performed a multivariate logistic regression analysis using variables from the univariate logistic regression analyses with a P value <0.5 (6,10,20,23-26). Statistical significance was set at P<0.05. EZR software (Saitama Medical Center, Jichi Medical University, Saitama, Japan), and a graphical user interface for R (The R Foundation for Statistical Computing, Vienna, Austria), were used to perform all of the analyses (27).

#### Results

## Study cobort and transplant outcomes of ARDS and non-ARDS patients

This study included 301 patients who underwent lung transplantations during the study period. Of these, 5 patients underwent multi-organ transplantations and 3 patients underwent re-transplant were excluded. Consequently, a total of 293 patients were included in this study. We first compared the recipient's characteristics between ARDS and non-ARDS patients. Age was found to be higher in the recipients in the non-ARDS patients compared to the ARDS patients ( $59.8\pm11.9 vs. 50.3\pm11.2 years, P<0.001$ ). The ARDS patients had lower prevalence of smoking history (20.9% vs. 52.4%, P<0.001), pre-transplant ECMO use (65.1% vs. 3.6%, P<0.001), pre-transplant

Table 1 Patient characteristics by ARDS

blood transfusion within 4 weeks (58.1% vs. 3.2%, P<0.001), shorter wait list days {17 [7–43] vs. 9 [5–20] days, P<0.001}, lower hemoglobin (g/dL), platelet (×10<sup>3</sup>/mm<sup>3</sup>), creatinine (mg/dL), and albumin (g/dL) levels (8.7±1.8 vs. 12.0±2.4 g/dL, P<0.001; 217.8±115.8 vs. 250.3±90.9 ×10<sup>3</sup>/mm<sup>3</sup>, P=0.04; 0.60±0.23 vs. 0.81±0.23 mg/dL, P<0.001; 3.6±0.6 vs. 3.9±0.5 g/dL, P<0.001; respectively) and higher sodium (mEg/L) and BUN (mg/dL) (141.0±4.1 vs. 139.5±3.3 mEq/L, P<0.01; 20.2±12.5 vs. 16.0±6.4 mg/dL, P<0.001; respectively). Additionally, there were no significant differences in donor characteristics between the two groups (*Table 1*).

We then determined whether there were differences in transplant outcomes between the two groups. The operative time and ischemic time were longer in the ARDS group [8.7 (7.7–9.7) vs. 5.8 (5.0–7.5) hours, P<0.001; 5.8 (5.2–6.1) vs. 4.9 (4.0–5.8) hours, P<0.001]. The use of veno-arterial extracorporeal membrane oxygenation (VA-ECMO) during lung transplantation were also higher in the ARDS group (97.7% vs. 57.2%, P<0.001). Intra-operatively, ARDS patients had significantly more blood product transfusions, including packed red blood cells (pRBC) {7 [3–14] vs. 0 [0–2] units, P<0.001}, fresh frozen plasma (FFP) {2 [0–6] vs. 0 [0–0] units, P<0.001}, and platelets {1 [0–3] vs. 0 [0–0] units, P<0.001}. Post-operatively, ARDS patients were more likely to require VV-ECMO (46.5% vs. 8.0%, P<0.001), and

Variables	ARDS group (n=43)	Non-ARDS group (n=250)	P value
Recipient factors			
Age (years)	50.3±11.2	59.8±11.9	<0.001
Female, n (%)	19 (44.2)	104 (41.6)	0.74
Body mass index (kg/m²)	26.1±4.2	25.8±4.6	0.70
Body surface area (m <sup>2</sup> )	1.9±0.2	1.9±0.2	0.71
Smoking history, n (%)	9 (20.9)	131 (52.4)	<0.001
Hypertension, n (%)	19 (44.2)	130 (52.0)	0.41
Diabetes, n (%)	15 (34.9)	75 (30.0)	0.59
Pre-transplant ECMO use, n (%)	28 (65.1)	9 (3.6)	<0.001
Bilateral lung transplant, n (%)	43 (100.0)	141 (56.4)	<0.001
Lung allocation score	82.0±14.6	50.6±15.7	<0.001
On the waiting list (days)	9 [5–20]	17 [7–43]	0.01
Pre-transplant blood transfusion within 4 weeks, n (%)	25 (58.1)	8 (3.2)	<0.001

Table 1 (continued)

#### 5054

Table 1 (continued)

Table 1 (continued)			
Variables	ARDS group (n=43)	Non-ARDS group (n=250)	P value
Etiology of lung failure, n (%)			
Interstitial lung disease	0 (0.0)	109 (43.6)	-
ARDS	43 (100.0)	0 (0.0)	-
COPD	0 (0.0)	55 (22.0)	-
Pulmonary artery hypertension	0 (0.0)	27 (10.8)	-
Other	0 (0.0)	59 (23.6)	_
Laboratory values			
Hemoglobin (g/dL)	8.7±1.8	12.0±2.4	<0.001
WBC (×10 <sup>3</sup> /mm <sup>3</sup> )	9.8±4.1	9.9±3.9	0.87
Platelets (×10 <sup>3</sup> /mm <sup>3</sup> )	217.8±115.8	250.3±90.9	0.04
Sodium (mEq/L)	141.0±4.1	139.5±3.3	<0.01
BUN (mg/dL)	20.2±12.5	16.0±6.4	<0.001
Creatinine (mg/dL)	0.60±0.23	0.81±0.23	<0.001
AST (U/L)	25.7±16.2	27.3±20.4	0.63
ALT (U/L)	20.5±13.3	20.5±17.4	0.98
Albumin (g/dL)	3.6±0.6	3.9±0.5	<0.001
Total bilirubin (mg/dL)	0.7±1.0	0.7±0.5	0.33
INR	1.2±0.2	1.1±0.2	0.07
PRA, n (%)	22 (51.2)	98 (39.2)	0.18
Arterial blood gas			
рН	7.40±0.07	7.37±0.07	0.05
PaCO <sub>2</sub> (mmHg)	50.0±12.7	49.1±11.0	0.62
PaO <sub>2</sub> (mmHg)	207.8±105.2	278.3±108.9	<0.001
Donor			
Age (years)	30.9±11.8	33.4±11.9	0.20
Female, n (%)	16 (37.2)	76 (30.4)	0.38
Cause of death, n (%)			
Head trauma	20 (46.5)	94 (37.6)	0.31
Anoxia	17 (39.5)	94 (37.6)	0.87
Other	6 (14.0)	62 (24.8)	0.17

Continuous data are shown as means ± standard deviation for age and laboratory data, and as medians and interquartile ranges [Q1–Q3]. Etiology of lung failure other: sarcoidosis, hypersensitivity pneumonitis, cystic fibrosis, bronchiectasis, obliterative bronchiolitis, bronchoalveolar carcinoma, primary ciliary dyskinesia. ARDS, acute respiratory distress syndrome; ECMO, extracorporeal membrane oxygenation; COPD, chronic obstructive pulmonary disease; WBC, white blood cell; BUN, blood urea nitrogen; AST, aspartate aminotransferase; ALT, alanine aminotransferase; INR, international normalized ratio; PRA, panel reactive antibodies.

Table 2 Intra- and post-operative outcomes of lung transplant recipients by ARDS

Variables	ARDS group (n=43)	Non-ARDS group (n=250)	P value
Intra-operative outcomes			
Operative time (hours)	8.7 [7.7–9.7]	5.8 [5.0–7.5]	<0.001
Intra-op blood transfusion			
pRBC (units)	7 [3–14]	0 [0–2]	<0.001
FFP (units)	2 [0–6]	0 [0–0]	<0.001
Plt (units)	1 [0–3]	0 [0–0]	<0.001
Ischemic time (hours)	5.8 [5.2–6.1]	4.9 [4.0–5.8]	<0.001
Veno-arterial ECMO use, n (%)	42 (97.7)	143 (57.2)	<0.001
Post-operative outcomes			
Acute kidney injury, n (%)	26 (60.5)	99 (39.6)	0.01
Dialysis, n (%)	4 (9.3)	13 (5.2)	0.29
Stroke, n (%)	0 (0.0)	6 (2.4)	0.60
Bowel ischemia, n (%)	0 (0.0)	4 (1.6)	>0.99
Digital ischemia, n (%)	1 (2.3)	5 (2.0)	>0.99
PGD (any grade), n (%)	28 (65.1)	100 (40.0)	<0.01
PGD (grade 3), n (%)	13 (30.2)	24 (9.6)	<0.001
Post-transplant ECMO support, n (%)	20 (46.5)	20 (8.0)	<0.001
Intensive care unit stay (days)	20 [12–30]	7 [5–13]	<0.001
Post-transplant ventilator (days)	7 [2–18]	2 [1–3]	<0.001
Hospital stay (days)	29 [18–39]	16 [11–28]	<0.001

Continuous data are shown as medians and interquartile ranges [Q1–Q3]. ARDS, acute respiratory distress syndrome; pRBC, packed red blood cells; FFP, fresh frozen plasma; Plt, platelets; ECMO, extracorporeal membrane oxygenation; PGD, primary graft dysfunction.

had longer ICU admissions {20 [12–30] *vs.* 7 [5–13] days, P<0.001}, post-transplant ventilator requirements {7 [2–18] *vs.* 2 [1–3] days, P<0.001}, and overall hospital stays {29 [18– 39] *vs.* 16 [11–28] days, P<0.001}. ARDS patients also had significantly higher incidences of post-operative PGD grade 3 (30.2% *vs.* 9.6%, P<0.001) and AKI (60.5% *vs.* 39.6%, P=0.01) (*Table 2*). Moreover, there was no difference in the post-transplant survival rate during the two periods (P=0.11, *Figure 1*).

### Predictors of PGD grade 3: ARDS and non-ARDS patients

#### Predictors of PGD grade 3: non-ARDS patients

Univariate logistic regression analysis of donor and recipient characteristics, and intra-operative outcomes, revealed chronic kidney disease (CKD) history [odds ratio (OR) =4.69; 95% confidence interval (CI): 1.62-13.6; P=0.004], pre-transplant ECMO support (OR =8.84; 95% CI: 2.20-35.6; P=0.002), lung allocation score (OR =1.03; 95% CI: 1.01-1.05; P=0.02), pre-transplant blood transfusion within 4 weeks (OR =19.6; 95% CI: 4.34-88.2; P<0.001), pre-operative albumin (OR =0.36; 95% CI: 0.16-0.79; P=0.01), pre-operative total bilirubin (OR =2.16; 95% CI: 1.19-3.94; P=0.01), intra-operative pRBC transfusion (OR =1.15; 95% CI: 1.03-1.29; P=0.01), intra-operative platelets transfusion (OR =1.51; 95% CI: 1.11-2.06; P=0.009), and ischemic time (OR =0.67; 95% CI: 0.49-0.93; P=0.02) as predictive of PGD grade 3 development (*Table 3*). On subsequent multivariate logistic regression analysis, ischemic time (OR =0.60; 95% CI: 0.40-0.90; P=0.01) remained predictive (*Table 4*).

5056

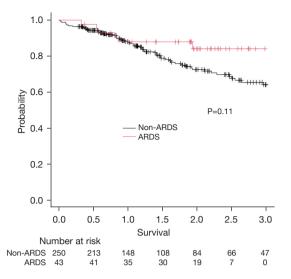


Figure 1 Kaplan-Meier analysis of overall survival after lung transplantation. Comparison of survival rates ARDS and non-ARDS patients. ARDS, acute respiratory distress syndrome.

#### Predictors of PGD grade 3: ARDS patients

Univariate logistic regression analysis of donor and recipient characteristics, and intra-operative outcomes, revealed age (OR =0.91; 95% CI: 0.85-0.98; P=0.008), bilateral lung transplant (OR =0.43; 95% CI: 0.23-0.83; P=0.01), pretransplant blood transfusion within 4 weeks (OR =6.29; 95% CI: 1.18-33.3; P=0.03), pre-operative albumin (OR =0.26; 95% CI: 0.07–0.93; P=0.04), intra-operative pRBC transfusion (OR =1.17; 95% CI: 1.04-1.31; P=0.006), intraoperative FFP transfusion (OR =1.20; 95% CI: 1.04-1.38; P=0.01), and intra-operative platelets transfusion (OR =1.46; 95% CI: 1.06-2.01; P=0.02) as predictive of PGD grade 3 development (Table 5). On subsequent multivariate logistic regression analysis, age (OR =0.72; 95% CI: 0.52-0.99; P=0.048), pre-operative albumin (OR <0.01; 95% CI: <0.01-0.74; P=0.042) remained predictive (Table 6). The receiver operating characteristic (ROC) curve analysis showed that 46 was the cut-off value [area under the curve (AUC) =0.771] for the risk of PGD grade 3 in the ARDS patients (Figure 2).

#### Discussion

PGD represents a significant challenge in lung transplantation, markedly impacting early morbidity and mortality (2,3). Our study sheds light on the contrasting risk factors for PGD in patients with ARDS and those with chronic respiratory failure. This distinction is critical, as PGD is an umbrella term encompassing complex pathophysiological mechanisms in lung grafts, influenced by both the recipient's pre-existing condition and the transplantation process itself.

In patients with ARDS, we identified age and preoperative albumin levels as significant predictors of PGD. ARDS, a rapidly progressive lung disease, often necessitates lung transplantation as a last resort due to its high mortality rate (12). The pre-transplant condition of these patients, characterized by heightened inflammation and compromised nutritional status reflected in albumin levels, plays a pivotal role in their susceptibility to PGD. In contrast, ischemic time stands out as a critical factor in patients with chronic respiratory failure. This aligns with the understanding that prolonged ischemic time exacerbates ischemia-reperfusion injury, a primary trigger for PGD.

Interestingly, studies have highlighted other risk factors, including donor smoking history,  $FiO_2$  during allograft reperfusion, and the recipient's body mass index, as significant contributors to PGD development (4,28,29). Moreover, specific recipient-related factors, such as female gender, African American race, idiopathic pulmonary fibrosis, and sarcoidosis, have been associated with increased PGD risk (30,31). These findings point towards a multifactorial nature of PGD, where both donor and recipient characteristics, along with procedural variables, interplay to influence the outcome.

Comparing the outcomes of lung transplantation in ARDS *vs.* chronic lung diseases, the literature suggests that while lung transplantation remains controversial for

Table 3 Univariate logistic regression analysis to predict PGD grade 3 in non-ARDS group (n=250)

Variables	OR	95% CI	P value
Recipient factors			
Age	0.98	0.95-1.02	0.33
Female	1.46	0.63–3.38	0.38
Body mass index	0.99	0.90-1.08	0.77
Body surface area	0.77	0.14-4.22	0.76
Smoking history	0.90	0.39–2.09	0.81
Hypertension	0.76	0.33–1.77	0.53
Diabetes	0.96	0.38–2.41	0.93
CKD	4.69	1.62–13.6	0.004
Pre-transplant ECMO use	8.84	2.20-35.6	0.002
Bilateral lung transplant	1.09	0.47-2.56	0.84
Lung allocation score	1.03	1.01–1.05	0.02
Pre-transplant blood transfusion within 4 weeks	19.6	4.34-88.2	<0.001
Laboratory			
Hemoglobin	0.91	0.76-1.09	0.33
WBC	0.97	0.86-1.09	0.60
Platelets	1.00	0.99–1.00	0.32
Sodium	1.07	0.95–1.21	0.24
BUN	1.04	0.99–1.10	0.16
Creatinine	0.98	0.83–1.15	0.81
AST	1.01	1.00–1.03	0.16
ALT	1.00	0.97-1.02	0.77
Albumin	0.36	0.16-0.79	0.01
Total bilirubin	2.16	1.19–3.94	0.01
INR	3.32	0.86-12.8	0.08
PRA	1.96	0.84–4.57	0.12
Donor			
Age	1.00	0.97-1.04	0.83
Female	2.10	0.89–4.92	0.09
Intra-operative outcomes			
Operative time	0.99	0.97-1.02	0.64
Intra-op blood transfusion			
pRBC	1.15	1.03–1.29	0.01
FFP	1.22	1.00-1.49	0.05
Plt	1.51	1.11–2.06	0.009
Ischemic time	0.67	0.49–0.93	0.02
Veno-arterial ECMO use	1.93	0.77-4.83	0.16

PGD, primary graft dysfunction; ARDS, acute respiratory distress syndrome; OR, odds ratio; CI, confidence interval; CKD, chronic kidney disease; ECMO, extracorporeal membrane oxygenation; WBC, white blood cell; BUN, blood urea nitrogen; AST, aspartate aminotransferase; ALT, alanine aminotransferase; INR, international normalized ratio; PRA, panel reactive antibodies; pRBC, packed red blood cells; FFP, fresh frozen plasma; Plt, platelets.

 Table 4 Multivariate logistic regression analysis to predict PGD grade 3 in non-ARDS group (n=250)

Variables	OR	95% CI	P value
Recipient factors			
CKD	3.13	0.88–11.1	0.08
Pre-transplant ECMO use	0.31	0.01–9.09	0.50
Lung allocation score	1.00	0.97-1.03	0.86
Pre-transplant blood transfusion within 4 weeks	12.2	0.76–197	0.08
Laboratory			
Albumin	0.58	0.22-1.50	0.26
Total bilirubin	1.42	0.60–3.33	0.43
Intra-operative outcomes			
Intra-op blood transfusion			
pRBC	1.16	0.97–1.37	0.10
Ischemic time	0.60	0.40-0.90	0.01

PGD, primary graft dysfunction; ARDS, acute respiratory distress syndrome; OR, odds ratio; CI, confidence interval; CKD, chronic kidney disease; ECMO, extracorporeal membrane oxygenation; pRBC, packed red blood cells.

ARDS due to the acute nature of the disease, selected patients can achieve acceptable survival rates, comparable to those with chronic conditions (11,14). This is particularly noteworthy given the high survival rate in ARDS patients despite the severity of their pre-transplant condition and the complexities involved in their management, including prolonged ICU stays and the frequent need for ECMO support (11).

Our study comes with limitations. Firstly, we studied patients at a single center, at Northwestern Memorial Hospital, which may limit the generalizability of our conclusions. Also, the number of patients studied were small, which may reduce statistical power. Furthermore, since these results are based on the experiences of a small cohort in a single large institution, differences in patient referral patterns, ECMO management standards, and eligibility criteria cannot be generalized and must be considered. Second, ARDS patients included a very wide variety of diagnoses such as severe bacterial pneumonia, COVID-19 infection, and others which could impact the statistical analysis results.

#### Conclusions

Our study emphasizes the need for a nuanced understanding of PGD risk factors in different patient populations. It advocates for personalized approaches in preoperative assessment and perioperative care, considering the unique challenges posed by each patient's underlying lung condition. The identification of specific risk profiles paves the way for tailored strategies aimed at minimizing PGD risk, ultimately improving lung transplant outcomes. Future research should delve deeper into the underlying mechanisms of these risk factors, exploring novel biomarkers and therapeutic interventions to further enhance patient care in lung transplantation.

Table 5 Univariate logistic regression analysis to predict PGD grade 3 in ARDS group (n=43)

Variables	OR	95% CI	P value
Recipient factors			
Age	0.91	0.85–0.98	0.008
Female	1.75	0.47-6.50	0.40
Body mass index	0.93	0.79–1.10	0.39
Body surface area	0.13	0.01-4.42	0.25
Smoking history	1.20	0.25–5.77	0.82
Hypertension	0.71	0.19–2.69	0.62
Diabetes	0.77	0.19–3.09	0.71
Pre-transplant ECMO use	<0.01	0–inf	0.99
Bilateral lung transplant	0.43	0.23-0.83	0.01
Lung allocation score	1.05	0.98–1.12	0.20
Pre-transplant blood transfusion within 4 weeks	6.29	1.18–33.3	0.03
aboratory			
Hemoglobin	0.74	0.48-1.14	0.17
WBC	1.09	0.93–1.28	0.28
Platelets	0.99	0.98-1.00	0.09
Sodium	0.99	0.84–1.17	0.93
BUN	1.01	0.96-1.06	0.76
Creatinine	14.5	0.70–302	0.08
AST	1.05	1.00-1.10	0.05
ALT	1.04	0.99–1.10	0.12
Albumin	0.26	0.07–0.93	0.04
Total bilirubin	1.88	0.65–5.42	0.25
INR	7.60	0.11–505	0.34
PRA	1.83	0.49-6.90	0.37
Donor			
Age	1.01	0.95–1.06	0.82
Female	0.67	0.17–2.67	0.57
ntra-operative outcomes			
Operative time	1.29	0.81-2.04	0.29
Intra-op blood transfusion			
pRBC	1.17	1.04–1.31	0.006
FFP	1.20	1.04–1.38	0.01
Plt	1.46	1.06-2.01	0.02
Ischemic time	1.60	0.80-3.22	0.19
Veno-arterial ECMO use	<0.01	0–inf	0.99

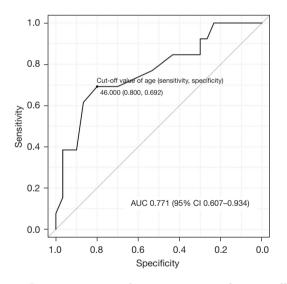
PGD, primary graft dysfunction; ARDS, acute respiratory distress syndrome; OR, odds ratio; CI, confidence interval; ECMO, extracorporeal membrane oxygenation; WBC, white blood cell; BUN, blood urea nitrogen; AST, aspartate aminotransferase; ALT, alanine aminotransferase; INR, international normalized ratio; PRA, panel reactive antibodies; pRBC, packed red blood cells; FFP, fresh frozen plasma; Plt, platelets; inf, infinity.

#### Kurihara et al. Risk of PGD to ARDS

8 8 7 1	0 0			
Variables	OR	95% CI	P value	
Recipient factors				
Age	0.72	0.52–0.99	0.048	
Pre-transplant blood transfusion within 4 weeks	201	0.0005-82400000	0.42	
Laboratory				
AST	1.46	0.93–2.29	0.10	
Albumin	0.00028	0.00000011-0.74	0.042	
Intra-operative outcomes				
Intra-op blood transfusion				
pRBC	2.71	0.82-9.02	0.10	
FFP	0.91	0.40-2.07	0.82	
Plt	0.22	0.023-2.06	0.18	

Table 6 Multivariate logistic regression analysis to predict PGD grade 3 in ARDS group (n=43)

PGD, primary graft dysfunction; ARDS, acute respiratory distress syndrome; OR, odds ratio; CI, confidence interval; AST, aspartate aminotransferase; pRBC, packed red blood cells; FFP, fresh frozen plasma; Plt, platelets.



**Figure 2** Receiver operating characteristic curve of age cut off for predictor of primary graft dysfunction. AUC, area under the curve; CI, confidence interval.

### Acknowledgments

The authors would like to thank Ms. Elena Susan for her administrative assistance in the submission of this manuscript.

*Funding:* This work was supported by the National Institutes of Health (Nos. HL145478, HL147290, and HL147575 to A.B.).

#### Footnote

*Data Sharing Statement:* Available at https://jtd.amegroups. com/article/view/10.21037/jtd-24-100/dss

*Peer Review File:* Available at https://jtd.amegroups.com/ article/view/10.21037/jtd-24-100/prf

Conflicts of Interest: All authors have completed the ICMJE

#### 5060

uniform disclosure form (available at https://jtd.amegroups. com/article/view/10.21037/jtd-24-100/coif). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Northwestern University (Nos. STU00207250 and STU00213616). The need for patient consent for data collection was waived by the institutional review board because this was a retrospective study.

*Open Access Statement:* This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: https://creativecommons.org/licenses/by-nc-nd/4.0/.

#### References

- Valapour M, Lehr CJ, Schladt DP, et al. OPTN/SRTR 2021 Annual Data Report: Lung. Am J Transplant 2023;23:S379-442.
- Daud SA, Yusen RD, Meyers BF, et al. Impact of immediate primary lung allograft dysfunction on bronchiolitis obliterans syndrome. Am J Respir Crit Care Med 2007;175:507-13.
- Huang HJ, Yusen RD, Meyers BF, et al. Late primary graft dysfunction after lung transplantation and bronchiolitis obliterans syndrome. Am J Transplant 2008;8:2454-62.
- Diamond JM, Lee JC, Kawut SM, et al. Clinical risk factors for primary graft dysfunction after lung transplantation. Am J Respir Crit Care Med 2013;187:527-34.
- Liu Y, Liu Y, Su L, et al. Recipient-related clinical risk factors for primary graft dysfunction after lung transplantation: a systematic review and meta-analysis. PLoS One 2014;9:e92773.
- 6. Toyoda T, Thomae BL, Kandula V, et al. Primary graft dysfunction grade correlates with acute kidney injury stage

after lung transplantation. J Thorac Dis 2023;15:3751-63.

- Christie JD, Kotloff RM, Pochettino A, et al. Clinical risk factors for primary graft failure following lung transplantation. Chest 2003;124:1232-41.
- Porteous MK, Lee JC, Lederer DJ, et al. Clinical Risk Factors and Prognostic Model for Primary Graft Dysfunction after Lung Transplantation in Patients with Pulmonary Hypertension. Ann Am Thorac Soc 2017;14:1514-22.
- Whitson BA, Nath DS, Johnson AC, et al. Risk factors for primary graft dysfunction after lung transplantation. J Thorac Cardiovasc Surg 2006;131:73-80.
- Toyoda T, Cerier EJ, Manerikar AJ, et al. Recipient, donor, and surgical factors leading to primary graft dysfunction after lung transplant. J Thorac Dis 2023;15:399-409.
- Kurihara C, Manerikar A, Querrey M, et al. Clinical Characteristics and Outcomes of Patients With COVID-19-Associated Acute Respiratory Distress Syndrome Who Underwent Lung Transplant. JAMA 2022;327:652-61.
- 12. Fan E, Del Sorbo L, Goligher EC, et al. An Official American Thoracic Society/European Society of Intensive Care Medicine/Society of Critical Care Medicine Clinical Practice Guideline: Mechanical Ventilation in Adult Patients with Acute Respiratory Distress Syndrome. Am J Respir Crit Care Med 2017;195:1253-63.
- Bharat A, Querrey M, Markov NS, et al. Lung transplantation for patients with severe COVID-19. Sci Transl Med 2020;12:eabe4282.
- Bharat A, Machuca TN, Querrey M, et al. Early outcomes after lung transplantation for severe COVID-19: a series of the first consecutive cases from four countries. Lancet Respir Med 2021;9:487-97.
- Lang C, Jaksch P, Hoda MA, et al. Lung transplantation for COVID-19-associated acute respiratory distress syndrome in a PCR-positive patient. Lancet Respir Med 2020;8:1057-60.
- Frick AE, Gan CT, Vos R, et al. Lung transplantation for acute respiratory distress syndrome: A multicenter experience. Am J Transplant 2022;22:144-53.
- Bernard GR, Artigas A, Brigham KL, et al. The American-European Consensus Conference on ARDS. Definitions, mechanisms, relevant outcomes, and clinical trial coordination. Am J Respir Crit Care Med 1994;149:818-24.
- Tomasko J, Prasad SM, Dell DO, et al. Therapeutic anticoagulation-free extracorporeal membrane oxygenation as a bridge to lung transplantation. J Heart Lung Transplant 2016;35:947-8.

- Kurihara C, Walter JM, Singer BD, et al. Extracorporeal Membrane Oxygenation Can Successfully Support Patients With Severe Acute Respiratory Distress Syndrome in Lieu of Mechanical Ventilation. Crit Care Med 2018;46:e1070-3.
- Kurihara C, Walter JM, Karim A, et al. Feasibility of Venovenous Extracorporeal Membrane Oxygenation Without Systemic Anticoagulation. Ann Thorac Surg 2020;110:1209-15.
- 21. Snell GI, Yusen RD, Weill D, et al. Report of the ISHLT Working Group on Primary Lung Graft Dysfunction, part I: Definition and grading-A 2016 Consensus Group statement of the International Society for Heart and Lung Transplantation. J Heart Lung Transplant 2017;36:1097-103.
- 22. Bellomo R, Ronco C, Kellum JA, et al. Acute renal failure - definition, outcome measures, animal models, fluid therapy and information technology needs: the Second International Consensus Conference of the Acute Dialysis Quality Initiative (ADQI) Group. Crit Care 2004;8:R204-12.
- Cerier E, Manerikar A, Kandula V, et al. Postreperfusion Pulmonary Artery Pressure Indicates Primary Graft Dysfunction After Lung Transplant. Ann Thorac Surg 2024;117:206-212.
- Yang W, Cerier EJ, Núñez-Santana FL, et al. IL-1βdependent extravasation of preexisting lung-restricted

**Cite this article as:** Kurihara C, Kaiho T, Thomae B, Cerier E, Lung K, Avella Patino D, Toyoda T, Yan Y, Budinger GRS, Bharat A. Contrasting predictors of severe primary graft dysfunction following transplant for chronic and acute respiratory failure. J Thorac Dis 2024;16(8):5050-5062. doi: 10.21037/jtd-24-100 autoantibodies during lung transplantation activates complement and mediates primary graft dysfunction. J Clin Invest 2022;132:e157975.

- Kurihara C, Manerikar A, Gao CA, et al. Outcomes after extracorporeal membrane oxygenation support in COVID-19 and non-COVID-19 patients. Artif Organs 2022;46:688-96.
- 26. Manerikar A, Watanabe S, Kandula V, et al. Indwelling Central Venous Catheters Drive Bloodstream Infection During Veno-venous Extracorporeal Membrane Oxygenation Support. ASAIO J 2022;68:859-64.
- 27. Kanda Y. Investigation of the freely available easy-touse software 'EZR' for medical statistics. Bone Marrow Transplant 2013;48:452-8.
- 28. Criner RN, Clausen E, Cantu E. Primary graft dysfunction. Curr Opin Organ Transplant 2021;26:321-7.
- 29. Venkata-Subramani M, Nunley DR, Roman J. Donor factors and risk of primary graft dysfunction and mortality post lung transplantation: A proposed conceptual framework. Clin Transplant 2021;35:e14480.
- Avtaar Singh SS, Banner NR, Rushton S, et al. ISHLT Primary Graft Dysfunction Incidence, Risk Factors, and Outcome: A UK National Study. Transplantation 2019;103:336-43.
- Michelson AP, Oh I, Gupta A, et al. Developing machine learning models to predict primary graft dysfunction after lung transplantation. Am J Transplant 2024;24:458-67.

## 5062