

1     **Modeling suggests SARS-CoV-2 rebound after nirmatrelvir-ritonavir treatment is driven**  
2                     **by target cell preservation coupled with incomplete viral clearance**

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23 **Abstract:** In a subset of SARS-CoV-2 infected individuals treated with the oral antiviral  
24 nirmatrelvir-ritonavir, the virus rebounds following treatment. The mechanisms driving this  
25 rebound are not well understood. We used a mathematical model to describe the longitudinal  
26 viral load dynamics of 51 individuals treated with nirmatrelvir-ritonavir, 20 of whom rebounded.  
27 Target cell preservation, either by a robust innate immune response or initiation of nirmatrelvir-  
28 ritonavir near the time of symptom onset, coupled with incomplete viral clearance, appear to be  
29 the main factors leading to viral rebound. Moreover, the occurrence of viral rebound is likely  
30 influenced by time of treatment initiation relative to the progression of the infection, with earlier  
31 treatments leading to a higher chance of rebound. Finally, our model demonstrates that extending  
32 the course of nirmatrelvir-ritonavir treatment, in particular to a 10-day regimen, may greatly  
33 diminish the risk for rebound in people with mild-to-moderate COVID-19 and who are at high  
34 risk of progression to severe disease. Altogether, our results suggest that in some individuals, a  
35 standard 5-day course of nirmatrelvir-ritonavir starting around the time of symptom onset may  
36 not completely eliminate the virus. Thus, after treatment ends, the virus can rebound if an  
37 effective adaptive immune response has not fully developed. These findings on the role of target  
38 cell preservation and incomplete viral clearance also offer a possible explanation for viral  
39 rebounds following other antiviral treatments for SARS-CoV-2.

40

41 **Importance:**

42 Nirmatrelvir-ritonavir is an effective treatment for SARS-CoV-2. In a subset of individuals  
43 treated with nirmatrelvir-ritonavir, the initial reduction in viral load is followed by viral rebound  
44 once treatment is stopped. We show the timing of treatment initiation with nirmatrelvir-ritonavir  
45 may influence the risk of viral rebound. Nirmatrelvir-ritonavir stops viral growth and preserves

46 target cells but may not lead to full clearance of the virus. Thus, once treatment ends, if an  
47 effective adaptive immune response has not adequately developed, the remaining virus can lead  
48 to rebound. Our results provide insights into the mechanisms of rebound and can help develop  
49 better treatment strategies to minimize this possibility.

50

## 51 **Introduction**

52           A 5-day course of nirmatrelvir-ritonavir (N-R) is recommended for individuals who test  
53 positive for SARS-CoV-2 with mild-to-moderate symptoms and a high risk of progression to  
54 severe disease [1]. Treatment with two doses (300 mg of nirmatrelvir and 100 mg of ritonavir)  
55 per day is suggested to be initiated as soon as possible and within 5 days of symptom onset.  
56 Nirmatrelvir is a protease inhibitor, targeting the SARS-CoV-2 main protease 3-chymotrypsin-  
57 like cysteine protease enzyme (3CLpro), blocking SARS-CoV-2 replication. Ritonavir reduces  
58 the liver catabolism of nirmatrelvir and thus prolongs the half-life of nirmatrelvir [1]. While N-R  
59 substantially reduces the risk of progression to severe COVID-19 and can shorten the duration of  
60 disease in high-risk individuals [2–4], in some cases, viral rebound and recurring symptoms  
61 occur after the 5-day treatment course, including in individuals who have been vaccinated and/or  
62 boosted [5,6]. Some individuals with viral rebound are reported to have culturable virus up to 16  
63 days after the initial diagnosis [6,7], thus, potential transmission to close contacts during the  
64 rebound period is a concern [5]. Although virus resistant to N-R *in vitro* [8,9] and treatment-  
65 emergent 3CLpro substitutions *in vivo* [1,10] have been observed, viral rebound in the case of N-  
66 R *in vivo* does not seem to be caused by the emergence of drug resistant mutants [5–7,11–14].  
67 However, two immunocompromised individuals, who were treated with extended duration of N-  
68 R in combinations with other treatments, experienced viral rebound associated with resistant  
69 mutations E166 A/V and L50F in the NSP5 region where 3CLpro is located [15,16].

70           The precise proportion of individuals treated with N-R that exhibit viral rebound is  
71 unclear, and estimates could vary based on a range of factors, including the definition used to  
72 classify rebound and viral characteristics. For example, in the N-R phase 3 clinical trial, EPIC-  
73 HR, the fraction of individuals with viral rebound (positive PCR test) and recurring symptom

74 was 1-2% [17]. However, this study was limited by the relatively infrequent viral RNA  
75 measurements after the completion of N-R. Other studies have reported rebound in 0.8 – 27% of  
76 N-R treated individuals [6,18–22]. Viral rebound has also been described in untreated individuals  
77 [23,24], but often at a lower frequency compared to N-R treated individuals regardless of  
78 rebound definition [6,17,19,20,22,25,26].

79         Previously, we analyzed the data presented in Charness et al. [5], where quantitative PCR  
80 is available for three individuals who experienced viral and symptom rebound after taking N-R.  
81 In all three individuals, no resistance mutations in the gene encoding the protease targeted by  
82 nirmatrelvir (3CLpro) developed during treatment and there was no evidence of reinfection by a  
83 different variant. The viral dynamic models in our study adequately captured the viral rebound  
84 dynamics in all three individuals [27]. One hypothesis we tested was that a 5-day N-R treatment  
85 course started near the time of symptom onset reduces the depletion of target cells but does not  
86 fully eliminate the virus, thus allowing the virus to rebound once treatment is stopped. The  
87 occurrence of viral rebound was shown to be sensitive to model parameters, especially the time  
88 therapy is started and the time adaptive immune response begins to emerge. This suggested that a  
89 delay in the treatment initiation can lower the chance of rebound. However, our results were only  
90 supported by a limited data set comprised of three individuals [27].

91         Here, we expand upon this previous study using data from an ongoing observational  
92 cohort study, including 51 individuals treated with N-R, 20 of whom were classified as having  
93 viral rebound per the definition by Edelstein et al. [6] (additional details in Data). Our model  
94 accurately captured the viral dynamics of all 51 individuals and provides further evidence that  
95 target cell preservation plays a central role in the occurrence of large amplitude viral rebounds.  
96 Our model predicts that target cell preservation was achieved by a robust innate immune

97 response or by early treatment. As treatment only stops viral replication but does not directly  
98 eliminate existing virus, residual virus may remain after treatment has ended and can infect the  
99 remaining target cells and rebound. While we use N-R as a case study, our theory can also  
100 explain the viral rebound observed after treatment with molnupiravir [21], another oral antiviral  
101 with FDA emergency use authorization, simnotrelvir/ritonavir [28], a protease inhibitor that also  
102 targets the SARS-CoV-2 main protease 3CLpro but has a shorter half-life [29] than nirmatrelvir,  
103 and VV116 or mindeudesivir [30], an inhibitor of the viral RNA-dependent RNA polymerase  
104 that is not inferior to N-R in reducing time to recovery [31].

105

## 106 **Results**

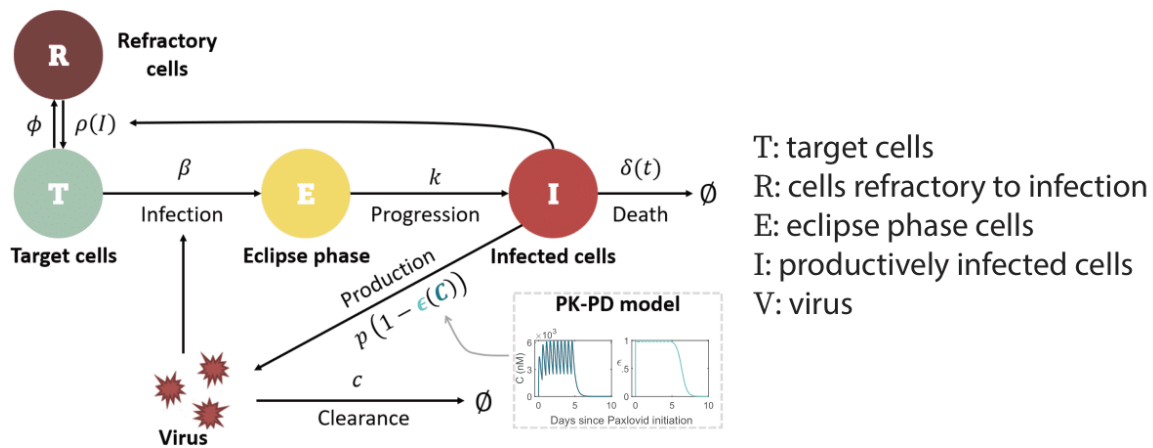
### 107 **Model of viral dynamics in the upper respiratory tract**

108 We used an extension of a viral dynamic model that has been applied to study SARS-  
109 CoV-2 infection dynamics [32–35]. In this model (depicted in Fig 1), viral infection of target  
110 cells in the upper respiratory tract (URT) occurs with rate constant  $\beta$ . After spending an average  
111 time of  $1/k$  in an eclipse phase  $E$ , infected cells enter a productively infected state  $I$ , where they  
112 produce virus at rate  $p$  (in the absence of N-R) and die at per capita rate  $\delta$ . SARS-CoV-2 is  
113 cleared at per capita rate  $c$ .

114 For the innate immune response, we assumed the amount of type-I and type-III  
115 interferons in the URT is proportional to the number of infected cells,  $I$ , and that interferon puts  
116 target cells into a temporary antiviral state (refractory to infection) [33,34,36–39] at rate  $\phi$ .  
117 Refractory cells become susceptible to infection again at rate  $\rho(I) = \rho \frac{K_\rho}{I+K_\rho}$ , where  $\rho$  is the  
118 maximum rate at which refractory cells return to being susceptible [40] and  $K_\rho$  denotes the

119 density of infected cells at which the rate of return is half-maximal<sup>1</sup>. Following Pawelek et al.  
 120 [41], the adaptive immune response is modeled as causing an exponential increase of the death  
 121 rate of infected cells ( $\delta$ ) at rate  $\sigma$  for a short time after its emergence time  $t^*$ . This choice was  
 122 motivated by the observation that virus-specific CD8<sup>+</sup> T cells expand exponentially after viral  
 123 infection [42]. This makes the death rate of infected cells a function of time  $\delta(t)$ . Finally, the  
 124 concentration-dependent action of N-R is incorporated using a pharmacokinetic-  
 125 pharmacodynamic (PK-PD) model. Additional details of the model formulation are provided in  
 126 the Methods, S1 Text, and S1 Fig.

127



128

129 **Fig 1. Schematic of the viral dynamic model.** The model includes pharmacokinetic (PK) and  
 130 pharmacodynamic (PD) sub-models, specifying how the drug concentration  $C$  and drug  
 131 effectiveness  $\epsilon(C)$  change over time (model details in Methods and S1 Text).

132

133

<sup>1</sup> Note if  $I \gg K_\rho$ , i.e. if the amount of interferon is very high,  $\rho(I) \rightarrow 0$ , and cells remain in an antiviral state. However, as infection resolves and  $I$  becomes much less than  $K_\rho$ , the antiviral state is lost at rate close to  $\rho$ .

134 **Model describes the viral dynamics in all treated individuals.**

135 Our viral dynamic model describes the observed data for treated participants with and  
136 without rebound (Fig 2a). By fitting the model to the data, we obtain population (S1 Table in S2  
137 Text) and individual (S2 Table in S2 Text) estimates of the model parameters, which are  
138 stratified by rebound vs. non-rebound (Fig 2b). The estimated time of infection relative to the  
139 time of symptom onset as reported by participants and the time of N-R initiation relative to  
140 infection and to symptom onset are also shown in Fig 2b. We found that the parameters ( $\rho$ ,  $\phi$ ,  
141  $K_\rho$ ) governing the dynamics of refractory cells, i.e., those cells that are protected from infection,  
142 are significantly different between individuals who rebound and those who do not. The  
143 differences in all of these parameters between the two groups were such that they favored the  
144 maintenance of cells in the refractory state in non-rebounders, who had a larger rate of cell entry  
145 into refractoriness  $\phi$  ( $p=0.0004$ ), a smaller maximum rate of cells returning to target status  $\rho$   
146 ( $p=0.0047$ ), and a smaller half-saturation constant for this process  $K_\rho$  ( $p=0.0056$ ).

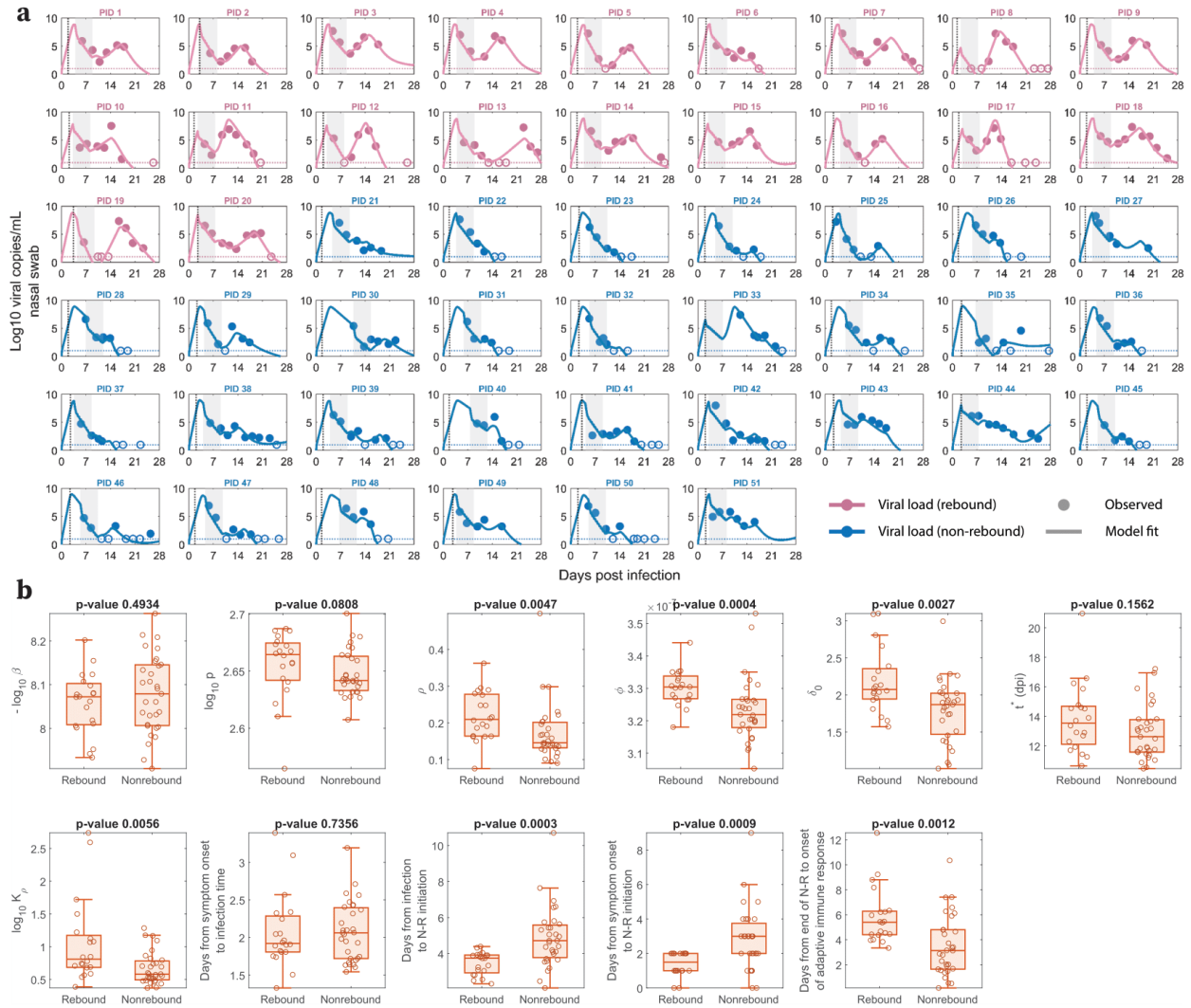
147 In addition, the baseline infected cell death rate ( $\delta_0$ ) was also significantly smaller in  
148 non-rebounders ( $p=0.0027$ ). When we tested using “rebounder” as a covariate on each parameter  
149 to improve the model fit and to better understand factors distinguishing rebounders from non-  
150 rebounders, a covariate in  $\delta_0$  provided the lowest BICc. However, the BICc difference was small  
151 (less than 4 points) compared to the model without a covariate (S3 Table in S3 Text).

152 Additionally, when we considered a variation of our best fit model with proliferation of target  
153 cells (details and model fit in S2a Fig in S4 Text), the baseline infected cell death rate was not  
154 significantly different between rebounders and non-rebounders (S2b Fig). On the other hand,  
155 there were still differences that are significant in the innate immune response parameters  $\phi$   
156 ( $p=0.0222$ ) and  $K_\rho$  ( $p=0.0201$ ). Specifically, in both models, the rebounders tend to have a larger



157 value of  $\phi$ , indicating a more rapid loss of target cells by going into the refractory state initially,  
158 and a larger value of  $K_\rho$ , resulting in an earlier replenishment of target cells that can support viral  
159 rebound [43].

160           The time of N-R treatment relative to the estimated time of infection was about one day  
161 shorter in participants who rebounded vs. those who did not (median 3.75 days vs. 4.72 days,  
162  $p=0.0003$ ). This is consistent with the significant difference ( $p=0.0009$ ) in the time of N-R  
163 initiation relative to the time of symptom onset in rebound vs. non-rebound individuals, as  
164 suggested before [6,27,43,44]. These differences in parameter estimates manifest in clear  
165 distinctions in model dynamics (viral load, target cells, infected cells) between rebounders and  
166 non-rebounders, as discussed and demonstrated in S3-4 Figs and S5 Text. A model variation that  
167 includes logistic proliferation of target cells discussed in S4 Text also predicts similar model  
168 dynamics (S5 Fig in S5 Text).



169

170 **Fig 2. Model fits recapitulate viral dynamics and quantify differences in the characteristics**

171 **between viral rebound and non-rebound individuals. a.** Model fits to nasal viral loads of

172 rebound (pink) and non-rebound (blue) individuals. The shaded area is the duration of N-R

173 treatment. The dotted horizontal line is the limit of detection (LoD) for the RT-qPCR assay.

174 Filled and open circles are data above and below the LoD, respectively. The dotted black vertical

175 line indicates the reported time of symptom onset relative to the estimated time of infection. **b.**

176 Box plots of best fit parameters and timing of N-R stratified by individuals who rebound vs.

177 those who do not. The lower and upper limits of the box represent the first and third quartile,

178 respectively. The line inside the box is the median and the whiskers connect the top/bottom of  
179 the box to the max/min values that are not outliers (data points further than 1.5 times the  
180 interquartile range). Overlaid circles are individual parameter values. Time of N-R initiation  
181 relative to symptom onset was recorded for each individual (except non-rebounder PID 23,  
182 whose symptom onset is imputed at one day prior to their first positive test). P-values are  
183 calculated using the Mann-Whitney U test.

184

185 The model also recapitulates the data in untreated individuals from the same ongoing  
186 clinical cohort (S6a Fig in S6 Text). We also find that the parameter distribution between the  
187 treated and untreated groups are statistically similar (S6b Fig in S6 Text). The one exception is  
188 the average difference of 1.23 days (95% confidence interval [0.44, 2.03],  $p=0.0026$ ) in the  
189 estimated onset time of the adaptive immune response, which is later in treated individuals  
190 compared to untreated individuals.

191

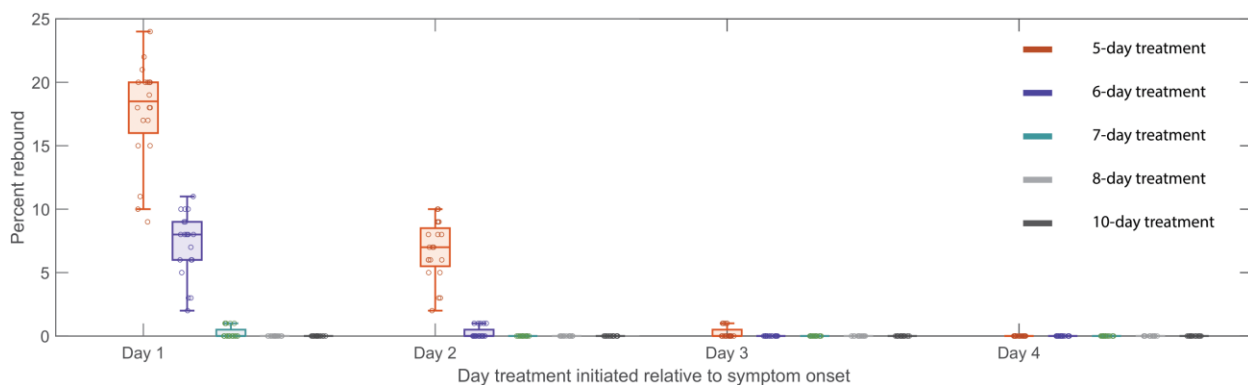
## 192 **The sensitivity of viral rebound to treatment initiation time and the duration of treatment.**

193 Our results suggest that the time of N-R treatment initiation and the availability of target  
194 cells at that time are critical to define whether a rebound occurs or not. To further explore this,  
195 we used simulation experiments to show that delaying or extending the period of treatment with  
196 N-R can decrease the probability of rebound. We simulated  $n=20$  treatment cohorts, each with  
197 100 randomly generated *in silico* individuals treated with N-R (see Methods for details), and  
198 assessed what percentage of individuals in each cohort exhibited rebound, defined as the viral  
199 load returning above  $10^4$  RNA copies per mL [6]. Samples of the simulated viral dynamics for

200 individuals in the *in silico* cohorts are presented in S7a-c Fig in S8 Text. Without treatment, our  
201 cohorts of *in silico* individuals have similar rebound statistics as those reported in the 8 clinical  
202 studies [6,17,19,20,23–26] (S7d Fig in S8 Text).

203 We tested treatment starting at days 1, 2, 3 and 4 post symptom onset, with symptom  
204 onset assumed to be 3 days post infection. Extending treatment could be a feasible method of  
205 preventing rebound [27,43,45], so we also examined a 5-, 6-, 7-, 8- and 10-day treatment  
206 courses. In one scenario, we assume N-R does not affect the development of adaptive immune  
207 response (Fig 3). In a second scenario, we assume that the onset of the adaptive immune  
208 response is delayed more with longer treatments (S8 Fig in S9 Text). It is important to examine  
209 this possibility as it would make rebound more likely. The time of symptom onset is fixed at 3  
210 days post infection; however, assuming either 2 or 4 days does not change the general trend  
211 observed in Fig 3 and S8 Fig in S9 Text in which we observed a clear decrease in rebound  
212 percentage as treatment is initiated later. We also found that an increase in the duration of  
213 treatment with N-R tends to prevent viral rebound. In all scenarios, extending treatment to 10  
214 days decreases the probability of rebound in our 20 simulated 100-person cohorts to a level so  
215 low that it does not occur for all practical purposes.

216



217

218 **Fig 3. Predicted rebound relative to the time and duration of treatment.** Predicted rebound  
219 relative to 5-, 6-, 7-, 8-, and 10-day course of N-R. Symptom onset is assumed to occur three  
220 days post infection. Boxplots depict the percentage of rebound cases from 20 *in silico* cohorts,  
221 each with 100 individuals, for different treatment initiation times. Each open circle represents the  
222 rebound percentage from one cohort. The extended duration of N-R (beyond a 5-day treatment  
223 course) is assumed to not cause additional delay on the onset of adaptive immune response.

224

## 225 **Discussion**

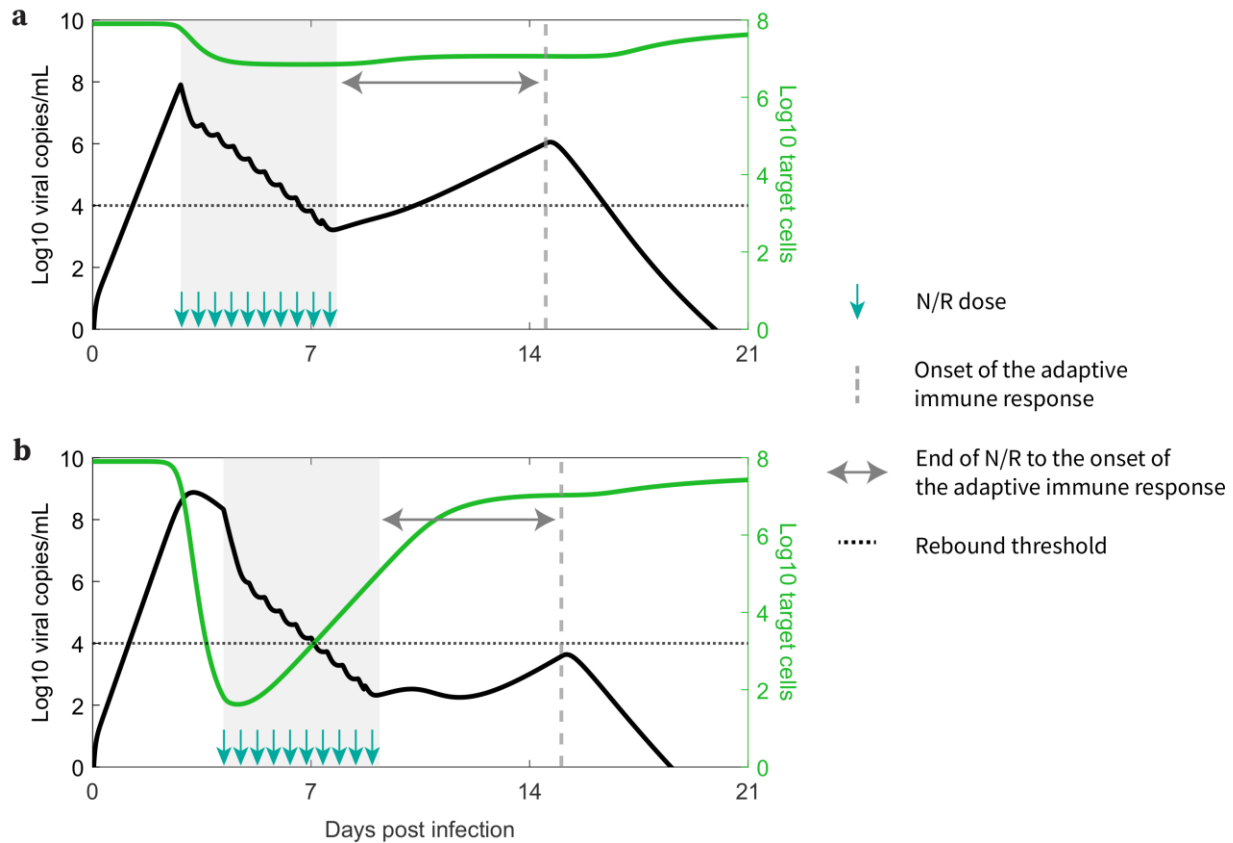
226 Here, we extended a viral dynamic model of SARS-CoV-2 infection to show that the  
227 main driver of viral rebound in the setting of treatment is the preservation of target cells, often as  
228 a result of a robust innate immune response, or early treatment initiation. Our model shows that  
229 once N-R treatment is completed and the drug is washed out before an adaptive immune  
230 response develops, residual viable viruses can rebound if there are sufficient target cells  
231 remaining. Our results support our hypothesis [27] and echo the findings of recently published  
232 modeling studies [43,46]. However, our conclusions are supported by a more robust dataset of  
233 individuals treated with N-R, considerations of alternative models and assumptions on the impact  
234 of N-R on the development of an adaptive immune response with a detailed PK-PD model.

235 Our best model is able to capture the viral dynamics observed in all participants. It  
236 suggests that the protective effects of innate immunity preserved the majority of target cells by  
237 putting them into an antiviral state shortly after the virus starts growing exponentially (S3-4 Figs  
238 in S5 Text). During treatment, the viral load and the number of infected cells rapidly decline (Fig  
239 2a and S4c, f Figs in S5 Text) due to infected cell death and continuous viral clearance,  
240 concurrent with reduced viral production due to drug activity. This decline leads to a decrease in

241 the interferon response, causing cells to exit more quickly from the refractory state [36–40]. It is  
242 clear from the data of both rebound and non-rebound individuals that a five-day course of N-R is  
243 likely to be insufficient to completely eliminate the virus. Indeed, there was measurable virus  
244 (viral load > LoD) after the completion of treatment (the first data point after treatment) in 40 of  
245 the 51 participants (Fig 2a). Thus, if viable viruses remain after the drug is washed out and  
246 before an adaptive immune response can be mounted, virus can rebound. However, whether the  
247 virus rebounds to an observable level is also determined by the time between the end of  
248 treatment and the generation of an effective adaptive immune response, and to some degree, the  
249 differences in the maintenance of the cell refractory status (Fig 2b). This conclusion is supported  
250 by the observation that the time between the end of treatment and the predicted onset time of an  
251 adaptive immune response in the model is statistically different between the rebound and non-  
252 rebound groups. For the rebound group, the estimated time [min, max] is 5.87 [3.34, 12.56] days,  
253 and for the non-rebound group, it is 3.53 [0.14, 10.35] days ( $p = 0.0012$ ) (Fig 2b). Note that this  
254 difference is not driven by the fitted onset time of the adaptive immune response  $t^*$  measured  
255 from the estimated time of infection, whose distribution is statistically similar between the two  
256 groups (Fig 2b). Instead, the difference in the time between the end of treatment and the onset  
257 time of the adaptive immune response is mainly driven by the earlier time of treatment initiation  
258 in the rebound group (Fig 2b).

259 The time of treatment initiation also plays a crucial role in determining if a rebound is  
260 observed or not. If treatment is initiated early after infection, before a time we denote  $t_{critical}$ , a  
261 substantial number of target cells remain unprotected after the 5-day treatment and viral rebound  
262 is likely to occur. After  $t_{critical}$ , too few target cells remain available to support viral growth;  
263 however, target cells still return from the refractory state as the virus is eliminated. Since viral

264 growth switches to viral decay at the time of the viral peak in an untreated individual, this means  
265  $t_{critical}$  is the time the viral peak is reached. In more technical terms  $t_{critical}$  corresponds to the  
266 time the effective reproductive number  $\mathcal{R}$  equals 1, so that on average, each infected cell  
267 produces one new infected cell, leading to neither growth nor decay in the number of infected  
268 cells. In several observational/retrospective studies focusing on Omicron subvariants, the time to  
269 the viral peak is suggested to be 2 to 5 days post symptom onset [47–49]. We observed that for  
270 the participants in this study, who were all infected with Omicron subvariants, rebound is  
271 associated with treatment initiated within 2 days of symptom onset [6]. This suggests treatment  
272 might have been initiated prior to  $t_{critical}$  while the virus level is still expanding. Delaying  
273 treatment may be a strategy to reduce the possibility of viral rebound (Fig 3 and S8 Fig in S9  
274 Text); however, delaying treatment could have a negative impact on the severity of disease in the  
275 high-risk individuals for whom N-R is recommended, and this question deserves more study  
276 [50]. In addition, N-R treatment accelerates viral clearance and hence potentially can reduce viral  
277 transmission. See Fig 4 for a summary description of our results.



278

279 **Fig 4. How early treatment correlates with higher rebound probability. a.** Early treatments

280 preserve more target cells and result in a longer duration between the end of N-R and the onset of

281 an adaptive immune response, leading to a higher probability of an individual being classified as

282 experiencing rebound. **b.** Later treatments preserve fewer target cells and result in a shorter

283 duration between the end of N-R and the onset of an adaptive immune response, leading to a

284 lower probability of an individual being classified as experiencing rebound.

285 Interestingly, all individuals studied here were vaccinated and boosted, and nonetheless

286 had breakthrough infections with Omicron sub-variants [6]. Thus, while adaptive B and T cell

287 immune responses did not prevent infection, they might have been present at the time of

288 infection and could have affected the level of preserved target cells. The timing of the adaptive

289 immune response and its expansion may play a crucial role in the occurrence of viral rebound. In



290 particular, without a strong adaptive immune response, even a longer course of N-R still resulted  
291 in viral rebound in immunocompromised patients with severe disease [15,16,51]. Delaying the  
292 initiation of N-R may also provide more time for the priming of the adaptive immune response  
293 and shorten the time between the end of treatment and the emergence of the adaptive immune  
294 response, which would reduce the chance of rebound.

295         Our model predicted that the 20 rebound participants in the studied set have both innate  
296 and adaptive immune responses comparable to those of non-rebound participants (Fig 2b). This  
297 intriguing finding is supported by the clinical observations that most viral rebounds quickly  
298 resolve within several days [52] and this correlates with a strong antibody and T-cell immune  
299 response [13]. There is also contradictory evidence suggesting that N-R may delay the  
300 development of the adaptive immune response [53,54]. We found an average of 1.23 day delay  
301 in the estimated onset time of the adaptive immune response in the treated vs. untreated groups  
302 (S6 Text). Even so, the rebound participants quickly cleared the rebounding virus. This suggests  
303 that while early initiation of N-R may slightly delay the onset of the adaptive immune response,  
304 perhaps due to lower level of antigens, it does not stop the development of an adaptive immune  
305 response in non-immunocompromised individuals. Thus, if the adaptive immune response is not  
306 significantly impeded by treatment, prolonging treatment can be beneficial in reducing rebound  
307 and does not have the possible detrimental effects on disease severity or increase viral  
308 transmission of delaying treatment [44]. Indeed, using an *in silico* cohort we show that even a  
309 modest extension to a 6-day treatment course can significantly reduce viral rebound incidence  
310 (Fig 3 and S8 Fig in S9 Text). Extensions beyond a 6-day treatment course can further reduce  
311 rebound incidence with a 10-day treatment course almost totally eliminating the possibility of  
312 rebound in our *in silico* patient cohorts (Fig 3 and S8 Fig in S9 Text). A recent clinical trial

313 compared 5 vs. 10 vs. 15 days treatment with N-R given to immunocompromised patients with  
314 COVID-19 (ClinicalTrials.gov: NCT05438602). The final analysis of 150 participants showed  
315 that extending treatment to 10 or 15 days can minimize the risk of rebound [55]. While 9 of 52  
316 participants treated with 5 days of N-R rebounded, only 1 participant rebounded in the 10-day  
317 (n=48) and 15-day (n=50) treatment groups. While the clinical trial was carried out with  
318 immunocompromised patients, the single rebound incidence in the 10-day treated group supports  
319 our simulation results for a theoretical 10-day treatment for mild-to-moderate individuals with  
320 high risk of progression (Fig 3). When the cost of the drug is accounted for, the optimal  
321 treatment duration to minimize rebound and cost falls between 7 and 8 days (S9 Fig in S10  
322 Text). However, because N-R is packaged as a 5-day course of treatment, extending treatment to  
323 10 or 15 days may be more practical. Additionally, we previously suggested that the success of a  
324 second course of N-R once viral rebound occurs will also depend on the timing of an effective  
325 adaptive immune response in a similar manner [27]. This is corroborated by observations of  
326 recurring viral rebounds in an immunocompromised individual at the end of each treatment  
327 period, which eventually leads to the development of the resistance mutation E166V/L50F [15].  
328 An ongoing clinical trial aims to investigate this possibility (ClinicalTrials.gov: NCT05567952).

329         Rather than extend treatment duration, the use of a drug with a longer half-life may be  
330 helpful, especially if infectious forms of SARS-CoV-2 can persist during antiviral treatment  
331 [8,9,56]. An ongoing clinical trial of ensitrelvir (ClinicalTrials.gov: NCT05305547) [57], a  
332 protease inhibitor that also targets SARS-CoV-2 3CLpro but with a longer half-life than  
333 nirmatrelvir [58], yielded results suggesting that this new drug was virologically active and did  
334 not significantly increase the risk of viral rebound [45].

335           The phenomenon of viral rebound has also been observed for monoclonal antibody  
336 treatments for SARS-CoV-2 [59–63]. One example is bamlanivimab, the first monoclonal  
337 antibody that received FDA emergency use authorization for the treatment of COVID-19 [61–  
338 63]. However, rebounds in the case of monoclonal antibodies are associated with the emergence  
339 of resistance mutations [59–63], which contrasts with the lack of evidence for resistant mutants  
340 *in vivo* in the majority of cases for the current antiviral treatments [5–7,11–13]. Yet, the  
341 emergence of resistance mutations to monoclonal antibodies does not always lead to viral  
342 rebound [59,60], suggesting other mechanisms beside selection pressure due to treatment may  
343 contribute to observable viral rebounds. Our previous modeling studies suggested that target cell  
344 regeneration mechanisms, such as homeostatic proliferation of epithelial cells [64–66] or  
345 refractory cells returning to a susceptible state, are necessary to explain the high amplitude viral  
346 rebounds observed in bamlanivimab treated participants [32]. Here our model with logistic  
347 proliferation (S4 Text) also recapitulates the viral load dynamics in rebound and non-rebound  
348 participants (S2a Fig in S4 Text) and the stratified parameter values also support the conclusion  
349 that early N-R initiations correlate with a higher probability of rebound (S2b Fig in S4 Text).  
350 However, the net regeneration effect of target cells is similar to that in the innate immune  
351 response model (S5 Fig compared to S3 Fig in S5 Text). This is likely because potent target cell  
352 preservation limits the proliferation rate, which is related to the number of cells that are lost by  
353 infection. Moreover, because rebound occurs within days after the end of treatment, there is also  
354 not sufficient time for the proliferation effect to be more evident. In addition to explaining viral  
355 rebound, target cell regeneration mechanisms may also explain the observations of low  
356 amplitude viral rebounds/persistence in untreated individuals prior to the development of an  
357 effective adaptive immune response [67,68].

358           Our study has some limitations, the principal of which is not knowing the precise date of  
359 infection of each individual. This is a very common situation when dealing with infectious  
360 diseases [69,70], and it is ameliorated by using a well-established dynamical model, which in  
361 most cases allows us to infer the time of infection better than may be known clinically. Another  
362 important issue is that we do not have data on the immune response, even though we include  
363 both innate and acquired immune factors in our model. In the context of vaccinated individuals,  
364 this could be even more important, although it has been shown before that the viral dynamics of  
365 breakthrough infections maybe similar to that in unvaccinated individuals [71,72]. Our study  
366 could be strengthened and validated by incorporating detailed longitudinal immune response  
367 data, similar to those collected in the human challenge study for SARS-CoV-2 [73].  
368 Furthermore, for the logistical proliferation model, markers of target cell proliferation or re-  
369 population could be used to support the model. We should also re-emphasize that although  
370 delaying treatment leads to lower probability of rebound, we do not evaluate the effect on  
371 severity of disease.

372           In summary, our results suggest the occurrence of viral rebound following a complete  
373 course of N-R may be due to the level of preserved target cells in the setting of incomplete  
374 elimination of the virus. Delaying initiation of treatment for a day or a few days following the  
375 first signs of infection should have some benefit in reducing the possibility of rebound, but at the  
376 cost of allowing viral growth to continue and the possibility of increased disease severity. On the  
377 other hand, extending treatments by several days may also reduce the likelihood of rebound, but  
378 at an increased cost of drug. We remark that viral rebound is not an intrinsic feature of our  
379 model, but rather a possibility within the model dynamical landscape. This is clearly  
380 demonstrated by the model fits to non-rebound individuals (treated and untreated). Lastly,

381 rebound following antiviral treatments is not unique to N-R [21,28]. In particular, rebound  
382 without evidence of resistance has also been observed for the protease inhibitor simnetrelvir  
383 [28], which has a similar mechanism of action to nirmatrelvir and a shorter half-life [29]. Thus,  
384 these findings may provide an explanation for rebound following other antiviral treatments  
385 besides N-R.

## 386 **Methods**

### 387 **Data**

388 The data in this study comes from an ongoing observational cohort study. Full details of  
389 the study design and observations have been reported previously [6]. In summary, participants  
390 are adult outpatients selected from those who took part in the POSITIVES study (Post-  
391 vaccination Viral Characteristics Study) [7,74] within 5 days of an initial positive diagnostic test  
392 for COVID-19, had not yet completed a 5-day course of N-R, and had not received other  
393 antiviral or monoclonal antibody treatments [6]. Time of symptom onset was reported by  
394 participants and infection was confirmed with an initial PCR or rapid antigen test. Anterior nasal  
395 swabs were self-collected about three times a week for two weeks, then weekly until persistent  
396 undetectable results. The data were originally reported relative to the time of the initial  
397 diagnostic test [6]; however, we shifted the data to be “Days post infection” (Fig 3) based on  
398 fitting the model to the data (see Data Fitting). The primary definition for viral rebound was  
399 either (a) a positive viral culture following prior negative results, or (b) nadir viral load dropping  
400 below 4 log<sub>10</sub> copies/mL then increased by at least 1 log<sub>10</sub> copies/mL above the nadir and  
401 sustained above 4 log<sub>10</sub> copies/mL for two consecutive measurements [6].

402 For this analysis, we selected all participants who took N-R and met two criteria: (1) had  
403 at least 5 data points, with (2) at least 4 of those data points above LOD. There were 51  
404 participants that met these criteria (20 showing rebound and 31 showing no rebound).

405 Details regarding the statistics of rebound in untreated individuals are presented in S4  
406 Table in S7 Text.

## 407 **Mathematical Model**

408 We used an extension of the viral dynamic model, originally developed by Baccam et al.  
409 [75], Saenz et al. [76], and Pawelek et al. [41] to study acute influenza infections, which has  
410 previously been adapted to study SARS-CoV-2 infection dynamics [32–35]. The model below  
411 statistically outperformed the simpler versions used by Perelson et al. [27] (see S3 Table in S3  
412 Text).

413 The model is described by the following set of ordinary differential equations:

$$T' = -\beta VT - \phi IT + \rho \frac{K_\rho}{I + K_\rho} R$$

$$R' = \phi IT - \rho \frac{K_\rho}{I + K_\rho} R$$

$$E' = \beta VT - kE$$

$$I' = kE - \delta(t)I$$

$$V' = (1 - \epsilon(C))\pi I - cV$$

414 In this model,  $T$  is the number of target cells in the URT,  $E$  is the number of infected  
415 cells that have not yet started to produce virus, i.e., are in the eclipse phase,  $I$  is the number of  
416 productively infected cells, and  $V$  is the viral load. Target cells become infected with rate  
417 constant  $\beta$ . After being infected for an average time of  $1/k$ , infected cells in the absence of

418 therapy start producing virus at an adjusted rate  $\pi$  that accounts for sampling via a swab [33,34]  
419 and die at per capita rate  $\delta$ , which we allow to be time dependent as described below. SARS-  
420 CoV-2 is cleared at per capita rate  $c$ .

421 For the innate immune response, we assume [34,41] the level of type-I and type-III  
422 interferons in the URT is proportional to the number of infected cells,  $I$ , because these cells  
423 produce IFN and recruit other IFN-producing cells, such as plasmacytoid dendritic cells. We also  
424 assume that interferon puts target cells in an antiviral state that is refractory to infection at rate  $\phi$   
425 [36–39]. The number of cells refractory to infection is denoted  $R$ . Refractory cells lose their  
426 protection and become susceptible to infection [40] at a rate  $\rho \frac{K_\rho}{I+K_\rho}$ . The density dependence of  
427 this rate on the number of infected cells  $I$  reflects the idea that when infected cells are abundant,  
428 they stimulate a strong interferon response, which keeps uninfected cells in a refractory state; but  
429 when infected cells decay below a critical threshold, they no longer sustain a sufficient interferon  
430 response to maintain cells in a refractory state and these cells return to being susceptible again  
431 [36–40]. Note that promoting a refractory state is just one possible mechanism of the innate  
432 immune system to fight SARS-CoV-2 infection [77]. A previous study by Ke et al. [34]  
433 examined various formulations (e.g., reduction in infection or viral production rate) that reflect  
434 different mechanisms of the innate immune response and found this formulation to be superior in  
435 capturing viral dynamics data.

436 We added to this model an adaptive immune response, since rebounds tend to occur late  
437 after infection, when adaptive immune responses have been observed [13]. As modeled by  
438 Pawelek et al. [41], we added this response to the model starting at time  $t^*$ . We assumed that the  
439 adaptive response increases exponentially at rate  $\sigma$  for the short time period we model and  
440 causes an increase in the death rate of infected cells. This increased death rate could be due to the

441 increasing presence of cytotoxic T cells or of viral-specific antibodies that bind to infected cells  
442 and cause their death by processes such as antibody-dependent cytotoxicity, antibody-dependent  
443 phagocytosis, or complement-mediated death. For simplicity, we fixed  $\sigma = 0.5$  per day, which  
444 means that 1, 2, 3, 5 days after  $t^*$ , the adaptive immune response will be at approximately 45%,  
445 67%, 80%, and 93% of its maximum strength. The time-dependent infected cell death rate  $\delta(t)$   
446 takes the form:

$$\delta(t) = \begin{cases} \delta_0 & \text{for } t < t^* \\ \delta_m - (\delta_m - \delta_0)e^{-\sigma(t-t^*)} & \text{for } t \geq t^* \end{cases}$$

447 The effectiveness of nirmatrelvir in blocking viral replication and subsequent production  
448 of virions is given by  $\epsilon(C) = \epsilon_{\max} \frac{C}{C+EC_{50}}$ , an  $E_{\max}$  model [78] where  $C$  is the concentration of  
449 nirmatrelvir,  $EC_{50}$  is the concentration at which the drug effectiveness is half-maximal and  $\epsilon_{\max}$   
450 is the maximum effectiveness. When  $\epsilon(C) = 0$  the drug has no effect and when  $\epsilon(C) = 1$  the  
451 drug is 100% effective at blocking virion production. Based on the complete model, viral growth  
452 occurs only when the fraction of remaining target cells is above a critical threshold, which is  
453  $\frac{\delta(t)c}{\beta p(1-\epsilon(C))T(0)}$ , corresponding to the effective reproduction number  $\mathcal{R}$  being larger than 1.

454 As it is impossible to know the number of viruses that initiated infection, we use a  
455 method suggested by Smith et al. [79] in which we assume the initiating virus is either cleared or  
456 rapidly infects cells. Thus, for initial conditions we use:  $T(0) = 8 \times 10^7$  cells,  $E(0) = 1$  cell,  
457  $I(0) = 0$ ,  $V(0) = 0$ , and  $R(0) = 0$  as explained in Ke et al. [34]. They also noted that the  
458 infection dynamics are relatively insensitive to increasing the initial number of infected cells to  
459 10.

460 **Pharmacokinetic and Pharmacodynamic Models for N-R**



461 We assume the drug effectiveness  $\epsilon(C)$  depends on the concentration of  
 462 nirmatrelvir,  $C(t)$ , according to an  $E_{max}$  model with  $EC_{50} = 62$  nM, as presented in the FDA  
 463 Emergency Use Authorization [1]. Following a *single dose* of 300 mg nirmatrelvir with 100 mg  
 464 ritonavir, the observed maximum nirmatrelvir concentration is  $C_{max} = 2.21 \frac{\mu g}{mL}$  [1]. As  
 465 nirmatrelvir has a molecular weight [80] of  $499.54 \frac{g}{mol}$  this value of  $C_{max}$  can also be expressed  
 466 as  $4.4 \times 10^3$  nM. The half-life of nirmatrelvir when taken with ritonavir is about 6 hours [1],  
 467 which corresponds to an elimination rate of 2.8/day. Additionally, dosing twice-daily achieved  
 468 steady-state on day 2 with approximately 2-fold accumulation [1]. Using a simple multidose  
 469 absorption-elimination model, the pharmacokinetics of nirmatrelvir is given by [78]

$$C(t) = \hat{C} \frac{k_a}{k_e - k_a} \left( \frac{e^{-k_e t}}{e^{k_a I_d} - 1} \right) \left[ 1 - e^{(k_e - k_a)t} (1 - e^{N_d k_a I_d}) + (e^{k_e I_d} - e^{k_a I_d}) \left( \frac{e^{(N_d - 1) k_e I_d} - 1}{e^{k_e I_d} - 1} \right) - e^{((N_d - 1) k_e + k_a) I_d} \right].$$

470 Here,  $k_e$  is the elimination rate (2.8/day),  $k_a$  is the absorption rate (17.5/day),  $I_d$  is the  
 471 dosing interval (1/2 day),  $N_d = \text{integer} \left( \frac{t}{I_d} \right) + 1$  is the number of doses until time  $t$ , with the  
 472 first dose at time  $t = 0$ . In S1 Text, we estimate  $\hat{C} = \frac{FD}{V_d} = (6.25 \times 10^3$  nM). Details on the  
 473 implementation of the pharmacokinetic model and the parameter values used can be found in S1  
 474 Text. With these assumptions, the drug effectiveness  $\epsilon(C)$  hovers around 0.98 during treatment  
 475 and then falls to zero rapidly after treatment stops (S1 Fig in S1 Text).

## 476 Data Fitting

477 We used a nonlinear mixed effects modeling approach (software Monolix 2023R1,  
478 Lixoft, SA, Antony, France) to fit the model to viral load data for all 51 individuals  
479 simultaneously. We applied left censoring to data points under LOD.

480 We assumed that the parameters  $p, \delta_0$ , time of infection, and  $K_\rho$  follow a log-normal  
481 distribution. Parameters  $-\log_{10} \phi$ ,  $-\log_{10} \beta, \rho$ , and  $t^*$  were assumed to follow a logit-normal  
482 distribution, with ranges closely following literature values [33,34]. We constrained  $-\log_{10} \beta$   
483 between 7.5 and 9. Parameter  $\rho$  was constrained between 0 and 1 per day,  $-\log_{10} \phi$  between 5  
484 and 12, and  $t^*$  between 7 and 28 days. No covariate was used during the initial fitting. A  
485 covariate based on whether a participant is classified as rebound or non-rebound was used later  
486 with the best fit model to determine the parameters that are different between these two groups.

487 The viral load data was originally reported relative to the number days since the initial  
488 PCR confirmation test. To estimate the time of infection, we shifted the data to be relative to the  
489 reported time of symptom onset. We then estimated the interval from the time of infection, or  
490 more precisely the time interval from when virus begins to grow exponentially as estimated by  
491 our model fitting, to when the participant reported symptoms. We then shifted the viral load data  
492 to be relative to this estimated time of infection.

493 The process to optimize the initial guesses of fitting parameters was done manually  
494 within the given parameter ranges to avoid unrealistic model dynamics. Whenever two models  
495 share a fitting parameter, the same initial guess for that parameter would be used in the fitting of  
496 both models. Model comparisons were done using the corrected Bayesian Information Criterion  
497 (BICc) [81] as reported by Monolix.

#### 498 **Construction of an In-Silico Cohort**

499 To quantify the chance of viral rebound after a five-day (or longer) course of treatment  
500 with N-R, we simulated a cohort of *in silico* patients. We used the following selection criteria to  
501 construct the cohort of *in silico* patients with typical viral load patterns: (1) The viral load must  
502 peak above  $10^6$  copies per mL; (2) The peak must be reached between day 2 and day 7 after  
503 infection; (3) The viral load must decline below  $10^2$  copies per mL by day 28. This algorithm is  
504 akin to a rejection algorithm, where we sample each parameter from the best fit population  
505 estimates (i.e., the estimated distribution) and only accept parameter sets that satisfy conditions  
506 (1) – (3). We fixed the time the adaptive immune response starts,  $t^*$ , to the population estimate  
507 of 13 days, and set  $\delta_m = 20/\text{day}$  to prevent unrealistic rebound once an effective immune  
508 response has been developed. Additional details of the *in silico* cohort are presented in S8 Text.

509 We used these admissible parameter sets to simulate treatment of different durations (5-,  
510 6-, 7-, 8-, and 10-day of N-R) starting at different times (1 to 4 days post symptom onset) and  
511 calculate the probability of rebound. We also examined how a potential delay in the development  
512 of the adaptive immune response with longer treatment may affect the likelihood of rebound (S9  
513 Text).

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765 **Competing interests:** ASP owns stock in Pfizer. He was also on a Pfizer advisory committee  
766 and received an honorarium. The other authors declare that they have no competing  
767 interests.

768 **Data and materials availability:** The de-identified viral load data is provided in supplementary  
769 material (SM Data). Codes for fitting, plotting, and *in silico* analyses will be made  
770 available on GitHub once the manuscript is accepted and before its publication.

## 771 **Supplementary Materials**

772 S1 to S10 Text

773 S1 to S9 Figs

774 S1 to S4 Tables