





ORIGINAL RESEARCH

Surgical resection and overall survival in cT4b sinonasal non-squamous cell carcinoma

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Abstract

Objective: Surgical resection is associated with higher overall survival (OS) than definitive radiotherapy (RT) or chemoradiotherapy (CRT) in cT4b sinonasal squamous cell carcinoma (SCC). Our study investigates the survival benefit of surgical resection in cT4b sinonasal non-SCC.

Methods: The 2004 to 2019 National Cancer Database was queried for patients with cT4b sinonasal non-SCC undergoing definitive treatment with (1) surgical resection + additional therapy (RT, chemotherapy, or both), (2) RT alone, or (3) CRT. Surgical resection + additional therapy and definitive RT/CRT were compared with Kaplan-Meier and multivariable Cox regression models.

Results: Of 629 patients satisfying inclusion criteria, 513 (81.6%) underwent surgical resection + additional therapy and 116 (18.4%) underwent definitive RT/CRT. The most frequent histologic types were undifferentiated carcinoma (23.7%), adenoid cystic carcinoma (22.6%), and adenocarcinoma (20.7%). Few patients presented with clinical nodal metastasis (15.7%). There were 4 (0.8%) mortalities within 90 days of surgical resection. Patients undergoing surgical resection with positive surgical margins had higher 5-year OS than those undergoing definitive RT/CRT (56.3% vs. 39.4%, $p = .039$) and similar 5-year OS as those with negative margins (56.3% vs. 63.9%, $p = .059$). Patients undergoing neoadjuvant chemotherapy had similar 5-year OS as those undergoing definitive RT/CRT (60.9% vs. 39.5%, $p = .053$). Age at diagnosis, tumor diameter, and surgical resection + additional therapy (aHR 0.64, 95% CI 0.45–0.91) were associated with OS ($p < .05$).

Conclusion: Surgical resection + additional therapy was associated with higher OS than definitive RT/CRT in cT4b sinonasal non-SCC. Surgical resection may benefit select patient with cT4b sinonasal non-SCC.

Level of Evidence: 4.

KEYWORDS

cT4b, National Cancer Database, sinonasal, surgical resection, survival

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1 | INTRODUCTION

Approximately 50% of sinonasal cancers are squamous cell carcinoma (SCC); sinonasal non-SCC includes heterogeneous histologic types such as adenocarcinoma, adenoid cystic carcinoma (AdCC), neuroendocrine carcinoma (NEC), mucoepidermoid carcinoma (MEC), melanoma, esthesioneuroblastoma, and sinonasal undifferentiated carcinoma (SNUC), and each has distinct treatment and survival.¹⁻¹²

Surgical resection achieving negative margins with adjuvant radiotherapy (RT) or chemoradiotherapy (CRT) is the preferred definitive treatment of sinonasal non-SCC presenting without evidence of distant metastasis.¹³⁻²⁵ Invasion of the orbital apex, dura, brain, middle cranial fossa, cranial nerves, or nasopharynx, a defining feature of very locally advanced (cT4b) disease, can be considered a relative contraindication to surgical resection because of the difficulty in safely achieving negative margins, exposure to unjustifiable morbidity, and higher likelihood of poor prognosis.^{26,27} Although the rarity and histologic heterogeneity of sinonasal non-SCC complicate treatment choice and outcomes, the National Comprehensive Cancer Network (NCCN) recommends definitive RT/CRT, experimental therapies, and palliative care for cT4b sinonasal non-SCC.^{26,28}

New medical therapies and advances in surgical technologies and techniques have challenged previously described contraindications in cT4b sinonasal cancer. Recent studies suggest that surgical resection improves locoregional control and survival in cT4b sinonasal SCC, even when achieving negative margins is not feasible.^{17,26,27,29-34} Considering that sinonasal non-SCC is less sensitive to RT and chemotherapy than sinonasal SCC, exploring the utility of surgical resection in cT4b sinonasal non-SCC may benefit certain patients.^{35,36} Our study utilizes the National Cancer Database (NCDB) to investigate the survival benefit of surgical resection in cT4b sinonasal non-SCC. To our knowledge, our study is also the first to present a cohort of exclusively cT4b sinonasal non-SCC.

2 | METHODS

2.1 | Data source

The NCDB is jointly sponsored by the American Cancer Society (ACS) and American College of Surgeons Commission on Cancer (CoC).³⁷ The NCDB collects data from >1500 CoC-accredited hospitals within the United States, capturing >70% of newly diagnosed head and neck cancer.³⁷ The Rutgers New Jersey Medical School and University of Pennsylvania Institutional Review Boards exempted our study because of the de-identified nature of patient data. The ACS and CoC are not responsible for the validity of conclusions derived herein.

2.2 | Inclusion criteria

The NCDB was queried for adults with primary cT4b sinonasal non-SCC diagnosed between January 2004 and December 2019,

confirmed with histology (Figure 1). Sinonasal non-SCC was identified using *International Classification of Diseases for Oncology, Third Edition* (ICD-O-3) histology, behavior, and topography codes (Table S1). Extension into and beyond the eye, skull base, dura, brain, cranial nerves, masseter, and pterygoid muscles, or nasopharynx was used to indicate cT4b disease in the frontal and sphenoid sinuses, for which no standard tumor classification system exists.²⁶ Although the study period includes the transition from the *American Joint Committee on Cancer (AJCC) Cancer Staging Manual, 7th edition* to the *AJCC Cancer Staging Manual, 8th edition*, classification criteria for cT4b disease did not change. Patients were excluded from survival analyses if they had history of prior malignancy; evidence of distant metastasis; treatment with palliative intent; treatment with chemotherapy without radiotherapy; or unknown vital status or follow-up.^{26,38,39} Patients were also excluded from survival analyses if they had last follow-up within 6 months of diagnosis to account for immortal time bias.^{26,38-40}

Extension into bone or the pterygoid fossa was used to indicate cT4a disease in the frontal and sphenoid sinuses. Survival analyses included cT4a tumors undergoing surgical resection + RT/CRT subject to identical inclusion criteria.^{38,39}

2.3 | Variables

Patient data included age at diagnosis, sex, race, Charlson-Deyo comorbidity score (CDCS), history of prior malignancy, histology, primary site, tumor diameter, clinical (cT) and pathologic tumor (pT) classification, clinical (cN), and pathologic nodal (pN) metastasis, distant metastasis, surgical approach, surgical margin status, treatment, length of stay (LOS) and 30-day readmission following surgical resection, mortality, and survival time. Cases with a CDCS of 0 had no recorded comorbid conditions. Macroscopic, microscopic, or unspecified residual tumor were considered positive surgical margins (PSM). Local tumor destruction, local tumor excision, partial removal, total removal, debulking, radical removal, and unspecified surgery were classified as surgical resection. Neck dissection was defined as the removal and examination of ≥ 18 lymph nodes, a validated threshold in head and neck SCC.^{41,42} RT was defined as external beam radiation with volume in the head and neck. Definitive treatment was defined as (1) surgical resection with ≥ 1 additional treatment (RT, chemotherapy, or both) or (2) RT alone or CRT with cumulative radiation dose between 66 and 80 Gy, as previously defined.^{26,27} Patients undergoing neoadjuvant therapy were included in the surgical resection treatment arm, consistent with previous studies of cT4b sinonasal SCC.²⁶ The primary outcome of our study was 5-year overall survival (OS). Survival time was calculated as the time from 6-months of follow-up to death or 5 years.

2.4 | Statistical analysis

Patients undergoing surgical resection + additional therapy and definitive RT/CRT were compared with the chi-square and Mann-Whitney

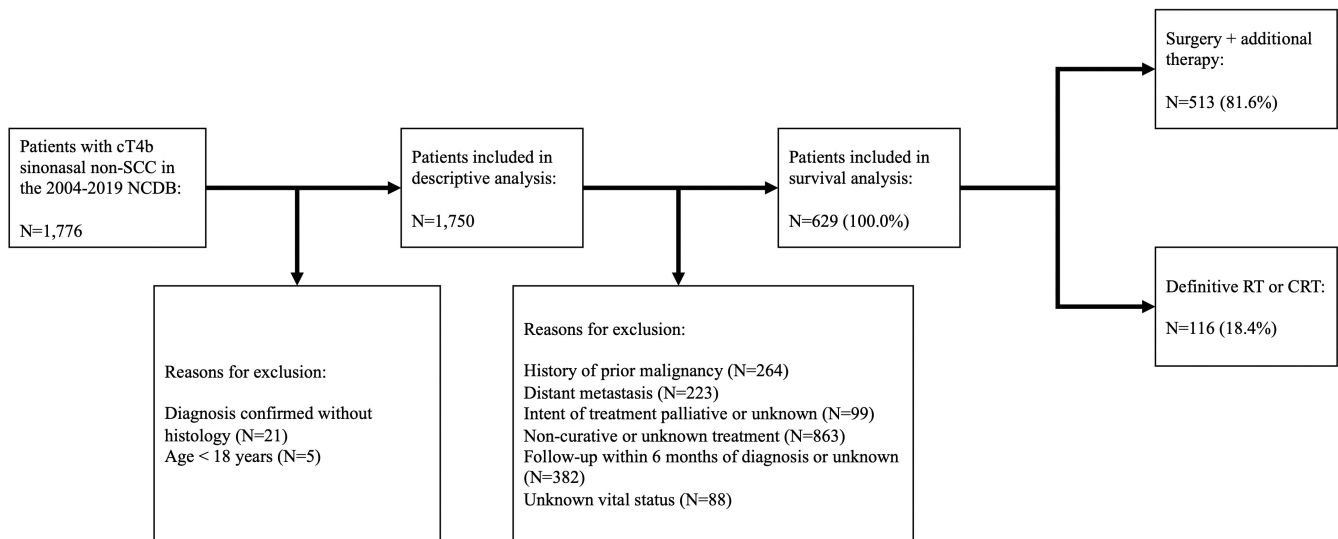


FIGURE 1 Inclusion criteria for 1750 cT4b tumors included in descriptive analyses and 629 cT4b tumors included in survival analyses. CRT, chemoradiotherapy; cT, clinical tumor; NCDB, National Cancer Database; RT, radiotherapy; SCC, squamous cell carcinoma.

U tests, as appropriate. Multivariable binary logistic regression models handling missing data with listwise elimination and adjusting for all significant variables on univariable regression were implemented to identify patient demographics and clinicopathologic features independently associated with undergoing definitive treatment. Assumptions of multivariable regression including (1) normality of residuals as determined by predicted probability (P-P) plots, (2) homoscedasticity of residual distributions, (3) linearity between predictor and outcome variables, and (4) absence of multicollinearity among predictor variables as assessed by correlation matrices with coefficients <0.8 and variable inflation factors <10 , were tested and not violated in any models. Kaplan–Meier analysis was performed with the log-rank test to estimate 5-year OS. Multivariable Cox proportional hazards regression models handling missing data with listwise elimination and adjusting for all significant variables on univariable regression were implemented to identify patient demographics, clinicopathologic features, and treatment independently associated with OS. The proportionality of hazards was evaluated using time-dependent covariates and was not violated in any regression models. The two-sided threshold for statistical significance was set at $p < .05$. SPSS version 25 (IBM) was used for statistical analysis.

3 | RESULTS

3.1 | Patient demographics, clinicopathologic features, and treatment

A total of 1750 patients with cT4b sinonasal non-SCC satisfied inclusion criteria (Table 1). Median age at diagnosis (interquartile range [IQR]) was 61 (49–71) years. A high proportion of patients were male (58.0%), White (82.1%), and had disease of the nasal cavity (36.6%). The most frequent histologic types were SNUC (23.2%), AdCC

(20.4%), adenocarcinoma (19.4%), NEC (15.1%), and melanoma (13.6%). A minority of patients presented with nodal (21.6%) or distant (12.7%) metastases. A total of 979 (56.1%) patients underwent surgical resection, 1213 (69.3%) underwent RT, and 895 (52.5%) underwent chemotherapy. Very few patients underwent first-course immunotherapy ($N = 71$, 4.1%). Age at diagnosis, melanoma histology, and cN metastasis were associated with undergoing non-definitive treatment on multivariable binary logistic regression ($p < .025$) (Table 2).

Of 629 patients undergoing definitive treatment, 513 (81.6%) underwent surgical resection + additional (neoadjuvant or adjuvant) therapy and 116 (18.4%) underwent RT/CRT (Table 3). Compared with those undergoing surgical resection + additional therapy, a higher proportion of patients undergoing definitive RT/CRT had SNUC (36.2% vs. 20.9%) and cN metastasis (26.5% vs. 13.0%) ($p < .001$). A lower proportion of patients undergoing definitive RT/CRT had nasal cavity disease (30.2% vs. 39.2%, $p < .001$). Patients with PSM had similar RT (48.5% vs. 40.8%) and CRT (48.0% vs. 55.7%) utilization as those with negative surgical margins ($p = .314$).

Among 513 patients undergoing surgical resection + additional therapy, 439 (85.6%) had known treatment sequence; 41 (9.3%) underwent neoadjuvant therapy alone, 386 (87.9%) underwent adjuvant therapy alone, and 12 (2.7%) underwent both. A total of 51 (11.6%) patients underwent neoadjuvant chemotherapy. A total of 249 (48.5%) patients underwent surgery with known approach. An open approach was utilized more frequently than an endoscopic approach in nasal cavity (51.1% vs. 48.9%), maxillary sinus (73.0% vs. 27.0%), ethmoid sinus (58.2% vs. 41.8%), and overlapping or unspecified disease (59.4% vs. 40.6%). A total of 26 (5.2%) patients undergoing surgical resection + additional therapy also underwent neck dissection; 10 (47.6%) patients had cN metastasis and 13 (50.0%) had pN metastasis. Median (IQR) LOS following surgical

TABLE 1 Patient demographics and clinicopathologic features of 1750 cT4b tumors, *n* (%).

	Total
No.	1750 (100.0)
Age at diagnosis, median years (IQR)	61 (49–71)
Sex	
Male	1015 (58.0)
Female	735 (42.0)
Race	
White	1413 (82.1)
Black	207 (12.0)
Other	102 (5.9)
CDCS	
0	1409 (80.5)
≥1	341 (19.5)
History of prior malignancy	
No	1486 (84.9)
Yes	264 (15.1)
Histology	
Adenocarcinoma	339 (19.4)
Adenoid cystic carcinoma	357 (20.4)
Neuroendocrine carcinoma	265 (15.1)
Mucoepidermoid carcinoma	29 (1.7)
Melanoma	238 (13.6)
Esthesioneuroblastoma	116 (6.6)
Undifferentiated carcinoma	406 (23.2)
Primary site	
Nasal cavity	641 (36.6)
Maxillary sinus	338 (19.3)
Ethmoid sinus	426 (24.3)
Frontal sinus	17 (1.0)
Sphenoid sinus	73 (4.2)
Sinus: overlapping, unspecified	169 (9.7)
Nasopharynx	86 (4.9)
Tumor diameter, median cm (IQR)	5.1 (3.9–6.6)
Clinical nodal metastasis	
No	1086 (78.4)
Yes	299 (21.6)
Distant metastasis	
No	1527 (87.3)
Yes	223 (12.7)
Treatment	
None	126 (7.2)
Surgical resection + additional therapy	721 (41.2)
Definitive RT/CRT	166 (9.5)
Other	737 (42.1)

(Continues)

TABLE 1 (Continued)

	Total
Surgical approach	
Open	224 (44.1)
Endoscopic	284 (55.9)
Surgical margin status	
Negative	301 (44.3)
Positive	379 (55.7)

Abbreviations: CDCS, Charlson–Deyo comorbidity score; CRT, chemoradiotherapy; IQR, interquartile range; RT, radiotherapy.

resection was 4 (1–8) days. Within 30 days of surgical resection, there were 31 (6.3%) readmissions and 1 (0.2%) mortality; within 90 days, there were 4 (0.8%) mortalities.

Among 376 patients undergoing surgical resection + additional therapy with known margin status, 202 (57.3%) had PSM. A total of 33 (16.3%) patients were macroscopically positive, 69 (34.2%) were microscopically positive, and 100 (49.5%) were unspecified positive. Among 169 patients undergoing surgical resection + RT alone and 194 patients undergoing surgical resection + CRT, the rate of PSM was similar (58.0% vs. 50.0%, $p = .128$). Among 33 patients undergoing neoadjuvant therapy alone and 278 patients undergoing adjuvant therapy alone, the rate of PSM was higher in those undergoing adjuvant therapy alone (56.5% vs. 30.3%, $p = .004$).

Among 585 cT4b tumors with known pT classification, 421 (72.0%) remained pT4b; 113 (19.3%) were classified as pT4a and 51 (8.7%) as pT3 or lower. Among 141 cT4b tumors with known pN classification, 16 (11.3%) had pN metastasis.

3.2 | 5-year OS by definitive treatment

Patients undergoing definitive treatment had higher 5-year OS than those undergoing non-definitive treatment (53.7% vs. 39.2%, $p < .001$). Among patients undergoing definitive treatment, those with PSM had higher 5-year OS than those undergoing definitive RT/CRT (56.3% vs. 39.4%, $p = .039$) and similar 5-year OS as those with negative margins (56.3% vs. 63.9%, $p = .059$) (Figure 2, Tables 3 and 4). 5-year OS was similar between microscopically positive, macroscopically positive, and unspecified PSM (60.3% vs. 47.5% vs. 56.5%, $p = .309$). Compared with definitive RT/CRT, surgical resection + additional therapy remained associated with higher OS in adenocarcinoma (56.8% vs. 16.1%), AdCC (70.1% vs. 29.8%), and sphenoid sinus disease (71.4% vs. 9.1%) ($p < .005$). Compared with PSM, negative margins were associated with higher OS in SNUC (64.2% vs. 25.0%), nasal cavity disease (78.4% vs. 55.2%), and cNO disease (70.3% vs. 58.3%) ($p < .015$) (Table 5). Among patients with PSM, those undergoing surgical resection + RT alone and surgical resection + CRT had similar 5-year OS (57.6% vs. 56.1%, $p = .870$). Among patients

TABLE 2 Univariable and multivariable binary logistic regression models for undergoing definitive treatment among 1750 cT4b tumors.

	N	Univariable OR (95% CI)	p-value	Multivariable aOR ^a (95% CI)	p-value
Age at diagnosis (years)	1385	0.97 (0.97–0.98)	<.001	0.97 (0.96–0.98)	<.001
Sex					
Male		Ref			
Female		0.86 (0.7–1.04)	.126		
Race					
White		Ref			
Black		0.85 (0.62–1.16)	.305		
Other		1.03 (0.68–1.56)	.881		
CDCS					
0		Ref			
≥1		0.83 (0.65–1.07)	.146		
Histology					
Adenocarcinoma	272	Ref		Ref	
Adenoid cystic carcinoma	286	1.06 (0.78–1.44)	.700	0.90 (0.63–1.28)	.547
Neuroendocrine carcinoma	218	0.81 (0.58–1.14)	.227	0.81 (0.55–1.20)	.293
Mucoepidermoid carcinoma	17	0.85 (0.38–1.88)	.681	1.67 (0.61–4.60)	.320
Melanoma	178	0.45 (0.31–0.66)	<.001	0.57 (0.37–0.90)	.016
Esthesioneuroblastoma	79	1.55 (1.02–2.38)	.042	1.53 (0.91–2.58)	.113
Undifferentiated carcinoma	335	0.93 (0.69–1.26)	.643	0.91 (0.64–1.28)	.580
Primary site					
Nasal cavity		Ref			
Accessory sinus		0.96 (0.78–1.17)	.667		
Nasopharynx		0.79 (0.49–1.27)	.327		
Tumor diameter (cm)		0.99 (0.97–1.02)	.511		
Clinical nodal metastasis					
No	1086	Ref		Ref	
Yes	299	0.56 (0.42–0.75)	<.001	0.49 (0.36–0.66)	<.001
Distant metastasis					
No		Ref			
Yes		0.00 (0.00–0.00)	.995		

Abbreviations: aOR, adjusted odds ratio; CDCS, Charlson–Deyo comorbidity score; CI, confidence interval; CRT, chemoradiotherapy; cT, clinical tumor; OR, odds ratio; OS, overall survival; RT, radiotherapy. Bolded values indicate statistically significant results ($p < 0.05$).

^aN = 1385; number of events: 491.

undergoing surgical resection + additional therapy, those also undergoing neck dissection had higher 5-year OS than those not undergoing neck dissection (58.6% vs. 34.7%, $p = .002$). Patients undergoing neoadjuvant chemotherapy and definitive RT/CRT had similar 5-year OS (60.9% vs. 39.5%, $p = .053$). Among patients undergoing neoadjuvant therapy, those with positive and negative margins had similar 5-year OS (51.7% vs. 63.6%, $p = .136$).

Among patients undergoing definitive treatment, 5-year OS was 51.3% for adenocarcinoma, 61.0% for AdCC, 60.2% for NEC, 20.0% for MEC, 31.3% for melanoma, 79.6% for esthesioneuroblastoma, and 45.3% for SNUC ($p < .001$) (Figure S1). Age at diagnosis, tumor diameter, and surgical resection + additional therapy (adjusted hazard ratio [aHR] 0.64, 95% confidence interval [CI] 0.45–0.91) were

associated with OS on multivariable Cox regression ($p < .05$) (Table 6).

Among patients undergoing surgical resection + additional therapy, open approach (HR 0.87, 95% CI 0.59–1.28, $p = .476$) and PSM (HR 1.35, 95% CI 0.99–1.83, $p = .059$) were not associated with OS on univariable Cox regression (Table S2).

3.3 | 5-year OS by cT classification

Identical inclusion criteria identified 503 patients with cT4a sinonasal non-SCC undergoing surgical resection + RT/CRT. A higher proportion of cT4a tumors had AdCC histology (28.8% vs. 21.7%), melanoma

TABLE 3 Patient demographics and clinicopathologic features among 629 cT4b tumors undergoing definitive treatment, *n* (%).

	Surgical resection + additional therapy	RT/CRT	<i>p</i> -value	Total
No.	513 (100.0)	116 (100.0)	-	629 (100.0)
Age at diagnosis, median years (IQR)	55 (45–65)	60 (46–70)	.030	55 (46–66)
Sex				
Male	312 (60.8)	68 (58.6)	.662	380 (60.4)
Female	201 (39.2)	48 (41.4)		249 (39.6)
Race				
White	430 (84.6)	86 (75.4)	.054	516 (83.0)
Black	51 (10.0)	17 (14.9)		68 (10.9)
Other	27 (5.3)	11 (9.6)		38 (6.1)
CDCS				
0	423 (82.5)	95 (81.9)	.886	518 (82.4)
≥1	90 (17.5)	21 (18.1)		111 (17.6)
Histology				
Adenocarcinoma	114 (22.2)	16 (13.8)	<.001	130 (20.7)
Adenoid cystic carcinoma	111 (21.6)	31 (26.7)		142 (22.6)
Neuroendocrine carcinoma	71 (13.8)	18 (15.5)		89 (14.1)
Mucoepidermoid carcinoma	8 (1.6)	2 (1.7)		10 (1.6)
Melanoma	47 (9.2)	5 (4.3)		52 (8.3)
Esthesioneuroblastoma	55 (10.7)	2 (1.7)		57 (9.1)
Undifferentiated carcinoma	107 (20.9)	42 (36.2)		149 (23.7)
Primary site				
Nasal cavity	201 (39.2)	35 (30.2)	<.001	236 (37.5)
Maxillary sinus	72 (14.0)	22 (19.0)		94 (14.9)
Ethmoid sinus	140 (27.3)	28 (24.1)		168 (26.7)
Frontal sinus	11 (2.1)	0 (0.0)		11 (1.7)
Sphenoid sinus	16 (3.1)	11 (9.5)		27 (4.3)
Sinus: overlapping, unspecified	63 (12.3)	3 (2.6)		66 (10.5)
Nasopharynx	10 (1.9)	17 (14.7)		27 (4.3)
Tumor diameter, median cm (IQR)	5.0 (3.7–6.2)	6.0 (4.8–7.0)	<.001	5.0 (3.9–6.4)
Clinical nodal metastasis				
No	342 (87.0)	72 (73.5)	.001	414 (84.3)
Yes	51 (13.0)	26 (26.5)		77 (15.7)

Abbreviations: CDCS, Charlson–Deyo comorbidity score; CRT, chemoradiotherapy; IQR, interquartile range; RT, radiotherapy. Bolded values indicate statistically significant results ($p < 0.05$).

histology (22.3% vs. 9.1%), and maxillary sinus disease (37.8% vs. 13.6%) ($p < .001$) (Table S3). cN metastasis (8.4% vs. 12.6%, $p = .057$) and PSM (49.5% vs. 53.7%, $p = .246$) were similar between cT4a and cT4b tumors.

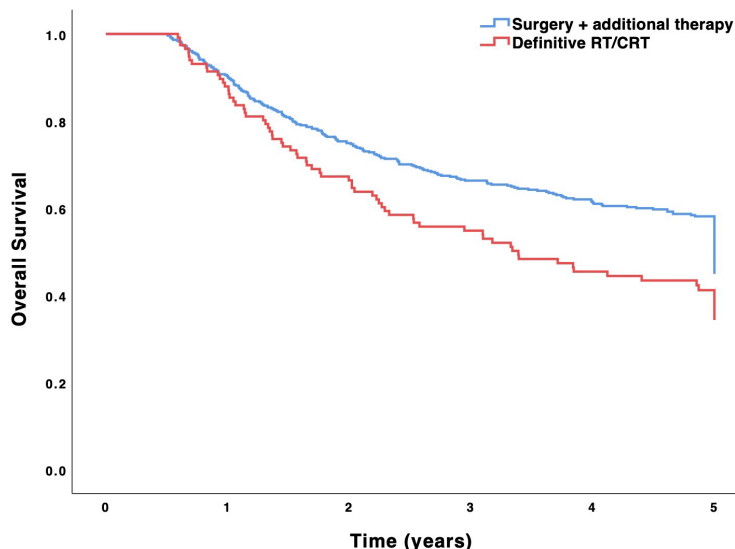
Among 503 cT4a tumors and 492 cT4b tumors undergoing surgical resection + RT/CRT, no difference in 5-year OS was observed between cT4a and cT4b tumors (62.6% vs. 57.7%, $p = .097$) (Figure S2). 5-year OS was similar across most histologies for cT4a and cT4b tumors: adenocarcinoma (70.9% vs. 56.0%, $p = .104$), AdCC (74.8% vs. 71.0%, $p = .278$), NEC (73.0% vs. 60.7%, $p = .147$), MEC (78.9% vs. 28.6%, $p < .001$), melanoma (32.0% vs. 34.9%, $p = .600$), esthesioneuroblastoma (73.1% vs. 82.4%, $p = .248$), and SNUC

(64.0% vs. 43.3%, $p = .016$). cT4a and cT4b (HR 1.17, 95% CI 0.97–1.40, $p = .106$) tumors had similar OS on univariable Cox regression (Table S4).

3.4 | Neoadjuvant therapy sensitivity analysis

Excluding the 53 patients undergoing neoadjuvant therapy, 487 underwent definitive treatment: 386 (79.3%) underwent surgical resection + adjuvant therapy and 101 (20.7%) underwent definitive RT/CRT. Patients with PSM had higher 5-year OS than those undergoing definitive RT/CRT (57.3% vs. 40.4%, $p = .043$) and similar 5-year OS as

FIGURE 2 5-year OS among 513 cT4b tumors undergoing surgical resection + additional therapy and 116 cT4b tumors undergoing definitive RT/CRT. Significance derived from the log-rank test ($p = .006$). CRT, chemoradiotherapy; cT, clinical tumor; OS, overall survival; RT, radiotherapy.



No. at risk	0	1	2	3	4	5
Surgery + additional therapy	508	444	348	280	234	130
Definitive RT/CRT	116	102	74	58	43	21

TABLE 4 Kaplan–Meier analysis of 5-year OS (%) among 629 cT4b tumors undergoing definitive treatment.

	Surgical resection + additional therapy	RT/CRT	<i>p</i> -value
Overall	57.1	39.4	.006
Histology			
Adenocarcinoma	56.8	16.1	.002
Adenoid cystic carcinoma	70.1	29.8	<.001
Neuroendocrine carcinoma	58.1	68.8	.949
Mucoepidermoid carcinoma	25.0	50.0	.960
Melanoma	34.9	50.0	.231
Esthesioneuroblastoma	80.8	69.2	.479
Undifferentiated carcinoma	43.3	55.6	.414
Primary site			
Nasal cavity	61.5	40.6	.079
Maxillary sinus	57.6	35.0	.148
Ethmoid sinus	51.6	42.3	.337
Frontal sinus	54.5	-	-
Sphenoid sinus	71.4	9.1	.004
Sinus: overlapping, unspecified	48.0	33.3	.849
Nasopharynx	88.9	58.8	.417
Clinical nodal metastasis			
No	40.7	88.9	.015
Yes	57.4	40.6	.130

Abbreviations: CRT, chemoradiotherapy; OS, overall survival; RT, radiotherapy. Bolded values indicate statistically significant results ($p < 0.05$)

those with negative margins (57.3% vs. 63.7%, $p = .417$). Surgical resection + adjuvant therapy (aHR 0.68, 95% CI 0.50–0.93, $p = .017$) remained associated with higher OS on multivariable Cox regression.

Excluding the 195 patients undergoing neoadjuvant therapy, 426 cT4a tumors and 374 cT4b tumors undergoing surgical

resection + adjuvant RT/CRT were identified. No difference in 5-year OS was observed between cT4a and cT4b tumors (63.0% vs. 57.2%, $p = .052$). cT4a and cT4b (HR 0.82, 95% CI 0.66–1.01, $p = .058$) tumors had similar OS on univariable Cox regression.

TABLE 5 Kaplan–Meier analysis of 5-year OS (%) among 513 cT4b tumors undergoing surgical resection + additional therapy.

	NSM	PSM	p-value
Overall	63.9	56.3	.059
Histology			
Adenocarcinoma	54.5	66.2	.820
Adenoid cystic carcinoma	70.6	69.0	.842
Neuroendocrine carcinoma	68.8	54.2	.332
Mucoepidermoid carcinoma	66.7	-	.069
Melanoma	42.9	36.0	.511
Esthesioneuroblastoma	87.5	81.3	.290
Undifferentiated carcinoma	64.2	25.0	.001
Primary site			
Nasal cavity	78.4	55.2	.009
Maxillary sinus	60.0	61.4	.589
Ethmoid sinus	54.3	51.4	.635
Frontal sinus	60.0	50.0	.743
Sphenoid sinus	66.7	69.2	.882
Sinus: overlapping, unspecified	50.0	50.0	.901
Nasopharynx	71.4	100.0	.728
Clinical nodal metastasis			
No	70.3	58.3	.011
Yes	40.0	44.8	.717
Additional therapy			
Chemotherapy alone	61.2	57.6	.644
RT alone	40.0	42.9	.666
CRT	67.3	56.1	.043
Therapy sequence			
Adjuvant	64.7	57.1	.123
Neoadjuvant	63.6	51.7	.136

Abbreviations: CRT, chemoradiotherapy; NSM, negative surgical margins; OS, overall survival; PSM, positive surgical margins; RT, radiotherapy. Bolded values indicate statistically significant results ($p < 0.05$).

4 | DISCUSSION

Surgical resection achieving negative margins is the preferred definitive treatment for sinonasal non-SCC and additional RT/CRT has documented survival benefit.^{13–22} Although the AJCC defines cT4b disease as unresectable, surgical resection has increasingly been considered in cT4b sinonasal cancer.^{17,31–33,43,44} Surgical resection + additional therapy, for example, has been associated with higher OS than definitive RT/CRT in cT4b sinonasal SCC.^{26,27,32,45–47} The heterogeneity of the cT4b classification and sinonasal non-SCC having less sensitivity to RT and chemotherapy than sinonasal SCC raises the possibility that certain patients may benefit from surgical resection.^{36,38,39} Our study investigating sinonasal non-SCC suggests that surgical resection + additional therapy is associated with higher OS than definitive RT/CRT, despite high rate of PSM.

Our study identified 1750 patients with cT4b sinonasal non-SCC. A minority of patients (35.9%) underwent definitive treatment with either (1) surgical resection + additional therapy or (2) RT/CRT. More patients (81.6%) underwent surgical resection + additional therapy than RT/CRT (18.4%), despite NCCN recommendations not including surgery in the multimodal management of cT4b sinonasal non-SCC. Varying interpretations of unresectable disease among surgeons and institutions may account for this finding.²⁷ Patients with adenocarcinoma, AdCC, and sphenoid sinus disease may especially benefit from surgical resection. The high rate of PSM (53.7%) following surgical resection may reflect a desire to balance patient quality of life, including nasal obstruction and persistent epistaxis, with disease prognosis. Studies of cT4b sinonasal cancer report rates of PSM approaching 45%, underscoring the technical challenge of surgical resection in cT4b disease.^{26,27,32} Patients undergoing surgical resection with PSM had higher 5-year OS than those undergoing definitive RT/CRT and similar 5-year OS as those with negative margins; the significance of these differences varied by histology. Univariable analysis did not associate PSM with worse OS suggesting that negative margins may not be necessary in certain patients and that the role of surgical resection may be to reduce overall tumor burden before administering adjuvant therapy. Patients with SNUC, nasal cavity disease, and cNO disease, however, may especially benefit from negative margins. Among patients undergoing surgical resection + additional therapy, neck dissection frequently detected metastatic lymph nodes and was associated with higher OS. The mortality rate within 90 days of surgical resection was 0.8%, supporting the clinical practice of resecting cT4b sinonasal non-SCC.

Estimates of survival in cT4b sinonasal non-SCC are limited because SCC accounts for most published cases of sinonasal cancer.²⁸ 5-year OS of cT4b sinonasal SCC ranges from 20% to 40% depending on treatment intent and modality.^{48–50} Surgical resection, even if limited to debulking, and appropriately selected, high-dose adjuvant therapy are suggested to improve 5-year OS by $\geq 20\%$ compared with definitive RT/CRT.^{26,27,30,32,45} Surgical resection may even be considered for tumors invading the orbital apex and cavernous sinus because definitive RT/CRT is associated with increased risk of disease progression and locoregional recurrence.^{27,33,51–53} 5-year OS in our cohort was 53.7% and worse for patients with melanoma and SNUC, consistent with previous studies of cT4b disease.^{2,26,27,32,38,39,54–57} Of note, SNUC is increasingly understood to represent a diverse group of poorly differentiated carcinomas, each with unique phenotypes and associated clinical outcomes.

Endoscopic approaches are suggested to reduce LOS, postoperative complications, readmission, and medical costs without compromising survival in several sinonasal cancers.^{13,36,58–64} Most surgical resections in our study (58.5%), however, utilized an open approach. An open approach was utilized more frequently than an endoscopic approach in nasal cavity, maxillary sinus, and ethmoid sinus disease. Surgical approach not influencing OS on univariable analysis may be related to additional therapy mitigating the survival detriment associated with PSM.²⁷

TABLE 6 Univariable and multivariable Cox proportional hazard regression models of 5-year OS among 629 cT4b tumors undergoing definitive treatment.

	N	Univariable HR (95% CI)	p-value	Multivariable aHR ^a (95% CI)	p-value
Age at diagnosis (years)	387	1.02 (1.01–1.03)	<.001	1.02 (1.01–1.03)	.004
Sex					
Male		Ref			
Female		0.97 (0.78–1.22)	.815		
Race					
White		Ref			
Black		0.86 (0.59–1.26)	.438		
Other		0.64 (0.36–1.11)	.110		
CDCS					
0		Ref			
≥1		0.97 (0.73–1.30)	.852		
Histology					
Adenocarcinoma	95	Ref		Ref	
Adenoid cystic carcinoma	73	0.92 (0.66–1.30)	.641	0.85 (0.54–1.33)	.479
Neuroendocrine carcinoma	57	0.82 (0.55–1.23)	.337	0.77 (0.46–1.28)	.308
Mucoepidermoid carcinoma	6	3.19 (1.64–6.22)	.001	2.06 (0.86–4.94)	.105
Melanoma	30	2.15 (1.44–3.21)	< .001	1.58 (0.92–2.72)	.095
Esthesioneuroblastoma	26	0.50 (0.30–0.83)	.008	0.60 (0.28–1.30)	.194
Undifferentiated carcinoma	101	1.15 (0.83–1.60)	.410	1.20 (0.81–1.79)	.364
Primary site					
Nasal cavity	136	Ref			
Accessory sinus	237	1.27 (1.00–1.61)	.049	1.38 (1.00–1.90)	.051
Nasopharynx	15	0.72 (0.39–1.35)	.307	0.39 (0.15–1.00)	.050
Tumor diameter (cm)	387	1.02 (1.00–1.04)	.021	1.02 (1.00–1.04)	.036
Clinical nodal metastasis					
No		Ref			
Yes		1.23 (0.87–1.72)	0.240		
Treatment					
Surgical resection + additional therapy	315	0.70 (0.54–0.91)	.008	0.64 (0.45–0.91)	.014
Definitive RT/CRT	73	Ref		Ref	

Abbreviations: aHR, adjusted hazard ratio; CDCS, Charlson–Deyo comorbidity score; CI, confidence interval; CRT, chemoradiotherapy; HR, hazard ratio; OS, overall survival; RT, radiotherapy. Bolded values indicate statistically significant results ($p < 0.05$).

^aN = 387; number of uncensored deaths: 192.

Sinonasal cancer may be down-staged with induction chemotherapy (IC) which can be used to select patients for (1) definitive CRT in those with robust response to IC, or (2) surgical resection + adjuvant CRT in those with poor response to IC.^{65–68} Definitive CRT is suggested to improve locoregional control and OS more than surgical resection in those achieving favorable response to IC.^{32,69} Although our study did not associate definitive RT/CRT with higher OS than neoadjuvant chemotherapy, the rate of PSM was lower in patients undergoing neoadjuvant therapy than those undergoing adjuvant therapy, consistent with previous studies.^{70,71} The NCDB does not adequately capture whether patients undergoing neoadjuvant chemotherapy demonstrated poor response to IC but active clinical trials comparing

IC with primary surgical resection are expected to inform multimodal management of sinonasal non-SCC.²⁶

Surgical resection has historically been contraindicated in cT4b disease because sacrificing involved structures such as the eyes, brain, internal carotid artery, or nerves confers unjustifiable morbidity. Studies of cT4b head and neck cancer, however, demonstrate the safety and survival benefit of surgical resection in select patients.^{27,32,38,39,55} For example, combined open and endoscopic approaches with advanced reconstructive techniques allow for complete resection of tumors infiltrating the pterygoid plates and dura.⁵⁵ Studies of cT4b oral cavity SCC, sinonasal SCC, major salivary gland cancer (MSGC), and head and neck AdCC document <2% mortality within 90 days of

surgical resection.^{38,39,72} Taken together, surgical resection of cT4b sinonasal non-SCC may be more feasible and beneficial than previously assumed.

The NCCN recommends surgical resection for sinonasal non-SCC classified as cT4a or lower. cT4b disease is typically considered unresectable because of tumor extension into critical neurovascular structures. Retrospective studies of head and neck cancer, however, suggest that some tumors classified as cT4b may be closer to cT4a in extent and outcome.^{38,39,73–75} To explore this hypothesis, our study (1) investigated cT4b pathologic classification and (2) compared OS between cT4a and cT4b tumors undergoing surgical resection + RT/CRT. A moderate proportion of cT4b tumors (28%) were classified as pT4a or lower on pathologic examination. Although most cT4b tumors remained pT4b, cT4a and cT4b tumors undergoing surgical resection + RT/CRT had similar OS for most histologies studied. cT4a and cT4b tumors undergoing surgical resection + RT/CRT are noted to have similar OS in oral cavity SCC, sinonasal SCC, MSGC, and head and neck AdCC.^{17,38,39,72} Local factors such as inflammation and bone erosion may prevent accurate evaluation of tumor size and extent, leading to up-staging of cT4a tumors. If future research confirms these findings, a critical revision of cT4b classification criteria may be necessary to optimize treatment selection and survival.

Limitations inherent in retrospective study of the NCDB include inaccurate histologic diagnosis and variable miscoding. The NCDB does not report medical comorbidities, tobacco use, depth of invasion, imaging studies, multidisciplinary tumor board recommendations, quality of life, locoregional recurrence, and disease-free survival. The NCDB also does not report participation in clinical trials and experimental therapies which may have influenced treatment decisions for some patients. Evaluating surgical margins in large tumors is challenging and the possibility of occult disease persists following microscopically negative resection. The NCDB also does not clarify whether these margins were obtained from the specimen or tumor bed, or whether the reported margins were obtained from the initial or final resection; negative surgical margins may therefore not be considered a low-risk feature in our cohort. Although defining neck dissection as the removal and examination of ≥ 18 lymph nodes may have underestimated the delivery of neck dissection, some patients undergoing more selective nodal resections had known pN classification. The advent of free flap reconstruction in more recent years of the study period (2004–2019) may have allowed for wider resections with negative surgical margins. Despite these limitations, our findings may provide valuable insight into the multimodal management of cT4b sinonasal non-SCC.

5 | CONCLUSION

Patients with cT4b sinonasal non-SCC undergoing definitive treatment more frequently underwent surgical resection + additional therapy than RT/CRT, representing a deviation from NCCN recommendations. Surgical resection + additional therapy was associated with higher OS than definitive RT/CRT, despite high rate of

PSM; the significance of these differences varied by histology. Although a moderate proportion of cT4b tumors were classified as pT4a or lower, some cT4b tumors of certain histologies may have similar extent and outcome as cT4a tumors. A clinical trial investigating surgical resection in cT4b sinonasal non-SCC may assist in identifying optimal treatment strategies and improving survival.

CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest.

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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