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# Environmental exposures associated with early childhood recurrent wheezing in the mother and child in the environment birth cohort: a time-to-event study

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## ABSTRACT

**Background** Antenatal factors and environmental exposures contribute to recurrent wheezing in early childhood.

**Aim** To identify antenatal and environmental factors associated with recurrent wheezing in children from birth to 48 months in the mother and child in the environment cohort, using time-to-event analysis.

**Method** Maternal interviews were administered during pregnancy and postnatally and children were followed up from birth to 48 months (May 2013–October 2019). Hybrid land-use regression and dispersion modelling described residential antenatal exposure to nitrogen dioxide (NO<sub>2</sub>) and particulate matter of 2.5 µm diameter (PM<sub>2.5</sub>). Wheezing status was assessed by a clinician. The Kaplan-Meier hazard function and Cox-proportional hazard models provided estimates of risk, adjusting for exposure to environmental tobacco smoke (ETS), maternal smoking, biomass fuel use and indoor environmental factors.

**Results** Among 520 mother–child pairs, 85 (16%) children, had a single wheeze episode and 57 (11%) had recurrent wheeze. Time to recurrent wheeze (42.9 months) and single wheeze (37.8 months) among children exposed to biomass cooking fuels was significantly shorter compared with children with mothers using electricity (45.9 and 38.9 months, respectively (p=0.03)). Children with mothers exposed to antenatal ETS were 3.8 times more likely to have had recurrent wheeze compared with those not exposed (adjusted HR 3.8, 95% CI 1.3 to 10.7). Mean birth month NO<sub>2</sub> was significantly higher among the recurrent wheeze category compared with those without wheeze. NO<sub>2</sub> and PM<sub>2.5</sub> were associated with a 2%–4% adjusted increased wheezing risk.

**Conclusion** Control of exposure to ETS and biomass fuels in the antenatal period is likely to delay the onset of recurrent wheeze in children from birth to 48 months.

## INTRODUCTION

Low-income and middle-income countries (LMICs) experience the highest burden of childhood respiratory disorders.<sup>1</sup> Studies have shown that the prenatal and the immediate postnatal period are critical windows for harmful effects from different types of exposures on respiratory health.<sup>2</sup> Wheeze in

## WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Maternal and environmental tobacco smoke exposure, exposure to biomass or fossil fuels and ambient pollution in the antenatal period increases the risk for adverse respiratory outcomes in infants. The risk for recurrent wheeze in early infancy associated with these exposures is less well established. Recurrent wheeze is an important predictor of childhood asthma.

## WHAT THIS STUDY ADDS

⇒ Biomass fuel exposure and environmental tobacco smoke exposure increased the risk for recurrent wheeze in infants from birth to 4 years of age. There was a suggestion that ambient pollution, as measured at birth, may be a risk factor for this outcome.

## HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ Low-cost interventions to reduce environmental exposures must be implemented in the antenatal period while cleaner fuel usage strategies are necessary in low socioeconomic communities. Further evidence is necessary to understand the relationship between ambient air pollution on recurrent wheeze in infancy.

the perinatal and infant stages can be an important predictor of later childhood respiratory health.<sup>3</sup>

Childhood wheezing comprises a spectrum of presentations, ranging from transient to recurrent or multiple trigger wheeze, with a substantial proportion associated with asthma.<sup>4</sup> The prevalence trends of childhood and adolescent current wheeze adolescents have varied in LMICs but have been stable in high-income countries.<sup>5</sup> In Europe, studies have reported the prevalence of ever wheezing children diagnosed with asthma by the age of 4 years ranged from 15.9% in Spain to 39.5% in England.<sup>6</sup> The prevalence of wheezing in infants has been reported at 15.9% (95% CI 14.0% to 18.0%) in African countries.<sup>7</sup> These wheezing episodes are, in the majority, mild, episodic and responsive to therapy. Approximately 30% of children experience

recurrent wheezing during the first 5 years of life, and this can be associated with significant morbidity.<sup>8</sup>

Persistent wheeze at school age is associated with a range of predictors. These include colds and respiratory tract infections, exercise, parental asthma or allergy, eczema, allergic rhinitis, allergic sensitisation and eosinophilia.<sup>9</sup> Maternal smoking during pregnancy and exposure to tobacco smoke antenatally and postnatally has been shown to alter lung development, increase susceptibility to lower respiratory tract infections and increase the prevalence of wheezing.<sup>10</sup> The presentation of preschool persistent wheeze, in the absence of colds or exercise-induced asthma, may be an important indicator of some environmental trigger. In the Drakenstein Child Health Study, antenatal and early life exposure to environmental tobacco smoke (ETS) was associated with lower respiratory tract infection with associated wheeze in 43% of cases.<sup>11</sup>

Early-life exposure to biomass fuel has been shown to affect children's respiratory health outcomes both in the short term and long term.<sup>12</sup> In a meta-analysis of eight studies, the risk of acute respiratory tract infection in children exposed to biomass fuels was three times greater than those not exposed.<sup>13</sup> Children exposed to smoke biomass fuels in two Nigerian studies had an 8.7% and 5.1%, 12-month prevalence of reported wheezing, respectively.<sup>14</sup> Few studies have investigated biomass fuel exposure and wheezing frequency in infancy.

Air pollution is associated with adverse respiratory health, particularly in early infancy when rapid lung growth occurs. Postnatal exposure to air pollution (nitrogen dioxide (NO<sub>2</sub>), fine particulate matter 2.5 µm diameter (PM<sub>2.5</sub>, PM<sub>10</sub>) and sulfur dioxide (SO<sub>2</sub>)) were associated with an increased incidence of wheezing in European children.<sup>15 16</sup> Antenatal and early life exposure to tobacco smoke resulted in an increased risk of infant wheezing in the presence of exposure to increased levels of PM<sub>10</sub> and NO<sub>2</sub>.<sup>17</sup> Indoor air quality has been reported with increased risk of adverse respiratory outcomes among infants.<sup>18</sup> Other maternal risk factors associated with infant respiratory health include alcohol consumption during pregnancy.<sup>19</sup>

Recurrent wheeze in infancy, an established marker of childhood asthma<sup>8</sup> and associations with environmental factors have not been extensively reported in the literature. The 'time-to-event'<sup>20</sup> and the HR (the ratio of two instantaneous rates of an event at any time during follow-up)<sup>21</sup> provide estimates of association in a cohort analysis. In a recent time-to-event study among 5788, Chinese children aged 3–5 years, an increased HR for antenatal and PM exposure in the first year of life was reported for asthma, but not for wheeze.<sup>22</sup> Time to wheeze studies are limited, particularly those investigating potential risk factors in children from birth through to the first few years of life.

We hypothesised that environmental factors, such as air pollution, indoor air quality, maternal smoking and ETS, are likely to be associated with recurrent wheeze in early childhood, resulting in an earlier diagnosis of this outcome. We used a time-to-event analysis to determine the impact of antenatal and environmental risk factors associated with recurrent wheezing in children (birth to 48 months) from the mother and child in the environment (MACE) birth cohort in Durban, South Africa.

## METHOD

### Setting

The MACE birth cohort is an ongoing study designed to investigate the risk of environmental pollutant exposure commencing in utero on the long-term respiratory health of children.<sup>23</sup> The study is located in communities in the south (high industry/

residential mix) and north (less industrialised) of Durban, South Africa.

### Sample selection

The selection of pregnant women into the MACE cohort has been described previously.<sup>23</sup> In brief, pregnant women attending the public sector antenatal clinics in the south and north communities of Durban, South Africa, meeting the inclusion criteria, were selected. The inclusion criteria included residence in the geographical area for the duration of the pregnancy and the period of follow-up. Those with multiple pregnancies were excluded, however, no pregnancy complications or health conditions were used as exclusion criteria. Mother and child pairs (n=520), who had attended one or more clinical follow-up visits, were included in this analysis. The children were followed up from birth to 48 months at the local hospitals. The mother-child pairs who did not attend any clinic visit were excluded (n=80), and they did not significantly differ from the included pairs (online supplemental table 1 and online supplemental figure 1).

### Data sources

Pregnant females participated in interviews at recruitment and during each trimester of pregnancy. Interviews included questions on antenatal risk factors (age, general health and reproductive history; education, income, residential, housing type, alcohol and smoking history, indoor mould and dampness); antenatal ETS exposure (exposure to passive smoking at home and/or work), biomass and fossil fuel exposure, childhood respiratory problems, family history of asthma and tuberculosis. These instruments were based on previously validated questionnaires, such as the National Health and Nutrition Examination Survey.<sup>24</sup> Postnatal child data included infant sex, age, birth weight, gestational age and HIV status.

### Clinical assessments

Clinical assessments were conducted at 6, 12, 24 and 48 months by a paediatric pulmonologist. Developmental and nutritional status was assessed with the aid of the WHO growth charts and standards.<sup>25</sup>

The main outcome variables in this study were the presence of wheezing episodes which was assessed through multiple approaches: (1) maternal reporting at each clinical visit (in response to the specific question, 'Did your child ever have a wheeze (whistling sound from the chest) in the last 12 months?'; (2) review of the child's 'Road-to-Health' record (a comprehensive documentation of primary healthcare and health services visit of every South African child from birth) supported by the reported use of nebulisations and (3) a clinical assessment performed by specialist medical officers at the clinic visit. The medical officers conducted the detailed wheeze interviews and the assessment of the corroborating evidence as outlined above, including determining whether the wheeze episode was associated with any viral infections. Single wheeze was defined as one episode of wheeze determined at any clinical visit during the 48-month follow-up and recurrent wheeze was defined as two or more episodes of wheezing over this follow-up period. The timing of each wheezing episode was documented but recorded as per the date of the clinical assessment.

### Environmental monitoring

A hybrid atmospheric dispersion/land use regression model for the prediction of air pollution concentrations in Durban, South

Africa was developed for the MACE cohort.<sup>26</sup> Statistically significant hybrid models (incorporating geographical and dispersion modelling inputs) were developed for the various pollutants of interest, NO<sub>2</sub> and PM<sub>2.5</sub>. The specific methodology, identified predictor variables and the goodness of fit of these hybrid models have been previously described.<sup>26</sup>

This model was applied to estimate an annual average ambient exposure level at the address of each MACE participant. These annual average concentrations were adjusted for an estimate of maternal exposure during the first trimester of the pregnancy and the month of birth of the child using continuous monitoring values (based on successive 2 week exposures of Radiello tubes over the course of a year) at a reference site.<sup>27</sup> The adjustment was to cover the period of the pregnancy, which would fall across seasons. The weighting was based on continuous ambient measurements for one full year.

The rationale for this adjustment was to better characterise exposure during the pregnancy, which may be biased by the use of an annual average, as the monthly variation in air pollution is not captured in the latter metric. The ratio of the average pollutant concentrations at the reference site during each participant's first trimester period and month of birth, to the annual average concentration at the reference site was used to adjust the annual average exposure value calculated for the participant's address to represent the first trimester (online supplemental equation 1) and month of birth (online supplemental equation 2), respectively, as described further in online supplemental material. This adjustment procedure assumes that variation in ambient air quality at the participant's addresses are consistent with those at the reference site. This is a reasonable assumption given the proximity of the reference site to the participant addresses and that this reference site was selected to reflect general fluctuations in ambient concentrations, as opposed to capturing local emission patterns (eg, as a traffic site or industrial reference site would).

### Statistical analysis

Data management, descriptive statistics and time-to-event analyses were performed using STATA V.15.0 for Windows (STATA). Descriptive statistics were used to describe participants' characteristics related to the outcome variables (single and recurrent episodes of wheezing). Variables that were investigated included maternal risk factors (age, maternal health, education, income, residential, housing type); neonatal risk factors (infant sex, age, birth weight, preterm birth, HIV exposure) and childhood environmental exposures (maternal alcohol history, antenatal ETS exposure, indoor mould and dampness, energy source for cooking and heating, family history of asthma). Two air pollution exposure metrics were applied for each outcome for each participant: a proxy measure for the first trimester and another at the month of birth.  $\chi^2$  tests for categorical variables and Student's t-tests for continuous variables were applied in the univariate analysis.

The Kaplan-Meier (KM) hazard function was used to estimate the probability of time (age in months at clinical visit) to document a single wheeze episode and time to document recurrent wheezing. The proportional hazard assumption was assessed using the log-negative log-survival plot (the 'parallel lines test'). The assumption was satisfied. Patients were censored on the last visit time if lost to follow-up or if no wheeze was recorded at the end of the 48-month follow-up. The advantage of this analytical strategy meant that those who attended a single visit over the 4-year period could still contribute data up to the point of their

attendance and did not need to be excluded from the sample a priori.

The following variables were considered in the multivariable models: child gender, antenatal ETS, maternal alcohol consumption, family history of asthma, sources of energy at home and the predicted average ambient exposure level PM<sub>2.5</sub> and NO<sub>2</sub> during the first trimester of the pregnancy and the month of birth of the child, as described above and in online supplemental material.

The proportional hazard assumption was assessed, and all the independent variables satisfied the assumption. The Cox-proportional hazard model was used to assess the relationship between the predictor variables and single and recurrent wheezing episodes. Each variable was tested to see if it was a significant predictor of the occurrence of single and recurrent wheezing using univariate  $\chi^2$ , ANOVA and Cox regression. The univariate Cox-regression analysis was used to estimate the unadjusted HRs. All variables with  $p < 0.25$  in the univariate analysis were candidates in the stepwise (backward likelihood ratio) multivariate Cox regression model. The association of single and recurrent wheezing with ambient exposure to NO<sub>2</sub> and PM<sub>2.5</sub> was also analysed using Cox-proportional hazards regression models. The adjusted HR was expressed as the effect of a 1  $\mu\text{g}/\text{m}^3$  increase in ambient exposure in the child's residential address. We ran all two-way interactions in the final model and none of them were found to be significant.

### RESULTS

Of the 760 live births in the cohort, 520 children attended at least one clinical visit: 442 (85%) at 6 months, 396 (76%) at 12 months, 254 (49%) at 24 months, 153 (29%) at 36 months and 114 (22%) at 48 months. Relocation from the study area ( $n = 171$  (21.7%)) was the most common reason for loss to follow-up. There were no demographic differences between those included in this analysis and those excluded (online supplemental table 1). Participants were from low socioeconomic backgrounds (50% had completed high school and a similar percentage with no annual income) with a mean maternal age at recruitment of 26.1 (SD=5.9) years. Of the 520 clinic attendees, 378 (73%) reported no wheezing episodes, 85 (16%) reported a single wheeze and 57 (11%) reported recurrent wheeze. The mean current weight and height of the children in the three groups were similar with 86.9% having a normal BMI and 8.3% being overweight (table 1). There were no meaningful differences between the two wheeze groups when compared with the no-wheeze group.

Among the recurrent wheeze group, a higher proportion of children were exposed to antenatal ETS, maternal obesity and antenatal alcohol consumption when compared with the no wheeze and single wheeze groups. Antenatal maternal alcohol consumption was 3-fold more prevalent among the children with recurrent wheeze when compared with the non-wheezing group while antenatal ETS exposure was almost 1.6-fold higher across these categories (table 2). A family history of asthma was similar among those with a single (20%) and recurrent episodes of wheezing (21%), but higher than those without wheeze (14%). The indoor mould mildew (15%–20%) did not vary across the wheeze categories (table 2).

Both NO<sub>2</sub> and PM<sub>2.5</sub> calculated at birth were higher among the recurrent and single wheeze categories than those without wheezing. This relationship was reversed when using exposure metrics in the first trimester. The NO<sub>2</sub> measure at birth between the recurrent wheeze category and no wheeze reached a statistically significant difference (16.7  $\mu\text{g}/\text{m}^3$  (SD 7.3) and 14.9  $\mu\text{g}/\text{m}^3$  (SD 6.6), respectively) (table 2).

**Table 1** Maternal and infant demographics in MACE birth cohort (n=520)

Characteristics	Wheezing (%)			P value
	No wheeze n=378	Single wheeze n=85	Recurrent wheeze n=57	
Mother education				0.707
<Secondary school education	24.9	31.8	24.6	
Matric (high school graduate)	58.2	55.3	57.9	
College/technikon/university	16.9	12.9	17.5	
Mother's yearly gross income (US\$)				0.459
None	47.6	51.8	56.1	
<US\$650	20.4	20.0	10.5	
US\$650–US\$2000	18.0	18.8	17.5	
>US\$2000	10.8	7.1	15.8	
Refused to answer	3.2	2.4	0.0	
Housing type				0.986
Formal	83.3	83.5	84.2	
Informal	16.7	16.5	15.8	
Maternal age (mean (SD))	26.2 (5.9)	26.0 (6.4)	26.3 (6.2)	0.938
Infant sex (male)	52.1	56.5	59.6	0.483
Infant age				
6	21.7	8.2	0.0	0.000
12	23.5	30.6	24.6	
24	22.5	24.7	15.8	
36	14.0	15.3	21.1	
48	18.3	21.2	38.6	
Birth weight (mean (SD))	3149.2 (538.6)	3050.4 (599.6)	3184.8 (502.1)	0.251

MACE, mother and child in the environment.

The time to having single and recurrent wheezing among children with antenatal ETS exposure was shorter relative to those children without exposure to antenatal ETS, as shown in the hazard (KM) curves in (figure 1A and figure 2A). For children from a mother exposed to antenatal ETS, the mean time to single wheeze and to recurrent wheeze was 37.1 months and 45.4 months, respectively. Similarly, the KM curves showed a shorter time to single and recurrent wheeze for children whose household used biomass or fossil fuels, compared with those using electrical sources only (figure 1B and figure 2B and online supplemental table 2). These differences were statistically significant ( $p < 0.05$ ) (online supplemental table 2).

Children from mothers exposed to antenatal ETS had an approximately 1.7 times higher risk of single wheeze (adjusted HR 1.69 (95% CI 1.1 to 2.6)) and 3.8 times higher risk of recurrent wheeze adjusted (HR 3.76 (95% CI 1.3 to 10.6)) (table 3) than children with mothers not exposed to antenatal ETS. Exposure to biomass fuels showed a threefold adjusted increase in risk for recurrent wheeze (HR 3.09 (95% CI 0.91 to 10.45)) (table 3). Neither single nor recurrent wheezing was significantly associated with exposure to  $\text{NO}_2$  or  $\text{PM}_{2.5}$ . Per unit increase in pollutant (both  $\text{NO}_2$  and  $\text{PM}_{2.5}$ ) measured in the month of birth, resulted in a 2%–4% adjusted increase in risk for single or recurrent wheeze compared with no wheeze, although these did not reach statistical significance. This pattern was absent for the exposure in the first trimester of pregnancy (table 3).

## DISCUSSION

In this study, time to single and recurrent wheeze were significantly reduced with exposure to antenatal ETS or biomass or

fossil cooking fuels as compared with no exposure. Additionally, the latter agents and ambient pollution at birth showed an increased the risk for these outcomes, although not always statistically significant when adjusting for other covariates.

Few studies have investigated 'time to recurrent wheeze', considering environmental exposures at different time points (antenatally and postnatally), within a cohort followed up in infancy. These findings suggest a reduced time to recurrent wheeze, an important predictor of childhood asthma,<sup>8</sup> presents with important clinical significance. Low-cost interventions to reduce antenatal ETS exposure and biomass or fossil fuel usage at an individual level are possible, and our findings suggest that these could have important health benefits.

There is evidence that ETS presents with a short-term risk, as well as increasing the risk for longer-term adverse health. Both antenatal and postnatal maternal smoking increased the risk of wheezing and persistent wheeze in early life.<sup>28 29</sup> Other studies, from LMICs, have also shown this association.<sup>30 31</sup> In addition to our findings providing additional support for the association of antenatal ETS exposure with wheezing, we were able to show that among those with recurrent wheeze, the onset of wheeze is significantly sooner among exposed children.

Biomass fuel exposure is a well-recognised cause of respiratory disease.<sup>32</sup> An increase in the prevalence of wheeze among preschoolers has been associated with exposure to biomass fuels in indoor environments in early infancy.<sup>33</sup> In our study, the time to recurrent wheeze was significantly related to biomass fuels. Even though a small percentage of participants were exposed to these energy sources, a significant association with recurrent wheezing (OR 3.37 (95% CI 1.03 to 11.05)) was observed.

**Table 2** Risk factors associated with child wheezing (n=520)

Characteristics	Wheezing		
	No wheeze n=378 %	Single wheeze n=85 %	Recurrent wheeze n=57 %
Current maternal smoking	4.2	5.9	7.0
Antenatal ETS	48.9	57.6*	77.2**
Maternal antenatal alcohol consumption	7.6	4.9**	21.4**
Low birth weight	10.3	17.6*	5.3
Preterm birth	12.4	11.8	8.8
Infant HIV exposed	35.2	34.1	33.3
Syphilis positive	5.9	10.6*	1.8
Family history of asthma	14.3	20.0	21.1
Maternal BMI			
Underweight	4.0	1.2	7.0
Normal	34.0	42.4	29.8
Overweight	30.8	30.6	21.1
Obese	31.3	25.9	42.1
Child BMI			
Normal	79.2	86.9	86.0
Underweight	4.9	3.6	3.5
Overweight	10.4	8.3	3.5
Obese	5.5	1.2	5.3
Mould mildew			
Yes	12.3	20.0	15.8
Energy sources for cooking			
Electricity	97.9	96.5	94.7
Biomass fuels	0.8	1.2	1.8
Paraffin	1.1	1.2	1.8
Other	0.3	1.2	1.8
Air pollution exposure mean (SD)			
Birth month NO <sub>2</sub> (µg/m <sup>3</sup> )	14.9 (6.6)	16.7 (8.0)**	16.6 (7.3)*
First trimester NO <sub>2</sub> (µg/m <sup>3</sup> )	17.5 (5.8)	16.5 (5.6)	16.3 (5.4)
Birth month PM <sub>2.5</sub> (µg/m <sup>3</sup> )	11.4 (5.0)	12.6 (5.4)*	12.4 (5.3)
First trimester PM <sub>2.5</sub> (µg/m <sup>3</sup> )	13.5 (4.3)	12.7 (4.4)	12.3 (3.9)*

Calculated as per equation s1 and 2 shown in the online supplemental material.

\*p<0.05; \*\*p<0.01 when compared to the 'no wheeze' group.

BMI, body mass index; ETS, environmental tobacco smoking.

There have been a few studies that have reported exposure to indoor air quality and the association to childhood wheezing.<sup>18</sup> Maternal alcohol consumption has been shown to increase the risk of impaired lung function in infants,<sup>19</sup> however, in our findings although alcohol consumption was high in mothers of recurrent wheezers there was no association between maternal alcohol intake and recurrent wheezers.

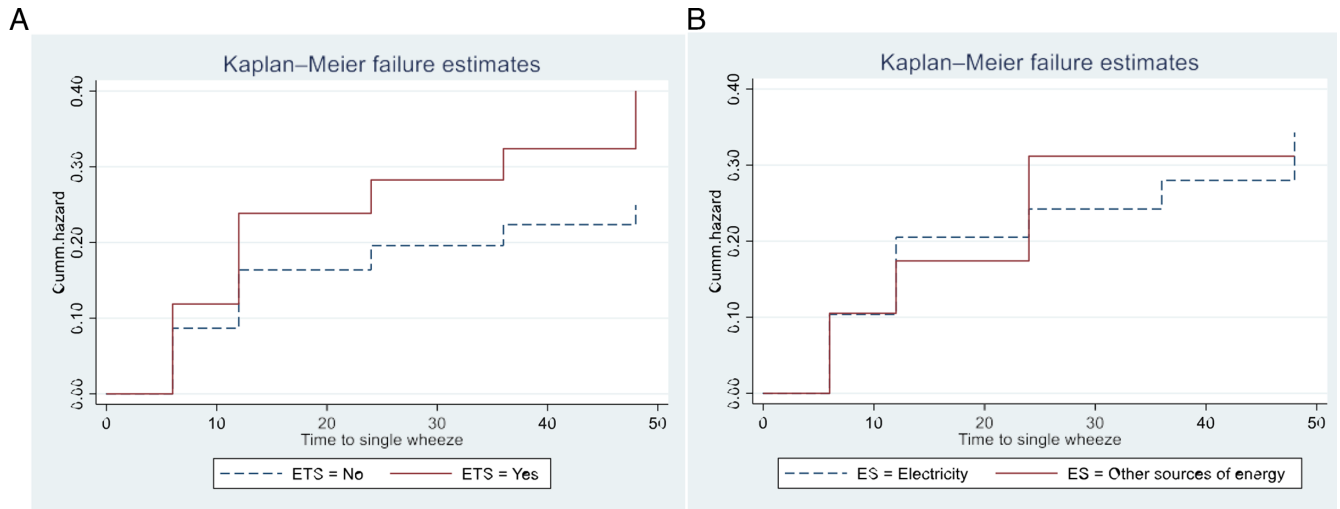
There was a statistically significant higher mean NO<sub>2</sub> month of birth exposure among the recurrent wheeze category compared with the non-wheeze category. This relationship was not seen when exposures from the first trimester were considered. Similarly, the adjusted HRs for pollutants at the time of birth NO<sub>2</sub>-related single and recurrent wheeze were increased, suggesting a pollutant-related risk, but with CIs including the null. We did not show any relationship between wheeze to PM<sub>2.5</sub> exposure

levels. In a recent time-to-event analysis, every 10 mg/m<sup>3</sup> rise in prenatal PM exposure, resulted in an increased HR for childhood asthma of 1.6 (95% CI 1.16 to 2.3), 1.3 (95% CI 1.1 to 1.6) and 1.2 (95% CI 0.05 to 1.5) with PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub>, respectively.<sup>20</sup> Significant associations between higher prenatal and early life PM<sub>2.5</sub> exposure and ever wheeze (RR 3.76 (95% CI 1.4 to 10.0) per 5 µg/m<sup>3</sup> increase in pollutant) and current wheeze in the past year (RR 7.91 (95% CI 1.5 to 41.6) per 5 µg/m<sup>3</sup> increase in pollutant) were reported among children born to mothers in a sample of 536 children in Mexico City.<sup>34</sup> This is similar to the reported relationship of pollutant levels with recurrent wheezing in other studies, where estimates of risk ranged from OR 1.18 (95% CI 1.1 to 1.3) to OR 3.58 (95% CI 1.2 to 10.8).<sup>35,36</sup> To explore whether our models were influenced by our use of a change in 1 µg/m<sup>3</sup> unit increase in pollutant as compared with a change in IQR, we performed a sensitivity analysis using the IQR. The estimates from this analysis are shown in online supplemental table 3a,b. The adjusted HR estimates for the exposure variables varied only slightly but did not change in statistical significance.

The lack of a statistically significant association with ambient pollutants in our study may be a result of our exposure characterisation. Although we created regression models to describe ambient pollutant concentration at the household level during the antenatal period, no systematic assessment was performed to determine exposure in the postnatal period during which the outcomes were assessed. To attempt to address this, we developed proxy measures of exposure based on a month of birth and the trimester of conception. These two proxy measures reflect exposure during the period that is most likely to impact the morphology of the growing lung. However, simultaneously, our outcome of interest (wheeze) is likely to be affected by a shorter and more recent exposure measure. This was not captured in this analysis and may account for the absence of a statistically significant pollutant-related effect. This a priori choice of exposure metrics was based primarily on our objective to determine the effect of antenatal exposures on the outcomes. We were post hoc constrained in developing postnatal exposure metrics for specific time points (such as for each clinic visit or each wheeze episode) because of the computational demands in creating new exposure metrics. Of interest though was the differences seen in the first trimester and birth month measures, with the latter suggesting more important relationships. Despite the possibility of these being chance findings, it may imply that more recent postnatal ambient pollutant exposures influence wheeze outcomes as compared with antenatal exposures.

Recurrent wheezing is a common symptom of illness during infancy and early childhood.<sup>37–40</sup> The prevalence of recurrent wheezing in infancy has been reported at between 14.3% and 36.6%, declining to 17.3% and 12% in the second and third year, respectively, among infants in Arizona.<sup>37</sup> Other reported risk factors for recurrent wheeze include male sex, mycoplasma infection and home smoke exposure,<sup>38</sup> severe pneumonia, low birth weight<sup>39</sup> and gastro-oesophageal reflux.<sup>40</sup> In our sample, approximately 27% reported wheeze, and 11% of recurrent wheeze occurred in children with lower respiratory tract infection (LRTI), and ETS emerging as a key risk factor in keeping with the previously reported findings. Some of these factors, in keeping with our findings, also suggested a shorter time to recurrent wheeze.<sup>38</sup>

Recurrent wheeze has been shown to be an important determinant of the development of childhood asthma in the European population. It has been reported that 21.6% who present with recurrent wheeze in early childhood progressed to childhood



**Figure 1** Kaplan-Meier curves for the hazard of single wheezing according to (A) antenatal environmental tobacco smoke (ETS), (B) energy sources (ES). (Cum hazard is the accumulated risk of experiencing single wheezing).

asthma by the age of 7 years,<sup>41</sup> with asthma prevalence associated with an increase in the number of family members affected with asthma.<sup>42</sup> In our findings, although an increasing trend in prevalence existed for family history of asthma in the different wheeze categories (14% among those without wheezing; 20% among single wheezing and 21% among recurrent wheezing), we were not able to objectively test for asthma.

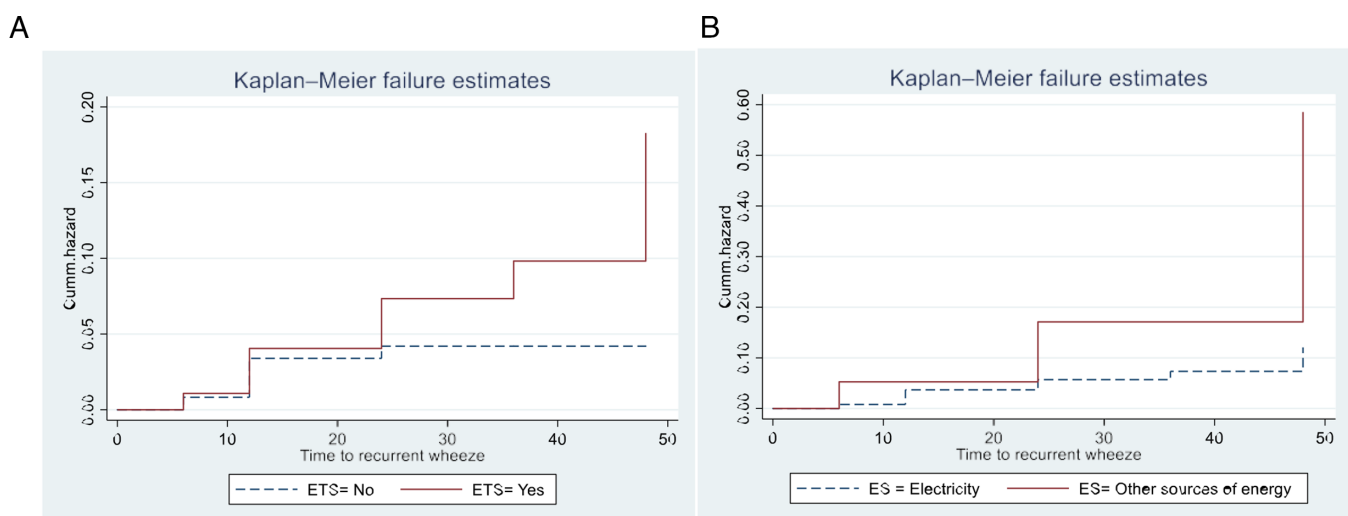
Our paper has numerous strengths. This mother-child longitudinal birth cohort has rigorously collected outcome and covariate data over the antenatal period and up to 5 years of age. This is the first study in Africa that used a novel data-driven statistical method using KM curves to identify time-to-event (single or recurrent wheezing) episodes. The association between time to single and recurrent wheezing was associated with ETS and biomass fuels and suggestive of a relationship with ambient pollution, and this warrants a continuation of study follow-up to further investigate the possibility of childhood asthma.

Our analytical approach, using a time-to-event strategy meant that we were able to use all data provided by participants in the cohort, and not restricted just to those with multiple visits. To assess the validity of our results, the proportional hazard

assumption was assessed using the log-negative-log-survival plot (parallel lines test). This assumption was satisfied.<sup>43 44</sup> The cohort design allowed for the collection of key time-related covariates which could be adjusted for in the analysis.

Apart from the exposure characterisation limitation described above, the assessment of outcome (single and recurrent wheeze) may have presented as a limitation. Generally, these are reliant on parent/caregiver reporting wheezing episodes, and this may have been subjected to recall bias. Our use of standardised questions from well-documented epidemiological studies, such as The National Health and Nutrition Examination Survey (NHANES) allows us to compare across studies. We believe that our assessment approach is a strength of our study. Outcomes were assessed by paediatric pulmonologists and experienced clinicians and were not simply dependent on questionnaire responses. Additionally, the child health record, the monthly questionnaire and other medical records together with the physician-diagnosed wheezing that occurred at follow-up visits were used in the classification of the wheezing categories.

We were not able to include the entire cohort in our analysis, as some participants (n=80) did not attend any clinic visits



**Figure 2** Kaplan-Meier curves for the hazard of recurrent wheezing according to (A) antenatal environmental tobacco smoke (ETS), (B) energy sources (ES). (Cum hazard is the accumulated risk of experiencing recurrent wheezing).

**Table 3** Unadjusted and adjusted Cox-proportional hazards model for risk factors that contribute to single and recurrent episodes of childhood wheezing (n=520)

Variables	Child wheezing							
	Single wheezing (n=85)				Recurrent wheezing (n=57)			
	Unadjusted HR (95% CI)	P value	Adjusted HR (95% CI)	P value	Unadjusted HR (95% CI)	P value	Adjusted HR (95% CI)	P value
Child gender								
Male	1.27 (0.89 to 1.82)	0.192	1.14 (0.79 to 1.65)	0.483	1.27 (0.63 to 2.54)	0.493		
Female	1				1			
Antenatal ETS								
Yes	<b>1.53 (1.06 to 2.22)</b>	0.024	<b>1.69 (1.11 to 2.56)</b>	0.014	<b>2.28 (1.03 to 5.06)</b>	0.043	<b>3.76 (1.33 to 10.66)</b>	0.013
No	1				1			
Maternal alcohol consumption								
Yes	1.45 (0.83 to 2.53)	0.195	1.27 (0.74 to 2.20)	0.408	1.77 (0.68 to 4.58)	0.241	1.07 (0.34 to 3.36)	0.914
No	1				1			
Family history of asthma								
Yes	1.30 (0.84 to 2.01)	0.234	1.36 (0.87 to 2.14)	0.179	0.55 (0.20 to 1.57)	0.264	0.59 (0.20 to 1.72)	0.332
No	1				1			
Energy sources for cooking								
Biomass or fossil fuels	0.96 (0.35 to 2.59)	0.932	1.00 (0.36 to 2.76)	0.998	<b>3.37 (1.03 to 11.05)</b>	0.045	3.09 (0.91 to 10.45)	0.069
Electricity	1				1			
Birth month NO <sub>2</sub> *	1.01 (1.00 to 1.05)	0.291	1.02 (0.99 to 1.04)	0.206	1.04 (1.00 to 1.08)	0.080	1.03 (1.00 to 1.08)	0.146
First Trimester NO <sub>2</sub> *	0.98 (0.95 to 1.01)	0.127	0.97 (0.94 to 1.00)	0.078	0.97 (0.93 to 1.03)	0.346	0.95 (0.91 to 1.01)	0.071
Birth Month PM <sub>2.5</sub> *	1.01 (0.98 to 1.05)	0.390	1.02 (0.98 to 1.05)	0.292	1.04 (0.98 to 1.11)	0.210	1.04 (0.97 to 1.10)	0.282
First Trimester PM <sub>2.5</sub> *	0.97 (0.93 to 1.01)	0.166	0.96 (0.92 to 1.01)	0.077	0.94 (0.86 to 1.01)	0.106	0.95 (0.87 to 1.03)	0.186

HR is significant at  $\alpha=0.05$ .  
 Bold values are statistically significant.  
 \*Per one unit increase of pollutant ( $\mu\text{g}/\text{m}^3$ ).  
 ETS, environmental tobacco smoke; NO<sub>2</sub>, nitrogen dioxide; PM<sub>2.5</sub>, particulate matter of 2.5  $\mu\text{m}$  diameter.

during the 48-month review period. However, we do not believe that this non-participation biased our findings, as there were no meaningful differences between these two groups (online supplemental table 1).

In conclusion, prenatal exposure to passive smoking and use of biomass energy sources were risk factors associated with time to recurrent wheezing in this birth cohort over the first 48 months of life. At the time of birth, NO<sub>2</sub> was related to single and recurrent wheeze were increased, suggesting a pollutant-related risk. These findings, therefore, support emerging evidence that antenatal ETS and exposure to air pollution might influence the development of recurrent wheezing in children.

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