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EPIDEMIOLOGY

Early-life tobacco exposure is causally implicated in aberrant RAG-mediated recombination in childhood acute lymphoblastic leukemia

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The development of childhood acute lymphoblastic leukemia (ALL) typically involves formation of preleukemic clones in earlylife followed by the postnatal acquisition of "second-hit" mutations and copy-number alterations that drive progression to overt leukemia [\[1](#page-3-0)]. "Off-target" V(D)J recombination is a mechanism known to drive the formation of deletions in ALL $[2, 3]$ $[2, 3]$ $[2, 3]$ $[2, 3]$ $[2, 3]$. The recombination-activating gene (RAG) proteins, encoded by RAG1 and RAG2, typically help to generate antibody diversity by inducing DNA double-strand breaks and recombining the variable (V), diversity (D), and joining (J) gene segments during the early stage of B-cell and T-cell maturation, resulting in diverse immunoglobulins and T-cell receptors (Ig/TCR) [\[1,](#page-3-0) [4](#page-3-0)].

We previously found the frequency of driver gene deletions in childhood ALL patients was positively associated with early-life tobacco smoke exposure [\[5,](#page-3-0) [6](#page-3-0)]. Our prior studies were limited to analysis of eight genes commonly deleted in ALL and targeted by a multiplex ligation-dependent probe amplification assay, which did not resolve breakpoint sequences [[6\]](#page-3-0). Previous studies demonstrating that increased off-target deletions mediated by V(D)J recombination were associated with passive maternal tobacco exposure [[7](#page-3-0)] as well as hematologic malignancies [\[3\]](#page-3-0) motivated us to investigate whether tobacco exposure during pregnancy may be associated with RAG recombination-mediated deletions in childhood ALL. Here, we performed whole genome sequencing (WGS) in childhood ALL patients with high or low prenatal tobacco smoke exposure, extending upon our prior investigations by examining structural variation genome-wide and mutational mechanisms.

Childhood ALL patients were included from the California Childhood Leukemia Study (CCLS), described in Supplementary Methods and in detail elsewhere [[8\]](#page-3-0). ALL patients were categorized as having "high" ($N = 18$) or "low" ($N = 17$) earlylife tobacco exposure based on established epigenetic biomar-kers (Fig. S1, see Supplementary Methods) [[5,](#page-3-0) [6,](#page-3-0) [9](#page-3-0)]. Paired tumor-normal WGS was performed for the 35 patients, and quality control assessment, methods for detecting somatic variants (including single nucleotide variants [SNVs], indels, and structural variants [SVs]), mutational signature analyses, and all statistical tests are described in Supplementary Methods. Two-sided p-values < 0.05 were considered statistically significant.

The majority of patients (31/35) were of the B-cell immunophenotype. Patient demographic data are included in Tables S1 and S2. Patients harbored a median of 1729 SNVs and 535 indels, with affected ALL driver genes including KRAS, FLT3, JAK2, PAX5, ERG, PTPN11, NF1, and RB1 (Fig. S2). The number of SNVs ($p = 0.198$) or indels ($p = 0.843$) were not significantly different between high and low tobacco exposure patients (Fig. [1](#page-1-0)). We identified a median of 33 SVs, 12 deletions, 2 duplications, 6 inversions, and 4 translocations per patient, with a median of 6 SVs overlapping known ALL driver genes, including CDKN2A/B, IKZF1, VPREB1, and P2RY8 (Fig. S3). Expanding upon our previous finding for 8 ALL driver genes $[5, 6]$ $[5, 6]$ $[5, 6]$ $[5, 6]$ $[5, 6]$, we found a significantly higher number of deletions genome-wide ($p = 0.001$), as well as a higher frequency of translocations ($p = 0.002$), inversions $(p = 0.004)$, duplications $(p = 0.017)$, and overall SVs $(p < 0.001)$, in the high tobacco exposure patients compared with low exposure patients (Fig. [1](#page-1-0), Table S1). This suggests that early-life tobacco smoke exposure may be associated with general genomic instability in ALL tumor samples, or perhaps that tobacco exposure persists throughout childhood in high-exposure patients. We note that when limiting to SVs overlapping ALL driver genes, only total SVs and deletions remained significantly increased in the high-exposure group (Table S3, Fig. S4); other types of SV may be passenger events associated with tobacco exposure or potentially confounded by molecular subtype. Age-atdiagnosis was positively associated with number of SVs ($p = 0.009$) and deletions ($p = 0.0005$) (Table S4).

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Fig. 1 Somatic alterations and RAG-mediated deletions by prenatal tobacco smoke exposure status in childhood ALL patients. Analysis of somatic alterations was conducted using whole-genome sequencing data in 35 paired tumor-normal samples. The number of different somatic alteration types in the high tobacco exposure ($n = 18$) and low tobacco exposure ($n = 17$) childhood ALL patients is displayed by box and whisker plots for (A) single nucleotide variants (SNVs) and insertion deletion polymorphisms (indels), and (B) structural variants (SVs: deletions, duplications, inversions, translocations). Statistical comparisons were performed using Wilcoxon rank sum tests (***P < 0.001; **P < 0.01; *P < 0.05). Analysis of off-target (non-Ig/TCR) RAG-mediated deletions in the high and low tobacco exposure patients was performed using FIMO. Bar plots display the proportion of deletions in non-Ig/TCR regions with at least one breakpoint (C) or with both breakpoints (D) having an RSS motif in childhood ALL patients with high ($n = 18$) or low ($n = 17$) tobacco exposure. A total of 220 non-Ig/TCR region deletions were detected in high tobacco exposure patients and 91 non-Ig/TCR deletions in low exposure patients. Error bars represent 95% bootstrapped confidence intervals. Chi-square tests were used to compare the proportions of deletions with at least one RAG motif (either full, heptamer or nonamer) between two groups. Fisher's exact tests were used to compare the proportions of deletions with a RAG motif at both breakpoints between two groups. E The proportion of non-Ig/TCR (i.e., off-target) putatively RAG-mediated deletions with at least one full RSS motif was plotted against the distance of the motif from the deletion breakpoint, ranging from within 5-bp to 200-bp. A positive distance represents bases interior to the deletion breakpoint (inside the deletion) and a negative value represents bases exterior to the breakpoint (outside the deletion). Proportions are displayed for the high ($n = 18$) and low ($n = 17$) tobacco exposure patients separately.

To explore the hypothesis that tobacco exposure-related gene deletions in childhood ALL are RAG-mediated, we searched deletion breakpoint sequences for occurrence of RAG motifs using FIMO [[10](#page-3-0)] (details in **Supplementary Methods**). We considered presence of the full recombination signal sequence (RSS) motif at one or both breakpoints as strong evidence of RAG recombination (Fig. S5) and presence of only heptamer or nonamer motifs as weaker evidence. Among 566 total deletions, 255 (45.1%) had at least one breakpoint located in Ig/TCR regions (on-target), and 311 (54.9%) deletions had both breakpoints in non-Ig/TCR regions and may therefore be mediated by off-target RAG recombination. Ninety-three percent of Ig/TCR deletions and 35% of non-Ig/TCR deletions had a full RSS motif within 50 bp of at least one of two breakpoints (i.e., putatively RAG-mediated). High tobacco exposure patients had a higher total number of putatively RAG-mediated deletions than the low exposure group overall $(p = 0.002)$, and when limited to non-lg/TCR deletions ($p = 0.003$) or Ig/TCR deletions ($p = 0.005$). High tobacco exposure was also associated with a significantly higher number of deletions with a full RSS motif at both breakpoints in non-Ig/TCR regions $(p = 0.004)$ but not in Ig/TCR regions $(p = 0.472)$ (Table S5).

As the number of RAG-mediated deletions in the high versus low tobacco exposure patients may reflect the frequency of overall deletions in each patient group, we next examined the proportion of deletions that appeared to be mediated by off-target RAG recombination. In non-Ig/TCR regions, high-exposure patients harbored a significantly higher proportion of putatively RAG-

mediated deletions than low-exposure patients (40.5% vs. 20.9%; $p = 0.001$) (Fig. 1C, Table S6, Fig. S6). We also identified a higher proportion of non-Ig/TCR deletions with the full RSS motif at both breakpoints in high versus low exposure patients (9.5% vs 2.2%; $p = 0.0297$) (Fig. 1D). This off-target effect was in the opposite direction of the on-target effects observed in Ig/TCR regions (Fig. S7), suggesting a potential skewing towards off-target RAG recombination in the high tobacco exposure group.

In support that these are true RAG-mediated events, the RSS motif (full RSS, heptamer or nonamer) was largely internal to deletion breakpoints in both patient groups (Fig. 1E, Fig. S8A, B). Further, of heptamers located internal to deletion breakpoints, 121/124 (97.6%) at non-Ig/TCR deletions and 394/398 (99.0%) at Ig/TCR deletions were found in the correct orientation for typical V(D)J recombination where the RAG motifs are deleted in the form of "excision circles". Analysis of non-templated nucleotide sequences at deletion breakpoints provided additional support for RAG-mediated deletions, as described in Supplementary Methods and Results.

De novo motif analysis using HOMER (see **Supplementary** Methods) identified the RAG heptamer as significantly enriched at deletion breakpoints (Fig. S9). Consistent with FIMO results, high tobacco exposure patients harbored a higher proportion of deletions with at least one off-target RAG heptamer (high vs. low groups: 32.7% vs 18.7%; $p = 0.013$) and a higher proportion of deletions with off-target RAG heptamer at both breakpoints (6.4% vs 1.1%; $p = 0.076$) (Fig. S10, Table S7). No additional significant

breakpoints of non-ig-TCR (i.e., "oft-target") deletions (n = 311).
***Analyses were limited to self-reported Hispanic/Latino vs. non-Hispanic due to sample size. breakpoints of non-Ig-TCR (i.e., "off-target") deletions ($n = 311$).

***Analyses were limited to self-reported Hispanic/Latino vs. non-Hispanic due to sample size.

motifs identi fied by HOMER had a target frequency above 5% (Fig. S11).

Patient age-at-diagnosis was positively associated with both the number ($p = 0.0007$) and proportion ($p = 0.005$) of non-Ig/TCR putatively RAG-mediated deletions (Table S4). Patient age may be a proxy for cumulative dose of tobacco exposure, there may be age-related differences in molecular subtypes that vary by RAGmediated deletions, or a longer latency period between exposure and ALL diagnosis may provide more time for somatic alterations to develop.

In a multilevel model, which accounted for the varying number of deletions in each patient, non-Ig/TCR deletions identi fied among the high tobacco exposure patients had 2.44-fold higher odds (95% CI:1.13 –5.38) of being putatively RAG-mediated than deletions in the low exposure group (Table 1), with an even stronger association when the full RSS motif was found at both breakpoints (OR $=$ 4.70, 95% CI:1.34-29.75). Further analyses and statistical modeling of putatively RAG-mediated deletions, including in relation to age-at-diagnosis, ethnicity, and additional features, are presented in Supplementary Information and Table S8.

Finally, we explored whether any mutational signatures were associated with tobacco exposure but found no significant differences between high and low exposure patients (details in Supplementary Results, Table S9-14, Figs. S12-16).

Altogether, our results support a potential leukemogenic role of early-life tobacco exposure, a preventable environmental factor, in childhood ALL development. Case-control studies of parental smoking based on questionnaire data, which are subject to misclassi fication bias, have shown inconsistent associations with childhood ALL risk [[11](#page-3-0)-[13\]](#page-3-0). We recently reported a lack of association between DNA methylation at the AHRR CpG cg05575921, an epigenetic biomarker of maternal smoking during pregnancy, and childhood ALL risk [[14\]](#page-3-0), which supported previous evidence regarding all childhood ALL combined. Although results from our case-only analyses appear inconsistent with case-control study findings, they suggest that tobacco smoke exposure may have tumor subtype-speci fic effects on ALL development.

There is a paucity of evidence on the potential influence of environmental factors on RAG recombination activity, although tobacco smoke exposure was previously implicated in off-target RAG recombination in cord blood lymphocytes [[7](#page-3-0), [15\]](#page-3-0). The role of early-life tobacco exposure in childhood ALL may not necessarily be directly mutagenic but instead have effects on the developing immune system, for example through upregulation of RAG proteins or via stalling of lymphocyte development given that RAG proteins are most active in immature lymphocytes, which warrants future investigation.

Our study has some limitations. Small sample size limited statistical power and our ability to adjust for potential confounders, such as molecular subtype. Tobacco exposure was analyzed as a binary variable, and potential dose-response relationships were not examined. Epigenetic biomarkers of prenatal tobacco exposure were derived from newborn dried bloodspots, although it is possible that these may be correlated with postnatal exposure to parental smoking during childhood, a more relevant time window of exposure given that second-hit deletions in ALL typically arise postnatally [[1\]](#page-3-0). We also cannot rule out other unmeasured environmental exposures that may correlate with prenatal tobacco exposure. Further studies are needed to con firm our findings and to understand the precise biological mechanisms and timing of exposures that underlie the association between tobacco exposure and deletions in childhood ALL.

DATA AVAILABILITY

This study used biospecimens from the California Biobank Program. Any uploading of genomic data and/or sharing of these biospecimens or individual data derived from

these biospecimens has been determined to violate the statutory scheme of the California Health and Safety Code Sects. 124980(j), 124991(b), (g), (h), and 103850 (a) and (d), which protect the confidential nature of biospecimens and individual data derived from biospecimens. Should we be contacted regarding individual-level data contributing to the findings reported in this study, inquiries will be directed to the California Department of Public Health Institutional Review Board to establish an approved protocol to utilize the data, which cannot otherwise be shared peer-topeer. Full results for somatic mutations and structural variants identified in each tumor sample by whole-genome sequencing and results of RSS motif analysis are included in the Supplementary Data files.

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AUTHOR CONTRIBUTIONS

AJD conceived and designed the study. AJD, AYK, LMM, JLW, and CM collected and assembled the data. TL and KX analyzed sequencing data. SSM performed laboratory analysis. TL performed the statistical analysis. TL and AJD analyzed and interpreted the data. TL and AJD wrote the first draft of the manuscript. TL, KX, AP, SSM, AYK, LMM, MRL, JLW, SCK, CM, and AJD reviewed and revised the final manuscript and approved the manuscript for submission.

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COMPETING INTERESTS

The authors declare no competing interests.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

This study was reviewed and approved by the Institutional Review Boards at the University of Southern California (protocol number HS-18-00393), the University of California, Berkeley, the California Department of Public Health Committee for Protection of Human Subjects (project number 2018-006), and all participating hospitals. Written informed consent was obtained from all study participants. This study was conducted in accordance with the Declaration of Helsinki.

ADDITIONAL INFORMATION

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