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Tuberculosis prevention: current strategies and future directions

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Abstract

Background: An estimated one fourth of the world's population is infected with Mycobacterium tuberculosis, and 5–10% of those infected develop tuberculosis in their lifetime. Preventing tuberculosis is one of the most underutilized but essential components of curtailing the tuberculosis epidemic. Moreover, current evidence illustrates that tuberculosis manifestations occur along a dynamic spectrum from infection to disease rather than a binary state as historically

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Author contributions

AV, CL, and AMM developed the concept of the manuscript. All authors contributed to manuscript writing and revision and agreed with the decision to submit the manuscript for publication.

Transparency declaration

SHEK is co-applicant of a patent for TB biomarkers, and coinventor and coholder of a patent for the TB vaccine, VPM1002, which has been licensed to Vakzine Projekt Management GmbH, Hannover/Germany and Serum Institute for India Ltd., Pune/India. CL reports personal fees from Insmed, Gilead, GSK, and Janssen for lecturing at sponsored symposia outside of the presented work. MS has received grants for investigator-initiated studies by Astellas and Biotest, and travel support and honoraria from Biotest, Moderna, MSD, Qiagen, and Takeda outside the presented work. CL is supported by the German Center for Infection Research. CL received support from the German Excellence Strategy – EXC 2167 Precision Medicine in Inflammation. No external funding has been received for this manuscript.

conceptualized. Elucidating determinants of transition between these states is crucial to decreasing the tuberculosis burden and reaching the END-TB Strategy goals as defined by the WHO. Vaccination, detection of infection, and provision of preventive treatment are key elements of tuberculosis prevention.

Objectives: This review provides a comprehensive summary of recent evidence and state-of-theart updates on advancements to prevent tuberculosis in various settings and high-risk populations.

Sources: We identified relevant studies in the literature and synthesized the findings to provide an overview of the current state of tuberculosis prevention strategies and latest research developments.

Content: We present the current knowledge and recommendations regarding tuberculosis prevention, with a focus on M. bovis Bacille-Calmette-Guérin vaccination and novel vaccine candidates, tests for latent infection with M. tuberculosis, regimens available for tuberculosis preventive treatment and recommendations in low- and high-burden settings.

Implications: Effective tuberculosis prevention worldwide requires a multipronged approach that addresses social determinants, and improves access to tuberculosis detection and to new short tuberculosis preventive treatment regimens. Robust collaboration and innovative research are needed to reduce the global burden of tuberculosis and develop new detection tools, vaccines, and preventive treatments that serve all populations and ages.

Keywords

BCG; IGRA; LTBI; Novel vaccine candidates; Preventive treatment; TB spectrum; TPT; TST; Tuberculosis control

Background

The WHO reported an alarming 10.6 million cases of tuberculosis in 2021 [1]. Indirect evidence suggests that one fourth of the world's population is estimated to be infected with *Mycobacterium tuberculosis* [2], and $5-10%$ of those infected develop tuberculosis in their lifetime [3]. There is a paradigm shift in our understanding of tuberculosis natural history—instead of perpetuating a binary classification of latent *M. tuberculosis* infection (LTBI) and active tuberculosis, a dynamic spectrum of physiological states including incipient, subclinical, and active disease is now recognized [4,5]. Once infected with M. tuberculosis, the host immune response may eliminate the infection, contain the infection through immune response, or progress to subclinical, and thereafter active disease [4–7] (Fig. 1). Given that current diagnostic tests are unable to distinguish between these stages and produce both false positive and false negative results, the development of specific detection methods and targeted interventions to prevent disease progression and transmission are of paramount importance.

This review provides a comprehensive summary of recent evidence and state-of-theart updates on advancements to prevent tuberculosis in various settings and high-risk populations.

Determinants of M. tuberculosis transmission

The risk of *M. tuberculosis* transmission is driven by a combination of host, pathogen, and environmental determinants. The main host-related factor is high bacillary load, as evidenced by a positive GeneXpert MTB/RIF, or cavitary disease [8,9], and exposure occurring in close proximity and for extended periods [10,11]. Regarding pathogen-related factors, genomic sequencing has revealed that distinct lineages possess varying degrees of virulence, thereby influencing their potential for transmission [12–14]. Next-generation sequencing now supports population level surveillance of tuberculosis by comparing the DNA sequences from patient isolates [15] and from environmental sources such as wastewater samples [16] to provide insight into transmission dynamics [17]. The main environmental determinant that increases the risk of *M. tuberculosis* transmission is overcrowding, as experienced in healthcare facilities [18], orphanages [19], prisons [20], and informal settlements [21].

The main prevention strategies currently available are vaccines (Bacillus Calmette-Guérin [BCG] or novel vaccine candidates still in the pipeline), identification of M. tuberculosis infection, and preventive treatment.

BCG vaccination

BCG vaccine remains the only licenced and widely used vaccination for tuberculosis prevention in humans [22,23]. BCG is effective in young children and mainly against severe forms of tuberculosis.

An individual-participant meta-analysis synthesized data from 26 studies including 68 552 participants. The studies were restricted to those with BCG vaccination at birth with the primary aim to investigate the age-specific impact of BCG vaccination on all forms of tuberculosis [24]. The overall effectiveness of BCG vaccination was of 18% (95% CI, 9–26); however, effectiveness was only seen in young children <5 years, suggesting novel vaccines are needed to prevent tuberculosis in older populations. BCG was protective against tuberculosis in those with a positive test of infection.

Whether BCG revaccination provides protection against tuberculosis has been debated for decades [25,26]. The Chingleput BCG vaccination trial (conducted in 1968) had shown no overall protection against active tuberculosis at 15 years in adults and limited protection in children [27]. A re-analysis of this study shows that among 2890 and 1546 participants of all ages in the BCG revaccination and placebo arms, the incidence of tuberculosis at 15 years post-vaccination was lower in the BCG revaccination arm (190 versus 296 cases per 100 000 population; hazard ratio, 0.64; 95% CI, 0.46–0.89) [28].

Better tuberculosis vaccines and innovative strategies are urgently needed to overcome the current tuberculosis crisis. Important evidence is expected from an on-going phase III BCG pre-travel study and a phase IIb booster BCG revaccination study; unfortunately, both studies include only adults.

Novel tuberculosis vaccines

After a long standstill, several tuberculosis vaccines are in development and at least a dozen candidates are currently moving through the clinical trial pipeline (see Table 1) [22,29–31].

T lymphocytes which activate effector cells of innate immunity are critical for protection against tuberculosis induced by natural infection. Although antibodies participating in protective immunity activate secondary effector mechanisms [32], this activation itself is apparently insufficient. Neutralizing antibodies specific for protective antigens which could prevent infection with M. tuberculosis have not been identified. As a corollary, vaccine research and development is hampered by the lack of correlates of protection [33]. General agreement exists that Th1 type CD4 T-cells play a critical role in the protective immune response to *M. tuberculosis*. They are likely supported by $IL-17$ (interleukin-17) producing CD4 T-cells, unconventional T-cells, and CD8 T-cells which secrete cytokines and express cytolytic activity [34]. Pre-exposure vaccination involves administering a vaccine to individuals without exposure to M . tuberculosis, or without a detectable immune response to specific antigens. Post-exposure vaccination involves vaccinating individuals who have been exposed to M. tuberculosis or have risk of developing tuberculosis because of recent exposure and aims to prevent the development of tuberculosis or reduce its severity.

Vaccine candidates in clinical trials comprise subunit vaccines and whole cell vaccines. Subunit vaccines serve as boosters for individuals vaccinated at birth and are composed of few antigens considered relevant for protection. Attenuated live whole cell vaccines serve as boosters or replacements for *BCG*. Whole cell vaccines comprise a plethora of M. tuberculosis antigens. Inactivated vaccines are often composed of atypical mycobacteria which share numerous antigens with *M. tuberculosis*. Booster vaccines are administered either pre-exposure, in the absence of LTBI, or post-exposure, if evidence of LTBI exists. Replacement vaccines target neonates before exposure with M. tuberculosis.

Several vaccine trials are ongoing. Notably, the $M72:AS01_E$ vaccine candidate has shown a total efficacy of 54% (95% CI, 2.1–74.2) to prevent pulmonary tuberculosis in participants who received at least one dose [35]. The DAR-901 vaccine, an inactivated whole cell vaccine, was studied for the prevention of LTBI in 667 healthy Tanzanian adolescents; the primary efficacy outcome was time to interferon-γ release assays (IGRA) conversion. The vaccine candidate did not show a significant effect on new IGRA positivity or persistent LTBI—efficacy rates of 3% (95% CI, 13.9–17.7) and 4% (95% CI, 12.1–18.5), respectively [36]. However, lack of a direct and accurate measure of LTBI limits our ability to assess the effectiveness of vaccines designed to prevent infection [37]. Two live vaccines, MTBVAC and VPM1002, are based on M. tuberculosis and BCG, respectively. MTBVAC is an attenuated deletion mutant of M. tuberculosis lacking two independent virulence gene loci: phoP (transcription factor for several virulence factors) and fadD26 (involved in lipid synthesis). These deletions affect expression of hundreds of gene products including virulence factors. VPM1002 is a BCG vaccine in which the urease C gene has been replaced by the listeriolysin gene to strengthen attenuation and immunogenicity. The latest innovations in the vaccine area are mRNA vaccines which have entered phase I clinical trials (Table 1). These vaccines target the (a) healthy BCG-vaccinated and tuberculosis-

infected people and (b) non–BCG vaccinated and non–tuberculosis infected people. There is insufficient knowledge to predict their potential efficacy.

Detecting latent M. tuberculosis infection

Test platforms routinely used in clinical care include the tuberculin skin test (TST) or blood-based interferon-γ (IFN-γ) IGRA [37]. The TST relies on the induction of a skin-test reaction after in vivo stimulation with tuberculin purified protein derivative. Commercial IGRA tests are based on IFN-γ production after specific stimulation of whole blood or peripheral blood mononuclear cells with two *M. tuberculosis* antigens, ESAT-6 and CFP-10. These antigens are encoded in the region of difference 1 present in the *M. tuberculosis* and M. bovis genome and absent in BCG and most environmental mycobacteria. Stimulationinduced IFN- γ is detected by either an ELISA, an ELISPOT assay, or flow cytometry. IGRAs are cross-reactive with only a few non-tuberculous mycobacteria but not with M. bovis, and are therefore more specific compared with TST [37]. IGRAs include negative and positive controls to assess validity and general immune function; thus, IGRAs are preferred in patients with immunodeficiency [38]. It is important to note that CD4 depletion in people living with HIV (PLHIV) may diminish test reliability [39] and the ability of ELISPOT-based assays to correct for the number of lymphocytes in the sample may preserve test reliability [40]. On the basis of established cut-off values, a positive result in exposed patients and patients at high risk of developing active tuberculosis represents an indication for preventive treatment. Neither IGRAs nor the TST differentiate LTBI from disease [41]. The use of IGRAs in low resource settings is prohibitive by its high price. TST requires cold chain and the patient to come to the health facility 48–72 hours after test placement for induration reading. Although quantitative information is not used routinely, tuberculosis incidence is higher among individuals with larger TST indurations or IGRA-levels [42]. The predictive value of IGRAs was shown to be higher than TST in low-incidence countries [43,44], whereas predictive utility of both tests is rather low in high-burden countries [45]. The performance of TST tends to be better in younger populations; nevertheless, for IGRAs no clear trend was identified in a meta-analysis [45] mainly because of one study where IGRAs performed poorer in children [46]. Therefore, newer generation tests are needed to better identify individuals who would benefit from tuberculosis preventive treatment (TPT). Novel skin-tests using *M tuberculosis*-specific antigens (region of difference 1 antigens) have been explored as alternatives and showed similar performance as IGRAs or TST [47] namely similar specificity to IGRA, and higher sensitivity than TST in children and in PLHIV [48–50].

Promising experimental approaches to differentiate between LTBI and tuberculosis exist based on altered cytokine expression profiles [51–53] or enrichment of specific T-cells from blood into pleural fluid or bronchoalveolar lavage [54–56]. Whole-blood signatures comprising different numbers of transcripts have been explored. Although whole-blood transcriptional signatures have demonstrated the potential to identify individuals at risk of developing tuberculosis, these individuals are mostly those who will develop incipient and subclinical disease [52,53,57]. The CORTIS trial analysed the diagnostic performance of a signature comprising 11 transcripts (RISK11) [58]. The signature identified active tuberculosis and confirmed its potential to predict progression to incident tuberculosis.

However, when study participants were randomized to receive preventive chemotherapy based on the RISK11 signature, this did not reduce progression to tuberculosis. Although further studies are needed to define clinical applications, these novel test principles will have potential to better identify the states along the tuberculosis spectrum.

TPT

TPT recommended after exposure to tuberculosis is considered secondary prophylaxis. When recommended in PLHIV as part of a comprehensive package of HIV care regardless of tuberculosis exposure in high-burden settings. TPT is considered primary prophylaxis. Shorter recommended regimens can reduce the risk of tuberculosis development by 60–90% (Table 2) [59–61] and are preferred because of their association with higher completion rates. The shortest recommended regimen is 1 month of rifapentine and isoniazid daily [62]. Studies demonstrate that rifamycin-containing regimens have similar efficacy to 6 or 9 months of isoniazid monotherapy [63–65] and 3-month daily rifampicin is associated with a lower risk of hepatotoxicity [64,66]. Although low TPT coverage may be associated with acquired drug resistance, increased TPT coverage reduces acquired drug resistance [67].

The choice of regimen should be based upon the availability of medicines and formulations, the risk of adverse events, use of concomitant medications, and patients' preferences. Notably, rifapentine is not available worldwide including countries within the European region of the WHO [68]. Furthermore, the cost of rifapentine can be a barrier, particularly in high-burden countries with resource constraints.

TPT in pregnant women and young children—Data on the use of rifapentine in pregnant women and children <2 years are limited [3]. One randomized controlled trial reported a higher risk of adverse pregnancy outcomes in women who were given isoniazid monotherapy during pregnancy compared with those who started therapy postpartum, whereas the same signal was not observed in observational studies [69,70]. Rifampicin is generally considered safe and might be the preferred option for pregnant women. The timing of TPT should be discussed with pregnant women, considering their two-fold increased risk of tuberculosis late in pregnancy and the post-partum period. Furthermore, maternal tuberculosis is associated with a six-fold increased risk of poor outcome in the neonate.

TPT in MDR/RR-tuberculosis—Among individuals in close contact with people with multidrug/rifampicin-resistant tuberculosis (MDR/RR-tuberculosis), the WHO recommends daily fluoroquinolone (levofloxacin or moxifloxacin) for 6 months alone or in combination with other agents such as ethionamide or ethambutol [3], although the recommendation was based on limited evidence. Two randomized controlled trials (RCTs) of daily levofloxacin alone for 6 months in contacts of MDR/RR-tuberculosis are expected to report results in 2023 [71–73]. For other types of drug resistance, the evidence on TPT is lacking.

Recommendations in low-burden settings

In accordance with the END-TB Strategy, low tuberculosis incidence countries (incidence rate <10/100 000 population) strive towards elimination (<1/100 000) by 2035 [74,75]. In addition to ensuring effective treatment for people with tuberculosis, active case finding and

LTBI screening among risk-groups are key to achieving this ambitious goal [75]. Screening may focus on close contacts of index cases with pulmonary tuberculosis, though contacts of extra-pulmonary cases are also at risk [76].

Tuberculosis disproportionately affects specific populations in low-incidence settings, particularly recent migrants from high-burden countries, people undergoing immunosuppressive therapy, and PLHIV [77–79]. These groups, along with individuals recently exposed to tuberculosis, should be prioritized for active case finding and LTBI screening.

New-entrant screening may identify people at the point of entry in the destination country, or at pre-immigration screening, and is complemented by retrospective identification of people within 2 to 5 years of arrival [80]. For migrants, the risk of tuberculosis is known to decline with time since exposure or migration [81], highlighting that active tuberculosis/ LTBI screening should be performed rapidly after arrival to maximize benefits. In the absence of tuberculosis, a discussion of the individual benefits and risks of preventative therapy can be supported using the personalized risk predictor tool PER1SKOPE-TB, which incorporates IGRA/TST results and individual risk factors (such as age, HIV status, history of contact, country of birth, date of migration, solid organ recipient) [81]. In parallel to efforts implemented within low-incidence countries, it is critical and cost-effective to support tuberculosis control efforts in high-burden settings, thereby contributing towards global progress and further reducing the risk of disease among recent entrants [82].

Recommendations in high-burden settings

Tuberculosis high incidence settings (incidence rate >100/100 000 population) carry a disproportionate burden of tuberculosis and are often within low- and middle-income countries. The tuberculosis epidemic in these settings is fuelled by socioeconomic determinants; poverty, malnutrition, and hunger increase susceptibility to LTBI, active tuberculosis, and severity of clinical outcomes [83–85]. A recent cluster randomized controlled trial estimated that provision of nutritional supplementation to 30 households would prevent one incident tuberculosis case [86]. Tuberculosis control can only be achieved by coordinated interventions related to social structural determinants, as well as timely diagnosis and support throughout the treatment. Several social and financial support strategies have been proposed to improve tuberculosis treatment adherence, including conditional cash transfers, which improved treatment completion rates [87]. Nevertheless, a meta-analysis of interventions using cash incentives has shown little to no effect on the number of people that are cured or complete tuberculosis treatment.

Evidence from high-burden settings has shown a three-fold increase in LTBI measured using TST/IGRAs in tuberculosis-affected households compared with tuberculosis-free households. Notably, RCTs demonstrate benefits of TPT regardless of TST/IGRA results in PLHIV [88]. Furthermore, the results of an individual-participant meta-analysis in PLHIV have demonstrated the utility of C-reactive protein alone and chest radiograph combined with symptom screening to effectively screen for tuberculosis [89]. The WHO symptom screen in household contacts had a pooled sensitivity of 89% (52–98%) and a specificity of 69% (51–83%) [90]. In young children, symptom screening and treating, without testing

for LTBI, represents the most cost-effective strategy [91]. Therefore, the WHO recommends prioritizing TPT initiation in children under five who are household contacts of a person with bacteriologically confirmed tuberculosis, or in PLHIV [3]. TPT can be initiated in this population without the need for LTBI testing or a chest radiograph and through symptom-based exclusion of tuberculosis [92]. However, improved technologies such as portable radiographs and computer-aided detection could play a crucial role in improving tuberculosis detection [93]; unfortunately, computer-aided detection technologies have not been effectively evaluated in children.

Conclusion

Effective prevention of tuberculosis requires a multi-prong approach including novel vaccines, improved tests offering accuracy for each stage on the tuberculosis continuum, and shorter and accessible preventive treatment regimens. Although low-burden countries strive for elimination and narrowly combat tuberculosis primarily in migrants, high-burden settings still face numerous challenges and transmission ubiquitously plagues many high-risk groups. Robust collaboration and innovative research are needed to reduce the global burden of tuberculosis and develop new detection tools, vaccines, and treatment regimens that serve all populations.

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Fig. 1.

Spectrum of *M. tuberculosis* infection states and prevention strategies (model proposed by the authors, more evidence needed).

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BCG, Bacille-Calmette-Guérin; GLA-SE, glucopyranosyl lipid adjuvant - stable emulsion; TB, tuberculosis; TLR, toll-like receptor. BCG, Bacille-Calmette-Guérin; GLA-SE, glucopyranosyl lipid adjuvant - stable emulsion; TB, tuberculosis; TLR, toll-like receptor.

 4 IC31 adjuvant: cationic peptide with a TLR-9 agonist. IC31 adjuvant: cationic peptide with a TLR-9 agonist.

 b GLA-SE: oil-in-water emulsion with TLR-4 agonist. GLA-SE: oil-in-water emulsion with TLR-4 agonist.

 $\epsilon_{\rm AS01}$ E: liposome with TLR-4 agonist.

Author Manuscript $d_{\mbox{BCO2}}$. CpG adjuvant in aluminium hydroxide. BC02: CpG adjuvant in aluminium hydroxide.

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 e_{PG} adjuvant. CpG adjuvant. Chimpanzee adenovirus (ChadOxl) as prime and modified vaccinia Ankara (MVA) as boost both expressing same antigen. Chimpanzee adenovirus (ChadOxl) as prime and modified vaccinia Ankara (MVA) as boost both expressing same antigen.

 ${}^{\mathcal{B}}\!N$ on-replicating influenza virus expressing 2 antigens. g Non-replicating influenza virus expressing 2 antigens.

 \hbar_2 independent gene deletions (phoP and fadD26) in Mtb. 2 independent gene deletions (phoP and fadD26) in Mtb.

 $\mathbf{\dot{f}}$ is
change of urease C by listeriolysin gene in BCG.

Exchange of urease C by listeriolysin gene in BCG.

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