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# Subcortical volumes and cognition in CADASIL – A pilot study

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## **Introduction**

Cerebral Autosomal Dominant Arteriopathy with Subcortical Infarcts and Leukoencephalopathy (CADASIL) is a hereditary small vessel disease that predisposes to strokes, cognitive decline and subsequent vascular dementia [[1](#page-6-0)]. In concomitance with lacunes, cerebral microbleeds and white matter alterations, brain atrophy was described as correlate of cognitive impairment in CADASIL patients [2–[4\]](#page-6-0). Brain size is a common proxy of the brain reserve capacity. The brain reserve essentially represents a protective buffer against stressors acting on the brain [[5](#page-6-0),[6](#page-6-0)]. The brain reserve capacity of CADASIL patients might also be reflected by subcortical volumes. The structural alterations of the vessels may cause shortcomings in energy and oxygen supply and eventually also lead to regional subcortical atrophy.

Research from other fields (e.g., into Alzheimer's disease) suggests that subcortical volumes are playing a substantial role in cognition [[7](#page-6-0), [8](#page-6-0)]. Accordingly, the volume reduction of subcortical structures might also be related to vascular cognitive impairment (VCI) in CADASIL. Although subcortical alterations are probably causing cortical changes [[9](#page-6-0)], there is a lack of studies that systematically analyzed subcortical volumes and their association with cognition in CADASIL. However, several diffusion imaging studies have focused on single subcortical structures indicating an involvement in cognition. In light of the brain reserve theory, diffusion abnormalities might go hand in hand with volumetric changes of the respective structures. Diffusion alterations in the thalamus and the putamen were shown to be present in 20 French CADASIL patients compared to 12 healthy controls [[10\]](#page-6-0). This finding was affirmed by a British study group which again found abnormal

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diffusivity in the thalamus, putamen and pallidum of 18 CADASIL patients compared to 12 healthy controls. Thalamic diffusion metrics were also correlated with executive function [\[11](#page-6-0)]. A study of 242 patients with cerebral small vessel disease unrelated to CADASIL showed smaller volumes of the thalamus and caudate in patients with cognitive impairment defined by the Mini-Mental State Examination (MMSE) and the Montreal Cognitive Assessment (MoCA) [\[12](#page-6-0)].

The objective of this exploratory study was to analyze the impact of subcortical volumes on different aspects of cognition in patients with CADASIL. We hypothesized that smaller subcortical volumes may be associated with worse cognitive performance in patients with CADASIL.

### **Methods**

### *Study design and participants*

This paper has been written in accordance with the 'Strengthening the reporting of observational studies in epidemiology' (STROBE) checklist. The participants were recruited from the Taiwan Associated Genetic and Nongenetic Small Vessel Disease (TAG-SVD) cohort [\[13](#page-6-0)]. The research ethics committee's approval was obtained (NTUH-RE-C:201810003RIND), and informed consent was obtained from the participants or their relatives. The TAG-SVD cohort enrolled patients with clinical and neuroimaging features of CSVD. Clinical features encompassed stroke cognitive impairment, gait disturbance, parkinsonism, headache or a positive family history of hereditary CSVD. Neuroimaging features of CSVD such as lacunae, white matter hyperintensity (WMH), CMBs and enlarged perivascular space (EPVS) were defined according to the standards for reporting vascular changes on neuroimaging [[14\]](#page-7-0). We also report on imaging characteristics according to the recently developed CADA-MRIT inventory tool, specifically designed for reporting imaging features in CADASIL [\[15](#page-7-0)]. All enrolled patients carried known pathogenic *NOTCH3* variants, of those the majority harbored the R544C pathogenic variant.

#### *Cognitive testing*

Every patient underwent a Mini-mental State Examination (MMSE) to evaluate their global cognitive performance. VCI was defined as MMSE score *<*24 [16–[18\]](#page-7-0). For individuals with cognitive complaints, a comprehensive neuropsychological examination was performed by experienced neuropsychologists. Executive function was evaluated using the working memory index subscale from the Wechsler Adult Intelligence Scale–Third Edition (WAIS-III), verbal fluency test, and the Wisconsin Card Sorting test. Processing speed was evaluated using the Processing Speed Index subscale from the WAIS-III. Episodic memory was evaluated using the Logical memory test from the WAIS-III, the Word Sequence Learning test, and the Benton Visual Retention Test (BVRT). 3D block construction test was used to evaluate visuospatial function. Language function was evaluated using visual naming test and the Token 44 test.

### *Imaging acquisition*

All patients and healthy controls were scheduled for a study MRI session. We deployed 3-T MRI scanners (TIM Trio, Siemens) for imaging acquisition. For this analysis we mainly used the data of a 3D T1 magnetization- prepared rapid gradient-echo (MPRAGE) sequence (repetition time 1850–2530 ms; echo time 2.27–4.6 ms; inversion time 720–1100 ms, slice thickness 1 mm).

We further assessed CSVD markers including the number of cerebral microbleeds and lacunes. The entire scanning protocol and visual assessment of CSVD markers of CADASIL patients was published elsewhere [\[13](#page-6-0)].

#### *Imaging processing*

The structural T1-weighted MRI data was processed using Freesurfer (v7.2.0) for MacOS. Freesurfer is a free software which automatically delineates and calculates the volume of subcortical structures ([http:](http://surfer.nmr.mgh.harvard.edu/) [//surfer.nmr.mgh.harvard.edu/](http://surfer.nmr.mgh.harvard.edu/)) [[19\]](#page-7-0).

We manually assessed and rated the quality of the Freesurfer output. We searched for severe anatomical defects such as large intracranial hemorrhages (by MF). A manual correction of Freesurfer's automatically assigned labels was not performed, because it was reported to not have any advantage over the automated segmentation and might hamper reproducibility [\[20\]](#page-7-0).

We extracted the volumes of the following subcortical structures: thalamus, caudate, putamen, pallidum, cerebellar gray and white matter. We additionally created a basal ganglia variable consisting of caudate, putamen and pallidum. At first, we calculated the mean hemispherical volume for each subcortical structure [\[21](#page-7-0)]. Subsequently, the mean hemispherical volumes were expressed as percentage of the estimated intracranial volume [[22](#page-7-0),[23\]](#page-7-0).

The Brain Parenchymal Fraction (BPF) was calculated as quotient out of unnormalized brain volume and intracranial volume. To put the estimates of subcortical volumes into perspective, we also analyzed the volume of the cortical gray matter and the BPF. The cortical gray matter and the BPF were strongly correlated with cognitive function in CADASIL [[2](#page-6-0),[9](#page-6-0)]. Furthermore, WMH was segmented on FLAIR imaging using the Lesion Segmentation Tool toolbox version 3.0.0 ([www.](http://www.statistical-modelling.de/lst.html) statistical- [modelling.de/lst.html\)](http://www.statistical-modelling.de/lst.html) for Statistical Parametric Mapping [[24\]](#page-7-0). The results of WMH volume were expressed in  $\text{cm}^3$ .

#### *Statistical analysis*

The present study was exploratory by design. We refrained from drawing conclusions about statistical significance. However, we relied on conventional assumptions of statistical significance (e.g., p-value *<* 0.05, polarity of CI or CI not including 1) to identify regions of interest. Only adjusted variables were considered for interpretation. Adjustment variables were selected, when they were considered potential confounders, i.e., affect subcortical volumes and cognition alike. We intended to avoid overfitting and limited the number of covariates according to our sample size. The adjustment variables were age, sex, history of stroke, hypertension, diabetes mellitus and the number of cerebral microbleeds and lacunes per region (thalamus, cerebellum, basal ganglia, cortex, global). There was a large number of microbleeds located in subcortical structures in our cohort, potentially affecting the volume of intact gray matter. Cerebral microbleeds were also shown to be associated with cognitive decline [[4](#page-6-0),[25\]](#page-7-0).

To test the associations between regional brain volumes and MMSE score, linear regression was used with MMSE score as the dependent variable. There was a regression model for each subcortical volume, BPF, cortical gray matter, the number of cerebral microbleeds as well as lacunes. We report raw and adjusted estimates. Here, the regression models for the subcortical volumes were adjusted for cerebral microbleeds and lacunes in that respective region (thalamus, basal ganglia and cerebellum). The regression model for the cortical gray matter included the number of cortical microbleeds. We present the regression coefficient beta, 95 % CI and  $R^2$  for each model. To further ascertain the robustness of our results, we additionally calculated a linear regression model only containing the different subcortical volumes (thalamus, cerebellar white matter, cerebellar gray matter, caudate, putamen, pallidum) as independent variables. By doing so, we could account for intercorrelations between the different regions.

We summarized cognitive test results in sum scores for each domain (executive function, memory, processing speed, language and visuospatial perception) using composite z-scores. For each domain, z-scores of cognitive tests were summarized and subsequently divided by the standard deviation of the summarized z-score. The composite z-scores reflecting different domains served as dependent variables in several linear regressions. We firstly performed simple linear regressions, in which each subcortical volume was set as a single independent variable. We then calculated a set of multiple linear regressions containing the adjustment variables. We reported the regression coefficient beta, 95 % CI and  $R^2$  for each model.

To assess the impact of subcortical volumes on VCI, we calculated odds ratio using logistic regressions. The presence of VCI (binary) was set as a dependent variable. Again, we calculated raw and adjusted estimates integrating the adjustment covariates. We report odds ratio and their 95 % CI. To further ascertain the robustness of our results, we additionally calculated a logistic regression model only containing the different subcortical volumes (thalamus, cerebellar white matter, cerebellar gray matter, caudate, putamen, pallidum) as independent variables. By doing so, we could account for intercorrelations between the different regions.

All statistical analyses and data visualizations were carried out using GraphPad Prism (version 10.3.0). Other visualizations were made with BioRender.com

### **Results**

Of the initial 106 patients with *NOTCH3* variants, a total of 90 were eligible for further analysis. The reasons for exclusion were MRI data not compatible with Freesurfer ( $n = 3$ ), motion artefacts ( $n = 2$ ), severe intracranial hemorrhages ( $n = 6$ ), Freesurfer reconstruction failed ( $N =$ 3) and missing MMSE data  $(n = 2;$  $(n = 2;$  $(n = 2;$  Figs. 1, 2).

Genetic sequencing revealed that 83 patients (92.2 %) were carriers of the R544C pathogenic variant. Volumetric data were missing for cerebellar gray matter  $(n = 1)$ , caudate  $(n = 1)$  and the pallidum  $(n = 6)$ .

#### *Associations between brain structures and mini mental state examination*

MMSE data were available for all 90 CADASIL patients. The median

time between the MMSE and the MRI acquisition was 7 days (Q1 to Q3, − 132 to 115).

By running adjusted linear regressions, the following structures could be identified as regions of interest: thalamus (adjusted beta per SD decrement  $-1.41$  [95 % CI  $-2.68 - (-0.14)$ ],  $p = 0.03$ ; R<sup>2</sup>=0.25), caudate (adjusted beta − 1.25 [95 % CI − 2.26 – (− 0.25)], *p* = 0.02;  $R^2$ =0.33), putamen (adjusted beta −1.93 [95 % CI −2.99 – (−0.86)], *p* < 0.001; R<sup>2</sup>=0.36), cortical gray matter (adjusted beta −2.02 [95 % CI  $-3.35 - (-0.68)$ ],  $p = 0.003$ ; R<sup>2</sup>=0.33) and the BPF (adjusted beta −2.04 [95 % CI −3.31 – (−0.76)],  $p = 0.002$ ; R<sup>2</sup>=0.33; [Table](#page-4-0) 1). Aside from volumetric variables, the total number of cerebral lacunes (adjusted beta per SD decrement in the number of cerebral lacunes 1.17 [95 % CI 0.01 – 2.34],  $p = 0.048$ ; R<sup>2</sup>=0.25) was inversely associated with the MMSE score. The volumes of cerebellar white matter and cerebellar gray matter and the pallidum do not appear to be associated with the MMSE scores of CADASIL patients. The model containing the subcortical volumes altogether revealed that only the thalamus was statistically significant (adjusted beta per SD decrement − 1.82 [95 % CI − 3.38 - (− 0.27)] *p* = 0.02; Supplemental Table 1).

### *Associations between brain structures and vascular cognitive impairment*

The volumes of the thalamus, cerebellar white matter, cerebellar gray matter, putamen and cortical gray matter were smaller in CADASIL patients with VCI compared to those without VCI. The BPF was also smaller in patients with VCI. Furthermore, the VCI group had a higher number of lacunes (median 10 vs  $3, p = 0.008$ ) and cerebral microbleeds (median 34 vs  $6$ ,  $p = 0.002$ ) than the non-VCI group (See [Table](#page-4-0) 2). [Table](#page-5-0) 3 lists the patient's imaging characteristics according to the CADA-MRIT inventory.

The adjusted logistic regressions revealed that the volumes of the thalamus (OR per SD-decrement 3.66 [95 % CI 1.38 – 9.72], *p* = 0.009), putamen (OR per SD-decrement 3.06 [95 % CI 1.21 – 7.73], *p* = 0.02), basal ganglia (OR per SD-decrement 2.55 [95 % CI 1.09 – 5.96], *p* =



**Fig. 1.** Flow chart.

Note: The flow chart shows the inclusion process, reasons for exclusion and the final sample size. (CSVD = cerebral small vessel disease; MRI = magnetic resonance imaging; MMSE = Mini-Mental State Examination)

<span id="page-3-0"></span>

### **Fig. 2.** Subcortical volumes of interest.

Note: The schematic overview highlights potential regions of interest for the Mini-Mental State Examination (left) and vascular cognitive impairment (right).

0.03), cortical gray matter (OR per SD-decrement 3.74 [95 % CI 1.47 – 9.54], *p* = 0.006) and the BPF (OR per SD-decrement 4.07 [95 % CI 1.45  $-11.42$ ],  $p = 0.008$ ) are independently associated with VCI in patients with CADASIL [\(Table](#page-5-0) 4  $&$  [Fig.](#page-6-0) 3). When mutually adjusting for the different subcortical volumes, only the thalamus remained statistically significant (OR per SD-decrement 3.18 [95 % CI 1.11 – 11.3], *p* = 0.048; Supplemental Table 2).

#### *Neuropsychological tests*

Of the 90 patients that received a MMSE, 73 underwent an extensive neuropsychological testing. The median time between cognitive testing and MRI time span was 71 days (Q1 to Q3, −40 to 145). For executive function, 54 patients received all the tests which were needed to form a composite z-score. Memory was fully assessed in 56, processing speed in 64, language in 59 and visuospatial perception in 66 patients.

The thalamus ( $R^2 = 0.20$ ) and pallidum ( $R^2 = 0.24$ ) were observed to be positively associated with executive functioning. The tests reflecting processing speed were not related to any of the examined structures. Language abilities were associated with cortical gray matter volume and the BPF ( $R^2$  from 0.32~0.35). The BPF yielded suggestive results related to the cognitive domain of memory. Visuospatial perception in CADASIL patients was associated with the volumes of the thalamus, basal ganglia, cortical gray matter and the BPF ( $R^2$  from 0.24~0.35; Supplemental Table 3).

### **Discussion**

Our study found that various subcortical volumes were associated with MMSE scores in CADASIL patients, including the thalamus, caudate, putamen and pallidum. However, the in-depth examination of cognitive domains showed that only the thalamus, putamen, pallidum and cerebellar gray matter are potentially of interested in future research endeavors. In our cohort, only the subcortical volumes of the thalamus and the putamen were associated with VCI. In contrast, established markers like the cortical gray matter volume and the BPF presented with several associations across all the analyses.

Our results indicate that the thalamus might play a role in the cognition of CADASIL patients. This observation aligns with previous diffusion MRI findings in CADASIL patients [\[10](#page-6-0),[11\]](#page-6-0). It further underscores that micro- and macrostructural abnormalities might be

closely interrelated. The thalamic impact on cognition also receives growing attention  $[26,27]$  $[26,27]$  $[26,27]$ . The thalamus was described to be involved in executive functioning, attention, memory and visual perception [28–[31\]](#page-7-0). By changing cortical representations, thalamic nuclei probably coordinate cognitive function [[32,33\]](#page-7-0). The thalamus was also discussed to be a biomarker in neurodegenerative diseases [\[34](#page-7-0)]. In mild cognitive impairment and Alzheimer's disease the thalamic volume potentially serves as predictor of cognitive decline [\[7,8\]](#page-6-0). Noteworthy, the thalamus represents one of the main sites of cerebral microbleeds in the same Taiwanese CADASIL cohort [\[13](#page-6-0)]. However, in the present study, the association between thalamic volume and cognition was independent of the number of microbleeds.

Alongside the thalamus, diffusion MRI in CADSIL patients has also identified the putamen as region of interest  $[10]$  $[10]$ . We were able to show that findings derived from diffusion imaging might be transferable to volumetric analyses. As with the thalamus, the putamen volume was reported to be strongly reduced in patients with Alzheimer's disease [[7](#page-6-0)]. Furthermore, the volume of the putamen was smaller in patients with cerebral amyloid angiopathy compared to healthy controls [\[21](#page-7-0)]. Whereas there is a growing body of literature revolving around the thalamus in cognition, cognitive implications of putamen functioning are poorly understood. However, a study in older patients with and without cognitive impairment has suggested that the atrophy of the putamen might represent the brain structural link between cognition and gait [\[35](#page-7-0)].

We observed that the volume of the pallidum might be associated with executive function. So far, there is only limited evidence in obese adolescents which is also suggesting a relation between the pallidum and executive function [\[36](#page-7-0)]. In the present study, cerebellar gray matter was identified as potential region of interest in episodic memory. There are previous findings indicating a cerebellar involvement in working memory [[37,38\]](#page-7-0). The cognitive domain of visuospatial perception was associated with cerebellar white matter, cortical gray matter and the BPF. This is in line with the established knowledge about the complex cortical pathways which process visual information [\[39](#page-7-0)]. The cerebellum was also previously described to contribute to visuospatial perception [[38,40](#page-7-0)].

Apart from the putamen and the thalamus, these subcortical volumes were only partly associated with cognition (e.g., only the MMSE) in our cohort. This might indicate that these regions are less reliable as cognitive markers. Aside from this, we must state that conventional

#### <span id="page-4-0"></span>**Table 1**

Subcortical volumes associated with MMSE.



*Note:* The table shows the association of volumes with the Mini-Mental State Examination (MMSE) of  $n = 90$  CADASIL patients. The volumes were calculated as percentage of the estimated total intracranial volume per mean volume of both hemispheres. The volumetric variables were standardized and estimates are for each decrement in standard deviation. Volumetric data were missing for cerebellar gray matter  $(n = 1)$ , caudate  $(n = 1)$  and the pallidum  $(n = 6)$ . Adjustment variables were age, sex, history of stroke, hypertension, diabetes mellitus and the number of cerebral microbleeds and lacunes in the respective subcortical or cortical region.

marker of brain reserve capacity such as the volume of cortical gray matter and the BPF were associated with cognitive outcomes in each analysis. The BPF might also be reflective of deep gray matter to a certain degree, while subcortical atrophy and global atrophy are interdependent. Other imaging markers such as the white matter hyperintensities represent established imaging correlates of cognition [\[41](#page-7-0)]. Previous research has revealed the association between the hippocampal volume and cognitive function in CADASIL [[42\]](#page-7-0), identifying the hippocampus as another imaging biomarker. Furthermore, another study found that the volume of the thalamus and caudate are significantly smaller in patients with cerebral small vessel disease compared to healthy controls [\[12](#page-6-0)]. Both findings suggest that volumetric imaging represents a sensible approach to detect structural abnormalities related to CADASIL. The atrophy of specific brain regions could be attributed to vascular neurodegeneration which describes the impaired structural integrity of cerebral blood vessels. As a secondary factor, neuroinflammation aggravates neurodegenerative processes [[43,44](#page-7-0)]. Our results support the idea that both the thalamus and the putamen play roles in cognitive processes. In line with shared cognitive markers seen in CADASIL, it is possible that the thalamus and putamen contribute to a disease-specific signature in CADASIL.

### **Table 2**

Characteristics between CADASIL patients with and without vascular cognitive impairment (VCI).



*Note:* The table shows characteristics of patients with and without vascular cognitive impairment (VCI). We present total numbers and percentages in parentheses for categorial data. Significance testing of these data was performed by using the chi-square test. Normally distributed continuous variables are listed with mean and standard deviation (SD). Statistically significant differences between groups were determined with *t*-tests. Median and percentiles are given for not normally distributed data. For these cases, we used the Mann-Whitney-U test to test for significant differences. If there are data missing or not applicable, N of patients with available data is shown in grey.

### <span id="page-5-0"></span>**Table 3**

CADA-MRIT inventory across groups.



**Table 3** (*continued* )



Note: The table shows the imaging properties according to the CADA-MRIT. WMH = white matter hyperintensities;  $T2 = T2$ - weighted magnetic resonance imaging; SWI = susceptibility weighted imaging.





*Note:* The table shows the association of volumes with vascular cognitive impairment defined as Mini-Mental State Examination score of 23 or less ( $n =$ 90). The volumes were calculated as percentage of the estimated total intracranial volume per mean volume of both hemispheres. Volumetric data were missing for cerebellar gray matter  $(n = 1)$ , caudate  $(n = 1)$  and the pallidum  $(n = 1)$ 6). The volumetric variables were standardized and odds ratio are for each decrement in standard deviation. Adjustment variables were age, sex, history of stroke, hypertension, diabetes mellitus and the number of microbleeds and microbleeds within the specific region.

#### **Limitation**

Most limitations are related to the nature of an exploratory pilot study. The sample size was not prespecified prior data acquisition and was of rather modest size. This work is indented to be hypothesisgenerating. Therefore, we did not correct for multiple testing. Further studies with larger sample sizes are required. More than 90 % of the included CADASIL patients harbor the R544C pathogenic variant. Although this appears to be in line with observed prevalences in Taiwan [[45,46](#page-7-0)], it may also affect the generalizability of the results. To corroborate our results, we advocate for further replication studies into different populations which are also harboring different pathogenic variants.

The time span between MRI acquisition and the administration of the

<span id="page-6-0"></span>

**Fig. 3.** Subcortical volumes in vascular cognitive impairment.

Note: The forest plot displays odds ratio (dots) with 95 % confidence intervals for each region of interest in vascular cognitive impairment (see [Table](#page-5-0) 3). Adjustment variables were age, sex, history of stroke, hypertension, diabetes mellitus and the number of microbleeds and microbleeds within the specific region.

MMSE and/or the neuropsychological test battery were relatively large for some patients. We cannot rule out that cognitive test scores may have slightly differed if obtained closer to the date of MRI acquisition. However, the large variation might bias the results toward the null. Furthermore, other cognitive test such as the Montreal Cognitive Assessment might be more suitable instruments to detect a VCI. Another limitation concerns the cognitive testing of patients with severe VCI. Due to their severely impaired cognitive abilities (e.g., MMSE *<*15), they were unable to take more complex cognitive tests. Different levels of complexity of the cognitive tests explain the varying sample sizes for the sum scores of different cognitive domains.

### **Conclusion**

Apart from already established markers of cognition in CADASIL such as cortical gray matter volume or the BPF, the volumes of the thalamus and the putamen might also represent neural correlates of cognition in CADASIL patients.

### **CRediT authorship contribution statement**

**Marinus Fislage:** Writing – review & editing, Writing – original draft, Visualization, Validation, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Chih-Hao Chen:** Writing – review & editing, Supervision, Resources, Methodology, Investigation, Data curation, Conceptualization. **Yu-Wen Cheng:** Writing – review & editing, Supervision, Methodology, Investigation, Data curation. **Ya-Fang Chen:** Writing – review & editing, Methodology, Investigation, Data curation. **Sung-Chun Tang:** Writing – review & editing, Supervision, Software, Resources, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization.

### **Declaration of competing interest**

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: Sung-Chun Tang reports financial support was provided by Taiwan Ministry of Science and Technology. Marinus Fislage reports a relationship with German Academic Scholarship Foundation that includes: funding grants. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### **Supplementary materials**

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.cccb.2024.100371](https://doi.org/10.1016/j.cccb.2024.100371).

### **Data availability**

Data that support the current analysis are available upon reasonable request to the corresponding author.

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