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**The First Pilot Epigenetic Type Improvement of Neuropsychiatric Symptoms in a Polymorphic Dopamine D2 (-DRD2/ANKK (Taq1A)), OPRM1 (A/G), DRD3 (C/T), and MAOA (4R) Compromised Preadolescence Male with Putative PANDAS/ CANS: Positive Clinical Outcome with Precision-Guided DNA Testing and Pro-Dopamine Regulation (KB220) and Antibacterial Therapies**

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Conflicts of Interest

K.B. is the inventor of GARS and KB220 variants and is credited with domestic and foreign issued and pending patents. D.H. was a paid consultant. The other authors declare no conflict of interest, financial or otherwise.

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# **Abstract**

Pediatric autoimmune neuropsychiatric disorders associated with or without streptococcal and other bacterial infections (PANDAS/CANS) are emerging as a featured pediatric disorder. Although there is some controversy regarding treatment approaches, especially related to the behavioral sequelae, we have hypothesized in other published work that it is characterized by the rapid onset of Reward Deficiency Syndrome (RDS) in children. We propose utilizing a multi-systems biological approach involving the coupling of genetic addiction risk testing and pro-

dopamine regulation (KB220/POLYGEN<sup>®</sup>) to help induce "dopamine homeostasis" in patients with PANDAS, especially those with known DNA-induced hypodopaminergia. This case study examines a 12-year-old Caucasian male with no prior psychiatric issues who presented with a sudden onset of severe anxiety, depression, emotional liability, and suicidal ideation. The patient underwent genotyping and the genetic addiction risk score (GARS) testing, which revealed risk polymorphisms in the dopamine D2 (-DRD2/ANKK (Taq1A), OPRM1 (A/G), DRD3 (C/T), and MAOA (4R) genes. These polymorphisms have been linked to hypodopaminergia. The patient was subsequently placed on research ID-KB220ZPBMPOLY (POLYGEN®), and albeit the possibility of bias, based upon self and parental assessment, a marked rapid improvement in psychiatric symptoms was observed. In the second phase of treatment (102 days utilizing KB220), the patient received standard antibody testing, which was positive for Lyme. Antibacterial therapy started immediately, and KB220z was discontinued to provide a wash-out period. A monotonic trend analysis was performed on each outcome measure, and a consistently decreasing trend was observed utilizing antibacterial therapy. Our recommendation, albeit only one case, is to utilize and further research a combined therapeutic approach, involving precision-guided DNA testing and pro-dopamine regulation along with antibacterial therapy, as well as glutathione to address offensive enhanced cytokines, in patients with suspected PANDAS/CANS.

## **Keywords**

PANDAS; CANS; Genetic Addiction Risk Testing (GARS); Pro-Dopamine Regulation; Hypodopaminergia; Polymorphisms; Antibacterial Therapy; Infections

# **1. Introduction**

Pediatric autoimmune neuropsychiatric disorders associated with streptococcal infections, Lyme, and other bacterial infections (PANDAS) are emerging as a featured pediatric disorder whereby a subset of children, especially in preadolescence, have a rapid onset of Reward Deficiency Syndrome (RDS) [1] [2], which includes obsessive-compulsive disorder (OCD), anxiety, depression, avoidant behaviors, and/or tic disorders. Additionally, learning regression, deterioration in handwriting, night-time fears, emotional lability, and separation anxiety have been documented in affected children. Dopamine function appears to play a significant role in RDS. However, dopamine is just one of several critical neurotransmitters in the mesolimbic/prefrontal cortex and brainstem, each playing an important role in managing behavior [3]. In unpublished work from Dr. Chris Turnpaugh's, Health & Wellness Center (Mechanicsburg, PA) and others, certain foods like mint could affect both d1 and d2 receptor integrity.

These RDS and other mood behaviors may arise from group A beta-hemolytic streptococcal (GABHS) infections [1] [2]. Lyme and Borrelia, as well as other GABHS infections, can induce an autoimmune reaction and concomitant antibodies that interfere with normal brain function, specifically targeting mesolimbic dopaminergic loci [2]. Previously, our group suggested that Lyme disease's principal vector in the United States is Ixodes scapularis (deer or black-legged ticks) and that those infected may present depression and anxiety, possibly due to hypodopaminergia [4]. Transcripts coding for two putative cytosolic sulfotransferases

were also identified in this study, which demonstrated that these ticks recognized phenolic monoamines as their substrates. Specifically, sulfotransferase activity was found in later recombinant proteins against the neurotransmitters dopamine and octopamine. Furthermore, it was demonstrated in the salivary glands of Ixodid ticks that the activation of Ixosc Sult 1 and Sult 2 may cause the inactivation of the salivation signal through the sulfonation of either octopamine or dopamine. This, by itself, can cause RDS behaviors.

PANDAS is a subset of pediatric acute neuropsychiatric symptom (PANS). The current diagnosis of PANDAS and the hypothesized infection pathology is controversial [5]-[10]. Singer [10] [11] considered PANS to have numerous unknown causes, one of which might include hypodopaminergia [11]. The treatment of PANDAS remains disputed, especially since there have been limited efficacy studies to date [12]. Previously, we proposed an innovative potential treatment for PANDAS based on previous clinical trials using a prodopamine regulator known as KB220 variants. Our ongoing research suggests that achieving "dopamine homeostasis" by precision-guided DNA testing and pro-dopamine modulation could result in improved therapeutic outcomes [12].

It is indeed well known that PANDAS can result in a neuroinflammatory response influencing the dysfunction of TH1 and TH2 lymphocytes T cells. Importantly, these T cells are key mediators of adaptive immunity. Briefly, the specificity of the T cells response is related to the antigen-specific receptors they express, the **TCR**s (T Cell Receptor). It should be pointed out that, like immunoglobulins expressed by B cells, TCRs are somatically generated, and their diversity is obtained by random rearrangements of their gene segments. Moreover, T cells only recognize antigens once these have been processed into peptides and exposed onto the surface of the target cell, in an antigen presentation structure known as the **Major Histocompatibility Complex** (MHC). In fact, it is well known that the MHC-antigen complex binding to the TCR is the first mandatory step for T cell activation. Furthermore, the crucial role of Th1 and Th2 in the immune response, the mechanisms leading to the priming of naïve CD4+ T cells into Th1 or Th2, it is clear that the cytokine microenvironment is fundamental in the process, as well as the strength of the stimulatory signals from the APC  $(i.e.,$  the affinity of TCR for the peptide ligand). Understanding this basic information provides the rationale whereby PANDAS and associated infection induce a disruption of this important physiological elemental construct [13]. While our study did not address this important issue, we are compelled to at least realize that in conjunction with our approach as described herein to offer potential assistance linked to the RDS type of behaviors, neuroinflammation must also be treated. For example, clinicians have employed a number of natural ingredients such as glutathione, perilla, cumin, luteolin, fisetin, and others known to reduce neuroinflammatory processes [14].

The present case report supports our hypothesis, serving as a starting rationale for conducting larger randomized-control studies on this topic.

## **2. Materials and Methods**

#### **Sequence of Events:**

The subject was a 12-year-old Caucasian male with no previous psychiatric episodes. On 7/20/2020, the patient tested for Genetic Addiction Risk Severity (GARS). GARS was initially conducted because MP (the mother), taking note of many unwanted behaviors (including suicidal ideation), knew of KB's work in the area of RDS. The GARS results identified DNA polymorphisms associated with low dopamine function. While this test has been validated to capture polymorphisms of reward genes (see 17), the test has not as yet received approval from the FDA. The KB220 product, with customized ingredients matching the measured polymorphisms reflected in GARS results, was subsequently administered to induce "dopamine homeostasis" (KB220ZPBMPOLY [POLYGEN®]). The patient started the KB220z within 30 days of testing (8/18/2020).

A physician suspected PANDAS/CANS following a visit on 2/18/2021. The pediatrician confirmed the diagnosis with antibody titers for Strep, Lyme, and Borrelia (Table 1, Figure 1 & Figure S1). Prior to this, neither MP nor KB considered PANDAS to be the reason for the rapid onset of RDS behaviors. The pro-dopamine regulator was administered for *seven months* prior to involving a pediatric PANDAS/CANS specialist. The patient was subsequently (2/19/21) placed on anti-bacterial medication, stopping the pro-dopamine regulator to ensure a reasonable wash-out period. This was done because the attending physician wanted to see the results of the antibacterial treatment alone. This sequence of events, which is unorthodox in terms of traditional patient care, is summarized in Figure 2.

#### **Genetic Testing:**

In this case, study protocols were reviewed and approved by the PATH Foundation (NY) Institutional Review Boards (IRB). The genotyping data complied with accepted Genetic Information Non-Discrimination Act (GINA) and Health Insurance Portability and Accountability Act (HIPAA) practices, which are mandated by law to protect patient privacy. Written and informed consent was provided and approved by the primary participants.

The biotechnical methods used to identify GARS risk alleles have been previously published in detail [15]. Cheek swabs were obtained from the patient and taken to Genus Health (San Antonio, Texas) for further processing. PCR amplification was used to isolate DNA, which was then analyzed for polymorphisms in the following genes: DRD1, DRD2, DRD3, DRD4, OPRM1, COMT, DAT1, DRD4-R, GABRB3, HTTLPR, and MAOA. An index of the genes incorporated in the GARS assay and the specific risk polymorphisms are supplied in Tables  $2(a)-(c)$ .

KB220Z: The neuro-nutrients classified as KB220ZPAM/PBM have been genetically formulated to provide maximum results based on GARS results (Table 3). The six different formulations were scientifically developed based on a person's genetic risk variants. Based on the patient's GARS results, we used KB220ZPBMPOLY (POLYGEN), a genetically based formula created to provide precision supplementation to overcome dopamine, serotonin, endorphin, and GABA deficits. These deficits are the result of multiple systems and integrative polymorphic genes affecting the Brain Reward Cascade (BRC) and causing

impairments across the BRC. This leads to low dopamine function, which in turn causes reward deficiency or an overall lack of well-being [16].

Putative Diagnostic Basis of PANDAS: Throat swabs from the patient underwent a rapid antigen detection test for Group A Streptococci, Lyme, and Borrelia, performed in accordance with the manufacturer's instructions. Samples were placed into a tube with a standard reagent solution and mixed forcefully in order to extract the specimen into the suspended solution. After mixing and then subsequently letting the solution stand for two minutes, the swab was removed and discarded. The absorbent end of a test stick was then placed into the tube with the extracted specimen. Results were read after 5 minutes. The results were considered positive if a test line and control line appeared on the test stick and negative if only the control line appeared. A positive result indicated that the assay had detected Group A Streptococcus antigen within the extracted specimen. A negative result indicated that there was no Group A Streptococcus antigen within the extracted specimen.

Based on the positive diagnostic workup by the attending physician utilizing standard antibody testing, the presence of antibodies to Streptococcus, and Lyme but not Borrelia were found. The patient was placed on the following antibacterial medications: Amoxicillin-Clavulanic acid,  $875 - 125$  mg (Augmentin); Sulfamethoxazole-trimethoprim  $800 - 160$  mg (Bactrim); Naproxen 500 mg (Naproxen); Doxycycline Hyclate, 100 mg; Cefdinir, 300 mg. (All BID, generally tolerated, some stomach issues presented).

#### **Statistical Analysis**

A monotonic trend analysis was performed on each outcome measure (in Likert scales), showing a consistently decreasing trend when compared to the baseline measurement  $(p < 0.05)$ . The data was tabulated by the mother (MP) as self-reported by the patient (AP) and further verified by the father. Because of potential bias, all three had to agree with the data entry. The patient's tabulated behavioral response, including behavioral symptoms (pain, suicide ideation, focus, etc.) and psychiatric syndromes (agoraphobic, body-dysmorphic, etc.) have been graphed in Figure 3(a) (pro-dopamine regulation) and Figure 3(b) (antibacterial). While a psychiatrist was not involved in these entries, we feel confident that the described responses are correct.

# **3. Results**

GARS test results revealed four heterozygous polymorphic risk alleles: DRD2/ ANKK (Taq1A), OPRM1 (A/G), DRD3 (C/T), and MAOA (4R), revealing hypo-dopaminergia. Based on these results, the patient was immediately placed on KB220ZPBMPOLY. The patient's tabulated behavioral results are found in Figure 3 and Table S1. Based on the data in Figure 3, all features were found to decrease over time when compared to the baseline measurements, except for pain (no change was observed). There was very rapid relief of many symptoms in the patient from day one, and that was maintained for 102 days. There was no significant trend due to this surprising rapid relief finding.

In the second (antibacterial) phase of treatment, all features decreased in scale. Suicide Ideation, OCD, and Focus were insignificant ( $p > 0.14$ ). Depression ( $p = 0.04$ ), Anxiety ( $p =$ 

0.04), and Pain ( $p = 0.022$ ) significantly decreased over time. Mania ( $p = 0.08$ ), Sydenham's Chorea ( $p = 0.06$ ), Acrophobia ( $p = 0.1$ ), Insomnia ( $p = 0.1$ ), Body Dysmorphic Disorder  $(p = 0.06)$ , Lethargy  $(p = 0.1)$ , and PTSD  $(p = 0.1)$  marginally decreased, with significant decreases found in the first five days; Mania ( $p = 0.039$ ), Sydenham's Chorea ( $p = 0.034$ ), Acrophobic ( $p = 0.048$ ), Insomnia ( $p = 0.048$ ), Body Dysmorphic Disorder ( $p = 0.034$ ), Lethargic ( $p = 0.048$ ), and PTSD ( $p = 0.048$ ) (Figure 3 and Table S2).

Abnormal result values in Table 1 are bolded. Results were positive for DNase antibody, Mycoplasma pneumoniae antibody IgG and IgM, EBV viral capsid AG, and EBV nuclear AG. Additionally, results revealed a vitamin D insufficiency and herpesvirus 6 antibody IgG, suggesting previous infection. While the titer of Strep at < 50 IUm appeared to be related to an earlier infection rather than active, the pediatrician diagnosed the patient's condition as PANDAS. A comprehensive list of blood/antibody testing is found in Figure S1.

# **4. Discussion**

This case study is the first to test GARS with precision matched KB220 pro-dopamine regulation as a frontline treatment of PANDAS/CANS in a hypodopaminergic comprised adolescent with polymorphic dopamine D2 (-DRD2/ANKK (Taq1A)), OPRM1 (A/G), DRD3 (C/T), and MAOA (4R) risk alleles. These polymorphic risk alleles impact many RDS behaviors [17] presently observed in this patient. The innovative GARS system can successfully stratify the risk for developing RDS, which can be helpful for individuals in recovery or, in the present case, for those with full-blown RDS behaviors [15] [17].

Extreme RDS-like behaviors can co-occur with Strep and Lyme infection, especially in young people with DNA-induced hypodopaminergia [18]. Furthermore, there is limited treatment and unbiased research available for PANDAS and CANS [19]. Antibacterial therapy for PANDAS has yielded some success, yet there is no such treatment for CANS since it is not the result of bacterial insult. Moreover, antibacterial therapies only provide a modest relief of symptoms over time. In spite of the diagnosis of PANDAS by the attending pediatrician and the positive response to antibacterial therapy, it is indeed possible that this patient's case could be classified as CANS. Since the titer for Streptococcal was below the active infection level, while Lyme was at an active titer level, while not certain, the pediatrician's diagnosis seems prudent.

Indeed, another caveat relates to the use and even further development of the GARS as a novel methodology to deliver customized or even semi-customized KB220. While it is true that there are common variants in the general population, it is also true that those who carry RDS have a significantly higher prevalence of at least eight out of ten variants, as measured by GARS [20]. DNA customization is important with the use of KB220, and most people with RDS (87%) require the Polygen formula of KB220 described above.

Nevertheless, if our findings hold up with the required double-blinded studies and an increased number of cases, certainly in terms of clinical practice, a quicker relief that could be maintained early on (dopamine homeostasis [21]) seems most prudent. Our recommendation, as such, is to utilize a combined therapeutic approach. The frontline

utilization of GARS coupled with precision pro-dopamine KB220 variants following antibody diagnosis of bacterial infection (Strep/Lyme) may become a real futuristic option following more investigation. It should also be coupled with products containing glutathione, perilla, cumin, luteolin, fisetin, and others known to reduce neuroinflammatory processes.

In summary, treatment of neuropsychiatric symptoms with precision-guided DNA testing and pro-dopamine regulation in a genetically hypodopaminergic preadolescent male with putative PANDAS/CANS yielded a positive clinical outcome, suggesting epigenetic repair. It is noteworthy that one of us (DE) found similar benefits in treating teens diagnosed with PANDAS with precision KB220 variants, which will be the subject of a subsequent follow-up article [22]-[32].

# **5. Limitations**

This study is limited by sample size and a non-conventional treatment approach. A more extensive controlled study is required to confirm the significance of these findings. An inherent bias in the self-reported data by the three-way agreement of the family members must be considered. Furthermore, the diagnosis of PANDAS needs to be questioned since the streptococcal titer was below the required active level in spite of a positive titer for Lyme. It is also possible that the time differential between first utilizing the KB220 variant and the putative diagnosis of PANDAS, was reflected by the presence of antibodies to previous streptococcal infection, which might explain the improvement with antibacterial therapy (see results). One might also consider the possibility of testing plasma dopamine levels pre and post treatment especially with the Pro-dopamine regulation regime as administered in this case. However, it is difficult to distinguish peripheral vs brain dopamine in plasma levels. The most reliable way to test brain dopamine levels is via intrathecal testing [33]. This latter methodology is very difficult, especially for our youth.

We suggest herein that in the future, larger population RTC's should utilize GARS testing coupled with mRNA profiling, as we published previously [34]. We are also cognizant of racial genetic polymorphic differences, and this must also be taken into consideration [35]. Interestingly, even if we can't ensure a clear diagnosis of PANDAS perse, a diagnosis of CANS seems parsimonious. We incorporated the term "epigenetic" to infer environmentally induced manipulation of the core neurotransmitter signaling, but in the present study, we have not actually shown any effect on histone post-translational alteration in terms of methylation conversion to acetylation, the subject of another experiment.

The GARS test, in general, assesses the possibility of benefit from medication-assisted treatment with dopamine amplification. RDS methodology possesses a strong promise for diminishing the burden of hypodopaminergia by guiding the restoration of dopamine homeostasis, which could also affect any circadian rhythm insults [36]-[38].

While these initial results are encouraging, of course, more research is required, especially double-blinded placebo-controlled studies comparing antibacterial therapy alone, KB220

Moreover, in the method section, we specified that a p-value of less than 5% was considered statistically significant for each test. However, regarding the FWER (family-wise error rate) control, we have not applied the Bonferroni correction since it is known as unsuitable for pilot/exploratory studies, which are intended to generate hypotheses and not confirm them.

# **6. Conclusion**

Our recommendation, albeit possibly biased, is to utilize and further research a combined therapeutic approach, involving precision-guided DNA testing, prodopamine regulation along with antibacterial therapy, and anti-neuroinflammation agents in patients with suspected PANDAS/CANS.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Limitation: Bands 31\* and 34\* kDa are present in Lyme vaccinated patients. Viral antibodies cross

react with antigens present at band positions 31\* and 83-93\* kDa.

#### **Figure 1.**

The results and interpretation of the patient's Lyme Western Blot IgG. Results indicated that the patient was positive for Lyme, according to the IGX criteria. For a more comprehensive list of blood/antibody testing performed, please refer to Figure S1.



**Figure 2.** 

Flow chart summarizing the treatment events for the patient.

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### **Figure 3.**

(a) shows the pro-dopamine regulation pre- and post-behavioral symptoms. (b) shows the antibacterial pre- and postbehavioral symptoms. (c) shows the first seven consecutive days for the pro-dopamine regulation pre- and post-behavioral symptoms. (d) shows the first seven consecutive days for the antibacterial pre- and post-behavioral symptoms.

## **Table 1.**

Pertinent blood testing/antibody titer results.



## **Table 2.**

(a) single nucleotide polymorphisms (SNPs); (b) simple sequence of repeats (variable number tandem repeats and insertion/deletions); (c) dinucleotide repeats.



## **Table 3.**

GARS repeats primer details.

