

## Original Article



# Prevalence and prognostic value of PD-L1 expression and tumor mutational burden in persistent, recurrent, or metastatic cervical cancer

Min-Hyun Baek ,<sup>1,\*</sup> Lei Chen ,<sup>2</sup> Cumhuri Tekin ,<sup>2</sup> Razvan Cristescu ,<sup>2</sup>  
Xiao Yang Jin ,<sup>2</sup> Changxia Shao ,<sup>2</sup> Soo Yeon Ihm ,<sup>3</sup> Petar Jelinic ,<sup>2</sup>  
Jeong-Yeol Park <sup>4</sup>

<sup>1</sup>Center for Gynecologic Cancer, Research Institute and Hospital, National Cancer Center, Goyang, Korea

<sup>2</sup>Merck & Co., Inc., Rahway, NJ, USA

<sup>3</sup>MSD Korea, Seoul, Korea

<sup>4</sup>Department of Obstetrics and Gynecology, Asan Medical Center, University of Ulsan College of Medicine, Seoul, Korea

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### Correspondence to

Jeong-Yeol Park

Department of Obstetrics and Gynecology,  
Asan Medical Center, University of Ulsan  
College of Medicine, 88 Olympic-ro 43-gil,  
Songpa-gu, Seoul 05505, Korea.  
Email: catgut1-0@hanmail.net

\*Current affiliation: Department of Obstetrics  
and Gynecology, School of Medicine, Kangwon  
National University, Chuncheon, Korea

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cited.

### ORCID iDs

Min-Hyun Baek

<https://orcid.org/0000-0003-0423-9038>

Lei Chen

<https://orcid.org/0000-0001-5126-6667>

## ABSTRACT


**Objective:** To evaluate the prevalence and prognostic role of programmed death ligand 1 (PD-L1) expression and tumor mutational burden (TMB) in patients with non-immunotherapy-treated advanced cervical cancer.

**Methods:** Clinical data were retrospectively collected from medical records between January 1, 2008, and December 31, 2016, at Asan Medical Center (Korea); archived tumor samples were assessed for PD-L1 expression (combined positive score [CPS]  $\geq 1$ ) and TMB ( $\geq 175$  mutations/exome). Overall survival (OS) was defined as time from advanced diagnosis or initiation of first-line or second-line systemic therapy until death/last follow-up. The association of OS with PD-L1 expression and TMB were analyzed using the log-rank test and Cox proportional hazards model adjusted for covariates.

**Results:** Of 267 patients, 76.0% had squamous cell carcinoma (SCC), 24.0% had adenocarcinoma (AC)/adenosquamous carcinoma (ASC), 64.4% had PD-L1 CPS  $\geq 1$ , and 32.6% had TMB  $\geq 175$  mutations/exome. PD-L1 CPS  $\geq 1$  and TMB  $\geq 175$  mutations/exome were more prevalent in SCC than in AC/ASC (73.9% and 37.2% vs. 34.4% and 17.7%). There was no association between OS and PD-L1 expression (CPS  $\geq 1$  vs.  $< 1$ : adjusted hazard ratio [HR]=1.14; 95% confidence interval [CI]=0.84–1.53 from advanced diagnosis); OS trended shorter for the subgroup with TMB  $\geq 175$  versus  $< 175$  mutations/exome (adjusted HR=1.29; 95% CI=0.95–1.75).

**Conclusion:** Retrospective analysis of non-immunotherapy-treated patients with advanced cervical cancer demonstrated a higher prevalence of PD-L1 CPS  $\geq 1$  and TMB  $\geq 175$  mutations/exome in SCC versus AC/ASC. PD-L1 CPS  $\geq 1$  was not associated with OS; TMB  $\geq 175$  mutations/exome showed a trend toward shorter OS. Additional studies are needed to confirm these findings.

**Keywords:** Cervical Cancer; PD-L1 Protein

Cumhuri Tekin 
<https://orcid.org/0009-0003-9579-2935>

 Razvan Cristescu 
<https://orcid.org/0000-0002-9978-0339>

 Xiao Yang Jin 
<https://orcid.org/0000-0002-8973-9949>

 Changxia Shao 
<https://orcid.org/0000-0003-2091-7599>

 Soo Yeon Ihm 
<https://orcid.org/0000-0002-4791-9757>

 Petar Jelinic 
<https://orcid.org/0009-0008-8554-6286>

 Jeong-Yeol Park 
<https://orcid.org/0000-0003-2475-7123>

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### Conflict of Interest

J-YP and M-HB have nothing to disclose. LC, CS, and PJ are employees of Merck Sharp & Dohme LLC, a subsidiary of Merck & Co., Inc., Rahway, NJ, USA, and own stock in Merck & Co., Inc., Rahway, NJ, USA. CT and XYJ are employees of Merck Sharp & Dohme LLC, a subsidiary of Merck & Co., Inc., Rahway, NJ, USA. RC is an employee of Merck Sharp & Dohme LLC, a subsidiary of Merck & Co., Inc., Rahway, NJ, USA, owns stock in Merck & Co., Inc., Rahway, NJ, USA, and has two pending patents (Angiogenesis and mMDSC gene expression-based biomarker of tumor response to PD-1 antagonists; patent WO 2020/167619). SI is an employee of MSD Korea (employment [JCAP asso RDMA]), registered pharmacist (Korea), and stockholder of Merck & Co., Inc., Rahway, NJ, USA.

### Data Availability Statement

Merck Sharp & Dohme LLC, a subsidiary of Merck & Co., Inc., Rahway, NJ, USA (MSD), is committed to providing qualified scientific researchers access to anonymized data and clinical study reports from the company's clinical trials for the purpose of conducting legitimate scientific research. MSD is also

## Synopsis

Programmed death ligand 1 (PD-L1) expression and tumor mutational burden (TMB) were evaluated in non-immunotherapy-treated patients with advanced cervical cancer. Overall, 64.4% were PD-L1 positive (combined positive score [CPS]  $\geq 1$ ) and 32.6% had TMB  $\geq 175$  mutations/exome. PD-L1 CPS  $\geq 1$  was not associated with overall survival (OS). TMB  $\geq 175$  mutations/exome showed a trend toward shorter OS in patients with advanced cervical cancer.

## INTRODUCTION

Cervical cancer is a leading cause of cancer-related mortality in women worldwide [1]. Chemotherapy with or without the anti-vascular endothelial growth factor monoclonal antibody bevacizumab has been the standard-of-care for patients with recurrent or metastatic cervical cancer for several years [2]. Recently, the programmed cell death protein 1 (PD-1) inhibitor pembrolizumab in combination with chemotherapy with or without bevacizumab showed efficacy benefit as first-line treatment in the all-comer population of the phase 3 KEYNOTE-826 trial in patients with persistent, recurrent, or metastatic cervical cancer; the efficacy benefit was also observed in the programmed death ligand 1 (PD-L1)-positive population (combined positive score [CPS]  $\geq 1$ ) [3].

Human papillomavirus (HPV) infection, the cause of most cervical cancers [4], can activate the PD-L1 pathway, resulting in upregulated expression of PD-L1 in malignant squamous cells of the cervix [5]. PD-L1 expression is an adaptive mechanism of immune escape by cervical carcinomas and such tumors are associated with a poor prognosis [6,7]. At present, limited data have been reported on the prevalence and prognostic role of PD-L1 expression in patients with advanced cervical cancer. The prevalence of PD-L1-positivity (CPS  $\geq 1$ ) in patients with advanced cervical cancer was 83.7% in the phase 2 KEYNOTE-158 trial [8] and 88.8% (including 51.4% with PD-L1 CPS  $\geq 10$ ) in the phase 3 KEYNOTE-826 trial [3]. Among patients with locally advanced cervical cancer treated with radical chemoradiotherapy (95.6% of patient samples expressed PD-L1 [tumor proportion score  $>0\%$ ] and 87.9% had a PD-L1 tumor proportion score  $\geq 1\%$ ), the expression of PD-L1 was not associated with progression-free survival (PFS) or overall survival (OS) [9].

HPV-induced master regulators may also play crucial roles in relation to mutation and neoantigen load as well as the immune microenvironment of cervical tumors [10]. Tumor mutations may generate a large proportion of immunogenic neoantigens that may be associated with response to immune checkpoint inhibitors [11]. Tumor mutational burden (TMB; the total number of somatic nonsynonymous mutations per coding area of a tumor genome), is an emerging prognostic biomarker in advanced tumors [12-14]. A computational study showed that patients with TMB-high ( $\geq$  median) cervical squamous cell carcinoma (SCC) may have a favorable prognosis [15]. In the multi-cohort KEYNOTE-158 study, which included patients with cervical cancer, patients with TMB  $\geq 175$  mutations/exome (concordant with  $\geq 10$  mut/Mb via FoundationOne<sup>®</sup> CDx [16]) were identified as a possible subgroup with a robust tumor response to pembrolizumab [17].

Although immunotherapy-based combination therapy is an effective treatment option for advanced cervical cancer, especially when PD-L1 expression and TMB status are known, the independent prognostic value of PD-L1 expression and TMB status in patients with advanced cervical cancer not previously treated with immunotherapy is unclear. There is a

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#### Author Contributors

Conceptualization: B.M.H., S.C., I.S.Y., J.P., P.J.Y.; Data curation: B.M.H., C.L., S.C., I.S.Y., J.P., P.J.Y.; Formal analysis: C.L., C.R., J.X.Y., J.P., P.J.Y.; Investigation: B.M.H., J.P.; Validation: C.L., T.C., C.R., J.X.Y., S.C., J.P., P.J.Y.; Writing - original draft: B.M.H., C.L., I.S.Y., J.P., P.J.Y.; Writing - review & editing: B.M.H., C.L., T.C., C.R., J.X.Y., S.C., J.P., P.J.Y.

need to assess the extent to which PD-L1 and TMB influence prognosis without the potential confounding effects of immunotherapy. In this retrospective study, we evaluated the real-world prevalence and prognostic value of PD-L1 expression and TMB status in patients with advanced (persistent, recurrent, or metastatic) cervical cancer that had not been treated with immunotherapy at any point in their treatment course.

## MATERIALS AND METHODS

### 1. Study design and patients

This was a retrospective, noninterventional study conducted at Asan Medical Center, Korea. Eligibility criteria included age  $\geq 18$  years on the date of diagnosis with advanced (persistent [disease that did not respond to prior treatment], recurrent [disease that initially responded to treatment but returned or progressed], or metastatic [disease that had spread at the time of diagnosis]) cervical cancer; histological subtype of SCC, adenocarcinoma (AC), or adenosquamous carcinoma (ASC); a diagnosis given between January 1, 2008, and December 31, 2016; and sufficient formalin-fixed paraffin-embedded tumor tissue to produce  $\geq 11$  slides. Patients with locally advanced cervical tumors were included if they met the persistent, recurrent, or metastatic disease criteria. Patients with other primary malignant tumor types  $< 1$  year prior to diagnosis of advanced cervical cancer; lack of available data for OS analysis; who were treated before or during follow-up with a PD-1/PD-L1 inhibitor (none of which were regulatory approved in Korea during the study period and, thus, were not considered standard-of-care); and were participating in an ongoing clinical trial were excluded. The retrieval of data, medical charts, and tissue samples was approved by the Institutional Review Board of Asan Medical Center (approval number: 2018-1573).

### 2. Outcomes and assessments

The objectives of this study were to characterize the tumor PD-L1 expression and TMB status, as well as each biomarker's clinical impact (OS) in patients with advanced cervical cancer overall and by other key demographic, clinicopathologic, and key treatment parameters. The association of PD-L1 and TMB with real-world progression-free survival (rwPFS) and the association of biomarker prevalence with OS in different subgroups were evaluated.

Demographic information, treatment history, and disease outcomes were collected from electronic medical records. OS was defined as the time from the date of diagnosis with advanced disease to death or loss to follow-up. Additionally, OS from first-line and second-line systemic therapy initiation (where applicable) to death or loss to follow-up were also calculated. Patients without documented death at the time of the last follow-up were censored.

PFS was calculated from first-line and second-line systemic therapy initiation (where applicable). Patients without documented disease progression or death at the time of the last follow-up were censored.

Biomarker testing of archived tumor samples was performed at a central laboratory (NeoGenomics, Fort Myers, FL, USA). PD-L1 expression by immunohistochemistry was assessed using PD-L1 IHC 22C3 pharmDx (Agilent Technologies, Inc., Carpinteria, CA, USA) and scored using CPS (defined as the number of PD-L1-staining cells [tumor cells, lymphocytes, and macrophages] divided by total number of viable tumor cells evaluated, multiplied by 100). PD-L1 positivity was defined as CPS  $\geq 1$ .

TMB was assessed by whole exome sequencing (WES) using SureSelect XT All Exon Target Enrichment System (Agilent Technologies, Inc.). The methods for TMB analysis via WES have been previously published [14]. TMB was defined as the number of somatic nonsynonymous single nucleotide variants and indels that met predetermined criteria as previously described [14,18].

TMB was assessed using a cutoff of 175 mutations/exome ( $\geq 175$  vs.  $< 175$  mutations/exome; concordant with 10 mut/Mb via FoundationOne<sup>®</sup> CDx [16]). The prognostic effects of PD-L1 and TMB were assessed separately as dichotomized variables without adjusting for the other.

### 3. Statistical analyses

Baseline demographic, clinical, and pathological characteristics were compared according to biomarker expression level (PD-L1 CPS  $\geq 1$  vs.  $< 1$  and TMB  $\geq 175$  vs.  $< 175$  mutations/exome) using a  $\chi^2$  test. The association between PD-L1 and TMB was assessed using a  $\chi^2$  test. The association between each clinical outcome and each biomarker was analyzed using Kaplan-Meier curves, log-rank test, and multivariate Cox proportional hazard models adjusted for covariates of interest.

## RESULTS

### 1. Patients

Between January 1, 2008, and December 31, 2016, a total of 1,006 adult patients with advanced cervical cancer were retrospectively identified and screened for eligibility (**Fig. S1**). Samples from 280 eligible patients were identified and collected. After the exclusion of duplications (n=3) and non-evaluable samples (n=10), samples from 267 patients were included in this analysis. The median age at the time of advanced cervical cancer diagnosis was 47 years. Most patients had SCC (76.0%), early-stage (I/II) disease at initial diagnosis (77.5%), and recurrent disease (80.9%) (**Table 1**). There were 224 patients (83.9%) who had received first-line therapy and 169 patients (75.4%) who had received second-line therapy. The most common first-line treatments were carboplatin/paclitaxel (62.2%), cisplatin/paclitaxel/bevacizumab (16.7%), and cisplatin/paclitaxel (12.6%). Median follow-up from advanced cervical cancer diagnosis was 20 months (range, 17–25).

### 2. Biomarker prevalence and correlation

The prevalence of biomarkers by disease characteristics and prior treatment is shown in **Table 1**. All 267 patients had samples evaluable for PD-L1 expression; 172 patients (64.4%) had PD-L1 CPS  $\geq 1$ , the prevalence of which was higher in patients with SCC compared with AC/ASC (73.9% vs. 34.4%). There were 258 patients who had samples evaluable for TMB status; 84 patients (32.6%) had TMB  $\geq 175$  mutations/exome, the prevalence of which was higher in patients with metastatic disease compared with recurrent disease (52.9% vs. 28.8%) and in patients with SCC compared with AC/ASC (37.2% vs. 17.7%). PD-L1 CPS  $\geq 1$  and TMB  $\geq 175$  mutations/exome were not correlated ( $p=0.588$ ).

### 3. OS

For OS from advanced cervical cancer diagnosis, 130 of 172 patients (75.6%) in the PD-L1 CPS  $\geq 1$  subgroup and 71 of 95 patients (74.7%) in the PD-L1 CPS  $< 1$  subgroup had died; the median (95% confidence interval [CI]) OS was 19.0 months (16.0–25.0) versus 22.0 months (16.0–28.0), respectively (adjusted hazard ratio [HR]=1.14; 95% CI=0.84–1.53) (**Fig. 1A**).

**PD-L1 expression and TMB in cervical cancer**
**Table 1.** Prevalence of PD-L1 expression and TMB by disease characteristics and prior treatment

Variables	All patients	PD-L1 expression			TMB status*		p-value†
		CPS ≥1	CPS <1	p-value†	≥175 mutations/ exome	<175 mutations/ exome	
Overall	267 (100.0)	172 (64.4)	95 (35.6)	Not applicable	84 (32.6)	174 (67.4)	Not applicable
Histology				<b>&lt;0.001</b>			<b>0.004</b>
SCC	203 (76.0)	150 (73.9)	53 (26.1)		73 (37.2)	123 (62.8)	
AC/ASC	64 (24.0)	22 (34.4)	42 (65.6)		11 (17.7)	51 (82.3)	
Stage at initial cervical cancer diagnosis‡				0.106			<b>0.007</b>
Stages I and II	207 (77.5)	137 (66.2)	70 (33.8)		56 (28.3)	142 (71.7)	
Stages III and IV	52 (19.5)	32 (61.5)	20 (38.5)		25 (48.1)	27 (51.9)	
Stage at tissue collection§				0.259			0.045
Stages I and II	141 (52.8)	97 (68.8)	44 (31.2)		35 (25.9)	100 (74.1)	
Stages III and IV	46 (17.2)	30 (65.2)	16 (34.8)		21 (45.7)	25 (54.3)	
Other¶	78 (29.2)	44 (56.4)	34 (43.6)		27 (36.0)	48 (64.0)	
Age at advanced cervical cancer diagnosis				0.054			0.512
<65 years	238 (89.1)	158 (66.4)	80 (33.6)		73 (31.9)	156 (68.1)	
≥65 years	29 (10.9)	14 (48.3)	15 (51.7)		11 (37.9)	18 (62.1)	
Disease state				1.000			0.069
Recurrent	216 (80.9)	139 (64.4)	77 (35.6)		60 (28.8)	148 (71.2)	
Persistent	17 (6.4)	11 (64.7)	6 (35.3)		6 (37.5)	10 (62.5)	
Metastatic	34 (12.7)	22 (64.7)	12 (35.3)		18 (52.9)	16 (47.1)	
Grade¶				0.098			0.241
1 or 2	179 (67.0)	113 (63.1)	66 (36.9)		58 (33.3)	116 (66.7)	
3	56 (21.0)	42 (75.0)	14 (25.0)		13 (24.5)	40 (75.5)	
HPV infection**				0.784			0.328
Yes	76 (28.5)	50 (65.8)	26 (34.2)		20 (27.0)	54 (73.0)	
No	32 (12.0)	22 (68.8)	10 (31.3)		9 (28.1)	23 (71.9)	
Surgery prior to advanced cervical cancer diagnosis				0.267			0.066
Yes	174 (65.2)	116 (66.7)	58 (33.3)		44 (26.3)	123 (73.7)	
No	58 (21.7)	34 (58.6)	24 (41.4)		22 (39.3)	34 (60.7)	
Radiation prior to advanced cervical cancer diagnosis				0.065			0.211
Yes	37 (13.9)	19 (51.4)	18 (48.6)		7 (20.6)	27 (79.4)	
No	195 (73.0)	131 (67.2)	64 (32.8)		59 (31.2)	130 (68.8)	
Chemoradiation prior to advanced cervical cancer diagnosis				0.473			0.063
Yes	129 (48.3)	86 (66.7)	43 (33.3)		43 (34.7)	81 (65.3)	
No	103 (38.6)	64 (62.1)	39 (37.9)		23 (23.2)	76 (76.8)	
(Neo)adjuvant treatment prior to advanced cervical cancer diagnosis				0.559			0.965
Yes	59 (22.1)	40 (67.8)	19 (32.2)		17 (29.8)	40 (70.2)	
No	173 (64.8)	110 (63.6)	63 (36.4)		49 (29.5)	117 (70.5)	

Advanced cervical cancer refers to persistent, recurrent, or metastatic disease. Values are presented as number (prevalence, %). Bold-faced p-values indicate statistically significant.

AC, adenocarcinoma; ASC, adenosquamous carcinoma; CPS, combined positive score; HPV, human papillomavirus; PD-L1, programmed death ligand 1; SCC, squamous cell carcinoma; TMB, tumor mutational burden.

\*Two hundred fifty-eight patients.

†Statistical differences between subgroups were assessed with the  $\chi^2$  test.

‡Stage at initial cervical cancer diagnosis was unknown for 8 patients.

§Patients might be initially diagnosed and staged at other hospitals before seeking treatment at the Asan Medical Center. Stage at tissue collection was unknown for two patients.

¶Among the “other” group for stage at tissue collection, all patients had recurrent disease with the exception of one patient with persistent disease.

¶Grade was unknown for 32 patients.

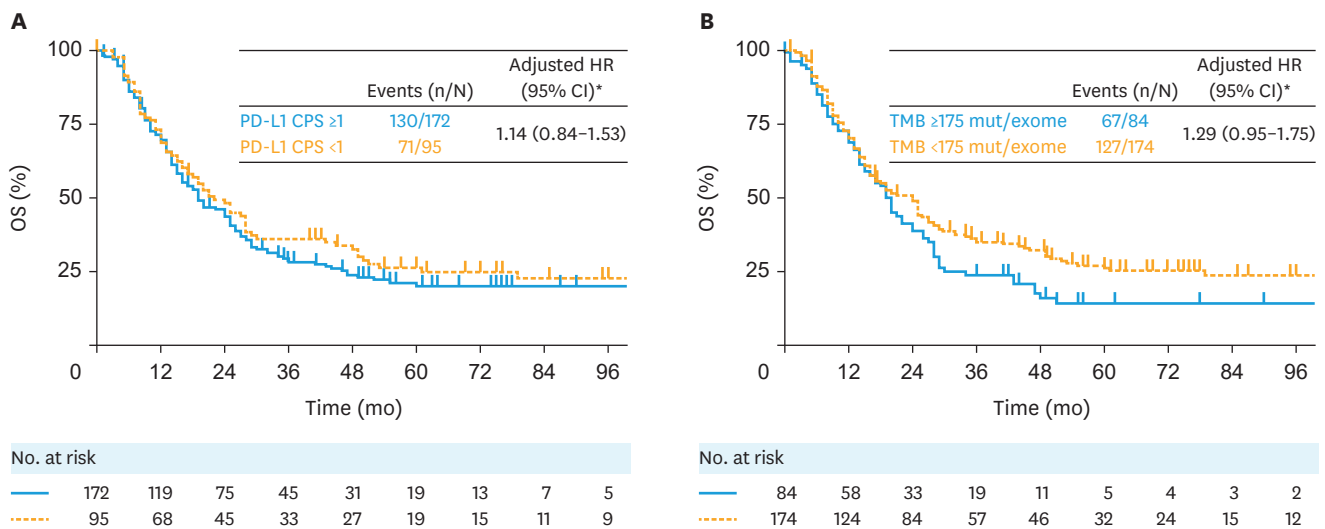
\*\*HPV status was unknown for 159 patients.

Results for OS from first-line therapy initiation (**Fig. S2A**) and from second-line therapy initiation (**Fig. S2B**) were similar.

For OS from advanced cervical cancer diagnosis, 67 of 84 patients (79.8%) in the TMB ≥175 mutations/exome subgroup and 127 of 174 patients (73.0%) in the TMB <175 mutations/exome subgroup had died; the median (95% CI) OS was 20.0 months (14.0–24.0) versus 24.0 months (16.0–27.0), respectively (adjusted HR=1.29; 95% CI=0.95–1.75) (**Fig. 1B**). Results for OS from first-line therapy initiation (**Fig. S3A**) and from second-line therapy initiation (**Fig. S3B**) were similar.



**PD-L1 expression and TMB in cervical cancer**



**Fig. 1.** OS from advanced cervical cancer diagnosis by (A) PD-L1 CPS and (B) TMB status.

CPS, combined positive score; HR, hazard ratio; OS, overall survival; PD-L1, programmed death ligand 1; TMB, tumor mutational burden.

\*HR was adjusted for age at advanced cervical cancer diagnosis, prior (neo)adjuvant treatments (yes/no), history of chronic kidney disease, birth parity, and body mass index.

**Table 2.** rwPFS by PD-L1 expression and TMB status from first-line therapy initiation and second-line therapy initiation

Variables	Biomarker status	Events (n/N)	rwPFS	
			Median (95% CI), mo	Adjusted HR (95% CI)
<b>PD-L1 expression</b>				
From first-line therapy initiation	CPS ≥1	138/155	9.0 (7.0–10.0)	1.21 (0.90–1.61)*
	CPS <1	80/89	9.1 (7.1–12.0)	
From second-line therapy initiation	CPS ≥1	101/105	3.1 (3.1–5.0)	1.10 (0.78–1.55)†
	CPS <1	59/64	4.0 (3.1–6.1)	
<b>TMB status</b>				
From first-line therapy initiation	TMB ≥175 mutations/exome	71/76	7.1 (5.1–10.0)	1.38 (1.03–1.85)*
	TMB <175 mutations/exome	139/159	9.1 (8.0–11.0)	
From second-line therapy initiation	TMB ≥175 mutations/exome	50/52	3.1 (3.0–5.0)	1.37 (0.96–1.94)†
	TMB <175 mutations/exome	103/110	4.0 (3.1–5.1)	

CI, confidence interval; CPS, combined positive score; HR, hazard ratio; PD-L1, programmed death ligand; rwPFS, real-world progression-free survival; TMB, tumor mutational burden.

\*HR was adjusted for age at persistent, recurrent, or metastatic cervical cancer diagnosis, body mass index, history of kidney disease, receiving bevacizumab in first-line treatment, and prior radiotherapy (yes/no).

†HR was adjusted for age at advanced cervical cancer diagnosis, grade of cervical cancer at initial cervical cancer diagnosis, and smoking.

**4. rwPFS**

For PFS by first-line therapy initiation, 138 of 155 patients (89.0%) in the PD-L1 CPS ≥1 subgroup and 80 of 89 patients (89.9%) in the PD-L1 CPS <1 subgroup had experienced disease progression or died; the median (95% CI) rwPFS from first-line therapy initiation was 9.0 months (7.0–10.0) versus 9.1 months (7.1–12.0), respectively (adjusted HR=1.21; 95% CI=0.90–1.61) (**Table 2**). The median (95% CI) rwPFS from second-line therapy initiation was 3.1 months (3.1–5.0) in the PD-L1 CPS ≥1 subgroup versus 4.0 months (3.1–6.1) in the PD-L1 CPS <1 subgroup (adjusted HR=1.10; 95% CI=0.78–1.55) (**Table 2**).

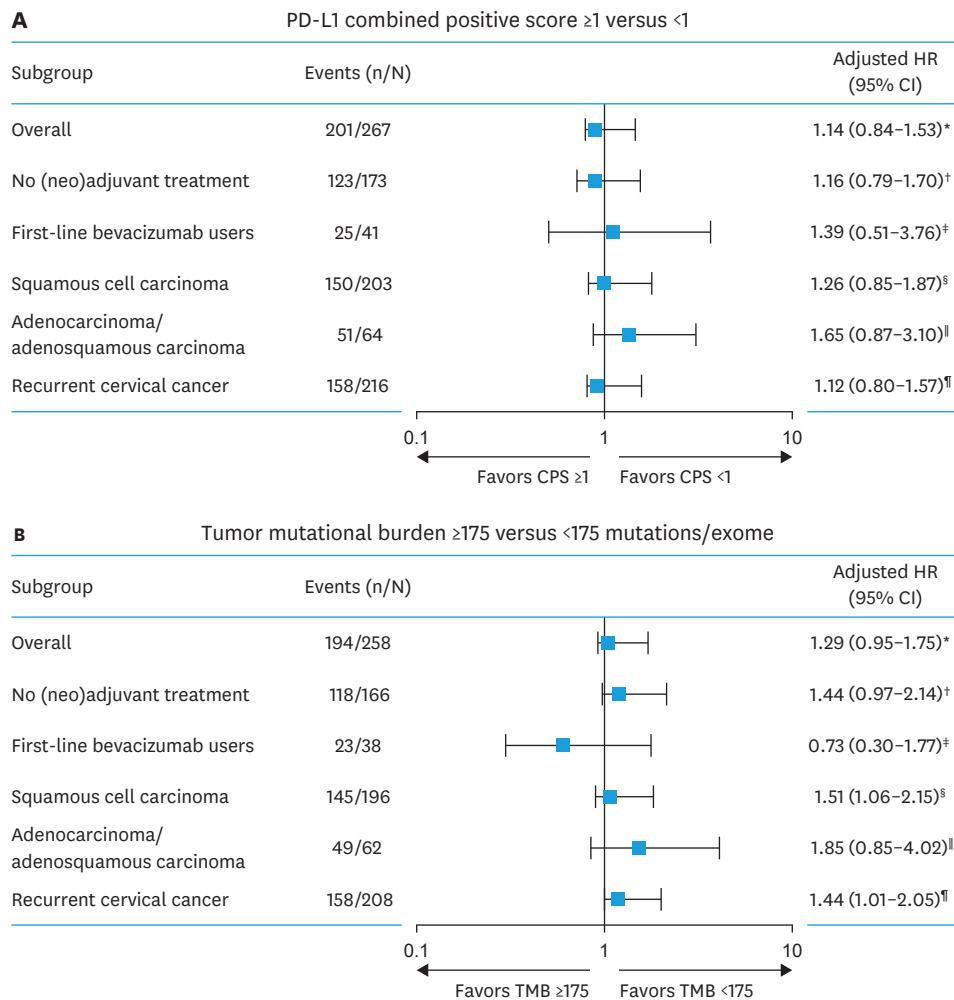
For PFS by first-line therapy initiation, 71 of 76 patients (93.4%) in the TMB ≥175 mutations/exome subgroup and 139 of 159 patients (87.4%) in the TMB <175 mutations/exome subgroup had experienced disease progression or died; the median (95% CI) rwPFS was 7.1 months (5.1–10.0) versus 9.1 months (8.0–11.0), respectively (adjusted HR=1.38; 95% CI=1.03–1.85) (**Table 2**). The median (95% CI) rwPFS from second-line therapy initiation was 3.1 months (3.0–5.0) in the TMB ≥175 mutations/exome subgroup versus 4.0 months (3.1–5.1) in the TMB <175 mutations/exome subgroup (adjusted HR=1.37; 95% CI=0.96–1.94) (**Table 2**).

### 5. OS and biomarker association by clinical characteristics

Consistent with the overall population, PD-L1 status was not associated with OS when evaluated by subgroups based on clinical characteristics (Fig. 2A). Similarly, the trend for shorter median OS among patients with TMB  $\geq 175$  versus  $<175$  mutations/exome was observed across subgroups based on clinical characteristics, except for patients who received bevacizumab in the first-line treatment setting (Fig. 2B).

## DISCUSSION

In this retrospective analysis of patients with non-immunotherapy standard-of-care-treated advanced cervical cancer, 64.4% of patients had tumors with PD-L1 CPS  $\geq 1$  and 32.6% of



**Fig. 2.** Overall survival from advanced cervical cancer diagnosis by (A) PD-L1 CPS and (B) TMB status in patient subgroups.

CPS, combined positive score; HR, hazard ratio; PD-L1, programmed death ligand 1; TMB, tumor mutational burden.

\*HR was adjusted for age at advanced cervical cancer diagnosis, prior (neo)adjuvant treatments (yes/no), history of chronic kidney disease, birth parity, and body mass index.

†HR was adjusted for age at advanced cervical cancer diagnosis, history of chronic kidney disease, and tumor size for no (neo)adjuvant therapy.

‡HR was adjusted for age at advanced cervical diagnosis and body mass index.

§HR was adjusted for age at advanced cervical diagnosis, birth parity, body mass index, history of chronic kidney disease, tumor size, and prior (neo)adjuvant therapy.

¶HR was adjusted for age at advanced cervical diagnosis, stage at tissue collection, smoking, and prior radiation.

¶HR was adjusted for age at advanced cervical cancer diagnosis, stage at tissue collection, and prior (neo)adjuvant therapy.

patients had tumors with TMB  $\geq 175$  mutations/exome. A higher prevalence of PD-L1 CPS  $\geq 1$  and TMB  $\geq 175$  mutations/exome (concordant with  $\geq 10$  mut/Mb) was observed in patients with SCC than in patients with AC/ASC. Additionally, PD-L1 CPS  $\geq 1$  compared with PD-L1 CPS  $< 1$  was not associated with OS in the overall population or in subgroups based on clinical characteristics. Furthermore, TMB  $\geq 175$  mutations/exome showed a trend toward shorter OS compared with TMB  $< 175$  mutations/exome in the overall population or in subgroups based on clinical characteristics, except for patients who received first-line bevacizumab. Similarly, starting from the point of first-line therapy initiation, PD-L1 expression was not associated with rwPFS or OS, and TMB  $\geq 175$  mutations/exome showed shorter OS compared to TMB  $< 175$  mutations/exome. Because of the small sample size, trends were not clear for outcomes from second-line therapy initiation.

The prevalence of PD-L1-positive cervical cancer has been reported to range from 22% to  $> 87\%$  for SCC [19-21] and from 14% to 29% for AC/ASC [19,22]. The prevalence observed in this study is within the range reported, although different PD-L1 antibody clones and/or cutoffs for PD-L1 positivity were used. Also consistent is our finding that more patients with cervical cancer have SCC versus AC/ASC histology and that SCC is more frequently PD-L1-positive [19,23,24]. Previous reports have shown the prevalence of TMB-high advanced cervical cancer is 15%–16% [17,25].

A recent pan-tumor investigation of the prognostic value of TMB using data from The Cancer Genome Atlas (TCGA) showed that TMB-high was a statistically significant prognostic indicator of decreased mortality compared with non-TMB-high in patients with cervical SCC and endocervical adenocarcinoma [26]. Contrary to our findings, a computational study using data of patients from TCGA showed TMB-high ( $\geq$  median) cervical SCC may be associated with a higher OS [15]. However, observations from a recent single-institution study of patients with cervical cancer in Japan showed that those patients with TMB-H tumors ( $\geq 9.5$  mut/Mb) had a worse 5-year OS rate than those with non-TMB-high tumors ( $< 9.5$  mut/Mb) after definitive radiotherapy (61.1% vs. 82.2%) [27]. Various theories and interpretations may account for the conflicting findings between studies, including differences in treatment regimen/modality, methods used for determining TMB, and thresholds for categorizing TMB as high or low. Additionally, a recent evaluation of TCGA datasets suggested that survival data from the database may not be adequately treated and processed, leading to misinterpretation and impacting survival analyses using this data source [28]. In addition, variations in treatment approaches, such as the use of different chemotherapy agents or targeted therapies, can impact treatment responses and, subsequently, survival outcomes. Studies conducted in neuroblastoma and lung cancer have further indicated that TMB-high tumors, characterized by elevated mutations and complex genetic profiles, may be more aggressive and resistant to current non-immunotherapies, leading to shorter OS [29,30]. The findings in patients with cervical cancer from our study and Ota et al. [27] further corroborate this theory and highlight a consistent trend of short OS in these patients with TMB-high tumors. The underlying biology of TMB-high tumors may contribute to their aggressiveness and resistance to conventional therapies [31]. TMB-high tumors are characterized by increased mutations and complex genetic profiles, which can lead to enhanced tumor heterogeneity and the emergence of treatment-resistant clones [32,33]. This heightened genomic instability may render TMB-high tumors less responsive to traditional non-immunotherapeutic approaches [34], potentially resulting in shorter OS. These mechanistic insights underscore the importance of considering both treatment-related factors and tumor biology when interpreting study findings and designing therapeutic strategies for patients with advanced cervical cancer.



This study was performed using extensive samples, and the results are based on a reliable analysis from a single institution that implements consistent standardized treatment. Detailed patient-level clinical data were collected, and the distribution of the baseline demographic and clinical characteristics were consistent with published country-specific characteristics of patients with cervical cancer; thus, eliminating potential selection bias. However, this retrospective study has several limitations. First, the sampling process did not generate a random sample of all patients with advanced cervical cancer treated at the Asan Medical Center, thereby limiting generalizability of findings. Second, because patients were from large tertiary teaching hospitals, it is conceivable that tumor samples may represent more severe cases of advanced cervical cancer compared with the broader patient population. Third, requiring 11 slides may select for a population with only available and sufficient tissue samples, possibly characterizing an earlier stage of disease during which resection and transplantation were more likely. Furthermore, if the biomarker status changed over the course of disease due to internal and/or external factors, the biomarker data generated from this study may not be reflective of the later disease course; this limitation may reduce the generalizability of the data. Fourth, the variability in follow-up time relative to treatment may impact the evaluation of OS. Fifth, the heterogeneity of the study cohort, comprising 83.9% and 75.4% of patients who received prior first-line and second-line treatment, respectively, may impact the evaluation of the prognostic role of PD-L1 expression and TMB status. Lastly, the rwPFS outcome should be interpreted with caution given that the data were collected in routine clinical practice and analyzed retrospectively outside of a formal clinical trial.

The findings of this analysis suggest PD-L1 expression has no prognostic value for OS and TMB  $\geq 175$  mutations/exome showed a trend toward shorter OS in patients with advanced cervical cancer treated with non-immunotherapy standard of care therapy. Given the increasing use of immunotherapy, including PD-1/PD-L1 inhibitors, for the treatment of patients with advanced cervical cancer, prevalence data can help better understand the patient population potentially benefiting from this type of treatment. The current study exploring the association between TMB and clinical outcomes with non-immunotherapy are necessary to demonstrate prognostic value of PD-L1 expression and TMB, to help identify potential unmet medical needs based on a patient's biomarker status, and to inform the management and treatment of patients with advanced cervical cancer.

In conclusion, this retrospective study observed a higher prevalence of PD-L1 expression (CPS  $\geq 1$ ) and TMB  $\geq 175$  mutations/exome (concordant with  $\geq 10$  mut/Mb) status in SCC versus AC/ASC advanced cervical cancer. PD-L1 CPS  $\geq 1$  was not associated with OS, whereas TMB  $\geq 175$  mutations/exome trended toward a shorter OS compared with TMB  $< 175$  mutations/exome. Future prospective studies are warranted to confirm these findings and elucidate the predictive and prognostic value of potential immune biomarkers in cervical cancer.

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## SUPPLEMENTARY MATERIALS

### Fig. S1

Patient eligibility and data profile.

### Fig. S2

OS from (A) first-line therapy initiation and (B) second-line therapy initiation by PD-L1 CPS.

### Fig. S3

OS from (A) first-line therapy initiation and (B) second-line therapy initiation by TMB status.

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