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# GWAS of multiple neuropathology endophenotypes identifies new risk loci and provides insights into the genetic risk of dementia

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Genome-wide association studies (GWAS) have identified >80 Alzheimer's disease and related dementias (ADRD)-associated genetic loci. However, the clinical outcomes used in most previous studies belie the complex nature of underlying neuropathologies. Here we performed GWAS on 11 ADRD-related neuropathology endophenotypes with participants drawn from the following three sources: the National Alzheimer's Coordinating Center, the Religious Orders Study and Rush Memory and Aging Project, and the Adult Changes in Thought study (n = 7,804 total autopsied participants). We identified eight independent significantly associated loci, of which four were new (COL4A1, PIK3R5, LZTS1 and APOC2). Separately testing known ADRD loci, 19 loci were significantly associated with at least one neuropathology after false-discovery rate adjustment. Genetic colocalization analyses identified pleiotropic effects and quantitative trait loci. Methylation in the cerebral cortex at two sites near APOC2 was associated with cerebral amyloid angiopathy. Studies that include neuropathology endophenotypes are an important step in understanding the mechanisms underlying genetic ADRD risk.

Amnestic dementia, often diagnosed as late-onset Alzheimer's disease (LOAD), is increasingly recognized to be a heterogeneous clinical syndrome that may reflect multiple underlying heritable pathological processes<sup>1-3</sup>. LOAD genome-wide association studies (GWAS) have primarily used clinical diagnosis or proxy phenotypes based on family history of dementia<sup>4-7</sup>. While these GWAS have been immensely successful, identifying over 80 disease-associated genetic loci<sup>6</sup>, the use of clinical phenotypes complicates interpretation and partly obscures the complex and common reality of mixed neuropathologies in aged individuals<sup>3,8</sup>. To complement the successes from previous studies, GWAS using neuropathology endophenotypes (NPEs) is an essential next step to identify loci that drive specific Alzheimer's disease and related dementias (ADRD)-associated pathologic mechanisms<sup>9</sup>.

Amyloid plaques and neurofibrillary tangles (NFT), together known as Alzheimer's disease (AD) neuropathologic changes (ADNC), are present at autopsy in most brains from patients diagnosed

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**Fig. 1** | **Overview of GWAS meta-analysis study design.** We performed GWAS meta-analyses of 11 NPEs across three data sources. White boxes represent data sources or summary statistics used in this study. Purple boxes represent individual steps throughout the genetic association analysis, and green boxes represent downstream functional analyses. The first stage of this analysis involved independent GWAS performed in parallel across the NACC neuropathology dataset, the ACT study and the combined ROSMAP. We then performed a meta-analysis using results from each individual GWAS using METAL. Variants reaching a suggestive threshold of association ( $P \le 1 \times 10^{-5}$ ) in the meta-analysis were then carried forward for downstream analyses, including

functional and colocalization analyses. Variants reaching the genome-wide significant threshold ( $P \le 5 \times 10^{-8}$ ) and exhibiting  $\ge 80\%$  colocalization between two NPEs were followed up using existing methylation data to assess the association. All variants reaching genome-wide significance were considered associated with the respective NPE. We also report variants that reached a suggestive threshold ( $P \le 5 \times 10^{-7}$ ) or reached the lower suggestive threshold ( $P \le 1 \times 10^{-5}$ ) and were in a previously known disease-associated locus. GTEx, Genotype-Tissue Expression Project; QTL, quantitative trait locus; eQTL, expression QTL; sQTL, splicing QTL; mQTL, methylation QTL; AD, Alzheimer's disease.

with clinical LOAD, but ~20% of clinically diagnosed patients do not have ADNC, and >50% of those with ADNC have comorbid non-AD pathologies<sup>3,10</sup>. For example, transactive response (TAR) DNA-binding protein 43-kDa (TDP-43) pathology was found in >50% of elderly autopsied individuals<sup>11</sup> in a community-based cohort study. Limbic-predominant age-related TDP-43 encephalopathy (LATE) is an amnestic dementia syndrome defined by a distinguishing pattern of LATE-neuropathological change (LATE-NC) characterized by TDP-43 proteinopathy that is most severe in the medial temporal lobes<sup>3,12,13</sup>. Hippocampal sclerosis of aging is characterized by neuronal death, gliosis and atrophy of the hippocampus beyond normal ranges based on levels of ADNC, commonly co-occurs with LATE-NC, and is associated with severe cognitive impairment<sup>12,14</sup>.

Cerebrovascular pathologies also contribute to cognitive decline and dementia and are prevalent among elderly autopsied research participants<sup>15</sup>. Cerebral amyloid angiopathy (CAA) is characterized by amyloid- $\beta$ deposition in cerebral blood vessels<sup>16</sup>. CAA often co-occurs with ADNC but can independently contribute to cerebral injury<sup>15,16</sup>. Infarcts of both grossly visible arteries and microscopically examined vessels (the latter referred to as microinfarcts) are also common contributors to cognitive decline<sup>15,17</sup>. Cerebral large-vessel atherosclerosis and small-vessel/ arteriolar thickening (arteriolosclerosis) are associated with infarcts, white matter rarefaction and hippocampal sclerosis<sup>18,19</sup> and contribute to cognitive decline<sup>20,21</sup>. Collectively, these factors reveal a complex web of pathologies that contribute to cognitive impairment and dementia.

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Examining the genetic risk factors of each subtype of neuropathology can provide an important and complementary approach to large GWAS of clinical- and family history-based outcomes for studying LOAD/ADRD risk. Previous GWAS of NPEs have confirmed known LOAD risk loci and have identified new neuropathology risk loci<sup>22-27</sup>. Some NPEs, particularly LATE-NC, have yet to be studied systematically using GWAS. Here we performed GWAS on 11 NPEs using three high-quality data sources with both autopsy and genotype data. We also performed downstream functional analyses to explore potential biological functional mechanisms of newly identified risk loci and provide insight into previously identified putative AD risk loci.

#### Results

#### Participant and NPE characteristics

Genotype and neuropathology data were analyzed from the following three autopsy data sources: (1) the National Alzheimer's Coordinating Center (NACC; n = 5,940), (2) the Religious Orders Study and Rush Memory and Aging Project (ROSMAP; n = 1,183) and (3) the Adult Changes in Thought (ACT; n = 681) study (Fig. 1). In total, 7,804 unique participants were included in our analyses. The number of participants included in each GWAS ranged from 6,363 for amyloid- $\beta$  plaques to 7,786 for Consortium to Establish a Registry for Alzheimer's Disease (CERAD) neuritic amyloid plaque score, except for LATE-NC, which had a smaller sample due to the more recent discovery and evaluation of TDP-43 pathology (n = 3,112; Table 1). The 11 studied NPEs included AD-related pathologies (CERAD score for neuritic amyloid plaques, amyloid-β plaques including diffuse plaques and Braak NFT staging<sup>28-30</sup>), non-AD neurodegenerative proteinopathies (LATE-NC and Lewy bodies<sup>12,31</sup>), cerebrovascular pathologies (CAA, gross infarcts, microinfarcts, circle of Willis atherosclerosis and arteriolosclerosis<sup>30,32</sup>) and hippocampal sclerosis<sup>32</sup>. NPEs commonly co-occurred, forming the following four identifiable clusters of pathologies: vascular, AD, LATE and Lewy body (Extended Data Fig. 1). Methods, Supplementary Methods and Supplementary Table 1 describe the applied phenotype definitions and harmonization approach.

#### **GWAS meta-analysis of NPEs**

We first performed GWAS on the 11 NPEs for the NACC, ROSMAP and ACT studies separately (Fig. 1). Genetic association analyses were performed with logistic or proportional-odds logistic regression mixed-effects models as appropriate (Methods). We then performed fixed-effects meta-analyses using METAL 2011-03-25 (ref. 33) on variants with minor allele frequencies  $\geq 1\%$  in all studies<sup>33</sup>. Quantile–quantile plots and corresponding estimates of genomic inflation ( $\lambda$  values) did not suggest systematic bias (Extended Data Fig. 2).

In total, the meta-analysis revealed seven loci with at least one variant meeting genome-wide significance ( $P < 5 \times 10^{-8}$ ) across eight NPEs (amyloid- $\beta$  plaques, arteriolosclerosis, atherosclerosis, Braak NFT stage, CAA, CERAD plaque score, hippocampal sclerosis and LATE-NC), with a total of 13 associations between genomic loci and NPEs (Fig. 2). Four of the seven loci were from genes previously associated with late-onset ADRD (the broader *APOE* region, *TMEM106B*, *GRN* and *BIN1*; Fig. 2a-c,f,i,k), while three loci were new, where the lead variant was in or closest to *PIK3R5*, *LZTS1* and *COL4A1*, respectively (Fig. 2b,d,e). Although most meta-analyses had no significantly different effect size estimates across the three data sources, there were three with significant tests for heterogeneity (Table 2), all of which were associations with *APOE*.

We subsequently discovered a new locus near *APOC2* within the broader *APOE* region that is associated with CAA after adjusting for *APOE*  $\epsilon$  diplotypes (Fig. 2f). No loci reached genome-wide significance with gross infarcts, microinfarcts or Lewy body pathology (Fig. 2g-j).

**Known ADRD-associated loci.** As expected, the *APOE* region (rs429358) is associated with multiple NPEs at genome-wide significance. Specifically, the *APOE* region (rs429358) is associated with (1) amyloid- $\beta$  plaques (odds ratio (OR) = 1.98;  $P = 2.3 \times 10^{-55}$ ; Table 2 and Fig. 2a), (2) Braak NFT stage (OR = 2.06,  $P = 9.7 \times 10^{-89}$ ; Fig. 2b), (3) CERAD score (OR = 2.42,  $P = 4.7 \times 10^{-103}$ ; Fig. 2c), (4) CAA (OR = 2.49,  $P = 4.4 \times 10^{-138}$ ; Fig. 2f) and (5) LATE-NC (OR = 1.70,  $P = 1.7 \times 10^{-14}$ ; Fig. 2i). Our results corroborate previous studies<sup>22,34</sup> that described an association between *APOE* and CAA.

*BIN1* was associated with Braak NFT stage (rs6733839; OR = 1.21;  $P = 1.6 \times 10^{-9}$ ; Table 2 and Fig. 2b), and variants within *TMEM106B* were associated with both LATE-NC (rs2043539; OR = 0.70,  $P = 5.8 \times 10^{-11}$ ; Fig. 2i) and hippocampal sclerosis (rs7805419; OR = 0.65;  $P = 3.2 \times 10^{-13}$ ; Fig. 2k). A locus in *GRN* was also associated with hippocampal sclerosis (rs5848; OR = 1.40,  $P = 3.2 \times 10^{-8}$ ; Fig. 2k).

**New loci outside the** *APOE* **region.** We also discovered three new loci outside the broader *APOE* region that are associated with three NPEs. Associations identified in the NPE GWAS meta-analysis included a *PIK3R5* intronic locus associated with Braak NFT stage (rs72844606; OR = 0.69,  $P = 4.0 \times 10^{-8}$ ; Table 2 and Figs. 2b and 3a,b), an intronic *LZTS1* locus associated with arteriolosclerosis (rs78909048; OR = 0.44,  $P = 5.7 \times 10^{-10}$ ; Figs. 2d and 3d,e) and a variant 12 kilobase pairs (kbp) upstream of *COL4A1* associated with the circle of Willis atherosclerosis (rs2000660; OR = 0.73,  $P = 2.7 \times 10^{-8}$ ; Figs. 2e and 3g,h).

We next characterized which cell type(s) in the human brain express the new genes identified. According to brainmaseq.org<sup>35,36</sup>, *PIK3R5* is most highly expressed in microglia (Fig. 3c), *LZTS1* is most highly expressed in fetal astrocytes and endothelial cells (Fig. 3f) and *COL4A1* is most highly expressed in fetal astrocytes, endothelial cells and neurons (Fig. 3i).

**New locus association within the** *APOE* **region.** Based on the meta-analysis, we observed 12 genetic locus-phenotype associations within the broader *APOE* region (defined as less than 500 kbp from the start or end site of *APOE* transcription) across five NPEs (amyloid plaques, Braak stage, CAA, CERAD score and LATE-NC), where *APOE* itself (rs429358) was the top variant in the region for all five NPEs (Fig. 2a–c,f,i). We performed additional analyses in this region adjusting for *APOE*  $\epsilon$  diplotypes to determine whether any of the genome-wide significant signals within the broader *APOE* region remained significant.

In the *APOE*-adjusted analysis, the lead variant from the nonadjusted analysis (rs429358), which tags the *APOE*  $\varepsilon$ 4 allele (the well-known common variant with the strongest association with LOAD), was no longer associated with any of the five phenotypes. One locus with lead variant rs7247551 remained significantly associated with CAA (OR = 0.81; P = 8.0 × 10<sup>-12</sup>; Table 2 and Fig. 2f). rs7247551 is located between *APOC2* and *CLPTM1*. No variants remained genome-wide significantly associated with any other *APOE*-associated NPE. Sensitivity analyses showed that the effect size of rs7247551 did not significantly differ based on *APOE* diplotype in NACC, ROSMAP or ACT (Supplementary Results and Extended Data Fig. 3).

**APOC2** replicates in an independent cohort. We obtained data from a recent GWAS of CAA in 815 participants with dementia in the Mayo Clinic Brain Bank. Using their data, we replicated the association between rs7247551 and CAA while adjusting for APOE  $\epsilon$  diplotypes (P = 0.0012). We also confirmed that rs7247551 was indeed new and not in linkage disequilibrium (LD) with the variant previously reported in ref. 26 (rs5117;  $r^2 < 0.01$ ). Together, these results provide evidence for a new locus within the broader *APOE* region that is independent of the *APOE*  $\epsilon$  diplotypes and is associated with CAA pathology burden. It further suggests that the genetic risk for CAA in the broader *APOE* region may differ from the AD-specific neuropathologies (neuritic amyloid plaques and NFT).

#### Associations of clinical and proxy AD risk loci with NPE

We further tested whether LOAD-associated loci identified in a recent ADRD GWAS were associated with any evaluated NPEs<sup>6</sup>. Reference 6 identified a total of 83 distinct non-*APOE* loci (39 previously identified and 44 new) associated with ADRD (hereafter, 'ADRD loci'), 77 of which had lead variants that met inclusion criteria in our study. In total, 23 NPE-locus associations from 19 loci had adjusted P(Q values)  $\leq 0.05$ across the 11 NPEs. In total, 13 of these 19 loci were not already reported herein. Of the 23 associations, 22 had concordant directions of effect with ref. 6 (Table 3 and Supplementary Table 2).

Notably, the pathognomonic AD pathologies, operationalized using Braak NFT stage and CERAD score, had concordant directions of effect with 70 and 60 of the 77 ADRD loci, respectively, regardless of statistical significance. Three ADRD loci (*BIN1, MME* and *HLA-DQA1*; Table 3) were significantly associated with the Braak NFT stage after multiple testing corrections. Two ADRD loci (*PICALM* and *TPCN1*) were associated with CAA. Ten ADRD loci (*CR1, BIN1, INPPSD, ZCWPW1/NYAP1, PTK2B, PICALM, SORL1, FERMT2, SNX1* and *ABCA7*) were significantly associated with the CERAD score after multiple testing corrections. Four ADRD loci (*TMEM106B, IL34, GRN* and *MAPT*) were significantly associated with hippocampal sclerosis, all of which except for *IL34* (hippocampal sclerosis–OR = 0.74, *P* = 0.0019; AD–OR = 1.06, *P* = 5.6 × 10<sup>-6</sup>) were concordant in effect direction. Two ADRD loci (*TMEM106B* and

#### Table 1 | Demographic and pathology characteristics of the NACC, ROSMAP, ACT cohorts and combined sample

Characteristics <sup>a</sup>	NACC	ROSMAP	ACT	Overall	Р
	(n=5,940)	(n=1,183)	(n=681)	(n=7,804)	<sup>-</sup> value <sup>b</sup>
Sex					
Female	2,980 (50.2%)	798 (67.5%)	381 (55.9%)	4,159 (53.3%)	
Male	2,960 (49.8%)	385 (32.5%)	300 (44.1%)	3,645 (46.7%)	- <0.001
Age of death (year)					
Mean (s.d.)	81.4 (9.81)	89.6 (6.48)	88.6 (6.61)	83.3 (9.73)	
Median (minimum and maximum)	82 (39, 111)	90 (66, 108)	89 (70, 106)	84 (39, 111)	- <0.001
AD					
Not impaired	634 (10.7%)	363 (30.7%)	594 (87.2%)	1,591 (20.4%)	
AD/MCI	4,605 (77.5%)	776 (65.6%)	68 (10.0%)	5,449 (69.8%)	- <0.001
Unknown/other dementia	701 (11.8%)	44 (3.7%)	19 (2.8%)	764 (9.8%)	_
Dementia					
No dementia	1,029 (17.3%)	649 (54.9%)	594 (87.2%)	2,272 (29.1%)	
Dementia	4,911 (82.7%)	511 (43.2%)	87 (12.8%)	5,509 (70.6%)	- <0.001
Missing	0 (0%)	23 (1.9%)	0 (0%)	23 (0.3%)	-
APOE ε4 alleles					
0	2,710 (45.6%)	883 (74.6%)	489 (71.8%)	4,082 (52.3%)	
1	2,547 (42.9%)	280 (23.7%)	174 (25.6%)	3,001 (38.5%)	_
2	680 (11.4%)	20 (1.7%)	15 (2.2%)	715 (9.2%)	- <0.001
Missing	3 (0.1%)	0 (0%)	3 (0.4%)	6 (0.1%)	_
AD-related NPEs					
Amyloid-β plaques					
None	407 (6.9%)	222 (18.8%)	64 (9.4%)	693 (8.9%)	
Mild	515 (8.7%)	351 (29.7%)	34 (5.0%)	900 (11.5%)	-
Moderate	884 (14.9%)	278 (23.5%)	71 (10.4%)	1,233 (15.8%)	- <0.001
Severe	3,079 (51.8%)	317 (26.8%)	141 (20.7%)	3,537 (45.3%)	-
Missing	1,055 (17.8%)	15 (1.3%)	371 (54.5%)	1,441 (18.5%)	-
Braak NFT stage					
0	79 (1.3%)	12 (1.0%)	19 (2.8%)	110 (1.4%)	
1	211 (3.6%)	75 (6.3%)	56 (8.2%)	342 (4.4%)	-
2	365 (6.1%)	109 (9.2%)	111 (16.3%)	585 (7.5%)	-
3	490 (8.2%)	281 (23.8%)	125 (18.4%)	896 (11.5%)	-
4	860 (14.5%)	370 (31.3%)	130 (19.1%)	1,360 (17.4%)	- <0.001
5	1,491 (25.1%)	307 (26.0%)	149 (21.9%)	1,947 (24.9%)	-
6	2,431 (40.9%)	18 (1.5%)	87 (12.8%)	2,536 (32.5%)	-
Missing	13 (0.2%)	11 (0.9%)	4 (0.6%)	28 (0.4%)	-
CERAD score					
None	578 (9.7%)	279 (23.6%)	155 (22.8%)	1,012 (13.0%)	
Mild	509 (8.6%)	103 (8.7%)	174 (25.6%)	786 (10.1%)	-
Moderate	1,071 (18.0%)	399 (33.7%)	169 (24.8%)	1,639 (21.0%)	- <0.001
Severe	3,777 (63.6%)	391 (33.1%)	181 (26.6%)	4,349 (55.7%)	-
Missing	5 (0.1%)	11 (0.9%)	2 (0.3%)	18 (0.2%)	-
Cerebrovascular NPEs					
Arteriolosclerosis					
None	1,231 (20.7%)	407 (34.4%)	7 (1.0%)	1,645 (21.1%)	
Mild	1,577 (26.5%)	398 (33.6%)	147 (21.6%)	2,122 (27.2%)	
Moderate	1,501 (25.3%)	277 (23.4%)	289 (42.4%)	2,067 (26.5%)	<0.001
Severe	621 (10.5%)	81 (6.8%)	132 (19.4%)	834 (10.7%)	
Missing	1,010 (17.0%)	20 (1.7%)	106 (15.6%)	1,136 (14.6%)	

Table 1 (continued) | Demographic and pathology characteristics of the NACC, ROSMAP, ACT cohorts and combined sample

Characteristics <sup>a</sup>	NACC	ROSMAP	ACT	Overall	Р
	( <i>n</i> =5,940)	(n=1,183)	( <i>n</i> =681)	(n=7,804)	value <sup>b</sup>
Atherosclerosis					
None	1,251 (21.1%)	221 (18.7%)	33 (4.8%)	1,505 (19.3%)	
Mild	2,027 (34.1%)	577 (48.8%)	182 (26.7%)	2,786 (35.7%)	
Moderate	1,507 (25.4%)	317 (26.8%)	407 (59.8%)	2,231 (28.6%)	<0.001
Severe	711 (12.0%)	60 (5.1%)	47 (6.9%)	818 (10.5%)	
Missing	444 (7.5%)	8 (0.7%)	12 (1.8%)	464 (5.9%)	
САА					
None	1,928 (32.5%)	253 (21.4%)	414 (60.8%)	2,595 (33.3%)	
Mild	1,603 (27.0%)	482 (40.7%)	124 (18.2%)	2,209 (28.3%)	
Moderate	1,327 (22.3%)	261 (22.1%)	121 (17.8%)	1,709 (21.9%)	<0.001
Severe	707 (11.9%)	141 (11.9%)	20 (2.9%)	868 (11.1%)	
Missing	375 (6.3%)	46 (3.9%)	2 (0.3%)	423 (5.4%)	
Gross infarcts					
Absent	4,402 (74.1%)	753 (63.7%)	477 (70.0%)	5,632 (72.2%)	
Present	1,146 (19.3%)	416 (35.2%)	204 (30.0%)	1,766 (22.6%)	<0.001
Missing	392 (6.6%)	14 (1.2%)	0 (0%)	406 (5.2%)	
Microinfarcts					
Absent	4,475 (75.3%)	820 (69.3%)	346 (50.8%)	5,641 (72.3%)	
Present	1,160 (19.5%)	349 (29.5%)	330 (48.5%)	1,839 (23.6%)	<0.001
Missing	305 (5.1%)	14 (1.2%)	5 (0.7%)	324 (4.2%)	
Non-AD NPEs					
LATE-NC					
None	921 (15.5%)	509 (43.0%)	357 (52.4%)	1,787 (22.9%)	
Mild	75 (1.3%)	194 (16.4%)	147 (21.6%)	416 (5.3%)	
Moderate	317 (5.3%)	117 (9.9%)	149 (21.9%)	583 (7.5%)	<0.001
Severe	50 (0.8%)	267 (22.6%)	9 (1.3%)	326 (4.2%)	
Missing	4,577 (77.1%)	96 (8.1%)	19 (2.8%)	4,692 (60.1%)	
Lewy body					
None	3,749 (63.1%)	861 (72.8%)	539 (79.1%)	5,149 (66.0%)	
Mild	199 (3.4%)	23 (1.9%)	20 (2.9%)	242 (3.1%)	
Moderate	732 (12.3%)	92 (7.8%)	60 (8.8%)	884 (11.3%)	<0.001
Severe	726 (12.2%)	157 (13.3%)	60 (8.8%)	943 (12.1%)	
Missing	534 (9.0%)	50 (4.2%)	2 (0.3%)	586 (7.5%)	
Hippocampal sclerosis					
Absent	4,777 (80.4%)	1,053 (89.0%)	583 (85.6%)	6,413 (82.2%)	
Present	572 (9.6%)	100 (8.5%)	79 (11.6%)	751 (9.6%)	0.056
Missing	591 (9.9%)	30 (2.5%)	19 (2.8%)	640 (8.2%)	

Cohort demographics—NACC participants had a higher percentage of patients diagnosed with mild cognitive impairment (MCI) or AD (78%) than ROSMAP (66%) or ACT (10%). NACC participants died at a younger age (mean age at death=81years) compared to ROSMAP (90 years) and ACT (89 years) participants and had more balanced participation between the sexes, with 50% of NACC participants being female versus 68% and 58% in ROSMAP and ACT, respectively. NACC participants were also more likely to carry an *APOE c4* allele (54%) versus ROSMAP (25%) or ACT (28%; chi-square test, *P*<0.001 for all mentioned comparisons; the only not significant test was hippocampal sclerosis with *P*=0.056). Notably, NACC is based on data collected from over 30 ADRCs, which often recruit from clinic patients and their families. In contrast, the other studies recruited older persons without known dementia; ACT from the Seattle, WA area; ROS from members of the Catholic Church clergy; and MAP from northeastern Illinois. MCI, mild cognitive impairment. "Except for the age of death, all distribution data are given as *n* and percentage. <sup>b</sup>Except for the age of death (ANOVA), the *P* value from the chi-square test.

*GRN*) were significantly associated with LATE-NC. Finally, two ADRD loci (*TPCN1* and *PLCG2*) were significantly associated with microinfarcts. These results indicate that NPE studies largely corroborate the findings of large AD GWAS based on clinical and proxy phenotypes. Several ADRD loci, particularly *MAPT* (hippocampal sclerosis), *TMEM106B* and *GRN* (hippocampal sclerosis and LATE-NC), were associated only with non-AD pathology.

# Identifying potential genetic mechanisms of NPE development

We also assessed possible mechanisms through which identified NPE-associated variants may be involved in disease risk.

**Gene-prioritization and enrichment analyses.** Using gene-based, pathway, and enrichment analyses, we identified *APOE* as significantly



Fig. 2 | Manhattan plots identify loci associated with each of the 11 NPEs

**included in this study. a**–**k**, Manhattan plots are shown for amyloid- $\beta$  plaques (**a**), Braak NFT stage (**b**), CERAD score for neuritic plaques (**c**), arteriolosclerosis (**d**), atherosclerosis in the circle of Willis (**e**), CAA (**f**), gross infarcts (**g**), microinfarcts (**h**), LATE-NC (**i**), Lewy body (**j**) and hippocampal sclerosis (**k**). The *y* axes denote the –log<sub>10</sub>(*P* value of meta-analysis two-sided *z* test) of the variant– phenotype association, and the *x* axes outline the chromosomal position, with alternate chromosomes represented in black and blue. Labels indicate the nearest gene at a locus. The horizontal lines define the genome-wide significance level (solid black, *P* = 5 × 10<sup>-8</sup>), near genome-wide significance level (dotted gold, *P* = 5 × 10<sup>-7</sup>), and suggestive significance level (*P* < 5 × 10<sup>-5</sup>) in loci with evidence of AD association from a previous study (for example, ref. 6; dotted purple). Points and gene symbols are coded with the same colors. Gray gene symbols indicate genome-wide significant hits within the *APOE* region that did not survive conditional analysis. All GWAS are in cohorts of European ancestry and adjusted for age at death, sex, genotyping cohort and top ten genetic PCs. We identified eight genome-wide significant loci and 39 near genome-wide significant or suggestive loci. The genome-wide significant loci resulted in 14 associations with eight NPEs (amyloid-ß plaques, arteriolosclerosis, atherosclerosis, Braak NFT stage, CAA, CERAD score, hippocampal sclerosis and LATE-NC). Four genes were previously associated with ADRD (APOE, BIN1, TMEM106B, GRN; a-c,f,i,k), while the four new loci were in or closest to PIK3R5, LZTS1, COL4A1 and APOC2 (b,d,e,f). APOC2 is within the broader APOE region but remained significantly associated with CAA after adjusting for APOE  $\epsilon$  diplotypes (f). Three NPEs (gross infarcts, microinfarcts and Lewy bodies) had zero genome-wide significant hits, but all three had near genome-wide significant and/or suggestive hits from either new or known loci. APOE was associated with a range of NPEs, including LATE-NC, which is not pathognomonic of AD. On the other hand, neither GRN nor TMEM106B (recently identified in ADRD GWAS) was associated with the AD pathognomonic NPEs but were specific to gross infarcts, LATE-NC and hippocampal sclerosis at either genome-wide or suggestive significance. sig., significant; assocs, associations; sugg., suggestive.

#### Table 2 | Significant NPE-associated loci in GWAS meta-analysis of NACC, ROSMAP and ACT datasets

Phenotype	Gene <sup>a</sup>	Variant	Chr	Position <sup>b</sup>	Min/maj	OR° (95% CI)	P value	Het. P value <sup>d</sup>
Braak NFT stage	BIN1	rs6733839	2	127,135,234	T/C	1.21 (1.14–1.29)	1.6×10 <sup>-9</sup>	0.054
LATE-NC	TMEM106B	rs2043539	7	12,214,254	A/G	0.70 (0.63–0.78)	5.8×10 <sup>-11</sup>	0.37
Hippocampal sclerosis	TMEM106B	rs7805419	7	12,242,825	C/T	0.65 (0.58–0.73)	3.2×10 <sup>-13</sup>	0.25
Arteriolosclerosis	LZTS1	rs78909048	8	20,279,428	G/A	0.44 (0.34–0.57)	5.7×10 <sup>-10</sup>	0.85
Atherosclerosis	COL4A1	rs2000660	13	110,136,094	A/G	0.73 (0.66–0.82)	2.7×10 <sup>-8e</sup>	0.85
Braak NFT stage	PIK3R5	rs72844606	17	8,930,274	T/C	0.69 (0.60-0.79)	4.0×10 <sup>-8e</sup>	0.27
Hippocampal sclerosis	GRN	rs5848	17	44,352,876	T/C	1.40 (1.24–1.57)	3.2×10 <sup>-8e</sup>	0.48
Braak NFT stage	APOE	rs429358	19	44,908,684	C/T	2.06 (1.92–2.21)	9.7×10 <sup>-89</sup>	6.6×10 <sup>-5</sup>
CAA	APOE	rs429358	19	44,908,684	C/T	2.49 (2.32–2.67)	4.4×10 <sup>-138</sup>	4.2×10 <sup>-4</sup>
CERAD score	APOE	rs429358	19	44,908,684	C/T	2.42 (2.23–2.62)	4.7×10 <sup>-103</sup>	0.14
LATE-NC	APOE	rs429358	19	44,908,684	C/T	1.70 (1.48–1.95)	1.7×10 <sup>-14</sup>	0.28
Amyloid-β plaques	APOE	rs429358	19	44,908,684	C/T	1.98 (1.82–2.16)	2.3×10 <sup>-55</sup>	6.6×10 <sup>-4</sup>
CAA <sup>f</sup>	APOC2	rs7247551	19	44,951,509	G/A	0.81 (0.76-0.86)	8.0×10 <sup>-12</sup>	0.42

Bold text indicates loci not previously associated with ADRD. CI, confidence interval; Min/maj, minor allele/major allele; Het., heterogeneity. <sup>a</sup>Closest protein-coding gene according to GENCODE release 40. <sup>b</sup>Genome positions are based on build HG38. <sup>c</sup>ORs are with respect to minor alleles. <sup>d</sup>P value from the test for effect size heterogeneity across data sources. <sup>e</sup>Reaches genome-wide significance within the single NPE but does not reach experiment-wide significance when adjusting for 11 NPEs ( $P = \frac{5 \times 10^{-9}}{11} = 4.55 \times 10^{-9}$ ). <sup>f</sup>Result from *APOE* diplotype-adjusted analysis.

associated with NFT, diffuse plaques, CAA, neuritic plaques and LATE-NC (Supplementary Table 3)<sup>37</sup>. *TMEM106B* was associated with both hippocampal sclerosis and LATE-NC. We further found that rs2000660 (associated with the circle of Willis atherosclerosis) is located within an enhancer region 13 kbp upstream of *COL4A1* transcription start site (Extended Data Fig. 4). Annotations from FAVOR identified a synonymous, exonic single-nucleotide polymorphism (SNP), rs650724, in high LD ( $r^2 = 0.86$ ) with this lead variant that is highly conserved across mammals (mamPhCons = 0.987; Supplementary Table 4)<sup>38</sup>.

**Genetic colocalization analysis.** We investigated whether loci associated with multiple NPEs show evidence for genetic colocalization<sup>39</sup>. We identified two NPE pairs exhibiting genetic colocalization, including (1) Braak NFT stage and CERAD score (*BIN1*; probability of colocalization (PrC) > 99%; Extended Data Fig. 5) and (2) hippocampal sclerosis and LATE-NC (*TMEM106B*; PrC > 90%; Fig. 4a–c).

We also tested for colocalization between NPE loci and quantitative trait loci (QTL). The *TMEM106B* locus (associated with hippocampal sclerosis and LATE-NC) colocalized with *TMEM106B* expression in multiple tissues, including the cerebellar hemisphere (PrC = 90%; Fig. 4d,e). Two CpG sites located either within *TMEM106B* (cg09613507; Fig. 4f) or upstream (cg23422036; Fig. 4h) colocalized with both hippocampal sclerosis (cg09613507-hippocampal sclerosis PrC = 94%, cg23422036-hippocampal sclerosis PrC = 94%; Fig. 4b,f,g) and LATE-NC (cg09613507-LATE-NC PrC = 89% and cg23422036-LATE-NC PrC = 95%; Fig. 4a,f-i). A hippocampal sclerosis-associated locus within *GRN* strongly colocalized with both LATE-NC and *GRN* expression in multiple tissues (PrC > 99.9%; Extended Data Fig. 6).

*APOC2* colocalized with several traits, including methylation QTL (mQTL) for four CpG sites in ROSMAP (cg04401876, cg10169327, cg13119609 and cg09555818; PrC = 96–99%; Fig. 5a–i). The *APOC2* locus also colocalized with an expression of multiple genes in Genotype-Tissue Expression Project (GTEx), including *APOE* expression in the wall of the aorta (PrC = 94%), *CLPTMI* expression in the skin of the leg and suprapubic region and *APOC2* expression in 14 different tissues (for example, brain cortex, caudate, nucleus accumbens and cerebellum; PrC = 90–97%).

Multiple suggestive NPE loci showed evidence of colocalization with expression QTL (eQTL) in GTEx. In total, 44 NPE loci (lead variant  $P < 1 \times 10^{-5}$ ) colocalized with various QTL types (that is, expression,

methylation or splicing QTL [sQTL]; PrC  $\ge$  80%). A total of 550 NPE–QTL pairs colocalized across 44 tissues (Supplementary Table 5), many giving credence to previously discovered associations and insight into potential mechanisms. For example, rs1643235 (*ABCC9*) colocalized with hippocampal sclerosis and gene expression in multiple tissues, including the cortex (PrC = 80%), corroborating previous studies<sup>27,40,41</sup> because the *ABCC9* SNP rs4148674 ( $r^2$  = 0.96 with rs1643235) was a robust eQTL for *ABCC9* and the strongest *ABCC9* region signal for association with hippocampal sclerosis (Extended Data Fig. 7).

**Functional studies in ROSMAP.** Using data from ROSMAP participants with DNA methylation and/or RNA-sequencing (RNA-seq) data available from the dorsolateral prefrontal cortex (DLPFC), we found that neither *TMEM106B* nor *GRN* expression was associated with hippocampal sclerosis (P > 0.05), while decreased *TMEM106B* expression was associated with more severe LATE-NC pathology (P = 0.043; Fig. 4j). Of the two CpG sites that colocalized with hippocampal sclerosis and LATE-NC, hypermethylation of cg09613507 was associated with more severe LATE-NC pathology (P = 0.0093; Fig. 4k), while cg23422036 was not significantly associated (P = 0.10; Fig. 4l).

Additionally, we tested for association between CAA pathology and methylation levels at four CpG sites (cg09555818, cg04401876, cg10169327 and cg13119609) that colocalized with the chromosome 19 (chr19) CAA risk locus (rs7247551). We first confirmed that all four CpG sites were significantly associated with rs7247551 (P < 0.0001) and had directions of effect consistent with those previously reported for ROSMAP<sup>42</sup>. Hypomethylation at cg09555818 (OR = 0.82, P = 0.003) and cg13119609 (OR = 0.78, P = 0.0006) were significantly associated with more severe CAA pathology (Fig. 5j). Both cg09555818 (P = 0.0063; Fig. 5k) and cg13119609 (P = 0.0069) were significantly associated with *APOC2* expression.

Next, as *APOC2* expression in multiple brain tissues colocalized with CAA in GTEx but not ROSMAP, we investigated whether there was a nominal association between *APOC2* expression in the DLPFC and rs7247551. We found that the G allele of rs7247551 was nominally associated with increased *APOC2* expression in the DLPFC ( $\beta = 0.072$ , P = 0.00013; Fig. 51); however, the direction of effect was opposite of that found in brain tissues in GTEx (that is, the G allele of rs7247551 was associated with decreased *APOC2* expression in GTEx;  $P = 7.2 \times 10^{-7}$ ; Fig. 5m). Expression of *APOC2* in the DLPFC was not associated with CAA in ROSMAP (OR = 0.98, P = 0.89). We performed an additional post hoc

analysis for nominal *APOE* eQTL activity of rs7247551 in ROSMAP. We confirmed that rs7247551 was not associated with *APOE* expression in the DLPFC in ROSMAP (P = 0.81; Fig. 5n). *APOC2* expression was also not significantly associated with the severity of CAA pathology in ROSMAP (P = 0.089; Fig. 5o). Notably, *APOC2* is highly expressed, especially in microglia and oligodendrocytes (Fig. 5p).

**Potential effects via differential RNA splicing.** Many human genes undergo alternative splicing<sup>43–45</sup>. Recent work in ref. 46 demonstrated that many medically relevant genes express multiple RNA isoforms that result in unique proteins, including genes involved in ADRD. Specific examples include *APP*, *MAPT* and *BIN1*, which express five, five and eight distinct RNA isoforms above noise levels, respectively, in the human frontal cortex. Thus, we explored whether any genes associated with NPEs in this work express multiple RNA isoforms in the human frontal cortex.

While all loci that were associated with NPE have multiple annotated RNA isoforms, ranging from 4 (*LZTS1*) to 24 (*PICALM*) per Ensembl, most of the genes exhibited expression for only a single isoform above noise levels, per data from ref. 46. Some expressed multiple RNA isoforms, but the isoforms were not predicted to result in distinct proteins (for example, *LZTS1*)—although recent data suggest that alternative untranslated regions have direct functional consequences<sup>47–49</sup>. *BIN1*, however, actively transcribes eight distinct RNA isoforms in the frontal cortex (Fig. 4m). *BIN1* is also expressed in multiple brain cell types in humans, according to brainrnaseq.org<sup>35,36</sup>. Given the diversity of *BIN1* isoforms simultaneously expressed, we need to understand whether specific isoforms are involved in disease, and we propose differential RNA isoform expression as a potential mechanism through which disease genes may be affecting disease.

#### Discussion

The present study of 11 ADRD-related NPEs comprised 7,804 participants. These results provide an autopsy-based complement to previous studies based on clinical diagnoses and expand on the findings of previous genetic studies of dementias and neuropathologies<sup>2,6,22–24,26,41</sup>. In this work, we confirm previous findings that several loci associated with AD (including *APOE*) are also associated with non-ADNC pathogenesis. Additionally, some of the known ADRD loci (that is, *TMEM106B* and *GRN*) did not associate with any of the classical AD-defining NPEs in this study. Thus, our results improve our collective understanding of the complex nature of ADRD and its genetic bases.

Sharpening the endophenotypes enabled the discovery of new hit genes that did not reach genome-wide significance in previous studies oriented toward studying AD clinical phenotypes. We identified clear genetic associations between specific neuropathologies and loci already associated with ADRD and four new NPE-associated loci. Yet, many questions remain and will require deeper investigation. For example, three of the NPEs studied (gross infarcts, microinfarcts and Lewy body pathology) did not have any locus reach genome-wide significance in our study. Likely explanations for the lack of genome-wide associations for these three pathologies include (1) insufficient sample size, (2) the cohorts not being specifically designed to study these phenotypes, and (3) variability in the collection and scoring of these phenotypes over time and between research centers. Nonetheless, these three NPEs each had suggestive associations, including with known loci (Supplementary Results). Specifically, *TMEM106B* and *APOE* had suggestive associations with gross infarcts and Lewy body pathology, respectively. *APOE e4* was previously associated with Lewy body pathology by a study discussed in ref. 50. As study cohorts grow larger, researchers will be able to re-assess these suggestive associations.

As expected, *APOE* variation was associated with ADNC, CAA and LATE-NC. Although LATE-NC is diagnostically characterized by TDP-43 proteinopathy<sup>3,12,13</sup>, brains with a greater burden of neuritic amyloid plaques are more likely to have TDP-43 proteinopathy (and vice versa)<sup>12</sup>. Similarly, others have previously shown an association between *APOE*  $\epsilon$ 4 status and TDP-43 pathology in ROSMAP<sup>11</sup>. Unraveling the complex interaction between *APOE* and proteinopathies (including the suggestive association to Lewy bodies) may provide a crucial insight into properly treating patients with these often comorbid pathologies. A study using a mouse model suggests that TDP-43 directly interacts with amyloid- $\beta$  and promotes worse pathology<sup>51</sup>, but further studies are needed to better understand this interaction.

By adjusting for *APOE*  $\epsilon$  diplotypes, we identified a new locus near *APOC2* associated with CAA. Like *APOE*, *APOC2* is part of the apolipoprotein family and is involved in lipoprotein metabolism. Both genes directly bind fat droplets (chylomicrons)<sup>52,53</sup> and are implicated in heart disease<sup>54</sup>–a known link to *APOE* and dementia. *APOC2* and *APOE* expression is also coregulated through the same mechanisms in liver<sup>52,53</sup>. We replicated the association between *APOC2* and CAA while adjusting for *APOE* in an independent sample of 815 Mayo Clinic Brain Bank participants used in ref. 26, providing additional evidence for the *APOC2* locus being important for CAA pathology beyond the known effects of *APOE*  $\epsilon$  haplotypes.

Previously, a study discussed in ref. 55 reported that a variant proximal to rs7247551 (rs10413089; 822 bp away) showed evidence of association with clinical AD independently of *APOE* e status in their cohort but determined their results were inconclusive. Their original association did not survive multiple testing corrections, but the association replicated in an independent cohort. Summary statistics from ref. 6 reflect that rs7247551 was significantly associated with ADRD but did not report results of an independent analysis within the broader *APOE* region. Thus, the present study is the first to confirm that this

Fig. 3 | New associations identified between PIK3R5, LZTS1 and COL4A1 and Braak NFT stage, arteriolosclerosis and cerebral atherosclerosis, respectively. a, Braak stage association plot from NPE GWAS meta-analysis (n = 7,776) for the region around PIK3R5. Colored dots represent the chromosomal position (x axis, Mb) in hg38 coordinates and -log<sub>10</sub>(P value from meta-analysis two-sided z test; y axis) of each variant in the region. Dots are colored to represent the LD  $r^2$  with the lead variant (purple diamond) estimated with PLINK-r2 using 1000 Genomes phase 3 European-descended participants. The recombination rate was calculated using GRCh38 genetic map files downloaded from https://bochet.gcc.biostat.washington.edu/ beagle/genetic\_maps/ and taking the ratio of difference of CM and Mb between positions. Boxes below data indicate the location of genes in the region. (Plot generated using LocusZoom73.) b, Association of PIK3R5 lead variant (rs72844606) with Braak stage for individual cohorts (NACC, n = 5,927; ROSMAP, n = 1,172 and ACT, n = 677) and meta-analysis (n = 7,776) using METAL (y axis). Points along the x axis represent OR of association, and error bars indicate 95% Cl. c, Human brain cell-type expression profile of PIK3R5 in ref. 35. Columns represent mean FPKM. Error bars indicate the s.e. of measurement for each cell

type based on the number of human samples sequenced for each type (fetal astrocytes, n = 6; mature astrocytes, n = 12; endothelial, n = 2; microglia, n = 3; neurons, n = 1 and oligodendrocytes, n = 5). PIK3R5 is primarily expressed in microglia. d, Arteriolosclerosis association plot from NPE GWAS meta-analysis (n = 6,668) for the region around LZTS1 (see a for interpretation). e, Association of LZTS1 lead variant (rs78909048) with arteriolosclerosis for individual cohorts (NACC, n = 4,930; ROSMAP, n = 1,163 and ACT, n = 575) and meta-analysis (n = 6,668) using METAL (y axis; see **b** for interpretation). **f**, Human brain cell-type expression profile of LZTS1 in ref. 35. LZTS1 is primarily expressed in fetal astrocytes and endothelial cells (see c for interpretation). g, Cerebral atherosclerosis association plot from NPE GWAS meta-analysis (n = 7,340) for the region around COL4A1 (see a for interpretation). h, Association of COL4A1 lead variant (rs2000660) with cerebral atherosclerosis for individual cohorts (NACC, n = 5,496; ROSMAP, n = 1,175 and ACT, n = 669) and meta-analysis (n = 7,340) using METAL (yaxis; see b for interpretation). i, Human brain cell-type expression profile of COL4A1 in ref. 35. COL4A1 is preferentially expressed in fetal astrocytes and endothelial cells with lower expression in neurons (see c for interpretation). Mb, megabase.

association is independent of the known effects of *APOE* alleles. Both the potential association with clinical AD status found by ref. 55 and the association with CAA we report herein should be followed up in future studies.

Several variants in the *APOC2* locus were lead eQTLs for *APOC2* brain expression in both GTEx and ROSMAP. Colocalization analysis

confirmed that the new CAA risk locus shares a functional variant with both *APOC2* eQTL and nearby brain cortex mQTL. We confirmed that two of the CpG sites affected by the CAA risk locus, cg09555818 and cg13119609, were, in turn, significantly associated with CAA pathology. Both CpG sites are located within the *APOC4–APOC2* readthrough transcript region, overlapping *APOC4* and *APOC2*. Our results are consistent



NPE/ Chr	Locus <sup>a</sup>	Position <sup>b</sup>	Variant	Effect/ other allele	EAF°	NPE OR <sup>d</sup>	NPE P value <sup>d</sup>	NPE Q value <sup>e</sup>	ADRD OR <sup>f</sup>	ADRD P value <sup>f</sup>	NPE-ADRD concordant effect direction
Braak N	IFT stage										
2	BIN1	127,135,234	rs6733839	T/C	0.42	1.21	1.6×10 <sup>-9</sup>	1.2×10 <sup>-7</sup>	1.18	6.5×10 <sup>-90</sup>	Yes
3	MME	155,069,722	rs16824536	A/G	0.05	0.75	0.00016	0.0063	0.92	3.8×10⁻⁵	Yes
6	HLA-DQA1	32,615,322	rs6605556	A/G	0.16	0.85	0.00024	0.0063	0.91	1.0×10 <sup>-17</sup>	Yes
CAA											
11	PICALM	86,157,598	rs3851179	T/C	0.35	0.89	0.00087	0.04	0.90	6.5×10 <sup>-36</sup>	Yes
12	TPCN1	113,281,983	rs6489896	T/C	0.07	1.23	0.001	0.04	1.08	2.5×10 <sup>-6</sup>	Yes
CERAD	score										
1	CR1	207,577,223	rs679515	T/C	0.20	1.14	0.0018	0.023	1.13	5.2×10 <sup>-33</sup>	Yes
2	BIN1	127,135,234	rs6733839	T/C	0.41	1.19	3.2×10 <sup>-7</sup>	2.5×10⁻⁵	1.18	6.5×10 <sup>-90</sup>	Yes
2	INPP5D	233,117,202	rs10933431	C/G	0.22	0.88	0.0017	0.023	0.92	1.0×10 <sup>-17</sup>	Yes
7	ZCWPW1/NYAP1	100,334,426	rs7384878	T/C	0.29	0.90	0.0032	0.031	0.93	2.1×10 <sup>-18</sup>	Yes
8	РТК2В	27,362,470	rs73223431	T/C	0.37	1.12	0.0012	0.023	1.07	5.3×10 <sup>-15</sup>	Yes
11	PICALM	86,157,598	rs3851179	T/C	0.35	0.84	1.1×10 <sup>-6</sup>	4.1×10 <sup>-5</sup>	0.90	6.5×10 <sup>-36</sup>	Yes
11	SORL1	121,564,878	rs11218343	T/C	0.04	0.78	0.0057	0.044	0.85	1.0×10 <sup>-14</sup>	Yes
14	FERMT2	52,924,962	rs17125924	A/G	0.09	1.19	0.0038	0.033	1.09	5.8×10 <sup>-10</sup>	Yes
15	SNX1	64,131,307	rs3848143	A/G	0.22	1.13	0.0031	0.031	1.05	1.1×10 <sup>-6</sup>	Yes
19	ABCA7	1,050,875	rs12151021	A/G	0.33	1.15	0.0005	0.013	1.11	4.1×10 <sup>-30</sup>	Yes
Hippoc	ampal sclerosis										
7	TMEM106B	12,229,967	rs13237518	A/C	0.42	0.66	6.0×10 <sup>-11</sup>	4.6×10 <sup>-9</sup>	0.96	5.1×10 <sup>-7</sup>	Yes
16	IL34	70,660,097	rs4985556	A/C	0.11	0.74	0.0019	0.037	1.06	5.6×10 <sup>-6</sup>	No
17	GRN	44,352,876	rs5848	T/C	0.30	1.40	3.2×10 <sup>-8</sup>	1.2×10 <sup>-6</sup>	1.07	1.8×10 <sup>-12</sup>	Yes
17	MAPT	46,779,275	rs199515	C/G	0.21	0.77	0.00034	0.0087	0.94	6.0×10 <sup>-9</sup>	Yes
LATE-N	С										
7	TMEM106B	12,229,967	rs13237518	A/C	0.43	0.69	6.3×10⁻ <sup>8</sup>	4.8×10 <sup>-6</sup>	0.96	5.1×10 <sup>-7</sup>	Yes
17	GRN	44,352,876	rs5848	T/C	0.30	1.32	1.3×10⁻⁵	5.0×10 <sup>-5</sup>	1.07	1.8×10 <sup>-12</sup>	Yes
Microin	farct										
12	TPCN1	113,281,983	rs6489896	T/C	0.07	1.28	0.0013	0.049	1.08	2.5×10 <sup>-6</sup>	Yes
16	PLCG2	81,739,398	rs12446759	A/G	0.39	0.87	0.00055	0.042	0.94	3.6×10 <sup>-12</sup>	Yes

#### Table 3 | Associations between NPEs (using NACC, ROSMAP and ACT datasets) and known ADRD loci

<sup>a</sup>Either known locus or closest protein-coding gene according to GENCODE release 40. EAF, effect allele frequency. <sup>b</sup>Position of the lead variant using GRCh38 assembly. <sup>c</sup>EAF in NPE meta-analysis. <sup>d</sup>NPE *P* values and OR are from meta-analysis. <sup>e</sup>NPE *Q* values are produced by applying Benjamini–Hochberg adjustments for each endophenotype separately. <sup>f</sup>ADRD *P* values and OR are from ref. 6 stage I GWAS (*n*=487,511). OR are with respect to the Bellenguez effect allele.

with the hypothesis that the association between rs7247551 and CAA risk may be driven by hypomethylation of CpG sites in the *APOC2* region. Previous studies in other human cohorts also implicate hypomethylation at cg09555818 and cg13119609 in AD<sup>56–58</sup>. Collectively, these results provide evidence that *APOC2* may be the target gene of the rs7247551 CAA risk locus, although more research must be done for verification.

We also identified associations between known loci and multiple NPEs, including *BIN1*, *APOE* and *TMEM106B*. These associations provide context regarding their involvement in disease pathogenesis. One intronic locus of *TMEM106B* was significantly associated with both hippocampal sclerosis and LATE-NC, while a locus within *GRN* was associated with hippocampal sclerosis. Both genes are associated with frontotemporal lobar degeneration with TDP-43 inclusions<sup>59,60</sup>, hippocampal sclerosis<sup>61,62</sup>, and were recently associated with clinical AD<sup>5,6</sup>. We found that hippocampal sclerosis, LATE-NC, and clinical AD all colocalize at these two loci, suggesting that hippocampal sclerosis, LATE-NC and clinical AD likely share causal loci for these genes. Furthermore, hippocampal sclerosis (*GRN* and *TMEM106B*) and LATE-NC (*TMEM106B*) colocalized with brain eQTL and mQTL, and *TMEM106B* expression and methylation were associated with LATE-NC in downstream analyses. Notably, lead *GRN* and *TMEM106B* variants identified in GWAS of ADRD were not associated with the ADNC NPEs. Given that a substantial fraction of individuals diagnosed with dementia have LATE-NC or hippocampal sclerosis pathology (with estimates as high as -50%)<sup>3</sup>, it is plausible that the associations found between these genes and clinical AD in recent GWAS are due to individuals with these non-AD pathologies who were diagnosed clinically with AD.

A locus -30 kbp downstream of *BIN1* on chr2q14 was significantly associated with Braak stage and suggestively associated with CERAD score for neuritic plaques. In previous GWAS, this locus was second only to *APOE* for strength of association with LOAD<sup>6</sup>. We verified through colocalization analysis that the same locus drives association signals with the Braak NFT stage and CERAD score. Interestingly, the lead variant in this locus, rs6733839, was not associated with nonneuritic amyloid plaques nor CAA, neither of which include tau deposits. Previous research supports the hypothesis that *BIN1* is associated with LOAD through its effect on NFT rather than amyloid pathology<sup>63,64</sup>.

We also discovered intriguing new loci mapped to *COL4A1, PIK3R5* and *LZTS1* associated with atherosclerosis in the circle of Willis, Braak NFT stage and brain arteriolosclerosis, respectively.



**Fig. 4** | **Hippocampal sclerosis and quantitative trait locis all colocalize with LATE-NC on** *TMEM106B***. We investigated whether loci associated with multiple NPEs show evidence for genetic colocalization using a Bayesian colocalization analysis approach implemented in the coloc R package<sup>72</sup>. <b>a**, The *TMEM106B* lead variant (rs2043539) reached genome-wide significance with LATE-NC. **b**, c, Hippocampal sclerosis colocalized with LATE-NC on *TMEM106B* (PrC = 91%). **d**, e, *TMEM106B* expression colocalized with LATE-NC (PrC = 90%). **f**-**i**, Two methylation QTL (mQTLs), cg09613507 (PrC = 89%; **f**,**g**) and cg23422036 (PrC = 95%; **h**,**i**), also colocalized with LATE-NC. **a**, **b**, **d**, **f** and **h** show regional LocusZoom<sup>73</sup> plots for each trait. Purple diamonds represent lead variants. **c**, **e**, **g** and **i** compare  $-\log_{10}(P)$  values between each trait compared to LATE-NC  $-\log_{10}(P)$  values across the *TMEM106B* rs2043539 locus (color legend same as in **a**). The *TMEM106B* expression and the methylation data were obtained from ROSMAP. **j**, Decreased *TMEM106B* expression was associated with more severe LATE-NC pathology (*P* = 0.043). Unless otherwise specified, for all boxplots, boxes outline the first quartile, median and third quartile. Whiskers extend up to 1.5× the distance between the first and third quartiles. **k**, Hypermethylation of cg09613507 was associated with more severe LATE-NC pathology (*P* = 0.0093). **I**, Methylation at cg23422036 was not significantly associated (*P* = 0.10). **m**, Unrelated to *TMEM106B*, *BIN1* expresses eight distinct RNA isoforms simultaneously in the frontal cortex from six AD cases and six controls. To understand the complexities and nuances of ADRDs, we also need to understand the nuances of the genes purported to be driving disease. CDS, coding sequence; CTRL, control; CPM, counts per million; F, female; M, male; hipp. scler., hippocampal sclerosis; expr. cer., expression in cerebellum.



**Fig. 5** | **Four mQTL colocalize with CAA on** *APOC2.* Using the same Bayesian colocalization analysis approach from Fig. 4 (coloc 5.2.2 R package<sup>72</sup>), we tested for colocalization between CAA and methylation sites using existing data from ROSMAP. **a**, Lead SNP rs7247551, near *APOC2*, reached genome-wide significance with CAA. **b**, **d**, **f**, **h**, The rs7247551 was also significantly associated with four mQTL. **b**-**i**, cg04401876 (PrC = 96%; **b**, **c**), cg10169327 (PrC = 96%; **d**, **e**), cg13119609 (PrC = 99%; **f**, **g**) and cg09555818 (PrC = 97%; **h**, **i**) all colocalized with CAA. **a**, **b**, **d**, f and **h** show regional LocusZoom<sup>73</sup> plots for each trait. **c**, **e**, **g** and **i** compare  $-\log_{10}(P)$  values between each trait compared to CAA  $-\log_{10}(P)$  values across the *APOC2* rs7247551 locus. Variants in LD with the lead variant (purple diamond in **a**-**i**) are shaded in **a**-**i** according to the color legend on the left-hand side of **a**. **j**, Plots of normalized methylation level versus CAA pathology severity. Hypomethylation at cg09555818 (OR = 0.82, *P* = 0.003) and cg13119609 (OR = 0.78, *P* = 0.0006) were significantly associated with more severe CAA

pathology. Unless otherwise specified, for all boxplots, boxes outline the first quartile, median and third quartile. Whiskers extend up to 1.5× the distance between the first and third quartiles. **k**, Both cg09555818 (P = 0.0063; **k**) and cg13119609 (P = 0.0069; not shown) were significantly associated with *APOC2* expression. **l**, **m**, The rs7247551 G allele was significantly associated with increased *APOC2* expression in the frontal cortex in ROSMAP ( $\beta = 0.072$ , P = 0.00013; **l**); however, the direction of effect was opposite of that found in brain tissues in GTEx ( $P = 7.2 \times 10^{-7}$ ; **m**). **n**, **o**, The rs7247551 was not associated with *APOC2* (P = 0.81; **n**) or *APOC2* (P = 0.89; **o**) expression in frontal cortex in ROSMAP. **p**, *APOC2* is highly expressed, especially in microglia and oligodendrocytes. Columns represent mean FPKM. Error bars indicate the s.e. of measurement for each cell type based on the number of human samples sequenced for each type (fetal astrocytes, n = 6; mature astrocytes, n = 12; neurons, n = 1; oligodendrocytes, n = 5; endothelial, n = 2 and microglia, n = 3). expr., expression; norm., normalized.

One locus on chr13q34 with lead variant rs2000660 located 12 kbp upstream of COL4A1 was significantly associated with atherosclerosis in the circle of Willis. Previous research found that the COL4A1/COL4A2 locus is associated with numerous vascular disease phenotypes, including peripheral artery disease, coronary artery disease, stroke, arteriolar stiffness, rare familial cerebrovascular diseases and stroke<sup>65-67</sup> In a recent GWAS, rs2000660 was a lead risk variant for migraines<sup>68</sup>. The relevance of the *COL4A1* locus to cerebral vascular traits is thus highly supported by previous research, and the biological role of collagen IV in vascular disease is possibly related to the disruption of the extracellular matrix<sup>65</sup>. COL4A1 is preferentially expressed in astrocytes and endothelial cells and codes for a component of collagen IV, an important component of basal lamina. Endothelial cells are strongly implicated in atherosclerosis, and in recent years, researchers have suggested that astrocytes may also be directly involved in cerebrovascular disease<sup>69</sup>. The rs2000660 was not nominally associated with any other vascular NPE in our study, and a previous GWAS of circle of Willis atherosclerosis using ROSMAP participants did not identify the COL4A1 as a risk locus<sup>24</sup>. The rs650724, a variant in high LD with rs2000660 ( $r^2 = 0.84$ ), is a synonymous coding variant (p.Ser1600Ser in ENST00000375820.10; p.Ser319Ser in ENST00000650424.1) within COL4A1. The rs2000660 is also located within an enhancer for COL4A1, presenting possible molecular functional mechanisms driving association in this locus.

An intronic variant within *PIK3R5* (rs72844606; chr17p13) was associated with the Braak NFT stage. *PIK3R5* codes for a phosphatidylinositol 3-kinase involved in cell growth, motility and survival. There is previous research suggesting that *PIK3R5* is more highly expressed in aged adults with Braak NFT stages V and VI versus nondemented controls<sup>70</sup>. *PIK3R5* is expressed preferentially in microglial cells in humans<sup>35</sup>, suggesting that its association with neurofibrillary pathology may be immune-mediated.

One new intronic locus in *LZTS1* was found to be protective against brain arteriolosclerosis. The relatively modest literature regarding *LZTS1* has focused mostly on cancers; however, *LZTS1* is primarily expressed in endothelial cells and astrocytes, cell types relevant to vascular function and dysfunction. One paper suggests that *LZTS1* is involved in neuronal delamination and development of glial-like cells during mammalian neocortical development<sup>71</sup>, but additional work related to *LZTS1* and its function in the cerebrovasculature and brain parenchyma is needed.

In conclusion, we identified promising new loci associated with NPEs and replicated multiple known risk loci for ADRD using NPE-based GWAS. Our study demonstrates the importance of studying genetic risk factors of NPEs as a complement to studies of clinical and proxy phenotypes of LOAD.

#### **Online content**

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41588-024-01939-9.

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A full list of members appears in the Supplementary Information.

### Methods

#### Participants

An overview of our study design is presented in Fig. 1. Each participating study previously obtained informed consent from participants or caregivers for participants with substantial cognitive impairment. Parent study protocols were approved by the local institutional review boards. This study was approved by the University of Kentucky Office of Research Integrity Institutional Review Board.

**NACC.** The present study used NACC data from 36 National Institute on Aging (NIA)-funded Alzheimer's Disease Research Centers (ADRCs). Individual ADRCs use different recruitment strategies and perform autopsies on-site, but neuropathology data at each ADRC are collected using a standard form (https://files.alz.washington.edu/documentation/np11-form.pdf) and submitted to NACC where they are aggregated and anonymized. The NACC Neuropathology dataset based on the first version of this form was originally implemented in 2001 (ref. 30), and this analysis uses data from then through the March 2023 freeze. Participants were excluded if they did not have autopsy dataset to have at least one of 19 conditions that could potentially bias results. These conditions include brain tumors, severe head trauma and frontotemporal lobar degeneration (see Supplementary Table 6 for the full list of variables used for exclusion criteria).

**ROSMAP.** ROSMAP consists of harmonized data from the following two longitudinal cohort studies: the Religious Orders Study (ROS) and the Rush Memory and Aging Project (MAP)<sup>74</sup>. ROS and MAP were both approved by the Institutional Review Board of Rush University Medical Center. All participants signed the Uniform Anatomic Gift Act, as well as informed and repository consents. ROS began in 1994 and has recruited over 1,500 Catholic priests, nuns and brothers across the United States. MAP started in 1997 and has enrolled more than 2,300 community members in the greater Chicago area of northeastern Illinois. The ROSMAP NP data used in this study were received from Rush University Medical Center in January 2020. Using KING 2.2.7 (ref. 75), we found that several participants in ROSMAP also had neuropathology and genotype data available in NACC. In these cases, records in the NACC were preferentially kept.

**ACT.** The ACT study began in 1994 and recruited residents in the greater Seattle area aged 65 years and older without dementia at the time of enrollment<sup>76–79</sup>. The study has expanded to include three cohorts with continued enrollment using the original enrollment criteria and has a current total of 4,960 participants across all three cohorts. The ACT NP data used in this study were obtained from Kaiser Permanente in May 2023.

#### Genotype data and quality control

Genotype data for all cohorts underwent imputation using the Trans-Omics for Precision Medicine (TOPMed) Imputation Server 1.7.3 and the TOPMed reference panel using Minimac 4 (refs. 80-82). Postprocessing was performed with BCFtools 1.10.2 (ref. 83) and SAMtools 1.10 (ref. 84), PLINK 1.9 and 2.0 (ref. 85), R 4.2.1 and 4.2.2 (https://cran.r-project.org/), and R packages data.table 1.14.10 (https:// CRAN.R-project.org/package=data.table) and stringi 1.803 (ref. 86). The 3.4.2 NACC and ACT raw genotype data were obtained from the September 2020 freeze of the Alzheimer's Disease Genetics Consortium (ADGC) in March 2021 and subsequently imputed. Pre-imputed ROS-MAP genotype data were received from collaborators in the Hohman Lab at Vanderbilt University in December 2021. Genetic variants with minor allele frequency (MAF) < 0.1% and imputation quality scores of <0.8 were removed before further quality control measures. Due to the small sample sizes of participants with substantial non-European ancestry (based on proximity to 1000 Genomes 'EUR' superpopulation

cluster in principal component (PC) analysis), especially in replication cohorts, these participants were excluded from the analysis. Standard GWAS quality control procedures were followed for variant and participant inclusion (Supplementary Methods).

#### Defining and harmonizing NPEs for analysis

In total, we combined and/or harmonized 11 NPEs for analysis across the four studies. We note that there are differences in the way that some neuropathological data were collected across studies, and our strategy for harmonizing was informed by practical considerations for maximizing available sample sizes given the available endophenotypes. Thus, several synthetic NPEs were created by merging existing NPEs within a cohort or by harmonizing categorical variables from one cohort and continuous variables from another. Hippocampal sclerosis. microinfarcts and gross infarcts were recorded as binary case-control phenotypes. Arteriosclerosis, atherosclerosis, CAA, CERAD score for neuritic plaques, amyloid plaques, LATE-NC and Lewy body pathology were recorded as four-stage ordinal variables that either measured progressive severity of pathology ('none' < 'mild' < 'moderate' < 'severe') or progressing anatomical distribution of pathology. Braak NFT was recorded as a seven-stage ordinal variable that followed the anatomical distributional stages originally characterized in ref. 87. We provide a deeper description of our harmonization approach in the Supplementary Methods, and a detailed listing of variables harmonized across data sources to construct NPEs for analysis is available in Supplementary Table 1.

To assess the co-occurrence of NPEs in our data, we estimated polychoric correlations (an approach that assumes that observed ordinal or binary variables reflect latent normally distributed variables) between NPE pairs, followed by hierarchical clustering using the polycor 0.8-1 (ref. 88), psych<sup>89</sup> and pheatmap 1.0.12 (ref.90) R packages (Extended Data Fig. 1).

#### **DNA methylation data**

Preprocessed and quality-controlled DNA methylation data for 740 ROSMAP participants were downloaded from Synapse.org (Synapse IDs: syn3157275 and syn3191087). DNA methylation preparation and quality control measures have been previously described<sup>91,92</sup>. Briefly, approximately 50 mg of frozen gray matter tissue from the DLPFC was sampled from each participant. DNA was then extracted and processed using the Illumina Infinium HumanMethylation450 BeadChip. Quality control measures included removing low-quality probes, removing participants with poor bisulfite-conversion efficiency and adjusting methylation levels by age, sex and batch, which adequately controlled for batch effects<sup>92</sup>. Missing methylation levels were imputed using 100-nearest neighbors<sup>91,92</sup>.

#### **RNA-seq data**

Preprocessed and quality-controlled bulk-tissue RNA-seq data from the DLPFC of ROSMAP participants were downloaded from Synapse.org (Synapse IDs: syn21088596, syn21323366, syn3505732 and syn3505724). As previously described, samples were prepared by sectioning approximately 100 mg of gray matter tissue from the DLPFC and RNA extracted using the Qiagen MiRNeasy Mini (217004) protocol and then submitted for transcriptome library construction using the dUTP protocol and Illumina sequencing<sup>92</sup>. A total of 634 participants in seven batches were sequenced with an average sequencing depth of 50 million paired reads per sample<sup>92</sup>. Subsequent quality control and batch corrections were performed, and the final output of the RNA-Seq pipeline was fragments per kilobase of transcript per million mapped reads (FPKM)<sup>92</sup>.

#### **Statistical analyses**

**Single-variant GWAS.** We analyzed ordinal endophenotypes using proportional-odds logistic mixed-effects models implemented in the

POLMM 0.2.3 (refs. 93,94) and GRAB 0.1.1 R packages<sup>93</sup> and analyzed binary variables similarly with logistic mixed-effects models implemented in the SAIGE R package<sup>95</sup>. Fixed-effect covariates included age at death, sex, cohort and the first ten genetic PCs created using the PCA in Related Samples (PC-AiR) method in the GENESIS 2.26.0 R package<sup>96</sup>. We included a dense genetic relationship matrix (GRM) as a random effect to account for relatedness between participants. An additive mode of inheritance was assumed in all analyses.

Analysis of individual data sources proceeded in two stages. In stage one, GRM was constructed using a pruned set of independent variants, defined as having a pairwise  $r^2 < 0.2$  within moving windows of 15 kbp. Null models, which included fixed covariates and the GRM, were then fitted using the GWASTools 1.42.1 (ref. 97), SNPRelate 1.30.1 (ref. 98), POLMM 0.2.3 (refs. 93,94), GRAB 0.1.1 (refs. 93,94) and/or SAIGE 1.1.3 (ref. 95) R packages. In stage two, score tests were performed on each variant with a saddle-point approximation used to calculate *P* values. We considered all variants with a  $P < 5 \times 10^{-8}$  to be genome-wide significant. To identify independent risk loci, we clumped results using the '--clump' flag in PLINK 1.9 with the pairwise LD threshold set to  $r^2 \le 0.05$  (https://www.cog-genomics.org/plink/1.9/)<sup>85</sup>. Following analyses of individual cohorts, we performed fixed-effects meta-analyses using METAL software using inverse-variance weighting on variants with MAF > 1% in each cohort<sup>33</sup>.

Conditional analysis of the APOE region. The region surrounding the APOE gene on chr19 is consistently the single strongest genetic risk factor for LOAD in GWAS. Three common forms of the APOE gene  $-\epsilon^2$ ,  $\epsilon$ 3 and  $\epsilon$ 4-are present in our study populations (see Table 1 for distribution of APOE alleles in participants), and the  $\epsilon 2$  and  $\epsilon 4$  alleles are associated with lower and higher risk of LOAD, respectively, relative to the  $\epsilon$ 3 allele<sup>99</sup>. We therefore expected that variants in the APOE region, defined as the region within 200 kbp from the start and end transcription sites of APOE, would be associated with multiple NPEs in our study. Moreover, we hypothesized that genetic variants in the APOE region may influence neuropathology risk independently of the effects of APOE c alleles. To test this hypothesis, we re-analyzed variants in chr19 while adjusting for APOE  $\epsilon$  diplotype. We limited re-analysis to endophenotypes with at least one genome-wide significant association signal within the APOE locus in the final meta-analysis of the three independent GWAS. APOE diplotypes were determined either using the rs7412 and rs429358 variants according to the SNPedia online ref. 100 or taken directly from study data if available. Both rs7412 and rs429358 variants had high imputation guality scores ( $r^2 = 0.997$  and 0.975, respectively). The  $\epsilon_{3/\epsilon_{3}}$  diplotype was used as a reference, and we included fixed-effect indicator variables to adjust for  $\epsilon_2/\epsilon_2$ ,  $\epsilon_2/\epsilon_3$ ,  $\epsilon^{3/\epsilon^{4}}$  and  $\epsilon^{4/\epsilon^{4}}$  diplotypes. We chose this approach rather than adjusting for counts of  $\epsilon 2$  and  $\epsilon 4$  alleles because it is robust to potential nonlinear effects of genotypes. We performed additional sensitivity analyses for loci identified through this approach (Supplementary Information).

**Replication of known AD risk loci in NPE.** We used the 83 ADRD loci presented in a recent large GWAS to investigate whether AD-associated loci were associated with NPE<sup>6</sup>. We restricted our comparison to AD loci with lead variants with MAF  $\ge$  0.01, which excluded three loci, leaving 76 loci for comparison. LD for variants near the top-known AD-associated variants was evaluated using the R package LDLinkR 1.2.3 (ref. 101). We controlled the false-discovery rate for each NPE using the Benjamini–Hochberg procedure<sup>102</sup>. Variants with an adjusted *Q* value  $\le$  0.05 were considered significant.

FUMA and FAVOR annotation, gene-prioritization and functional enrichment pipeline. We mapped variants to genes and performed subsequent gene and gene-set analyses using the FUMA and FAVOR pipelines<sup>37,103</sup>. Variants were mapped to genes if they had  $P \le 1 \times 10^{-5}$ 

in the GWAS meta-analysis and were located within 10 kbp of a protein-coding gene's transcription start or end sites. Gene-based analyses were performed using MAGMA 1.10. The top variant PCs that accounted for 99.9% of the variance in a gene's region were used to test for significance using an *F* test. We considered genes with resulting  $P \le 2.5 \times 10^{-6}$  to be significantly associated with NPE. Gene-set enrichment analyses were performed using MAGMA<sup>104</sup> gene-set analysis of Gene Ontology and curated gene sets from MSigDB<sup>105</sup>. Bonferroni *P* value corrections were made for each NPE individually.

**Colocalization analyses.** We used multiple sources of publicly available summary statistics from external studies as data sources for Bayesian colocalization analyses. First, we downloaded Genotype-Tissue Expression Project (GTEx) v8 European ancestry QTL analysis summary statistics, which contains summary statistics for significant gene expression and splicing QTL variants (eQTL and sQTL, respectively) in 48 body tissues<sup>106</sup>. We also used gene expression and DNA mQTL analysis summary statistics from studies using tissue from the DLPFC of ROSMAP participants<sup>42</sup>. These studies examined the associations of genetic variants with molecular traits and provided curated lists of significant QTL variants. Finally, we downloaded the summary statistics from a recent GWAS of LOAD for a targeted *post hoc* colocalization analysis in *TMEM106B* and *GRN*<sup>6</sup>.

For each NPE outcome in our study, we first created a list of genetic variants with  $P \le 1 \times 10^{-5}$  in the GWAS meta-analysis. We then queried the lists of significant QTL variants in GTEx and ROSMAP using R (https:// cran.r-project.org/) and Python 3.8.16 and 3.10.8 (https://www.python. org/) to identify neuropathology-associated QTL variants. For each genetic locus associated with NPEs that had at least one significant QTL in either GTEx or ROSMAP, we performed colocalization analysis using the 'coloc.abf' function in the coloc 5.2.2R package<sup>39</sup>. For ordinal variables, we chose dichotomizing cut points to determine case–control proportions. We used coloc's default prior PrC of PrC =  $1 \times 10^{-5}$  and considered a posterior PrC > 80% as a threshold for evidence of colocalization.

To investigate whether shared GWAS signals drive association among multiple NPEs, we also performed colocalization analysis on loci with variants satisfying  $P < 1 \times 10^{-4}$  and concordant effect direction for at least two NPEs in the GWAS meta-analysis. Due to the absence of associations in the region in *APOE*-adjusted analyses for NPEs other than CAA, we excluded that region for NPE–NPE colocalization analyses.

Association analyses using DLPFC DNA methylation and bulk RNA-seq data from ROSMAP. ROSMAP participants had postmortem bulk-tissue samples collected from the DLPFC, which underwent DNA methylation quantification using the Illumina DNAMethylation450 chip and gene expression and RNA-seq using the Illumina HiSeq 2000 (ref. 92). In total, 708 ROSMAP participants had DNA methylation data available for analysis. We restricted analyses involving DNA methylation or RNA-seq data to NPE-associated loci that reached the genome-wide significance threshold in the meta-analysis and also colocalized with mQTL or eQTL in brain tissue in either GTEx or ROSMAP.

In our APOE  $\epsilon$ -adjusted genetic association analysis, one locus near APOE remained significantly associated with CAA. This locus colocalized with DNA methylation levels at four CpG sites in ROSMAP. To investigate whether these CpG sites were in turn associated with CAA pathology, we combined individual-level DNA methylation and neuropathological data in ROSMAP for analysis. We used cumulative logit models using the 'clm' function implemented in the R package ordinal 2023.13.12-04 (ref. 107) with the semi-quantitative CAA variable described above as the outcome for analysis. We performed four analyses, with one of each of the four CpG sites tested as the independent variable of interest in each analysis. We adjusted for age, sex, ROS versus MAP study, bisulfite-conversion efficiency, postmortem interval and *APOE*  $\epsilon$  diplotype in each analysis. Similar models were used to test associations between hippocampal sclerosis and LATE-NC and methylation levels at CpG sites cg09613507 and cg23422036. Wald tests were performed on the resulting parameter estimates to test for statistical significance. We also performed post hoc analyses examining the association between these CpG sites and *APOC2* expression in ROSMAP.

For genes with significant eQTL in GTEx or ROSMAP that colocalized with NPE, we performed additional targeted analyses to assess the association between gene expression and NPE. We first assessed the association between NPE lead variants and gene expression in ROSMAP to confirm nominal eQTL status. We then performed generalized linear regression models between square-root or log-transformed mRNA expression and NPE outcomes adjusting for age at death, sex, PMI and RNA integrity number.

Plots from these analyses were generated using the R package ggplot2 (ref. 108).

#### Replication of CAA locus using Mayo Clinic neuropathology GWAS.

We used data from Mayo Clinic Brain Bank participants available from ref. 26 study of the genetic risk factors of CAA (dataset heretofore referred to as MC-CAA) to attempt to replicate a new CAA locus in the present study in an independent sample<sup>26</sup>. Neuropathology and genetic variant data were downloaded from Synapse (Synapse IDs: syn10930250, syn21499318, syn21522653 and syn21547862). Eight participants were identified as duplicates between batches or with NACC participants and removed. While CAA is graded on a four-level ordinal scale in the present study, CAA in MC-CAA is graded as an average of CAA burden across five brain regions<sup>26</sup>. We therefore used linear regression with the outcome variable as sqrt(CAA) with the independent variable of interest being the number of G alleles of variant rs7247551. Covariates included *APOE* diplotype ( $\epsilon_3/\epsilon_3$ ,  $\epsilon_2/\epsilon_4$ ,  $\epsilon_2/\epsilon_3$ ,  $\epsilon_3/\epsilon_4$ or  $\epsilon_4/\epsilon_4$ ), sex, age at death (truncated at 90 years) and the first three genetic PCs.

#### **Reporting summary**

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

#### Data availability

Meta-analysis summary statistics for each NPE studied will be made available through NIAGADS upon publication at https://dss. niagads.org/. The authors are unable to share genotype or phenotype data from NACC. ADGC. ROSMAP or ACT due to data use restrictions. While these data were de-identified for study authors. these studies contain identifiable information on participants. ROSMAP data can be requested at https://www.radc.rush.edu and https://www.synapse.org. ADGC data can be requested from NIA-GADS at https://www.niagads.org/resources/related-projects/ alzheimers-disease-genetics-consortium-adgc-collection. NACC neuropathology data can be requested at https://naccdata.org/. ACT data can be requested at https://actagingresearch.org/. Harmonized neuropathology data are available through NIAGADS at https://dss. niagads.org/datasets/ng00067/. The results published here are in whole or in part based on data obtained from the AD Knowledge Portal. Raw long-read RNA-seq data generated and used in this manuscript are publicly available in both Synapse (https://www.synapse. org/#!Synapse:syn52047893) and NIH SRA (accession: SRP456327). Processed long-read RNA-seq data can be easily downloaded or viewed at https://ebbertlab.com/brain\_rna\_isoform\_seq.html.

#### **Code availability**

All code used for data preparation and analysis is available at https://doi.org/10.5281/zenodo.11089995 (ref. 109).

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#### **Author contributions**

L.M.P.S. conceptualized study design, prepared data, performed analyses and contributed to manuscript preparation. Y.K. and S.M. provided feedback on analyses and contributed to the manuscript. Y.K. also provided software for data preparation. K.Z.A. assisted with creating figures. Q.Q. assisted with gene-based and gene-prioritization analyses and assisted with creating figures. S.A.C. and M.T.W.E. helped with data interpretation and preparing figures, and contributed to manuscript preparation. E.L.A. provided guidance on the interpretation of BIN1 results and provided extensive feedback on manuscript preparation. B.A.H., J.A.B. and M.L.P. performed RNA isoform sequencing and analyses. T.J.H. performed imputation and guality control on ROSMAP genotype data. K.N. and A.J.S. provided imputed and quality-controlled ADNI genotype data used in an earlier version of the manuscript and provided feedback on manuscript preparation. D.A.B. and J.A.S. provided ROSMAP neuropathology data and made critical revisions to the manuscript. P.T.N. provided guidance on defining NPEs and contributed to the manuscript. D.W.F. conceptualized the study design and contributed to manuscript preparation. P.T.N., M.T.W.E. and D.W.F. jointly supervised the study. NACC and ADGC consortia provided data for their respective studies. All authors read and approved the final manuscript.

#### **Competing interests**

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#### **Additional information**

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#### Extended Data Fig. 1 | Heatmap of the polychoric correlations of 11

**neuropathology endophenotypes.** The y-axis (rows) and x-axis (columns) refer to the neuropathology endophenotype pairs with the hierarchical clustering generated by the polychoric correlations calculation. The red and blue color refers to high and low correlations between the neuropathology endophenotype pairs. The three positively correlated clusters of endophenotypes that match general expectations are highlighted by the black solid lines: a 'vascular' cluster consisting of gross infarcts, microinfarcts, arteriolosclerosis and atherosclerosis; an 'Alzheimer's disease' cluster consisting of Braak NFT stage, neuritic plaques, amyloid-beta plaques and CAA; and a 'LATE' cluster consisting of LATE-NC and HS, respectively.



**Extended Data Fig. 2** | **Quantile-quantile (QQ) plots for the 11 neuropathology endophenotype.** The y-axis refers to the experimental –  $\log_{10}(P)$  from two-sided z test of the genome-wide association study (GWAS) meta-analysis. The x-axis refers to the theoretical – $\log_{10}(P)$  based on percentile. Each point represents a single-nucleotide polymorphism (SNP). The line of identity (y = x) is shown in a black dashed line, indicating the expected alignment

under the null hypothesis. Deviations from this line suggest possible inflation due to population structure or polygenic effects. The genomic inflation factor lambda ( $\lambda$ ) is calculated for each phenotype indicating minimal inflation of test statistics. The  $\lambda$  estimates ranged from 0.9907 to 1.0094, and visual inspection of the QQ plots did not suggest any systematic bias in the data.



**Extended Data Fig. 3** | **Forest plots of associations between CAA and lead variant (rs7247551) on chromosome 19 stratified by study and** *APOE* **e diplotype.** For each of the data sources (NACC n = 5,927, ROSMAP n = 1,172 and ACT n = 677), we re-analyzed the association between CAA and lead variant rs7247551 from the meta-analysis while stratifying by *APOE* **e** diplotype and visually compared effect sizes across groups. Due to low sample sizes preventing model convergence, APOE e4 carriers (diplotypes e2/e4, e3/e4, e4/e4) were merged in analyses for ROSMAP and ACT. Points along the x-axis represent the estimated odds ratios, and error bars indicate 95% CI. Results demonstrate a consistent pattern of association between rs7247551 and CAA within each of the data sources used in our study.



**Extended Data Fig. 4** | **Regional plot and the chromatin interaction plot of rs2000660 that is associated with cerebral atherosclerosis.** Atherosclerosis association plot from NPE GWAS meta-analysis (n = 7,340) for the SNP of **rs2000660**. The x-axis refers to the position of the genome. In the top plot, the y-axis refers to the  $-\log_{10}(P)$  from meta-analysis two-sided Z test. The lead variant, rs2000660, is circled in black and colored in dark purple. Variants meeting the threshold of  $P < 1 \times 10^{-5}$  were colored coded according to linkage disequilibrium r<sup>2</sup> to rs200060. Other variants are colored in gray. The figures are generated by FUMA pipelines (https://fuma.ctglab.nl). In the bottom plot, the x-axis refers to the genome position, and the y-axis refers to the type of regulatory elements in the chromatin interaction plot for rs2000660.





PLINK-r2 using 1000 Genomes Phase 3 European-descended participants. The recombination rate was calculated using GRCh38 genetic map files downloaded from https://bochet.gcc.biostat.washington.edu/beagle/genetic\_maps/. Boxes below data indicate the location of genes in the region (plot generated using LocusZoom<sup>73</sup>).



 $\label{eq:constraint} Extended \, Data \, Fig. \, 6 \, | \, See \, next \, page \, for \, caption.$ 

**Extended Data Fig. 6** | *GRN* expression, hippocampal sclerosis and LATE-NC all colocalize on *GRN*. *GRN* gene expression, hippocampal sclerosis and LATE-NC association plot from NPE GWAS meta-analysis (n = 7,776) for the region around *GRN*. Colored dots represent the chromosomal position (x-axis, Mb, megabase) in hg38 coordinates and  $-\log_{10}$  (P from meta-analysis two-sided z test; y-axis) of each variant in the region. Dots are colored to represent the linkage disequilibrium r<sup>2</sup> with the lead variant (purple dot) estimated with PLINK-r2 using 1000 Genomes Phase 3 European-descended participants. The recombination rate was calculated using GRCh38 genetic map files downloaded from https://bochet.gcc.biostat.washington.edu/beagle/genetic\_maps/. Boxes below data indicate the location of genes in the region (plot generated using LocusZoom<sup>73</sup>).





linkage disequilibrium r<sup>2</sup> with the lead variant (purple dot) estimated with PLINK-r2 using 1000 Genomes Phase 3 European-descended participants. The recombination rate was calculated using GRCh38 genetic map files downloaded from https://bochet.gcc.biostat.washington.edu/beagle/genetic\_maps/. Boxes below data indicate the location of genes in the region (plot generated using LocusZoom<sup>73</sup>).

# nature portfolio

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# **Reporting Summary**

Nature Portfolio wishes to improve the reproducibility of the work that we publish. This form provides structure for consistency and transparency in reporting. For further information on Nature Portfolio policies, see our <u>Editorial Policies</u> and the <u>Editorial Policy Checklist</u>.

### Statistics

For	all st	atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.
n/a	Cor	firmed
	$\boxtimes$	The exact sample size (n) for each experimental group/condition, given as a discrete number and unit of measurement
	$\boxtimes$	A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
		The statistical test(s) used AND whether they are one- or two-sided Only common tests should be described solely by name; describe more complex techniques in the Methods section.
	$\boxtimes$	A description of all covariates tested
	$\boxtimes$	A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
		A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
		For null hypothesis testing, the test statistic (e.g. <i>F</i> , <i>t</i> , <i>r</i> ) with confidence intervals, effect sizes, degrees of freedom and <i>P</i> value noted Give <i>P</i> values as exact values whenever suitable.
	$\boxtimes$	For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
$\boxtimes$		For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
	$\boxtimes$	Estimates of effect sizes (e.g. Cohen's d, Pearson's r), indicating how they were calculated
		Our web collection on <u>statistics for biologists</u> contains articles on many of the points above.

### Software and code

Policy information about availability of computer code

Data collection	No software was used .
Data analysis	bcftools 1.10.2
	KING 2.2.7
	MAGMA 1.10
	METAL 2011-03-25
	Minimac 4
	PLINK 1.9 and 2.0
	Python 3.8.16 and 3.10.8
	R 4.2.1 and 4.2.2
	R package coloc 5.2.2
	R package data.table 1.14.10
	R package GENESIS 2.26.0
	R package ggplot2 3.4.2
	R package GRAB 0.1.1
	R package GWASTools 1.42.1
	R package LDlinkR 1.2.3
	R package MASS 7.3-60
	R package mediation 4.5.0
	R package ordinal 2023.12-04
	R package pheatmap 1.012

R package psych 2.3.3 R package POLMM 0.2.3 R package SAIGE 1.1.3 R package SNPRelate 1.30.1 R package stringi 1.8.3 samtools 1.10 TOPMed Imputation Server 1.7.3

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Portfolio guidelines for submitting code & software for further information.

### Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

Code used for data preparation and analysis is available at https://zenodo.org/doi/10.5281/zenodo.11089995.

Meta-analysis summary statistics for each neuropathology endophenotype studied will be made available through NIAGADS upon publication at https://www.niagads.org/.

ROSMAP data can be requested at https://www.radc.rush.edu and downloaded from https://www.synapse.org by approved users.

ADGC data is can be requested from NIAGADS at https://www.niagads.org/resources/related-projects/alzheimers-disease-genetics-consortium-adgc-collection. NACC neuropathology data can be requested at https://naccdata.org/.

ACT data can be requested at https://actagingresearch.org/.

The results published here are in whole or in part based on data obtained from the AD Knowledge Portal.

GTEx QTL data used is publicly available at https://www.gtexportal.org/home/datasets.

ROSMAP QTL data used is publicly available for download at http://mostafavilab.stat.ubc.ca/xqtl.

1000 Genomes Phase 3 data is available at https://www.internationalgenome.org/home.

Raw long-read RNAseq data generated and utilized in this manuscript are publicly available in both Synapse (https://www.synapse.org/#!Synapse:syn52047893) and NIH SRA (accession number: SRP456327; https://trace.ncbi.nlm.nih.gov/Traces/?view=study&acc=SRP456327). Processed long-read RNAseq data can be easily downloaded or viewed at https://ebbertlab.com/brain\_rna\_isoform\_seq.html.

### Research involving human participants, their data, or biological material

Policy information about studies with <u>human participants or human data</u>. See also policy information about <u>sex, gender (identity/presentation)</u>, <u>and sexual orientation</u> and <u>race, ethnicity and racism</u>.

Reporting on sex and gender	Biological sex was used as a covariate in all analyses.
Reporting on race, ethnicity, or other socially relevant groupings	Due to limited sample sizes of participants with substantial non-European descent, we excluded these participants from our study. This was done by merging each of our data sources individually with 1000 Genomes Phase 3 data, performing principal component (PC) analysis, and excluding participants whose positions on a plot of PC1 and PC2 were beyond a distance, determined via visual inspection, from the 1000 Genomes EUR superpopulation centroid.
Population characteristics	We used multiple independent data sets of participants in this study. We adjusted each genetic association analysis for principal components, data source/study, genotyping cohort, sex, and age at death. Demographic details of participants can be found in Table 1. In summary, 53% of participants were female, the mean age of death was 83 years with a SD of 10 years, 48% of participants had at least one APOE e4 allele.
Recruitment	Participants in NACC were recruited at individual Alzheimer's Disease Research Centers, each with its own recruitment criteria. Across different data sources used in this study, participants were recruited from clinics, religious organizations, communities, and hospitals. Participants in NACC have higher prevalence of ddementia and APOE e4 than the population at large. ROS recruited priests, brothers, and sisters in the Catholic church who have higher average educational attainment than the general population aged 80 years or older. MAP recruits from community members in the greater Chicago area. ACT recruited residents in the greater Seattle area aged 65 years and older without dementia at time of enrollment.
Ethics oversight	Each study was approved by the respective institutional review boards as appropriate. The IRB of the lead authors on this study deemed that this study did not include human subjects because all research participants were deceased.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

# Field-specific reporting

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🔀 Life sciences	Behavioural & social sciences	Ecological, evolutionary & environmental sciences		
For a reference copy of the document with all sections, see <u>nature.com/documents/nr-reporting-summary-flat.pdf</u>				

# Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	Raw data used in this study were collected by the invidual studies: neuropathological data: NACC, ACT, ROSMAP; genotype data: ADGC, ROSMAP; RNA-Seq data: ROSMAP; DNA methylation data: ROSMAP. Sample sizes were not pre-determined; all available samples with relevant data that passed quality control and inclusion criteria (see Methods) were included in analyses. The total sample size available for GWAS after QC measures was N=7,463, though sample sizes in individual analyses were smaller based on available phenotype data.
Data exclusions	We excluded samples and variants based on standard quality control procedures for GWAS (Samples: heterozygosity, missingness, and population outliers. Variants: minor allele frequency, missingness, Hardy-Weinberg equilibirium, duplicated variants.) We also excluded participants with rare neuropathological phenotypes (e.g. brain malignancy and traumatic brain injury) that may affect interpretation of neuropathological outcomes used. For RNA-Seq and DNA methylation analyses, we used pre-QCed data from which samples with low RNA integrity scores or bisulfate conversion efficiency had been removed. Complete details of our quality control procedures are available in the Methods and Supplementary Note sections of the manuscript.
Replication	Cerebral amyloid angiopathy (CAA) had previously been studied using an independent cohort from the Mayo Clinical Brain Bank cohort with phenotype, covariate, and genotype data made available on synpapse.org. We used 815 available participants to successfully replicate the associated between rs7247551 and CAA while adjusting for APOE epsilon diplotype. We were unable to find suitable replication data sets for other associations because either phenotypes had not been previously studied with GWAS (LATE-NC) or had been studied using a subset of the participants in our study.
Randomization	Each study had different procedures for choosing participants for genotyping, which may have been based on relevant clinical diagnoses if not neuropathological endophenotypes. We adjusted for study and genotyping cohort in all relevant analyses.
Blinding	Genotyping was performed without knowing the neuropathological status of individuals. The analyses were not blinded to the status of individuals because harmonization and quality control procedures required knowing neuropathological status.

# Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

#### Materials & experimental systems

n/a	Involved in the study
$\boxtimes$	Antibodies
$\boxtimes$	Eukaryotic cell lines
$\boxtimes$	Palaeontology and archaeology
$\boxtimes$	Animals and other organisms
$\boxtimes$	Clinical data
$\boxtimes$	Dual use research of concern
$\boxtimes$	Plants

#### Methods

n/a	Involved in the study

$\mathbf{X}$	ChIP-seq
	 orni beq

$\boxtimes$	Flow cytometry
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MRI-based neuroimaging