

Can self-testing be enhanced to hasten safe return of healthcare workers in pandemics? Random order, open label trial using two manufacturers' SARS-CoV-2 lateral flow devices concurrently and nested viral culture study

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Abstract

Background Covid-19 healthcare worker testing, isolation and quarantine policies had to balance risks to patients from the virus and from staf absence. The emergence of the Omicron variant led to dangerous levels of key-worker absence globally.

We evaluated whether using two manufacturers' lateral flow tests (LFTs) concurrently improved SARS-CoV-2 Omicron detection signifcantly and was acceptable to hospital staf. In a nested study, to understand risks of return to work after a 5-day isolation/quarantine period, we examined virus culture 5–7 days after positive test or signifcant exposure.

Methods Fully-vaccinated Liverpool (UK) University Hospitals staff participated (February-May 2022) in a randomorder, open-label trial testing whether dual LFTs improved SARS-CoV-2 detection, and whether dual swabbing was acceptable to users. Participants used nose-throat swab Innova and nose-only swab Orient Gene LFTs in daily randomised order for 10 days. A user-experience questionnaire was administered on exit. Selected participants gave swabs for viral culture on days 5–7 after symptom onset or first positive test. Cultures were considered positive if cytopathic effect was apparent or SARS-CoV-2 N gene sub-genomic RNA was detected.

Results Two hundred and twenty-six individuals reported 1466 pairs of LFT results. Tests disagreed in 127 cases (8.7%). Orient Gene was more likely (78 cf. 49; OR: 2.1, 1.1–4.1; *P*=0.03) to be positive. If Innova was swabbed second, it was less likely to agree with a positive Orient Gene result (OR: 2.7, 1.3–5.2; *P*=0.005); swabbing first with Innova made no signifcant diference (OR: 1.1, 0.5–2.3; *P*=0.85). Orient Gene positive Innova negative result-pairs became more frequent over time (OR: 1.2, 1.1–1.3; *P*<0.001).

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Of individuals completing the exit questionnaire, 90.7% reported dual swabbing was easy, 57.1% said it was no barrier to their daily routine and 65.6% preferred dual testing. Respondents had more confdence in dual versus single test results.

Viral cultures from days 5–7 were positive for 6/31 (19.4%, 7.5%-37.5%) and indeterminate for 11/31 (35.5%, 19.2%-54.6%) LFT-positive participants, indicating they were likely still infectious.

Conclusions Dual brand testing increased LFT detection of SARS-CoV-2 antigen by a small but meaningful mar‑ gin and was acceptable to hospital workers. Viral cultures demonstrated that policies recommending safe return to work~5 days after Omicron infection/exposure were fawed. Key-workers should be prepared for dynamic selftesting protocols in future pandemics.

Trial registration <https://www.isrctn.com/ISRCTN47058442>(26 January 2022).

Keywords Covid-19, SARS-CoV-2, Lateral flow test, Healthcare worker

Background

The Covid-19 pandemic stretched health systems worldwide $[1, 2]$ $[1, 2]$ $[1, 2]$ $[1, 2]$. Healthcare workers suffered high rates of infection and mortality $[3-5]$ $[3-5]$, and policymakers faced dilemmas in balancing risks. In late 2021, as Omicron hit the UK, hospitalised patients faced risks from having too few staff to care for them $(Fig. 1)$ $(Fig. 1)$, which potentially posed a greater threat to patient safety and care delivery than Covid-19 itself [\[6](#page-10-1)[–10](#page-10-2)]. Omicron's increased transmissibility and immune evasion demanded a rethink of Covid-19 policies for healthcare workers and the public [\[10](#page-10-2)–[13\]](#page-10-3).

Pre-Omicron, UK healthcare workers required a negative PCR 10 days from exposure to return from quarantine [\[14,](#page-10-4) [15](#page-10-5)]. Waiting (typically 48-h) for PCR results delayed return to work $[15]$ $[15]$, and PCR capacity affected care-service continuity [\[16,](#page-10-6) [17](#page-10-7)]. By December 2021, it was evident that SARS-CoV-2 lateral flow tests (LFTs)

Fig. 1 Numbers of NHS staff absent, and numbers of positive PCR and lateral flow test results reported for residents of Cheshire & Merseyside, UK from the start of introduction of lateral fow community testing to the end of the study period

were reasonable and afordable indicators of infectiousness [\[18](#page-10-8)[–20\]](#page-10-9). LFTs from some manufacturers used nose-only swabbing, others nose-throat swabbing, with nose-only testing assumed to have better compliance. Policymakers were concerned that nose-only swabbing might delay detection of Omicron, which was reportedly shed from the throat ahead of the nose $[21]$ $[21]$ – a concern not addressed by national testing quality assurance programmes [[22,](#page-10-11) [23](#page-10-12)].

In December 2021 and January 2022, NHS staf testing policies changed to address staff shortages. Based on mathematical modelling, NHS workers were permitted to return from isolation or quarantine: after two consecutive days of negative LFTs beyond 5 days since exposure or frst positive test; or if still testing positive, 10 days from symptom onset or frst positive test, provided they felt well enough $[14, 15, 24]$ $[14, 15, 24]$ $[14, 15, 24]$ $[14, 15, 24]$ $[14, 15, 24]$ $[14, 15, 24]$. This guidance was updated on 7th January 2022 to advise local risk assessments for those testing positive on days 10–14 [[25](#page-10-14)].

The modelling of serial negative LFT results to inform return to work was performed by the Scientifc Pandemic Infuenza Group on Modelling (SPI-M) [[26](#page-10-15)] and UK Health Security Agency (UKHSA) [\[27](#page-10-16)] alongside unpublished viral culture studies for the New and Emerging Respiratory Virus Threats Advisory Group (NERVTAG).

This study was commissioned by the UK Covid-19 Testing Initiatives Evaluation Board (TIEB) to extend its testing quality assurance programme. We investigated whether SARS-CoV-2 antigen detection in daily self-testing was improved by using kits from two manufacturers concurrently; one requiring nose-only and one nose-throat swabbing [\[22](#page-10-11)]. Real-world testing sensitivity and NHS staff acceptability were the main outcomes. A nested virus culture study assessed the infectiousness of individuals still testing positive after day-5 since symptom onset or frst positive test, as the US policy was to return to work after day-5 without testing. Data from this study informed UK policies via TIEB [\[28\]](#page-10-17).

Methods

Aim

We aimed to evaluate efectiveness and acceptability of dual versus single brand SARS-CoV-2 antigen lateral flow self-testing among hospital workers, and to determine whether culturable SARS-CoV-2 Omicron was present 5–7 days after a positive test or signifcant exposure.

Trial design

An open-label, randomised-order trial of using two LFT brands concurrently in daily self-testing with the 'Testto-Release' [[29](#page-10-18)], or Daily Contact Testing design [\[30](#page-10-19)[–33\]](#page-10-20).

Setting

Participants comprised fully vaccinated [[34\]](#page-10-21) NHS workers using Covid-19 staf-testing facilities for contacts or cases at Liverpool University Hospitals NHS Foundation Trust, UK between 7th February and 8th May 2022. Participants entered the study by booking a test routinely on-line where they received study information and consented to participate. Participants were recruited via one of three pathways (Appendix 1) depending on their status at baseline: i) test-negative close contact (refecting routine daily testing as an alternative to quarantine for an uninfected staf member notifed as a close contact of a known case); ii) test-positive symptomatic (refecting routine isolation of a staf member presenting for testing due to symptoms and testing positive); or iii) test-positive asymptomatic (reflecting routine isolation of a staff member without symptoms testing positive, including via routine daily contact testing in quarantine and additional tests from this study). Data were collected via on-line questionnaires and NHS record linkage.

Intervention

The study used two LFT brands widely available via NHS Test & Trace in February 2022: the nose-only swab Orient Gene and nose-throat swab Innova (Xiamen Biotime Biotechnology) kits. These have similar performance curves versus viral load when compared to PCR results [[23\]](#page-10-12).

Participants were asked to take two LFTs daily for 10 days, and on day-1 and day-5 to return swabs for quantitative PCR. Test order was detailed on an information sheet (Appendix 2), with daily LFTs in random order (Innova or Orient Gene frst) and PCR on day-1 and day-5. Participants uploaded LFT results via NHS Test & Trace systems – with automated image reading available via the national standard app to ease consistent reporting – participants could override the automated reading: no data were available on overrides but previous studies suggest these were rare [[35](#page-10-22)].

A nested study considered culture of viable virus at days 5–7 from frst positive test.

Outcomes

The primary outcome was the discordance of results from concurrent LFTs. Secondary outcomes were participant compliance, and self-reported experience of dual versus single testing from the exit survey detailed in Appendix 3.

Sample size

Calculations (see Appendix 4) assumed 18% drop-out and 10% test-positivity. The proportion of consented

individuals not returning data was higher than expected (Fig. [2](#page-3-0)), and test-positivity was>10%. Power to detect a diference between dual and single testing was the main target and the number of participants testing positive $(n=167)$ was similar to the number required $(n=164)$. It was later reported that SARS-CoV-2 LFTs were more sensitive to Omicron than prior variants, with Orient Gene more sensitive than Innova [[23\]](#page-10-12).

Viral culture and sequencing to determine lineage

Appendix 5 details viral culture, RNA-extraction, sequencing and bioinformatics methods used to infer the presence of replicable SARS-CoV-2 lineages from swab samples. In brief, Calu3 cells, cultured at 10^5 cells/ well in 24 well plates, were inoculated for viral culture, incubated and checked for cytopathic efect (CPE) after three days. If CPE was visible supernatants were sampled for RNA extraction. If no CPE was visible a 2nd passage was performed before supernatant sampling. RNA was extracted from supernatants and used for amplicon sequencing by MinION, using a published method. Fastq reads were analysed using the ARTIC [\[36\]](#page-10-23) bioinformatic pipeline and lineages were called with Pangolin

[[37\]](#page-10-24). LeTRS was used to assess the presence of N gene sub genomic RNA (sgRNA) [\[38](#page-10-25)], indicative of active viral transcription.

Statistical methods

Discordance of result-pairs from two LFT brands was analysed with McNemar's test, including Yang's adjustment and logistic mixed-efects models to account for test-clustering within individuals over time and in studyday groups [\[39\]](#page-10-26). Trends over time in discordance were analysed with a logistic mixed-efects model addressing clustering within individuals with study-day groups disaggregated.

Comparison of users' confdence in single versus dual testing from questionnaire ordinal score data used a Wilcoxon signed ranks test and exact confdence interval as score distributions were skewed. Confdence intervals for binomial proportions used the Clopper-Pearson method, and for logistic mixed-efects models the Wald method. Analyses were performed using R version 4.3.1. Results were verifed independently by two statisticians. Results are presented as main efect with 95% confdence interval.

Fig. 2 Flow of participants from consent to data analysed. *Pillar 1 comprised PCR tests processed in hospital laboratories. Pillar 2 comprised PCR tests processed in national NHS Test & Trace laboratories and lateral fow test results reported by individuals self-testing and putting results into the national NHS website or app

Fig. 3 A Number of participants consenting to take part in the study by consent date. **B** Recruitment pattern over time of 226 participants who completed at least one day of dual lateral fow testing over the study period

Patient and public involvement

UKHSA's Research Ethics & Governance of Public Health Practice Group (REGG), including lay members, along with TIEB, fed back on drafts of the study protocol as

part of the approvals process. Additional public involvement over data governance was provided by Liverpool City Region Civic Data Cooperative.

Results

Main outcomes

Two hundred and twenty-six participants reported at least one day of dual LFT results between study day-1 and day-10, giving 1466 pairs of tests. Figure [2](#page-3-0) shows the flow of participants from consent to analysis, Fig. [3](#page-4-0) the recruitment patterns over time.

The study population comprised 226 participants reporting 1466 pairs of LFT results. 167 (73.9%) of the 226 participants were classifed as cases at any point during the study $-$ a) at baseline if they had a positive PCR from the staff testing centre where they consented to participate or reported dual LFT positive results on day 1; and b) if they reported dual LFT positive results on any of days 2–10. PCR results were available for 190 (84.1%) at recruitment/consent and inferred from day 1 LFT results for a further 21 leading to a total of 211 (93.4%) having a defnitive infection status at baseline. 46 (20.4%) reported dual LFT results for the full 10 days, where others tended to stop reporting results after turning from positive to negative. Appendix 6 gives further accrual details.

A total of 125 individuals had a positive PCR test at recruitment/consent. In this group, the frst reported LFT result pairs were both positive for 116 (92.8%), both negative for 3 (2.4%), Innova positive only for 3 (2.4%) and Orient Gene positive only for 3 (2.4%) – a discrepancy rate (\sim detection uplift from dual versus single testing) of 6/125 (4.8%, 1.8%-10.2%). On day 2, 99 were positive on both LFTs (90%), 5 were negative on both (4.5%), 5 were Orient Gene positive only (4.5%), and 1 was Innova positive only (1%) – a discrepancy rate of 6/110 (5.5%, 2.0%-11.5%). Considering two days of consecutive test results, only 2 (1.6%) individuals would have been LFT negative and PCR positive within 48 h.

Of the 1466 pairs of LFT results reported, 127 (8.7%) were discordant (gave diferent results). For those participants who tested positive at any time point $(n=167,$ 73.9%), on those days where they tested positive using dual LFT testing (*n*=866), the number of pairs where the frst recorded test was negative was 46 (5.3%).

Overall, Orient Gene had double the odds of being positive compared to Innova when the two tests disagreed (Table [1](#page-5-0)). 156 (93.4%; 88.5%-96.7%) suspected infections were detected with Orient Gene compared to 163 (97.6%; 94.0%-99.3%) with Innova. Out of the test-positive cohort of 167, 59 (35.3%) had at least one subsequent period of 2 or more consecutive days of dual negative LFTs. Of these, none had a pair of positive LFT results afterwards.

When Orient Gene was the frst test (Table [2\)](#page-5-1), Orient Gene positive Innova negative was a more likely discordant result than Innova positive Orient Gene negative (OR=2.7, 1.3–5.2; *P*=0.005). No signifcant diference was observed when Innova was the first test $(OR=1.1,$ 0.5–2.[3](#page-5-2); *P*=0.85, Table 3). Direct comparison of discordant test pairs shows the odds of an Orient Gene positive with Innova negative discordance was 4.5 times higher when Orient Gene was first versus Innova first ($OR=4.5$, $1.1-18.1; P=0.04$.

Of the 167 participants who tested PCR or LFT positive at study entry or became LFT test-positive during the study (Table [4](#page-6-0)), the proportion of Orient Gene positive discordant tests increased signifcantly over time

Table 2 Lateral flow test results when Orient Gene was recorded first

		Innova		
		Negative	Positive	Total
Orient Gene	Negative	307 (40.3%)	18 (2.4%)	325
	Positive	47 (6.2%)	390 (51.2%)	437
	Total	354	408	762 (100%)

Test pairs with any equivocal result were excluded. McNemar (Yang-adjusted) Chi² = 11.668, *P* = 0.001, logistic mixed-effects model for discordant tests OR=2.7(1.3–5.2), *P*=0.005

Test pairs with any equivocal result were excluded. McNemar (Yang-adjusted) Chi² < 0.001, *P* > 0.99, logistic mixed-effects model for discordant tests $OR = 1.1(0.5-2.3), P = 0.85$

Table 1 Lateral flow test results by brand

Test pairs with any equivocal result were excluded. McNemar (Yang-adjusted) Chi² = 4.636, *P* = 0.03, logistic mixed-effects model for discordant tests odds ratio $(OR) = 2.1(1.1-4.1), P = 0.03$

Table includes only those participants who tested PCR or LFT positive at study entry or became LFT test-positive throughout the study and counts dual test results they reported on any day. Any LFT pair with any equivocal result was excluded, along with any dual tests prior to the frst recorded positive test for each participant

(OR: 1.2, 1.1–1.3; *P* < 0.001), and not signifcantly for Innova (OR: 1.1, 0.97–1.2; *P*=0.15). Direct comparison of the two discordant groups using a logistic mixed efects model did not show statistical signifcance (OR: 1.2, $0.99-1.6$; $P = 0.07$), however, small numbers of discordant groups (Fig. [4](#page-6-1)) may have limited the power to resolve this efect. For participants who never tested positive, there was very little discordance between test results (Appendix 6: Table A6.6).

Viral culture analysis

Viral cultures were analysed for 41 participants (see Appendix 5 for details): 31 continuing LFT positive and 10 reverting negative on days 5–7. 6/31 (19.4%, 7.5%- 37.5%) of the continued LFT positives were culture positive, 9/31 (29.0%, 14.2%-48.1%) were indeterminate by cytopathic efect (CPE), none of these had N-sgRNA detected by sequencing. Two additional cultures with no CPE had N-sgRNA detected, both at low level. 2/10 (20.0%, 2.5%-55.6%) of the reverting LFT negatives were

Fig. 4 Percentage of concordant and discordant lateral flow test result pairs by brand and days from baseline for those testing positive

indeterminate by CPE with N-sgRNA not detected by sequencing. Two cultures without CPE from LFT negative individuals had N-sgRNA detected at low levels. PCR was carried out on the original swab aliquot: all came back SARS-CoV-2 positive, with S Gene target present in 36 (likely Omicron BA.2) and absent in 5 (likely Omicron BA.1).

Exit questionnaire survey

Three hundred and eleven participants responded to the exit survey between 10th February and 20th July 2022 (details in Appendix 5). 229 (73.7%) identifed as a woman, 77 (24.7%) as a man, 0 as non-binary and 5 (1.58%) preferred not to say. Professions were: 57 (18.5%) doctors; 84 (27.2%) nurses; 78 (25.0%) allied health professionals; 25 (8.2%) clinical support staf; 44 (14.1%) administration/clerical staf; 22 (7.07%) other staf. When asked "how easy was the swabbing process" 154 (49.6%) selected "very easy", 128 (41.2%) "easy", 26 (8.4%) "neither easy nor difficult", 3 (0.9%) "difficult", and none "very difficult". When asked "How much of a barrier is having to take a throat as well as a nose swab for your daily rapid test?" 178 (57.1%) selected "not at all", 80 (25.6%) selected "slight barrier", 38 (12.3%) selected "somewhat of a barrier", 12 (3.94%) selected "moderate barrier", and 3 (1.0%) selected "extreme barrier". When asked "Could you fit taking two rapid tests into your daily routine within an hour of leaving for work?" 90 (28.9%) respondents selected "defnitely", 58 (18.6%) selected "very probably", 110 (35.3%) selected "probably", 44 (14.2%) selected "probably not", and 9 (2.9%) selected "defnitely not". When asked "If you were asked to continue to do two tests daily instead of one, would you?" 204 (65.6%) selected "yes", 57 (18.2%) selected "no", and 50 (16.2%) selected "no preference". When asked "which mode of testing are you most confdent about" and given a rating scale from $1="$ no confidence" to $10="$ full confidence" for "single test" and "double test" the median scores were 8 for single test and 9 for double test – a median diference of 1 (0.5 to 1; $P < 0.001$) – a small but psychologically signifcant diference.

Discussion

Main fndings

We found that practical combination of LFT brands with diferent swab types enhanced antigen detection diferently at diferent time points during infection. Combining Orient Gene and Innova LFTs improved SARS-CoV-2 (Omicron BA.1/2) antigen detection by a small amount $[4.8\% (1.8\% -10.2\%)]$ compared to a single test. This marginal efect was relevant for managing safe return to work amid potentially dangerous staff shortages.

Orient Gene was more likely to be the sole positive test – with Orient Gene positive Innova negative results becoming more frequent over successive days. If Innova was swabbed second it was less likely to agree with a positive Orient Gene result; swabbing frst with Innova made no signifcant diference.

Dual testing was largely acceptable to hospital staf, with most reporting dual swabbing manageable. Almost two-thirds preferred to continue dual testing if required and had slightly more confdence in dual versus single testing results. Over half said dual swabbing was no barrier to daily routines. However, the two LFT kits required diferent swabbing methods and around 40% of staf reported that swabbing both nose and throat was at least a slight barrier.

Almost a ffth of individuals with positive LFTs at days 5–7 had positive culture, indicating they were likely still infectious. We did not identify any convincingly culture positive individuals who had a negative LFT by this time, though we could still detect viral RNA sequences.

Comparison with other studies

Evidence on end-to-end risk mitigation using LFTs in the Covid-19 pandemic is limited, with a few small serial testing and viral culture studies nested within studies comparing LFT and PCR performance [[23,](#page-10-12) [40](#page-10-27), [41](#page-10-28)]. One study found minimal improved sensitivity by using the same manufacturer's LFT in rapid succession [\[42](#page-10-29)]. Ours is the only real-world trial, to our knowledge, of combining LFTs to enhance risk-mitigation in balancing risks from Covid-19 versus healthcare staff shortages. The shorter incubation period of Omicron compared with earlier variants challenged previous risk-mitigations [[43](#page-10-30), [44\]](#page-10-31) and there were concerns over later nasal shedding invalidating nose-only swab LFTs [[21\]](#page-10-10), which we showed were mitigated by dual testing.

Viral culture studies with early variants found that people infected with SARS-CoV-2 became infectious 1–2 days before the onset of symptoms and remained infectious until 7 days later [[43,](#page-10-30) [45](#page-11-0)]. Our data showed a substantial proportion of individuals were potentially infectious beyond this point. Most work on this topic has placed too much emphasis on the median duration of infection at the expense of considering variability and its impact on fxed time-period policies for return from isolation or quarantine.

Strengths and limitations of this study

This is the first study, to our knowledge, to compare dual with single brand LFT self-testing of healthcare workers in managing the risks from Covid-19 versus under-stafed care due to high numbers isolating or quarantined. It was performed when the UK was under pressure from

Omicron variants in early 2022. It was thus a realistic test of enhanced risk-mitigation and comprehensively considered LFT sensitivity, participant experience, and security (using viral cultures) of prompt return to work. The 10-day observation period allowed assessment of the contemporary Covid-19 policies for healthcare worker release from isolation and quarantine. The results give insights into the combined performance of two brands of LFT, which cannot be inferred from the usual comparison with concurrent PCR tests [[23,](#page-10-12) [46](#page-11-1)].

Our study had limitations: slow research approvals meant the peak of the initial Omicron epidemic was missed. Staf burnout and low morale slowed recruitment and prolonged the study duration. National policies for NHS staff testing changed several times during the study [[13,](#page-10-3) [47](#page-11-2)], and public access to LFTs was reduced on 1st April 2022 [[47\]](#page-11-2), potentially also impeding participant uptake. These barriers reflect the real-world challenges of evaluating new risk-mitigations two years into a pandemic and under winter pressures.

Only 16% of consented participants followed the protocol for at least one day – this likely limits the representativeness of the survey results, which also don't refect the hospital staff who didn't wish to participate in the study. Finally, viral culture interpretation was limited – parallel PCR swabbing and sequencing would have given more confdence that SARS-CoV-2 was present and not another virus.

Policy implications

Throughout the Covid-19 pandemic, policies for testing healthcare workers changed many times [[10,](#page-10-2) [13,](#page-10-3) [16,](#page-10-6) [48](#page-11-3)]. In the UK, LFT self-testing became part of life. However, with the rise of Omicron policymakers feared that noseonly LFT swabbing may miss numerous infections. Our study allayed these fears, showing reasonable concordance of the widely available Orient Gene nose-only and Innova nose-throat swab LFTs. Policymakers were therefore right to use all available stocks of LFTs, including those with nose-only swabs, to mitigate elevated risks.

Despite pandemic pressures, study participants reported largely positive experiences of using two LFTs instead of one for daily self-testing. The resulting improvement in detection was small yet meaningful in a universal health system coordinating risk-mitigations system-wide.

Internationally, there was pressure to balance risks from Covid-19 with those from mass absence of key workers. Our viral culture study shows that fxed isolation time policies, such as the US advice to return to work 5 days after testing positive, were flawed $[49]$ $[49]$ $[49]$. The

UK's 'test-to-release from isolation' (after two days of negative LFT results) policy, formed in December 2021, was reasonable given staffing pressures at the time.

The speed, convenience, and socialisation of LFT self-testing in the UK allowed enhanced Covid-19 riskmitigation under pressure from Omicron. A better UK response would have extended testing quality assurance from public health agencies to the NHS. Ideally, more serial samples of daily antigen, nucleic acid and culturable virus testing would have informed policy modelling. Future pandemic preparations globally should consider closer surveillance of serial self-testing to inform evolving risk-mitigations. In addition, dynamic economic evaluations should be built into the monitoring of large-scale pandemic testing programmes.

Conclusion

Policymakers' fears that nose-only LFT swabbing may miss a substantial proportion of Omicron BA.1/2 infections were allayed by our study of NHS workers in the UK between February and June 2022. Combining the widely available Innova nose-throat and Orient Gene nose-only LFT kits increased Omicron detection and was acceptable to participating hospital staff self-testing in isolation or quarantine. This improvement was small yet meaningful in a universal health system coordinating risk-mitigations system-wide. The US policy of return to work 5 days after testing positive was shown by our viral culture results to be flawed. The speed, convenience, and public socialisation of LFT self-testing in the UK allowed enhanced Covid-19 risk-mitigation during the pressures caused by the Omicron variant. A better UK response would have extended testing quality assurance from public health agencies to the NHS. Future pandemic preparedness may be enhanced by continuous surveillance of serial self-testing, considering end-to-end risk mitigation as well as technology performance.

Abbreviations

Supplementary Information

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1186/s12879-024-10155-z) [org/10.1186/s12879-024-10155-z.](https://doi.org/10.1186/s12879-024-10155-z)

Supplementary Material 1.

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Affirmation

IB affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned have been explained.

Dissemination to participants and related patient and public communities

Findings were presented to the UK Testing Initiatives Evaluation Board and associated patient and public involvement groups throughout the study and in fnal form in September 2022. TN, as infection prevention and control lead and trial site (Liverpool University Hospitals) lead has disseminated results to participants.

Provenance and peer review

The study was commissioned by the UK's Department of Health and Social Care. The work has been peer reviewed by external reviewers in the UK Testing Initiatives Evaluation Board at each stage, from protocol formation to presentation of fndings.

Authors' contributions

I.B., X.Z. and C.C. drafted the manuscript. I.B. drafted the protocol, led the study and the preparation of reports and this paper. C.C. drafted the statistical analysis plan and led data management with G.L. C.C., D.H., G.B., S.D., M.GF. and I.B. ran and corroborated three independent statistical analyses. C.S. led the study management. T.N. ran the trial site. T.F. and S.T. ran the UKHSA operations for the trial. L.T. and C.J. ran the viral culture sub-study. R.PR. and X.D. performed viral sequencing and bioinformatic analyses. M.H. led the exit questionnaire. M.G.S. and T.F. led the policymaker inputs. Each author contributed substantial intellectual content during manuscript drafting or revision and accepts accountability for the overall work by ensuring that questions pertaining to the accuracy or integrity of any portion of the work are appropriately investigated and resolved. All authors approved the fnal version of the manuscript. I.B. is the study guarantor. The corresponding author (I.B.) affirms that all the listed authors meet the authorship criteria and that no others meeting the criteria have been omitted.

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Data availability

The study required person identifiable data and the main analyses were conducted on a de-identifed extract. The fully anonymised data for reproducing the results are available from [https://github.com/iain-buchan/cipha/blob/](https://github.com/iain-buchan/cipha/blob/master/SMART_RR_Anonymised_Data.zip) [master/SMART_RR_Anonymised_Data.zip](https://github.com/iain-buchan/cipha/blob/master/SMART_RR_Anonymised_Data.zip). The study protocol can be downloaded from [https://github.com/iain-buchan/cipha/blob/master/SMART_](https://github.com/iain-buchan/cipha/blob/master/SMART_Release_Return.pdf)

[Release_Return.pdf](https://github.com/iain-buchan/cipha/blob/master/SMART_Release_Return.pdf) and statistical analysis plan from [https://github.com/iain](https://github.com/iain-buchan/cipha/blob/master/SMART_RR_SAP.pdf) [buchan/cipha/blob/master/SMART_RR_SAP.pdf.](https://github.com/iain-buchan/cipha/blob/master/SMART_RR_SAP.pdf)

Declarations

Ethics approval and consent to participate

The study protocol was developed with the UK Covid-19 Testing Initiatives Evaluation Board (TIEB) and approved by UK Health Security Agency (UKHSA) Urgent Studies Ethics Committee. TIEB was part of the UK Covid-19 testing initiatives evaluation programme, which included academics and public health professionals independent of this study. The motivation for the study came from a request by Merseyside Resilience Forum to UKHSA and NHS England to vary Covid-19 testing policies in response to dangerous levels of NHS staff absence in December 2021. TIEB signed off the study protocol on 4th January 2022 and UKHSA Research Support and Governance Office approved the study on 25th January 2022 as NR0308. The sponsor code for this study is UoL001685 and trial registration code IRAS ID 311842; [https://www.isrctn.](https://www.isrctn.com/ISRCTN47058442) [com/ISRCTN47058442.](https://www.isrctn.com/ISRCTN47058442)

Competing interests

All authors have completed the ICMJE uniform disclosure form at [https://](https://www.icmje.org/disclosure-of-interest) www.icmje.org/disclosure-of-interest and declare: funding from the Department of Health and Social Care, Economic and Social Research Council, and National Institute for Health and Care Research; no support from any organisation for the submitted work; no fnancial relationships with any organisations that might have an interest in the submitted work in the previous three years; no other relationships or activities that could appear to have infuenced the submitted work. IB, TF and MGS were members of the UK Covid-19 Testing Initiatives Evaluation Board but did not take part in sessions where this study was adjudicated. The City of Liverpool received a donation from Innova Medical Group towards the foundation of the Pandemic Institute but neither Innova Medical Group or any other commercial entity gave any support to this study or had any participation in it. Lateral Flow Test supply and company interactions was handled independently by the UK Health Security Agency. LT has received consulting fees from MHRA; and from AstraZeneca and Synairgen, paid to the University of Liverpool; speakers' fees from Eisai Ltd, and support for conference attendance from AstraZeneca.

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