# **CTLA4 blockade abrogates** *KEAP1***/***STK11* **related resistance to PD-(L)1 inhibitors**

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For patients with advanced non-small-cell lung cancer (NSCLC), dual immune checkpoint blockade (ICB) with CTLA4 inhibitors and PD-1 or PD-L1 inhibitors (hereafter, PD-(L)1 inhibitors) is associated with higher rates of anti-tumour activity and immune-related toxicities, when compared with treatment with PD-(L)1 inhibitors alone. However, there are currently no validated biomarkers to identify which patients will benefit from dual ICB<sup>[1,](#page-7-0)[2](#page-7-1)</sup>. Here we show that patients with NSCLC who have mutations in the *STK11* and/or *KEAP1* tumour suppressor genes derived clinical beneft from dual ICB with the PD-L1 inhibitor durvalumab and the CTLA4 inhibitor tremelimumab, but not from durvalumab alone, when added to chemotherapy in the randomized phase III POSEIDON trial<sup>3</sup>. Unbiased genetic screens identified loss of both of these tumour suppressor genes as independent drivers of resistance to PD-(L)1 inhibition, and showed that loss of *Keap1* was the strongest genomic predictor of dual ICB efficacy-a finding that was confirmed in several mouse models of *Kras*-driven NSCLC. In both mouse models and patients, *KEAP1* and *STK11* alterations were associated with an adverse tumour microenvironment, which was characterized by a preponderance of suppressive myeloid cells and the depletion of CD8<sup>+</sup> cytotoxic T cells, but relative sparing of CD4<sup>+</sup> effector subsets. Dual ICB potently engaged CD4<sup>+</sup> efector cells and reprogrammed the tumour myeloid cell compartment towards inducible nitric oxide synthase (iNOS)-expressing tumoricidal phenotypes that together with CD4<sup>+</sup> and CD8<sup>+</sup> T cells-contributed to anti-tumour efficacy. These data support the use of chemo-immunotherapy with dual ICB to mitigate resistance to PD-(L)1 inhibition in patients with NSCLC who have *STK11* and/or *KEAP1* alterations.

Immunotherapy using antibodies that inhibit the PD-1 and PD-L1 immune checkpoint (PD-(L)1i) has been shown to prolong survival in patients with advanced NSCLC, and is frequently given in combination with platinum-based chemotherapy (CT), particularly for patients who have tumours that express PD-L1 at low (less than 1% of tumour cells) or intermediate (1-49%) levels<sup>4</sup>. Several lines of evidence suggest that response to PD-(L)1i is determined not only by tumour PD-L1 levels, but also by the presence of genomic alterations in tumour oncogenes, such as activating *EGFR* mutations, as well as tumour suppressor genes (TSGs). In particular, alterations in two common NSCLC TSGs have been associated with a lack of responsiveness to PD-(L)1i: *STK11*, which encodes the LKB1 protein that functions as a master regulator of tumour cell metabolism, growth and polarity; and *KEAP1*, which encodes an adaptor protein that is crucial for the ubiquitination and proteasomal degradation of NRF2 and is thus a key regulator of antioxidant and cytoprotective responses<sup>5-11</sup>. We and others have previously observed that alterations in *STK11* and *KEAP1* can promote an immunosuppressive tumour microenvironment and together might be responsible for half or more of the primary resistance to PD-(L)1i among patients with nonsquamous NSCLC (nsNSCLC) when given as monotherapy<sup>[5](#page-7-4)[,12](#page-8-1)-17</sup>. Inactivating somatic mutations in these TSGs commonly co-occur and are enriched in tumours that contain *KRAS* oncogenic mutations. Loss of LKB1 and KEAP1 function occurs mainly through somatic mutations, but can also happen through genomic copy number loss and non-genetic

blockade or ICB), with or without chemotherapy $1,3,20$  $1,3,20$  $1,3,20$ . Although the use of PD-(L)1i is guided, at least in part, by tumour PD-L1 levels, there are at present no biomarkers in routine use for selecting patients who are more likely to benefit from dual ICB compared with PD-(L)1i alone. Identifying which patients might benefit from dual ICB is particularly

> events than do regimens containing only PD-(L)1i. Although *STK11* and *KEAP1* mutations are associated with limited benefit from PD-(L)1i, the association between these mutations and benefit from combinations of PD-(L)1i with chemotherapy is not yet as well established. To address this question, we first conducted a retrospective analysis of a multicentre cohort of 871 patients with molecularly annotated advanced nsNSCLC who were treated with standard chemotherapy (carboplatin or cisplatin plus pemetrexed, or CP; *n* = 432) or CP in combination with the PD-1 inhibitor pembrolizumab (PCP; *n* = 439)

> important given that dual ICB causes more immune-related adverse

mechanisms. Loss of LKB1 and KEAP1 function cooperatively promotes malignant tumour phenotypes; for example, loss of LKB1 upregulates the KEAP1–NRF2 pathway, which drives glutamine dependence and resistance to radiotherapy and ferroptosis<sup>18[,19](#page-8-4)</sup>. So far, no therapies in the clinic have been shown to be effective in overcoming the immunosuppressive phenotype associated with inactivation of these TSGs. Inhibitors of a second immune checkpoint, the CTLA4 pathway (CTLA4i), have also improved clinical outcomes for some patients with NSCLC when combined with PD-(L)1i (dual immune checkpoint

A list of authors and their affiliations appears at the end of the paper.



<span id="page-1-0"></span>**Fig. 1 | Immunogenomic correlates and clinical outcomes with PCP chemoimmunotherapy in patients with** *STK11-* **and/or** *KEAP1***-mutant advanced nsNSCLC. a**, PFS, OS and ORR with pemetrexed, carboplatin or cisplatin and pembrolizumab (PCP) in patients with *STK11*MUT (*n* = 119) versus *STK11*WT (*n* = 320) (top) or with  $KEAPI^{MUT}$  ( $n=42$ ) versus  $KEAPI^{WT}$  ( $n=103$ ) (bottom) advanced nsNSCLC. The comparison of ORR (partial response (PR)/complete response (CR)) in patients with *STK11*MUT versus *STK11*WT and *KEAP1*MUT versus *KEAP1*WT tumours was based on the chi-squared test. Log-rank test was used for comparisons of PFS and OS. Multivariate (MV) HRs (adjusted for age, ECOG performance status and presence of brain metastases) and 95% CIs were estimated using a Cox proportional hazards model. *P* ≤ 0.05 was considered statistically significant. NS, not significant. **b**, Prevalence of individual and combined *STK11* and *KEAP1* alterations in advanced LUAD (left; *n* = 8,592) or *KRAS*-mutated LUAD (right; *n* = 3,224) from the Foundation Medicine (FMI)

as part of standard-of-care treatment (Extended Data Table 1). Among patients treated with PCP, those who had tumours with *STK11* mutations (*STK11*MUT) had significantly shorter progression-free survival (PFS) and overall survival (OS) compared with those who had *STK11* wild-type (*STK11*WT) tumours (PFS hazard ratio (HR) = 1.60, 95% confidence interval (CI): 1.24–2.07, *P* = 0.002 by log-rank test, median PFS (mPFS) 4.8 and 7.0 months, respectively; OS HR 1.55, 95% CI: 1.18–2.05, *P* = 0.014; median OS (mOS) 11.1 and 16.7 months, respectively (Fig. [1a](#page-1-0) and Extended Data Fig. 1). This association with worse outcomes occurred regardless of *KRAS* mutation status or tumour mutational burden (TMB), but was dependent on tumour PD-L1 expression (Extended Data Fig. 1). *KEAP1*

database. **c**, TMB in single and double *STK11*MUT and/or *KEAP1*MUT and *STK11*WT*/ KEAP1*WT LUAD in the FMI dataset. Median TMB (table insert) and fraction of tumours with a TMB of 10 or more mutations per Mb or fewer than 10 mutations per Mb (bar chart) in each subgroup are indicated. **d**, PD-L1 tumour proportion score (TPS) in single and double *STK11*MUT and/or *KEAP1*MUT and *STK11*WT*/KEAP1*WT LUAD in the FMI dataset ( $n=8,836$ ). The chi-squared test from a  $2\times 4$  contingency table was used to compare the distribution of PD-L1-positive (TPS ≥ 1%) and -negative (TPS < 1%) tumours across the four oncogenotypes. **e**, Volcano plot of enriched somatic genomic alterations in PD-L1-negative (TPS < 1%, grey circles) versus PD-L1-positive (TPS ≥ 1%, red circles) LUAD with an intermediate or high TMB (TMB<sup>I/H</sup>; six or more mutations per Mb;  $n = 4,672$ ). The size of individual circles is proportional to the prevalence of the corresponding alteration. Two-sided Fisher's exact test was used for statistical comparisons and statistical significance was established at false discovery rate (FDR)-adjusted *P* ≤ 0.05.

mutations (*KEAP1*MUT) had an even stronger negative association with outcome, with significantly shorter PFS (HR 2.07, 95% CI: 1.35–3.17, mPFS 2.7 versus 5.7 months for *KEAP1*MUT and *KEAP1*WT, respectively, *P* < 0.0001 by log-rank test) and OS (HR 2.24, 95% CI: 1.42–3.54, mOS 7.6 versus 16.6 months, P < 0.001) and a lower objective response rate (ORR; 14.3% versus 43.0%, P < 0.0001) (Fig. [1a](#page-1-0)). This outcome was independent of *KRAS* mutational status, TMB and tumour cell PD-L1 expression (Extended Data Fig. 1a). The combination of the two alterations resulted in a lower ORR to PCP than did either alteration individually, with an ORR of 48.6% for tumours lacking either alteration, 29.6% or 28.6% for those with either *STK11* or *KEAP1* mutations alone, respectively,

and 7.1% for patients who had both mutations (Extended Data Fig. 1c). Notably, although both *STK11* and *KEAP1* alterations were associated with inferior clinical outcomes with both PCP chemo-immunotherapy (Fig. [1a](#page-1-0) and Extended Data Fig. 1b,c) and CP chemotherapy (Extended Data Fig. 1d–f), an analysis of their individual effect revealed that *KEAP1* alterations had a dominant role in mediating poor outcomes with platinum-based chemotherapy, whereas *STK11* mutations—in the absence of concurrent *KEAP1* alteration—were associated with only a modest effect on PFS and ORR with CP (Extended Data Fig. 1e,f). Inferior clinical outcomes with chemo-immunotherapy for patients who have *STK11-* and/or *KEAP1*-mutant NSCLC were also reported in a second retrospective study as well as in the KEYNOTE-189 randomized phase III clinical trial, further supporting the validity of these findings $11,21$  $11,21$  $11,21$ .

### **Immune correlates of** *STK11***MUT and** *KEAP1***MUT NSCLC**

To further investigate the mechanisms that might underlie the resistance of *STK11<sup>MUT</sup>* and *KEAP1<sup>MUT</sup>* tumours to PD-(L)1i, we investigated the immune and genomic profiles of 8,592 patients with lung adenocarcinoma (LUAD) with these and other alterations (Foundation Medicine (FMI) cohort). *STK11* and/or *KEAP1* mutations were observed in 25.2% and 32.4% of the overall LUAD and *KRAS*-mutant LUAD populations, respectively (Fig. [1b](#page-1-0)). For other subsets of NSCLC that are poorly responsive to PD-(L)1i, such as tumours containing *EGFR* mutations or *ALK* fusions, a lower TMB and/or lower levels of PD-L1 are thought to contribute to their immunologically cold phenotype<sup>[22–](#page-8-7)[24](#page-8-8)</sup>. A high TMB, by contrast, has been associated with improved responsiveness to both PD-(L)1i and dual ICB<sup>[25](#page-8-9),26</sup>. We therefore investigated whether the lack of PD-(L)1i response could be due to a low TMB and/or low levels of PD-L1. Notably, we observed that, compared with tumours that were wild type for both genes (median TMB 5.22 mutations per Mb), tumours with mutations in *STK11* or *KEAP1* had a higher TMB. This was particularly notable for *KEAP1*<sup>MUT</sup> tumours (median TMB 13.05 mutations per Mb) (Fig. [1c](#page-1-0)), especially in the absence of concurrent *KRAS* mutations (Extended Data Table 2). Therefore, lower TMB does not seem to account for the reduced responsiveness of *STK11*<sup>MUT</sup> and *KEAP1*MUT NSCLC tumours to immunotherapy.

We next investigated the association between these alterations and PD-L1 levels. *STK11*MUT tumours, in the absence or presence of *KEAP1* mutations, had significantly lower levels of PD-L1, compared with tumours that were wild type for both genes, consistent with previous observations[5](#page-7-4)[,9,](#page-8-11)[11](#page-8-0)[–13](#page-8-12)[,27](#page-8-13)[,28](#page-8-14) whereas *KEAP1*MUT tumours (in the absence of *STK11* mutations) did not have lower levels of PD-L1 (Fig. [1d](#page-1-0)). This suggests that lower levels of PD-L1, indicative of a lack of immune engagement, contribute to the lack of PD-(L)1i responsiveness in *STK11*<sup>MUT</sup>-but not in *KEAP1*MUT—NSCLC, and that *STK11* and *KEAP1* have overlapping as well as distinct mechanisms by which they promote an immunosuppressive tumour microenvironment.

Next, we investigated whether other genomic alterations were also associated with lower PD-L1 levels and how these alterations compared with *STK11*. Given the possible association between a low TMB and low PD-L1 levels<sup>29</sup>, we limited the analysis to tumours with an intermediate or high TMB (TMB<sup>I/H</sup>, six or more mutations per Mb;  $n = 4,672$ ). We observed that *STK11* was the most significantly enriched gene in PD-L1-negative tumours (Fig. [1e](#page-1-0)). Of note, *KEAP1<sup>MUT</sup>* LUAD tumours without concurrent *STK11* alterations (*KEAP1<sup>MUT</sup>STK11*<sup>WT</sup>) were not enriched in PD-L1-negative tumours, and exhibited similar levels of PD-L1 expression to those of LUAD tumours that were wild type for both genes (*STK11*WT*KEAP1*WT).

### **Dual ICB in** *STK11***MUT and** *KEAP1***MUT nsNSCLC**

Given the correlation between *STK11* and *KEAP1* mutations and poor outcomes in patients with metastatic nsNSCLC, and previous evidence suggesting that these alterations promote PD-(L)1i resistance and an

immunologically 'cold' TME, we hypothesized that dual ICB provides greater benefit than PD-(L)1i monotherapy in this setting, as has been suggested in both NSCLC and other tumour types<sup>[30](#page-8-16)</sup>. To investigate this further, we evaluated patients treated in the POSEIDON study, a randomized phase III study of 1,013 patients with metastatic NSCLC comparing standard-of-care chemotherapy alone (CT) and CT combined with the PD-L1i durvalumab (DCT) or with the combination of durvalumab and the CTLA4 inhibitor tremelimumab (TDCT) (Extended Data Fig. 11). The primary clinical results from this study were previously reported<sup>[3](#page-7-2)</sup>. A total of 637 patients had nonsquamous histology, among whom 612 (96%) were evaluable for mutations; *KRAS*, *STK11* and *KEAP1* mutations were present in 30%, 14% and 6% of this population, respectively (Extended Data Fig. 11). Differences in the prevalence of *STK11* and *KEAP1* mutations between patients from different racial backgrounds, distinct assay platforms and variable inclusion criteria for variants of unknown significance might have accounted for the observed minor variations in the reported prevalence of individual alterations compared with the FMI and retrospective real-world cohorts. The baseline clinical and molecular characteristics of these subgroups are shown in Extended Data Fig. 11.

Consistent with the previous observations with pembrolizumab plus chemotherapy, *STK11* and *KEAP1* mutations were associated with worse outcomes in the DCT arm, compared with patients with wild-type tumours. The HR for OS was 1.83 (95% CI: 1.22–2.74) in patients with *STK11*MUT versus *STK11*WT tumours; 1.44 (95% CI: 0.80–2.59) for those with *KEAP1<sup>MUT</sup>* versus *KEAP1<sup>WT</sup>* tumours; and 1.70 (95% CI: 1.17-2.48) for those with either gene mutated versus those that were wild type for both genes. Similar effects were noted for PFS (Extended Data Fig. 2a).

Next, we investigated the relative benefit of DCT compared with CT alone. In patients with *STK11* and/or *KEAP1* mutations (referred to as the *STK11/KEAP1* subgroup), the addition of durvalumab to chemotherapy provided no benefit in prolonging PFS (HR 1.00, 95% CI: 0.57–1.77) for DCT versus CT; notably, adding durvalumab to chemotherapy prolonged PFS for patients without either of these alterations (*STK11/KEAP1* WT, HR 0.74, 95% CI: 0.57–0.96) (Fig. [2a\)](#page-3-0). A similar trend was observed for OS (Fig. [2b](#page-3-0)). This indicates that patients with *STK11* and/or *KEAP1* mutations derive little, if any, benefit from the addition of durvalumab to chemotherapy and that the benefit of DCT is largely confined to patients who lack mutations in either gene.

We then investigated whether the addition of tremelimumab to DCT could mitigate the PD-(L)1i resistance associated with the *STK11/ KEAP1* subgroup by comparing the TDCT arm with the DCT and CT arms. For the *STK11/KEAP1* subgroup, the ORR was higher in the TDCT arm (42.9%) than in the DCT (30.2%) or CT (28%) arms (Extended Data Fig. 2b). An analysis of the duration of response (DoR), illustrated using spider plots (Extended Data Fig. 2b), supports the finding that the addition of dual ICB not only increased the number of patients with *STK11/ KEAP1*-mutant tumours that responded to treatment, but enhanced their median DoR, which was only 3.3 months in the CT arm, 12.7 months in the DCT arm and 13.6 months in the TDCT arm. In the *STK11/KEAP1* group, TDCT improved PFS and OS compared with the CT arm (HR 0.52 (95% CI: 0.28–0.95) and 0.50 (95% CI: 0.29–0.87)), independently of *KRAS* mutation status, tumour cell PD-L1 expression or TMB (Fig. [2a,b](#page-3-0) and Extended Data Fig. 2c). To determine the clinical benefit from the addition of CTLA4 blockade, we performed a comparative analysis of survival in the TDCT versus the DCT arms. In the *STK11/KEAP1* subgroup, median OS was more than doubled for the TDCT arm (15.8 versus 7.3 months, HR 0.64, 95% CI: 0.40–1.04; Fig. [2b](#page-3-0)), whereas in the group lacking mutations in either gene, little benefit was observed from the addition of tremelimumab (17.2 versus 17.1 months, HR 0.90, 95% CI: 0.69–1.17). OS was favourable in the TDCT arm regardless of *KRAS* mutation status or tumour cell PD-L1 expression (Extended Data Fig. 2c). Similar trends were observed for PFS (Fig. [2a\)](#page-3-0). Concordant results were also observed when *STK11* and *KEAP1* alterations were assessed individually, although this analysis was limited by the modest number



<span id="page-3-0"></span>**Fig. 2 | Clinical outcomes in molecularly defined patient subgroups in the phase III POSEIDON clinical trial.a**,**b**, Kaplan–Meier estimates of PFS according to blinded independent central review (BICR) per RECIST v.1.1 (**a**) and OS (**b**) with tremelimumab, durvalumab and platinum chemotherapy (TDCT, light blue curve), durvalumab plus platinum chemotherapy (DCT, dark blue curve) or platinum doublet chemotherapy alone (CT, red curve) in patients with (i) *STK11*MUT and/or *KEAP1*MUT (left) and (ii) *STK11*WT and *KEAP1*WT (right) metastatic

of patients with *KEAP1*MUT tumours (Extended Data Fig. 3). *KRAS* mutations were also associated with tremelimumab benefit, in part because of the higher frequency of *STK11* and *KEAP1* mutations in this subgroup (Fig. [2c](#page-3-0) and Extended Data Fig. 4). Notably, a trend towards improved outcomes with the combination of the PD-1 inhibitor nivolumab and the CTLA4 inhibitor ipilimumab, with platinum-based chemotherapy versus chemotherapy alone, in patients with *STK11*- and/or *KEAP1*-mutant NSCLC was also observed in the CheckMate 9LA phase III randomized clinical trial<sup>31</sup>. These data support the hypothesis that CTLA4 inhibition

nsNSCLC. Landmark 12-month PFS rates and 24-month and 36-month OS rates in each of the treatment arms are also shown (dotted lines). NE, not evaluable.  $c$ , Kaplan–Meier estimates of OS with TDCT, DCT or CT in patients with *KRAS<sup>MUT</sup>* (left) and *KRAS*WT (right) metastatic nsNSCLC. PFS and ORR analyses were based on a data cut-off date of 24 July 2019. OS analyses were based on a data cut-off date of 12 March 2021. HRs and 95% CIs were estimated using unstratified Cox proportional hazards models.

can mitigate the resistance to chemotherapy plus PD-(L)1i observed in patients who have *STK11* and/or *KEAP1* mutations, and suggest that this group of patients derives greater benefit from CTLA4 inhibition than do patients who lack either alteration.

### **Mechanisms of dual ICB efficacy**

In light of the observed clinical efficacy of chemo-immunotherapy with dual ICB, but not PD-(L)1i, in patients with *STK11-* and/or *KEAP1-*mutant



<span id="page-4-0"></span>**Fig. 3 | Efficacy of anti-PD-1 monotherapy and dual anti-PD-1/anti-CTLA4 therapy in immune-competent models of** *STK11-* **and/or** *KEAP1***-deficient NSCLC. a**, Left, in vivo TSG-focused CRISPR–Cas9 screening platform for identifying drivers of PD-1 inhibitor resistance. Right, the gradual decrease in tumour growth from untreated nude mice (*n* = 18) to C57BL/6 mice treated with anti-IgG (*n* = 18) to C57BL/6 mice treated with anti-PD-1 (*n* = 20) reflects increased anti-tumour immunity. Data are mean ± s.e.m. **b**, Volcano plot of relative sgRNA enrichment or depletion in tumours from C57BL/6 mice that were treated with anti-PD-1 (5 mg per kg; *n* = 20; 10 mg per kg; *n* = 25; total *n* = 45) versus anti-IgG isotype control ( $n = 18$ ). **c**, Box plots of relative log<sub>2</sub>-transformed fold change for sgRNAs targeting *Stk11* (left) or *Keap1* (right) across treatment groups (nude, *n* = 18; IgG, *n* = 20; anti-PD-1 5 mg per kg, *n* = 20). Individual sgRNAs are represented by coloured circles (10 sgRNAs per gene). Median (central line), interquartile range (box plot edges) and data range (whiskers) are indicated. **d**, PGx-Tuba-seq experimental strategy for in vivo multiplexed quantitative

NSCLC, we next tested whether inactivation of *Stk11*, *Keap1* or other TSGs that are frequently mutated in NSCLC directly affect sensitivity to PD-(L)1i or dual ICB using immune-competent mouse models of NSCLC.

We first performed a pooled TSG-focused in vivo CRISPR–Cas9 genetic screen designed to identify candidate cancer-cell-intrinsic evaluation of the effect of TSG depletion on immunotherapy responses in an autochthonous, genetically engineered *KrasG12D*-driven LUAD model (see Methods). IFU, infectious units. **e**, Relative tumour number (RTN) score reflecting differential sensitivity to dual anti-PD-1/anti-CTLA4 blockade compared with anti-PD-1 monotherapy. Significant effects are highlighted in colour (*n* = 16–19 mice per group). **f**, Sensitivity to dual ICB across several *STK11* and/or *KEAP1*-deficient syngeneic models of *KrasG12D* (*KK*, *KLK*) and *KrasG12C* (*KL2*, *KL5*) mutant NSCLC (*n* = 8–10 mice per group). Comparison of tumour volume (TV) was performed at the time point at which the first mouse in any treatment arm reached end-point (tumour volume ≥ 1,500 mm<sup>3</sup>) and was based on the Mann–Whitney *U* test. Data are mean ± s.d. Time to tumour volume  $(TTV) \geq 1,200$  mm<sup>3</sup> was used as a surrogate for survival. Comparison of TTV between treatment groups was based on the log-rank test. Statistical significance is indicated (\**P* ≤ 0.05, \*\**P* ≤ 0.01, \*\*\**P* ≤ 0.001).

mediators of immune evasion and PD-1i resistance. C57BL/6 mice were implanted with library-transduced Lewis lung carcinoma 3LL cells and treated with anti-PD-1 or with isotype control IgG (Fig. [3a\)](#page-4-0). In this unbiased screen, *Stk11* and *Keap1* emerged as two of the three most significantly enriched TSGs (Fig. [3b\)](#page-4-0). Progressive enrichment of multiple unique single-guide RNAs (sgRNAs) targeting *Stk11* or

*Keap1* in subcutaneous tumours grown in (i) nude mice, (ii) syngeneic, immune-competent C57BL/6 mice treated with IgG isotype control antibody and (iii) C57BL/6 mice treated with anti-PD-1 reflects the enhanced fitness of *Stk11-* or *Keap1-* deficient cells under increasing immune pressure (Fig. [3c\)](#page-4-0). Thus, inactivations of *Stk11* and *Keap1* constitute direct, independent mediators of immune evasion and de novo resistance to PD-(L)1 axis blockade in NSCLC models—in agreement with previous reports $12,13$ , our retrospective NSCLC cohort (Fig. [1\)](#page-1-0) and the clinical data from the POSEIDON study (Fig. [2\)](#page-3-0).

Next, we investigated whether specific genomic alterations could promote differential sensitivity to dual ICB compared with PD-(L)1i with a genetically engineered autochthonous lung cancer model. Specifically, we used tumour barcoding coupled with high-throughput barcode sequencing (Tuba-se[q32](#page-8-18)) and multiplexed somatic CRISPR–Cas9 genome editing to quantitatively assess the effect of inactivating 22 TSGs on the efficacy of dual ICB relative to anti-PD-1 monotherapy<sup>[32](#page-8-18)</sup> (Fig. [3d,e](#page-4-0) and Extended Data Fig. 5a–e). Twelve weeks after the initiation of tumours with a pool of barcoded Lenti-sgRNA/Cre vectors, *Kras<sup>LSL-G12D/+</sup>H11<sup>LSL-Cas9</sup>* mice ( $n = 16-19$  per group) were randomly assigned to treatment groups (i) anti-PD-1 and anti-CTLA4 (anti-PD-1/ anti-CTLA4); (ii) anti-PD-1 and isotype control (anti-PD-1); (iii) anti-CTLA4 and isotype control (anti-CTLA4); or (iv) isotype controls (Extended Data Fig. 5a). After three weeks of treatment, genomic DNA was extracted from bulk tumour-bearing lungs for Tuba-seq library generation and analysis (Fig. [3d\)](#page-4-0). Inactivation of several TSGs—including *Stk11* and, to a lesser extent, *Keap1*—promoted in vivo tumour growth in the absence of therapy, as reported previously $33-35$  $33-35$  $33-35$  (Extended Data Fig. 5c). Notably, inactivation of *Keap1* significantly and markedly enhanced sensitivity to the dual ICB compared with anti-PD-1 monotherapy (Fig. [3e](#page-4-0) and Extended Data Fig. 5d,e).

To further validate these findings, we used several syngeneic models driven by either *KrasG12C* (the most prevalent *KRAS* mutant allele in NSCLC) or *KrasG12D* and concurrent inactivation of *Stk11* and/or *Keap1*. We surmised that owing to the partially shared biology between *Keap1* and *Stk11* loss, including the upregulation of a NRF2-driven transcrip-tional program<sup>[18,](#page-8-3)36</sup>, dual ICB might represent an effective therapeutic strategy that applies to both oncogenotypes, as supported by subgroup analyses from POSEIDON. In several immune-competent mouse models of *KrasG12C* or *KrasG12D*-driven NSCLC with *Keap1* and/ or *Stk11* inactivation with varying degrees of resistance to anti-PD-1 therapy, combined anti-PD-1/anti-CTLA4 significantly inhibited the growth of subcutaneous allograft tumours and prolonged survival (defined as time to a tumour volume of at least 1,200 mm3 , or death or loss of condition), compared with isotype IgG control (Fig. [3f](#page-4-0)). *Stk11*-deficient models included the genetically engineered mouse  $K^{G12C}L2(KL2)$  and  $K^{G12C}L5(KL5)$  tumour-derived LUAD models as well as an isogenic derivative of the LKR13 *KrasG12D*-driven LUAD cell line with CRISPR–Cas9-mediated inactivation of *Stk11* and *Keap1* (*KLK*, clone 18). Although *Keap1*-deficient models also showed relative resistance to PD-1i monotherapy, this effect was more variable and ranged from complete to partial insensitivity; by contrast, the *KK* (*KrasG12D*-mutant and *Keap1*-deficient) and *KLK* LKR13 models exhibited marked sensitivity to anti-CTLA4—both in combination with anti-PD-1 and also as monotherapy—and this resulted in long-term tumour regression in a subset of treated mice (Fig. [3f](#page-4-0)). Similar results were obtained when the preclinical trial was expanded to incorporate LKR13 allograft cohorts (originating from the distinct single-cell-derived clone 17) treated with platinum doublet chemotherapy either alone or in combination with anti-PD-1 or anti-PD-1/anti-CTLA4, to better emulate the arms of the POSEIDON clinical trial (Extended Data Fig. 5f,g). Of note, although both anti-PD-1 therapy (with or without concurrent platinum-based doublet chemotherapy) and dual ICB (with or without chemotherapy) curtailed in vivo tumour growth in the *K* allograft model, only dual ICB (with or without chemotherapy) exhibited robust anti-tumour activity in the isogenic *KLK* model (Extended Data Fig. 5g). Furthermore,

reinvigoration of anti-tumour immunity in the *KLK* allograft model was achieved selectively with PD-1/CTLA4 co-inhibition and was not observed with concurrent inhibition of PD-1 and either TIM-3 or LAG-3 (Extended Data Fig. 5h). Together, these findings provide evidence that dual ICB encompassing anti-PD-(L)1 in combination with anti-CTLA4 can mitigate primary resistance to PD-1i therapy in *Stk11*-deficient and, even more markedly, in *Keap1*-deficient NSCLC models.

To interrogate the mechanistic underpinnings of increased sensitivity to dual ICB in *STK11* and/or *KEAP1*-mutant NSCLC, we next investigated the immune phenotype of *Stk11* and/or *Keap1*-deficient mouse tumours and the effect of blockade of the PD-(L)1 and/or CTLA4 pathways using fluorescence-activated cell sorting (FACS)-based immune profiling. The tumour immune microenvironment (TIME) of both *Stk11*- and *Keap1*-deficient tumours before treatment was characterized by an accumulation of myeloid cell subsets and a paucity of CD8<sup>+</sup> cytotoxic T cells, resulting in a markedly increased CD11b<sup>+</sup>/ CD8<sup>+</sup> ratio (Fig. [4a](#page-6-0) and Extended Data Fig. 6a-c), consistent with their previously reported immunosuppressive phenotype<sup>5,[12](#page-8-1)[,13](#page-8-12)[,37](#page-8-22)</sup>. Notably, *Stk11* inactivation predominantly boosted the polymorphonuclear neutrophil compartment and triggered a prominent increase in the neutrophil/CD8<sup>+</sup> ratio, whereas loss of *Keap1* fostered a TIME rich in tumour-associated macrophages and monocytes as well as neutrophils (Fig. [4a](#page-6-0) and Extended Data Fig. 6a). These results were further supported by single-cell RNA sequencing (scRNA-seq) analysis, which revealed an enrichment of immune-suppressive transcriptional states in myeloid cells retrieved from *Stk11-* and/or *Keap1*-deficient tumours (Extended Data Fig. 6d–i). This imbalance in the relative abundance of myeloid and lymphoid subsets in *STK11*MUT and/or *KEAP1*MUT tumours was recapitulated in three independent cohorts of surgically resected nsNSCLC and was further validated using functional signatures for LKB1 loss or NRF2 transcriptional activation<sup>[38](#page-8-23)</sup> (Extended Data Fig. 7a-c). Despite a substantial reduction in T cells as a fraction of the total immune infiltrate, as compared with wild-type controls, a more detailed analysis revealed non-uniform changes in distinct populations of effector T cells. *Keap1-*deficient tumours (K7 and K8) had a trend towards fewer CD8<sup>+</sup> cytotoxic T cells but a retention of or increase in CD4<sup>+</sup> T cell subsets, including T helper  $1(T_H1)$  cells (T-bet<sup>+</sup>CD4<sup>+</sup>), resulting in a significantly increased  $T_H 1/CD8^+$  ratio in all tested models (Fig. [4a](#page-6-0) and Extended Data Fig. 6a–c). *Stk11*-deficient tumours had a profound depletion of CD8<sup>+</sup> cells (Fig. [4a](#page-6-0) and Extended Data Fig. 6c) and relative retention of CD4<sup>+</sup> T cells, also resulting in an increased  $T_H1/CDS^+$ ratio (Fig. [4a](#page-6-0) and Extended Data Fig. 6a,c). Our analysis of the Immunogenomic Profiling of Non-small cell lung cancer (ICON) dataset of surgically resected nsNSCLC revealed broadly consistent patterns: both *STK11*MUT*KEAP1*MUT or WT and *STK11*WT*KEAP1*MUT nsNSCLC exhibited a relative enrichment in  $T_H1CD4^+T$  cells (Extended Data Fig. 7d). Similar findings were observed in The Cancer Genome Atlas (TCGA) dataset (Extended Data Fig. 7e).

To further investigate the sensitivity of *Keap1*- and *Stk11-*mutant tumours to CTLA4 blockade and dual ICB, we treated immunecompetent mice, bearing syngeneic *Kras-*mutant flank tumours with inactivation of *Keap1* (*KK*; LKR13 *KrasG12DK*), *Stk11* (*KL5*; *KG12CL5*) or both (*KLK*; LKR13 *KrasG12D*, clone 18), with anti-PD-1, anti-CTLA4, dual ICB or IgG isotype controls. Tumours were collected two weeks after the start of treatment, and the modulation of distinct myeloid and T cell populations was subsequently evaluated by FACS-based profiling (Fig. [4b](#page-6-0) and Extended Data Fig. 8a,b). Dual ICB resulted in a robust increase in CD4<sup>+</sup> subsets, including  $T_H1$  T cells (T-bet<sup>+</sup>CD4<sup>+</sup>), and effector memory CD4<sup>+</sup> T cells (CD4<sup>+</sup>CD44<sup>+</sup>CD62L<sup>-</sup>), as a per-centage of the CD45<sup>+</sup> population across all tested models (Fig. [4b](#page-6-0) and Extended Data Fig. 8a). This finding is consistent with previous reports of CD4<sup>+</sup> T cell phenotypic expansion in response to CTLA4 pathway blockade<sup>[39](#page-8-24),40</sup>. Notably, an increased frequency of ICOS<sup>+</sup>CD4<sup>+</sup> cells in tumour tissue in response to combined PD-1/CTLA4 blockade (which was also evident in our models; Extended Data Fig. 8b) was



<span id="page-6-0"></span>**Fig. 4 | Innate immune cells and CD4<sup>+</sup> effectors are crucial mediators of dual anti-PD-1/anti-CTLA4 efficacy in** *Stk11-* **and/or** *Keap1***-deficient models of**  *KRAS***-mutant NSCLC. a**, FACS-based enumeration of immune cell subsets in *Keap1-*deficient (K7, K8), *Stk11*-deficient (L6, L9) or isogenic *Keap1* and *Stk11* proficient LKR10 (control, Con) allograft tumours reveals a myeloid-cell-enriched and CD8 $^{\ast}$  T-cell-depleted suppressive TIME with relative sparing of T $_{\rm H}$ 1 CD4 $^{\ast}$ cells. Data are mean ± s.d. *(n* = 7–8 mice per group). TAMs, tumour-associated macrophages. **b**, FACS-based assessment of single and dual ICB-induced changes in the abundance of distinct T cell (left panels) and myeloid cell (right panels) subsets in the microenvironment of the *Stk11-* and *Keap1*-deficient *KLK* model. Data are mean ± s.d. (*n* = 7–8 mice per group). EffM, effector memory cells. **c**, Effect of CD4<sup>+</sup> or CD8<sup>+</sup> depletion on the in vivo growth kinetics of KL5 and *KK* models in the absence of treatment or with dual anti-PD-1/anti-CTLA4

previously associated with improved efficacy in clinical studies, and with increased cytokine production, which might promote the recruit-ment and activation of CD8<sup>+</sup> T cells<sup>[40](#page-8-25),41</sup>. Regulatory T (T<sub>reg</sub>) cells were

therapy (*n* = 7–8 mice per group). Comparison of tumour volume was performed at the time point at which the first mouse in any treatment group reached a tumour volume  $\geq 1,500$  mm<sup>3</sup>. **d**, The anti-tumour activity of dual PD-1/CTLA4 ICB in the *KL5* model is dependent on innate immune cells (*n* = 7–10 mice per group). Tumour volume is shown for the indicated treatment arms. **e**, iNOS inhibition curtails the anti-tumour efficacy of dual ICB in the *KLK* model (*n* = 7–8 mice per group). Comparison of tumour volume was performed at the time point at which the first mouse in any treatment group reached a tumour volume $\geq 1,200$  mm<sup>3</sup>. Individual tumour growth trajectories in the anti-PD-1 + anti-CTLA4 (red) and L-NIL + anti-PD-1 + anti-CTLA4 (purple) treatment arms are also shown. Mann–Whitney *U* test was used for all pairwise statistical comparisons. Data are mean ± s.d. Statistical significance is indicated (\**P* ≤ 0.05, *\*\*P* ≤ 0.01, *\*\*\*P* ≤ 0.001).

not depleted in response to dual ICB in our study, and in all cases the  $T_H1/T_{reg}$  ratio was substantially enhanced (Fig. [4b](#page-6-0) and Extended Data Fig. 8a,b). Similar findings were observed in another *Stk11*-deficient

model (*KL2*; *KG12CL2)* (Extended Data Fig. 8c). The effects of dual therapy on CD8<sup>+</sup> cell subsets were less prominent and varied across individual models, although a modest increase was generally observed with dual ICB (Extended Data Fig. 8b,c). Of note, dual ICB considerably remodelled the myeloid cell compartment and triggered a marked induction of iNOS<sup>+</sup> antigen-presenting cells, monocytes, neutrophils and MHCII<sup>+</sup> tumour-associated macrophages across several tested models (Fig. [4b](#page-6-0) and Extended Data Fig. 8a–c). Comparable results were obtained in a distinct single-cell-derived LKR13 model (*KLK* clone 17) in response to dual ICB, with or without chemotherapy (Extended Data Fig. 9a,b). Consistent with their recalcitrance to PD-1 inhibition (as monotherapy or in combination with platinum doublet chemotherapy), *KLK* allograft tumours exhibited increased CD4 $^{\scriptscriptstyle +}$  T<sub>H</sub>1 cells and an accumulation of iNOS-expressing tumoricidal myeloid cell subsets only in the treatment arms that included concurrent CTLA4 inhibition (Extended Data Fig. 9a,b).

These data suggest that innate immune cells, including one or more subpopulations of myeloid cells, as well as CD4<sup>+</sup>-and potentially CD8<sup>+</sup> —T cell effector subsets, could be important contributors to the response of these tumours to dual ICB. To directly test this hypothesis, we initially specifically depleted CD4+ or CD8+T cells using anti-CD4 or anti-CD8 antibodies and tested the effect of dual ICB on the growth of the aforementioned *KK* and *KL5* syngeneic models in immune-competent mice. Selective depletion of CD4+ or CD8+ T cells was confirmed by FACS analysis of splenocytes and tumours (Extended Data Fig. 10a). Growth of *KL5* tumours in the absence of ICB was not affected by CD4<sup>+</sup> or CD8<sup>+</sup> T cell depletion, consistent with their inert immune phenotype at baseline (Fig. [4c](#page-6-0)). Depletion of CD8+ (but not CD4<sup>+</sup>) T cells fostered accelerated tumour growth in the *KK* model, indicative of more active immune surveillance in this oncogenotype, whereas depletion of CD4<sup>+</sup> T cells had a minor effect. Dual ICB significantly curtailed in vivo tumour growth compared with isotype-control-treated mice in both models (Fig. [4c](#page-6-0)). Depletion of CD8<sup>+</sup> T cells nullified the anti-tumour activity of combination therapy in both models. Crucially, the efficacy of dual ICB was also strictly dependent on CD4<sup>+</sup> T cells in the *Keap1*-deficient *KK* model, whereas *Stk11*-deficient *KL5* tumours exhibited a partial dependence on CD4<sup>+</sup> T cells. This effect was observed despite co-depletion of both effector and suppressive (that is,  $T_{reg}$ ) CD4<sup>+</sup> T cell subsets (Fig. [4c](#page-6-0)). We next examined the potential contribution of myeloid cell subsets to the anti-tumour activity of dual ICB. Treatment with anti-Ly6G, anti-CCL2 or their combination (anti-Ly6G + anti-CCL2), before and during dual ICB, completely abolished the efficacy of combined anti-PD-1/anti-CTLA4 inhibition in the *KL5* model (Fig. [4d](#page-6-0)), whereas a more modest effect was observed in the *KLK* model (Extended Data Fig. 10b). Notably, chemical inhibition of iNOS with the highly specific inhibitor L-NIL (N<sup>6</sup>-(1-iminoethyl)-L-lysine) in the *KLK* model partially abrogated the eradication of tumours in response to dual ICB (Fig. [4e](#page-6-0)). The dependence on CD4<sup>+</sup> T cells, myeloid cells and iNOS for the immune-mediated killing of these tumours is noteworthy in light of a previous report, which found that CD4+ T-cell-induced inflammatory cell death can have a key role in the eradication of immunologically inert, MHC class I-deficient melanoma tumours that escape direct CD8<sup>+</sup> targeting<sup>42</sup>. In that study, it was observed that relatively sparse CD4<sup>+</sup>  $T_H1$  cells present at tumour margins reprogram myeloid cells towards a more phagocytic and tumoridical phenotype and trigger distal inflammatory cell death. Neutrophil-mediated, iNOS-dependent eradication of tumour cells in response to dual ICB was also reported to be crucial for the clearance of antigenically heterogeneous tumours<sup>43,[44](#page-8-29)</sup>; this dependence might thus be particularly relevant for *STK11*MUT tumours that are characterized by defects in antigen processing and/or pres-entation<sup>[16](#page-8-30)</sup>.

Together, this study provides evidence that *STK11* and *KEAP1* mutations are associated with a relative lack of benefit from PD-(L)1 inhibitors in combination with chemotherapy in patients with metastatic NSCLC, and that this resistance can be mitigated by the addition of CTLA4 blockade, as observed in the TDCT arm of the POSEIDON study. Unbiased genetic screens in several immune-competent mouse models confirmed that the inactivation of *Stk11* and *Keap1* promotes resistance to PD-(L)1 inhibitors and confers selective sensitivity to dual ICB. Mechanistically, combined inhibition of PD-(L)1 and CTLA4 exploits at least two cardinal features of the suppressive *STK11<sup>MUT</sup>* and/or *KEAP1<sup>MUT</sup>* NSCLC TIME: (a) the relative retention of certain anti-CTLA4-responsive CD4<sup>+</sup> T cell subsets, including  $T_H1$  T cells (T-bet<sup>+</sup>CD4<sup>+</sup>); and (b) a myeloid-cell-rich tumour ecosystem that can be reprogrammed in response to dual ICB towards iNOS-expressing tumoricidal phenotypes. This distinct imbalance in the immune contexture of *STK11*MUT and/or *KEAP1*MUT NSCLC could, at least partially, underpin the clinical utility of dual ICB in combination with chemotherapy for this difficult-to-treat population of patients. Ostensibly, the recruitment of circulating neutrophils might also contribute to the anti-tumour activity of dual ICB, and it is notable that patients with *STK11*MUT NSCLC have previously been reported to have a higher circulating neutrophil-to-lymphocyte ratio<sup>45</sup>. Furthermore, although our experiments were not designed to address the possible contribution of direct FcγR-mediated innate immune remodelling, this might, at least partially, affect anti-tumour efficacy in clinical settings<sup>[46](#page-8-32)-48</sup>. In support of this possibility, an analysis of single myeloid cell transcriptomes from *KK* or *KLK* allograft tumours revealed increased expression of *Fcgr4* (Extended Data Fig. 6h,i). Several possible further effects of dual ICB, including de novo  $CDS<sup>+</sup> T$  cell priming in lymphoid organs and expansion of the T cell receptor repertoire, might not be oncogenotype specific but might rather apply broadly to immunologically 'cold' tumours across different cancer types. Additional downstream mediators of this immune phenotype and CTLA4 inhibitor responsiveness are an area of active investigation. Previous studies have highlighted STING pathway suppression<sup>[15](#page-8-34),[49](#page-8-35)</sup>, impaired antigen presentation<sup>[16](#page-8-30)</sup> and enhanced lactate<sup>[37](#page-8-22)</sup> or IL-6 secretion<sup>[13](#page-8-12)[,50](#page-8-36)</sup> as potential mediators of PD-(L)1 inhibitor resistance in *STK11<sup>MUT</sup>* tumours. Finally, the observation that dual ICB mitigates *STK11<sup>MUT</sup>* and *KEAP1*MUT-associated PD-(L)1 inhibitor resistance suggests that these genes could serve as biomarkers for identifying patients with NSCLC who will benefit from the addition of CTLA4 blockade. Given the inherent limitations of interpreting post-hoc analyses in patient subgroups, prospective clinical studies that directly compare dual ICB and PD-(L)1 inhibition in combination with chemotherapy in these subgroups—such as the actively recruiting phase IIIb TRITON clinical trial ([NCT06008093\)](https://clinicaltrials.gov/ct2/show/NCT06008093)—are warranted, and these findings could ultimately change how patients with NSCLC who have these most recalcitrant tumour genotypes are cared for.

### **Online content**

Any methods, additional references, Nature Portfolio reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at [https://doi.org/10.1038/s41586-024-07943-7.](https://doi.org/10.1038/s41586-024-07943-7)

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 $\mathbf{Ferdinandos}$   $\mathbf{Skoulidis}^{1,53}$   $\mathbb{Z}$ , Haniel A. Araujo<sup>1,52</sup>, Minh Truong Do<sup>1,52</sup>, Yu Qian<sup>1</sup>, Xin Sun<sup>1</sup>, **Ana Galan Cobo1 , John T. Le1 , Meagan Montesion2 , Rachael Palmer3 , Nadine Jahchan3 , Joseph M. Juan4 , Chengyin Min5 , Yi Yu5 , Xuewen Pan5 , Kathryn C. Arbour6 , Natalie Vokes1 ,**  Stephanie **T.** Schmidt<sup>7</sup>, David Molkentine<sup>1</sup>, Dwight H. Owen<sup>8</sup>, Regan Memmott<sup>8</sup>, **Pradnya D. Patil9 , Melina E. Marmarelis10, Mark M. Awad11, Joseph C. Murray12, Jessica A. Hellyer13, Justin F. Gainor14, Anastasios Dimou15, Christine M. Bestvina16, Catherine A. Shu17, Jonathan W. Riess18, Collin M. Blakely19, Chad V. Pecot20,**  Laura Mezquita<sup>21</sup>, Fabrizio Tabbó<sup>22</sup>, Matthias Scheffler<sup>23</sup>, Subba Digumarthy<sup>24</sup> **Meghan J. Mooradian<sup>14</sup>, Adrian G. Sacher<sup>25</sup>, Sally C. M. Lau<sup>26</sup>, Andreas N. Saltos<sup>27</sup> Julia Rotow11, Rocio Perez Johnson28, Corinne Liu28, Tyler Stewart29, Sarah B. Goldberg30, Jonathan Killam31, Zenta Walther32, Kurt Schalper32, Kurtis D. Davies33, Mark G. Woodcock<sup>20</sup>, Valsamo Anagnostou<sup>12</sup>, Kristen A. Marrone<sup>12</sup>, Patrick M. Forde<sup>12</sup>, Biagio Ricciuti<sup>11</sup>, Deepti Venkatraman<sup>11</sup>, Eliezer M. Van Allen<sup>11</sup>, Amy L. Cummings<sup>3</sup> Jonathan W. Goldman34, Hiram Shaish17, Melanie Kier35, Sharyn Katz10, Charu Aggarwal10, Ying Ni<sup>9</sup>, Joseph T. Azok<sup>9</sup>, Jeremy Segal<sup>36</sup>, Lauren Ritterhouse<sup>2</sup>, Joel W. Neal<sup>13</sup>,** Ludovic Lacroix<sup>37</sup>, Yasir Y. Elamin<sup>1</sup>, Marcelo V. Negrao<sup>1</sup>, Xiuning Le<sup>1</sup>, Vincent K. Lam<sup>12</sup>, **Whitney E. Lewis1 , Haley N. Kemp1 , Brett Carter38, Jack A. Roth39, Stephen Swisher39, Richard Lee1 , Teng Zhou1 , Alissa Poteete1 , Yifan Kong1 , Tomohiro Takehara1 , Alvaro Guimaraes Paula1 , Edwin R. Parra Cuentas40, Carmen Behrens40, Ignacio** I. Wistuba<sup>40</sup>, Jianjun Zhang<sup>1</sup>, George R. Blumenschein<sup>1</sup>, Carl Gay<sup>1</sup>, Lauren A. Byers<sup>1</sup>, **Don L. Gibbons1 , Anne Tsao1 , J. Jack Lee41, Trever G. Bivona19, D. Ross Camidge42,**  Jhannelle E. Gray<sup>27</sup>, Natasha Lieghl<sup>25</sup>, Benjamin Levy<sup>12</sup>, Julie R. Brahmer **Marina C. Garassino16, David R. Gandara18, Edward B. Garon34, Naiyer A. Rizvi43, Giorgio Vittorio Scagliotti44, Jürgen Wolf23, David Planchard37, Benjamin Besse37, Roy S. Herbst30, Heather A. Wakelee13, Nathan A. Pennell9 , Alice T. Shaw45, Pasi A. Jänne11,**  David P. Carbone<sup>8</sup>, Matthew D. Hellmann<sup>46</sup>, Charles M. Rudin<sup>6</sup>, Lee Albacker<sup>2</sup>, **Helen Mann<sup>47</sup>, Zhou Zhu<sup>47</sup>, Zhongwu Lai<sup>47</sup>, Ross Stewart<sup>47</sup>, Solange Peters<sup>48</sup> Melissa L. Johnson49, Kwok K. Wong50, Alan Huang5 , Monte M. Winslow4,13, Michael J. Rosen<sup>4</sup>, Ian P. Winters<sup>4</sup>, Vassiliki A. Papadimitrakopoulou<sup>51</sup>, Tina Cascone<sup>1</sup>, Philip Jewsbury47 & John V. Heymach1,53** ✉

1 Department of Thoracic and Head and Neck Medical Oncology, University of Texas MD Anderson Cancer Center, Houston, TX, USA. <sup>2</sup>Foundation Medicine, Cambridge, MA, USA. <sup>3</sup>Pionyr Immunotherapeutics, South San Francisco, CA, USA. <sup>4</sup>D2G Oncology, Mountain View, CA, USA. <sup>5</sup>Tango Therapeutics, Boston, MA, USA. <sup>6</sup>Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, NY, USA. <sup>7</sup>Department of Genomic Medicine and the Institute for Data Science in Oncology, University of Texas MD Anderson Cancer Center, Houston, TX, USA. <sup>8</sup> Division of Medical Oncology, Ohio State University-James Comprehensive Cancer Center, Columbus, OH, USA. <sup>9</sup>Taussig Cancer Institute, Cleveland Clinic, Cleveland, OH, USA. <sup>10</sup>Division of Hematology and Oncology, Perelman School of

Medicine at the University of Pennsylvania, Philadelphia, PA, USA. <sup>11</sup>Lowe Center for Thoracic Oncology, Dana-Farber Cancer Institute, Boston, MA, USA. 12Sidney Kimmel Comprehensive Cancer Center, Johns Hopkins University School of Medicine, Baltimore, MD, USA. <sup>13</sup>Department of Genetics, Stanford University, Stanford, CA, USA. <sup>14</sup>Massachussetts General Hospital, Boston, MA, USA. <sup>15</sup>Department of Oncology, Mayo Clinic, Rochester, MN, USA. <sup>16</sup>Department of Medicine, University of Chicago, Chicago, IL, USA. <sup>17</sup>Columbia University, New York, NY, USA. <sup>18</sup>University of California Davis Comprehensive Cancer Center, Sacramento, CA, USA. 19University of California San Francisco, San Francisco, CA, USA. <sup>20</sup>UNC Lineberger Comprehensive Cancer Center, Chapel Hill, NC, USA. <sup>21</sup>Department of Medical Oncology, Hospital Clinic de Barcelona, Barcelona, Spain. 22Ospedale Michele e Pietro Ferrero, Cuneo, Italy.<sup>23</sup>Department of Internal Medicine, Center for Integrated Oncology, University Hospital Cologne, Cologne, Germany. 24Department of Radiology, Massachussetts General Hospital, Boston, MA, USA. <sup>25</sup>Princess Margaret Cancer Centre, Toronto, Ontario, Canada. <sup>26</sup>Department of Medical Oncology, NYU Langone Perlmutter Cancer Center, New York, NY, USA.<sup>27</sup>Department of Thoracic Oncology, H. Lee Moffitt Cancer Center, Tampa, FL, USA. <sup>28</sup>Department of Radiology, Memorial Sloan Kettering Cancer Center, New York, NY, USA. 29Division of Hematology–Oncology, University of California San Diego, La Jolla, CA, USA. <sup>30</sup>Yale School of Medicine, New Haven, CT, USA. <sup>31</sup>North Shore University Hospital, Manhasset, NY, USA. 32Department of Pathology, Yale School of Medicine, New Haven, CT, USA.

33Department of Pathology, University of Colorado Anschutz Medical Campus, Aurora, CO, USA. 34David Geffen School of Medicine at the University of California, Los Angeles, CA, USA. 35Icahn School of Medicine at Mount Sinai, New York, NY, USA. 36Department of Pathology, University of Chicago, Chicago, USA.<sup>37</sup>Institut Gustave Roussy, Villejuif, France. 38Department of Thoracic Imaging, University of Texas MD Anderson Cancer Center, Houston, TX, USA. <sup>39</sup>Department of Thoracic and Cardiovascular Surgery, University of Texas MD Anderson Cancer Center, Houston, TX, USA. <sup>40</sup>Department of Translational Molecular Pathology, University of Texas MD Anderson Cancer Center, Houston, TX, USA. 41Department of Biostatistics, University of Texas MD Anderson Cancer Center, Houston, TX, USA. 42University of Colorado Cancer Center, Denver, CO, USA. 43Synthekine, Menlo Park, CA, USA. <sup>44</sup>University of Turin, Orbassano, Italy. <sup>45</sup>Novartis Institute for Biomedical Research, Cambridge, MA, USA. <sup>46</sup>AstraZeneca, Gaithersburg, MD, USA. <sup>47</sup>AstraZeneca, Cambridge, UK. 48Department of Oncology, Centre Hospitalier Universitaire Vaudois, Lausanne University, Lausanne, Switzerland. <sup>49</sup>Sarah Cannon Research Institute, Tennessee Oncology, Nashville, TN, USA. <sup>50</sup>Division of Hematology & Medical Oncology, NYU Langone Perlmutter Cancer Center, New York, NY, USA. <sup>51</sup>Pfizer, New York, NY, USA. <sup>52</sup>These authors contributed equally: Haniel A. Araujo, Minh Truong Do.  $^{53}$ These authors jointly supervised this work: Ferdinandos Skoulidis, John V. Heymach. <sup>⊠</sup>e-mail: [fskoulidis@mdanderson.org](mailto:fskoulidis@mdanderson.org); [jheymach@](mailto:jheymach@mdanderson.org) [mdanderson.org](mailto:jheymach@mdanderson.org)

### **Methods**

### **Retrospective multi-institutional nsNSCLC patient cohort**

**Study population.** Reviews of electronic medical records were performed for patients from 22 academic institutions in North America and Europe, including MD Anderson Cancer Center, Memorial Sloan Kettering Cancer Center, Ohio State University, Dana Farber Cancer Institute, Massachusetts General Hospital, Cleveland Clinic, University of Chicago, Yale University, University of Pennsylvania, University of Colorado, University of Cologne, UHN Research, Columbia University Medical Center, Gustave Roussy, John's Hopkins, Stanford University, University of Torino–Orbassano, University of California Davis, University of California Los Angeles, University of California San Francisco, Moffitt Cancer Center and University of North Carolina at Chapel Hill. Patients with stage IV nsNSCLC who received treatment with either (a) carboplatin or cisplatin, pemetrexed and pembrolizumab (PCP cohort) or (b) carboplatin or cisplatin and pemetrexed before the regulatory approval of PCP at each medical jurisdiction (CP cohort), were alive for 14 or more days after the start of treatment and had genomic profiling results that included *STK11* (for patients in the PCP cohort) and *STK11* and/ or *KEAP1* (for patients in the CP cohort) available from tumour or blood before starting first-line systemic therapy were eligible. Patients who had tumours with sensitizing *EGFR* mutations or *ALK* rearrangements were excluded. Patients treated with bevacizumab as part of first-line systemic therapy were excluded. Prior immunotherapy was not allowed; prior neoadjuvant or adjuvant chemotherapy for early-stage surgically resectable disease or as part of concurrent chemoradiation for locally advanced disease was allowed if completed at least five months before the initiation of systemic therapy for stage IV disease. The dataset was locked on 31 December 2018 for the PFS analysis and on 31 August 2019 for the OS analysis. Patient information was collected through chart review. Tumour cell PD-L1 expression was available for 88.6% of patients in the PCP cohort and was determined with the Dako 22C3 pharmDx or 28-8 pharmDx, Ventana SP263 or Ventana SP142 and E1L3N assays (data regarding the used assay were not available for 15 patients). The study was approved by an Institutional Review Board (IRB) at participating centres and included a waiver of patient informed consent. This study was conducted in accordance with ethical guidelines including the Declaration of Helsinki and US Common Rule.

**Genomic profiling.** Patients must have had genomic profiling results including *STK11* (for patients in the PCP cohort) and *STK11* and/or *KEAP1* (for patients in the CP cohort) from tumour and/or plasma prior to starting first-line systemic therapy to be included in the analysis. Only tests performed through commercially approved assays or in a CLIA-certified laboratory were allowed. When available, we integrated results from tumour and plasma profiling for the analysis. All nonsynonymous *STK11* and *KEAP1* missense mutations and bi-allelic deletions were considered pathogenic. Cross-platform TMB harmonization was performed by applying a normal transformation followed by standardization to *z*-scores as previously described<sup>[51](#page-16-0)</sup>. A threshold of 8.58 mutations per Mb for harmonized TMB (representing the whole-exome sequencing equivalent of 10 mutations per Mb in the FoundationOne CDx assay) was used as a cut-off to separate patients with low (<8.58 mutations per Mb) versus high (≥8.58 mutations per Mb) TMB.

**Survival and objective response analyses.** For the PFS analysis, data for patients who were alive and had no evidence of progression at the time of dataset lock or who were lost to follow-up were censored at the time of the last radiologic tumour assessment. For the OS analysis, data for patients who were alive or lost to follow-up at the time of dataset lock were censored at the time of the last documented patient contact. The Kaplan–Meier method was used to estimate PFS and OS, and differences were assessed by log-rank test. HRs and corresponding CIs were estimated with the use of a stratified Cox proportional hazards model adjusted for clinical variables (age, history of brain metastasis, performance status (0−1 versus >1)). Best response was determined through investigator-assessed RECIST v.1.1. ORR was defined as the percentage of response-evaluable patients who achieved a complete or partial response. Patients who died 14 days or more after the start of first-line systemic therapy, but before the first restaging scan, were considered to have progressive disease. Attribution of stable disease as best overall response to therapy required a minimum interval of 30 days between the first day of the first cycle of treatment (C1D1) and radiologic evaluation. Differences in categorical variables were assessed by two-sided Fisher's exact test. Significance was established at *P* ≤ 0.05. Statistical analysis was performed in IBM SPSS Statistics v.24.0, R v.4.1.2 (2021-11-01) and SAS v.9.4.

### **POSEIDON phase III randomized clinical trial dataset**

**Study population.** Study design and patient eligibility criteria for the POSEIDON global phase III randomized clinical trial (ClinicalTrials.gov identifier: NCT03164616) have been previously described<sup>3</sup>. In brief, patients were eligible if they were at least 18 years old, were diagnosed with stage IV NSCLC and had not previously received systemic therapy for metastatic NSCLC; had ECOG PS 0 or 1; and had measurable disease according to RECIST v.1.1. Tumour PD-L1 expression assessment at a central laboratory using the VENTANA PD-L1 (SP263) immunohistochemistry assay (Ventana Medical Systems) was required before random assignment. Patients who had tumours with sensitizing *EGFR* mutations or *ALK* rearrangements were not eligible to participate in the study. Patients with treated and stable brain metastases were eligible.

**Treatment.** Patients were randomly assigned (1:1:1) with stratification by PD-L1 expression (≥50% versus <50% of tumour cells), disease stage (IVA versus IVB, per the International Association for the Study of Lung Cancer Staging Manual in Thoracic Oncology v.8) and histology (squamous versus nonsquamous) to one of three treatment arms: (1) tremelimumab 75 mg plus durvalumab 1,500 mg and chemotherapy for up to four 21-day cycles, followed by durvalumab 1,500 mg once every 4 weeks until disease progression (PD), with one additional tremelimumab dose after chemotherapy at week 16/cycle 6 (fifth dose) (TDCT arm); (2) durvalumab 1,500 mg plus chemotherapy for up to four 21-day cycles, followed by durvalumab 1,500 mg once every 4 weeks until PD (DCT arm); or (3) platinum doublet chemotherapy for up to six 21-day cycles (CT arm). Only patients with nsNSCLC enrolled in POSEIDON were included in the analysis. Chemotherapy options for patients with nonsquamous histology included cisplatin or carboplatin and pemetrexed or, alternatively, carboplatin and nab-paclitaxel. Patients with nonsquamous histology who received pemetrexed–platinum doublet could receive pemetrexed maintenance therapy if eligible. Patients continued treatment until PD, unacceptable toxicity or withdrawal of consent. In-study crossover was not allowed.

The study was performed in accordance with the Declaration of Helsinki and the International Conference on Harmonisation Good Clinical Practice guidelines. The protocol and all modifications were approved by relevant ethics committees and regulatory authorities. All patients provided written informed consent.

**End-points and statistical analysis.** The primary end-points were progression-free survival (PFS), evaluated by blinded independent central review (BICR) per RECIST v.1.1, and overall survival (OS) for DCT versus CT. PFS was defined as the time from random assignment to objective PD or death from any cause in the absence of progression and OS as the time from random assignment to death from any cause. Key alpha-controlled secondary end-points were PFS and OS for TDCT versus CT.

A hierarchical multiple testing procedure with a gatekeeping strategy was used across the primary end-points and alpha-controlled secondary end-points. First, 1% alpha and 4% alpha were allocated to PFS and OS,

respectively, for the DCT versus CT comparison. Positivity for either primary end-point enabled alpha recycling to the key secondary PFS and OS end-points (TDCT versus CT). If either of the key secondary PFS or OS end-points was met, the alpha could be recycled to the other key secondary end-point. The primary and key secondary PFS and OS analyses were performed using a stratified log-rank test adjusted for the stratification variables of tumour PD-L1 expression, disease stage and histology, with HRs and 95% CIs estimated using a stratified Cox proportional hazards model. ORR was analysed using a logistic regression model, adjusted for the same factors as the primary end-points, and odds ratios and 95% CIs calculated. The Kaplan–Meier method was used to calculate median OS, PFS and DoR. Efficacy data were analysed in the biomarker-evaluable nsNSCLC population.

**POSEIDON biomarker analyses.** Pre-treatment tumour tissue or plasma samples underwent next-generation sequencing (NGS) of DNA through Foundation Medicine's FoundationOne CDx assay for tissue and Guardant Health's GuardantOMNI assay for ctDNA. Pre-treatment tumour tissue samples were scored for tumour cell expression of PD-L1 using the VENTANA PD-L1 (SP263) immunohistochemistry assay, with a 1% or 50% cut-off for positivity. TMB was assessed as described previously[52.](#page-16-1) Samples were considered altered in *STK11* or *KEAP1* if there was detection of a known nonsynonymous somatic mutation, as described in OncoKB<sup>53</sup>, any truncating alteration, including frameshift insertions and deletions, splice site mutations within 2 bp of exon, or homozygous deletion of one or more exons; and altered for *KRAS* if there was detection of a known missense somatic hotspot mutation.

Exploratory analyses of PFS (by (BICR) per RECIST v.1.1) and OS were performed using Kaplan–Meier estimates, with HRs and 95% CIs estimated using unstratified Cox proportional hazards models. ORRs were calculated using confirmed responses (at least one visit response of complete response or partial response and a confirmatory scan no sooner than four weeks after the initial response) by BICR per RECIST v.1.1. Kaplan–Meier estimates were used to describe the duration of response, defined as the time from the first documentation of complete response/partial response until the date of progression, death in absence of progression or the last evaluable RECIST assessment for patients who progressed or died after two or more missed visits. Analyses were performed using SAS v.9.4 and R v.4.2.0.

### **Foundation Medicine cohort**

A large cohort of 8,592 unselected patients with LUAD who submitted samples to FMI for hybrid capture-based comprehensive genomic profiling were included in an integrated analysis of TMB, PD-L1 expression and genomic alterations of individual cancer-related genes. Approval for this study, including a waiver of informed consent and a HIPAA waiver of authorization, was obtained from the Western Institutional Review Board (protocol no. 20152817). Samples submitted to Foundation Medicine were processed at a CLIA-certified laboratory as described previously $54$ . TMB was measured by Foundation Medicine as described previously<sup>52</sup>. Raw TMB values were measured in units of mutations per Mb sequenced and characterized as low (TMB < 6), intermediate (6 ≤ TMB < 20) or high (TMB ≥ 20). Assessment of tumour cell PD-L1 expression in the FMI cohort was based on the Dako 22C3 pharmDx assay. Tumours were characterized as PD-L1 negative (PD-L1 TPS < 1%), low positive (PD-L1 TPS 1-49%) or high positive (PD-L1 TPS ≥ 50%). In the FMI cohort, a LUAD sample was considered altered in *STK11* or *KEAP1* if there was detection of a known or likely pathogenic nonsynonymous somatic mutation, any truncating alteration or bi-allelic loss. Statistical analyses were assessed by two-sided Fisher's exact test and significance was established at FDR-adjusted *P* ≤ 0.05.

### **MD Anderson Cancer Center mIF cohort**

Surgically resected early-stage human NSCLC specimens obtained at the MD Anderson Cancer Center were evaluated by multiplex immunofluorescence (mIF), following a similar methodology to that previously described<sup>[55](#page-16-4)</sup>. In brief,  $4 \cdot \text{um-thick formula}$  fixed, paraffin-embedded tumour sections were stained using an automated staining system (BOND-RX; Leica Microsystems), applying previously validated mIF antibody panels<sup>55</sup>. Staining was performed sequentially according to each corresponding fluorophore in the Opal 7 colour IHC kit (NEL797001KT; Akoya Biosciences), including DAPI and Opal Polaris 520, 540, 570, 620, 650, 690 and coumarin. Stained tissue microarray (TMA) slides were scanned using the multispectral microscope, PhenoImager HT (formerly Vectra Polaris) 1.0.13 imaging system (Akoya Biosciences), under fluorescence at low magnification at 10× and high magnification at 20×. Each core from the TMAs was analysed using InForm 2.8.2 image analysis software (Akoya Biosciences). Marker colocalization was used to identify different cellular phenotypes and quantify the number of cells per mm<sup>2</sup>. Data were consolidated using RStudio 3.5.3 (Phenopter 0.2.2; [https://rdrr.io/github/akoya](https://rdrr.io/github/akoyabio/phenoptrReports/f/)[bio/phenoptrReports/f/](https://rdrr.io/github/akoyabio/phenoptrReports/f/), Akoya Biosciences). NGS-based genomic profiling was obtained by either whole-exome sequencing (WES) or CLIA-certified clinical NGS assays as part of routine standard of care and was accessed as part of an IRB-approved research protocol.

### **Analysis of the ICON NSCLC cohort**

Tumour samples from patients enrolled in the ICON cohort<sup>[56](#page-16-5)</sup> with nsNSCLC histology, no prior neoadjuvant chemotherapy and available transcriptional profiling data were considered eligible (*n* = 57). Raw RNA-seq data underwent normalization and transformation using the vsd function from DESeq2. *Z*-scores and average gene expression data were used for signature-based evaluation of immune cell subsets.  $T_H1$ levels and overall immune infiltrate (ImmuneScore) were estimated as gene signatures using XCell<sup>57</sup> and plotted according to the presence of *STK11* and/or *KEAP1* somatic mutations or functional status using previously validated signatures for LKB1 deficiency<sup>[58](#page-16-7)</sup> or NRF2 transcriptional activation<sup>[8](#page-8-37)</sup>. Neutrophil, macrophage and CD8<sup>+</sup> T cell signature data were assessed with MCPCounter to obtain ratios per sample. All analyses were performed using Python v3.9 and R v.4.3.1.

### **Analysis of the TCGA PanCancer Atlas lung adenocarcinoma dataset**

Paired WES, RNA-seq and clinical data from patients with LUAD from the TCGA PanCancer Atlas cohort were downloaded from the cBio-Portal for Cancer Genomics repository<sup>[59](#page-16-8)</sup>. Immune cell subsets were estimated using aggregated data from TIMER2.0 $60$ , which provides standardized output from six different immune estimation methods. Neutrophil, macrophage and CD8<sup>+</sup> T cell signature data were assessed with MCPCounter to obtain ratios per sample. QuanTIseq was used to compare T cell and nonregulatory CD4 levels across mutation groups. Overall immune infiltrate (ImmuneScore) and  $T_H1$  levels were esti-mated as gene signatures using XCell<sup>[57](#page-16-6)</sup>. Comparisons across groups were performed using the Kruskal–Wallis H test. Analyses were performed using R v.4.0.3.

### **In vivo CRISPR–Cas9 screen for drivers of immune evasion and PD-1 inhibitor resistance**

**Library design and construction.** We established a library of 1,813 sgRNAs targeting 162 genes, with a focus on genes with loss-of-function mutations or deletions in cancer. In addition, we included known essential genes, immune resistance genes and immune sensitizing genes, to assess and validate the in vivo screening platform. Eleven per cent of the sgRNAs included in the library represented non-targeting controls. sgRNA oligos were cloned into a lentiCRISPR v2 plasmid<sup>61</sup>, using Gibson assembly.

**Cell line engineering.** The 3LL Lewis lung carcinoma cell line was purchased from the JCRB cell bank. 3LL cells were grown in RPMI-1640 with 10% heat-inactivated fetal bovine serum (FBS) at 37 $\degree$ C with 5% CO<sub>2</sub>.

293FT cells were purchased from Invitrogen and were grown in Dulbecco's modified Eagle's medium (DMEM) with 10% heat-inactivated FBS. A lentiviral vector library was produced in 293FT cells with transfection of library plasmids plus packaging helper plasmids psPAX2 and pVSVG using lipofectamine 3000 (Invitrogen). Virus-containing supernatant was collected 66 h after transfection and concentrated by ultracentrifugation. 3LL cells were transduced with the sgRNA library at an infection rate of 30% and subjected to puromycin selection four days after infection to generate stable cells. Cells were passaged several times in culture, to allow for effective gene editing.

**In vivo CRISPR screening.** The in vivo screen was conducted at WuXi AppTec, China. The study design was approved by the WuXi AppTec Institutional Animal Care and Use Committee (IACUC). Six-to-eight-week-old BALBc nude mice and C57BL/6J mice were purchased from Shanghai SLAC Laboratory Animal Co. For screening,  $2 \times 10^6$  library-transduced 3LL cells, resuspended in 0.1 ml phosphate-buffered saline (PBS), were injected subcutaneously into the right flank. Mice were grouped for treatment when average tumour volume reached approximately 40-60 mm<sup>3</sup> on day 0. C57BL/6J mice were treated by intraperitoneal injection with anti-mPD-1 (BioXCell, BE0146) or anti-IgG2a (BioXCell, BE0089) at 5 mg per kg or 10 mg per kg, twice per week for two weeks. Tumours were measured every other day and tumour volume was estimated with the formula  $(L \times W^2)/2$ . Mice were euthanized and tumours collected for genomic DNA extraction when the average tumour volume for the group reached around 1,000 mm3 . Tumours from the nude mice group and the C57BL/6 groups were collected on day 11 and day 13, respectively. For genomic DNA extraction, tumour tissues were ground by FreezerMill in liquid nitrogen. Tissue powders were used for DNA extraction using the Blood & Cell Culture DNA Midi kit or Mini kit (Qiagen), according to the manufacturer's protocol.

**Data analysis.** Genomic DNA isolation and sequencing were performed as previously described<sup>[62](#page-16-11)</sup>. To normalize the raw counts of sgRNA guides in each sample, a sample-specific size factor was determined by the ratio of the median count of the non-targeting control guides to the one of the plasmid pool. The raw counts were scaled by multiplying the sample-specific size factors for each sample and adding one to all the values. The  $log_2$ -transformed fold change for each sgRNA in each perturbed arm was calculated using the control arm as a reference and averaged over biological replicates. The gene-level log<sub>2</sub>-transformed fold change was computed by averaging the sgRNAs targeting the same gene. Raw sgRNA counts were also used as input into MAGeCK to call significantly enriched and depleted genes using the MAGeCK Python pack-age v.0.5.[763](#page-16-12),[64.](#page-16-13) The median ratio of non-targeting sgRNAs was chosen as the normalization method when running MAGeCK. Gene-level score matrices included log<sub>2</sub>-transformed fold change, FDR and *P* values.

### **Multiplexed in vivo assessment of TSG loss-selective vulnerability to single and dual ICB in autochthonous**  *KrasG12D***-driven LUAD using Tuba-seq**

**Design and generation of Lenti-sgRNA/Cre vectors.** We generated lentiviral vectors encoding Cre (expressed from a PGK promoter<sup>65</sup>) and an sgRNA (expressed from a human U6 promoter) targeting each of the following 22 genes, which are known or putative tumour suppressors that are recurrently mutated in LUAD (or pan-carcinoma) and represent diverse cancer pathways<sup>[66](#page-16-15),67</sup>: *Apc*, *Arid2*, *Atm*, *Atrx*, *Brca2*, *Cdkn2a*, *Cmtr2*, *Keap1*, *Kmt2d*, *Mga*, *Nf1*, *Pten*, *Ptprd*, *Rb1*, *Rbm10*, *Rnf43*, *Setd2*, *Smad4*, *Stag2*, *Stk11*, *Trp53* and *Tsc1*. Vectors encoding 'inert' sgRNAs were also generated: sgRosa26-1, sgRosa26-2, sgRosa26-3, sgNT-1, sgNT-2 and sgNT-3.

sgRNAs were designed and selected as follows. First, all possible 20-bp sgRNAs (using an NGG PAM) targeting each gene of interest were identified and scored for predicted on-target cutting efficiency using an available sgRNA design/scoring algorithm<sup>68</sup>. For each TSG, we then selected the sgRNA predicted to be the most likely to produce null alleles: preference was given to sgRNAs that were previously validated in vivo $32,69,70$  $32,69,70$  $32,69,70$  $32,69,70$ , had the highest predicted on-target cutting efficiencies, targeted exons conserved in all known splice isoforms (ENSEMBL), targeted splice acceptor/splice donor sites, were positioned earliest in the gene coding region, occurred upstream of or within annotated functional domains (InterPro; UniProt), and occurred upstream of or at known recurrent mutation sites in human LUAD. The sgRNA sequences for each target are listed in Supplementary Table 1.

To generate Lenti-sgRNA/Cre vectors containing each sgRNA, a double-stranded DNA fragment (IDT gBlock) containing a U6-sgRNA-tracrRNA cassette flanked by restriction sites (AscI and SbfI) was synthesized and digested by AscI and SbfI. This digested DNA fragment was then cloned into an AscI/SbfI-digested parental lentivector encoding Cre to produce each circularized Lenti-sgRNA/Cre vector.

**Barcode diversification of Lenti-sgRNA/Cre.** To enable quantification of the number of cancer cells in individual tumours in parallel using high-throughput sequencing, we diversified the Lenti-sgRNA/Cre vectors with a 46-bp multi-component barcode cassette that would be unique to each tumour by virtue of stable integration of the lentiviral vector into the initial transduced cell. This 46-bp DNA barcode cassette consisted of a known 6-nucleotide ID specific to the vector backbone (vectorID), a 10-nucleotide ID specific to each individual sgRNA (sgID) and a 30-nucleotide random barcode containing 20 degenerate bases (random BC).

The 46-bp barcode cassette for each sgRNA was flanked by universal Illumina TruSeq adapter sequences and synthesized as single-stranded DNA oligos. Forward and reverse primers complimentary to the universal TruSeq sequences and containing 5' tails with restriction enzyme sites (AscI and NotI) were used in a PCR reaction to generate and amplify double-stranded barcode cassettes for cloning. Each Lenti-sgRNA/ Cre vector and its matching insert barcode PCR product was digested with AscI and NotI.

To generate a large number of uniquely barcoded vectors, we ligated 1 µg of linearize vector and 50 ng of insert with T4 DNA ligase in a 100 µl ligation reaction. Four to five hours after incubation at room temperature, ligated DNA was precipitated by centrifugation at 14,000 rpm for 12 min after adding 5 µl glycogen (5 mg ml−1) and 280 µl 100% ethanol into the ligation reaction. The DNA pellet was washed with 80% ethanol and air-dried before being resuspended with 10 µl water. This 10 µl well-dissolved DNA was transformed into 100 µl of SURE electrical competent cells using a Bio-Rad electroporation system following the manufacturer's instructions. Electroporation-transformed cells were immediately recovered by adding into 5 ml pre-warmed SOC medium. From these 5 ml of bacteria, 10 µl were further diluted with LB ampicillin broth, and a final dilution of 1:200,000 was plated on an LB ampicillin plate for incubation at 37 °C. The remaining bacteria were mixed gently and thoroughly before being inoculated into 100 ml LB ampicillin broth, shaking at 220 rpm at 37 °C overnight. The next day, colony numbers on the LB ampicillin plate were counted to estimate the complexity of each library and the 100 ml bacterial culture was pelleted for plasmid purification.

Eight colonies from each library were picked and PCR screened for verification of the specific sgRNA sequence and corresponding barcode sequence among these eight colonies. The final purified library plasmid for each library was again sequence verified.

**Production, purification and titration of lentivirus.** Twenty-four hours before transfection,  $2.4 \times 10^7$  293T cells were plated on a 15-cm tissue culture plate. Thirty micrograms of pPack (packaging plasmid mix) and 15 µg of library plasmid DNA were mixed well in 1.5 ml serum-free DMEM before an equal volume of serum-free DMEM containing 90 µl LipoD293 was added. The resulting mixture was incubated at room temperature

for 10–20 min before adding into 293T cells. At 24 h after transfection, the medium containing complexes was replaced with 30 ml of fresh DMEM supplemented with 10% FBS, DNase I (1 unit per ml), MgCl<sub>2</sub> (5 mM) and 20 mM HEPES, pH 7.4. The entire virus-containing medium from each plate was collected and filtered through a 0.2-µm PES filter (Nalgene) at 48 h after transfection. The viruses were further concentrated by centrifugation at 18,500 rpm, 4 °C for 2 h, and the pellet was resuspended in 500 µl PBS buffer. Virus aliquots of 50 µl were stored at −80 °C.

To quantify the titre of packaged library constructs, 10<sup>5</sup> LSL-YFP MEF cells<sup>71</sup> were transduced with 1  $\mu$  viruses in 1 ml culture medium containing 5 µg ml−1 polybrene. Transduced cells were incubated for 72 h before being collected for FACS analysis to measure the percentage of YFP-positive cells. Control viruses were used in parallel to normalize the virus titres.

**Pooling of Lenti-sgRNA/Cre vectors.** To generate a pool of barcoded Lenti-sgRNA/Cre vectors for the initiation of multiple tumour genotypes within individual mice, barcoded Lenti-sgRNA/Cre vectors targeting the 22 genes described above (*Apc*, *Arid2*, *Atm*, *Atrx*, *Brca2*, *Cdkn2a*, *Cmtr2*, *Keap1*, *Kmt2d*, *Mga*, *Nf1*, *Pten*, *Ptprd*, *Rb1*, *Rbm10*, *Rnf43*, *Setd2*, *Smad4*, *Stag2*, *Stk11*, *Trp53* and *Tsc1*), and those containing the inert, negative control sgRNAs, were combined such that the viruses would be at equal ratios in relation to their estimated in vitro or in vivo titres. The virus pool was diluted with 1× Dulbecco's phosphate buffered saline (DPBS) to reach a titre of 180,000 transduction units (TU).

**Mice, tumour initiation and tissue collection for in vivo study.** *Kras*<sup>LSL-G12D</sup> and  $H11^{LSL-Cas9}$  alleles have been described previously<sup>[71,](#page-16-20)72</sup>. A total of 125 male and female *KrasLSL-G12D/+H11LSL-Cas9/LSL-Cas9* (KC) mice in a BL6 background (B6J), ranging from 14 to 22 weeks of age, were received from Jackson Laboratories on 19 February 2020. Mice were housed five per cage in Explora Biolabs and acclimated before initiation of the study. Housing was in a barrier, pathogen-free ventilated rack with autoclaved tap-water. Food and water were given ad libitum. Mice were identified individually by ear tag. The study performed by Pionyr Immunotherapeutics was under the guidance of and approved by the IACUC of Explora BioLabs.

Lung tumours were initiated in mice at 15–23 weeks of age via intratracheal delivery under isoflurane anaesthesia of  $2 \times 10^4$  –  $5 \times 10^5$  TU of a lentivirus pool containing barcoded Lenti-sgRNA/Cre vectors targeting the 22 different genes described above (*Apc*, *Arid2*, *Atm*, *Atrx*, *Brca2*, *Cdkn2a*, *Cmtr2*, *Keap1*, *Kmt2d*, *Mga*, *Nf1*, *Pten*, *Ptprd*, *Rb1*, *Rbm10*, *Rnf43*, *Setd2*, *Smad4*, *Stag2*, *Stk11*, *Trp53* and *Tsc1*), as well as three sgRNAs targeting the NeoR gene (sgNeo-1, sgNeo-2 and sgNeo-3) and three non-targeting sgRNAs (sgNT-1, sgNT-2 and sgNT-3).

After lung tumours developed for 12 weeks after transduction, mice were randomized into 4 groups of 20 mice each (equally distributed across sex) before initiation of treatment. Mice were dosed every five days intraperitoneally with the indicated antibodies on the basis of the average body weight of each group. Throughout the in-life portion of the study, individual body weights and health monitoring were checked twice per week.

Cohorts of mice were treated as follows:

Group 1: Mouse IgG1 isotype control (clone MOPC-21) and mouse IgG2a (clone C1.18.4 in an afucosylated format) delivered intraperitoneally (IP), at 5 mg per kg with once daily dosing, every 5 days for 2 weeks until takedown at 14 weeks after tumour initiation.

Group 2: Anti-PD-1 (clone RMP1-14 recombinantly produced as mouse IgG1 D265A format) delivered IP, at 5 mg per kg with once daily dosing, every 5 days for 2 weeks until takedown at 14 weeks after tumour initiation.

Group 3: Anti-CTLA4 (clone 9D9 in mouse IgG2b format produced at BioXcell, BP0164) delivered IP, at 10 mg per kg once daily dosing, every 5 days for 2 weeks until takedown at 14 weeks after tumour initiation.

Group 4: Anti-PD-1 delivered IP, at 5 mg per kg and anti-CTLA4 delivered IP, at 10 mg per kg with once daily dosing, every 5 days for 2 weeks until takedown at 14 weeks after tumour initiation.

After treatment, whole lung tissue was extracted from euthanized mice as previously described $32$ . Lung mass measurements were recorded as a proxy for overall lung tumour burden and were then stored at −80 °C before subsequent processing for NGS (see sections below).

**Generation of double-stranded DNA spike-in controls.** DNA barcode cassettes comprising 46-bp barcode cassettes and flanked by universal Illumina TruSeq adapter sequences as well as additional buffer sequences to extend their total length to more than 400 bp were generated either by direct synthesis of the double-stranded DNA fragments (GeneWiz, IDT) or by synthesis of single-stranded DNA oligos (GeneWiz, IDT) with overlapping complementary regions that were extended and amplified by PCR to create double-stranded DNA products that were then purified. Aliquots of these stock double-stranded DNA fragments were diluted to the desired copy numbers using DNase-free ultra-pure H<sub>2</sub>O and stored at −20 °C.

**Generation of cell spike-in controls.** DNA barcode cassettes comprising known 46-bp sequences were flanked by universal Illumina TruSeq adapter sequences and synthesized as single-stranded DNA oligos. Forward and reverse primers complimentary to the universal TruSeq sequences and containing 5′ tails with restriction enzyme sites (Xba1 and BstB1) were used in a PCR reaction to generate and amplify double-stranded barcode cassettes for cloning. A lentivector pRCMERP-CMV-MCS-EF1-TagR-Puro and each of the barcode insert PCR products were digested by Xba1 and BstB1 restriction enzymes.

Each digested barcode insert was cloned into a linearized vector by T4 DNA ligase and transformed into OmniMax chemical competent cells (Invitrogen). Colonies from each transformation plate were screened by PCR and sequencing. One positive clone from each barcode-containing construct was cultured for plasmid DNA extraction. Virus was packaged from each of the barcoded pRCMERP constructs in six-well plates using pPack packaging mix and LipoD293 reagent. Virus-containing medium was collected at 48 h after transfection and filtered with a Nalgene 0.2-µm PES filter before being frozen down in aliquots at −80 °C. Small aliquots of frozen viruses were thawed and added into HEK293 cells in 12-well plates for measuring titre by FACS analysis 72 h after transduction.

To generate individual cell lines containing each barcode construct, virus-containing medium was added to HEK293 cells at a multiplicity of infection of 0.1 in 10-cm plates. After overnight incubation, cells were recovered in fresh EMEM complete medium for 48 h before splitting into a new plate containing 1 µg ml−1 puro in complete EMEM medium for puro selection. After three days of puro selection, barcode-containing HEK293 cells were recovered in fresh EMEM complete medium without puro for another three days before being further expanded in 10-cm plates. Each established cell line was quality controlled by PCR amplification of the barcode region from genomic DNA to confirm the integration of the correct barcode sequences.

After cell expansion, cells from each barcoded HEK293 cell line were collected and diluted in PBS buffer containing 0.1% BSA to the desired concentrations. These cell suspensions were aliquoted and frozen down at −80 °C.

**Isolation of genomic DNA from mouse lungs.** Whole lungs were removed from the freezer and allowed to thaw at room temperature. Spike-ins were added to each whole lung sample. Qiagen cell lysis buffer and proteinase K from the Qiagen Gentra PureGene Tissue kit (158689) was added as described in the manufacturer protocol. Whole lungs plus spike-ins from each mouse were homogenized in the cell lysis buffer

and proteinase K solution using a tissue homogenizer (FastPrep-24 5G, MP Biomedicals 116005500). Homogenized tissue was incubated at 55 °C overnight. To remove RNA from each tissue samples, RNase A was added with additional spike-ins to whole homogenized tissue. To maintain an accurate representation of all tumours, DNA was extracted, and alcohol precipitated from the entire lung lysate using the Qiagen Gentra PureGene kit as described in the manufacturer's protocol. More spike-ins were added to the resuspended DNA.

**Preparation of barcode libraries for sequencing.** Libraries were prepared by amplifying the barcode region from 32 µg of genomic DNA per mouse. The barcode region of the integrated Lenti-sgRNA/ Cre vectors was PCR amplified using primer pairs that bound to the universal Illumina TruSeq adapters and contained dual unique multiplexing tags. We used a single-step PCR amplification of barcode regions, which we found to be a highly reproducible and quantitative method to determine the number of cancer cells in each tumour. We performed eight 100-µl PCR reactions per mouse (4 µg DNA per reaction) using Q5 HF HS 2X Master Mix (NEB M0515) with the PCR program described in Supplementary Table 2.

The concentration of amplified barcode product in each PCR was determined by TapeStation (Agilent Technologies). Sets of 20–60 PCRs were pooled at equal molar ratios of barcode product, normalized to the estimated burden of tumours in each mouse lung sample (measured lung mass minus an estimated normal lung mass of between 0.15 and 0.18 g) associated with the PCRs. Pooled PCRs were cleaned up using a two-sided SPRI bead purification. Samples were sequenced on an Illumina NextSeq.

**Analysis of sequencing data.** Paired-end sequencing reads were demultiplexed via unique dual indexes using BCLConvert (v.3.8.2) and adapter sequences were trimmed using CutAdapt (v.4.1). CutAdapt was used in paired-end mode with the following parameters: minimum-length=0, error-rate=0.1, overlap=3. Paired-end alignments were constructed between mate-paired reads and library-specific databases of the expected oligonucleotide spike-in and tumour barcode insert sequences using Bowtie2 (v.2.4.4). These alignments were stringently filtered from downstream analysis if they failed to meet any of several quality criteria, including:

- No mismatches between the two mate pairs, which fully overlap one another, at any location.
- No mismatches between the mate-paired reads and expected constant regions of the barcode or spike-in to which they best align.
- No indels in alignments between mate-paired reads and the barcode or spike-in to which they best align.

After alignment, errors in paired-end reads were corrected using a simple greedy clustering algorithm:

- Reads were dereplicated into read sequence/count tuples,  $(s_i, r_i)$ .
- These tuples were reordered from highest to lowest on the basis of their read abundances, (*ri* ) (refs. [6](#page-8-38),[13,](#page-8-12)[49,](#page-8-35)[63\)](#page-16-12).
- This list of tuples was traversed from *i* = 1…*N*, taking one of the following actions for each tuple (s<sub>i</sub>, r<sub>i</sub>):
- •If *si* is not within a Hamming distance of 1 from any *sj* with *j* < *i*, then (*si* , *ri* ) initiates a new cluster.
- If *sj* is within a Hamming distance of 1 from some *sj* with *j* < *i*, then it joins the cluster of *sj* .

The resulting clusters are each considered to represent an errorcorrected sequence equal to that of the sequence that founded the cluster with read count equal to the sum of the read counts of the dereplicated reads that are members of the cluster.

A second stage of error correction was performed to remove additional errors. Hamming distance *D*(*si* , *sj* ) was computed on all pairs of error-corrected sequences. Then, each sequence  $s_i$  (with  $r_i$  reads) was

absorbed into the most abundant sequence  $s_j$  (with  $r_j$  >  $r_i$  reads) if either of the following criteria were met:

- $D(s_i, s_j) \leq 3$
- $D(s_i, s_j) \le 5$  and  $r_j/r_i \le 5$  or  $r_i \le 3$ .

These heuristics were established on the basis of internal control data. After applying both rounds of error correction, there were 0 false positives out of the 18,889,362 reads assigned to spike-in oligonucleotide sequences (which have no degenerate bases) that were not added to the samples. After error correction, a filter was applied to remove sequences that could have originated from cross-contamination: barcodes were compared across samples in the same study, and any exact sequences that were found in more than one library were removed.

After error correction and cross-contamination removal, the read counts of each unique barcode were converted to neoplastic cell number by dividing the number of reads of the spike-in oligonucleotide added to the sample before tissue homogenization and lysis at a fixed, known concentration.

**Removal of mice that did not get sufficient viral titre during transduction.** After the sequence processing, mice were removed if they did not reach a lower bound of total neoplastic cells. Mice were removed if they had fewer than 10<sup>6</sup> total neoplastic cells. This threshold was chosen using by examining the distribution of total neoplastic cells per mouse across each study. Most mice fell within around two orders of magnitude of each other, and any outliers fell at least an order of magnitude below the rest of the distribution.

**Calculation of pharmacogenomic interactions.** From these collections of tumour sizes across paired groups of drug-treated and vehicle-treated mice, the relative tumour number (RTN) metric was computed as previously described<sup>[73](#page-16-22)</sup>. Namely, shrinkage of inert tumours was estimated by finding the *S* that matches the median number of tumours larger than a cut-off *L* in such paired groups after the vehicle-treated tumour sizes are multiplied by *S* (*S* < 1 when the drug works to shrink tumours). Subsequently, for each non-inert tumour genotype, the ratio of the number of tumours with this genotype larger than *L* in the control mice to the number of tumours larger than *L* × *S* in the treated mice was computed. The resulting ratio was divided by the same ratio computed for the inert tumours, and the  $log_2(\cdot)$  of this ratio of ratios was determined. This metric, RTN<sub>score</sub> is expected to be greater than 0 for resistant genotypes and less than 0 for sensitive genotypes. For example,  $RTN_{\text{score}} = 1$  corresponds to a 2× change in tumour number (larger than each cut-off) relative to the change in untreated versus treated for oncogene-only tumours, whereas  $RTN<sub>score</sub> = -1$  corresponds to a 0.5× change and drug sensitivity.

To generate CIs for RTN<sub>score</sub>, bootstrap resamplings were made by (1) sampling mice with replacement from the control and therapy arms to match the original group sizes, and (2) sampling tumours (of all sizes) with replacement from each mouse. For each mouse/tumour bootstrap, the RTN<sub>score</sub> was recomputed. A genotype was then considered sensitive if the 95th percentile of these bootstrap RTN<sub>score</sub> values fell below 0, or resistant if the 5th percentile exceeded 0. We performed this bootstrapping procedure at tumour size cut-offs ranging from *L* = 300 cells to *L* = 30,000 cells.

RTN<sub>scores</sub> were also generated comparing anti-PD-1 and anti-CTLA4 combination treatment to anti-PD-1 monotherapy, using the same method as for the drug-treated versus vehicle comparison.

**Calculation of tumour size percentiles.** First, tumours were pooled across all mice in the vehicle group, and separated into tumours that map to each Lenti-sgRNA/Cre guide. Tumours from Lenti-sgInert/ Cre were pooled (sgNT-1, sgNT-2, sgNT-3, sgRosa26-1, sgRosa26-2, sgRosa26-3) to create one pool of sgInert tumours. For this analysis, we used all tumours that had at least 500 neoplastic cells, which was the minimum tumour size we could reliably quantify in this study.

For each set of Lenti-sgRNA/Cre tumours in each oncogene– background pair, size percentiles of tumours above the 500 cell cut-off were computed and divided by the same size percentiles for the sgInert tumours in the same context with the same cut-off. Mice and tumours were bootstrapped 200 times and the calculation was repeated each time. A 95% CI from these bootstraps was reported.

### **Animal cohorts and generation of immune-competent** *Stk11* **and/or** *Keap1***-deficient syngeneic NSCLC models**

Mice were housed in the Research Animal Support Facility at the University of Texas MD Anderson Cancer Center. All animal studies described here were performed according to protocols approved by the University of Texas MD Anderson Cancer Center IACUC, and according to pre-specified criteria for euthanasia, tumour size limit and animal cohort sample size. Investigators were not blinded to treatment arm allocation.

The *KL5* and *KL2* polyclonal *KrasG12C*-mutant *Stk11*-deficient LUAD cell lines were established from autochthonous lung tumours in compound conditional *Kras<sup>LSL-G12C+/WT</sup>Stk11/Lkb1<sup>Fl/Fl</sup>* male mice (on a C57Bl/6 genetic background), after lung tumour induction with intranasal instillation of of adenoviral Cre recombinase (University of Iowa Viral Vector Core) as previously described $74$  and were used to establish subcutaneous allograft tumours in sex-matched (male) syngeneic recipient C57Bl/6 mice.

LKR10 and LKR13 *KrasG12D*-mutant LUAD cells (on a 129/Sv genetic background, previously generated in the laboratory of T. Jacks) were transiently transfected with *Keap1* CRISPR–Cas9 KO plasmid (sc-424513-KO-2) or *Stk11/Lkb1* CRISPR–Cas9 KO plasmid (sc-423192) from Santa Cruz Biotechnology (LKR10 derivative models) or pSpCas9(BB)-2A-GFP (PX458) plasmid with specific sgRNAs (LKR13 derivative models). The plasmid vectors for CRISPR–Cas9-mediated *Keap1* and *Stk11/Lkb1* KO were verified by DNA sequencing. The transfected cells were sorted by BD FACSAria (BD Biosciences) for GFP<sup>+</sup> into 96-well plates and single-cell-derived colonies were screened for KEAP1 and LKB1 loss by western blotting.

**In vivo preclinical studies with anti-PD-1 and anti-CTLA4 in syngeneic tumour models.** Six-to-twelve week-old male C57BL/6 mice (for experiments with *KL2* and *KL5* cell lines) and male 129/Sv mice (for experiments with LKR10 and LKR13-derived isogenic cell lines) were injected subcutaneously with  $1.5 \times 10^6$  cells of the indicated genotypes in a 100 µl suspension, consisting of 50% Matrigel basement membrane matrix (Corning) and 50% HBSS. Mice bearing subcutaneous tumours with an average tumour volume (TV) ranging from 150 to 250 mm3 (for long-term efficacy studies) or 50 to 100 mm<sup>3</sup> (for immune profiling, immune depletion and iNOS chemical inhibition studies) were randomly assigned to receive treatment with (i) anti-PD-1 200 µg (clone 29F.1A12, BioXCell); (ii) anti-CTLA4 200 µg (clone 9H10, BioXCell); (iii) anti-PD-1 + anti-CTLA4; or (iv) corresponding isotype IgG control antibodies (BioXCell; BE0089 and BE0087) by IP injection twice weekly. Mice were monitored daily and TV was calculated three times weekly on the basis of bidirectional caliper tumour measurements, using the formula *V* = *W*<sup>2</sup> *L*/2. Comparison of average TV across treatment groups was performed at the time that the first mouse in any treatment arm reached end-point (defined as TV  $\geq$  1,200 mm<sup>3</sup> or 1,500 mm<sup>3</sup> depending on the individual experiment), but treatment continued in remaining mice to enable comparisons of time to a tumour volume of 1,200 mm<sup>3</sup> or greater.

For dual anti-PD-1/anti-TIM-3 and anti-PD-1/anti-LAG-3 ICB experiments, mice bearing KL5 allograft tumours (TV 50-100 mm<sup>3</sup>, day 5 after tumour implantation) were randomized to treatment with (i) anti-PD-1 200 µg (clone 29F.1A12, BioXCell) plus anti-CTLA4 200 µg (clone 9H10, BioXCell); (ii) anti-PD-1 plus anti-TIM-3 200 µg (clone B8.2C12, BioXCell); or (iii) anti-PD-1 plus anti-LAG-3 200 µg (clone C9B7W, BioXCell) twice weekly by IP injection and were monitored as described above.

**Immune cell depletion and INOS chemical inhibition studies.** CD4+ and CD8<sup>+</sup> T cell depletion was performed by intraperitoneal administration of 400 µg of anti-CD4 (clone GK1.5, BioXCell) or anti-CD8 (clone 2.43, BioXCell) depleting monoclonal antibodies twice weekly, starting on day 4 after subcutaneous implantation of 1.5 × 106 *KK* or *KL5* tumour cells. Neutrophil depletion and/or CCL2 neutralization was achieved by intraperitoneal administration of 400 µg of anti-Ly6G antibody (clone 1A8, BioXCell) and/or 200 µg of anti-CCL2 antibody (clone 2H5, BioXCell) starting at day −3 before tumour cell injection and followed by administration of 100 µg of anti-Ly6G or 200 µg of anti-CCL2 twice weekly. Inhibition of iNOS was performed by daily IP injection of 200 µg of L-NIL (Cayman Chemicals) diluted in 100 µl of PBS as previously described<sup>[42](#page-8-27)</sup>. Treatment with anti-PD-1/ anti-CTLA4 commenced on day 5 when mouse cohorts exhibited average tumour volumes of 50-100 mm<sup>3</sup> and followed the same schedule as described above.

**Preclinical experiments with chemo-immunotherapy.** Cohorts of male 129/Sv mice bearing subcutaneous allograft *K* (*LKR13KrasG12D* mutant; *Keap1/Stk11* WT) or isogenic *KLK* (*Keap1*- and *Stk11*-deficient, clone 17) allograft tumours were randomly assigned to (chemo) ICI therapy arms at an average tumour volume of around 210 mm<sup>3</sup>. Chemotherapy consisted of carboplatin 12.5 mg per kg (institutional pharmacy, NDC 61703-339-56) administered by IP injection every 7 days (for a maximum of 4 doses) and paclitaxel 5 mg per kg (NDC 70860-200-50) administered by IP injection twice weekly (for a maximum of 8 doses). Anti-PD-1 (clone RMP1-14, BioXCell; 8 mg per kg) and anti-CTLA4 (clone 9H10, BioXCell; 8 mg per kg) were administered twice weekly by IP injection until the study end-point was reached.

### **Flow cytometry**

For studies of immune modulation, treatment with single or dual ICB commenced on day 5 after subcutaneous tumour implantation and followed the same dosing regimen as previously described for the efficacy experiments. Tumour samples were collectd after five doses of single or dual ICB, on day 18. For chemo-immunotherapy experiments with the *K* and *KLK* (clone 17) models, mice received a total of three doses of chemotherapy and/or immunotherapy (D1: carboplatin + paclitaxel + ICB); D4 (paclitaxel + ICB); D7 (carboplatin + paclitaxel + ICB), and tumours were collected for FACS-based immune profiling 48 h later. Tumours were immediately processed into 2-4-mm<sup>3</sup> pieces and transferred to a gentleMACS Octo Dissociator tube containing a solution with liberase (25 µg ml<sup>-1</sup>), DNase I type 1 (30 U ml<sup>-1</sup>) and hyaluronidase (0.01%) in serum-free RPMI-1640 medium and incubated for 45 min at 37 °C. Enzyme reactions were stopped by the addition of cold RPMI-1640 (10% FBS) and suspensions were dispersed through a 70-µm cell strainer twice. After red blood cell lysis (using RBC lysis buffer, Biolegend), single-cell suspensions (around  $1 \times 10^6$  cells in 50 µl total volume) were incubated with FcR-blocking reagent rat anti-mouse CD16/32 (2.4G2, BD Biosciences) for 15 min on ice. For extracellular staining, cells were stained with a mixture of conjugated antibodies in FACS buffer, including Ghost Dye Violet 510 (Thermo Fisher Scientific) for 1 h at room temperature in the dark. For intracellular cytokine and transcription factor staining, single-cell suspensions were fixed and permeabilized using the eBioescience FoxP3/Transcription Factor Staining kit (Life Technologies) according to the manufacturer's instructions. Fluorochrome-conjugated monoclonal antibodies were purchased from Biolegend: Pacific Blue-anti-CD45 (30-F11), PE/ Dazzle 594 anti-CD3 (17A2), APC/Cy7–anti-CD4 (RM4-5), PE/Cy7– anti-CD8 (53-6.7), APC–anti-T-bet (4B10), PE–anti-ICOS (7E.17G9), BV711–anti-CD44 (IM7), BV605–anti-PD-1 (29F.1A12), BV711–anti-Gr-1

(RB6-8C5), BV785–anti-CD11c (N418), BV650–anti-CD11b (M1/70), PE/ Cy7–anti-I-A/I-E (MHC class II, M5/114.15.2), BV605–anti-Ly6C (HK1.4), PerCP/Cy5.5–anti-Ly6G (1A8); from BD Biosciences: BUV395–anti-CD25 (PC61), BV605–anti-PD-1 (RMP1-30); from Life Technologies: PerCP– Cy5.5–anti-FOXP3 (FJK-16s); from Invitrogen PE–anti-iNOS (CXNFT); and from Tonbo Bioscience FITC–anti-CD62L (MEL-14), APC–anti-F4/80 (BM8.1). Flow cytometry data were acquired on an LSR Fortessa flow cytometer (BD Biosciences) and were analysed using FlowJo v.10.8.1 software (BD Biosciences).

### **scRNA-seq**

**Single-cell isolation, library preparation and sequencing.** scRNA-seq of all samples was conducted on the 10x Chromium system (10x Genomics), in collaboration with the MD Anderson Advanced Technology Genomics Core facility. Tumours derived from syngeneic *K*, *KK* and *KLK* LKR13 mouse models were collected when the tumour volume reached 500-700 mm<sup>3</sup>. Whole tumours were processed and dissociated in a solution containing collagenase A, hyaluronidase, dispase and DNase I. Cell suspensions were strained through a 70-µm cell strainer, submitted to RBC lysis and resuspended in PBS with 0.04% BSA. After viability assessment, isolated cells were submitted to single-cell capture, barcoding and library preparation, following the 10x Genomics Single Cell Chromium 5' V2 protocols. Pooled samples underwent sequencing with the NovaSeq6000 sequencer, performed at the ATGC core at the MD Anderson Cancer Center.

**scRNA-seq data analysis.** Raw scRNA-seq data were preprocessed (demultiplex cellular barcodes, read alignment and generation of gene count matrix) using the Cell Ranger Single Cell pipeline provided by 10x Genomics, using the mouse reference transcriptome GRCm38 (mm10). Data merging, filtering, doublet removal, batch-effect evaluation and data normalization were performed following standard protocols as previously described<sup>75</sup>. The Seurat R package (v.4.3) was used to analyse the normalized gene–cell matrix and Harmony (v.0.1.1) was applied for batch-effect correction. Each cluster was determined according to highly variable genes identified applying the FindClusters Seurat function and validated with SingleR (v.2.2.0). Dimensionality reduction and two-dimensional visualization of cell clusters was performed using uniform manifold approximation and projection (UMAP) with the Seurat function RunUMAP. Differentially expressed genes were identified with the FindMarkers function. Gene signature scores were obtained with AddModuleScore function.

### **Graphical illustrations**

Graphical elements used to illustrate experimental design schemes were created with BioRender.com, licensed by the University of Texas MD Anderson Cancer Center.

### **Reporting summary**

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

### **Data availability**

Data generated in this study are available in the article and its supplementary files. scRNA-seq raw and processed data reported in this article are available upon request and have been deposited to the NCBI Gene Expression Omnibus (GEO) under the accession number [GSE267321](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE267321). Data underlying the clinical trial findings may be requested in accordance with AstraZeneca's data sharing policy, described in further detail at [https://astrazenecagrouptrials.pharmacm.com/ST/](https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure) [Submission/Disclosure.](https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure) All other individual de-identified participant data supporting the retrospective clinical data results reported in this article will be available on request according to General Data Protection Regulation (GDPR) standards. Only summary clinical data may be shared; patient-level image or genetic data are not available for access in our repository in the interest of protecting patient privacy. Materials, reagents or other experimental data are available upon reasonable request from the corresponding authors. Source data are provided with this paper.

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E.B.G. reports consulting or advisory role: Novartis, GlaxoSmithKline, Merck, Boehringer Ingelheim, Shionogi, Eisai, Bristol Myers Squibb, ABL Bio, Xilio Therapeutics, Natera, Sanofi–Regeneron, Lilly, Personalis, Gilead Sciences, AstraZeneca, AbbVie–Abbott, Arcus Biosciences, Seagan and Summit Therapeutics; research funding: Merck (Inst), Genentech (Inst), AstraZeneca (Inst), Novartis (Inst), Lilly (Inst), Bristol Myers Squibb (Inst), Mirati Therapeutics (Inst), Dynavax Technologies (Inst), Iovance Biotherapeutics (Inst), Neon Therapeutics (Inst), EMD Serono (Inst), ABL Bio (Inst) and Daiichi Sankyo–UCB Japan (Inst); patents, royalties, other intellectual property: diagnosistic and therapeutic use of 'Motif Neoepitopes' as defined by Cummings et al[.76](#page-16-25). N.A.R. reports an employee at Synthekine and holds equity at Synthekine and Gritstone. G.V.S. reports honoraria, research funding and personal fees from AstraZeneca, Bayer, BeiGene Switzerland, F. Hoffman–La Roche, Merck Sharpe & Dohme, Eli Lilly, Johnson & Johnson, Pfizer, Takeda Oncology, Tesaro and Verastem outside the submitted work. J.W. reports advisory boards and lecture fees: Amgen, AstraZeneca, Bayer, Blueprint, BMS, Boehringer Ingelheim, Chugai, Daiichi Sankyo, Ignyta, Janssen, Lilly, Loxo, Merck, Mirati, MSD (Merck Sharp & Dohme), Novartis, Nuvalent, Pfizer, Roche, Seattle Genetics, Takeda and Turning Point; research support (to institution): BMS, Janssen, Novartis, Pfizer and AstraZeneca. B.B. reports receiving grants from AbbVie, Amgen, AstraZeneca, Chugai, Daiichi Sankyo, Ellipse, EISAI, Genmab, Genzyme Corporation, Hedera Dx, Inivata, IPSEN, Janssen, MSD, PharmaMar, Roche (Genentech), Sanofi, Socar Research, Tahio Oncology and Turning Point Therapeutics. R.S.H. reports consulting roles with AbbVie Pharmaceuticals, ARMO Biosciences, AstraZeneca, Biodesix, Bolt Biotherapeutics, Bristol Myers Squibb, Cybrexa Therapeutics, eFFECTOR Therapeutics, Eli Lilly, EMD Serono, Genentech (Roche), Genmab, Halozyme Therapeutics, Heat Biologics, I-Mab Biopharma, Immunocore, Infinity Pharmaceuticals, Loxo Oncology, Merck, Mirati Therapeutics, Nektar, Neon Therapeutics, NextCure, Novartis, Oncternal Therapeutics, Pfizer, Sanofi, Seattle Genetics, Shire, Spectrum Pharmaceuticals, STCube Pharmaceuticals, Symphogen, Takeda, Tesaro, Tocagen and WindMIL Therapeutics; advisory board roles with AstraZeneca,

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P.A.J. reports stock and other ownership interests: Gatekeeper Pharmaceuticals and Loxo; consulting or advisory role: Pfizer, Boehringer Ingelheim, AstraZeneca, Merrimack, Chugai, Roche (Genentech), Loxo, Mirati Therapeutics, Araxes Pharma, Ignyta, Lilly, Takeda, Novartis, Biocartis, Voronoi Health Analytics, SFJ Pharmaceuticals Group, Sanofi, Daiichi Sankyo, Silicon Therapeutics, Nuvalent, Eisai, Bayer, Syndax, AbbVie, Allorion Therapeutics, Accutar Biotech, Transcenta, Monte Rosa Therapeutics, Scorpion Therapeutics, Merus, Frontier Medicines, Hongyun Biotech and Duality Biologics; research funding: AstraZeneca (Inst), Astellas Pharma (Inst), Daiichi Sankyo (Inst), Lilly (Inst), Boehringer Ingelheim (Inst), Puma Biotechnology (Inst), Takeda (Inst) and Revolution Medicines (Inst); patents, royalties, other intellectual property: I am a co-inventor on a DFCI owned patent on EGFR mutations licensed to Lab Corp. I receive post-marketing royalties from this invention. 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Z.Z. reports employee of AstraZeneca as well as stockholder of AstraZeneca and Pfizer. Z.L. reports full-time employee and stock owner of AstraZeneca. R.S. reports other support from AstraZeneca during the conduct of the study, as well as other support from Pfizer outside the submitted work. 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J.M.J. and M.J.R. are employees and shareholders of D2G Oncology. I.P.W. is a co-founder, employee and shareholder of D2G Oncology. M.M.W. is a co-founder, shareholder, member of the board of directors and compensated scientific advisor of D2G Oncology. I.P.W. and M.M.W. are co-inventors of patents relating to technologies for an autochthonous mouse model of human cancer, which D2G Oncology has exclusively licensed from Stanford University. V.A.P. reports Pfizer employee and stockholder. K.K.W. is a founder and equity holder of G1 Therapeutics and has sponsored research agreements with Takeda, TargImmune, Bristol Myers Squibb, Mirati, Merus and Alkermes, and consulting and sponsored research agreements with AstraZeneca, Janssen, Pfizer, Novartis, Merck, Zentalis, BridgeBio and Blueprint. P.J. reports employee and stock or stock options of AstraZeneca. 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**Correspondence and requests for materials** should be addressed to Ferdinandos Skoulidis or John V. Heymach.

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*STK11*



**Extended Data Fig. 1 |** See next page for caption.

**Extended Data Fig. 1 | Clinical outcomes in patients with** *STK11-* **and/or**  *KEAP1***-mutated nsNSCLC treated with PCP or CP. a**. PFS and OS with PCP chemo-immunotherapy in patient subgroups with advanced (i) *STK11<sup>MUT</sup>* vs  $STK11<sup>WT</sup>$  (top panel) and (ii)  $KEAP1<sup>MUT</sup>$  vs  $KEAP1<sup>WT</sup>$  (bottom panel) nsNSCLC. HRs and 95% CIs were estimated using a Cox proportional hazards model. **b**. Best overall response with PCP in the indicated patient subgroups. The analysis was limited to the subset of response-evaluable patients with available comprehensive NGS profiling that included both *STK11* and *KEAP1* (N = 141). **c**. Kaplan–Meier estimates of PFS (left) and OS (right) with PCP in patients with advanced (i) *STK11*WT*;KEAP1*WT (WT,WT; indicated in blue); (ii) *STK11*MUT*;KEAP1*WT (MUT,WT; indicated in red); (iii)  $STK11^{WT}$ ;  $KEAP1^{MUT}$  (WT,MUT; indicated in purple) and (iv) *STK11<sup>MUT</sup>;KEAP1<sup>MUT</sup>* (MUT, MUT; indicated in black) nsNSCLC. The analysis was limited to the subset of patients with available comprehensive NGS profiling that included both *STK11* and *KEAP1* (N = 145). **d**. Kaplan–Meier estimates of PFS (left) and OS (right) with CP in patients with advanced (i) *STK11*MUT vs *STK11*WT and (ii) *KEAP1*MUT vs *KEAP1*WT nsNSCLC. **e**. Kaplan–Meier estimates of PFS (left) and OS (right) with CP in patients with advanced (i) *STK11*WT*;KEAP1*WT (WT,WT; indicated in blue); (ii) *STK11*MUT*;KEAP1*WT (MUT,WT; indicated in red); (iii) *STK11*<sup>WT</sup>; *KEAP1*<sup>MUT</sup> (WT, MUT; indicated in purple) and (iv) *STK11*MUT*;KEAP1*MUT (MUT, MUT; indicated in black) nsNSCLC. The analysis was limited to the subset of patients with available comprehensive NGS profiling that included both *STK11* and *KEAP1* (N = 222). **f**. Best overall response with CP in the indicated patient subgroups. The analysis was limited to the subset of response-evaluable patients with available ORR data and comprehensive NGS profiling that included both *STK11* and *KEAP1* (N = 180).



**Extended Data Fig. 2 |** See next page for caption.

**Extended Data Fig. 2 | Clinical outcomes in patient subgroups in the POSEIDON clinical trial. a**. PFS (left) and OS (right) with DCT in patient subgroups defined by clinical and molecular characteristics. HRs and 95% CIs were estimated using unstratified Cox proportional hazards models. The analysis of PFS was based on a data cut-off date of July 24, 2019 and the analysis of OS was based on a data cut-off date of March 12, 2021. **b**. Spider plots, depicting patient-level % change compared to baseline in the size of target lesion(s) (per RECIST v1.1) in patients with *STK11* and/or *KEAP1*-mutated nsNSCLC treated with TDCT (top), DCT (middle) and CT (bottom). Individual trajectories are

colour-coded based on best overall response. Only patients with both a baseline and at least one available post-baseline target lesion measurement are included. ORR and mDoR are based on confirmed objective responses by BICR. The analysis was based on a data cut-off date of July 24, 2019. **c**. OS in molecularly defined subgroups of patients with *STK11*MUT and/or *KEAP1*MUT metastatic nsNSCLC treated with TDCT vs CT (left) and TDCT vs DCT (right). HRs and 95% CIs were estimated using unstratified Cox proportional hazards models. The analysis was based on a data cut-off date of March 12, 2021.





**Extended Data Fig. 3 | Clinical outcomes in patients with** *STK11***-mutated or**  *KEAP1***-mutated nsNSCLC in the phase III POSEIDON clinical trial.a**,**b**. Kaplan– Meier estimates of PFS according to BICR per RECIST v1.1 (**a**) and OS (**b**) with TDCT (light blue curve) vs DCT (dark blue curve) vs CT (red curve) in patients bearing *STK11*MUT (left panel) and *STK11*WT (right panel) metastatic nsNSCLC. Landmark 12-month PFS rates and 24-month OS rates in each of the treatment

arms are also shown (dotted lines). PFS analyses were based on a data cut-off date of July 24, 2019. OS analyses were based on a data cut-off date of March 12, 2021. HRs and 95% CIs were estimated using unstratified Cox proportional hazards models. **c**. HR for OS and PFS with D + T + CT or D + CT versus CT in nsNSCLC subgroup with *KEAP1* alterations.



**Extended Data Fig. 4 | Clinical outcomes in patients with** *KRAS***-mutated nsNSCLC in the phase III POSEIDON clinical trial. a**. Kaplan–Meier estimates of PFS according to BICR per RECIST v1.1 with TDCT (light blue curve) vs DCT (dark blue curve) vs CT (red curve) in patients bearing *KRAS*MUT (left panel) and *KRAS*WT (right panel) metastatic nsNSCLC. Landmark 12-month PFS rates in each of the treatment arms are also shown (dotted lines). **b**,**c**. Kaplan–Meier

estimates of PFS according to BICR per RECIST v1.1 (**b**) and OS (**c**) with TDCT vs DCT vs CT in patients bearing *KRAS*MUT*; STK11*MUT and/or *KEAP1*MUT (left panel) and *KRAS*MUT*; STK11*WT and *KEAP1*WT (right panel) metastatic nsNSCLC. PFS and ORR analyses were based on a data cut-off date of July 24, 2019. OS analyses were based on a data cut-off date of March 12, 2021. HRs and 95% CIs were estimated using unstratified Cox proportional hazards models.



**Extended Data Fig. 5 |** See next page for caption.

**Extended Data Fig. 5 | Effect of distinct co-mutations on tumour growth and immune checkpoint inhibitor response in immune-competent models of** *KRAS***-mutant NSCLC. a**. Therapeutic schedule and cohort size of ICB treatment study experimental groups. **b**. Mouse lung weight in the four treatment arms. Dots represent individual mice. **c**. Tumour growth effects of individual co-alterations. Tumours at the indicated percentiles of the tumour size distribution for each barcoded Lenti-sgRNA/Cre vector are shown, with 95% CIs. **d**,**e**. RTN score reflecting sensitivity to anti-PD-1 monotherapy (**d**) and dual anti-PD-1/anti-CTLA4 therapy (**e**) compared with isotype control IgGtreated mice. Significant effects are highlighted in colour. **f**. Experimental strategy to evaluate the anti-tumour activity of single or dual ICB with or without platinum doublet chemotherapy in the *K* and *KLK* (clone 17) isogenic allograft models. **g**. Efficacy of (chemo)-immunotherapy encompassing single (anti-PD-1) or dual (anti-PD-1/anti-CTLA4) ICB in the *K* and *KLK* isogenic models

(N = 6-7 mice/group). Comparison of tumour volume (TV) between treatment arms in the *KLK* model was performed when the first mouse in any treatment group reached a TV of  $\geq$  1200 mm<sup>3</sup>. In the *K* model, comparison of tumour volume was performed when the second mouse across the entire cohort reached a TV of  $\geq$  1200 mm<sup>3</sup>, to account for the presence of a single allograft tumour with an atypical growth pattern in the IgG control group (rapid tumour growth over a 2-day interval – this mouse was included in the analysis and censored at the time of death). **h**. Evaluation of anti-tumour activity of distinct combination immunotherapies in the *KL5* allograft model (N = 7 mice/group). Comparison of tumour volume was performed at the time point where the first mouse in any treatment group reached a TV ≥ 1200 mm<sup>3</sup>. The Mann-Whitney U test was used for pairwise statistical comparisons. Error bars represent standard deviation from the mean. Statistical significance is indicated at the P ≤ 0.05 (\*), P ≤ 0.01 (\*\*), and P ≤ 0.001 (\*\*\*) levels.



**Extended Data Fig. 6 |** See next page for caption.

**Extended Data Fig. 6 | Characterization of the** *STK11***MUT and/or** *KEAP1***MUT NSCLC TIME. a**. FACS-based enumeration of immune cell subsets in *Keap1* deficient (K7,K8), *Stk11*-deficient (L6,L9) or isogenic *Keap1* and *Stk11*-proficient LKR10 allograft tumours. Error bars indicate standard deviation from the mean (N = 7-8 mice/group). **b**,**c**. FACS-based assessment of T cell subsets in the immune microenvironment of syngeneic *Keap1*-deficient (KK) (**b**) or *Keap1-* and *Stk11*-deficient (KLK) (**c**) *KrasG12D*-mutant allograft tumours compared with isogenic *Keap1* and *Stk11*-proficient (K) tumours (all models were derived from the LKR13 mouse LUAD cell line, with CRISPR/Cas9-mediated editing of the corresponding genomic loci). Error bars indicate standard deviation from the mean (N = 8 mice/group). The Mann–Whitney U test was used for comparison of the abundance of immune cell subsets. Statistical significance in all panels is

indicated at the P ≤ 0.05 (\*), P ≤ 0.01 (\*\*), and P ≤ 0.001 (\*\*\*) levels. **d**. Uniform manifold approximation and projection (UMAP) plot of immune cells derived from untreated *K*, *KK* and *KLK* allograft tumours and processed for scRNA-seq (N = 2 mice/group). **e**. Bar plot depicting the relative abundance of distinct immune cell subsets (as a % of all non-tumour cells) in each isogenic model. **f**. Representation of scRNA-seq-derived neutrophil/T cell (top panel), myeloid/T cell (middle panel) and  $T_H1CD4+/CD8+T$  cell (bottom panel) ratios across the *K*, *KK* and *KLK* isogenic models. **g**. Proportions and average M1 or M2 scores (top panel) and N1 or N2 scores (bottom panel) in the monocyte/macrophage or neutrophil compartments in the *K*, *KK* and *KLK* models. **h**,**i**. UMAP visualization (**h**) and average expression levels (**i**) of *Fcgr4* mRNA expression in the myeloid compartment demonstrating enrichment in *Stk11* and/or *Keap1*-deficient models.



**Extended Data Fig. 7 | Characterization of the** *STK11***MUT and/or** *KEAP1***MUT NSCLC TIME in clinical cohorts. a**. Multicolour immunofluorescence (mIF) analysis of surgically resected early-stage human nsNSCLC confirms a higher ratio of CD11b+/CD8+ cells (N = 13, *STK11*<sup>MUT</sup> and/or *KEAP1*<sup>MUT</sup> and N = 19, *STK11*<sup>WT</sup> and *KEAP1*<sup>WT</sup>) and lower abundance of CD8+T cells (N = 14; *STK11*<sup>MUT</sup> and/or *KEAP1*MUT and N = 27; *STK11*WT and *KEAP1*WT*)* in *STK11*MUT and/or *KEAP1*MUT NSCLC. The Mann–Whitney U test was used for statistical comparisons. **b**. Inferred neutrophil: T lymphocyte and macrophage: T lymphocyte ratios in *STK11* and/ or *KEAP1*-mutant LUAD in the TCGA dataset. The Kruskal–Wallis test was used for the three-group statistical comparisons. **c**. Immune contexture of LKB1 deficient (N = 22) versus LKB1-proficient (N = 35) (top row) and NRF2<sup>High</sup> (N = 8) versus NRF2<sup>Low</sup> (N = 49) (bottom row) nsNSCLC in the ICON cohort, based on previously validated gene expression signatures. The Mann–Whitney U test was used for statistical comparisons and P ≤ 0.05 was considered statistically

significant. **d**. xCell-based digital deconvolution of the tumour immune microenvironment in the ICON cohort of surgically resected nsNSCLC (N = 8, *STK11*MUT*;KEAP1*MUT*or*WT; N = 10, *STK11*WT*;KEAP1*MUT;N = 39, *STK11*WT*;KEAP1*WT). Each box indicates the interquartile range (IQR) with the median and whiskers indicate the upper and lower values within 1.5 times the IQR. The Kruskal–Wallis test was used for the three-group statistical comparison and P ≤ 0.05 was considered statistically significant. **e**. RNA-seq-based deconvolution of the *STK11*MUT and or *KEAP1*MUT TIME in the TCGA PanCancer Atlas lung adenocarcinoma cohort. Left to right: ImmuneScore (assessed by xCell); CD8+ T cells (evaluated by QuanTIseq); non-Treg CD4+ T cells (QuanTIseq); and  $T_H1$  signature (xCell). Each box indicates the interquartile range (IQR) with the median and whiskers indicate the upper and lower values within 1.5 times the IQR. The Kruskal–Wallis H test was used for statistical comparisons.



**Extended Data Fig. 8 |** See next page for caption.

**Extended Data Fig. 8 | Dual anti-PD-1/anti-CTLA4 blockade reshapes the immune contexture of** *Stk11-* **and/or** *Keap1***-deficient models of** *KRAS***mutant NSCLC. a**. FACS-based assessment of single and dual ICB-induced changes in the abundance of distinct T cell (left panels) and myeloid cell (right panels) subsets in the microenvironment of *Stk11-deficient (KL5)* and *Keap1*-deficient (*KK*) models. Error bars indicate standard deviation from the mean (N = 7-8 mice/group). The Mann–Whitney U test was used for pairwise comparisons of the abundance of immune cell subsets. Statistical significance is indicated at the P ≤ 0.05 (\*), P ≤ 0.01 (\*\*), and P ≤ 0.001 (\*\*\*) levels.

**b**. ICB-induced changes in the abundance of distinct T cell and dendritic cell subsets in the microenvironment of *KLK*, *KK* and *KL5* models assessed by FACS. Error bars indicate standard deviation from the mean  $(N = 7.8$  mice/group). **c**. FACS-based quantification of lymphoid and myeloid cell subsets in *KL2* allograft tumours in response to single or dual ICB. Error bars indicate standard deviation from the mean (N = 7-8 mice/group). The Mann–Whitney U test was used for comparison of the abundance of immune cell subsets. Statistical significance in all panels is indicated at the  $P \le 0.05$  (\*),  $P \le 0.01$  (\*\*), and P ≤ 0.001 (\*\*\*) levels.





*Stk11*-deficient) allograft model (N = 3-5 mice/group for the *K* and *KLK* models and N = 6-7 mice/group for the *KL5* model). The Mann–Whitney U test was used for pairwise statistical comparisons. Error bars represent standard deviation from the mean. Statistical significance is indicated at the  $P \le 0.05$  (\*),  $P \le 0.01$  (\*\*), and  $P \le 0.001$  (\*\*\*) levels.



**Extended Data Fig. 10 | Immune depletion studies. a**. Effective immune depletion of CD4+ or CD8+ T cells with anti-CD4+ and anti-CD8+ antibodies, respectively. Error bars indicate standard deviation from the mean

(N = 3 mice/group). **b**. Spider plots indicating individual LKR13 *KLK* allograft tumour volume trajectories in response to the indicated therapies  $(N = 7.8$  mice/group).



**Extended Data Fig. 11 | The randomized phase III POSEIDON clinical trial. a**, Clinicogenomic characteristics of mutation-evaluable patients with advanced nsNSCLC in the POSEIDON clinical trial. **b**, POSEIDON clinical trial schema.

Mutation-evaluable population<br>n=612/637 (96%)

**c**, Mutation-evaluable population among patients with nsNSCLC. **d**, Venn diagram indicating overlap of somatic mutations in *KRAS*, *STK11* and *KEAP1* in the POSEIDON dataset.

STK11MUT<br>n=87 (14%)

**Extended Data Table 1 | Clinicogenomic characteristics of patients with advanced nsNSCLC treated with carboplatin or cisplatin and pemetrexed (CP) or CP plus pembrolizumab (PCP)**



**Extended Data Table 2 | Tumour mutational burden in subgroups of** *KRAS***-mutated and** *KRAS* **wild-type advanced LUAD in the FMI dataset**



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Corresponding author(s): John V. Heymach, MD, Ph.D. Ferdinandos Skoulidis, MD, Ph.D.

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Data generated in this study are available within the article and its supplementary files. scRNA-seq raw and processed data reported in this article are available upon request and will be have been deposited to NCBI Gene Expression Omnibus (GEO accession numbr: GSE267321) deposited at the NCBI sequence read archive (SRA) in accordance with Nature Research Data policies. Data underlying the clinical trial findings may be requested in accordance with AstraZeneca's data sharing policy, described in further detail at https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure. All other individual de-identified participant data supporting the retrospective clinical data results reported in this article would be available on request according to General Data Protection Regulation (GDPR) standards. Only summary clinical data may be shared, patient-level image or genetic data is not available for access in our repository in the interest of protecting patient privacy. Materials, reagents or other experimental data are available upon reasonable request from the corresponding authors. Source data for experiments presenting data from animal models have been provided for main and extended data figures.

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Ethics oversight Animal experiments were performed in accordance with protocols approved by the UT MD Anderson Cancer Center Institutional Animal Care and Use Commitee.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

### Human research participants

### Policy information about studies involving human research participants Population characteristics <br>A comprehensive description of the study population characteristics of the clinical cohorts is provided in the Data Supplement Recruitment Briefly, patients age ≥ 18 years with stage IV NSCLC21 were eligible for inclusion, provided they had not previously received systemic therapy for mNSCLC; had Eastern Cooperative Oncology Group performance status 0 or 1; and had measurable disease according to RECIST v1.1.22 The patients' tumors were to have no sensitizing EGFR mutations or ALK rearrangements (by local assessment) and PD-L1 expression status that was assessed at a central laboratory using the VENTANA PD-L1 (SP263) immunohistochemistry assay (Ventana Medical Systems, Tucson, AZ)23 before random assignment. Patients with treated and stable brain metastases were eligible Ethics oversight The clinical studies were performed in accordance with the Declaration of Helsinki and the International Conference on Harmonization Good Clinical Practice guidelines. The protocol and all modifications were approved by relevant ethics committees and regulatory authorities. All patients provided written informed consent

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## Flow Cytometry

### Plots

Confirm that:

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- $\boxtimes$  All plots are contour plots with outliers or pseudocolor plots.
- $\boxtimes$  A numerical value for number of cells or percentage (with statistics) is provided.

### Methodology

Sample preparation For studies of immune modulation, treatment with single or dual ICB commenced on day 5 post subcutaneous tumor implantation and followed the same dosing regimen as previously described for the efficacy experiments. Tumor samples were harvested after five doses of single or dual ICB, on day 18. For chemo-immunotherapy experiments with the K and KLK (clone 17) models, mice received a total of 3 doses of chemotherapy and/or immunotherapy (D1: Carboplatin+Paclitaxel +ICB); D4 (Paclitaxel +ICB); D7 (Carboplatin+Paclitaxel+ICB), and tumors were collected for FACS-based immune profiling 48 hours later. Harvested tumors were immediately processed into 2-4 mm3 pieces and transferred to a gentleMACS Octo Dissociator tube containing a solution with liberase (25 ug/ml), Dnase 1 type 1 (30 U/ml) and Hyaluronidase (0.01%) in serum-free RPMI-1640 medium and incubated for 45 minutes at 37oC. Enzyme reactions were stopped by addition of cold RPMI-1640 (10% FBS) and suspensions were dispersed through a 70-μm cell strainer twice. After red blood cell lysis (using RBC lysis buffer, Biolegend), single-cell suspensions (~1 x 106 cells in 50 μl total volume) were incubated with FcR-blocking reagent rat anti-mouse CD16/32 (2.4G2, BD Biosciences) for 15 min on ice. For extracellular staining, cells were stained with a mixture of conjugated antibodies in FACS buffer, including ghost dye violet 510 (Fisher Scientific) for 1 hour at RT in the dark. For intracellular cytokine and transcription factor staining, single-cell suspensions were fixed and permeabilized using eBioescience FoxP3/Transcription Factor Staining kit (Life Technologies) according to manufacturer's instructions. Fluorochrome-conjugated monoclonal antibodies were purchased from Biolegend: Pacific blueTM-anti-CD45 (30-F11), PE/ DazzleTM 594 anti-CD3 (17A2), APC/Cy7 anti-CD4 (RM4-5), PE/Cy7-anti-CD8 (53-6.7), APC-anti-T-bet (4B10), PE-anti-ICOS

(7E.17G9), BV711TM-anti-CD44 (IM7), BV605TM-anti-PD-1 (29F.1A12), BV 711TM-anti-Gr-1 (RB6-8C5), BV 785TM-anti-CD11c (N418), BV 650TM-anti-CD11b (M1/70), PE/Cy7-anti-I-A/I-E (MHC class II, M5/114.15.2), BV 605TM-anti-Ly6C (HK1.4), PerCP/Cy5.5-anti-Ly6G (1A8); from BD Biosciences: BUV395-anti-CD25 (PC61), BV605-anti-PD-1 (RMP1-30); from Life Technologies: PerCP-Cy5.5-anti-FoxP3 (FJK-16s); from Invitrogen PE-anti-iNOS (CXNFT); and from Tonbo Bioscience FITC-anti-CD62L (MEL-14), APC-anti-F4/80 (BM8.1). Instrument LSR Fortessa Flow Cytometer (BD Biosciences) . Software FlowJo (v10.8.1). Cell population abundance Studies were performed on bulk tumor consisting of tumor cells and immune cells. Gating strategy FSC-A/SSC-A gates were used to select mononuclear cells. FSC-A/FSC-H gates were then used to gate single cells. Live cells

were then gated based on ghost dye violet 510. CD45+ leukocytes were further gated into different populations of immune cells based on their co-expression of distinct markers. When needed, fluorescence minus one control was used to define

 $\Box$  Tick this box to confirm that a figure exemplifying the gating strategy is provided in the Supplementary Information.

positive/negative cell populations.