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11	Large inversions in Lake Malawi cichlids are associated with
12	habitat preference, lineage, and sex determination
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19 20	Nikesh M. Kumar ¹ , Taylor L. Cooper ¹ , Thomas D. Kocher ² , J. Todd Streelman ^{1†} , and Patrick T. McGrath ^{1,3†}
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29 ABSTRACT

30 Chromosomal inversions are an important class of genetic variation that link multiple alleles together into 31 a single inherited block that can have important effects on fitness. To study the role of large inversions in the massive evolutionary radiation of Lake Malawi cichlids, we used long-read technologies to identify four 32 33 single and two tandem inversions that span half of each respective chromosome, and which together 34 encompass over 10% of the genome. Each inversion is fixed in one of the two states within the seven major 35 ecogroups, suggesting they played a role in the separation of the major lake lineages into specific lake habitats. One exception is within the benthic sub-radiation, where both inverted and non-inverted alleles 36 continue to segregate within the group. The evolutionary histories of three of the six inversions suggest they 37 38 transferred from the pelagic Diplotaxodon group into benthic ancestors at the time the benthic subradiation was seeded. The remaining three inversions are found in a subset of benthic species living in deep 39 waters. We show that some of these inversions are used as XY sex-determination systems but are also 40 41 likely limited to a subset of total lake species. Our work suggests that inversions have been under both sexual and natural selection in Lake Malawi cichlids and that they will be important to understanding how 42 43 this adaptive radiation evolved.

44 INTRODUCTION

45 Large genomic inversions are a particularly interesting type of genetic variation in the evolutionary 46 process¹⁻⁴. These rearrangements of DNA sequence strongly suppress recombination between inverted and non-inverted alleles, enabling the capture and accumulation of small genetic variants that are linked 47 together on each inversion haplotype⁵. These alternative haplotypes largely follow independent 48 evolutionary paths within a species, accumulating new mutations that can affect phenotypes under 49 natural and sexual selection. Structural rearrangements can be large, spanning multiple megabases of 50 DNA containing hundreds of genes^{2,6}. They have been shown to play a role in adaptive divergence within a 51 52 variety of species⁷⁻¹², in creating alternative reproductive strategies and mating types¹³⁻¹⁶, in evolution of sex chromosomes^{1,17}, and in the formation of pre- and post-zygotic barriers between incipient species^{18,19}. 53

54 When comparing the genomes of extant species, chromosomal inversions are often found as fixed 55 differences, suggesting they might have an important role in speciation^{20–22}. However, the forces 56 responsible for establishing inversions remain obscure. Do they play a role in adaptation to new ecological 57 niches exploited by incipient species? Do they carry genetic variants that create prezygotic barriers that 58 drive speciation? Can they resolve sexual conflict, linking sex-specific alleles to sex determining loci to 59 create sexually dimorphic species? Perhaps most likely, they play roles in many or all these processes^{23,24}.

Few vertebrates offer as much potential for investigating evolution and speciation as the Cichlidae family 60 of fish, one of the most species-rich and diverse families of vertebrates, with an estimated 2,000-3,000 61 species across the globe ²⁵⁻²⁷. Evolutionary radiations have occurred at least three times in the African 62 Great Lakes (Lake Malawi, Lake Tanganyika, and Lake Victoria). In Lake Malawi, more than 800 species of 63 cichlids evolved in the past 1.2 million years^{26,28-30}. Speciation in cichlid fishes involved extremely high 64 levels of phenotypic divergence, including changes in body shape, jaw structures, and feeding behaviors 65 related to prey in their ecological niches^{25,31}. Additionally, traits that create prezygotic barriers between 66 species are also extremely diverse. Color patterns are quite dramatic and diverse among species and 67 68 include both differences in color and species-specific patterns including bars, stripes, and blotches that are thought to reinforce barriers between species in sympatry³². In some species, mating is seasonal, with 69 gatherings on 'leks' where males build bowers by scooping and spitting out sand over the course of a week 70 or more³³. Females choose mates based upon features of these bowers including shape and size³⁴. Most 71

- of the Lake Malawi species can be induced to interbreed in the lab, providing the opportunity to use genetic
 approaches to study causality of associated changes. Despite the presence of these prezygotic barriers,
- 74 substantial gene flow has occurred multiple times within the lake^{29,35} and hybridization has been proposed
- 75 to seed radiations within the African Great Lakes^{27,36,37}.
- Our current understanding of how the Lake Malawi radiation created >800 species is a set of three serial 76 diversifications, starting from a single riverine-like ancestor lineage, that created seven major ecogroups 77 separated primarily by habitat²⁹. A pelagic lineage separated first, further diversifying into a deep-water 78 ecogroup (Diplotaxodon) and a mid-water ecogroup (Rhamphochromis). A muddy/sandy benthic lineage 79 evolved from the ancestral riverine lineage next, which split into three ecogroups (collectively referred to 80 as benthics) living either in the water column (utaka), over shallow sandy/muddy shores (shallow 81 benthics), or in deep-water habitats (deep benthics). Finally, an ecogroup living over rocky habitats evolved 82 (mbuna). The riverine generalist Astatotilapia calliptera (AC) living in the border regions of the lake and 83 84 surrounding rivers, is the seventh ecogroup, and is thought to represent the ancestral lineage that seeded the three primary Lake Malawi lineages. Subsequent radiations within these ecogroups based on trophic 85 specializations and sexual selection further diversified the species flock^{29,31}. 86
- Here, we investigate the role large inversions played in the Lake Malawi radiation using new large molecule 87 technologies. We identify four single large inversions and two double inversions, ranging from 9.9Mb to 88 20.6Mb in size. A fifth single inversion, composed of just one of the two tandem inversions on chromosome 89 90 20, was also identified, indicating a serial set of rearrangements created this structural variant. These 91 inversions primarily segregate by ecogroup, with no inversions found in the mbuna and AC samples, one inversion fixed in *Rhamphochromis*, three inversions fixed in *Diplotaxodon*, and all six segregating within 92 93 the benthic sub-radiation. The evolutionary histories of these inversions are inconsistent with the species phylogeny and suggest that the three Diplotaxodon inversions spread into the benthics via hybridization 94 around the time the benthic lineage diverged from the ancestral riverine species. The three additional 95 inversions are found primarily in benthic species living in deep water habitats. We provide evidence that 96 three of the inversions are involved in sex determination in a subset of benthic species. Our work provides 97 a framework for understanding the role of inversions in the adaptive radiation of Lake Malawi cichlids, and 98 suggests multiple roles in the establishment of ecogroups, adaptation to deep water habitats, and in 99 controlling traits under sexual selection. 100

101 **RESULTS**

102 Improvement of the Metriaclima zebra reference

103 The mbuna, Metriaclima zebra, was the first species sequenced as a reference genome for Lake Malawi cichlids³⁸. The current version of its genome, called M zebra UMD2a, has been created by assembling 104 PacBio reads into contigs which were anchored to 22 chromosomes using recombinant maps generated 105 106 using crosses between different Lake Malawi species³⁹. While the overall quality of this reference is high compared to other non-model organisms, a total of 20.5% of the DNA was not anchored to a chromosome. 107 108 Additionally, the orientation of contigs can be ambiguous given how contigs were anchored to linkage 109 groups using recombinant maps. These imperfections could complicate inversion discovery, so we first 110 sought to improve the reference sequence.

The Bionano Saphyr system generates large (50 kb - 1 Mb) DNA molecules and labels a specific motif (CTTAAG) with a fluorescent probe⁴⁰. While these molecules do not provide sequencing information, the distance between observed fluorescent loci can be used to assemble multiple molecules into large maps, with lengths spanning up to an entire chromosome. Comparison of these maps with an *in-silico* digest of the reference sequence identifies large structural changes in the observed sample or errors in the reference genome. We processed DNA collected from the blood of three *Metriaclima zebra* individuals

using the Bionano pipeline and assembled DNA molecules into optical maps. We identified many discrepancies between the observed optical maps and the M_zebra_UMD2a assembly (Figure 1). These differences fall into two primary categories: 1) contigs that were incorrectly ordered or oriented and 2) unplaced contigs that Bionano anchored to specific chromosomes. The first category is consistent with errors in the assembly caused by ambiguity in placing contigs into a linkage group using recombination maps. Our results indicate that 178 of the contigs are incorrectly oriented and 133 of the unanchored contigs could be placed onto specific chromosomes.

To further improve the reference, we used PacBio HiFi reads generated from an additional male *Metriaclima zebra* individual to create a new genome assembly, taking advantage of the low overall error rate (< 0.1%) of HiFi reads compared to previous generation PacBio technologies. Contigs generated from these data were combined with Bionano maps to generate a new hybrid genome assembly, which we refer to as the M_zebra_GT3a assembly. The overall quality of the assembly was excellent, with 933Mb of 962 Mb of DNA assigned to the 22 linkage groups, (**Table S1**) reducing the amount of unplaced contigs from 196 Mb to 28 Mb. The N50 length was 32.542Mb, with many of the scaffolds containing entire chromosomes.



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132 Identification of six large inversions from 11 species of Lake Malawi cichlids

133 Armed with the improved reference, we used the Bionano system to test eight different species of Lake Malawi cichlids that were currently used for experimental studies in the Streelman or McGrath laboratories 134 (Table 1 and Table S2). We tested four mbuna species (Pseudotropheus demasoni, Cynotilapia zebroides, 135 136 Labeotropheus fuelleborni, and Labeotropheus trewavasae), two shallow benthic species (Mchenga 137 conophoros and Nyassachromis prostoma "Orange Cap"), a single deep benthic species (Aulonocara sp. 138 'chitande type north' Nkhata Bay), and a single utaka species (Copidachromis virginalis). To expand our coverage of the Lake Malawi radiation, we also obtained samples for a single species of the Diplotaxodon 139 ecogroup, Diplotaxodon limnothrissa, and a single species of the Rhamphochromis ecogroup, 140 Rhamphochromis longiceps. Finally, we also tested a single male Protomelas taeniolatus shallow benthic 141



Representative alignments of inversions or double inversions identified from blood samples obtained from 30 individuals from eleven species. For each inversion, the top shows predicted motifs from an *in-situ* digest of the reference genome, the bottom shows motifs identified using Bionano molecules obtained from an individual of the species indicated on the left, and the grey lines indicate matching motifs based upon predicted and observed distances. Single inversions were identified on chromosomes 2, 9, 10, 13, and 20. Tandem double inversions were identified on chromosomes 11 and 20. The estimated length and percentage of the chromosome spanned by the inversion is shown on the right. The single inversion on 20 identified in *Rhamphochromis longiceps* has the same position as the first inversion of the double inversion on 20 identified from *Mchenga conophoros*. A list of all samples and their inversion genotype is in Table 1. Note that an error in the reference genome in chromosome 2 is indicated in the first panel.

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individual based on its position in principal component analysis of SNVs described below. In total, we were
 able to obtain samples from 6 of the 7 ecogroups, excluding *Astatotilapia calliptera*.

From these 31 individuals, we identified six new inversions ranging from 9.9 - 22.9 Mb in size (**Figure 2**): a single inversion on chromosomes 2, 10, 11, and 13, and a double inversion on chromosomes 11 and 20. Interestingly, regarding the double inversion on chromosome 20, we also identified four *Rhamphochromis* individuals that carried only the first inversion, lacking the 4.1 Mb long second part of this rearrangement (Figure 2). This indicates that the tandem inversions on 20 were formed by at least a two-part process,
 most parsimoniously with the left arm of the inversion (20a) forming first followed by the right inversion
 (20b) occurring in that genetic background.

152 The distribution within these species is summarized in **Table 1**. The most common structural rearrangements were the single inversion on chromosome 9, the double inversion on chromosome 11, and 153 the double inversion on chromosome 20. These were found in a combination of benthic and Diplotaxodon 154 individuals. The position of these three inversions were qualitatively consistent with regions of low 155 recombination rate previously identified in an intercross between a *mbuna* and *benthic* individual, as 156 expected, since inversions suppress recombination between inverted and non-inverted haplotypes²². The 157 158 remaining three inversions (2, 10, and 13) were only found in the Aulonocara sp. 'chitande type north' Nkhata Bay individuals. While for the majority of samples the inversion genotype was homozygous, for five 159 160 samples optical maps supported both inverted and non-inverted haplotypes, indicating the inversions 161 were heterozygous in those individuals (Figure S1).

To provide further support for these structural rearrangements, we generated *de novo* hybrid genome assemblies of three *Aulonocara sp. 'chitande type north' Nkhata Bay* individuals, which carry all six of the identified inversions, and two additional *Metriaclima zebra* individuals using a combination of PacBio HiFi reads and Bionano maps. The overall quality of these assemblies is high, with large N50 values and a small number of individual contigs (**Table S3**). We performed whole genome alignments between the five new

Table 1. Inversion genotypes of 31 samples based on Bionano data.											
	Inversion										
Species	Ecogroup	#	2	9	10	11	13	20	Notes		
Cynotilapia zebroides 'Cobue'	Mbuna	1m/1f	-	-	-	-	-	-			
Labeotropheus fuelleborni	Mbuna	1m/1f	-	-	-	-	-	-			
Labeotropheus trewavasae	Mbuna	1m/1f	-	-	-	-	-	-			
Metriaclima zebra	Mbuna	3m/1f	-	-	-	-	-	-	Reference species		
Pseudotropheus demasoni	Mbuna	1m/1f	-	-	-	-	-	-			
Copidachromis virginalis	Utaka	1m/2f	-	х	-	-*	-	х	One female was het on 11		
Protomelas taeniolatus	Shallow benthic	1m	-	X *	-	-	-	Х	The male was het on 9		
Mchenga conophoros	Shallow benthic	1m/2f	-	x	-	X	-	х			
Nyassochromis prostoma 'Orange Cap'	Shallow benthic	1m/1f	-	x	-	Х*	-	x	The male was het on 11		
<i>Aulonocara</i> sp. 'chitande type north' <i>Nkhata</i> Bay	Deep benthic	3m/1f	X	X	Х*	X	X	x	All three males were het on 10		
Diplotaxodon limnothrissa	Diplotaxodon	2m/0f	-	х	-	Х	-	х			
Rhamphochromis longiceps	Rhamphochromis	3m/1f	-	-	-	-	-	Х*	All samples had the single left inversion on 20		

167 assemblies and the GT3a reference genome (Figure S2-S7). These alignments show that the new assemblies support the presence of all six inversions in the Aulonocara sp. 'chitande type north' Nkhata 168 Bay individuals. For most inversions, a single scaffold covered the entire inversion with breakpoints in 169 170 locations consistent with the Bionano maps. The two new control assemblies were consistent with the M zebra GT3a reference and did not show any evidence of inversions in those regions. In other regions of 171 172 the genome, however, there were differences in alignment of the M_zebra_GT3a assembly and the 173 Metriaclima zebra genomes, most prominently in the left arm of chromosome 2 (Figure 2) and in the highly 174 repetitive chromosome 3, which likely indicate some errors in the M_zebra_GT3a assembly.

- We refined the location of the breakpoints for each inversion using the genomic alignments. However, the
 presence of a large amount of repetitive DNA in regions near the breakpoints prevented us from defining
 the inversion boundaries at single base pair resolution.
- We also took advantage of a genome assembly for a *Rhamphochromis sp 'chilingali'* individual (fRhaChi2.1) created by the Darwin Tree of Life Project produced using PacBio data and Arima2 Hi-C data (Accession PRJEB72870). The genome alignment between the fRhaChi2.1 assembly and the GT3a assembly confirmed a single inversion on chromosome 20, consistent with the Bionano data (**Figure S2-S7**).
- For each inversion, we determined the ancestral haplotype using two species as outgroups, Oreochromis 183 niloticus and Pundamilia nyererei. Oreochromis niloticus, or Nile tilapia, is an important food fish that's 184 estimated to have diverged from Lake Malawi cichlids 14.1 to 30 million years ago while Pundamilia 185 nyererei is a more closely related haplochromine found in Lake Victoria^{38,41}. For this analysis we used a 186 published whole genome assembly for Oreochromis niloticus (UMD NMBU)³⁹ and generated a hybrid 187 genome assembly for *Pundamilia nyererei* using a previously published whole genome assembly³⁸ 188 combined with Bionano maps we produced from a single individual (Figure S8). For all six inversions, the 189 reference haplotype found in the GT3a assembly represents the ancestral state. 190
- 191 Inference of the segregation of these inversions in Lake Malawi using short-read sequencing
- The distribution of these inversions within the lake can provide important information to their function. Genotyping inversions using short read sequencing is possible because each haplotype is on an independent evolutionary trajectory due to the suppression of recombination. Population genetics approaches take advantage of the fact that inversions create patterns of inheritance between SNVs that are readily detected by approaches such as Principal Component Analysis (PCA)⁴².
- We analyzed short read sequencing data from the 31 samples with Bionano data along with 297 wild 197 individuals published by the Durbin lab²⁹, 15 wild individuals published by the Streelman lab ^{30,43}, and 22 198 199 wild individuals sequenced for this paper, aligning reads and calling small variants against our new M_zebra_GT3a reference genome. PCA analysis was used to analyze the major axes of variation across the 200 whole genome and within the six inversions. We separately analyzed the left and right inversions on 11 and 201 202 20 (the two inversions on 11 were identical in their distribution). For the whole genome, the distribution of 203 ecogroups within the first two principal components matched the previously reported results of Malinsky et al²⁹. Individuals from four of the ecogroups - Rhamphochromis, Diplotaxodon, Astatotilapia calliptera, 204 and mbuna - formed four distinct clusters (Figure 3A and Figure S9). A fifth, more diffuse cluster, 205 206 composed of shallow benthics, deep benthics, and utakas, was also present. The broader distribution of 207 the benthic ecogroups in PC space is consistent with both their recent separation as well as large amounts of gene flow thought to have occurred between these different species^{29,35}. 208
- The PCA plots using the SNVs within the six inversions often violated the pattern of the whole genome PCA (**Figure 3A** and **Figure S10-S17**). Using samples that were sequenced with both Illumina and Bionano



xs. The deep benthic/shallow benthic and draka individuals that cluster together for the whole genome analysis split into multiple clusters when analyzed using the SNVs that fall within the chromosome 10 inversion, and these clusters were assigned to inversion genotypes using samples that were genotyped using Bionano data. To make comparisons of the whole genome PCA and chromosome 10 PCA plots easier, we reversed the y-axis on the right panel. The genotypes of each sample can be found in Supplemental Table 2. Interactive PCA plots for each inversion are included in Figures S9-S17. (B) The derived allele frequency was calculated for each inversion within the seven ecogroups. The number of species that were genotyped and the estimated number of species that live in Lake Malawi are listed in the whole-genome phylogeny (left).

technologies, we assigned each cluster to specific inversion genotypes (**Table S2**). We did not have Bionano data for two clusters (one on 9 and one on 11 – see **Figures S11, S13, and S14**), so we supplemented our dataset by adding Bionano data from an additional *shallow benthic* individual (*Protomelas taeniolatus*) that fell into both clusters. The PCA clusters varied in their complexities for each inversion depending largely on their distribution within the *benthic* ecogroup (more on this below) and included both homozygous genotypes and heterozygous genotypes. We summarize the overall distribution of the inversions within each of the ecogroups in **Figure 3B**.

All specimens of the *mbuna* and *AC* ecogroup were fixed for the homozygous non-inverted alleles for all six inversions. In *Rhamphochromis*, all the inversions were homozygous non-inverted except for the left arm of 20 which was fixed for the single inversion state. The *Diplotaxodon* individuals all carried the noninverted alleles for the inversions on 2, 10 and 13 and were fixed for the inversions on 9, 11, and 20.

222 The distribution of these inversions was the most complicated within the benthics. The inversions on 2, 10, 13, which were identified in Aulonocara sp. 'chitande type north' Nkhata Bay, were mostly found 223 together in other deep benthics, including the Alticorpus (geoffreyi, macrocleithrum, peterdaviesi), 224 225 Aulonocara (blue chilumba, gold and minutus), and Lethrinops (gossei, longimanus 'redhead', and sp. olivera) genera. The inversions on 2 and 13 were also found in the shallow benthic species Placidochromis 226 longimanus. However, not all the deep benthics carried the three inversions, including five Aulonocara 227 species (baenschi, getrudae, steveni, stuartgranti, and stuartgranti Maisoni) and a single Lethrinops 228 229 species (longipinnis). The distribution of these three inversions in benthic species known to inhabit the 230 deepest habitats are suggestive for a role in depth adaptation.

The double inversion on 20 was homozygous inverted in all benthic individuals. For the single inversion on 9, many *benthics* were homozygous for the inverted haplotype. However, an additional PC cluster was present, composed of 64 *shallow benthic* and *utaka* individuals heterozygous for the non-inverted haplotype (**Figure S1**). Interestingly, no *benthic* individuals were identified as homozygous for the noninverted state.

Finally, the distribution of the chromosome 11 double inversion was also determined. Individuals of all three genotypes (homozygous non-inverted, homozygous inverted, and heterozygous) were observed (**Figure 3a**).

239 Evolutionary history of 9,11, and 20 suggest introgression from Diplotaxodon into benthic ancestors

The distribution of these inversions conflicts with the species tree, suggesting that these inversions could have spread via hybridization. To determine their evolutionary history, we built phylogenies for the whole genome as well as for each inversion using SNVs that fell within each of the six inversions using representative species from each ecogroup (73 total – **Table S2**) as well as two previously sequenced *Pundamilia nyererei* individuals as an outgroup (**Figure 4A** and **Figures S18 – S25**)^{44,45}. To avoid issues with haplotype phasing, we focused on individuals that were homozygous for one of the two inverted genotypes.

While the whole genome phylogeny matched the expected topology based upon our understanding of the 246 Lake Malawi species tree, the topologies of each of the inversions showed key differences between the 247 separation of ecogroups. The *benthic* group often split into separate clades, correlated with their inversion 248 genotype. Prominently, we found the three inversions on 9, 11, and 20 showed key differences in the 249 250 relationship between the Diplotaxodon and benthic ecogroups. While Diplotaxodon is a sister group to Rhamphochromis at the species level, for these three inversions, the Diplotaxodon individuals formed a 251 252 clade with the benthics that also carried the inversion. Additionally, genetic distance between 253 Diplotaxodon and benthics carrying the inversion was also much smaller than the rest of the genome 254 (Figure 4B). Both the phylogenies and genetic distances between ecogroups are consistent with the introgression of the 9, 11, and 20 inversions from the Diplotaxodon to benthic ancestors at the time of the 255 256 benthic radiation.



Figure 4. Evolutionary history of large inversions violates species tree. (A) Maximum likelihood trees built from either whole genome SNVs (top) or within the 9, 11, and 20 inversions. Between 2-25 representative samples were selected for each ecogroup (see **Table S2**) and individuals that were heterozygous were excluded from this analysis. Clades were collapsed by ecogroup for visualization purposes. Full phylogenies are presented in **Figures S18** to **S25**. The whole genome tree indicates *Diplotaxodon* and *Rhamphochromis* species split first and formed their own clade. For each of the displayed inversions, the first split was between samples carrying the inverted vs. normal genotypes. Additionally, the *Diplotaxodon* individuals now formed a clade with the *benthics* that carry the inversion. (**B**) Density plots of *d*_{XY} values comparing *benthic* species and species of the indicated ecogroup. For the displayed inversions, the *Diplotaxodon* species were much closer in evolutionary distance when compared to the whole genome plot.

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A role for large inversions in sex determination within the benthic ecogroup

The evolution of sex determination is often associated with the presence of inversions to repress recombination between the sex chromosomes^{46,47}. We tested whether these inversions could play a role



in sex determination in benthic species, as we identified individuals that were heterozygous for some of these inversions (Table 1). We started with the inversion on 10, as our Bionano data identified three male Aulonocara sp. 'chitande type north' Nkhata Bay individuals that were heterozygous and a single female that was homozygous for the inverted haplotype. To test whether this inversion acted as an XY system in this species, we tested two separate broods (Brood 1; 2 parents, 24 offspring and Brood 2: 2 parents, 24 offspring) currently growing in the laboratory, sequencing 12 males and 12 females from each brood with Illumina paired-end sequencing. We used PCA to genotype each of the 52 animals (4 parents and 48 offspring) for the chromosome 10 inversion genotype. The association in the offspring between the inversion 10 genotype and sex was almost perfect: 24 females and 1 male were homozygous for the inversion, while 23 males were heterozygous for the inversion (Figure 5B).

We also used binned Weir Cockerham F_{st} analysis, grouping male and female individuals to scan the genome for regions that were associated with sex (**Figure 5C**). The inversion on 10 was the region of the genome that was in strongest linkage disequilibrium with

sex. Males also had a much higher heterozygosity in this region than females (Figure 5D). Altogether, these
results indicate that the inversion on 10 acts as an XY sex determiner in the Aulonocara sp. 'chitande type
north' Nkhata Bay species.

We also tested eight laboratory *Nyassachromis prostoma* "Orange Cap" individuals, as our Bionano data identified a male that was heterozygous for the inversion on 11 and a female that was homozygous for the inversion. We performed short-read sequencing on three males and five females growing in the lab, genotyping the inversion using PCA analysis. All three males were heterozygous for the inversion while the five females were homozygous, a significant association between genotype and sex (Fisher exact test pvalue = 0.0179). This suggests a separate XY system segregates in *Nyassachromis prostoma* "Orange Cap" using the inversion on chromosome 11.

Finally, for the inversion on nine, there was a cluster of heterozygous individuals that included 7 individuals that we had sex information for. All 7 of these individuals were male (**Table S2**). While this association is not significant, it is also suggestive that the inversion on 9 could play a role in sex determination as well.

310 DISCUSSION

Here, we characterize the presence of large inversions found in the Lake Malawi cichlid flock, identifying 311 four single inversions and two double inversions, providing a framework to address the role structural 312 variants played in this lake's adaptive radiation (Figure 6). Inversions can have multiple roles in the 313 314 evolutionary process: 1) they can capture beneficial alleles responsible for adaptation to local habitats, 2) 315 they can capture alleles that create pre- and post-zygotic barriers that prevent gene flow during speciation, and 3) they can capture alleles that influence sex-specific phenotypes that are linked to sex-determination 316 systems^{1,3,4}. Future work is now possible to determine how these inversions contribute to the evolution of 317 Lake Malawi cichlids through these mechanisms. 318



Figure 6. Model for inversions in Lake Malawi. Model for the origin and spread of inversions in Lake Malawi overlayed on the species tree proposed in Malinsky et al. Key events in the proposed inversions' histories are indicated by numbers overlayed on the phylogeny.

The overall size of these inversions is large, together capturing >10% of the genome (~112 Mb). Apart from the benthics, the inversions are fixed in each ecogroup in the inverted or noninverted state. Such a distribution would be expected in the local adaptation hypothesis if they were involved in the adaptation of these ecogroups to their respective lake habitats. In this scenario, the inversions would be under selection prior to speciation, and would play roles in: 1) the split of the pelagic group from the ancestral riverine lineage (the left arm of the inversion on 20), 2) the diversification of the Rhamphochromis and Diplotaxodon groups (the inversions on 9, 11, and right arm of 20), and 3) the adaptation of benthics to deeper water habitats (the inversions on 2, 10, and 13). The role of inversions in local adaptation between spatially separated populations has been well characterized^{6,8}. If two populations are undergoing adaptive divergence in the presence of migration

and gene flow, theory indicates that inversions will be selected for when they capture and link adaptive alleles together⁴⁸. Given gene flow was likely common during the formation of the ecogroups⁴⁹, we expect inversions that captured adaptive alleles that increase fitness in a habitat-specific context to feature prominently in ecogroup inception. This hypothesis predicts that adaptive alleles for each ecogroup are enriched within the inversions, which can be tested in Lake Malawi cichlids, as different species can interbreed to test whether the inversions are associated with differences in phenotypes.

While the role of the inversions in most of the ecogroups could potentially be explained by local adaptation, their role in the benthic sub-radiation appears to be more complicated. Inverted and non-inverted 353 haplotypes for five of the six inversions are segregating within this group. Additionally, the presence of the 9, 11, and 20 inversions within *benthics* violates the whole species phylogeny and is consistent with its 354 spread from the Diplotaxodon to a very early benthic ancestor via introgression between ancestors of these 355 two groups. Hybridization has been proposed to fuel adaptive radiations of cichlids in all three great African 356 lakes (Malawi, Tanganyika, and Victoria) and our work suggests that an ancient hybridization event 357 358 between early benthic and Diplotaxodon ancestors occurred prior to the benthic radiation resulting in the 359 3 inversions (as well as other regions of the genome) spreading into what ultimately became the benthic ecogroup^{26,27,36}. It will be interesting to study the role of these three inversions in *benthic* species. 360 Diplotaxodon are pelagic, deep-water dwellers that feed on plankton or small fish while benthics live near 361 the shore over sandy and muddy habitats²⁹. It is not obvious how the traits of the *Diplotaxodon* would 362 benefit benthic animals that live in such dissimilar environments. However, the initial habitats that the 363 364 benthic ancestors utilized is not known and potentially their initial habitat was in deeper waters than the 365 AC ancestors. Whether or not these inversions were involved in the benthic radiations, they are not strictly required for large radiations as the *mbuna* group, with an estimated >300 species, carries zero large 366 inversions²⁹. This could reflect the relative importance of allopatric vs. sympatric speciation in the two 367 368 radiations, as the rocky habitats the mbuna inhabit are often spatially separated throughout the lake.

- The inversions we identified also play a role in sex determination in the benthics, with at least three of the 369 inversions (9, 10, and 11) acting as XY systems to control sex determination. Despite the importance of the 370 maintenance of distinct, reproductively compatible sexes, sex determination systems can be 371 evolutionarily labile, and Lake Malawi cichlids show exceptional variability, with at least 5 different genetic 372 sex determination systems described to date^{50,51}. While we implicated multiple inversions in sex 373 374 determination, our work also underscores how dynamic sex determination is within the benthics. While many benthic species carry the different inversions, their genotypic distribution in most species is 375 376 inconsistent with a role for sex determination. Effectively, the genetic determination of sex determination 377 largely must be determined in a species by species way, and individual species may be segregating multiple sex determination systems. Additionally, these inversions could have played a role in sex 378 determination in the ancestors of pelagic ecogroups, which could have contributed to their spread before 379 380 sex chromosome turnover ended balancing selection on the inverted/non-inverted haplotypes and subsequent fixation of these inversions. Identification of the causal alleles for sex determination on these 381 382 inversions will allow us to resolve this question.
- The presence of non-inverted haplotypes in the benthics could have been retained from the time the 383 lineage initially formed, with both haplotypes under balancing selection if they were used for sex 384 determination. A recent preprint, however, which identified 5 of the 6 inversions presented here via short-385 read sequencing of 1375 individuals sampled from Lake Malawi, presented an alternative model⁵². They 386 proposed the non-inverted haplotypes of the 9 and 10 inversions were introgressed back into the benthics 387 388 from riverine species within and outside of the lake. Similarly, the non-inverted haplotypes on chromosomes 2, 10, and 13 in the deep benthics were introgressed from shallow benthics. In this model, 389 it is unclear what evolutionary forces drove these back introgressions of the non-inverted alleles and when 390 391 they developed a role in sex determination.
- The selective forces on sex chromosomes are strong, including balancing selection for sex ratio and sexually antagonistic selection on sexually dimorphic phenotypes. Lake Malawi cichlids show amazing sex differences, characterized by diversification in numerous phenotypes, including pigmentation, behavior, and morphology thought to contribute to species barriers. The use of inversions in sex-determination allows for the accumulation of alleles in linkage with the sex-determiner gene which will have sex-specific effects in the male individuals that carry the Y chromosomes. Interestingly in all three cases, the noninverted haplotype acts as the Y, suggesting that different haplotypes could play independent roles in

evolution. For example, the inversion X haplotype on 10 could carry alleles responsible for adaptation to
 deep water habits, which would be carried by both sexes, while the non-inverted Y haplotype carries alleles
 under sexual selection.

This report provides an initial framework for understanding the role of inversions in the adaptive radiation of Lake Malawi cichlids. Future work identifying and characterizing causal alleles captured within these inversions will enable us to discern the specific mechanisms by which they contribute to speciation using this remarkable evolutionary system as a model for the evolution of all animals.

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413 METHODS

Samples. Wild-caught cichlids were acquired from Old World Exotic Fish Inc in 2022 and Cichlidenstadel in 2024. Lab-reared species were purchased from Southeast Cichlids, Old World Exotic Fish Inc., and Cichlidenstadel at various points in the past two decades (**Table S2**). Fish were delivered live to the Georgia Institute of Technology cichlid aquaculture facilities. All samples were collected following anesthetization with tricaine according to procedures approved by the Institutional Animal Care and Use Committee (IACUC protocol numbers A100029 and A100569).

Caudal fins were collected from subjects and flash frozen on powdered dry ice before storage at -80°C.
 Care was taken to minimize freeze-thaw cycles prior to DNA extraction via Bionano or Qiagen protocols. If
 necessary, fins were sectioned on a sterile aluminum block set in dry ice prior to digestion.

Blood was collected following rapid decapitation of anesthetized subjects. Wide-bore, low-retention pipette tips, 0.5M EDTA (pH 8.0, Invitrogen Cat# 15575-038), and Bionano Cell Buffer (Part Number 20374) prevented blood from clotting at room temperature. Samples were used immediately for Bionano DNA extraction (see below).

Bionano. Bionano DNA extractions were predominantly performed on fresh whole blood samples (see 427 428 above). Blood concentration was determined via a manual logical count performed on a hemocytometer and 2 million cells were carried forward for DNA extraction with the Bionano SP-G2 Blood & Cell Culture 429 DNA Isolation Kit (Part Number 80060) as per the Bionano Prep SP-G2 Fresh Human Blood DNA Isolation 430 Protocol (Document Number CG-00005, Rev C). Because nucleated cichlid blood had high 431 concentrations, steps 3 and 4 were skipped and samples were resuspended in Bionano Cell Buffer to a 432 total volume of 200µL. Following extraction, samples were allowed to homogenize undisturbed at room 433 temperature for at minimum 72 hours prior to quantification using the Qubit dsDNA Quantitation, Broad 434 435 Range kit (ThermoFisher, Cat # Q32853).

Bionano data for a single *Protomelas taeniolatus* sample (PT_2003_m, see **Table_S2**) was generated from
fin tissue using a combination of the Bionano Prep SP Tissue and Tumor DNA Isolation Protocol
(Document Number 30339, Rev A) and the Bionano Prep SP-G2 Fresh Human Blood DNA Isolation
Protocol.

750ng of homogenized DNA was fluorescently labeled using the Bionano Direct Label and Stain-G2 (DLSG2) Kit (Part Number 80046, Protocol - CG-30553-1, Rev E). Labeled DNA was quantified using the Qubit
dsDNA Quantitation, High Sensitivity kit (ThermoFisher, Cat # Q32854) and loaded onto a flow cell within

- a Bionano Saphyr Chip G3.3 (Part Number 20440). Samples were imaged on the Bionano Saphyr with at
 least 500Gbp of data collected per sample.
- Molecules for each sample were assembled using the Bionano *de novo* assembly pipeline on Bionano Access Version 1.7. The preassembly setting was turned on, and the variant annotation parameters were deselected for every assembly. *De novo* maps were aligned to the Mzebra_GT3a genome.
- PacBio HiFi sequencing and genome assembly. PacBio de novo assemblies were generated from HiFi 448 reads across three sequencing runs. The MZ GT3.3 and YH GT1.3 were sequenced following DNA 449 extraction from frozen caudal fin tissue using the Qiagen MagAttract HMW DNA Kit (Cat. No. 67563). In the 450 451 first sequencing run a Metriaclima zebra female was sequenced sequenced on a PacBio Sequel II system by the Georgia Genomics and Bioinformatics core. In the second run, the same the Metriaclima zebra 452 female and an Aulonocara sp. 'chitande type north' Nkhata Bay female was sequenced on a PacBio Revio 453 instrument by the HudsonAlpha Institute for Biotechnology. Reads from both runs were used to assemble 454 the MZ_GT3.3 genome and reads from the second run alone were used to assemble the YH_GT1.3 genome 455 (see details below). 456
- 457 Mzebra GT3a, MZ GT3.2, YH GT1.1, and YH GT1.2 were assembled using HiFi reads generated with DNA extracted from fresh whole blood and heart tissue. DNA was extracted via the PacBio Nanobind Tissue Kit 458 RT (Part Number 102-208-000) following a modified DNA from animal tissue using the Nanobind® 459 PanDNA kit protocol (Part Number 102-574-600). Blood and heart tissue were combined and 460 homogenized together using a Qiagen TissueRuptor (Qiagen 9002755) and centrifugation g-force was 461 halved during the DNA extraction. DNA fragments <25kb were removed using the PacBio Short Read 462 Elimination kit (Part Number 102-208-300). Library preparation and sequencing was performed by the 463 University of Maryland Institute for Genome Sciences on a PacBio Revio instrument. 464
- HiFi reads from all samples were assembled using the Mabs de novo assembler (v2.28)⁵³ using the mabs hifiasm algorithm and default parameters. Each assembly from mabs-hifiasm was evaluated using
 Inspector (inspector.py v1.0.1)⁵⁴ run on default parameters. The assemblies were error corrected with
 inspector-correct.py (v1.0) to resolve large structural errors. These error-corrected contigs from
 were uploaded to Bionano Access.
- The MZ_GT3.2, MZ_GT3.3, YH_GT1.1, YH_GT1.2 and YH_GT1.3 scaffold-level assemblies were generated
 by bridging gaps in their contigs using Bionano maps via the single-enzyme Bionano Hybrid Scaffold
 pipeline using default parameters. A unique set of Bionano maps was used to scaffold the contigs from
 each *de novo* assembly. The resulting hybrid scaffold NCBI.fasta file (which denotes gaps filled by
 Bionano maps with stretches of N nucleotides) was concatenated with any unscaffolded contigs from
 the error-corrected mabs assembly. These five scaffold-level assemblies have been deposited at
 DDBJ/ENA/GenBank (accessions TBD).
- A scaffold-level genome was identically generated for Mzebra_GT3a. These scaffolds were further aligned
 to the corrected UMD2a genome (see below) and anchored to linkage groups using D-GENIES⁵⁵ with the
 Minimap2 v2.28 aligner and "Many repeats" options. An anchored genome was output using the Query
 assembled as reference export option.
- Note that the mitochondrial DNA sequence in Mzebra_GT3a is the same as the mitochondria assembled
 in UMD2a. This Whole Genome Shotgun project has been deposited at DDBJ/ENA/GenBank under the
 accession JBEVY1000000000. The version described in this paper is version JBEVY10100000000.
- 484 **Correcting errors in M_zebra_UMD2a.** We generated Bionano molecules for 3 male and 1 female 485 *Metriaclima zebra* subjects, assembled Bionano maps, and aligned them to the UMD2a reference genome. 486 We reordered or reoriented contigs that were revealed as misassemblies or inversions, respectively, in all

four Bionano maps and also corresponded to breakpoints between contigs in UMD2a. By filtering interchromosomal translocation calls in the Bionano Access software, we were able to insert unmapped contigs into the established linkage groups.

Illumina extractions and sequencing. DNA was extracted from fresh or frozen fin tissues for short-read 490 sequencing using the Qiagen MagAttract HMW DNA Kit (Cat. No. 67563) or the Qiagen DNeasy Blood & 491 Tissue Kit (Cat No. 69504) using the Manual Purification of High-Molecular Weight Genomic DNA from 492 Fresh or Frozen Tissue and Purification of Total DNA from Animal Tissues (Spin-Column) protocols 493 respectively. DNA was delivered to the Georgia Tech Molecular Evolution Core where libraries were 494 prepared with the NEBNext[®] Ultra[™] II FS DNA Library Prep Kit for Illumina (NEB #E6177) using the Protocol 495 for FS DNA Library Prep Kit (E7805, E6177) with Inputs ≥100 ng. A small subset of samples was sent to an 496 external collaborator by the Molecular Evolution Core where library preparation was performed using the 497 KAPA HyperPrep Kit (Roche, Material Number: 07962363001). Samples across all runs were sequenced on 498 a NovaSeq 6000 instrument using v1.5 chemistry. 499

500 Variant calling and PCA analysis. Fastq files from Illumina sequencing were converted to UBAM format using the gatk⁵⁶ FastgToSam algorithm. UBAM files were used for alignment to Mzebra GT3 using 501 bwa⁵⁷ mem; the -M and -p flags were used. To carry forward metadata from the unaligned BAMs, gatk 502 MergeBamAlignment was called on the alignments. BAM files were converted to GVCF format using 503 gatk HaplotypeCaller. Variant calling was performed using the GVCF files for the analysis cohort. 504 The gatk GenomicsDBImport and gatk GenotypeGVCFs algorithms were used to generate a 505 506 master vcf file which was subsequently filtered with gatk VariantFiltration (parameters below). The variants that passed filtering were used for PCA analysis. 507

PCA was performed with plink2⁵⁸. To avoid overrepresenting species from the cohort, a core subset of
 the samples was used for PC 1 and 2 calculations (**Table S2**, column S). Eigenvectors from the whole
 cohort were then plotted on this PC space and visualized using plotly.

511 Note that GATK \geq v4.3.0.0 and python \geq 3.7 were used for these analyses. Custom python scripts were 512 written to automate and parallelize processing of the samples in the cohorts.

513 The commands used for variant calling and PCA are the following:

514 UBAM Generation:

515 > gatk FastqToSam --FASTQ <fq1> --FASTQ2 <fq2> --READ_GROUP_NAME <RUNID> --516 TMP_DIR <Temp_dir> --OUTPUT <temp_bam_file> --SAMPLE_NAME <sample_name> --517 LIBRARY NAME <library name> --PLATFORM <platform>

518 Alignment to GT3:

519 > bwa mem -t <threads> -M -p <GT3 FASTA> <UBAM file>

520 Merge metadata from UBAM to BAM:

521 > gatkMergeBamAlignment -R <GT3_FASTA> --UNMAPPED_BAM <UBAM_file> --522 ALIGNED BAM <BAM file>

523 Generate GVCFS:

524 > gatk HaplotypeCaller -R <GT3 FASTA> -I <BAM file> -ERC GVCF -O <GVCF file>

525 -ERC GVCF is used to generate GVCF files that can be used for subsequent joint genotyping

526 *Read Depth Information:*

527 > gatk CountReads -I <BAM file>

528 Generate GenomicsDB:

529 > gatk --java-options -Xmx <memory> GenomicsDBImport --genomicsdb-workspace-530 path <database_output_path> --intervals <interval> --sample-name-map 531 <cohort samples.txt>

532 Joint Genotyping:

533 > gatk --java-options -Xmx <memory> GenotypeGVCFs -R <GT3 FASTA> -V<path to database> <VCF file> --heterozygosity 0.00175 -A 534 -0 DepthPerAlleleBySample -A Coverage -A GenotypeSummaries -A TandemRepeat -A 535 StrandBiasBySample ReadPosRankSumTest -A AS ReadPosRankSumTest 536 -A -A 537 AS QualByDepth -A AS StrandOddsRatio -A AS MappingQualityRankSumTest -A 538 FisherStrand -A QualByDepth RMSMappingQuality -A DepthPerSampleHC -G StandardAnnotation AS StandardAnnotation -G StandardHCAnnotation 539

Note that an average measure of heterozygosity, 0.00175 was used from what was reported in Malinksy *et al.*²⁹.

542 Filtering Variants:

543 > gatk VariantFiltration -R <GT3 FASTA> <VCF file> -O <Filtered VCF> --'allele freq' --filter-expression 'AF < 0.05' --filter-name 544 filter-name 'inbreeding test' --filter-expression 'InbreedingCoeff < -0.6' --filter-name 545 'depth Qual' --filter-expression 'QD < 2.0' --filter-name 'max DP' --filter-546 expression 'DP > 11000' --filter-name 'min DP' --filter-expression 'DP < 547 7600' --filter-name 'strand bias' --filter-expression 'FS > 40.0' --filter-548 'mapping quality' --filter-expression 'MQ 50.0' 549 < --filter-name name 550 'no calls' --filter-expression 'NCC > 119' --verbosity ERROR"))

Variants were filtered by allele frequency, inbreeding coefficient, quality by depth, depth, fisher's exact
test for strand bias, mapping quality, and by excess missingness according to methods published in
Malinksky *et al.*²⁹. We filtered by depth to include variants with a mean depth per sample between 10% and
95% of the distribution of all variant depths.

```
555 > gatk SelectVariants -V <Filtered_VCF> --exclude-filtered -O
556 <pass_VCF_file>
```

557

558 PCA:

For PCA, first a subset of the pass_VCF_file containing the samples we used to create the principal 559 components was generated using bcftools⁵⁹. Next, the relevant pfiles were generated for both the 560 pass_VCF_file and the subset_samples_VCF. Linkage pruning was only performed for the whole genome 561 562 and whole chromosome PCAs using the –indep-pairwise 50 5 0.1 flag and parameters. Since inversions inherently link together variants, linkage pruning was not used when restricting the analysis to within 563 inverted regions. A linear scoring system is generated using the subset_samples_VCF pfiles. This scoring 564 system is applied to the genotype matrix for the whole cohort's samples to scale each sample 565 consistently. The resulting first two eigenvectors per sample from the .sscore file are plotted with plotly. 566

```
567 > plink2 --vcf <pass VCF file> --out <whole pfiles>
```

```
568 > plink2 --vcf <subset samples VCF file> --out <subset pfiles>
```

569 > plink2 --pfile <whole_pfiles> --set-missing-var-ids @:# --make-pgen --out 570 <whole corrected>

571

572 LD Pruning for Whole genome and whole chromosome PCAs:

573 >plink2-pfile<subset_pfiles>-out574 <subset_samples_ld_pruning_intermediate>--allow-extra-chr--set-missing-575 var-ids @:#'--indep-pairwise50500.1

576 -pfile plink2 <subset pfiles> --freq counts -pca allele-wts >-out <sample subset yes ld pruning pca> --allow-extra-chr --set-missing-var-ids 577 578 a:# --max-alleles 2 --extract < 579 subset samples 1d pruning intermediate.prune.in

580 -pfile <whole corrected> --read-freq plink2 < sample subset yes 1d pruning pca.acount> 581 --score < 582 sample subset yes ld pruning pca.eigenval.allele> 2 5 header-read no-mean-583 imputation variance-standardize --score-col-nums 6 - 15-out <projected pca yes ld --allow-extra-chr 584

585

586 No LD Pruning for inverted region PCAs:

587 > plink2 --pfile <subset_pfiles> --freq counts -pca allele-wts -out 588 <subset_samples_no_ld_pruning_pca> --allow-extra-chr --set-missing-var-ids 589 @:# --max-alleles 2 590 > plink2 -pfile <whole corrected> --read-freg <</pre>

-pfile <whole corrected> < subset samples no ld pruning pca.acount> 591 --score' <subset samples no ld pruning pca.eigenvec.allele> 2 5 header-read no-mean-592 --score-col-nums 6-15 593 variance-standardize imputation --out <projected PCA no ld> --allow-extra-chr 594

Genome alignment. Genomes were aligned using minimap2⁶⁰ (v2.22) to align the query genome to the 595 596 M_zebra_GT3 reference using default settings. Custom scripts were used to convert the output paf files to dataframe objects, filtering removed alignments that were 1) secondary matches, 2) had less than 30% 597 percent identify, and 3) were less than 10,000 base pairs in length. Further, we excluded any alignments 598 from a contig containing less than 2,000,000 total bp of alignments, to account for repetitive DNA. 599 Alignments were plotted using seaborn. We estimated the intervals containing the breakpoints for the 600 inversions using genome alignments of Aulonocara sp. 'chitande type north' Nkhata Bay and M_zebra_GT3 601 602 (Table S4).

Phylogenies. To create phylogenies, bcftools was used to filter out non SNV variants from the master vcf file. Bcftools was also used to create individual vcf files for each inversion, filtering out SNVs that fell outside of the inverted region. Vcf2philip⁶¹ was used to convert the to the phylip format, using the -m 50 option to filter out SNVs with less than 50 genotyped samples. Iqtree2⁶² was used to create trees and estimate confidence values for each node using the following options: -nt', '24', '-mem', '96G', '- v', '--seqtype', 'DNA', '-m', 'GTR+I+G', '-B', '1000'. Trees were visualized with iTol⁶³ or ete3⁶⁴.

Nucleotide diversity analysis. To analyze the Pixy between individuals of different species, we used scikit-allel (v1.3.8)⁶⁵ to calculate the genetic difference between each sample using the allel.pairwise_distance function and the citblock for the metric. We restricted the analysis to benthic animals that carried the inversion and compared these to animals of various ecogroups. We used the seaborn function hist plot to create density histograms for each of the inversions.

615 Fst & pedigree. A vcf file was created for the Aulonocara samples listed in Table S2 (Column I). The PCA approach (described above) was used to genotype each of the samples for the inversion on 10. For the F_{ST} 616 analysis, we used scikit-allel (v1.3.8) to perform allel.average weir cockerham fst with 617 window size of 100. For the heterozygosity analysis. used 618 we the ิล allel.heterozygosity observed function on both the male and female population, subtracting 619 the average heterozygosity from the male offspring from the female offspring. 620

621

622 **DATA AVAILABILITY**

All Illumina and PacBio sequencing reads have been deposited to the NCBI Short Read archive at BioProject PRJNA1112855. The VCF files used in these analyses are available through the Dryad Digital Repository (TBD).

626

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