Article Electroconvulsive therapy generates a hidden wave after seizure

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1 Abstract

2 Electroconvulsive therapy (ECT) is a fast-acting, highly effective, and safe treatment for 3 medication-resistant depression. Historically, the clinical benefits of ECT have been 4 attributed to generating a controlled seizure; however, the underlying neurobiology is 5 understudied and remains largely unresolved. Using optical neuroimaging to probe neural 6 activity and hemodynamics in a mouse model of ECT, we demonstrated that a second 7 brain event follows seizure: cortical spreading depolarization (CSD). We further found that 8 ECT stimulation pulse parameters and electrode configuration directly shaped the wave 9 dynamics of seizure and subsequent CSD. To translate these findings to human patients, 10 we tested for the presence of hemodynamic signatures of post-ictal CSD using noninvasive diffuse optical monitoring of cerebral blood flow and oxygenation during routine 11 12 ECT treatments. We found evidence that humans generate hyperemic waves after ECT 13 seizure which are highly consistent with CSD. These results challenge a long-held 14 assumption that seizure is the primary outcome of ECT and point to new opportunities for 15 optimizing ECT stimulation parameters to precisely modulate brain activity and treatment 16 outcomes.

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24 Introduction

Electroconvulsive therapy (ECT) is a life-saving intervention for medication-resistant 25 26 depression. Treatment consists of electrical pulses delivered to the brain to elicit a 27 controlled (~30-90 second) electrographic seizure while under general anesthesia and 28 muscle relaxants to minimize physical movement. Nearly a century since it was 29 discovered, ECT remains the most clinically effective treatment for severe depression¹. A 30 typical index course of six to twelve ECT treatments achieves rapid symptom 31 improvement in 60-80% of patients, reducing suicide risk by 50% compared to matched controls who do not receive ECT^{2,3}. ECT is also highly effective in mania, psychosis, 32 33 Parkinson's disease, catatonia, and even status epilepticus – particularly for those with 34 the most severe, medication-resistant symptoms. The procedure is safe and generally 35 well-tolerated, including in pediatric, geriatric, and pregnant populations. Potential risks, 36 such as cognitive slowing or memory impairment, are typically modest compared to 37 improvement in neuropsychiatric symptoms, and resolve when treatment is discontinued. 38 Unfortunately, the proven efficacy and safety of ECT have been overshadowed by stigma 39 and negative portrayal in popular media. As a result, contemporary research on ECT is 40 limited, and the treatment is underutilized⁴. Much could be learned from ECT and the 41 basic physiology underlying its rapid-acting efficacy across a varied range of brain 42 disorders, particularly when modern pharmacology has failed.

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For the last eight decades, it has been assumed that seizure is necessary for ECT to elicit clinical improvement³. However, not all ECT-induced seizures are therapeutic, and conversely, electrical stimulation below the seizure threshold may also be an effective treatment^{5,6}. The role of seizure in the therapeutic mechanism of ECT is thus uncertain. An important clue to this mechanism may be that ECT induces lasting inhibitory plasticity in brain activity, dampening cortical response to stimulation and reliably reducing propensity for future seizures (i.e., raising the seizure threshold)⁷⁻¹¹. It has been hypothesized that ECT may elicit inhibitory plasticity through the process of seizure suppression¹², but few studies have explored the cellular, circuit, or network level mechanisms of brain inhibition after ECT.

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55 During ECT. brain activity is typically monitored with minimal а scalp 56 electroencephalography (EEG) montage consisting of two leads on the forehead to 57 measure seizure quality, duration and post-ictal suppression in each hemisphere. 58 However, quantitative seizure metrics from EEG monitoring have shown limited predictive value for ECT clinical outcomes^{5-7,13-16}. A great need exists for more robust brain activity 59 60 biomarkers that can predict treatment efficacy, detect side effects, or guide selection of stimulation parameters. Because ECT electrical pulses saturate the scalp EEG signal, no 61 62 study has ever measured brain activity during pulse delivery in human patients or animal 63 models. Computational models have helped predict the brain electric field evoked by a single electrical pulse^{5,17-19}, but there are likely opportunities to further optimize 64 65 stimulation parameters and improve clinical outcomes using empirical measurements of 66 brain activity during treatment. For example, a seminal randomized controlled trial 67 showed that the choice of two electrode spatial configurations (right unilateral vs. bitemporal), as well as two pulse durations (0.3 vs. 0.5 ms), significantly modulates the 68 69 clinical efficacy and side effects of ECT^{20,21}. The remaining stimulation parameter space is vast, mostly untested, and with unknown effects on brain activity and clinical outcomes²⁰. This includes infinite combinations of pulse properties (duration, frequency, total count, current, polarity, waveform) and electrode spatial configurations. Only three electrode placements have been tested clinically - right unilateral, bitemporal, and bifrontal. There are likely alternative stimulation parameters that would provide superior symptom reduction and lower risk of side effects compared to the current standard-ofcare configurations and titration algorithms.

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78 To bridge these knowledge gaps, we employed optical neuroimaging to record brain 79 activity during ECT in both rodent models and human patients. Our data demonstrate that 80 a second brain event follows ECT-induced seizure: cortical spreading depolarization 81 (CSD). In mice, we show that clinically relevant choices of electrode placements and 82 pulse parameters directly modulate the spatial and temporal properties of both seizure 83 and subsequent CSD. We then translate these findings from mice to humans. Using non-84 invasive diffuse optical monitoring of brain tissue oxygen saturation and blood flow in 85 patients receiving ECT, we find evidence for post-ictal hyperemic waves that are highly 86 consistent with CSD. These discoveries have important implications for ECT's 87 mechanism of action and for optimizing stimulation to target specific brain outcomes.

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89 Methods

90 Mouse model

All procedures described below were approved by the Institutional Animal Care and Use
Committee at the Children's Hospital of Philadelphia in compliance with AAALAC

93 guidelines. Mice were raised in standard cages in a double barrier mouse facility with a 94 12hr-12hr light/dark cycle and ad libitum access to food and water. All experiments used 95 n=10 eight-week-old mice (male and female) hemizygous for Thy1-jRGECO1a (JAX 96 030525) on a C57BL/6J background, to enable optical imaging of the jRGECO1a fluorescent calcium sensor protein. Pups were genotyped by PCR prior to experiments to 97 98 confirm the presence of the Thy1-iRGECO1a transgene, using the forward primer 5'-5'-99 ACAGAATCCAAGTCGGAACTC-3' and reverse primer 100 CCTATAGCTCTGACTGCGTGAC-3'.

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102 Cranial window and electrode implantation

103 Mice were treated preoperatively with subcutaneous buprenorphine-SR (1.0 mg/kg), 104 meloxicam (5.0 mg/kg) and cefazolin (500 mg/kg). Mice were anesthetized with isoflurane 105 (3% induction, 1.5% maintenance). Body temperature was maintained via heating pad. 106 The scalp was shaved, sterilized with alcohol and betadine, incised at midline, and 107 retracted to expose the dorsal skull. Five brass electrode pins with 1.78mm diameter 108 flanges (DigiKey 4443-0-00-15-00-00-03-0) were attached to the intact skull surface using 109 a thin layer of silver conductive epoxy (MG Chemicals 8331D). Electrodes were 110 stereotactically centered relative to bregma (see Fig. 1a; #1, frontal: X = 0.00 mm, Y =111 4.09 mm; #2 and #3, temporo-parietal: $X = \pm 3.40$ mm, Y = 0.47 mm; #4 and #5, occipital: 112 $X = \pm 4.69$ mm, Y = -4.89 mm). A custom steel headbar was attached to the posterior skull 113 and a cranial window was formed with optically clear dental cement (C&B-Metabond, 114 Parkell Inc., Edgewood, NY). The window was rendered transparent and hardened with

clear UV-cure gel nail polish. Animals were allowed to recover from surgery for at leastone week prior to imaging.

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118 Widefield imaging system

119 Widefield imaging of neuronal dynamics (jRGECO1a fluorescence) and cerebral 120 hemodynamics (optical intrinsic signal, OIS) was performed using a modified version of a previous method²², on a Leica M205FA stereoscope fitted with a 0.63× objective to 121 capture the dorsal convexity of the cortex (a ~ 1 cm² area, binned to 128×128 pixels, 0.078 122 123 mm pixel-width). System optical spectra are depicted in **Extended Data Fig. 1**. White light LED illumination (X-Cite XYLIS) was bandpass filtered to green (525/50 nm, 124 125 Chroma) and directed through the objective at the cortex. Light reflected and emitted from 126 the brain was then separated into two channels using a 560 nm dichroic and image-127 splitting optics (Hamamatsu W-VIEW GEMINI). The OIS channel was filtered with a 128 512/25 nm bandpass and attenuated with a 5% transmission neutral density filter 129 (Chroma), optimized to capture light reflectance at the isosbestic point of hemoglobin to 130 approximate total hemoglobin concentration. The jRGECO1a red fluorescence channel 131 was filtered with a 630/92 nm bandpass filter. Both channels were detected side-by-side 132 and spatially co-registered on a single CMOS camera (Hamamatsu ORCA-Flash4.0 V3). 133 An exposure time of 50 ms was used, achieving a sampling rate of 15.7 Hz.

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135 Combined imaging and electroconvulsive therapy in mice

136 Mice were monitored with head-fixed widefield imaging while under dexmedetomidine 137 anesthesia (0.5 mg/kg, IP), which reliably achieved a plane of sedation in ~10 minutes 138 with loss of response to toe-pinch and emergence of anteroposterior ~3 Hz global slow waves that are characteristic of anesthesia^{23,24}. ECT pulses were delivered to pairs of 139 140 cranial electrodes using constant current pulse trains (described below) with an isolated 141 pulse stimulator (A-M Systems 4100). At the end of each recording session, anesthesia 142 was reversed with atipamezole (0.5 mg/kg). Similar to prior reports, seizures were noted 143 to elicit ~1-60 seconds of high amplitude, aperiodic neuronal fluorescence activity globally 144 across the cortex, as well as tonic-clonic limb and tail movements. Several pilot titrations 145 were also conducted using etomidate as well as ketamine/xylazine anesthesia, which 146 likewise demonstrated CSD after ECT seizure (data not shown); dexmedetomidine was ultimately selected because of its hemodynamic safety²⁵, reversibility, and favorable 147 148 pharmacodynamic profile as an alpha agonist, avoiding acting directly on GABAergic or 149 glutamatergic currents.

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151 To broadly survey the stimulation parameter space, n=38 ECT stimulus titrations were 152 performed, each testing a different combination of electrode spatial configuration (5 153 electrodes, 10 possible pairs), frequency (5, 10, 25, 50, 100 Hz) and pulse counts (25, 154 50, 100 pulses); see all tested conditions in **Extended Data Table 1**. All stimulation trials 155 used constant-current, bipolar square waves of 0.5 ms pulse width, similar to routine brief 156 pulse ECT in humans. Within each titration, stimulation was delivered first at 1 mA current 157 and then successively up-titrated to 2 mA, 5 mA, 10 mA, and 25 mA, akin to the 158 individualized low amplitude seizure therapy (ILAST) strategy of ECT²⁶. At each current 159 step, brain activity was monitored at baseline for 5 seconds, during stimulation, and then 160 for at least 90s, or until the sustained return of baseline anteroposterior slow waves from 161 anesthesia, before being restimulated at the next highest current step. At the current 162 threshold when seizure elicited CSD, recordings were continued for 10 minutes, and the 163 titration was terminated. Titration sessions within individual mice were spaced at least 1 164 week apart to facilitate washout of acute effects of stimulation.

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166 To control for effects of resampling the same individuals across titrations with different 167 conditions, mixed effects modeling adjusted for mouse ID. Stimulation conditions were 168 partially randomized and balanced across n=10 mice such that each mouse was treated 169 with multiple frequencies and electrode configurations in varying session order. For any 170 given electrode spatial configuration, multiple frequencies were tested; for each 171 frequency, a mixture of unilateral and bilateral electrode configurations were tested. In 172 n=4 titrations, animals were restimulated one more time to elicit a second CSD – these 173 four secondary CSDs exhibited similar intrinsic amplitude and duration to initial CSDs. 174 and were thus pooled into Figs. 2a, 2g, and 2h (n=42). Secondary CSDs were excluded 175 from analysis in Figs. 2f and 3. One titration was excluded from analysis because of 176 inadequate head-fixation leading to motion artifact that precluded accurate quantification; it was however visually scored as a right sided CSD and pooled into Fig. 2f for 177 178 completeness (n=39).

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180 Mouse widefield optical imaging signal processing

Imaging data were converted into two-channel tiff files. A binary brain mask was manually
drawn in MATLAB for each recording; all subsequent analyses were performed on pixels
labeled as brain. Image sequences from each mouse (as well as the brain mask for each

184 mouse) were affine-transformed to Paxinos atlas space using the positions of bregma 185 and lambda²⁷. A standardized mask of the stimulation electrodes was approximated using 186 Paxinos coordinates (see seizure to electrode distance permutation analysis below). 187 Right and left hemispheric divisions were segmented using a straight line drawn through midline of each image. A dark image with no illumination was subtracted from all frames, 188 189 and then data were spatially smoothed with a Gaussian filter. The iRGECO1a calcium-190 sensor fluorescence signal (%dF/F) and total hemoglobin OIS signal (%dA/A) were calculated at each pixel by subtracting and dividing the 20th percentile value from the first 191 192 4 seconds of baseline (pre-stimulation) raw signal from each recording. The total 193 hemoglobin OIS signal was multiplied by -1 (absorbance) so that positive sign changes 194 indicate increased blood volume/total hemoglobin concentration. Red-shifted 195 fluorophores have significantly reduced hemoglobin absorption artifact compared to 196 green fluorophores²². Given that fluorescence %dF/F event peaks were more than an 197 order of magnitude larger than hemoglobin %dA/A changes, we opted not to regress the 198 hemodynamic signal out of the fluorescence signal.

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200 Event detection and quantification in neuronal fluorescence data

Optical detection of cortical hemodynamics and calcium dynamics has been extensively cross-validated as a surrogate for routine electrophysiology for detecting CSD, offering rich spatial information about traveling waves.²⁸⁻³¹. The temporal bounds of seizure and CSD events were identified by taking the derivative of the root mean square of the neuronal fluorescence signal at each pixel. We then used the MATLAB function 'findchangepts' to find abrupt signal changes at the start and end of seizure and CSD 207 events. We used an event detection threshold of %dF/F signal rising greater than 20% of 208 baseline. Note, typical widefield %dF/F (fluorescence change) values are +/- 5-10% 209 during routine physiological fluctuations in a mouse. In contrast, seizure and CSD are 210 such large events that %dF/F fluorescence typically rises by 50-200%. The event 211 detection threshold of 20% was determined through trial and error to accurately identify 212 the bounds of seizure and CSD. This approach was cross-validated against the current 213 gold standard for electrographic CSD detection - visual scoring by a trained clinician³². 214 Recordings (and pixels therein) not crossing this threshold were excluded from analysis. 215 For each event (seizure or CSD) in each pixel, duration was calculated as the difference 216 between start and end times, and amplitude was calculated as the peak %dF/F value 217 between the start and end time. Then, average event amplitude was computed within 218 right hemisphere, left hemisphere, and total brain space by averaging across pixels. 219 Overall event durations were computed by comparing the event start times in the first and 220 last pixel with right hemisphere, left hemisphere, and total brain space. For CSDs, the 221 first pixel within the brain mask to have an event start time was used as a global reference 222 point for computing time to peak at other pixels for spatial maps of CSD trajectory. For 223 CSDs, the percentage of pixels from each hemisphere recruited into the event was 224 calculated. Of note, clinical seizure duration in human ECT does not consistently include 225 the 0.5-8 second stimulation period, because EEG activity cannot be measured during 226 electrical pulse delivery. Our mouse optical neuroimaging paradigm allows us to observe 227 that high amplitude cortical discharges occur during stimulus delivery; we thus included 228 this activity as part of the seizure.

230 Both seizure and CSD are well known to trigger a period of suppressed brain activity. To 231 calculate post-event suppression time, a short time Fourier transform (STFT) power 232 spectrum was determined for each pixel and for average right/left hemisphere 233 fluorescence. Hemispheric suppression endpoints were detected using the findchangepts 234 function for the fluorescence signal in each hemisphere. The suppression end point for 235 each pixel was computed by finding the inflection point of up-trending 1-3Hz power 236 (associated with return of slow waves from anesthesia) that is nearest to the respective 237 global suppression endpoint. Then, the suppression duration was computed by taking the 238 difference between the suppression end time and the event (seizure or SD) end time. 239 Suppression times were then averaged within each hemisphere.

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241 The maximum intensity seizure pixel was identified for all end-titration (i.e., CSD-242 generating) seizures (n=42) by identifying the median value within the top 99% of pixel 243 fluorescence amplitude in seizure maps. We then calculated the shortest distance 244 between the seizure peak pixel and the nearest stimulation electrode (Fig. 2i), as well as 245 the shortest distance between the seizure peak pixel and the CSD initiation reference 246 pixel (Fig. 2j). Electrode-to-seizure and seizure-to-CSD distances were averaged across 247 all 42 events. To assess the significance of these spatial relationships, a shuffle analysis 248 was conducted by randomly permuting the data and recalculating the average distances 249 for 10,000 iterations. The distribution of shuffled distances was compared to the actual 250 distances, and statistically verified by t-test with Bonferroni correction for multiple 251 comparisons.

253 Statistical comparisons of seizure and CSD phenotypes

254 For analyses below summarizing all recorded seizure and CSD events, distribution 255 normality testing was performed using the D'Agostino & Pearson test to determine use of 256 parametric or non-parametric testing. We used non-parametric Kruskal-Wallis ANOVA with Dunn's multiple comparison test for comparison of seizure laterality index between 257 258 stimulation types (Fig. 2c), as well as comparison of seizure duration (Extended Data Fig. 259 3a) and amplitude (Extended Data Fig. 3a) across CSD outcomes. Post-event 260 suppression times (Extended Data Fig. 3c,d) were compared using the parametric 261 Brown-Forsythe ANOVA with Dunnett's multiple comparison test. The relative contribution of the three types of stimulation configurations (right, left, bilateral) for right, 262 263 left and bilateral CSDs were compared using Fisher's exact test (Fig. 2f). Average CSD 264 fluorescence amplitude (Fig. 2g) and duration (Fig. 2h) were compared using the non-265 parametric Mann-Whitney test.

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267 Mixed effects model of stimulation parameter titration

268 For analyses of the effect of titrating pulse parameters (frequency, current level) on 269 seizure properties (overall duration, average mean fluorescence amplitude, Fig. 3), we 270 excluded cases that did not cross the seizure threshold in any pixels, thus excluding all 271 evoked responses at 1 mA. A mixed effects model was fit using the outcome of either 272 seizure duration or average peak fluorescence amplitude (%dF/F), transformed on a log 273 scale to achieve approximate normality. Frequency and current level were the 274 independent variables. A model including an interaction between current level and 275 frequency was fit and the interaction evaluated using an F-test with a Satterthwaite 276 correction for the degrees of freedom. If the interaction was significant (p < 0.05) the 277 model was refit for each current level and the effect of increasing frequency evaluated. 278 Specific values of frequency were replaced with integer values (levels 1,2,3,4,5 279 correspond to 5, 10, 25, 50, 100 Hz) to succinctly evaluate trends in the outcome as a 280 function of frequency. If the interaction was not significant, the procedure was repeated 281 and the main effects of frequency and amplitude were evaluated marginally, followed by 282 Wald tests of specific contrasts. Models of current and frequency effects included 283 electrode placement, animal ID, and pulse counts as covariates to adjust for imbalances 284 across conditions. Electrode placement and pulse count were tested in separate 285 sensitivity analyses to preclude convergence problems with the mixed effects models due 286 to the small number of animals. Similarly, frequency was included as an ordered variable 287 in the model with pulse count.

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289 Time-to-event Model of CSD

290 To assess how modulating pulse parameters impacts the probability of CSD after seizure, 291 we fit a Cox model substituting current level for time and including frequency as the 292 independent variable and stratification by animal ID. Current is a surrogate for time in this 293 model because an animal receives increasing current levels at each frequency until the 294 event, CSD, is observed. Once a CSD was observed, no further increases in current were 295 assessed. Frequency was considered both as an ordered variable, to assess the overall 296 trend with increasing frequency, and as a categorical variable to describe the results at 297 specific frequencies. Electrode placement is included in the models reported here and in 298 sensitivity analyses substituting pulse count for electrode frequency. Hypothesis tests of variables with individual terms are based on Wald tests, and for those with multiple
categories (electrode placement, frequency) on likelihood ratio tests (LRT). Hypothesis
tests are two-sided with a Type I error rate of 0.05, uncorrected for multiple comparisons.

303 Diffuse Optics and Vitals Monitoring in ECT Patients

304 All procedures described below were approved by the University of Pennsylvania 305 Institutional Review Board for the observational cohort study, SWEET COMBO: Studying 306 Waves Evoked by Electroconvulsive Therapy with Combined Optical Monitoring of Blood 307 flow and Oxygenation. Patients included in the study (n=18) were recruited from the pool 308 of patients already being treated with ECT at Pennsylvania Hospital (Penn Medicine 309 Health System) between February and July 2024. All participants provided written 310 informed consent to participate. Of note, participation in this cross-sectional study had no 311 impact on which patients were treated with ECT, nor in what manner. All patients were 312 treated with standard of care protocols for Pennsylvania Hospital using a Σ igma device 313 (SigmaStim).

314

During ECT treatment, in addition to routine clinical monitoring (EKG, EEG, vitals), two diffuse optical monitoring probes were placed on the forehead surface in the regions overlying right (F4) and left (F3) prefrontal cortex. The probes and optical system provide simultaneous measurement of blood flow index using diffuse correlation spectroscopy^{33,34} (DCS) and tissue oxygen saturation using frequency-domain diffuse optical spectroscopy^{34,35} (FD-DOS) from scalp, skull, and cortex underlying the probes (see **Supplemental Methods** on instrumentation)³⁴. To improve accuracy of the recovered 322 tissue oxygen saturation, FD-DOS combined data from four separations (1.5, 2, 2.5, and 323 3 cm) on the tissue surface to extract a single datapoint. For DCS flow monitoring, each 324 optical probe utilized a long and short source-detector pair that were fit independently. 325 The light from the short separation pair (1 cm) penetrates scalp and skull, while light from 326 the long separation pair (2.5 cm) probes deeper to the cortex. The optical property data 327 collected concurrently with FD-DOS was input to the DCS fitting to account for changes 328 in absorption and scattering that may otherwise confound the recovered blood flow index. 329 Briefly, FD-DOS and DCS data were fit using the semi-infinite, homogenous solutions to 330 the frequency domain-photon diffusion equation and the correlation diffusion equation, respectively. The interested reader is directed to reference³⁴ for greater detail on the 331 332 theoretical models used. In the main text, we only show blood flow obtained from the long 333 separation pair that is sensitive to cortex (example short separation data is shown in 334 Extended Data Fig. 5 and Supplemental Discussion).

335

336 Diffuse optical recordings started from a pre-stimulation baseline period and lasted for 337 several minutes after treatment; the treatment period had variable duration depending on 338 the procedure room schedule, effects of anesthesia, and patient response. Routine 339 intermittent vitals monitoring (collected at 2-minute intervals) was supplemented with 340 continuous vitals monitoring (20 second intervals) using a noninvasive finger monitor for 341 cardiac output (Acumen IQ cuff; HemoSphere monitor, Edwards)³⁶. A total of n=27 342 recordings were performed on n=17 patients (Extended Data Table 2). Fifteen of these 343 recordings were excluded because the recording signal did not meet guality control 344 standards (see **Supplemental Discussion/Methods**). The remaining n=12 recordings

345 are presented in **Fig. 4** and **Extended Data Fig. 4**. Secondary post-ictal waves of at least

346 2-minute duration, and with peak >200% of baseline blood flow and/or with >5% increase

347 above baseline brain oxygen saturation were interpreted as post-ictal CSDs.

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349

350 **Results**

351 **ECT** seizure is followed by cortical spreading depolarization in mice

We created a new mouse ECT model to facilitate optical neuroimaging of large-scale 352 353 brain activity during ECT stimulation. We used transgenic *Thy1-jRGECO1a* mice which express the red fluorescent calcium sensor jRGECO1a in excitatory neurons³⁷. Mice were 354 355 implanted with a transparent polymer window overlying the intact skull to enable optical 356 access to activity-dependent dynamics across the cortical surface. We measured 357 neuronal activity with jRGECO1a fluorescence as well as hemodynamic activity with 358 optical intrinsic signal (OIS) imaging, using green light illumination and a 1-photon 359 widefield fluorescence mesoscope (optical set-up shown in Fig. 1b, spectra shown in Extended Data Fig. 1). To facilitate concurrent electrical stimulation within the imaging 360 361 field, each mouse cranial window was implanted with five brass electrodes stereotactically 362 affixed to the skull surface overlying frontal, temporo-parietal, and occipital cortices (Fig. 363 1a). Brain activity was recorded with widefield imaging under dexmedetomidine 364 anesthesia during 153 ECT stimulation blocks. To broadly survey the stimulation 365 parameter space (see Methods and Extended Data Table 1), we controlled the 366 stimulation pulse parameters using an isolated pulse stimulator, with spatial configuration 367 varied by changing which pair of electrodes were stimulated. For each set of tested

conditions, stimulation was titrated by delivering successive rounds of pulses with 368 increasing current (1, 2, 5, 10, 25 mA, Fig. 1c) followed by a monitoring period before 369 370 restimulating.







374 a, Schematic of mouse cranial window with optical access to the dorsal cortex. Within each 375 window, five brass electrodes were attached to the intact skull overlying frontal (#1), temporo-376 parietal (#2, 3), and occipital (#4, 5) cortices.

377 b, Green-filtered illumination (525/50 nm) was projected through the microscope objective onto 378 the brain: reflected and emitted light from the brain was separated by a 560 nm dichroic mirror 379 into two spatially co-registered channels that were bandpass filtered for isosbestic point 380 hemoglobin reflectance of green illumination (512/25 nm) or iRGECO1a red fluorescence

emission (630/92 nm). Electrical stimulation was delivered via pairs of brass electrode pins using
 an isolated pulse stimulator. See Extended Data Fig. 1 and Supplemental Methods.

c, Example video frames (from **Extended Data Video 1**) of neuronal activity (jRGECO1a relative fluorescence %dF/F) in an individual mouse being stimulated with 5 Hz pulses to electrodes 1 and 4. Stimulation was titrated in successive rounds with increasing current (**c**', note rainbow color map corresponds to current titration); in each round we monitored brain activity for 5 seconds of baseline, during stimulation, and then for 90 seconds (or the resumption of baseline slow wave dynamics.). Data from each round of stimulation in the current titration are depicted in still-frame maps of cortical activity in (**c**) and time series averaged within a right hemisphere ROI (**c**").

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395

391 Representative neuronal calcium dynamics during ECT titration are shown in **Fig. 1**. Low

392 amplitude current steps (1 and 2 mA) elicited a small <15% dF/F evoked response and

then an immediate return of slow wave activity characteristic of anesthesia (**Fig. 1c**). At

394 higher current (5, 10 mA), ECT elicited seizure activity (high amplitude, irregular

396 suppression, and then return of slow wave activity. At 25 mA, seizure was followed by a

discharges generalized across the cortex), followed by 5-10 seconds of post-ictal

397 gualitatively distinct electrical event: cortical spreading depolarization (CSD, see

398 **Extended Data Video 1**, depicted as still frames in **Fig. 1c** and time series in **Fig. 1c**").

399 CSD is a slow-traveling, high amplitude wave of electrochemical depolarization that can

400 be detected with high spatiotemporal fidelity using all-optical detection of neural dynamics

401 or hemodynamics²⁸⁻³¹. Post-ictal CSD was observed as a slowly propagating, high

402 amplitude wavefront of neuronal calcium elevation that concentrically expanded across

403 the whole brain over the course of ~160 seconds, followed by a prolonged period of

404 suppressed neuronal calcium dynamics. All 38/38 ECT titration sessions reached the

405 threshold to trigger post-ictal CSD. Direct current electrocorticography (DC-ECoG) in a

406 different rodent ECT model likewise demonstrates post-ictal CSD in a current-thresholded

407 manner (see Extended Data Fig. 2, Supplemental Discussion).

Note, despite their magnitude, CSDs are virtually invisible on routine alternating current (AC)-amplified EEG, as they are physically obscured by volume conduction and spatial blurring through the scalp and skull, and are digitally hidden with high-pass filtering (>0.5 Hz)^{32,38,39}. Notably, subjecting mouse brain fluorescence data to the same >0.5 Hz highpass filtering rendered CSD waves invisible on widefield imaging, and at point measurements throughout the brain appeared as a flat line that is otherwise indistinguishable from post-ictal suppression (see **Extended Data Video 1**).

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417 Electrode configuration shapes the spatial topography of seizure and CSD

418 We then considered whether systematically varying electrode configuration would impact 419 the spatial topography of both seizure and post-ictal CSD waves. In our mouse model 420 with five electrodes, ten electrode pairs were possible for left unilateral (L, green), right 421 unilateral (R, magenta), or bilateral (BL, black) stimulation. Intuitively, we observed that 422 electrode configuration shaped the spatial topography of both the evoked seizure (Fig. 423 2a, mapping seizure fluorescence amplitude at each pixel) as well as the trajectory of the 424 subsequent CSD traveling wave (Fig. 2b, mapping time-to-peak of CSD at each pixel). 425 Bilateral electrode placement produced relatively symmetric seizures, while R or L 426 unilateral electrode placement recruited asymmetric seizure with higher amplitude in the 427 stimulated hemisphere than the contralateral hemisphere (Fig. 2c,d, L vs R p**** 428 <0.0001, L vs BL p**** <0.0001, R vs BL p** =0.0026).



430

Fig. 2. Electrode configuration shapes the spatial topography of seizure and CSD.

a, Group average maps of seizure amplitude at each pixel (%dF/F). Each panel represents one
of 10 possible pairs of 5 electrodes, averaged across multiple stimulation trials (electrode pair and
number of trials in each average below, from n=42 end-titration seizures in n=10 mice).
Fluorescence colormap is normalized to each plot's min and max; absolute values of fluorescence
are shown in c and d. Stimulated electrode pairs are depicted as colored dots. Note seizures

437 tended to localize near stimulation electrodes.

b, Example individual map of post-ictal CSD trajectory for each electrode configuration, depicting
time-to-CSD-peak at each pixel. Note that some CSDs were recruited bilaterally to the entirety of
both hemispheres, while others were recruited unilaterally to one hemisphere. Bilateral CSDs
typically had some degree of temporal lag between the two hemispheres. Colormaps are
normalized to the earliest and latest pixel, with absolute values of overall event durations shown
in h.

- 444 **c**, Asymmetry index for all detected seizures across all titrations (see Methods) evoked by right 445 (R, n=25), left (L, n=34) and bilateral (BL, n=38) electrode configurations. Asymmetry index was 446 calculated as the ratio of the left:right hemisphere maximum seizure amplitude averaged across
- 447 pixels within each hemisphere. Kruskal-Wallis test with Dunn's multiple comparison correction L
- 448 vs. R (p**** <0.0001), L vs BL (p**** <0.0001), R vs BL (p**=0.0026); Kruskal-Wallis statistic 61.83.
- 449 d, Seizure amplitude (fluorescence, (%dF/F) values averaged within the right (x-axis) and left (y-
- 450 axis) hemispheres for each event. A range of frequency and current conditions were tested (see

Fig. 3), producing a wide range of fluorescence intensities. Electrode configuration for each seizure is color coded (L green, R magenta, BL Black).

453 e, Percentage of pixels within the left hemisphere and right hemisphere where CSD was detected,

454 from n=42 recorded events. Icons depict right-sided, left-sided, and bilateral CSD event types.

455 f, Distribution of n=39 CSD outcomes resulting from right (magenta), left (green) or bilateral
 456 (black) stimulation. Fisher's exact test with null hypothesis that the distributions of electrode
 457 configurations are equal in all three CSD outcomes (p <0.0001 for 3x3 interaction).

g, Average amplitude (%dF/F) for bilateral and unilateral CSDs (selecting whichever hemisphere had the largest activation). CSD amplitude was similar whether it occurred in one hemisphere or both, via two-tailed Mann-Whitney test, p=0.3306 (U=171, n=42).

h, Overall duration of bilateral CSDs was slightly longer than that of unilateral CSDs, two-tailed
 Mann-Whitney test p**=0.0011 (U= 94, n=42). This was due to asymmetry in the start times for
 each hemisphere, illustrated in bilateral CSDs shown in b.

464 i, Average distance (pixels) of seizure peak pixel to nearest stimulation electrode (n=42 end 465 titration seizures), compared to 10,000 permutations of shuffled data, using a two-tailed t-test with
 466 Bonferroni corrected p****<0.0001.

467 j, Average distance (pixels) of seizure peak pixel to CSD initiation pixel. Same statistical approach468 as i.

469

470 In contrast to preceding seizures, post-ictal CSDs occurred in an all-or-none fashion

471 anatomically, recruiting either ~0% or ~100% of cortical pixels in a given hemisphere (**Fig.**

472 **2b,e**). Trials that evoked bilateral CSD waves were disproportionately triggered by

473 bilateral stimulation, while trials that evoked unilateral CSD waves were disproportionately

triggered by same-side unilateral electrodes (**Fig. 2f**, p < 0.0001). Bilateral and unilateral

475 CSDs tended to achieve similar fluorescence amplitude (**Fig. 2g**, p= 0.331), but bilateral

476 CSD events tended to be longer in duration (Fig. 2h, p = 0.0011), primarily because of

477 cases of asynchronous onset of multi-focal CSD between hemispheres (e.g., Fig. 2b

478 frontotemporal configuration 1,2 in panel 1, where CSD in L hemisphere peaked ~1

479 minute before the R hemisphere). CSD propagation was noted to originate variably from

480 both singleton and multiple foci, with all foci inevitably expanding to invade the entire

481 hemisphere in which they started, but never traveling across midline. Seizure, while

482 always generalized bilaterally throughout cortex, tended to exhibit highest amplitude at a

483 short distance from the stimulation electrodes (**Fig. 2a,i**, p**** <0.0001), consistent with

prior predictions from brain electric field models for various stimulation geometries⁵.
Likewise, CSD initial foci tended to occur in close spatial proximity to pixels exhibiting
peak fluorescence values during the preceding seizure (**Fig. 2b,j**, p**** < 0.0001).

487

488 **Pulse parameters modulate seizure and post-ictal CSD**

489 Next, we examined titration data to test how pulse parameters, current (mA) and 490 frequency (Hz), influence seizure and CSD. For each treatment session, individual mice 491 were assigned to a pulse frequency and spatial configuration, and then serially stimulated 492 with increasing current steps, monitoring the evoked seizures at each step with optical 493 imaging, and ending at the current threshold where seizure led to CSD. For each seizure, 494 we measured the maximum amplitude (peak fluorescence intensity averaged across all 495 brain pixels, Fig. 3a) and overall event duration across the brain (Fig. 3b). Current 496 titrations in individual mice at a fixed frequency and electrode configuration are 497 represented as individual lines in Fig. 3a,b, with titration curves ending at the current 498 threshold where the evoked seizure triggered a CSD. Some combinations of frequency 499 and current were not tested (e.g., the combination of 100 Hz stimulation at 25 mA was 500 not reached in any titration because all mice experienced CSD at lower current steps). 501 Trials that did not meet our amplitude threshold for defining a seizure (see Methods) were 502 excluded from statistical analysis. To assess how pulse current and frequency modify 503 brain outcomes, we fit a mixed effects model (see Methods), using a random term to 504 account for repeated measures from each animal and fixed effects for the assigned 505 electrode configuration and pulse count.



507Current (mA)Current (mA)508Fig. 3. Pulse current and frequency modulate evoked seizure amplitude and duration, as509well as subsequent cortical spreading depolarization. Each mouse was stimulated at fixed510pulse frequency (5, 10, 25, 50, or 100 Hz) and then current was increased in a stepwise fashion511from 1 mA through 25 mA until a CSD occurred, represented as individual titration lines in a and512b. Titration lines terminate at the CSD threshold current.

a, Seizure amplitude (fluorescence intensity, %dF/F) averaged across the whole cortex as a function of current step within each titration (individual lines). Data analyzed using mixed effects modeling to account for repeated trials on individual animals (see Methods). n is the number of titration trials at a given frequency.

b, Same seizure titrations as in a) but with y-axis measuring seizure duration (seconds), and each
 line representing the titration of an individual mouse within one of the five frequency groups. Note
 inversion of the frequency rank order (cool color progression).

520 **c**, Cumulative probability of experiencing CSD after seizure at each current step within each 521 frequency condition. See main text for results of statistical analysis.

522

523 For every mouse titration, across all tested frequencies, seizure amplitude went up with

524 increasing current (note positive slope to each titration line shown in **Fig. 3a**). The effect

525 of current differed by frequency (p<0.001 F-test, with LRT interaction vs. main effects

526 model). Increasing pulse frequency significantly increased the amplitude of the resultant

527 seizure, producing steeper slopes in titrations for higher frequency conditions of 50 and

528 100 Hz shown in **Fig. 3a**. Within individual current levels, frequency did not significantly

529 modulate seizure amplitude at low current steps (2 mA, p=0.63), but each level increase

530 in pulse frequency increased seizure amplitude by a factor of 1.2 fold, significantly so at

531 5 mA (p<0.001) and 10 mA (p=0.001), but not at 25 mA (p=0.11), see Fig. 3a. Electrode

532 placement did not significantly influence seizure amplitude, either in the interaction model

(p=0.70) or the individual models (p>0.05). In sensitivity analyses, higher pulse count did
 not significantly contribute to current and frequency effects (p=0.45 LRT). Higher
 frequency pulses thus elicit higher amplitude seizures independent of other variables.

536

In the same seizure titrations, increasing current led to increased seizure duration (Fig. 537 538 **3b.** p<0.001), in a manner that did not vary significantly by pulse frequency condition 539 (p=0.39). Compared to seizures at the 2 mA current step, seizure duration increased 2.8-540 fold at 5 mA (p<0.001), 3.5-fold at 10 mA (p<0.001) and 2.8-fold at 25 mA (p=0.005) when 541 controlling for individual frequency level and electrode placement. Somewhat surprisingly, though increasing frequency led to larger seizure amplitude, seizures became briefer. 542 543 Compared to 5 Hz stimulation, seizure duration decreased by a factor of 0.6 for 10 Hz 544 (p=0.08), by 0.4 at 10 Hz (p=0.004), and by a factor of 0.3 at 50 and 100 Hz (p<0.001). 545 Seizures generally increased in duration with increasing current, but seizures at CSD 546 threshold often had reduced duration, apparently because the expanding CSD terminated the ictal state. Frequency (p<0.001) and pulse count (p=0.006) were associated with 547 longer seizure duration. Delivery of 50 pulses yielded 1.9-fold longer seizure duration 548 549 than 25 pulses (p=0.006) and 100 pulses yielded 2.4 fold longer seizure duration than 550 25 pulses (p=0.012). Duration at pulse counts of 50 and 100 did not differ significantly 551 from each other. (p=0.49)

552

These parameters also impact the probability of generating CSD. Seizures that were
sufficient to elicit CSD had significantly higher seizure amplitudes (Extended Data Fig.
p < 0.0001 for both unilateral and bilateral CSD), but no difference in seizure duration

556 compared to seizures that do not elicit CSD. We thus asked if higher frequency stimulation 557 (which elicits higher amplitude seizures) was more like to result in a CSD. To this end, we 558 built a Cox model with current level as an analog of time (sequentially increased from 1, 559 2, 5, 10, 25 mA until CSD is achieved) and adjusting for electrode placement and pulse count. We find that increasing the stimulation frequency by one level (e.g., 5 to 10 Hz) 560 561 increased the cumulative probability of CSD 2.1-fold at any point in the titration (95% CI 562 1.6-2.7, p<0.001, Fig. 3c). Neither electrode placement (p=0.199) nor pulse count 563 (p=0.64) was associated with the probability of CSD in this model. Compared to the lowest 564 intensity 5 Hz stimulation, the probability of CSD was 16.5-fold greater at 100 Hz (p <0.001) and 3.5-fold greater, but not significantly so, at 50 Hz (p=0.23); 10 Hz (p=0.83) 565 566 and 25 Hz (p=0.18) did not differ from 5 Hz. Thus, at any current level, higher stimulation 567 frequency statistically increased the likelihood of eliciting CSD, effectively leading CSD to 568 occur earlier in a titration at lower current. Lastly, we quantified post-event suppression 569 time by measuring the time between the terminal event (seizure or CSD) and subsequent 570 return of baseline slow wave 1-3 Hz spectral power from anesthesia (Extended Data Fig. 571 **3c,d**). We observed that post-ictal suppression times were relatively brief (20-70 seconds) 572 before return of slow waves), but suppression times were significantly longer in 573 hemispheres affected by CSD (110-270s; see Figure for pairwise comparisons.)

574

575 Using concurrent hemodynamic imaging, we observed that seizure elicited a blush of 576 hyperemia. During CSD, this blush was followed by secondary traveling waves of hypo-577 and hyperperfusion, which propagated slowly in the wake of the neuronal depolarization 578 wave, consistent with prior reports^{30,40}. Intuitively, we observe that bilateral CSD 579 consistently triggers bilateral hemodynamic traveling waves (Extended Data Video 2), 580 unilateral CSD triggers hemodynamic waves in only one hemisphere (Extended Data 581 **Video 3**), and seizure without CSD generates the initial blush, but no post-ictal traveling 582 hemodynamic wave (Extended Data Video 4). In each of these videos, two ROI pixel 583 time series are presented to illustrate that CSD hemodynamic waves can occur with a 584 variable post-ictal time delay and morphology, depending on where in the brain one takes 585 a point measurement in the path of a multifocal traveling wave. Optical detection of this hemodynamic wave is a reliable biomarker of CSD in both rodents and humans^{28,40-44}. 586

587

588 Cerebral hemodynamics in human ECT patients show expected features of post-589 ictal CSD

590 Given that CSDs were reliably induced in mice across a wide range of stimulation 591 parameters, we hypothesized that CSDs could also occur in human patients receiving 592 ECT. We further hypothesized that the hemodynamic waves of post-ictal CSD could be 593 translationally detected using non-invasive diffuse optical monitoring of cerebral blood 594 flow and oxygen saturation (Fig. 4a). To this end, we measured local cerebral 595 hemodynamics using multimodal optical sensors on the forehead during routine human 596 ECT treatments in a single-center observational cohort study. Based on our observation 597 of post-ictal CSD waves in mice, we make several predictions. First, we predict that during 598 the EEG post-ictal suppression period, diffuse optical monitoring of cerebral blood flow 599 and oxygen saturation will exhibit a high amplitude, minutes-long hemodynamic surge, 600 with variable wave morphology and time delay relative to the preceding seizure, 601 consistent with prior reports on post-ictal CSD⁴⁵. Second, we predict that this post-ictal

hemodynamic wave will be dissociable from the initial ictal hyperemic blush, and that after seizures that do not elicit CSD, cerebral blood flow and oxygen saturation will return to baseline (similar to **Extended Data Video 4**). Finally, given the impact of electrode spatial configuration on the hemispheric laterality of mouse CSDs (**Fig. 2**), we predict that right unilateral ECT will elicit post-ictal hemodynamic waves that are primarily right sided (and occasionally bilateral), while bilateral stimulation will elicit primarily bilateral CSD waves.



608

Fig. 4. Cerebral hemodynamics during four ECT sessions from a single patient exhibit expected features of post-ictal CSD.

611 **a**, Diagram of diffuse optical probes (magenta on right at F4, green on left at F3), frontal EEG

612 (grey circles at FP1 and FP2), and right unilateral electrodes (yellow).

b, Simplified schematic of non-invasive diffuse optics sensors, using paired red-light sources and
 detectors to regionally measure both deep (brain) and superficial (skin, scalp) blood flow (diffuse
 correlation spectroscopy, DCS) from two source-detector separations of 1 cm and 2.5 cm and

616 oxygen saturation (frequency-domain diffuse optical spectroscopy, FD-DOS) from four source-

617 detector separations of 1.5, 2, 2.5, and 3 cm. For clarity, the co-located DCS and FD-DOS probes

are separated in the schematic. See **Methods** and **Supplemental Discussion and Methods** on

619 diffuse optics.

620 **c**, Series of four recordings on four different treatment days from the same subject receiving right

621 unilateral ECT. Note, the blood flow data shown are derived from the source-detector pair with

largest separation and thus largest depth penetration into the brain (Data at shorter separations
 are given in **Extended Data Fig. 5**). The oxygen saturation data is the result of combining data
 from all FD-DOS source-detector pairs for improved signal quality.

625

626 In this pilot study, we obtained n=12 recordings of bilateral cerebral hemodynamics during 627 right unilateral ECT (n=5 patients) and bitemporal ECT (n=5 patients), summarized in **Extended Data Table 2.** We first present recordings from four treatments on different 628 629 days from an index course of right unilateral ECT for a 50-year-old woman with treatment-630 resistant depression (Fig. 4b). All four treatments resulted in ~60s, bilateral seizures on 631 frontal EEG (grey shaded region). During seizure, relative cerebral blood flow (measured 632 with DCS long source-detector separation) increased by 200-500%. This change was 633 observed predominantly in the right hemisphere, with an associated 5-10% decrease in 634 brain %O₂ saturation (derived from the FD-DOS signal).

635

636 After the seizure ended electrographically (i.e., scored by a clinician as a flat line on EEG), 637 all four recordings exhibited an inflection point and then a distinct post-ictal wave of 200-638 600% increase in relative blood flow that lasted several minutes. Interestingly, unlike the 639 preceding seizure, this second hyperemic wave was associated with a 5-10% increase in 640 brain oxygen saturation, suggesting that the surge of blood flow kept pace with the metabolic demand of the brain^{41,45}. These regional measurements of post-ictal 641 642 hemodynamic waves exhibited variable waveform morphology and time delay relative to 643 the initial electrographic seizure. In the first three recordings, post-ictal hyperemic waves 644 were restricted to the right hemisphere (magenta lines), and in the last recording the 645 hemodynamic changes occurred bilaterally, indicating three right hemispheric post-ictal 646 events and one bilateral event. These hemispheric comparisons provide a within-subject 647 control to demonstrate that hemodynamic changes are due to local brain metabolism and 648 not to global autonomic changes. To further corroborate that these 200-600% changes in 649 blood flow index are not due to systemic circulation, we implemented non-invasive cardiac 650 output monitoring throughout the procedure. We observe that systemic blood outflow from 651 the heart was relatively constant at 5 ± 2 L/min throughout the procedure. Taken together, 652 these high-amplitude, minutes-long post-ictal waves of cerebral hyper-perfusion with 653 increased oxygen saturation and electrode-configuration-dependent hemispheric 654 laterality, are highly consistent with post-ictal CSD. Of note, over this interval, the patient 655 exhibited a relatively typical clinical response to ECT. At her pre-treatment evaluation she 656 reported intermittent suicidal ideation, anhedonia, excess sleep, feelings of 657 worthlessness, hopelessness, and low energy. After 2 weeks (6 treatments) of ECT, she 658 reported her mood, energy, and sleep had improved, and that her suicidal thoughts had 659 resolved. She denied any side effects of treatment.

660

661 We collected eight more recordings from n=8 patients (Extended Data Fig. 4) and 662 similarly observed post-ictal hyperemic waves consistent with CSD in an additional 3/3 663 recordings of right unilateral ECT as well as 4/5 recordings of bitemporal ECT. In all 664 recordings where sensor data was available bilaterally, putative CSDs occurred 665 bilaterally. This post-ictal surge in cerebral blood flow was also evident in the superficial 666 short separation DCS detectors, with variable amplitude relative to the long separation 667 DCS detectors (see Extended Data Fig. 5 and Supplemental Discussion). Finally, we 668 provide additional replication cases obtained independently at another institution using a 669 commercial continuous wave functional near infrared spectroscopy (fNIRS) instrument to 670 measure cerebral oximetry in human ECT patients (see **Extended Data Fig. 6** and 671 **Supplemental Discussion**). Thus, the hemodynamic signatures of post-ictal CSD were 672 reliably observed in three different modalities of non-invasive optical monitoring.

673

674

675 **Discussion**

In this study, we show that electroconvulsive therapy (ECT) reliably elicits post-ictal cortical spreading depolarization (CSD) in both mouse models and in human patients. This discovery unifies several previously disconnected observations: (1) that ECT induces lasting inhibitory plasticity in brain activity⁷, (2) that therapeutic response to ECT requires high energy seizures of sufficient magnitude⁴⁶, and (3) that stimulation parameters modulate seizure and clinical outcomes from ECT²⁰. Our findings suggest that strong stimulation elicits seizure of sufficient magnitude to generate post-ictal CSD.

683

684 Spreading depolarization may engage mechanisms of inhibitory plasticity that contribute 685 to the brain's clinical response to ECT. Post-ictal CSD is understudied and has primarily 686 been observed in patients with neurologic lesions (e.g., stroke and traumatic brain injury) 687 who have undergone skull-penetrating neurosurgery, thus enabling access for 688 intracranial electrocorticography (ECoG) probes typically used to detect CSD⁴⁷⁻⁴⁹. In the 689 context of injury and metabolically fragile brain tissue, CSD is known to exacerbate 690 excitotoxicity and worsen clinical outcomes. However, in the context of seizure in an 691 uninjured brain, preclinical evidence suggests that CSD may act as an intrinsic protective 692 mechanism, terminating the seizure and inducing inhibitory plasticity that prevents future

seizures^{50,51}. In a healthy brain, CSDs do not cause injury; rather, they induce growth and 693 694 plasticity genes, downregulate cell death genes, and protect against injury^{49,52-55}. Future 695 studies will explore whether CSDs directly contribute to the therapeutic benefit (or side 696 effects) of ECT. One potential clue is the observation that post-ictal blood flow waves 697 were associated with a 5-10% increase in cerebral O₂ saturation – a feature associated 698 with CSDs with preserved neurovascular coupling and adequate perfusion, in contrast to 699 the *decreased* O₂ saturation seen in CSDs from ischemic injuries⁴¹. If CSD proves to be 700 part of the therapeutic mechanism of ECT, this would invite the exciting possibility of 701 bypassing seizure to directly trigger CSD. Indeed, we find that certain stimulation 702 conditions (i.e., brief trains of high frequency pulses) more efficiently generate CSD with 703 minimal seizure duration, while low frequency pulses require higher levels of current to 704 elicit high amplitude seizure or CSD.

705

706 The inhibitory, antiseizure effects of CSD might help explain the long-known inhibitory 707 effects of ECT. ECT raises the seizure threshold in over 90% of patients⁷, requiring 708 clinicians to progressively increase stimulation intensity between treatments to maintain 709 adequate seizure¹¹. Ironically, this inhibitory effect makes ECT highly effective at treating 710 status epilepticus, particularly in super-refractory cases when maximal anti-seizure 711 pharmacotherapy has failed⁵⁶⁻⁵⁸. Furthermore, in those treated with ECT, EEG 712 demonstrates sustained increases in aperiodic power spectral density (an index of cortical 713 inhibitory tone)^{59,60}, as well as suppressed evoked measures of excitability (transcranial 714 magnetic stimulation evoked potentials)¹⁰. Human PET imaging has likewise shown that 715 ECT increases cortical GABA concentrations⁶¹, and rodent studies have demonstrated

corresponding changes in GABA release⁶² and GABA receptor mRNA levels⁶³. In the 716 717 immediate aftermath of CSD, synaptic activity is potently suppressed, initially by 718 depolarization block of axonal action potentials, then by inhibition of presynaptic neurotransmitter release due to activation of adenosine A1 receptors^{64,65}. Adenosine 719 accumulation is also observed with seizures⁶⁶ and ECT⁶⁷. Within inhibitory circuits, ECT-720 721 induced plasticity may require cell-type specific molecular regulators of circuit excitability⁶⁸ and act on excitability of single neurons⁶⁹. We hypothesize that post-ictal 722 723 CSD may contribute to these multi-scale forms of inhibitory plasticity after ECT.

724

725 To our knowledge, this is the first study to record brain activity during ECT pulse delivery. 726 We used optical recordings to circumvent electrical signal artifacts that confound routine 727 EEG monitoring during stimulation. Previous computational predictions have shown that larger evoked electric fields correlate with improved clinical response^{15,70,71}, which we 728 729 speculate may be due to increased probability of a large electric field triggering CSD. 730 Real-time recording of brain activity during ECT may prove valuable for future studies on 731 stimulus parameter optimization, just as it has for transcranial magnetic stimulation 732 (TMS)⁷²⁻⁷⁴, deep brain stimulation (DBS)^{75,76}, and ultrasound neuromodulation⁷⁷. Of note, 733 in our mouse model, we observed that high frequency stimulation at 100 Hz sometimes 734 evoked only a single high amplitude spike during pulse delivery, without persistent post-735 stimulation ictal activity. We opted to include evoked activity during stimulation as part of 736 the seizure, though the physiology governing persistent post-stimulation seizure may be 737 different than acute evoked discharges during stimulation. Both brief high amplitude 738 discharges during stimulation as well as persistent post-stimulation seizure were sufficient to generate CSD in our mouse model. We similarly observed post-ictal CSD in mouse electrocorticography recordings, consistent with prior reports⁷⁸⁻⁸⁰. In human subjects, electrographic verification of post-ictal CSD during ECT is not feasible due to lack of intracranial access for a non-invasive procedure. Optical monitoring of brain activity has been cross-validated as a surrogate for electrophysiologic CSD detection in mice and humans, providing rich spatial detail on traveling waves^{28,40-44}.

745

Post-ictal CSD has the potential to explain many epiphenomena seen in other 746 747 measurements of brain activity from ECT patients. We observed that larger seizures were 748 more likely to generate CSD in mice, which could theoretically explain why higher EEG ictal spectral power is associated with improved clinical outcomes in humans^{12,14,15}. Our 749 750 rodent data also showed longer post-event suppression time after CSD compared to 751 seizure alone, which could explain why longer suppression times are associated with 752 improved patient outcomes^{12,81} and longer latency to reorientation⁸². Extended EEG 753 recording after treatment may be necessary to validate if post-ictal suppression is 754 associated with CSD^{39,83}. Looking beyond EEG, CSD is known to produce lasting 755 changes in brain hemodynamics, which could account for why effective ECT seizures elicit lasting perfusion changes 1-hour after treatment⁸⁴ as well as on longer 756 757 timescales^{85,86}. Furthermore, we observed that right unilateral stimulation primarily 758 generated unilateral post-ictal waves. Unilateral CSD could play a role in ECT-induced 759 volumetric changes that occur preferentially in the stimulated hemisphere and correlate 760 with clinical outcomes and brain network functional connectivity changes⁸⁷⁻⁹². CSDs are 761 so named because neocortex is most accessible for recording brain activity. Indeed, this is a key limitation of our optical methods for recording cortical dynamics - spreading
 depolarization can also occur in subcortical structures, which might have important
 implications for both the clinical benefits and side effects of ECT.

765

We speculate that post-ictal CSD has been occurring undetected during the millions of treatments that have been performed over the last 86 years. In clinical practice, EEG is typically turned off after the seizure terminates, and furthermore, EEG cannot reliably detect CSDs ^{32,38} unless the skull has been penetrated^{39,93}. This is thought to be due to digital high-pass filtering of slow waveforms, as well as spatial smearing from volume conduction of CSD dynamics through the brain, skull, and scalp. Very focal CSDs with narrow-width wavefronts may be particularly challenging to detect³⁹.

773

774 Dreier et al. have proposed that non-invasive optical hemodynamic measures might prove 775 sufficient to detect CSD in human patients⁹³. Here we indeed find evidence that optical 776 features of CSD can be detected non-invasively at patient bedside. This approach to CSD 777 detection may have valuable clinical applications in neurocritical care for individuals 778 without intracranial ECoG implants. Previous studies have characterized the 779 hemodynamic features of CSD using invasive probes in the brain^{94,95}, or non-invasively 780 using functional MRI⁹⁶ and PET imaging⁹⁷. In this study we implemented an 781 investigational device that combined frequency-domain diffuse optical spectroscopy (FD-782 DOS) to measure tissue oxygenation with diffuse correlation spectroscopy (DCS) to 783 capture blood flow rate changes. Our optical probes have several limitations. Our 784 approach relied on regional point measurements over non-hair bearing skin. Future investigations using broader spatial coverage at multiple points would be needed to detect CSD propagation across a brain hemisphere. In addition, signal quality is significantly influenced by patient motion, skin temperature, and variation in skull and skin microanatomy that can influence the detected optical path distribution (see **Supplemental Discussion/Methods**). These factors can introduce systematic errors that influence absolute tissue optical properties. We have ameliorated these effects by computing relative change in blood flow and oxygenation indices within each individual.

792

793 This study implemented a new mouse model of ECT that enabled experimental control 794 over stimulation combined with real-time monitoring of brain activity with widefield 795 imaging. To model the three standard ECT electrode placements (right unilateral, 796 bitemporal, bifrontal), we attached five electrodes to the intact skull with silver-conductive 797 epoxy to test ten configurations of electrode pairs. This builds on prior innovation using 798 screw electrodes in rats, which have been shown to have superior translational validity to conventional rodent ECT with auricular (ear clip) electrodes.⁹⁸ Skull electrodes help 799 800 control for the confounding effects of current shunting through the low-resistance skin⁹⁹. 801 Indeed, we observed the use of ear clip electrodes required 5-10 times more current to 802 elicit seizure or CSD compared to skull electrodes (see **Supplement**). In addition, we 803 substituted a conventional rodent ECT device for a broadly customizable isolated pulse 804 stimulator, enabling the first systematic evaluation of ECT pulse parameters in an animal 805 model. We found that increasing pulse frequency increased seizure amplitude and 806 probability of CSD, while low frequency pulses elicited long duration seizures without 807 increased probability of CSD. This builds on prior studies showing that high frequency

electrical pulses can elicit CSD in hippocampal slices¹⁰⁰, and that low intensity stimulation 808 809 does not elicit CSD¹⁰¹. One limitation of this study is its inability to exhaustively survey the 810 virtually infinite space of pulse parameters and electrode configurations²⁰. We opted to 811 survey multiple electrode pulse parameters simultaneously. and using 812 pseudorandomization to sample stimulation conditions in a balanced fashion and linear 813 mixed effects modeling to isolate pulse parameter-specific effects in titration data. This is 814 an imperfect solution, and future investigations would benefit from systematically 815 modulating individual stimulation parameters, as well as testing for specific effects in 816 translational models of neuropsychiatric disease. Lastly, using a current titration strategy, 817 we identified that increasing pulse frequency lowered the current threshold required to 818 elicit a seizure of a given magnitude. This has important ramifications for novel ECT protocols that modulate current amplitude^{26,71,102}. Future investigations should explore 819 820 whether high frequency stimulation is a more current-sparing approach of achieving 821 therapeutic efficacy. This study thus provides a translational framework for measuring 822 brain activity to optimize ECT stimulation parameters and precisely control seizure and 823 post-ictal CSD. These findings further highlight opportunities to modernize ECT and 824 directly target treatment-induced mechanisms of brain plasticity.

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832 Author Contributions:

- All authors helped with data interpretation and editorial feedback on the paper.
- 834 ZPR conceptualized overall project; designed, performed, and analyzed results of
- 835 mouse experiments; generated Penn IRB protocol, collected and analyzed human data;
- 836 wrote original manuscript, produced figures; contributed funding.
- **JBM** contributed to diffuse optics method development, human data collection and
- 838 analysis, and text in the original manuscript
- AS contributed to Penn mouse imaging data analysis and text in the original manuscript
- 840 **DKQ** designed and performed UNM human recordings
- 841 **BEL** contributed UNM mouse recordings and text in the original manuscript.
- 842 **MEP** conceptualized and implemented time-to-event and linear mixed effects modeling
- and contributed text in the original manuscript.
- 844 **AK** contributed to the statistical analysis presented in the original manuscript.
- 845 **CGF** contributed to methodological development of human studies and IRB protocol.
- 846 **WBB** contributed to conceptualization and methods development for diffuse optics.
- 847 **GH** is an ECT clinician who helped supervise human data collection.
- **JPR** is an ECT clinician who helped supervise human data collection.
- 849 **MAC** is an ECT clinician who helped supervise human data collection and advise on 850 project conceptualization.
- 851 **YIS** helped supervise development of human studies and funding acquisition.
- 852 **CWS** conceptualized and supervised UNM mouse experiments.
- 853 **CCA** is an ECT clinician and conceptualized and supervised UNM human case series.
- 854 **AGY** contributed diffuse optics resources for human recordings, method development,
- data analysis, postdoctoral supervision and funding support of JBM.
- 856 **EMG** contributed to method development of mouse ECT model and widefield imaging,
- editorial supervision of original manuscript, postdoctoral supervision of ZPR.
- 858

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872

873 **Competing Interests:** No financial conflicts or completing interests to disclose.

875 Data Availability Code used in this project will be uploaded to the Goldberg Lab github 876 repository (<u>https://github.com/GoldbergNeuroLab</u>) and data will be uploaded to gnode 877 (<u>https://gin.g-node.org/GoldbergNeuroLab</u>).

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