Circulation: Heart Failure

ORIGINAL ARTICLE

Absence of Kidney Tubular Injury in Patients With Acute Heart Failure With Acute Kidney Injury

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BACKGROUND: Worsening renal function (WRF) is common in hospitalized patients being treated for acute heart failure. However, discriminating clinically significant WRF remains challenging. In patients hospitalized with acute heart failure, we evaluated if blood and urine biomarkers of cardiac and kidney dysfunction were associated with adverse outcomes.

METHODS: We identified 175 of 927 participants in the AKINESIS study (Acute Kidney Neutrophil Gelatinase-Associated Lipocalin Evaluation of Symptomatic Heart Failure Study) who met criteria for stage 1 or 2 Kidney Disease: Improvement Global Outcomes acute kidney injury during the first 3 days of hospitalization. We measured 24 blood and urine biomarkers from specimens collected within 24 hours of meeting acute kidney injury criteria. The primary composite outcome consisted of worsening WRF (higher acute kidney injury stage), need for dialysis, or death at 30 days. Biomarkers' association with the composite outcome was assessed with logistic regression by tertiles and area under the curve (AUC).

RESULTS: Of the 175 participants, 32 (18%) developed the primary composite outcome. Only history of chronic kidney disease was significantly different between those with and without the composite outcome. The highest tertile of plasma Gal-3 (galectin-3) and urine epidermal growth factor were associated with increased odds of the composite outcome compared with the lowest tertile in unadjusted analyses. After adjusting for serum creatinine, systolic blood pressure, and blood urea nitrogen, only the highest tertile of Gal-3 was associated with greater odds of the composite outcome (odds ratio, 4.6 [95% CI, 1.4–16.0]). Gal-3 had the highest AUC (0.70 [95% CI, 0.58–0.82]), while epidermal growth factor had a lower AUC (0.63 [95% CI, 0.53–0.74]). Notably, urine biomarkers of kidney tubule injury were not associated with the composite outcome.

CONCLUSIONS: Tubular injury does not occur in most patients with acute heart failure experiencing WRF, consistent with the functional mechanisms of WRF in this patient population.

REGISTRATION: URL: https://www.clinicaltrials.gov/study/NCT01291836?term=NCT01291836&rank=1; Unique identifier: NCT01291836.

Key Words: acute kidney injury ■ blood pressure ■ epidermal growth factor ■ galectin 3 ■ kidney

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Worsening renal function (WRF) is common in hospitalized patients being treated for acute heart failure (AHF), but its clinical significance is unclear. Longitudinal increases in serum creatinine are common in AHF, are often the result of reversible hemodynamic changes or drug effects, and are not consistently associated with adverse clinical outcomes when adequate decongestion is achieved concurrently.[1–3] However, in the 5% to 15% of patients with AHF without adequate decongestion, the presence of significant tubular injury

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WHAT IS NEW?

- In a prospective observational study, among 24 kidney and cardiac biomarkers, only Gal-3 (galectin-3) and epidermal growth factor were associated with the primary composite outcome of worsening renal failure, death, or renal replacement therapy within 30 days.
- Epidermal growth factor was no longer associated with progression to the primary outcome after multivariate adjustment.

WHAT ARE THE CLINICAL IMPLICATIONS?

- The lack of association of kidney biomarkers with adverse kidney outcomes suggests that tubular injury does not occur in most patients with worsening renal function in acute heart failure.
- Elevations in creatinine are most likely caused by hemodynamic changes, and concurrent adverse outcomes are not a result of tubular injury but likely cardiac or noncardiorenal pathology.
- Serum Gal-3 may be able to discriminate high-risk individuals with acute kidney injury and, along with urine epidermal growth factor, warrants further evaluation for the prognostication of worsening renal function in acute heart failure.

Nonstandard Abbreviations and Acronyms

A1M	alpha 1-microglobulin
ACR	albumin-to-creatinine ratio
AGT	angiotensinogen
AHF	acute heart failure
AKINESIS	Acute Kidney Injury Neutrophil Gelatinase-Associated Lipocalin Evaluation Of Symptomatic Heart Failure Study
AUC	area under the curve
BNP	B-type natriuretic peptide
BUN	blood urea nitrogen
CCL-14	C-C motif chemokine ligand 14
CKD	chronic kidney disease
CRP	C-reactive protein
EGF	epidermal growth factor
Gal-3	galectin-3
IGFBP	insulin-like growth factor-binding protein
KIM-1	kidney injury molecule-1
LFABP-1	liver-type fatty acid-binding protein-1
MCP-1	monocyte chemotactic protein-1
OR	odds ratio
RRT	renal replacement therapy
TIMP-2	tissue inhibitor of metalloproteinases-2
UMOD	uromodulin
WRF	worsening renal function
YKL-40	chitinase-3-like protein-1

has been associated with increased mortality.[4] This suggests that people with WRF in the setting of AHF represent a heterogeneous group, with many having benign hemodynamic changes in kidney function, while others may have intrinsic kidney injury.

New blood and urine biomarkers that reflect kidney injury or dysfunction within the tubules have been discovered.[5] In other settings, these biomarkers strongly predict subsequent loss of kidney function, incident development of heart failure, cardiovascular events, and other adverse clinical events.[5–9] However, while some studies have evaluated tubular injury biomarkers for predicting incident WRF and adverse outcomes in AHF, few studies have assessed the role of novel biomarkers for the prediction of WRF progression, and there are limited tools for determining which patients will progress or develop other adverse outcomes.[3,10–13] Additionally, there are multiple other novel kidney and cardiac biomarkers reflecting injury, function, inflammation, fibrosis, and repair that have not been evaluated for the progression of WRF in AHF.[5]

The AKINESIS study (Acute Kidney Injury Neutrophil Gelatinase-Associated Lipocalin Evaluation of Symptomatic Heart Failure Study) is an international multicenter prospective cohort of patients hospitalized with AHF.[10] All clinically measured serum creatinine values for the hospitalization were recorded, while blood and urine specimens for biomarker analysis were collected during the first 3 days of hospitalization. In this analysis, we evaluated multiple biomarkers of glomerular and tubular function and injury, in addition to cardiac

biomarkers, in the subgroup of patients with AHF with WRF from AKINESIS.

METHODS

The study was approved by international review boards at each site, and each patient provided and signed informed consent. On reasonable request, anonymized data may be shared with other researchers.

Study Population

The original study design of AKINESIS has been described previously.[10] Briefly, AKINESIS enrolled 927 patients at 16 sites in the United States and Europe. Patients were enrolled if they had findings consistent with AHF and had received or planned receipt of intravenous diuretic therapy. Exclusion criteria were (1) acute coronary syndrome, (2) dialysis dependence or planned initiation during the hospitalization, (3) organ transplantation, (4) enrollment in a drug treatment study within the past 30 days or prior enrollment in AKINESIS, and (5) pregnant or vulnerable populations determined by the institutional review board. Blood and urine specimens were collected and stored

at 6 time points. The first specimen was collected on the day of enrollment within 2 hours of the first intravenous diuretic dose. The second specimen was collected 2 to 6 hours later. The third, fourth, and fifth specimens were collected on hospital days 1, 2, and 3, respectively. The sixth specimen was collected on the day of discharge or anticipated discharge. The last 4 collections were not timed with diuretic administration.

In the present analysis, we identified 175 patients meeting the criteria for stage 1 or 2 Kidney Disease: Improving Global Outcomes 2012 acute kidney injury (AKI) creatinine criteria within 72 hours of admission, all of whom had serial serum creatinine measurements available and had stored urine and plasma specimens collected within 24 hours of meeting the WRF criteria.[14] Of these, 168 met stage 1 criteria, defined as a serum creatinine increase of ≥0.3 mg/dL within 48 hours or 1.5× increase within 7 days from baseline, and 7 met stage 2 criteria, defined as a serum creatinine increase of 2.0 to 2.9× from baseline.

Laboratory Measurements

For biomarker measurements, between 81.1% and 93.1% of measurements were performed with specimens collected on the day of AKI diagnosis. When a specimen was unavailable on the day of AKI diagnosis, a measurement from a specimen collected on the day before, after, or both times was used, and this value (or average of 2 values) was used. Further details on the missingness of specimens for each biomarker and specimen measurements are provided in the Supplemental Methods (Table S1).

Plasma cystatin C, Gal-3 (galectin-3), high-sensitivity cardiac troponin I, and BNP (B-type natriuretic peptide) were measured with the Architect ci4100 analyzer (Abbott Diagnostic, Wiesbaden, Germany). Plasma neutrophil gelatinase-associated lipocalin was measured with the Alere Triage platform. Biomarkers of plasma CRP (C-reactive protein), sodium (Na), blood urea nitrogen (BUN), and the urinary biomarkers of sodium, urea, and albumin were measured using the Alinity ci-series platform. Fractional extraction of urea and sodium were calculated as previously described.[15,16] Biomarkers measured in urine using the Luminex 200 platform (Luminex, Austin, TX) with kits produced by R&D Systems (Minneapolis, MN) included A1M (alpha 1-microglobulin), IGFBP-1 (insulin-like growth factor-binding protein-1), IGFBP-7, KIM-1 (kidney injury molecule-1), MCP-1 (monocyte chemoattractant protein-1), and YKL-40 (chitinase-3-like protein-1).

Urinary biomarkers measured using ELISA included AGT (angiotensinogen), TIMP-2 (tissue inhibitor of metalloproteinases-2), LFABP-1 (liver fatty acid-binding protein-1), UMOD (uromodulin), CCL-14 (C-C motif chemokine ligand 14), and epidermal growth factor (EGF). All ELISA and Luminex assays were conducted in duplicate with blinding to clinical data. Further details of the assays, including the limit of detection and coefficient of variation values, are described in Table S2.

Outcomes

The primary outcome was a composite outcome defined as progression to a higher WRF stage, renal replacement therapy (RRT) requirement, or death within 30 days of hospital admission. We also examined a kidney-specific outcome of WRF progression to a higher WRF stage or RRT within 30 days as a secondary outcome.

Statistical Analysis

Normally distributed continuous variables were expressed as means with SDs; non-normally distributed variables were described as medians and interquartile ranges (IQRs); and categorical variables were described as percentages. Groups were compared using the Student *t* test, Mann-Whitney *U* test, or χ^2 test comparing progressors versus nonprogressors, as appropriate.

The primary analysis evaluated the association of each biomarker by tertiles on the day of WRF diagnosis with the primary outcome using multivariable logistic regression. In the primary analysis, biomarkers were adjusted for the following clinical variables chosen a priori based on the prior literature: systolic blood pressure, serum creatinine, and serum BUN.[17–19] In the secondary analysis, a smaller sample size was available, and biomarkers were adjusted for systolic blood pressure and serum BUN. The added value of a biomarker was determined using the adjusted odds ratio (OR) of the highest versus the lowest biomarker tertile. The discrimination performance of biomarkers as continuous variables was analyzed using the area under the curve (AUC). The optimal biomarker sensitivity and specificity levels were determined using the threshold of the minimum distance from the top-left corner of the AUC curves. In addition, we used the integrated discrimination improvement and the category-free net reclassification index to assess the predictive value that biomarkers add to the clinical models. Urinary biomarkers, except for albumin-to-creatinine ratio (ACR) and fractional excretion biomarkers, were adjusted for urinary creatinine to account for urinary tonicity. All biomarkers were right-skewed and were $\log_2$ transformed before analysis. All biomarkers were also assessed for linearity with restricted cubic splines, and only Gal-3 and ACR were found to have nonlinear associations. As a sensitivity analysis, Gal-3 and ACR were modeled as restricted cubic splines using 3 or 4 knots, and the results were compared with those using tertiles.

Analyses were performed using R, version 4.0.2 (R Foundation for Statistical Computing, Vienna, Austria). Statistical comparisons used a threshold of *P*<0.05 to determine significance. Additional details are provided in the Supplemental Materials.

RESULTS

Baseline Characteristics

Of the 175 patients with stage 1 or 2 WRF, 32 (18%) developed the primary composite outcome. Twenty-four reached a higher WRF stage, of whom 13 progressed from stage 1 to stage 2, 10 from stage 1 to stage 3, and 1 patient from stage 2 to stage 3. RRT was required in 3 patients, and 14 died within 30 days of admission. Individuals who had the primary composite outcome more often had a reported history of chronic kidney disease (CKD) at baseline (Table 1). They tended to have a history of anemia, lower admission systolic blood pressure, and lower admission serum sodium concentration. Admission estimated glomerular filtration rate and serum BUN were not significantly different between groups. Among the 24 patients who progressed to the secondary

Table 1. Baseline Characteristics in Individuals With and Without the Primary Composite Outcome

	No composite outcome (n=143)	Progression to the composite outcome (n=32)	P value
Age, y; mean (SD)	69.0 (12.6)	71.7 (17.6)	0.32
Male, n (%)	91 (63.6)	17 (53.1)	0.32
Black ethnicity, n (%)	49 (34.3)	10 (31.2)	0.84
Heart rate, beats/min; mean (SD)	87.8 (22.1)	88.2 (16.5)	0.92
Systolic blood pressure, mm Hg; mean (SD)	147.0 (30.9)	135.6 (30.3)	0.06
Diastolic blood pressure, mm Hg; mean (SD)	82.5 (22.4)	78.2 (21.1)	0.33
Medical history			
CAD, n (%)	75 (52.4)	21 (65.6)	0.24
Arrhythmia, n (%)	58 (40.6)	15 (46.9)	0.56
Hypertension, n (%)	121 (84.6)	27 (84.4)	1.00
CVA, n (%)	23 (16.1)	3 (9.4)	0.42
PAD, n (%)	2 (1.4)	1 (3.1)	0.46
COPD, n (%)	33 (23.1)	9 (28.1)	0.65
CKD, n (%)	39 (27.3)	16 (50.0)	**0.02**
Anemia, n (%)	27 (18.9)	11 (34.4)	0.06
Tobacco use, n (%)	23 (16.1)	3 (9.4)	0.42
Diabetes, n (%)	78 (54.5)	17 (53.1)	1.00
Hyperlipidemia, n (%)	81 (56.6)	19 (59.4)	0.85
Medications before admission			
Beta-blockers, n (%)	138 (95.1)	29 (90.7)	0.51
ACE inhibitor/ARB, n (%)	62 (43.4)	15 (46.9)	0.84
Diuretics, n (%)	88 (61.5)	24 (75.0)	0.22
Medications started			
ACE inhibitor/ARB	21 (14.7%)	5 (15.6%)	0.99
Hemoglobin, g/dL [IQR]	11.3 [9.4, 13.1]	10.4 [9.0, 12.1]	0.11
BNP, ng/L [IQR]	627.7 [238.9–1159.9]	465.6 [259.4–1042.7]	0.79
Troponin I, ng/mL; median [IQR]	34.1 [16.5–84.2]	34.2 [24.3–116.4]	0.29
Creatinine, mg/dL [IQR]	1.3 [1.0–1.7]	1.4 [1.0–1.9]	0.71
BUN, mg/dL [IQR]	25 [19–40]	29 [20–52]	0.47
Sodium, mmol/L [IQR]	140 [137–142]	138 [136–140]	0.06
eGFR, mL/(min·1.73 m²) [IQR]	51 [38–69]	46 [29–68]	0.40

Bold values have a *P* value <0.05.

ACE indicates angiotensin converting enzyme; ARB, angiotensin receptor blocker; BNP, brain natriuretic peptide; BUN, blood urea nitrogen; CABG, coronary artery bypass graft; CAD, coronary artery disease; CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; CVA, cerebral vascular accident; eGFR, estimated glomerular filtration rate; IQR, interquartile range; PAD, peripheral arterial disease; and PCI, percutaneous coronary intervention.

outcome of WRF or RRT in 30 days, admission demographics, comorbidities, medications, and baseline laboratory values were similar between groups (Table S3).

Biomarker Levels in Individuals With and Without Outcomes

Of the biomarkers measured at the time of WRF diagnosis, only 2 differed significantly between those with and without the primary composite outcome: plasma Gal-3 with levels of 39.5 ng/mL (IQR, 25.0–63.7 ng/mL) in progressors versus 26.9 ng/mL (IQR, 20.6–34.0 ng/mL) in nonprogressors (P<0.001), and urinary EGF with levels of 14.2 ug/g Cr (IQR, 6.7–31.9 ug/g Cr) in progressors versus 6.6 ug/g Cr (IQR, 2.3–19.6 ug/g Cr; P=0.049) in nonprogressors were significantly different (Table S4; Figure S1). All other blood and urine biomarkers were similar between those with and without the primary composite outcome. Similarly, plasma Gal-3 and urinary EGF were significantly higher in those who progressed to the secondary outcome versus nonprogressors (Table S5; Figure S2).

Prognostic Utility of Biomarkers for the Primary Composite Outcome

In a multivariable logistic regression model adjusting for SBP, serum creatinine, and BUN at the time of WRF, only plasma Gal-3 was significantly associated with higher odds of the primary composite outcome (OR, 4.6 [95% CI, 1.4–16] for the highest versus the lowest biomarker tertile; Table 2; Figure). The highest tertile of urinary EGF was associated with higher odds of WRF progression in unadjusted analysis (OR, 4.2 [95% CI, 1.2–19.8]; P=0.040); however, while the association was of similar magnitude, it was no longer significant after adjusting for SBP, serum creatinine, and BUN (OR, 3.5 [95% CI, 0.9–16.9]; P=0.079). When plasma Gal-3 and urine ACR were modeled with restricted cubic splines in a sensitivity analysis, the results were similar to those described above.

When we evaluated the secondary outcome of progression to a higher stage of WRF or RRT, higher plasma Gal-3 was the only biomarker associated with greater odds of this event (OR, 3.5 [95% CI, 1.1–12.4]; P=0.041; Table S6; Figure S3) after adjusting for SBP, serum creatinine, and BUN.

Biomarker Discrimination for Outcomes

Plasma Gal-3 had good discrimination for development of the primary composite outcome with an AUC of 0.70 (95% CI, 0.58–0.82; Table 3). Plasma Gal-3 performed best as a negative predictor with a NPV of 89.8% versus a PPV of 39.6%. Urine EGF had fair discrimination with an AUC of 0.63 (95% CI, 0.53–0.74). This biomarker

Table 2. Odds of Developing the Primary Composite Outcome of Progression to a Higher Stage of Worsening Renal Function, Renal Replacement Therapy, or Death Within 30 d in the Highest vs the Lowest Tertile of Biomarkers in Unadjusted and Adjusted Logistic Regression in Individuals With Stage 1 or 2 Acute Kidney Injury in the AKINESIS Study

Plasma biomarkers (tertiles 3 vs 1)	Unadjusted OR (95% CI)	P value	Adjusted* OR (95% CI)	P value	Post-test LR χ²	LR P value†
Galectin-3	**4.6 (1.8–12.9)**	**0.002**	**4.6 (1.4–16.0)**	**0.012**	**20.41**	**<0.001**
BNP	0.8 (0.3–2.2)	0.716	0.7 (0.2–1.8)	0.420	6.46	0.907
Creatinine	2.7 (1.1–7.4)	0.041	3.4 (0.5–26.9)	0.231	6.44	0.137
NGAL	2.6 (0.9–8.0)	0.079	1.9 (0.5–6.8)	0.324	6.59	0.7
Troponin I	2.4 (0.9–6.7)	0.089	2.3 (0.9–6.6)	0.108	8.72	0.131
Cystatin C	1.7 (0.5–5.8)	0.347	3.8 (0.8–19.1)	0.094	3.12	0.963
BUN	1.3 (0.5–3.2)	0.553	0.3 (0.0–1.8)	0.191	6.44	0.797
Urine biomarkers (tertiles 3 vs 1)						
EGF	**4.2 (1.2–19.8)**	**0.040**	3.5 (0.9–16.9)	0.079	10.30	0.114
KIM-1	0.7 (0.2–2.0)	0.513	0.7 (0.2–2.0)	0.482	8.58	0.377
CCL-14	2.4 (0.7–9.8)	0.171	2.3 (0.6–9.8)	0.215	8.73	0.335
Creatinine	0.3 (0.1–1.0)	0.056	0.4 (0.1–1.2)	0.118	7.79	0.286
IGFBP-1	2.1 (0.7–6.8)	0.184	2.2 (0.7–8.1)	0.196	9.38	0.209
IGFBP-7	2.7 (0.9–9.3)	0.088	2.2 (0.7–8.0)	0.186	9.49	0.194
TIMP-2	2.2 (0.7–7.6)	0.201	1.9 (0.6–7.0)	0.284	9.74	0.164
TIMP-2×IGFBP-7	1.2 (0.4–3.4)	0.728	1.3 (0.4–3.7)	0.665	8.30	0.481
ACR	2.4 (0.7–9.7)	0.177	2.2 (0.6–8.9)	0.246	5.81	0.923
AGT	0.9 (0.2–3.1)	0.807	1 (0.2–3.7)	0.953	7.80	0.984
MCP-1	2.5 (0.7–10.1)	0.158	2.1 (0.6–8.7)	0.264	8.48	0.411
A1M	0.8 (0.2–2.4)	0.655	0.5 (0.1–1.9)	0.351	8.12	0.57
LFABP-1	0.6 (0.2–2.4)	0.505	0.7 (0.1–2.6)	0.547	7.84	0.842
Uromodulin	1.9 (0.6–6.8)	0.269	1.6 (0.5–5.8)	0.464	10.13	0.127
NGAL	1.2 (0.4–3.2)	0.778	0.9 (0.3–2.7)	0.827	6.73	0.777
YKL-40	0.8 (0.2–2.6)	0.650	1 (0.3–3.5)	0.954	8.44	0.423
Ratios (tertiles 3 vs 1)						
FEUrea	0.6 (0.2–2.1)	0.429	0.7 (0.2–3.0)	0.614	5.81	0.942
FENa	2.1 (0.6–8.5)	0.254	1.7 (0.5–7.2)	0.416	6.33	0.469

Bold values have a P value <0.05.

A1M indicates alpha 1-microglobulin; ACR, albumin-to-creatinine ratio; AGT, angiotensinogen; AKINESIS, Acute Kidney Injury Neutrophil Gelatinase-Associated Lipocalin Evaluation Of Symptomatic Heart Failure Study; BNP, brain natriuretic peptide; BUN, blood urea nitrogen; CCL-14, chemokine ligand 14; EGF, epidermal growth factor; FENa, fractional excretion of sodium; FEUrea, fractional excretion of urea; IGFBP-1, insulin-like growth factor-binding protein-1; IGFBP-7, insulin-like growth factor-binding protein 7; KIM-1, kidney injury molecule-1; LFABP-1, liver-type fatty acid-binding protein-1; LR, likelihood ratio; MCP-1, monocyte chemotactic protein-1; NGAL, neutrophil gelatinase-associated lipocalin; OR, odds ratio; TIMP-2, tissue inhibitor of metalloproteinases-2; and YKL-40, chitinase-3-like protein-1.

*Adjusted for systolic blood pressure, serum creatinine, and BUN at the time of acute kidney injury diagnosis.

†Likelihood ratio: χ² test.

also performed better for its negative predictive value than its positive predictive value. Urine ACR also had fair discrimination with an AUC of 0.68 (95% CI, 0.55−0.81). The other biomarkers studied had poor to fair AUCs of 0.6 or lower (Table 3).

Plasma Gal-3 was the only biomarker to improve reclassification for both the category-free net reclassification index and integrated discrimination improvement (Table S7). The significant improvement in category-free net reclassification index (0.52 [95% CI, 0.14−0.89]; P=0.007) was driven by strong prediction of nonevents with a net reclassification index for nonevents of 0.33 (95% CI, 0.17−0.48; P<0.001). Plasma troponin I, urinary TIMP-2, and urinary IGFBP-7 also reclassified

individuals from having the primary composite outcome to not having it, as seen in the significant net reclassification index for events for these biomarkers. Only urinary LFABP-1 was able to correctly reclassify individuals from not having WRF progression to having WRF progression.

DISCUSSION

The pathogenesis and outcomes for WRF in AHF are heterogeneous. While most individuals experience WRF due to hemodynamic or drug effects (eg, diuretics and vasodilators), some individuals have intrinsic kidney injury and ongoing worsening of kidney function that increases the risk for other adverse events. As a glomerular filtration
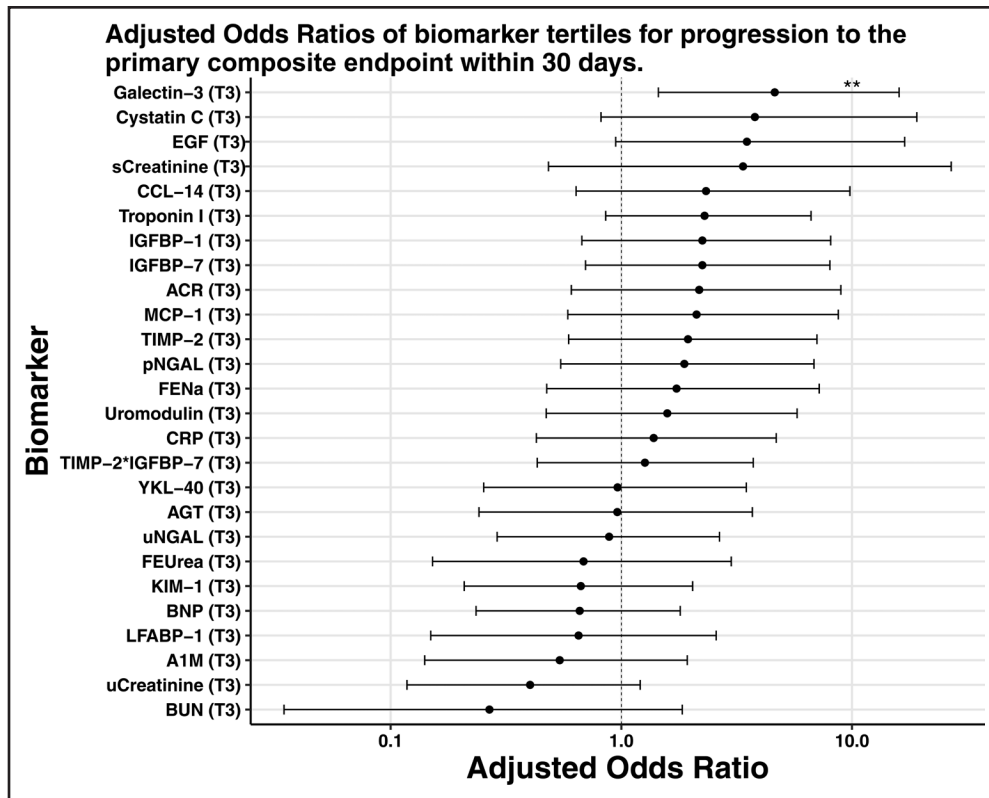
Figure. Adjusted odds ratios of the highest tertile vs lowest biomarker tertile for progression to the primary composite end point within 30 days.

Odds ratio are adjusted for systolic blood pressure, serum creatinine and BUN. **$P<0.05$. A1M indicates alpha 1-microglobulin; ACR, albumin-to-creatinine ratio; AGT, angiotensinogen; BNP, brain natriuretic peptide; BUN, blood urea nitrogen; CCL-14, chemokine ligand 14; CRP, C-reactive protein; EGF, epidermal growth factor; FENa, fractional excretion of sodium; FEUrea, fractional excretion of urea; IGFBP-1, insulin-like growth factor-binding protein-1; IGFBP-7, insulin-like growth factor-binding protein 7; KIM-1, kidney injury molecule-1; LFABP-1, liver-type fatty acid-binding protein-1; MCP-1, monocyte chemotactic protein-1; pNGAL, plasma neutrophil gelatinase-associated lipocalin; sCreatinine, serum creatinine; TIMP-2, tissue inhibitor of metalloproteinases-2; uCreatinine, urinary creatinine; uNGAL, urine neutrophil gelatinase-associated lipocalin; and YKL-40, chitinase-3-like protein-1.

marker, serum creatinine cannot distinguish these 2 causes of WRF, as it will longitudinally increase in both scenarios. In this analysis of 175 patients with AHF who developed WRF within 72 hours of hospital admission, we evaluated 24 blood and urine biomarkers of cardiac and kidney pathophysiology to determine whether they were associated with risk of progressing to a higher stage of WRF, need for RRT, or death in 30 days and beyond creatinine-based kidney function at the time of WRF diagnosis. Of the urine biomarkers, we found only the highest tertile of urine EGF was associated with progression of WRF in unadjusted analysis, but this association was attenuated and no longer significant after adjusting for SBP, serum creatinine, and BUN. Of blood biomarkers, the highest tertile of plasma Gal-3 was significantly associated with a higher odds of WRF progression in unadjusted and adjusted analyses. Our findings from a range of novel cardiorenal biomarkers in a large and well-characterized cohort reaffirm that WRF in AHF is largely a functional syndrome, with a lack of kidney tubular injury in most cases.

While early studies suggested WRF in AHF was associated with adverse outcomes, multiple studies have shown the majority of WRF results from hemodynamically mediated functional changes such as blood pressure lowering, congestion with venous hypertension, alterations in glomerular efferent and afferent arteriolar tone, decongestion, hemoconcentration, and use of medications that impact kidney blood flow.[1,2,20,21] These processes exert hemodynamic effects on the glomerulus and filtration of serum creatinine, but do not necessarily lead to injury of the kidney tubules. In AHF, as long as adequate decongestion is achieved, it appears that WRF is not strongly associated with adverse outcomes at the group level.[1,2,20,21] However, 5% to 15% of patients in these studies experience WRF without an obvious hemodynamic cause for WRF and are at the greatest risk of death and HF readmission. This has prompted research to find potentially clinically undetected kidney processes, such as tubular injury or dysfunction or acute cardiac dysfunction or injury changes that may both relate to WRF progression and to a less effective response to decongestion therapies, driving these outcomes. Prior work by our group and others on a limited number of tubular injury biomarkers did not show any substantial

Table 3. **Plasma and Urine Kidney Tubule Injury and Function Biomarkers Performance for the Discrimination of the Primary Composite Outcome of Progression to Higher Stage of Worsening Renal Function, Renal Replacement Therapy, or Death at the Time of Developing Worsening Renal Function by Area Under the Curve, Sensitivity, and Specificity in Hospitalized Patients With Acute Heart Failure**

Blood biomarkers	n	AUC (95% CI)	Sens	Spec	PPV	NPV
Galectin-3	175	0.70 (0.58–0.81)	59.4	79.7	39.6	89.8
Troponin I	175	0.59 (0.49–0.69)	65.6	51.0	23.1	86.9
NGAL	175	0.59 (0.49–0.70)	75.0	45.5	23.5	89.0
BUN	175	0.56 (0.44–0.68)	56.2	60.8	24.3	86.1
CRP	127	0.55 (0.41–0.69)	70.0	45.8	19.4	89.1
BNP	175	0.51 (0.40–0.62)	56.2	57.3	22.8	85.4
Cystatin C	127	0.50 (0.35–0.65)	30.0	90.7	37.5	87.4
Urine biomarkers						
ACR	129	0.68 (0.55–0.81)	63.2	70.9	27.3	91.8
EGF	139	0.63 (0.53–0.74)	57.1	66.1	23.1	89.7
Uromodulin	139	0.60 (0.46–0.73)	42.9	80.5	28.1	88.8
IGFBP-7	139	0.60 (0.47–0.73)	52.4	72.0	25.0	89.5
Creatinine	174	0.60 (0.49–0.70)	66.7	59.0	25.3	89.5
TIMP-2	139	0.59 (0.46–0.71)	57.1	61.9	21.1	89.0
KIM-1	139	0.59 (0.45–0.73)	66.7	54.2	20.6	90.1
CCL-14	139	0.59 (0.46–0.72)	81.0	44.1	20.3	92.9
IGFBP-1	139	0.58 (0.44–0.72)	52.4	72.0	25.0	89.5
MCP-1	139	0.56 (0.43–0.70)	47.6	66.9	20.4	87.8
LFABP-1	139	0.55 (0.42–0.67)	71.4	51.7	20.8	91.0
NGAL	174	0.54 (0.42–0.65)	63.3	51.4	21.3	87.1
A1M	139	0.52 (0.38–0.66)	47.6	65.3	19.6	87.5
AGT	139	0.52 (0.4–0.65)	76.2	37.3	17.8	89.8
YKL-40	139	0.51 (0.39–0.64)	47.6	58.5	16.9	86.2
TIMP-2×IGFBP-7	139	0.51 (0.38–0.65)	47.6	66.9	20.4	87.8
Ratios						
FENa	129	0.59 (0.45–0.72)	63.2	62.7	22.6	90.8
FEUrea	129	0.54 (0.40–0.69)	73.7	41.8	17.9	90.2

A1M indicates alpha 1-microglubulin; ACR, albumin-to-creatinine ratio; AGT, angiotensinogen; AUC, area under the curve; BNP, brain natriuretic peptide; BUN, blood urea nitrogen; CCL-14, chemokine ligand 14; CRP, C-reactive protein; EGF, epidermal growth factor; FENa, fractional excretion of sodium; FEUrea, fractional excretion of urea; IGFBP-1, insulin-like growth factor-binding protein-1; IGFBP-7, insulin-like growth factor-binding protein 7; KIM-1, kidney injury molecule-1; LFABP-1, liver-type fatty acid-binding protein-1; MCP-1, monocyte chemotactic protein-1; NGAL, neutrophil gelatinase-associated lipocalin; NPV, negative predictive value; PPV, positive predictive value; Sens, sensitivity; Spec, specificity; TIMP-2, tissue inhibitor of metalloproteinases-2; and YKL-40, chitinase-3-like protein-1.

tubular injury in the interval before WRF diagnosis.[3,10,22] An important limitation of this prior work was the limited number of biomarkers evaluated, making it possible that other biomarkers may provide different insights. In addition, from a clinical perspective, the treating physician would most likely wish to distinguish hemodynamic versus other causes of WRF when serum creatinine is increasing, that is, when the WRF becomes clinically manifest. Our analysis here confirms these prior findings but goes further by evaluating an extensive list of kidney tubular injury biomarkers that have proven utility in other clinical settings like sepsis, critical care, and kidney transplant and clearly demonstrating no difference in values or any prognostic utility for identifying progression

of WRF in AHF.[5,6,23–27] We think our findings present the most definitive evidence that WRF in AHF is not from tubular injury in most cases but primarily from functional systemic and glomerular hemodynamic processes. It is also likely that nonkidney pathophysiology drives morbidity and mortality in those patients experiencing WRF without adequate decongestion. Future research should focus on other pathophysiologic processes that lead to worse outcomes in the subset of patients with AHF and WRF who do not achieve adequate decongestion.

While tubular injury was not associated with adverse kidney outcomes, plasma Gal-3 was the only biomarker that consistently discriminated between individuals who developed versus did not develop the primary and secondary

outcomes. Plasma Gal-3 is involved in multiple physiological processes and is important for cardiorenal pathophysiology; it has been linked to kidney tubular development and to inflammation and fibrosis in both the heart and kidney.[28–30] Studies have shown an association between higher plasma Gal-3 and incident CKD, CKD progression, AKI, AKI severity, and AKI progression in different clinical settings.[31–33] However, plasma Gal-3 is also increased in people with CKD, perhaps due to retention from a lower estimated glomerular filtration rate.[34] Interestingly, in our analyses, plasma Gal-3 retained its association with ongoing WRF despite adjusting for serum creatinine and BUN at the time of WRF diagnosis. In a translational study using a mouse model of AKI, antagonism of plasma Gal-3 attenuated cardiac fibrosis and abhorrent remodeling, opening up the possibility that excretion of plasma Gal-3 by the kidney in humans plays an important role in the progression of kidney disease and AKI in humans.[35] More recently, urine Gal-3 levels have been found to be associated with a higher risk of adverse outcomes in patients with heart failure and CKD.[36] While evidence is mounting for the potential use of Gal-3, both blood and urine measurements, in cardiorenal syndrome, we are cautious in interpreting the data in this cohort, which may be a chance finding, given the large number of biomarkers assessed without correction for multiple comparisons.

Beyond plasma Gal-3, only urine EGF suggested potential utility for predicting increased risk of the primary composite outcome. EGF is thought to reflect kidney tubule regeneration and repair specifically within the loop of Henle and distal tubule. Along with urine UMOD, urine EGF is unique among the studied urine biomarkers, as lower rather than higher urine levels have been associated with adverse outcomes in other settings.[37,38] This provides reassurance that these biomarkers do not simply reflect nonspecific protein loss into the urine. The ability of urine EGF to discriminate the composite outcome in AHF may be related to its relationship with the site of action of loop diuretics. Thus, while our findings clearly showed a lack of tubular injury with WRF in AHF, tubular dysfunction may be present and influence outcomes. EGF has previously been reported to be highly correlated with estimated glomerular filtration rate, and in situ mRNA levels correlated strongly with urinary biomarker levels.[39] In AKI survivors, urine EGF levels were recently found to be associated with a higher incidence of major adverse kidney events.[40] These findings are hypothesis-generating but suggest that EGF may warrant further research as a tubular injury/repair biomarker, although this was not found in adjusted analyses of our cohort, which lacked evidence of acute tubular injury.

Limitations

Our study has important limitations. Our sample size and event rate were relatively small, as we focused only on patients experiencing WRF during AHF who then went on to worsening WRF. This also limited the extent to which we could adjust for confounders in models. CKD rates were higher in progressors and is a well-recognized risk factor for WRF. Adjustment variables were chosen a priori, and serum creatinine and BUN strongly correlate with CKD status. We chose to limit the primary outcome to those outcomes of clinical significance and not broaden the criteria with potentially less meaningful outcomes. By testing 24 biomarkers, there is a chance of type-1 error; however, in the context of prior studies supporting the association of Gal-3 with prognosis in cardiorenal syndrome, we think this finding is plausible. Although kidney biopsy is the gold standard for defining AKI pathogenesis, it is not routinely performed in AKI, and we think that the diverse array of noninvasive urinary biomarkers of renal tubular stress and damage suggests that the presence of acute tubular injury in patients with AKI in the setting of AHF is not a typical finding. Finally, our negative findings for fractional excretion of sodium and urea should be cautiously interpreted because blood and urine specimens were not timed to diuretic administration.

Conclusions

Tubular injury does not occur in most patients with WRF in AHF. Elevations in creatinine are caused by hemodynamic changes, and concurrent adverse outcomes are not a result of tubular injury but likely cardiac or noncardiorenal pathology. Plasma Gal-3 may be able to discriminate high-risk individuals with WRF, and urine EGF warrants further evaluation for the prognostication of WRF in AHF.

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Supplemental Material

Supplemental Methods
Tables S1–S7
Figures S1–S3

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