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# Risk factors and nomogram predictive models for postsurgical progression/hyperprogression recurrence in hepatocellular carcinoma with macroscopic vascular invasion



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## **Abstract**

**Purpose** This study aimed to develop postsurgical progression/hyperprogression recurrence (type III-IV recurrence) prediction models for hepatocellular carcinoma (HCC) patients with macroscopic vascular invasion (MaVI) and to guide treatment strategies in the accurate healthcare era.

**Patients and methods** 393 HCC patients with MaVI from two central hospitals made up the entire study population. In developmental (290 patients) and validation (103 patients) cohorts, all patients were randomized into one or the other. Two prediction models for type III-IV recurrence were developed, based on the findings of univariate and multivariate analysis in the development cohort, and multidimensional verification was carried out in both cohorts.

**Results** The postoperative recurrence rate of type III-IV in 393 HCC patients with MaVI was 70.9%. Young age, large tumor size (≥10 cm), node number, incomplete tumor capsule, postoperative complications, and high Ki67 index were the independent risk factors for relapse of type III-IV. In the development cohort, two nomograms (pre- and postoperative) had the Area Under the ROC curve (AUC) of 0.827 and 0.891, respectively. The two nomograms performed well, according to multidimensional verification methods such as clinical impact curves, decision curve analysis (DCA), and calibration curves. The validation cohort saw similar encouraging results. Both nomograms could separate patients into two distinct prognosis subgroups with ideal cutoff values of 170.3 presurgery and 175.0 postsurgery (both *P*<0.05).

**Conclusion** We constructed two novel and potentially clinically valuable models for predicting type III-IV recurrence. These two models can develop strategies for treating those suffering from HCC with MaVI owing to their strong prediction performance and availability.

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**Keywords** Hepatocellular carcinoma (HCC), Macroscopic vascular invasion, Nomogram, Progression/ hyperprogression recurrence (type III-IV recurrence)

### **Introduction**

The sixth most frequent neoplasm worldwide is hepatocellular carcinoma (HCC), which is also one of the main reasons why people die from cancer  $[1-3]$  $[1-3]$ . Patients with HCC who have macroscopic vascular invasion (MaVI) are classified as having advanced-stage HCC (BCLC stage C) and are advised to receive systemic or targeted therapy [[4\]](#page-10-2). However, multiple studies have found that surgery is also a potential option for HCC patients with MaVI, and some patients are still benefiting from this course of treatment [[5–](#page-10-3)[7\]](#page-10-4). But as surgical adaptability grows, so does the likelihood of postoperative recurrence  $[8-12]$  $[8-12]$ .

HCC patients with MaVI experience varying surgical results. Some patients benefit from curative hepatic resection (CHR), while others have a very poor prognosis  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$  $[6, 8-10, 12-17]$ . With the integration of recurrence traits, survival, effects on liver and system-wide function, and prospective therapies following recurrence, we previously proposed an original "four-types recurrent HCC classification" with significant potential usefulness in predicting postsurgical survival. Of the four recurrence patterns, a particularly bad prognosis was noted by progression/hyperprogression recurrence (type III-IV recurrence) [\[18](#page-10-10)]. In our opinion, the significant postsurgical type III-IV recurrence rate contributes to the very poor prognosis of some HCC patients with MaVI. Therefore, it is essential to develop preventive strategies and better understand the effects of type III-IV recurrence in HCC patients with MaVI.

Nomograms can predict and quantify individual likelihood by integrating multiple clinicopathological parameters, and they are crucial in the area of precision medicine [[19\]](#page-10-11). To recognize those who could benefit from CHR and to conduct an early intervention for individuals at high risk of type III-IV, the current study set out to build type III-IV recurrence predictive models for HCC patients with MaVI.

#### **Materials and methods**

#### **Patient cohorts**

393 HCC patients who received CHR between January 2012 and May 2020 at two hospitals (Guangxi Medical University's First Affiliated Hospital and Cancer Hospital) were included in this retrospective cohort. Cohorts for development (290 patients) and validation (103 patients) were created by randomizing the patient population. MaVI includes PVTT, HVTT, and BDTT, described as the presence of tumor thrombus in the portal vein, hepatic vein, and bile duct, respectively. Preoperative imaging should assess for tumor thrombus in the vascular, and the surgeon should confirm it during surgery. The following were the inclusion criteria: (1) pathologically diagnosed as HCC with MaVI; (2) preoperative liver function was Child-Pugh A or initially Child-Pugh B and then returned to Child-Pugh A following supportive preoperative management; (3) treated by CHR, which was outlined as removing the tumor completely and vascular tumor thrombus together (or cutting off) and a negative incisional margin; and (4) no extrahepatic metastasis. The following were the exclusion criteria: (1) co-occurring with another tumor; (2) receiving other anticancer treatments before surgery; and (3) insufficient clinical data. The study was carried out in compliance with the Declaration of Helsinki guidelines and approved by the Ethics Committee of Cancer Hospital of Guangxi Medical University, Nanning, China. The authorized agreement to allow the analysis and publication of the clinical data was signed by the patient or his or her guardian at the time of admission.

#### **Clinicopathologic variables**

Clinicopathological data on 393 patients included gender, age, HBsAg status, hepatitis B virus DNA level, serum AFP level, tumor size, Edmondson grade, node number, tumor capsule, surgical margin, MVI, tumor thrombus types, liver fibrosis (Fib-4 score), postoperative complications (Clavien-Dindo: grade  $II \sim IV$ ), postoperative transarterial chemoembolization (TACE), and the Ki67 index (It is frequently employed in the early screening or prognosis of many malignancies as a well-known sign of tumor stemness and proliferation, although it has not been extensively used in the treatment of hepatocellular carcinoma). The three main categories of tumor thrombus in this study were (1) portal vein tumor thrombus: the portal vein (main or branch) contains a tumor thrombus; (2) hepatic vein tumor thrombus: hepatic vein (main or branch) contains a tumor thrombus; and (3) bile duct tumor thrombus: bile duct (main or branch) contains a tumor thrombus.

#### **Follow-up**

For the first year following surgery, follow-up was done every 1–2 months, then every 3 months after that. Ultrasonography, dynamically computed tomography, MRI, and lab tests (measurement of serum AFP) were used for follow-up examinations. The following criteria were used to determine whether recurrence had occurred: (1) AFP≥400 ng/ml and a standard imaging test (contrast-enhanced ultrasound, MRI, or CT); (2) AFP<400 ng/ml and not less than two standard imaging examinations showing new lesions; and (3) a positive pathological biopsy. According to the Clavien-Dindo grading system, postoperative complications were events that happened within 30 days of the procedure. These events included intra-abdominal infection, massive hydrothorax (>500 ml), biliary fistula, abdominal bleeding, and post-hepatectomy liver failure (PHLF), etc [\[20](#page-10-12)]. Patients who died from serious complications (Clavien–Dindo grade V) during the perioperative period were excluded. The recurrence type and recurrence time were also noted simultaneously. The recurrence types were as follows: type I, solitary-intrahepatic oligo recurrence (Number of tumors=1); type II, multi-intrahepatic oligo recurrence (1<Number of tumors≤5); type III, progression recurrence (Recurrence with vascular invasion and/or metastases to lungs, bones, lymph nodes, brain, etc.); and type IV, hyperprogression recurrence (Number of tumors>5) [ $18$ ]. The final follow-up was conducted on 31 October 2022.

#### **Analytical statistics**

SPSS 26 (IBM, New York, USA) and R (R3.5.1 and the "rms" package, R development core team) were both used for the statistical analysis. Using the random sampling approach, 393 patients were assigned to a development group and a validation group in a 3:1 ratio. The clinicopathologic factors were compared using the exact test of Fisher's or the test of chi-square. Applying the Kaplan-Meier analysis (log-rank test), the postoperative survival without recurrence (RFS) and long-term survival (OS) of HCC patients with various recurrence types were analyzed for comparison. According to the variables of the development cohort, single and multivariable logistic regression analyses were performed to determine the variables influencing type III-IV recurrence. Two nomogram models were created using R's "rms" package based on the recognized independent risk variables (all *P*<0.05). The area under the curve of ROC was used to assess the predictive strength of the nomograms, and a ROC curve analysis was used to opt for the appropriate cutoff score. The consistency of the observed findings with those predicted by the nomogram was displayed using calibration curves. The clinical impact of each nomogram was evaluated using decision curve analysis (DCA), and the clinical impact curve was created to demonstrate each nomogram's significance. To demonstrate the stability of the model created in the development cohort, we carried out external verification of the nomogram model utilizing information gathered from the validation group. All two-tailed statistical analyses were considered statistically significant if *P*<0.05.

#### **Results**

#### **Characteristics of the patients**

This retrospective cohort analysis comprised 393 patients with MaVI who undergo CHR, and 279 of these 393 patients (70.9%) experienced type III-IV recurrence after CHR, with 72.9% of patients (209/290) within the developmental group and 68.0% of patients (70/103) within the validation group. Table  $1$  provides comprehensive details on the clinicopathological characteristics of the 393 HCC patients.

#### **Postoperative RFS and OS of HCC patients were compared according to different recurrence patterns**

According to the characteristics of four various recurrence modalities  $[18]$  $[18]$  $[18]$ , the development cohort's 290 HCC patients were classified into four groups. Estimating the RFS and OS for each of the four groups and analyzing distinctions between survival curves were done using the log-rank test. According to the findings, the median RFS for the four groups was, for types I and II, respectively, 25 months (95% CI: 13.461–36.539) and 6 months (95% CI: 3.584–8.416); for types III and IV, respectively, it was 4 months (95% CI: 3.122–4.878) and 3 months (95% CI: 2.804–3.196). In comparison to the other two types, type III and IV's median RFS was considerably lower (both *P*<0.05) (Fig. [1A](#page-4-0)). Similar to this, the median OS of the four groups revealed that type I and type II were, respectively, 98 months (95% CI: none) and 27 months (95% CI: 21.183–32.817); type III and type IV were, respectively, 18 months (95% CI: 14.843–21.157) and 7 months (95% CI: 6.391–7.609). When compared to the other two kinds, the median OS of types III and IV was considerably lower (both *P*<0.05) (Fig. [1B](#page-4-0)).

#### **The factors affecting type III-IV recurrence**

The univariate logistic analysis revealed that age, AFP, tumor size, Edmondson grade, node number, tumor capsule, MVI, tumor thrombus types, postoperative complications, postoperative TACE, and the Ki67 index were significant risk variables for type III-IV recurrence (all *P*<0.05). According to the results of the multivariable logistic regression analyses, these factors—young age (*P*=0.001), large tumor size (≥10 cm) (*P*=0.001), node number (*P*<0.001), incomplete tumor capsule (*P*=0.001), postoperative complications (*P*=0.005), and high Ki67 index (*P*=0.001)—were all independent risk factors for type III-IV recurrence (see Table [2](#page-5-0)).

#### **The construction of preoperative and postoperative nomogram models and multidimensional validations**

Two nomograms were developed for preoperative and postoperative prediction utilizing 4 and 6 total risk factors, respectively, based on the findings of the multivariable logistic regression analysis (Fig. [2A](#page-6-0) and B). The

<span id="page-3-0"></span>**Table 1** Comparison of the patient features between the validation cohort and the development cohort





Notes: HBsAg: Hepatitis B surface antigen; HBV-DNA: Hepatitis B virus deoxyribonucleic acid; AFP: Alpha-fetoprotein; MVI: Microvascular invasion; HVTT: Hepatic vein tumor thrombus; BDTT: Bile duct tumor thrombus; PVTT: Portal vein tumor thrombus

<span id="page-4-0"></span>

**Fig. 1** The comparison of (**A**) recurrence-free survival and (**B**) overall survival for four recurrence patterns in the development cohort (*n*=290)

nomogram prediction results and actual observation results were well correlated, as shown by the calibration graphs of prediction probabilities (Fig. [2C](#page-6-0) and D). The verification cohort also witnessed similar encouraging outcomes (Fig. [3](#page-7-0)A and B). The development cohort's 4 preoperative risk factor model and 6 overall risk factor model both had AUC values of 0.827 and 0.891, respectively, according to the ROC curve analysis (Fig. [2E](#page-6-0) and H). The ideal cutoff values for type III-IV recurrence prediction were 170.3 presurgery, with a sensitivity of 73.7% and specificity of 79.0%, and 175.0 postsurgery, with a sensitivity of 80.9% and specificity of 84.0% (Fig. [2](#page-6-0)E and H). The ROC curve analysis in the verification cohort produced similarly excellent results (Fig. [3](#page-7-0)C and F). Both nomograms had good prediction accuracy in both cohorts when using decision curve analysis (DCA) (Figs. [2](#page-6-0)F and I and [3D](#page-7-0) and G). The two nomogram models in both cohorts had significant predictive power, according to all clinical impact curves (Figs. [2G](#page-6-0) and J and [3E](#page-7-0) and H). Furthermore, The threshold score of 170.3 presurgery resulted in considerably shorter RFS and OS for patients with high scores compared to patients with lower values (Fig. [4A](#page-8-0) and B). The threshold score of 175.0 postsurgery resulted in considerably shorter RFS and OS for patients with high scores compared to patients with lower values (Fig. [4C](#page-8-0) and D). The validation cohort obtained similar outcomes using the same cutoff score as the development cohort (Fig. [3](#page-7-0)I, J, K and L).

#### **Discussion**

Previous studies have highlighted the poor prognosis associated with type III-IV recurrence in HCC patients. Our study found that the incidence of postoperative type III-IV recurrence in HCC patients with MaVI was 70.9%, and patients with this recurrence had considerably lower RFS and OS than those with type I-II recurrence. These findings suggest that certain underlying factors may contribute to the development of type III-IV recurrence following surgery. Identifying these risk factors is crucial for improving outcomes in these patients. Six clinicopathologic factors, including four tumor-related factors linked to the malignant behavior of primary tumors (i.e., large tumor size, multiple tumor nodes, incomplete tumor capsule, and high Ki67 index), were found to be associated with type III-IV recurrence through the use of univariate and multivariate analyses. This suggests that patients with potential type III-IV recurrence have a primary tumor with a higher malignant tendency before surgery. Type III-IV recurrence is characterized by vascular invasion, extrahepatic metastasis, or multiple intrahepatic recurrences (Number of tumors>5), and previous studies have also confirmed the association of these four factors with these conditions  $[21–26]$  $[21–26]$  $[21–26]$ , further

#### <span id="page-5-0"></span>**Table 2** Clinicopathological variables associated with type III-IV recurrence in the development cohort



Notes: HBsAg: Hepatitis B surface antigen; HBV-DNA: Hepatitis B virus deoxyribonucleic acid; AFP: Alpha-fetoprotein; MVI: Microvascular invasion; HVTT: Hepatic vein tumor thrombus; BDTT: Bile duct tumor thrombus; PVTT: Portal vein tumor thrombus

supporting our findings. Hepatocellular carcinoma recurrence after surgery is a complicated issue that requires the integration of several treatment modalities. The multidisciplinary team plays a critical role in developing a personalized treatment strategy for each patient [\[27](#page-10-15), [28](#page-10-16)]. For HCC patients with multiple intrahepatic recurrences and extrahepatic metastases, for instance, both TACE and radiotherapy demonstrate their benefits [[29](#page-10-17), [30\]](#page-10-18). In the meantime, research has been done on the operation of TACE with radiation in patients with advanced HCC [[31\]](#page-10-19). Hence, multidisciplinary treatment is the optimal choice, especially for HCC patients who experience type III–IV recurrence following surgery, with the goal of enhancing the patients' quality of life and extending their survival time.

Ki67 is indeed a valuable biomarker for predicting HCC prognosis and is closely related to tumor proliferation and stemness [\[22,](#page-10-20) [32](#page-10-21)[–34\]](#page-10-22). In addition to its role in predicting the risk of postoperative recurrence, Ki67's ability to predict the effectiveness of immunotherapy in HCC has also been explored in recent research [\[35](#page-11-0)]. According to several preclinical and clinical studies, tumors with high Ki67 expression may be more responsive to immune checkpoint blockade therapy. This may be because these tumors produce more neoantigens and express immune checkpoint molecules like PD-L1 [[36,](#page-11-1) [37](#page-11-2)]. These results imply that Ki67 may be a valuable biomarker for identifying HCC patients who will probably respond well to immunotherapy. The predictive relevance of Ki67 for the response to immunotherapy in HCC requires further research.

<span id="page-6-0"></span>

**Fig. 2** (**A, B**) The 4 risk factor and 6 risk factor nomograms; (**C, D**) The 4 and 6 risk factors model's calibration curve in the development cohort; (**E**) The 4 risk factors model's ROC curve, Area under the curve, AUC, and cutoff value in the development cohort; (**H**) The 6 risk factors model's ROC curve, Area under the curve, AUC, and cutoff value in the development cohort; (**F**) The 4 risk factor model's DCA in the development cohort; (**I**) The 6 risk factor model's DCA in the development cohort; (**G**) The 4 risk factor model's clinical impact curves in the development cohort; (**J**) The 6 risk factor model's clinical impact curves in the development cohort

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Fig. 3 (A, B) The 4 and 6 risk factors model's calibration curve in the validation cohort; (C) The 4 risk factors model's ROC curve, Area under the curve, and AUC in the validation cohort; (**F**) The 6 risk factors model's ROC curve, Area under the curve, and AUC in the validation cohort; (**D**) The 4 risk factor model's DCA in the validation cohort; (**G**) The 6 risk factor model's DCA in the validation cohort; (**E**) The 4 risk factor model's clinical impact curves in the validation cohort; (**H**) The 6 risk factor model's clinical impact curves in the validation cohort; (**I**) Recurrence-free survival and (**J**) overall survival between the groups with low and high scores in the validation cohort (The cutoff value comes from the 4 risk factors model); (**K**) Recurrence-free survival and (**L**) overall survival between the groups with low and high scores in the validation cohort (The cutoff value comes from the 6 risk factors model)

<span id="page-8-0"></span>

**Fig. 4** (**A**) Recurrence-free survival and (**B**) overall survival between the groups with low and high scores in the development cohort (The cutoff value comes from the 4 risk factors model); (**C**) Recurrence-free survival and (**D**) overall survival between the groups with low and high scores in the development cohort (The cutoff value comes from the 6 risk factors model)

Importantly, while postoperative complications are controllable, they are not the only factor that affects the risk of type III-IV recurrence in HCC patients. As was already noted, other tumor-related variables, such as large tumor size, multiple tumor nodes, and incomplete tumor capsule, have a role in the emergence of aggressive HCC behavior. These factors may not be controllable through surgical techniques or perioperative management alone and may require other treatment strategies, such as targeted therapy or immunotherapy. That being said, the use of laparoscopic liver resection and enhanced recovery after surgery (ERAS) can certainly help to reduce the incidence of postoperative complications and improve patient outcomes [\[38–](#page-11-3)[43\]](#page-11-4). The decision to use laparoscopic or open liver resection should be based on a thorough evaluation of each patient's circumstances, including tumor location, degree of invasion,

and degree of cirrhosis. In addition, the use of ERAS can help to reduce the risk of postoperative complications and improve recovery time, regardless of the type of surgical procedure used [[38](#page-11-3)]. Therefore, a comprehensive approach to perioperative management that accounts for both surgical technique and ERAS, can help to optimize patient outcomes after liver resection for HCC.

Tumors occurring in young patients tend to exhibit aggressive behavior and poorer prognosis [[44,](#page-11-5) [45](#page-11-6)], possibly attributed to delayed detection and distinct tumor characteristics. Notably, Li et al. [[46,](#page-11-7) [47\]](#page-11-8) posited that young HCC patients with vascular invasion demonstrate improved liver function reserve and superior treatment response to hepatectomy. In the current investigation, age represented a critical component of the nomogram model, particularly in the preoperative nomogram, with age≤45 years contributing up to 100 points. Thus, for

HCC patients harboring MaVI, surgical management should not be determined solely by age but rather should be integrated with other relevant risk factors.

Several studies have developed nomograms for predicting early relapse or OS in HCC patients with MaVI. An early recurrence nomogram was developed by Zhang et al. [[48\]](#page-11-9) in 2019, to identify patients who may benefit from postoperative adjuvant therapy. A C-index of 0.836 (0.784–0.887) indicates that the nomogram was fairly accurate. However, this model only considered preoperative variables and did not evaluate important postoperative variables, such as the Ki67 index, which may limit its ability to accurately recognize patients at high risk of early recurrence. Recent research on HCC patients who had HVTT also revealed comparable limitations [[16](#page-10-23)]. In 2020, to determine which patients would benefit from conservative treatment, Liu et al. [\[49](#page-11-10)] developed a nomogram to predict the overall survival of HCC patients with PVTT on conservative treatment. However, it should be highlighted that all patients in this trial underwent conservative treatment, whereas previous studies have shown that some HCC patients with PVTT may benefit from surgical treatment, which highlights the need for precision medicine. Currently, no prognostic model is available for HCC patients with bile duct invasion.

For HCC patients with MaVI, no predictive model has yet been developed to identify the pattern of postoperative recurrence. Because type III-IV recurrence is associated with a very poor prognosis, we created two nomograms in this study to predict recurrence before and after surgery. Our models exhibited good predictive performance and strong diagnostic capabilities, as demonstrated by the calibration plots and ROC analysis. The preoperative and postoperative prediction models had C indices of 0.827 (0.771–0.873) and 0.891 (0.846–0.924), respectively, with corresponding AUCs of 82.7% (77.8 −87.7%) and 89.1% (85.3 -93.0%). Our nomograms had the excellent predictive ability, as evidenced by the clinical impact curve and DCA, and their inclusion of factors made them simple to apply in practical clinical applications. Based on our preoperative prediction model, high-scoring patients were discouraged from undergoing surgery, while those with high scores in the postoperative prediction model were suggested to undergo systematically monitored and individualized adjuvant therapy to lower the probability of type III-IV recurrence.

This study incorporates several innovative designs. First, two novel prediction models were developed, which is a unique approach, as the pattern of postoperative recurrence is often overlooked in determining patient outcomes. By focusing on this aspect, we created two nomograms that can be used as a guide for treating HCC patients who have MaVI. Second, the models have significant clinical significance, as they can be used to guide both preoperative and postoperative treatment strategies. The preoperative prediction model can effectively predict the likelihood of type III-IV recurrence, allowing for the identification of candidates for surgical therapy. Then again, the postoperative prediction model can help guide the treatment of high-risk patients who have experienced type III-IV recurrence after surgery, reducing their risk of recurrence and achieving long-term prognostic outcomes. Third, the models have a wider range of applications, as they have been designed for HCC patients with hepatic vein, bile duct, or portal vein invasion, thus making them suitable for a broader population of patients.

The following are some of this study's limitations. First off, since this study's data are all from China and its sample size is relatively small, some variation in its findings is unavoidable. Additionally, the majority of them have hepatitis B, which is the main factor in Chinese patients' primary liver cancer. Studies in the future should expand the validation cohort of HCC unrelated to HBV. Lastly, there is currently no method to accurately detect MVI, and the 7-point baseline sample collection protocol adopted in this study may lead to false negatives.

#### **Conclusion**

The significant rate of postsurgical progression/hyperprogression recurrence (type III-IV recurrence) in HCC patients with macroscopic vascular invasion (MaVI) is one of the major reasons affecting these patients' poor prognosis. Independent risk factors associated with type III-IV recurrence include young age, tumor size≥10 cm, node number, incomplete tumor capsule, postoperative complications, and high Ki67 index. To address this issue, we constructed two nomograms (pre- and postoperative) that demonstrated excellent predictive ability. These models offer valuable insights for preoperative decisionmaking and postoperative treatment guidance and are of utmost importance for the precision treatment of HCC with MaVI.

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#### **Author contributions**

Y.Y.H and Y.X.S were the major contributors in writing the manuscript. Y.Y.C and J.X.X analyzed and interpreted the patient's data. L.Z completed the literature search. H.W.W and S.L.Q provided literature revision. Y.C.P and L.N.Q conducted a review and editing. The authors read and approved the final manuscript.

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#### **Data availability**

No datasets were generated or analysed during the current study.

#### **Declarations**

#### **Ethics approval and consent to participate**

This study was approved by the ethics committee of Guangxi Medical University Cancer Hospital (Approval Number: LW2023078). The clinical data were retrospectively registered.

#### **Consent for publication**

We have obtained informed consent from the patient.

#### **Competing interests**

The authors declare no competing interests.

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