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## Founder Effects of Spinocerebellar Ataxias in the American Continents and the Caribbean

Roberto Rodríguez-Labrada<sup>1</sup>, Ana Carolina Martins<sup>2</sup>, Jonathan J. Magaña<sup>3</sup>, Yaimeé Vazquez-Mojena<sup>1</sup>, Jacqueline Medrano-Montero<sup>1</sup>, Juan Fernandez-Ruíz<sup>4</sup>, Bulmaro Cisneros<sup>5</sup>, Helio Teive<sup>6</sup>, Karen N. McFarland<sup>7</sup>, Maria Luiza Saraiva-Pereira<sup>2,8,9</sup>, César M. Cerecedo-Zapata<sup>3,10</sup>, Christopher M. Gomez<sup>11</sup>, Tetsuo Ashizawa<sup>12</sup>, Luis Velázquez-Pérez<sup>1,13,\*</sup>, Laura Bannach Jardim<sup>2,8,14</sup> on behalf of the PanAmerican Hereditary Ataxia Network

<sup>1</sup>Centre for the Research and Rehabilitation of Hereditary Ataxias, 80100 Holguín, Cuba

<sup>2</sup>Programa de Pós-Graduação em Genética e Biologia Molecular, Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre, RS 91540-070, Brazil

<sup>3</sup>Department of Genetics, Laboratory of Genomic Medicine, National Rehabilitation Institute (INR-LGII), 14389 Mexico City, Mexico

<sup>4</sup>Departamento de Fisiología, Facultad de Medicina, Universidad Nacional Autonoma de Mexico, 04510 Mexico City, Mexico

<sup>5</sup>Department of Genetics and Molecular Biology, Center of Research and Advanced Studies (CINVESTAV-IPN), 07360 Mexico City, Mexico

<sup>6</sup>Movement Disorders Unit, Neurology Service, Internal Medicine Department, Hospital de Clínicas Federal University of Paraná, Curitiba, PR 80240-440, Brazil

<sup>7</sup>University of Florida, Gainesville, Florida 32610, USA

<sup>8</sup>Hospital de Clínicas de Porto Alegre (HCPA), Porto Alegre, RS 90035-903, Brazil

<sup>9</sup>Departamento de Bioquímica, Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre, RS 90035-903, Brazil

<sup>10</sup>Rehabilitation and Social Inclusion Center of Veracruz (CRIS-DIF), Xalapa, 91070 Veracruz, Mexico

<sup>11</sup>Department of Neurology, The University of Chicago, Chicago 60637, USA

<sup>12</sup>Program of Neuroscience, Houston Methodist Research Institute, Houston, TX 77030, USA

\*Corresponding Author: Luis Velázquez-Pérez [velazq63@gmail.com](mailto:velazq63@gmail.com).

Roberto Rodríguez-Labrada and Ana Carolina Martins contributed equally to this work.

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<sup>13</sup>Cuban Academy of Sciences, 10100 La Havana, Cuba

<sup>14</sup>Departamento de Medicina Interna, Universidade Federal do Rio Grande do Sul (UFRGS), Porto Alegre, RS 90035-903, Brazil

## Abstract

Spinocerebellar ataxias (SCAs) comprise a heterogeneous group of autosomal dominant disorders. The relative frequency of the different SCA subtypes varies broadly among different geographical and ethnic groups as result of genetic drifts. This review aims to provide an update regarding SCA founders in the American continents and the Caribbean as well as to discuss characteristics of these populations. Clusters of SCAs were detected in Eastern regions of Cuba for SCA2, in South Brazil for SCA3/MJD, and in Southeast regions of Mexico for SCA7. Prevalence rates were obtained and reached 154 (municipality of Báguano, Cuba), 166 (General Câmara, Brazil), and 423 (Tlaltetela, Mexico) patients/100,000 for SCA2, SCA3/MJD, and SCA7, respectively. In contrast, the scattered families with spinocerebellar ataxia type 10 (SCA10) reported all over North and South Americas have been associated to a common Native American ancestry that may have risen in East Asia and migrated to Americas 10,000 to 20,000 years ago. The comprehensive review showed that for each of these SCAs corresponded at least the development of one study group with a large production of scientific evidence often generalizable to all carriers of these conditions. Clusters of SCA populations in the American continents and the Caribbean provide unusual opportunity to gain insights into clinical and genetic characteristics of these disorders. Furthermore, the presence of large populations of patients living close to study centers can favor the development of meaningful clinical trials, which will impact on therapies and on quality of life of SCA carriers worldwide.

## Keywords

Founder effects; Latin America and the Caribbean; Machado-Joseph disease; Prevalence; SCA2; SCA3; MJD; SCA7; SCA10; Spinocerebellar ataxia; Spinocerebellar ataxia type 2; Spinocerebellar ataxia type 3; Spinocerebellar ataxia type 7; Spinocerebellar ataxia type 10

## Introduction

Changes in allele frequency in a population, due to a random selection of certain alleles, are known as genetic drift. Genetic drift can be due to two kinds of events: (i) when a population is sharply reduced in size by a disaster (bottleneck effect) or (ii) when a small group splits off from the main population to find a colony (founder effect)[1].

Many genetic diseases show variations in prevalence among human populations due to genetic drift. Reductions in genetic diversity due to a bottleneck effect in humans are quite hard to trace, because the existing group has to be compared to the original population prior to the event. On the other hand, repeated episodes of forced or voluntary separation of small groups from a larger original population can be better registered and have occurred throughout human history

At least two singular colonization events characterize populations of the American continents. Archeological, linguistic, and genetic evidence have shown that the original human inhabitants of the Western Hemisphere arrived from Asia 20,000 to 10,000 years ago, during the Late Pleistocene. Founders were less variable than the original groups, since studies estimated that less than 1% of the ancestral Asian population left to populate the New World [2–4].

More than 9000 years later, systematic European colonization of the Americas began when Columbus reached Hispaniola, a Caribbean island, in 1492. Large-scale colonization followed hereafter. North and South American mainland fell to the conquistadors, with an estimated of 8,000,000 deaths of indigenous populations [5]. Economic growth related to colonial period relied on African slavery; the total slave trade to the New World being estimated to involve 12 million Africans [6]. When slavery ended, over 50 million people left Western Europe for the Americas during the nineteenth century [7]. As a result of large migratory waves in the last 5 centuries, plus the strong bottleneck events related to the Amerindian deaths, the American continents contain one of the most diverse and recent human populations in the world nowadays.

Autosomal dominant disorders may evade negative selection if the phenotype starts after the reproductive period, as in the case of late onset spinocerebellar ataxias (SCAs). For that reason, differences in SCA frequencies might escape negative selection and can undergo genetic drift phenomenon. Geographical isolation prevents the offspring from dispersing and the phenotypic trait from remaining undetected and can help the identification of autosomal dominant founders. SCA founder populations were particularly frequent in Latin America and the Caribbean (LAC) possibly due to the peculiar origins and characteristics of these populations. In recent years, SCA founder populations have been described in Eastern regions of Cuba (spinocerebellar ataxia type 2 or SCA2, MIM: #183090) [8, 9], in South Brazil (Rio Grande do Sul state) (spinocerebellar ataxia type 3, also known as Machado-Joseph disease, SCA3/MJD, MIM: #109150) [10, 11], in southeast regions of Mexico (spinocerebellar ataxia type 7 or SCA7, MIM: #164500) [12, 13], and all over North and South Americas in the case of spinocerebellar ataxia type 10 (SCA10, MIM: #603516) [14, 15].

This paper aimed to review the state of the art of SCA founder effects and/or isolates in the American continents and the Caribbean. Founder and isolates are important phenomena from the point of view of population genetics. These populations might allow researchers to study a large number of affected carriers of a given disease, with relatively homogeneous genetic and environmental backgrounds, speeding up some discoveries that could be elusive in other circumstances.

## Methods

A panel of specialists in autosomal dominant ataxias met in Havana, Cuba, in March 2019, and identified that at least three clusters of SCAs in LAC, plus the unique phenomenon of SCA10 in these continents, were the best focus for the present report. Two procedures were done: estimation of prevalence of SCA2, SCA3/MJD, and SCA7 in regions of interest and

comprehensive reviews of scientific contributions by each group. The number of subjects with clinical and molecular diagnosis of SCA2 in Cuba was obtained in a nationwide epidemiological survey carried out between March 2017 and June 2018. The data were retrieved from medical visits performed in the Centre for the Research and Rehabilitation of Hereditary Ataxias in Holguin, in nine provincial hospitals or in the patient's houses. For those SCA2 patients that were not directly assessed, the demographic information was obtained by telephone calls and/or interviews with their affected or unaffected relatives. The number of symptomatic subjects alive and belonging to families with a molecular diagnosis was obtained for SCA3/MJD in Rio Grande do Sul and for SCA7 in seven communities from the central region of Veracruz, Mexico. For SCA3/MJD, data was retrieved from electronic records of families of subjects followed up at Hospital de Clinicas de Porto Alegre; the place where subjects were living was confirmed by telephone contact, in 2019. For SCA7, data was gathered from the records of the Rehabilitation and Social Inclusion Center of Veracruz (CRIS-DIF), Xalapa. Minimal prevalence of Brazilian and Mexican symptomatic carriers was estimated for a 5-year period finishing in June 2019.

Subsequently, each group reviewed the context of disease in focus in their study group, shedding light in the current knowledge of several aspects of research performed locally and showing the perspectives opened for future investigations and practical applications that can be generalized for all affected persons worldwide.

## SCA2 and Cuba

SCA2 is probably the second most frequent SCA worldwide, after only to SCA3 [16]. The disease is caused by the abnormal expansion of the CAG repeat tract in the coding region of *ATXN2* (MIM: \* 601517), leading to expression of a toxic polyQ domain in the ataxin-2 protein [17]. Normal alleles vary from 13 to 31 triplet repeats, with a range of intermediate expansions between 28 and 33 repeats which may predispose to amyotrophic lateral sclerosis or Parkinson's disease [18]. Expanded *ATXN2* alleles have 32 triplet repeats with a large range of full penetrance above 35 units, which usually exhibit a pure CAG tract [19]. The disease is characterized by a progressive cerebellar syndrome, saccade slowing, peripheral neuropathy, and cognitive involvement [8, 9]. Some of these features start up to 15 years before the ataxia defining the SCA2 prodromal stage [20]. Similar to other polyQ diseases, the age at onset of SCA2 correlates significantly with the CAG repeat length [8].

Similar to other SCAs, the worldwide prevalence of SCA2 is biased toward few epidemiological studies performed in selected geographical regions [21]. This subtype has the highest prevalence and incidence rates in Cuba as result of a founder effect [8, 22]. In fact, in this country SCA2 is most prevalent than SCA3 [23]. Moreover, higher relative frequencies of SCA2 are found in Mexico [24], South Africa [25], India [26], Italy [27], and Venezuela [28].

The first descriptions of a large and homogeneous population with autosomal dominant cerebellar ataxia in Eastern Cuba were done in the 1960–1970s [29], setting the rationale for further studies identifying a large founder population of SCA2 families in Holguin

province, which currently has spread throughout all the country. This population has been comprehensively assessed for many years, giving insights into disease natural history, prodromal stage, predictive testing, disease physiopathology, modifier genes, disease biomarkers, and therapeutic options [9]. An epidemiological survey performed between March 2017 and June 2018 in Cuba showed that the province with higher rates continues to be Holguín, where 497 SCA2 patients and 2754 at-risk descendants are living, for prevalence rates of 47.9 patients/ 100,000 inhabitants and 188.6 mutation carriers/ 100,000 inhabitants. In the central region of the province, there are municipalities with remarkable prevalence rates such as Baguanos (154.3/ 100,000 inhabitants) and Urbano Noris (87.20/100,000), whereas Cauto Cristo, a municipality bordering Holguin province, has a prevalence of 106 cases/100,000 inhabitants (LVP, unpublished data) (Fig. 1B and Supplemental material 1).

Evidence about the founder effect of SCA2 in Holguín was gained from molecular, clinic-genetic, and historiographical studies. Haplotype studies dating back from 1995 identified a common ancestral haplotype surrounding SCA2 mutation region that segregated with the disease in overall assessed pedigrees [30, 31]. A study assessing 132 chromosomes (43 expanded, 89 unexpanded) from 13 Cuban pedigrees found that 12 of them (92%) share the same haplotype [32].

Large normal alleles (LNAs) might contribute to generation of new expanded alleles in some polyQ SCAs [33]. A comprehensive study encompassing ~ 3.000 chromosomes from Cuban healthy controls revealed that the frequency of ataxin-2' LNAs is higher in Cuba than in other six populations, which would be in agreement with a local founder effect. These alleles are highly polymorphic in the CAG sequence, characterized by the loss of the anchor CAA interruption(s) in the trinucleotide tract, under a predisposed haplotype. Moreover, Cuban LNAs show a prominent germ line instability, which provides important evidence about their role in the generation of pathological alleles in the Cuban population [34].

Regarding the origin of Cuban SCA2, historiographical and molecular data suggest a Spanish ancestry. The Cuban population has a well-documented story of Hispanic origin. Holguin was founded in 1545 and presented a slow socio-demographic development, characterized by the gradual arrival of Spanish and a high occurrence of endogamous and closed cycle marriages, which altogether with presumed restricted environmental unbalancing caused the rapid increase of SCA2 in Holguin. A Monte Carlo simulation suggested that the SCA2 founder mutation (or premutation) was probably introduced in Holguin at the first half of the seventeenth century. Haplotype studies comparing Cuban and Spanish DNA samples from SCA2 populations detected a high coincidence of haplotype markers between both populations, therefore supporting a common origin [32].

A distinctive characteristic of founder populations is the presence of clinical-genetic features that cannot be generalized to other populations. Indeed, several characteristics found in SCA2 Cuban subjects were not confirmed in other populations yet. The modifier effect of *CACNA1A* CAG repeats on the SCA2 age at onset was detected in Cuban [35], but not in other populations [36, 37]. The influence of the expanded CAG repeats in the *ATXN2* gene on the age at onset is stronger in Cuba than other populations, suggesting a minor effect of

modifier genetic and/or environmental factors on disease phenotype [8]. Cuban patients seem to have phenotypes more homogeneous than SCA2 subjects from other regions. The frequency of unusual movement disorders such as dystonia and chorea are lower in SCA2 Cuban patients than the European cohort. Clinical homogeneity of Cuban carriers is observed even during prodromal stage: Muscle cramps were referred by 80% of Cuban [20] and by 35% of European SCA2 preclinical carriers [38].

## SCA3/MJD and South Brazil

SCA3/MJD is probably the most common polyQ SCA worldwide [16]. SCA3/MJD involves predominantly the cerebellar, pyramidal, extrapyramidal, motor neuron, and oculomotor systems and shows a mean age at onset (AO) between 34 and 40 years. An important correlation between AO and the repeat length is observed in SCA3/MJD, as in other polyQ disorders. The CAG repeat expansion in the *ATXN3* gene (MIM: \* 607047) ranges from 47 to 91 or more repeats, being larger than those tracts commonly seen in other polyQ SCAs. Clinical and molecular characteristics as well as management of SCA3/MJD have been recently reviewed elsewhere [39]. The close description of three different North American families carrying the disorder, all of them with Azorean ancestry, urged a survey that confirmed the existence of a geographical isolate of SCA3/MJD in Azores, an archipelago discovered by the Portuguese navigators in 1423 and colonized since then [16].

SCA3/MJD families living in Rio Grande do Sul (RS), the southernmost state of Brazil, began to be described in 2001 [40]. The epidemiological importance of this condition in this region became clear right after. In the present survey about living people from SCA3/MJD families, we detected 770 symptomatic and 1500 individuals at 50% risk in RS, an area inhabited by 11 million people, giving a prevalence of 7:100,000, a figure that updates former estimates [11]. The frequency reached 17 to 166:100,000 in some cities (Fig. 1D and Supplemental material 1). The number of people affected living close to the university center allowed several studies with different designs, including case-control, cross-sectional, longitudinal, and randomized clinical trial. Hence, local researchers and affected people contributed to boost knowledge about the disease natural history [41–44], modifier factors [45–48], biomarkers [49–51], and trial designs [52–54]. Of note was the observation that the CAG repeat expansion influences not only AO but also the progression rate of the disease [43]. Brazilian researchers have raised epidemiological evidence on CAG repeat expansion antagonistic pleiotropism – deleterious to neurons but advantageous to the rate of reproduction [55], to the carrier gametes [11], and to anti-tumor processes [56].

The history of RS colonization might explain this geographic “concentrate” of SCA3/MJD in this region. Until 1750, RS had almost no inhabitants: the few Amerindians who lived in this region were hunter-gatherers. Two to five thousand Azorean people were sent to RS by the Portuguese crown from 1750 to 1770 [57]. This effort of territory occupation initiated the entrance of Europeans in South Brazil. We presented the hypothesis that the SCA3/MJD cohort of RS had an Azorean ancestry a long time ago [10]. Whatever the real lineage, SCA3/MJD seems to be recent in RS and might not date more than 13 generations (260 years). Notably, RS does not have any characteristic that predisposes or facilitates the occurrence of population isolates. People can travel and emigrate easily.

Since 2001, informative ancestral haplotypes in SCA3/MJD have been built with three intragenic single nucleotide polymorphisms [58]. These variants are commonly described as the A669/G669 (A/G), C987/G987(C/G), and A1118/C1118 (A/C) polymorphisms. From all possible haplotype configurations linked to CAG repeat expansion, ACA (also known as Joseph) and GGC (also known as Machado) haplotypes were the most common worldwide. Remarkably, both were found in the Azorean archipelago. The widely distributed ACA haplotype seems to have an Asian origin dating back of about 9000 to 17,000 years ago [59, 60]. Although the inclusion of short tandem repeats (STR) might refine *ATXN3* lineages, the ACA/ GGC polymorphisms remain as the minimally informative haplotype surrounding CAG repeat expansion. More recently, unpublished data were obtained on ancestral haplotypes of the SCA3/MJD lineages from RS. The ACA haplotype was present in 96% (178/185) of families from RS, while 85% of normal chromosomes carried GGC haplotype. Most importantly, 170 of 178 ACA SCA3/MJD families carried the extended haplotype (with STRs) 11–21-ACA-14–15 (ACA H1), suggesting that 92% (170/185) of SCA3/MJD pedigrees from RS might be closely related (ML. Saraiva-Pereira, personal communication). Since ACA H1 haplotype was also the most common among Azoreans [59], these results are in line with the hypothesis that a Joseph lineage that gave origin to the founder effect has an Azorean origin in RS.

As noted, founder effects produce more homogeneous populations than the original ones. Indeed, the recent forthcoming and homogeneity of the SCA3/MJD in RS may be related to two intriguing phenomena detected by recent observations. The CAG repeat expansion length in RS cohort – from 68 to 91 repeats – is shifted to the right, when compared to the 47 to 87 repeats range seen in other SCA3/MJD populations [61]. It remains to be established whether this distribution was due to the founders or to an increased rate of breeding as a way to occupy the “empty space” (demographic transition) in South Brazil. Contradictorily, age at onset as predicted by the CAG repeat expansion is later in RS than the expectation for Europeans. This last finding strongly supports the occurrence of protective factors in the SCA3/MJD population of RS [62]. Due to these findings, we believe that comparative studies looking for environmental and genetic modifiers, and including SCA3/MJD population from RS and from other regions, will have good chances of finding candidates for neuroprotection and prevention against the burden usually associated with this disease. Then, GWAS studies would be a powerful approach to identify genetic modifiers of the age at onset. However, it requires a large cohort of SCA3 patients from different parts of the world. The PAHAN may collaborate with the newly organized SCA Global to create a powerful platform to address this question.

## SCA7 on Southeast Mexico

SCA7 is a polyQ disease characterized by cerebellar dysfunction, hyperreflexia, postural tremor, pigmentary retinal degeneration, and ophthalmoplegia [63–65]. The disease is caused by the progressive loss of neurons and glial cells within the cerebellum, retina, and brainstem [66]. Clinically, SCA7 was first described in 1937 [67] due to the severe retinopathy observed in most patients; SCA7 was originally considered as a separate entity from the autosomal dominantly inherited cerebellar ataxias (ADCA) [68]. The first evidence indicating that SCA7 might likely be caused by the expansion of unstable microsatellite

was the observation of the anticipation phenomenon in affected families [69]. In 1995 three independent groups mapped the gene responsible for SCA7 (*ATXN7*; MIM: \* 607047), which was localized in chromosome 3p21.1-p12 [70–72], and then the unstable CAG repeat region was identified [73]. In unaffected individuals the length of CAG repeats ranges from 4 to 18, while affected individuals have from 36 to up to 460 CAG repeats in the expanded chromosome [65]. SCA7 presents also a range of intermediate alleles (from 28 to 33 CAG repeats), as well as alleles with reduced penetrance (from 34 to 36 CAG repeats) [64, 65]. Although different molecular mechanisms appear to underlie SCA7, it is thought that the incorporation of an expanded polyglutamine (polyQ) tract confers a toxic gain-of-function on the mutant protein (ataxin-7), which in turn impairs multiple cellular processes, including transcriptional regulation, mitochondrial function, and oxidative stress, leading ultimately to cell dysfunction and death of target cells [74].

Worldwide prevalence of SCA7 is estimated in less than 1: 100,000 [75], representing 2% of all SCAs [76]. SCA7 was identified in families from various ethnic backgrounds, including kindreds from Europe (France, Belgium, Germany, and the UK), Africa (Algeria, Morocco, Libya, Tunisia, Zambia, and South Africa), Asia and Oceania (Israel, Korea, Philippines, and Australia), North America (USA and Mexico), South America (Brazil), and the Caribbean (Jamaica) [12, 64, 77–83]. SCA7 is the most prevalent SCA in certain countries, including Sweden, Finland, and Mexico [12, 13], and several founding populations have been reported in Scandinavia (Sweden and Finland) [82] and Africa (South Africa and Zambia) [84]. Recently, a Southeast Mexican population with high prevalence of SCA7 was identified, comprising five different communities distributed geographically in a small region of 1200 km<sup>2</sup> [12, 13]. Currently, new cases have been identified in nearby communities, reaching in the central region of Veracruz prevalence higher than other regions of the world (Fig. 1C and Supplemental material 1). Interestingly all SCA7 carriers share a common informative haplotype A-254-82-98, corresponding to the intragenic (3145G/A) and centromeric (D3S1287, D3S1228, and D3S3635) genetic markers, respectively, which suggests a founder effect in this population [13]. Further genomic analysis allowed us to trace the ancestral mutation of SCA7 Mexican patients to Western European, specifically to the French and Spanish populations of Basque origin [13]. Consistent with this hypothesis, historic and anthropological findings documented two migratory events of French Basque descents from Eastern Pyrenees to Mexico during the eighteenth century, which could originate settlements in the central region of Veracruz. This geographic region is surrounded by a chain of mountains and hills, which could have undermined communication with other populations and limit further human migration, contributing then to spread the ancestral mutation only within the original settler descendants and promoting the founder effect [12].

The above-mentioned findings provide one of the largest series of patients with SCA7 worldwide, which has allowed to perform a comprehensive clinical, neuroimaging, and genetic characterization of the disease and to identify sensitive biomarkers. In fact, novel neuropathological, electrophysiological, and neuro-acoustical features of the disease have been described [65, 85–87]. The initial neuroimaging findings of patients show the extent of the white and gray matter neurodegeneration, including the cerebellum, middle, medial, and inferior frontal gyri, precuneus, parahippocampal cortex, inferior parietal lobe, and the lingual gyrus [88]. Additional analyses found correlations between clinical and cognitive



impairment with degeneration of specific areas, like the correlation between auditory learning rate and the remaining of the parahippocampal cortex [89, 90]. Currently, a cerebellar neurodegeneration signature is being characterized for SCA7 and other SCAs [91].

Furthermore, in this population for the first time, the status of oxidative state and the expression profile of circulating microRNAs (miRNAs) in the plasma of patients has been reported, and a signature of four miRNAs was associated with disease severity [92, 93], which would be used in clinical studies to surveil the natural history of SCA7 and to monitor disease progression in patients undergoing clinical trials. Clinical approaches have also been undertaken in Mexican SCA7 patients. A recent study showed that a physical rehabilitation regime improved some cerebellar characteristics as well as the oxidative state of patients, which suggests that physical intervention could improve their general health condition [94]. Finally, the characterization of a Purkinje cell-based model for SCA7 is currently underway by local researchers. Overall these studies will help to better design therapeutic approaches aimed at ameliorating SCA7 symptomatology [95].

## SCA10 and the Native American Populations

First two families of SCA10 reported in the literature were Mexican Americans with the phenotype characterized by cerebellar ataxia and epilepsy with autosomal dominant inheritance [96, 97]. Supplemented by several additional families with dominantly inherited ataxia and epilepsy in the Mexico

City area from where the two original SCA10 families immigrated [98], investigators used positional cloning to discover the pathogenic expansion of a pentanucleotide repeat in intron 9 of the *E46L* gene, now known as *ATXN10* (MIM: \* 611150) [99]. In the normal population, the number of repeat units ranges from 19 to 32. In large normal alleles (repeat units = 17), ATTGT and TTTCT units often interrupt the ATTCT repeat [100]. SCA10 pathological expansions have 800 to 4500 pentanucleotide repeats [99]. The putative pathogenic mechanism is a gain of toxic function by the expanded intronic RNA repeat [99, 101, 102]. Subsequent genotyping of families with pure cerebellar ataxia from the Parana and Santa Catarina states in Southern Brazil led to the recognition of additional SCA10 families [103, 104]. Since then, SCA10 has been found in various Latin American countries, including Peru [105, 106], Bolivia [107], Argentina, [108], Venezuela [28], and Guatemala [109] (Fig. 2).

Recognizing the conspicuous absence of SCA10 in Europe, investigators looked into the Native American ancestry of patients with SCA10. All patients with SCA10 from Mexico and the Paraná state of Brazil had either an oral history or physical characteristics suggestive of Native American admixture, raising a hypothesis that the original SCA10 mutation occurred within a Native American population [104]. The report of SCA10 traced back to a Sioux individual in Minnesota with no Latin American connection endorsed the hypothesis that SCA10 is a genetic disease of Native Americans rather than that brought by European immigrants to American continents [15] (Fig. 2). To date all SCA10 patients share a common SNP haplotype, C-expansion-G-G-C, suggesting a single ancestral origin

for SCA10 [14, 106]. Furthermore, little or null genetic distance in small normal alleles of different repeat sizes, from the same SNP lineage, indicates that they originated by a single-step mechanism [14].

SCA10 is a common SCA in Peru [111]. In Mexico SCA10 is one of the most common SCA after SCA2 [22]. SCA10 families in eastern Parana/Santa Catarina regions of Brazil appear to have a similar founder effect which may have resulted in the high prevalence of SCA10 second to SCA3, while the prevalence of SCA10 in other regions of Brazil appears to be lower [47, 112]. It is noteworthy that the frequency of epilepsy in this Brazilian SCA10 cohort is much lower than other regions [47], which could be explained by variations in the internal repeat sequence structure [113].

After negative searches for the SCA10 in China [114, 115], a single family of northern Chinese Han family was found to have SCA10 repeat expansions [116], followed by a discovery of a family from Western Japan with SCA10 [117]. These East Asian and American SCA10 families that have been tested all shared the same SCA10 haplotype, suggesting that the original SCA10 mutation may have occurred before the divergence of Proto-Amerinds from ancestral Asians. However, as the shared SCA10 haplotype is relatively common on both sides of the Pacific, independent expansion at two occasions across the Pacific could not be excluded. Individuals with the SCA10 expansion are rare in North America, while in South America, their distribution is widespread (TA, unpublished data). This is consistent with a major pattern of peopling Americas, i.e., a subgroup of East Asians went through the population bottleneck around the Bering land mass 15,000–20,000 years ago and then went through North and Central America and finally spread into South America [3, 4].

There has been only one neuropathological report on SCA10 brain of a Mexican American patient who had severe cerebellar ataxia and poorly controlled complex partial seizures with secondary generalization [118]. Despite the clinical severity, the neuronal loss was confined to cerebellar Purkinje cells. In contrast, a recent report of MRI findings of 18 patients with SCA10 showed degenerative changes in not only cerebellum and brainstem but also other parts of the brain, especially the putamen and thalamus whose volume loss is strongly associated with seizures [119]. The single-molecule real-time (SMRT) sequencing, which allows for obtaining long reads of continuous DNA sequence of SCA10 expansion alleles, led to the identification of three types of expansion: type A is mostly pure ATTCT repeats with periodic insertions of AT, TT, and CT dinucleotides; type B starts with a stretch of ATTCT repeat and then switches to ATTCC repeat; and type C consists of ATTCT repeat, ATCCT repeat, and then ATCCC repeat from 5' to 3' [120]. Limited genotype-phenotype correlations showed that type A is associated with late-onset ataxia or reduced penetrance, type B with ataxia and, in some patients, epilepsy, and type C always with ataxia and epilepsy. In some families, type A and type B expansions coexist in different siblings, and type A is associated with reduced penetrance or atypical phenotype [121]. However, the genotype-phenotype correlation is further confounded by the repeat length. Expansion alleles of 280 [99], 360, 370 [122], and 850 repeats [123] have shown reduced penetrance. The presence of ATCCT repeat, which resides between the ATTCT repeat and the ATCCC repeat in type C expansion, increases the probability of epilepsy by sixfold [113] and

affects the pattern of clinical anticipation [124]. Further studies of long repeat sequence reads of SCA10 repeats would clarify the genotype-phenotype correlations and geographic disparities of clinical phenotype.

In summary, SCA10 is a SCA of Native Americans that may have risen in East Asia and migrated to Americas through Bering land mass 15,000 and 20,000 years ago. The repeat expansion motifs differ from family to family and may determine the penetrance and phenotypic characteristics.

## Discussion

Founder events are usually determined through haplotype studies aimed at describing ancestral lineages of a given disease. The question whether SCAs that are due to an expanded microsatellite have multiple or few common ancestors is of interest, since the answer would clarify mutational mechanisms. The study of SCA clusters and geographical isolates, in contrast, is far less frequent in the literature. New World territories harbor a varied number of neurogenetic disease clusters, including SCAs. We reviewed here evidences concerning four SCA founders in the American continents and the Caribbean and discussed the characteristics of these populations. Moreover, we showed that the studies performed in these SCA populations have resulted in major scientific advances worldwide.

Disease cluster can be defined as an area and/or period of time where the prevalence of a particular disease exceeds expectations. Inherited disease clusters are due to a combination of two main vectors: a founder mutational event, plus isolation of geographical, cultural, religious, or even linguistic nature [125, 126]. The American continent was the latest piece of land to be occupied by *Homo sapiens*, and the occurrence of SCA10 in the American populations practically recapitulates mankind's entrance and migration through these continents. This fact raises the important question about why SCA10 has been kept in the American populations for the last 10,000–20,000 years. How does a trait associated with so much disability remain in populations? Even if the onset of symptoms occurs in the post-reproductive phase, the phenomenon is surprising, and the underlying mechanisms are unknown in SCA10. However, there are a couple of hypotheses. One is the de novo mutation which has been demonstrated in a handful of repeat expansion disorders, where alleles that contain a large normal-size repeat in the general population serve as a reservoir for the new full mutation (127). This phenomenon is based on microsatellite reconfigurations due to instabilities. Expanded microsatellites have variable penetrance and expressivity. According to this rationale, the expanded repeat could conceal itself in the general population after a contraction, to reappear phenotypically in a future generation. An alternative hypothesis is that an ancestral predisposition haplotype is present in the population, in which additional mutational events are necessary to trigger the disease. This may include a change in the repeat unit composition of expanded SCA10 allele affecting the penetrance. In fact, reduced penetrance has been found in SCA8 with such repeat sequence variations [128]. Whatever the reason SCA10 remains in Amerindian populations, this answer will have relevant consequences for the understanding of the pathophysiology of the disease – and therefore for its future clinical management – including treatment and prevention.

Much more recently, over the past five centuries, immigration waves from Europe and Africa reconfigured American populations again. These migratory waves brought with them new founder events. At the same time, American continents have a number of factors related to a high frequency of geographical and/or socioeconomic isolates. The high number (144) of potential clusters/isolates of inherited diseases described recently in Brazil can illustrate this phenomenon [129].

One of the most important clusters of inherited diseases related to post-Colombian migrations is the Huntington disease (HD; MIM: # 143100) isolate of Maracaibo, Venezuela. After the description by Americo Negrette, a research team from the USA-Venezuela Collaborative Research Project began making annual visits in 1981. The samples collected in Maracaibo led to the identification of HD locus in 1983 and gene identification 10 years later [130, 131]. Many HD features were discovered by the study of Venezuelan families, such as replication initiation regions at human trinucleotide repeat disease loci [132], soluble htt in the brain [133], and modifiers of the phenotype [134]. Therefore, the Maracaibo HD population is a very important example of how the study of an isolate/cluster can foster and speed up knowledge about a rare disease.

We reported here the LAC clusters of the polyQ SCA2, SCA3/MJD, and SCA7, all apparently related to European origins. The identification of these clusters may help to understand the selective forces at play in each of these conditions. The actual prevalence rates were determined in the reported geographical clusters. The current prevalence is also important because they will allow comparisons with estimates made in the future, for example, in 10 years time. Unstable repeats related to SCA2, SCA3/MJD, and SCA7 may contract or, more frequently, expand upon gamete generation. If expansions prevail, anticipation will produce a reduction in local SCA2, SCA3/MJD, and/or SCA7 prevalence rates. In contrast, SCA expanded repeats were also associated to positive selection forces such as the increased reproductive success of the carriers in SCA2 [135] and SCA3/MJD [55] and segregation distortion favoring the expanded allele in SCA3/MJD [11]. These phenomena can compensate for the effect of negative selection related to the disability, over the resultant prevalence of these disorders. On the other hand, haplotype studies help to clarify the “resilience” of a given mutation in time. SCA3/MJD has quite a few ancestral haplotypes. The most common is present worldwide and is 9,000 to 17,000 years old, favoring the hypothesis that the CAG repeat expansion at *ATXN3* has some selective advantage [59, 60]. More studies on SCA2 and SCA7 haplotypes will brighten this theme in the near future [136, 137].

We also aimed to summarize the contributions that studies on SCA2 in Cuba, SCA3/MJD in Brazil, SCA7 in Mexico, and SCA10 in the Americas have brought to the scientific field. Of note, research in LAC has been mainly focused on one SCA at a time. One reason for this kind of approach was the detection of large number of affected carriers of only one SCA in certain regions. This urged the development of healthcare facilities near these populations and prompted the development of clinical research groups dedicated to them.

The study of genetic isolates has advantages and limitations. Characterization of a disease and evidence on efficacy of treatments usually requires a large number of study participants.

In this sense, populations affected by SCA2, SCA3/MJD, and SCA7 in certain LAC regions will allow for suitable clinical trials in local institutions. However, the relatively homogeneous genetic and environmental backgrounds might produce some drawbacks. On one hand, they might allow a more controlled background, over which a study factor can outdo and be better investigated. On the other hand, local characteristics can prevent general conclusions to other SCA populations.

The future of SCA studies is promising in the American continents, especially with the creation of the Pan-American Hereditary Ataxia Network (PAHAN) in March 2019, in Havana. This network aims to bring together Latin American researchers interested in SCAs in order to stimulate collaboration and to build local consortiums for clinical and genetic studies. The present paper is the first scientific contribution of this network for increasing knowledge about SCAs, taking advantage of LAC potentials and characteristics.

In conclusion, clusters of SCA2, SCA3/MJD, and SCA7 and the almost exclusive occurrence of SCA10 in the American continents and the Caribbean present a unique opportunity for improving the understanding of clinical and genetic characteristics of these disorders. Moreover, we believe that the existence of large populations of carriers living close to study centers will allow researchers to perform worthwhile clinical trials and to speed up discoveries that will impact on therapies and on the quality of life of SCA carriers worldwide.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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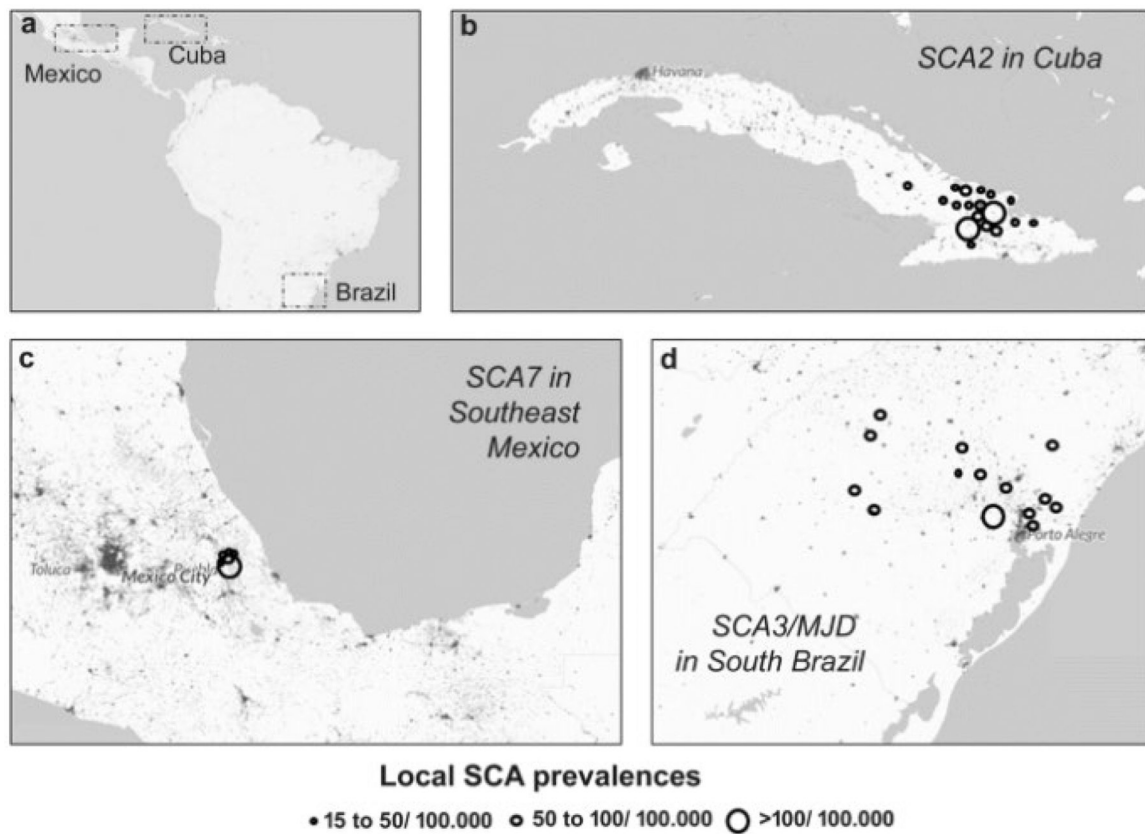
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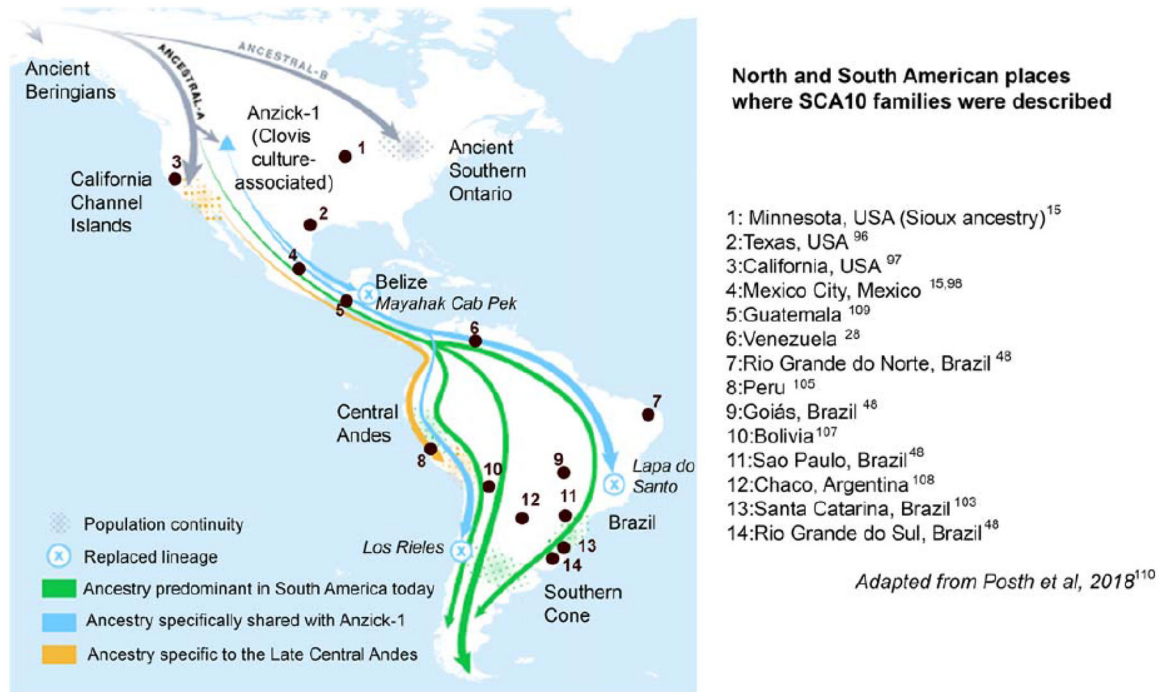
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**Fig. 1.** Prevalence rates of spinocerebellar ataxia clusters in Americas and the Caribbean. **a** The three main regions of interest in the American continents and the Caribbean. **b** Spinocerebellar ataxia type 2 (SCA2) in some Cuban municipalities. **c** Spinocerebellar ataxia type 7 (SCA7) in Southeast Mexico (Veracruz province). **d** Spinocerebellar ataxia type 3/Machado-Joseph disease (SCA3/MJD) in South Brazil (Rio Grande do Sul state). The background color represents population's density. Adapted from Global Human Settlement Layer (GHSL), European Commission (<http://luminocity3d.org/WorldPopDen/#6/-29.688/-61.282>). Consulted in August, 2019



**Fig. 2.** Occurrences of spinocerebellar ataxia type 10 (SCA10) in the American continents, according to the literature. Adapted from Posch et al. (2018) [110]