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Title:

Two H3K23 histone methyltransferases, SET-32 and SET-21, function synergistically to promote nuclear RNAi-mediated transgenerational epigenetic inheritance in *Caenorhabditis elegans*

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Running head: SET-32 and SET-21 promote nuclear RNAi in *C. elegans*

Key words: H3K23 methylation, histone methyltransferase, heterochromatin, nuclear RNAi, SET-32, SET-21, transgenerational epigenetic inheritance, mortal germline, Mrt, cosuppression, siRNA

25 **Abstract:**

26 Nuclear RNAi in *C. elegans* induces a set of transgenerationally heritable marks of H3K9me3,
27 H3K23me3, and H3K27me3 at the target genes. The function of H3K23me3 in the nuclear RNAi
28 pathway is largely unknown due to the limited knowledge of H3K23 histone methyltransferase (HMT).
29 In this study we identified SET-21 as a novel H3K23 HMT. By taking combined genetic, biochemical,
30 imaging, and genomic approaches, we found that SET-21 functions synergistically with a previously
31 reported H3K23 HMT SET-32 to deposit H3K23me3 at the native targets of germline nuclear RNAi.
32 We identified a subset of native nuclear RNAi targets that are transcriptionally activated in the *set-*
33 *21;set-32* double mutant. SET-21 and SET-32 are also required for robust transgenerational gene
34 silencing induced by exogenous dsRNA. The *set-21;set-32* double mutant strain exhibits an enhanced
35 temperature-sensitive mortal germline phenotype compared to the *set-32* single mutant, while the *set-21*
36 single mutant animals are fertile. We also found that HRDE-1 and SET-32 are required for
37 cosuppression, a transgene-induced gene silencing phenomenon, in *C. elegans* germline. Together, these
38 results support a model in which H3K23 HMTs SET-21 and SET-32 function cooperatively to ensure
39 the robustness of germline nuclear RNAi and promotes the germline immortality under the heat stress.

40

41

42 **Introduction:**

43 RNA interference refers to a diverse set of gene silencing activities that are guided by the small
44 interfering RNAs (siRNAs)¹⁻³. Broadly speaking, the underlying gene silencing mechanisms of RNAi
45 fall into two categories: transcriptional gene silencing (TGS)⁴⁻⁸ and post-transcriptional gene silencing
46 (PTGS)^{9,10}. The TGS mechanism, which is also referred to as nuclear RNAi, guides the formation of
47 heterochromatin at transposons and other repetitive DNA, and plays an essential role in genome stability
48 and germ cell development in plants, fungi, and animals^{11,12}. Since its initial discovery in plants^{13,14}
49 and *S. pombe*¹⁵, nuclear RNAi has been used as a model system to explore different aspects of
50 chromatin biology, particularly in the regulatory function of non-coding RNA and the mechanisms of
51 transgenerational epigenetic inheritance (TEI)^{16,17}.

52 In *C. elegans*, exogenous dsRNA or piRNA can induce various heterochromatin marks including
53 H3K9me3^{18,19}, H3K27me3²⁰, and H3K23me3²¹ at a target gene. Remarkably, RNAi-induced histone
54 modifications and the silencing effect can persist for multiple generations^{18,20,21}, which makes *C.*
55 *elegans* a tractable system to study TEI. The heterochromatic histone modifications also mark the native
56 targets of the germline nuclear RNAi, which are largely composed of transposable elements²⁰⁻²³.
57 Surprisingly, although H3K9me3 is one of the best-known constitutive heterochromatin marks, we and
58 others found that the H3K9me3 appears to be dispensable for transcriptional repression at the nuclear
59 RNAi target genes²⁴⁻²⁶. H3K27me3 is a hall mark for the facultative heterochromatin. In *C. elegans*,
60 H3K27me2/3 in adult germ cells is deposited by the *Drosophila* E(Z) and human EZH2 homolog MES-2
61^{27,28}. Loss of MES-2 leads to sterility²⁸, which makes it difficult to investigate the function of
62 H3K27me3 in *C. elegans* nuclear RNAi.

63 Although H3K23me is an evolutionarily conserved heterochromatin mark found in plants²⁹,
64 fungi³⁰, and animals including mammals³⁰⁻³⁷, much less is known about this histone modification
65 compared to H3K9me or H3K27me. Loss of H3K23me in *Tetrahymena* is associated with an increase in
66 DNA damage³². H3K23me is an abundant histone modification throughout *C. elegans* development
67 and is present in both the soma and germline^{33,34}. The whole-genome distributions of H3K23me3 and
68 H3K9me3 are similar to each other, and both are highly enriched in the heterochromatin in *C. elegans*²¹.

69 We previously reported that SET-32 can catalyze H3K23 methylation *in vitro* and is required for
70 the nuclear RNAi-mediated H3K23me3 *in vivo*²¹. However, Loss of SET-32 only leads to a partial loss
71 of H3K23me3, indicating the existence of additional H3K23 HMTs²¹. Most of the known HMTs contain
72 an evolutionarily conserved catalytic SET domain³⁸. In *C. elegans*, there are 38 SET domain-containing
73 proteins³⁹. In this paper, we identified and characterized SET-21 as the other H3K23 HMT that
74 functions in the germline nuclear RNAi pathway.

75

76 **Results**

77 **SET-21 exhibits H3K23 methyltransferase activity *in vitro***

78 To identify other putative H3K23 methyltransferases, we performed a phylogenetic analysis of
79 the 38 *C. elegans* SET domain-containing proteins³⁹. Among them, SET-21 and SET-33 have the

80 highest homology to SET-32 (Fig. 1A). *set-33* is listed as a pseudogene in the WormBase⁴⁰ and
81 therefore was not investigated by this study.

82 SET-21 and SET-32 share 43% sequence identity, and the two genes also share similar gene
83 structures (Fig. S1A, B). To determine whether SET-21 is an H3K23 HMT, we performed an *in vitro*
84 HMT assay by using purified recombinant GST-SET-21 and H3 proteins. Mass spectrometry analysis of
85 the reaction product showed that GST-SET-21 methylated the lysine 23 in H3. Both H3K23me1 and
86 H3K23me2 were detected in the reaction product (Fig. 1B), although H3K23me3 was not detected.
87 Future studies are needed to explain why our GST-SET-21 cannot produce H3K23me3 *in vitro* and
88 determine whether SET-21 can produce H3K23me3 *in vivo*. Nevertheless, our results indicate that SET-
89 21, the closest SET-32 homology in *C. elegans*, exhibits H3K23 HMT activity *in vitro*.

90 91 **SET-21 is expressed in *C. elegans* adult germline and embryo**

92 Based on the published tissue-specific and developmental RNA-seq data sets^{41 42}, both *set-21*
93 and *set-32* mRNA expressions appeared to be germline-enriched (Fig. S1C and D). We performed
94 immunofluorescence (IF) microscopy analysis using gonads dissected from adult hermaphrodite animals
95 expressing the SET-21(native)::3xFLAG or SET-32(native)::3xFLAG protein. Our anti-FLAG IF
96 microscopy of SET-32(native)::3xFLAG agrees with the previous study³⁶ showing that SET-32 is
97 expressed throughout the different developmental stages of adult germline (Fig. 2A, C). SET-32 is
98 present in both the cytoplasm and nucleus.

99 We found that SET-21::3xFLAG was strongly enriched in the nuclei of oocyte diakinesis germ
100 cells (Fig. 2A-B). Interestingly, the SET-21 expression progressively intensifies as diakinesis oocytes
101 mature. Only a background level of SET-21::3xFLAG was observed in the earlier stages, including the
102 mitotic proliferating and meiotic pachytene cells. We did not detect any expression of SET-21::3xFLAG
103 in sperm. This result indicates that SET-21 expression is developmentally regulated and, in an adult
104 animal, predominantly expressed in the oocyte nuclei.

105 We also performed anti-FLAG IF analyses in embryos. We found that both SET-21::3xFLAG
106 and SET-32::3xFLAG proteins were broadly expressed in embryos (Fig. S2). Like adult germline, SET-
107 32 is present in both the cytoplasm and nuclei of embryos, while SET-21 is strongly enriched in the
108 nuclei of embryos.

109 110 **SET-21 and SET-32 promote germline immortality at a high temperature**

111 To characterize the function of SET-21, we obtained a *set-21* mutant strain created by the
112 genomic deletion consortium project⁴³. The *set-21(ok2320)* allele⁴³ carries a 1.6 kb deletion that
113 includes the entire catalytic SET domain of SET-21, likely resulting in a loss-of-function mutation. In
114 addition, we constructed a putatively catalytic inactive mutation of *set-21* (Y502F, allele name *red109*).
115 The tyrosine 502 residue is in the highly conserved Motif IV of the SET domain (Fig S1A) and its
116 phenolic hydroxyl group is essential for the binding of S-adenosyl-methionine and catalysis in other
117 HMTs³⁸. To examine any possible synthetic effect, we constructed two *set-32;set-21* double mutant
118 strains, each carrying a different mutant *set-21* allele.

119 All of the *set-21*, *set-32*, and *set-32;set-21* mutants were continuously maintained in our 20°C
120 incubator for at least one year without any sign of sterility. After shifting to 25°C, we found that the *set-*
121 *32;set-21* double mutant animals, regardless which of the two aforementioned *set-21* mutant alleles was
122 used, exhibited a progressive reduction in brood size and became sterile after approximated eight
123 generations at 25°C (Fig. 2D and S3A-B). Such phenotype, termed mortal germline (Mrt), is common to
124 the mutations in the germline nuclear RNAi pathway^{44,45}. We examined *set-32;set-21* mutant animals
125 cultured at 25°C for seven generations. We found that some mutant animals lacked sperm while some
126 lacked oocytes or both types of gametes (Fig. S3C), indicating that both male and female germ cell
127 development is defective in the mutant.

128 *set-32* mutant animals also exhibited the Mrt phenotype (Fig. 2D), consistent with the previous
129 reports^{36,46}. Compared to the *set-32;set-21* mutant animals, it took much longer (>20 generations) for
130 the *set-32* mutant to reach complete sterility in our analysis. Neither of the two *set-21* mutant strains
131 showed any sign of the Mrt phenotype at 25°C (up to 23 generations). Our results indicated that SET-21
132 and SET-32 function synthetically to promote germline immortality at an elevated temperature.

133

134 **SET-32 and SET-21 are required for the H3K23me3 at the native nuclear RNAi targets**

135 Knowing that SET-21 can methylate H3K23 *in vitro*, we decided to investigate the role of SET-
136 21 in H3K23me *in vivo*. To this end, we performed H3K23me1, H3K23me2, and H3K23me3 ChIP-seq
137 in the N2 (WT), *set-32*, *set-21*, and *set-32;set-21* young adult animals. To detect any obvious global
138 changes, we made whole-chromosome coverage plots for each mutant in comparison with WT (Fig. S4).
139 Each of the three mutants exhibited essentially WT-like profiles for all three H3K23me marks at the
140 resolution we used for this analysis (10 kb).

141 We then increased the resolution to 1 kb for the mutant versus WT comparison (Fig. 3). We
142 found that *set-21* mutation alone had virtually no effect on H3K23me1, me2, or me3 ChIP-seq signals.
143 *set-32* single mutation had virtually no effect on H3K23me1 or H3K23me2, but resulted in modest
144 decreases in H3K23me3 for 165 kb regions (cutoff: mutant/WT $\leq 2/3$, FDR ≤ 0.05), which is consistent
145 with our previous report²¹. Mutating both *set-32* and *set-21* had no impact on H3K23me1, but showed
146 modest decreases in H3K23me2, and significant losses in H3K23me3 (Fig. 3).

147 To perform more detailed, quantitative analysis of H3K23me3 ChIP-seq data, we identified
148 H3K23me3-enriched genomic regions using MACS2⁴⁷. We first compared the H3K23me3 ChIP-seq
149 and input signals in the WT animals, and identified 9918 H3K23me3 peaks, which covers approximately
150 5% of the genome (4.9 Mb) (Table 1). We then asked which of these peaks are dependent on HRDE-1
151 or SET-32/21 for H3K23me3. To this end, H3K23me3 ChIP-seq analysis was also performed for the
152 *hrde-1* mutant in this study.

153 By comparing WT with *hrde-1* or *set-32;set-21* mutants, we identified 372 peaks (496 kb) and
154 408 peaks (512kb) in which the H3K23me3 enrichment is dependent on HRDE-1 or SET-32/21,
155 respectively (cutoff: mutant/WT $\leq 2/3$, FDR ≤ 0.05), (Fig. 3A and Table 1. See Fig. 5 for examples.).
156 We found that the HRDE-1-dependent H3K23me3 peaks and the SET-32/21-dependent ones largely

157 overlap (Fig. 4A), suggesting that SET-32/21-dependent H3K23me3 is largely limited to the germline
158 nuclear RNAi targets.

159 Consistent with the 1kb whole-genome analyses (Fig. 3), *set-32* mutant exhibited weaker
160 H3K23me3 loss in the SET-32/21-dependent regions than the *set-32;set-21* double mutant (Fig 4B and
161 ²¹); while the *set-21* mutant did not show any obvious H3K23me3 losses in the same regions (Fig. 4B).
162 We also performed H3K23me3 ChIP-seq in the *met-2 set-25* double mutant and found that loss of these
163 two H3K9 HMTs did not cause significant reduction in the H3K23me3 level (Fig. 4B).

164 Our results indicate that SET-32 and SET-21 are germline nuclear RNAi-specific H3K23
165 methyltransferases. We note that, outside of the germline nuclear RNAi targets, most H3K23me3 peaks
166 in *C. elegans* genome are independent of HRDE-1 or SET-32/21, indicating an RNAi-independent
167 H3K23me3 pathway(s) and other unknown H3K23 HMTs.

168

169 **SET-32 and SET-21 are also required for the H3K9me3 at the native nuclear RNAi targets**

170 SET-32 has been also shown to promote H3K9me3 *in vivo* in previous studies ^{24,36}. To
171 investigate the possible role of SET-32/21 in whole-genome distribution of H3K9me3, we performed
172 H3K9me3 ChIP-seq in WT and *set-32;set-21*, as well as *hrde-1* and *met-2 set-25* mutant animals.

173 H3K23me3 and H3K9me3 have almost the same genomic distribution in WT animals (Fig.
174 S5A)^{21,33}. So we used the genomic annotations of the H3K23me3 peaks for the H3K9me3 analysis. We
175 first determined the regions that showed at least 33.3% reduction (FDR \leq 0.05) in H3K9me3 in *hrde-1*,
176 *set-32;set-21*, or *met-2 set-25* mutant and called these regions with HRDE-1, SET-32 SET-21, or MET-2
177 SET-25-dependent H3K9me3, respectively.

178 We found that HRDE-1-dependent H3K9me3 and HRDE-1-dependent H3K23me3 are largely
179 overlap (Fig. 4C). SET-32 SET-21-dependent H3K23me3 and SET-32 SET-21-dependent H3K9me3
180 also have very similar genomic distribution (Fig. 4D). In contrast, MET-2 SET-25-dependent H3K9me3
181 covers more genomic regions than MET-2 SET-25-dependent H3K23me3 (Fig. 4E and Fig. 6). In
182 addition, the overlap between HRDE-1-dependent H3K23me3 (or H3K9me3) and MET-2 SET-25-
183 dependent H3K23me3 (or H3K9me3) are much smaller than the ones between HRDE-1 and SET-32
184 SET-21 (Fig. S5B and C). These results suggest that germline nuclear RNAi-mediated H3K23me3 and
185 H3K9me3 are two highly correlated events, and both are dependent on SET-32 and SET-21. Given the
186 lack of the *in vitro* H3K9 HMT activity for SET-32 ²¹ and SET-21 (this study), we suggest that the
187 H3K9me3 at the nuclear RNAi targets is deposited by MET-2 and SET-25 and is downstream to the
188 activity of SET-32/21-mediated H3K23me3.

189

190 **SET-32 and SET-21 are required for transcriptional repression of a subset of germline nuclear** 191 **RNAi native targets**

192 We performed RNA-seq of WT, *set-32*, *set-21*, *set-32;set-21*, and *hrde-1* mutant animals. There
193 are 484 protein-coding genes became derepressed in the *hrde-1* mutant using a minimal fold change
194 [*hrde-1*/WT] of 3.0 (FDR \leq 0.02) (Fig. S7A and Table 2), which is consistent with our previous studies
195 ^{22,23}. Using the same cutoff, we found that only four and one genes were derepressed in the *set-32* and

196 *set-21* single mutants, respectively. 24 genes were derepressed in the *set-32;set-21* mutant animals (Fig.
197 S6A-B and Fig. 5E and Table 2). All four genes that were derepressed in the *set-32* mutant were also
198 derepressed in the *set-32;set-21* double mutant. The single gene that was derepressed in the *set-21*
199 mutant is likely due to genetic background because the same gene was not derepressed in *set-32;set-21*
200 double mutant or a different *set-21* allele (Fig. S6C). We named the 24 desilenced genes as *set-32/21*-
201 sensitive targets. The siRNAs of *set-32/21*-sensitive targets are bound by HRDE-1 (Fig. S10A). 17 of
202 the *set-32/21* sensitivity targets are also desilenced in the *hrde-1* mutant (Fig. 3B).

203 These results suggest that SET-32 and SET-21 play a redundant role in mRNA silencing. This is
204 consistent with their redundant roles in H3K23me3 at nuclear RNAi targets and germline fertility.
205 Majority of the 24 *set-32/21*-sensitive genes are germline nuclear RNAi targets. However, it is
206 somewhat surprising that only a small fraction of the germline nuclear RNAi targets were desilenced in
207 the *set-32;set-21* mutant despite that most of the germline nuclear RNAi targets showed loss of
208 H3K23me3 in the *set-32;set-21* mutant.

209 To investigate the role of SET-32/21 in transcriptional repression, we performed Pol II ChIP-seq
210 analysis of WT, *hrde-1*, and *set-32;set-21* mutant animals. We found that regions with SET-32/21-
211 dependent H3K23me3 exhibited a strong tendency to have increased Pol II occupancies in both the
212 *hrde-1* and *set-32;set-21* mutants (Fig. 5A and Fig. 6). Therefore, SET-32 and SET-21 are required for
213 transcriptional repression at these native nuclear RNAi targets.

214 **Loss of SET-32/21 changes siRNA expressions for many genes**

215 Previous studies have found intricate connection between chromatin enzymes and siRNA
216 dynamics in *C. elegans*^{26, 48, 49}. To investigate the potential roles of SET-32/21 in siRNA regulation, we
217 performed the sRNA-seq analysis. We found very few siRNA changes in the *set-21* mutant (Fig. S6E).
218 In contrast, both *set-32* and *set-32;set-21* mutants exhibited extensive siRNA changes and the two
219 mutants shared very similar siRNA profiles (Fig. S6D, S8C, S8D, and Table 2).

220 We found that the siRNA changes are more complex than the mRNA changes in the *set-32;set-*
221 *21* mutant (Fig. 5E, 5F and Fig. S9). We found 138 genes had higher siRNA expression levels in *set-*
222 *32;set-21* compared to WT animals and 77 genes had lower siRNA expression levels (cutoff: fold
223 change ≥ 3.0 and FDR ≤ 0.02). Some of the top changes with increased mRNA expressions showed
224 losses of siRNA expressions in the *set-32;set-21* mutant (Fig. 6 and S9A and S9C). Most of the siRNA
225 changes, particularly for the genes with increased siRNA expressions, were not associated with any
226 significant changes in mRNA expression in the *set-32;set-21* mutant (Fig. S9). Therefore, the impact of
227 *set-32;set-21* mutations are far greater on the siRNA profiles than the mRNA profiles: affecting more
228 genes and resulting both increased and decreased siRNA expressions.

229 Mutation in the key nuclear RNAi factor *hrde-1* also resulted in complex and extensive changes
230 in siRNA expression profiles (Fig. S7B)²². We found that the majority of siRNA changes in the *set-*
231 *32;set-21* mutant also showed corresponding changes in the *hrde-1* mutant (Fig. 5C and 5D), indicating
232 an overlapping role in siRNA regulation between HRDE-1 and SET-32/21.

233 We analyzed our published HRDE-1 and CSR-1 coIP sRNA-seq data⁵⁰ and found that siRNAs
234 showed differential expressions (either increase or decrease) in the *set-32;set-21* mutant tend to be

235 bound by HRDE-1, instead of CSR-1 (Figure. S10), indicating that the *set-32;set-21* mutations
236 selectively impact the WAGO-class secondary siRNAs (22G-RNAs).

237 **SET-32 and SET-21 only partially contribute to the transcriptional repression at germline nuclear** 238 **RNAi targets**

239 As mentioned in the previous section, some of the top *set-32/21*-sensitive targets (measured by
240 mRNA changes) showed losses of siRNA expression in the *set-32;set-21* double mutant. We noticed
241 that these genes also showed loss of siRNAs in the *hrde-1* mutant (e.g. *f15d4.5* Fig. 6A). Can restoring
242 the siRNA expression rescue the transcriptional silencing at these targets in *hrde-1* or *set-32;set-21*
243 mutant animals? To address this question, we used a piRNA-based gene silencing technology, termed
244 piRNAi⁵¹, which expresses a set of custom designed piRNAs from an extrachromosomal array. The
245 ectopic piRNAs result in abundant secondary siRNAs against the target gene in the germline, which then
246 leads to both classical and nuclear RNAi at the target gene⁵¹⁻⁵⁴.

247 Here we chose *f15d4.5* and *c38d9.2* as piRNAi targets. Both genes are annotated as putative
248 protein-coding genes without any known functions in the Wormbase. They are native germline nuclear
249 RNAi targets with abundant siRNAs in WT animals but exhibited loss of siRNAs and transcriptional
250 derepression in the *hrde-1* and *set-32;set-21* mutants (Fig. 7B-D). We transformed the *hrde-1* and *set-*
251 *32;set-21* mutant animals with a piRNAi transgene that expresses both anti-*f15d4.5* and anti-*c38d9.2*
252 piRNAs. A control piRNAi transgene, which expresses a set of anti-randomly sequence piRNAs, was
253 also introduced into the mutant strains. We first performed sRNA-seq and confirmed that the anti-
254 *f15d4.5* and *c38d9.2* piRNAi, but not the control piRNAi, restored their siRNA expressions to the WT or
255 even higher levels in both mutant strains (Fig. 7B).

256 We then performed RT-qPCR and found that the anti-*f15d4.5+c38d9.2* piRNAi, but not the
257 control piRNAi, was able to suppress their mRNA expressions in both *hrde-1* and *set-32;set-21* mutants
258 (Fig. 7C). However, a much higher degree of suppression was observed in the *set-32;set-21* mutant than
259 in the *hrde-1* mutant (e.g. 2.5- and 242-fold reductions in *c38d9.2* mRNA expressions in *hrde-1* and *set-*
260 *32;set-21*, respectively). The partial rescue of silencing by piRNAi in the *hrde-1* mutant is consistent
261 with the model that the piRNAi-induced secondary siRNAs rescue the PTGS, but not the TGS, as
262 HRDE-1 is required for the TGS but not the PTGS mechanism. The near complete silencing by piRNAi
263 observed in *set-32;set-21* mutant indicates that SET-32 and SET-21 are dispensable for silencing at
264 these target genes when both HRDE-1 and siRNAs are present.

265 We performed Pol II ChIP-seq to investigate the role of SET-32/21 in the transcriptional
266 repression when siRNAs are restored. First we observed that that anti-*f15d4.5* and *c38d9.2* piRNAi did
267 not reduce the Pol II level at the target genes in the *hrde-1* mutant animals, which is consistent with the
268 essential role of HRDE-1 in TGS. In *set-32;set-21* mutant, anti-*f15d4.5* and *c38d9.2* piRNAi reduced the
269 Pol II level at these target genes by 83.8% and 50%, respectively, compared to control piRNAi in the
270 same mutant. However, restoring the siRNAs in the *set-32;set-21* mutant did not fully rescue the
271 transcriptional repression defect. The Pol II levels at *c38d9.2* and *f15d4.5* in the *set-32;set-21* (piRNAi+)
272 were still 1.7 and 4.9 times higher than their WT levels (i.e., when fully suppressed, Fig. 7D). The Pol II

273 ChIP-seq results are consistent with mRNA-seq results, which showed a slight above the WT-level of
274 mRNA expression of the two targets in the *set-32;set-21* mutant (piRNAi+).

275 Based on these results, we suggest that SET-32/21-mediated H3K23me3 is a partial contributor
276 to the siRNA-guided transcriptional repression. For most of the native RNAi targets, loss of H3K23me3
277 can be compensated by other siRNA-guided TGS mechanisms, and therefore does not lead to significant
278 desilencing at the mRNA level. The requirement of SET-32 and SET-21 for certain sensitive targets
279 such as *f15d4.5* and *c38d9.2* may be due to siRNA loss or other unknown impacts of heterochromatin
280 defects.

281

282 **The requirement of SET-21 and SET-32 for gene silencing triggered by exogenous dsRNA, piRNA,** 283 **and transgene**

284 Nuclear RNAi against a germline-expressed euchromatin gene can be induced by different
285 exogenous trigger molecules, including dsRNA⁸, piRNA⁵², and extrachromosomal array²⁵. Here we
286 investigated whether SET-32 and SET-21 are required for these silencing pathways.

287 **dsRNA.** We fed WT, *hrde-1*, *met-2 set25*, and *set-32;set-21* animals with *oma-1* dsRNA-
288 expressing *E. coli*. Two different *set-32;set-21* mutant strains were used, each carrying a different *set-21*
289 mutant allele. RT-qPCR analysis of *oma-1* pre-mRNA indicated that the dsRNA feeding induced a
290 strong transcriptional repression of *oma-1* in WT, *met-2 set25*, and *set-32;set-21* mutant animals (Fig.
291 8B). The *hrde-1* mutant was defective in the dsRNA-induced transcriptional repression at *oma-1* as
292 previously reported^{24, 44}. These results indicate that SET-32 and SET-21 are not required for dsRNA-
293 induced transcriptional repression.

294 To measure the heritable RNAi effect, we collected two generations of progeny (F1 and F2) after
295 dsRNA feeding had been discontinued (Fig. 8A). *Oma-1* silencing, measured by the pre-mRNA level,
296 persisted in both F1 and F2 generations in the WT animals, but completely dissipated in the F1
297 generation for the *hrde-1* mutant (Fig. 8B) as expected^{24, 44, 52}. *met-2 set-25* showed an enhanced
298 heritable RNAi compared to WT, which is likely due to the antagonistic role of MET-2 in heritable
299 RNAi as previously reported²⁶. In both *set-32;set-21* mutant strains, *oma-1* silencing occurred in the F1
300 generation, but the degree of silencing was weaker than the WT animals (Fig. 8B). At the F2 generation,
301 the heritable silencing effect was completely lost for the *set-32;set-21* mutants. These results indicate
302 that SET-21 and SET-32 are required for a robust heritable RNAi effect induced by dsRNA.

303 **piRNA.** We performed piRNAi against *oma-1* in WT and *set-32;set-21* mutant animals. We
304 examined the piRNAi transgene-containing animals, as well as the descendants that had lost the piRNAi
305 transgene for one or several generations (Fig. 8C). This allowed us to examine the piRNA-induced
306 heritable gene silencing effect. RT-qPCR was performed to measure the *oma-1* mRNA expression. We
307 found that *oma-1* piRNAi silenced *oma-1* mRNA expression in both WT and *set-32;set-21* animals (Fig.
308 8D). The heritable silencing profiles shown in the transgene-negative descendants were also similar
309 between WT and *set-32;set-21* mutant animals (Fig. 8D). These results indicate that SET-32 and SET-21
310 are not required for exogenous piRNA-induced silencing, either at the piRNA(+) generation or the
311 heritable silencing.

312 **Cosuppression.** In addition to dsRNA and piRNA, a germline gene in *C. elegans* can also be
313 heritably silenced by a homologous extrachromosomal transgene, a phenomenon called cosuppression
314 ⁵⁵⁻⁵⁷. DNA fragment injected into *C. elegans* syncytial germline forms DNA repeat structure called
315 extrachromosomal array ⁵⁸. The repetitive DNA nature of such transgene has been suggested as the
316 triggering signal for silencing both the transgene and the homologous native gene in the germline ⁵⁹.
317 Cosuppression shares some of the mechanisms of RNAi, including secondary siRNAs and heritable
318 silencing ^{25, 55, 56}. Much of its mechanism, especially the early steps of the pathway, is not well
319 understood.

320 We transformed worms with an extrachromosomal transgene array carrying a partial *oma-1*
321 cDNA driven by the *oma-1* promoter. The 492 nt *oma-1* cDNA fragment covers exons 2-4 and contains
322 a SNP every 30 nt. We found the *oma-1* transgene caused a 10-fold reduction in *oma-1* mRNA
323 expression in WT animals (Fig. 8E). We also observed strong cosuppression effect in *met-2 set-25*
324 *double* (11-fold) and *set-21* mutant animals (5-fold). The cosuppression effect was defective in *set-32*,
325 *set-32;set-21*, and *hrde-1* mutant animals. These results indicate that the germline nuclear RNAi
326 pathway and H3K23me3 is essential for cosuppression.

327

328 Discussion

329 In this study, we identified a novel H3K23 HMT, SET-21. Together with SET-32, these two
330 HMTs deposit most if not all H3K23me3 specifically at the germline nuclear RNAi targets, and function
331 in synergy to promote transgenerational RNAi and fertility. Our work deepens the understanding of
332 nuclear RNAi, especially the complexity of chromatin regulation and its connection to transgenerational
333 epigenetic inheritance.

334 The relationship between SET-32 and SET-21

335 SET-21 is the closest homolog of SET-32 in *C. elegans*. The two genes also have similar gene
336 structures in terms of exon and intron organization (Fig. S1B), suggesting that they are likely to be
337 evolved from a gene duplication event. However, the two genes are not completely redundant of each
338 other, indicated by stronger phenotypes (e.g., H3K23me3 loss and Mrt) shown by the *set-32* mutant than
339 the *set-21* mutant. On the other hand, SET-32 alone is not sufficient to replace SET-21 evidenced by a
340 much enhanced phenotype of the *set-32;set-21* double mutant compared to the *set-32* single mutant. It is
341 possible that the apparent synergy between SET-21 and SET-32 is due to their differential expression
342 within the germline tissue: SET-21 expression is limited to oocytes while SET-32 is expressed
343 throughout the different stages of adult germline. It is also possible that SET-21 and SET-32 have
344 different biochemical activities. Future studies are needed to investigate these hypotheses. *set-32* and
345 *set-21* have also been reported to extend the life span of *daf-2* mutant animals. SET-32 and SET-21
346 appear to function in the same pathway instead of synergistically for the life span phenotype⁶⁰,
347 suggesting their role in life span may be independent of their nuclear RNAi function.

348

349 SET-32/21-dependent H3K23me3 is specific to the germline nuclear RNAi pathway

350 Our ChIP-seq analysis showing that HRDE-1- and SET-32/21-dependent H3K23me3 has very
351 similar genomic profiles, which account only for approximately 10% of all H3K23me3-enriched regions
352 in the genome. This probably explains why we were not able to detect H3K23me3 loss in the *set-32;set-*
353 *21* mutant by either western blotting or mass spectrometry (data not shown).

354 H3K23me3 in nuclear RNAi-independent heterochromatin is not affected in the *set-32;set-21*
355 mutant, suggesting the existence of additional H3K23 HMTs. Identifying the unknown H3K23 HMTs
356 will be important to investigate the broader function of H3K23me3, which is abundant in *C. elegans*³⁴.

357 We do not understand how SET-32 and SET-21 are recruited to the nuclear RNAi targets at this
358 point. Previous proteomic studies did not detect SET-32 or SET-21 in the HRDE-1 co-
359 immunoprecipitation experiment⁶¹, suggesting that either HRDE-1 and SET-32/21 interactions are very
360 weak, or SET-32/21 were indirectly recruited to chromatin targets by HRDE-1.

361

362 The relationship between H3K23me3 and H3K9me3

363 A previous study reported that MET-2 and SET-25 are the sole H3K9 HMTs in the embryo⁶².
364 We previously found that adult *met-2 set-25* double mutant had only a partial loss of H3K9me3 at
365 nuclear RNAi targets²⁴. In the *met-2 set-25;set-32* triple mutant, the H3K9me3 level was reduced to the
366 background level²⁴. A stronger loss of H3K9me3 was also reported in *set-25;set-32* mutant germline
367 compared with *set-25* or *set-32* single mutant³⁶. Interestingly, we also observed strong loss of
368 H3K9me3 at germline nuclear RNAi targets in the *set-32;set-21* mutant in this study. Based on these
369 results, we suggest a model in which MET-2 and SET-25 only partially contribute to RNAi-dependent
370 H3K9me3, and additional H3K9 HMTs also function in the nuclear RNAi pathway.

371 SET-32/21-dependent H3K9me3 and H3K23me3 profiles correlate well with each other. It is
372 conceivable that the both H3K9me3 and H3K23me3 at nuclear RNAi targets are deposited by SET-21
373 and SET-32, as mammalian EHMT1/GLP and EHMT2/G9a are known to deposit both H3K9me and
374 H3K23me⁶³⁻⁶⁵. Our HMT assays argue against this possibility. However, we cannot rule out that SET-
375 32, SET-21, or both can deposit both H3K23me3 and H3K9me3 *in vivo*. It is also possible that an
376 unknown HMT functions in a H3K23me3-dependent manner to deposit H3K9me3 at the nuclear RNAi
377 targets. Future study is needed to test these hypotheses.

378

379 The transcriptionally repressive role of H3K23me3

380 Our Pol II chip-seq analyses indicate that SET-32 and SET-21 promote transcriptional repression
381 at germline nuclear RNAi targets. However, both H3K9me3 and H3K23me3 levels are reduced in the
382 *set-32;set-21* mutant. Which of the two histone modifications contribute to transcriptional repression?
383 We currently favor H3K23me3 for this role because (1) H3K23me3 is much more abundant than
384 H3K9me3 in *C. elegans*³⁴, and (2) the near complete loss of H3K9me3 in the *met-2 set-25;set-32* triple
385 mutant did not exhibit transcriptional repression defect. However, we cannot rule out both H3K23me3
386 and H3K9me3 are needed for the transcriptional repression. We note that not all nuclear RNAi targets
387 that showed increased Pol II occupancy in the *set-32;set-21* mutant. The *hrde-1* mutant had more
388 desilencing events, measured by mRNA levels, than the *set-32;set-21* mutant. Based on these results, we

389 suggest the following model: SET-32/21-dependent H3K23me3 repress the chromatin access of Pol II.
390 But this is not the sole silencing mechanism of nuclear RNAi. Other HRDE-1-guided activities may
391 eliminate the transcripts through an unknown co-transcriptional silencing mechanism.

392

393 SET-32 and SET-21 as regulators of siRNA homeostasis

394 Interestingly, mutations in *set-32* and *set-21* led to a much more extensive changes in global
395 siRNA expression pattern than mRNA. We note that some genes showed decreased siRNA expression,
396 while some other genes showed increased siRNA expression. This suggests that the impact of SET-32
397 and SET-21 on siRNA expression is likely to be indirect. In some targets, the loss of siRNAs in the *set-*
398 *32;set-21* mutant can potentially explain their silencing defects, evidenced by partial rescue of
399 transcriptional silencing defect in the *set-32;set-21* mutant by re-introducing corresponding siRNAs.

400

401 SET-32 and SET-21 are required for TEI in gene silencing and transgenerational fertility

402 Previous studies showed that SET-32 promotes the establishment of transgenerational epigenetic
403 silencing either at some native germline nuclear RNAi targets or exogenous dsRNA-induced heritable
404 RNAi^{17,48}. Here we showed that SET-32 and HRDE-1 are also essential for transgene-induced silencing
405 (cosuppression) in *C. elegans* germline. Furthermore, SET-32 and SET-21 function together to promote
406 exogenous dsRNA-induced heritable RNAi. Similar to other germline nuclear RNAi factors, loss of
407 SET-32 and SET-21 leads to the mortal germline phenotype at an elevated temperature. These results
408 indicate that SET-32 and SET-21 are key TEI factors in *C. elegans*. Further investigation of the
409 molecular and developmental mechanisms of these two enzymes and H3K23me3 should provide insight
410 of novel aspects of TEI in animals.

411

412

413 **Methods:**

414 **Worm strains**

415 *C. elegans* strain N2 (PD1074) is a cloned population derived from the original “Bristol” variant of *C.*
416 *elegans*⁶⁶ and was used as the standard WT strain. Alleles used in this study were LG I: *set-32(red11)*,
417 LG III: *hrde-1(tm1200)*, *met-2(n4256)* *set-25(n5021)*, LG IV: *set-21(ok2320)*, *set-21(red109)*.
418 N2(PD1074), *hrde-1(tm1200)*, *met-2(n4256)*, *set-25(n5021)*, and *set-21(ok2320)* strains were acquired
419 from *Caenorhabditis* Genetics Center (CGC). We constructed the *set-21(ok2320);set-32(red11)* or *set-*
420 *21(red109);set-32(red11)* double mutant by CRISPR method as described in^{67,68}. *C. elegans* culture was
421 as previously described⁶⁹ in a temperature-controlled incubator. Worms were cultured at 20°C for all
422 experiments except the multigenerational fertility assay at 25°C.

423 **Phylogenetic analysis of the 38 *C. elegans* SET-domain containing proteins** were performed using
424 the Clustal Omega program⁷⁰ with the default setting.

425 **GST-SET-21 protein purification**

426 SET-21 cDNA was prepared by RT-PCR using *C. elegans* mRNA and cloned into the pGEX-p6-1
427 vector. GST-SET-21 protein was obtained using a protein expression and purification procedure
428 previously described in ²¹.

429 ***In vitro* HMT assay and mass spectrometry.**

430 The 75 μ L HMT assay mixture contained 0.15 μ M GST-SET-21, 213 μ M S-adenosylmethionine, 2.5
431 μ M H3.1, in 1X HMT buffer (50 mM Tris-HCl, pH 8.0, 20 mM KCl, 10 mM MgCl₂, 0.02% Triton X-
432 100, 1 mM DTT, 5% glycerol, and 1 mM PMSF). The reaction was incubated for 2 hours at 20°C. The
433 histone peptides were prepared and analyzed by mass spectrometry as described in ^{21, 71}.

434 **Brood size analysis and multigenerational fertility assay**

435 Multigenerational brood size analysis was performed at 25°C (restrictive temperature). Worms were
436 maintained at 20°C (permissive temperature) without starvation for at least 5-6 generations before the
437 brood size analysis. Ten L2/L3 worms from each strain were transferred to one plate at 25°C. Their
438 progeny, which grew at 25°C since 1-cell embryo, was considered as F1. When the F1 animals reached
439 the L4 stage, ten worms from each strain were transferred to a new plate as the maintenance plate.
440 Another ten worms were individually placed onto new plates to count their brood size. These worms
441 were transferred to a new plate each day during their egg-laying stage to facilitate counting. This
442 procedure was repeated until at which *set-21; set-32* double mutant animals became completely sterile.
443 A single-generation brood size analysis was performed for 20°C.

444 Germline fertility assay was performed at 25°C. At the first generation, 5 worms from 20°C were
445 transferred to a new plate, which was then incubated at 25°C. 10 plates total for each strain were started
446 as 10 lines. After three days, if less than 5 progenies were observed, we consider the line to be
447 terminated. Otherwise, the line is considered viable and 5 progenies were transferred to a new plate. At
448 each generation, the percentage of viable lines were calculated and used to generate the survival plot.

449 **Immunofluorescence**

450 Adult worm gonads were dissected and fixed in 3% PFA in 100mM K₂HPO₄ for 5min and were wash
451 three times in PBST (1xPBS with 0.1% Tween-20). Then the samples were permeabilized in 100%
452 methanol at -20°C for 5 mins, washed in PBST for three times, and blocked in 0.5% BSA in PBST for
453 30 minutes at room temperature. The gonads were incubated in 1:200 mouse-anti-FLAG (Sigma)
454 primary antibodies for two hours at room temperature, washed three times in 0.5% BSA in PBST, and
455 then incubated in 1: 200 Donkey-anti-Mouse IgG-Alexa 488 (Jackson ImmunoResearch Laboratories)
456 for one hour at room temperature. After three washes in 0.5% BSA in PBST for ten minutes, the gonad
457 was mounted to 2% agar pad for imaging.

458 For embryo immunofluorescence staining, synchronized young adult worms (24 hours post-L4 stage,
459 20°C) were dissected in water on a poly-L-Lysine slide to release embryos. The slides were snap
460 frozen in liquid nitrogen with coverslip on, and then were immediately incubated in -20°C methanol for
461 5 minutes after the coverslip was popped off the slide. After washing the slides three times in PBST
462 (1xPBS with 0.1% Tween-20), the embryos on slides were blocked in blocking solution (0.5% BSA in
463 PBST) for 20 minutes, incubated in 1:100 mouse anti-FLAG antibody (Sigma) for 1 hours, washed three
464 times in PBST, and then incubated in 1:100 anti-mouse-IgG Alexa-488 (Jackson ImmunoResearch

465 Laboratories) for 30 minutes. Slides were then stained with DAPI, washed three times in PBST,
466 mounted with Slowfade (ThermoFisher Scientific) for imaging.

467 Fluorescence images were obtained using an Epi-fluorescence microscope: Zeiss Oberver.Z1
468 microscope equipped with ORCA-Flash4.0 LT Digital CMOS camera (Hamamatsu) and oil-immersion
469 objective (40x). Images were captured using Metamorph 7.10. as a 16-bit single-plane image (For
470 gonads: exposure time 8000ms for Alexa-488, and 4000ms for DAPI, without saturating pixels. For
471 embryos: exposure time 4000ms for Alexa-488, and 500ms for DAPI, without saturating pixels.).
472 Gonad fluorescence was quantified using Fiji (ImageJ). Only intact gonads were used for measurement.
473 Whole gonad area was manually selected, then the fluorescence level was measured using the Analyze-
474 >Measure function of Fiji. The mean grey-scale value in the measurement result was used for statistical
475 calculation in Fig. 2C. The images in Fig. 2 and Fig. S2 were presented using identical brightness and
476 contrast.

477

478 **piRNAi**

479 The piRNAi transgene fragments were designed by using the wormbuilder webtool
480 (www.wormbuilder.org/piRNAi) according to⁵¹. The piRNAi target sites of piRNAi against C38D9.2
481 and F15D4.5 were illustrated in Fig. 7. The piRNA sequences targeting C38D9.2 are 5'-
482 UCACAGGAGAUUCCUUUCGUG-3', UCGGUGAGGAUUGAUUGGAAU,
483 UCAGGAGGUUUGGUGUAAUCU, UCCGGUAAGUUUUUGCACAGC,
484 UGGGCAGUUGGUAUGCAUUUG, and UCGGACGUUCUUGGGUAUUUAU. The piRNA sequences
485 targeting F15D4.5 are UCCGUUUCGCUUGCUGCGUUG, UGAGAGUUUGUCGUCUACCUU,
486 UGGGCUUGUUCGACGCGGUUG, UAGCUUCUGCCAAGGUGGAAU,
487 UGCAGGUAUUCUCGACUCCCU, and UGACGUCCUCCUCUGUUGGAA. anti-oma-1 piRNAi
488 fragment was designed by⁵¹. piRNAi DNA fragments were ordered from Twist DNA. The piRNAi
489 transgenic animals were constructed according to⁵¹.

490 **Cosuppression:**

491 Worms were injected with 60 ng/ μ L pSG32 (oma-1 suppression plasmid), 20 ng/ μ L pPD93_97 (myo-
492 3p:GFP), 20 ng/ μ L IR98 (Hygromycin resistance). pSG32 was constructed by inserting a transgene
493 fragment into the pCFJ350 vector. The transgene is driven by the *oma-1* promoter and includes a 492 nt
494 partial *oma-1* cDNA fused with a 1646 nt partial *smg-1* genomic DNA including the last five exons and
495 intervening introns. The *oma-1* cDNA fragment covers exons 2, 3, and 4 and contains SNPs every 30 nt
496 to distinguish from the native WT *oma-1*. Transgene-carrying worms were selected by hygromycin and
497 confirmed by GFP expression. Two independent transgenic lines were used for each genetic background.
498 Synchronized young adult animals were used for RNA-seq analysis.

499

500 **Preparation of worm grinds**

501 Preparation of worm grinds has been described in²². Briefly, synchronized L1 worms were prepared
502 using the hypochlorite bleaching method, and then were released on NGM containing E. coli OP50. The
503 synchronized worms reached young adult stage after 68 hours at 20°C and were harvested by washing
504 off the plates by M9 buffer. Bacteria were removed by centrifugation of worms in a clinical centrifuge
505 in a M9 buffer with 10% sucrose. Worms were then pulverized by grinding in liquid nitrogen with a
506 mortar and pestle and were stored at -80°C.

507 **RT-qPCR**

508 Total RNA was extracted from adult worm grind using Trizol reagents (ThermoFisher) according to
509 manufacturer's instructions. Total RNA was treated with DNase I (NEB) followed by phenol
510 chloroform extraction. Then cDNA synthesis was performed using Reverse transcriptase III
511 (ThermoFisher) as described in the manufacture's manual. Quantitative PCR was performed using a
512 QuantiStuido 3 real time PCR system using SYBR green master mix (ThermoFisher). $\Delta\Delta$ CT method
513 was used to calculate the relative transcript abundance. *tba-1* was used as endogenous control.

514 **RNA-seq library preparation**

515 Total RNA was first extracted from adult worm grind using Trizol reagents (ThermoFisher), then
516 ribosomal RNA (rRNA) was depleted using RNaseH and PAGE-purified DNA oligos that are antisense
517 to rRNA as described previously⁷². The rRNA-removed RNA was used to construct barcoded RNA-seq
518 libraries using the SMARTer Stranded RNA-Seq Kit (Takara).

519 **sRNA-seq library preparation**

520 Small RNA was extracted using mirVana miRNA isolation kit (ThermoFisher). The small RNA
521 libraries were constructed using a 5' - monophosphate independent, 3' and 5' linker ligation-based
522 methods as previously described²². The stranded Hi-seq index was added to the primer at the PCR
523 steps to allow multiplexing.

524 **ChIP-seq library preparation**

525 Chromatin immunoprecipitations were performed using the protocol described in²¹. Briefly, grind of
526 approximately 5000 adult worms was corsslinked and then sonicated to 200-500bp.
527 Immunoprecipitation was performed using the following antibodies: anti-H3K23me3 (61500, Active
528 Motif), anti-H3K9me3 (ab8898, Abcam). The pulled-down complexes were reversed crosslinked by
529 proteinase K digestion and then purified by phenol chloroform extraction. The yielded DNA was used
530 to construct barcoded ChIP-seq libraries using the KAPA Hyper Pre Kit (Roche) according to the
531 manufacturer's instruction.

532 **High-throughput sequencing**

533 Uniquely barcoded RNA-seq, sRNA-seq, and ChIP-seq libraries were pooled and then sequenced on the
534 Illumina HiSeq or Illumina NovaSeq X Plus instrument. Library names and list are in supplemental
535 table (Table 3).

536 **Bioinformatic analysis**

537 **ChIP-seq data analysis:**

538 **H3K23me3 peak calling.** Regions enriched for H3K23me3 in WT animals were determined for each of
539 two sets of WT H3K23me3 ChIP-seq experiments by using macs2⁴⁷. The command line is "macs2
540 callpeak -t [ChIP bam file] -c [ChIP input bam file] -g ce --outdir [output folder] -n [experiment name] -
541 -nomodel --extsize 147 -m 5 100 -q 0.1 --broad". The overlapping peaks from the two different WT
542 experiments were identified using the "bedtools intersect" program⁷³. We did not merge nearby peaks
543 because such merge reduces the sensitivity of calling differential regions.

544 **Differential analysis of ChIP-seq.** Differential H3K23me3 between WT and mutant animals were
545 determined by using the BaySeq program⁷⁴. Only the H3K23me3 peaks in the WT animals, as
546 determined in the previous section, were used for this analysis. Two sets of experiments were performed
547 for both WT and the mutant strain. Differential regions were separately determined for the H3K23me3
548 ChIP libraries and input libraries. Fold of change ≥ 1.5 and FDR ≤ 0.05 were used as cutoff to
549 determine the differential regions. Differential regions that were found in both the input libraries and
550 ChIP libraries were removed from the final list.

551 **RNA-seq analysis.** For RNA-seq libraries prepared with the SMARTer Stranded RNA-Seq Kit (Takara),
552 51 nt segment of the R1 reads were used for sequence alignment against the mRNA sequences of *C.*
553 *elegans* protein-coding genes using bowtie 1.2.3⁷⁵. For RNA-seq libraries prepared with the 3'-linker
554 ligation method, R1 reads with 5'-barcodes (4 nt) and 3'-linker sequence removed were used for the
555 alignment. The number of perfectly aligned reads for protein-coding genes were used to determine the
556 differentially expressed genes by using the BaySeq software⁷⁴ with default parameters. sRNA-seq
557 libraries were similarly analyzed as RNA-seq libraries except that only 20-24 nt reads that were
558 antisense to the mRNA sequences were used.

559
560 Venn diagram, boxplot, MA plots, were generated using python.

561
562 Data availability: High-throughput sequencing data associated with this study has been deposited in
563 NCBI GEO database with accession numbers of GSE266182, GSE266183, and GSE266184. Mass
564 spectrometry data has been deposited in ProteomeXchange (<https://www.proteomexchange.org/>) with
565 accession number of PXD052034 (Username: reviewer_pxd052034@ebi.ac.uk ; Password: nvXjzFdr).

566
567

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577 **Figure legends**

578 **Figure 1. SET-21 is a H3K23 histone methyltransferase.** (A) Phylogenetic tree of SET-domain-
579 containing proteins in *C. elegans*³⁹ showing that SET-21 is the closest homolog of SET-32. (B) SET-21
580 methylates H3K23 *in vitro*. Mass spectrometry analysis of *in vitro* histone methyltransferase assay was
581 performed by using recombinant GST-SET-21 or GST-3xFLAG (control) and recombinant *C. elegans*
582 H3 proteins. The relative abundance of K23me1/2/3 for histone H3 peptide KQLATKAAR (aa 18–26)
583 produced by GST-SET-21 or GST-3xFLAG were calculated and the ratios of the two (GST-SET-
584 21/GST-3xFLAG) were plotted. Error bar: SEM. N=2 biological repeats.

585
586 **Figure 2. SET-21 and SET-32 are expressed in the adult germline and required for**
587 **transgenerational fertility at an elevated temperature.** (A) Representative anti-FLAG
588 immunofluorescent (IF) images for dissected hermaphrodite adult gonads of N2 (WT), or animals
589 expressing SET-21(native)::3xFLAG or SET-32(native)::3xFLAG. The distal and proximal tips of
590 gonads were indicated with arrows and asterisks, respectively. Scale bar: 20 μ m. (B) anti-FLAG IF and
591 DAPI images of diakinesis oocytes of WT and *set-21*(native)::3xFLAG animals. (C) Boxplot comparing
592 anti-FLAG fluorescent intensity, measured by ImageJ in an arbitrary unit, between WT and *set-*
593 *32*::3xFLAG gonads (N=5). The p-value is calculated by student's *t*-test. (D) Transgenerational fertility
594 assay was performed at 25°C. 10 lines were started for each strain and their progeny were transferred to
595 a new plate at each generation until the population became sterile (See Methods for detail). The
596 percentage of lines with fertile population was plotted as a function of the generation number for each
597 strain. N2, *set-21(ok2320)*, and *set-21(red109)* exhibited 100% fertility throughout the assay.

598
599 **Figure 3. MA-plots of H3K23me1, me2, and me3 ChIP-seq comparing WT versus *set-21(red109)*,**
600 ***set-32(red11)*, or *set-32(red11);set-21(red109)* mutant.** Average RPM (reads per million sequenced
601 tags) values from two replicates were calculated for each 1kb window throughout the whole genome.
602 Regions with increased or decreased H3K23me in a mutant (highlighted in red) were determined by the
603 BaySeq program⁷⁴ with a minimal 2-fold difference (FDR \leq 0.05), subtracting the regions that showed
604 differential input signals (the top row). The numbers of regions with either increased or decreased
605 H3K23me in a mutant were indicated in each panel.

606
607 **Figure 4. SET-32 and SET-21 are required for H3K23me3 and H3K9me3 at germline nuclear**
608 **RNAi targets.** (A) Venn diagram of numbers of regions with HRDE-1 and SET-32/21-dependent
609 H3K23me3 (H3K23me3 [mutant/WT] \leq 2/3, FDR \leq 0.05). (B) Box plot of H3K23me3 levels (relative to
610 WT) for regions with SET-32/21-dependent H3K23me3 in different mutant strains. Mann-Whitney U
611 tests (two-sided) were used to determine the statistical significance for the H3K23me3 differences
612 between a mutant and WT (null hypothesis: no difference). (C-D) Venn diagram of numbers of regions
613 of H3K23me3 and H3K9me3 that are dependent on (C) HRDE-1, (D) SET-32 and SET-21, and (E)
614 MET-2 and SET-25 (H3K23me3 or H3K9me3 [mutant/WT] \leq 2/3, FDR \leq 0.05). Hypergeometric

615 distribution was used to calculate the p-values of the significance of the overlaps in the Venn diagrams
616 (null hypothesis: no significant overlap).

617

618 **Figure 5. SET-32 and SET-21 are required for transcriptional repression and proper expression of**
619 **siRNAs of germline nuclear RNAi targets.** (A) Box plot of Pol II levels (relative to WT) for regions
620 with SET-32/21-dependent H3K23me3 in *hrde-1* and *set-32;set-21* mutants. (B) Venn diagram of
621 desilenced genes in *hrde-1* and *set-32;set-21* based on RNA-seq (cutoff: mutant/WT \geq 3-fold, FDR \leq
622 0.02). (C-D) Venn diagram of genes with decreased (C) or increased (D) siRNA expression in *hrde-1* or
623 *set-32;set-21* mutant animals compared to WT (minimal 3-fold change, FDR \leq 0.02). (E-F) MA-plots
624 comparing WT and *set-32;set-21* mutant animals for (E) mRNA and (F) sRNA for all protein-coding
625 genes.

626

627 **Figure 6. Coverage plots of various ChIP-seq, RNA-seq, and sRNA-seq for WT, *hrde-1*, *set-32;set-***
628 ***21*, *met-2 set-25* mutants at nuclear RNAi targets *fl5d4.5* (A) and *timmm-17b.2* (B), as well as a control**
629 **euchromatin locus (*glp-1*) (C).**

630

631 **Figure 7. Transcriptional silencing defect at native targets of nuclear RNAi in *set-32;set-21* mutant**
632 **can be partially rescued by piRNAi.** (A) A piRNAi transgene targeting *fl5d4.5* and *c38d9.2*, two
633 native targets of germline nuclear RNAi, was introduced into *hrde-1* and *set-21;set-32* mutant animals.
634 The piRNAi target sites are indicated in the schematic. (B-D) siRNA, mRNA, Pol II levels of *fl5d4.5*,
635 *c38d9.2*, and *Cer3* (an LTR retrotransposon not targeted by the piRNAi, as a control locus) in anti-
636 *fl5d4.5+c38d9.2* piRNAi and anti-random control piRNAi, (labeled as piRNA + and -, respectively, in
637 the figure) in WT, *hrde-1*, and *set-32;set-21* mutant backgrounds were shown. Two independent lines for
638 each injection were used.

639

640 **Figure 8. The requirement of SET-32 and SET-21 for (A,B) RNAi, (C,D) piRNAi, and (E)**
641 **cosuppression.** (A and C) The schematics of heritable RNAi by dsRNA feeding (A) and heritable
642 piRNAi (C), both against *oma-1*. (B) *oma-1* pre-mRNA levels measured by RT-qPCR for heritable
643 RNAi in WT and various mutants. (D) *oma-1* mRNA levels measured by RT-qPCR for heritable
644 piRNAi. The values were normalized to the tubulin gene *tba-1* mRNA expression from the same sample
645 and relative to the control samples. (E) mRNA levels of the native *oma-1* gene, measured by RNA-seq,
646 for the cosuppression experiment in strains carrying the *oma-1* transgene. The values were normalized to
647 a germline expressed gene *pie-1* mRNA levels.

648

649 **Figure 9. A model of germline nuclear RNAi-mediated heterochromatin pathway.**

650

651 **Figure S1.** (A) Pairwise alignment of SET-21 and SET-32 proteins. Motifs I-IV of the SET domain, pre-
652 SET zinc cluster, and post-SET zinc center were highlighted. The SET domain was marked by vertical
653 lines. (B) Genome browser shots of *set-21* and *set-32* genes. (C-D) Tissue-specific and developmental

654 mRNA expression profiles for *set-21*, *set-32*, *set-25*, and *met-2* using data generated by^{41,42}. Plots were
655 generated by <https://ahringerlab.com/RegAtlas/>.

656

657 **Figure S2. SET-21 and SET-32 are expressed in embryo.** Anti-FLAG immunofluorescent microscopy
658 was performed for different stages of N2, *set-21*(native)::3xFLAG, *set-32*(native)::3xFLAG embryos.
659 Representative IF images were showed for each strain, together with DAPI and DIC images of the same
660 embryo. Scale bar: 10 μ m.

661

662 **Figure S3. *set-32*;*set-21* mutant animals show germline defects at 25°C.** (A-B) Multigenerational
663 brood size analysis. Worms were maintained at 20°C before shifting to 25°C for F1 and the subsequent
664 generations. Strains: WT (N2), *set-32*(*red11*), *set-21*(*ok2320*), and *set-32*(*red11*);*set-21*(*ok2320*) mutant
665 animals in (A) and WT (N2), *set-21*(*red109*), and *set-32*(*red11*);*set-21*(*red109*) in (B). We note that the
666 smaller brood size of *set-21*(*ok2320*) compared to *set-21*(*red119*) or *set-32*;*set-21*(*ok2320*) is likely due
667 to some unknown background mutations. (C) Oocytes and sperm of *set-32*(*red11*);*set-21*(*red109*) young
668 adults (F7 at 25°C) were examined by DAPI staining. Percentages of adult animals with both oocytes
669 and sperm, only either oocyte or sperm, and neither gamete were indicated with representative DAPI-
670 staining images.

671

672 **Figure S4. Whole-genome coverage plots of H3K23me1, me2, and me3 comparing WT versus *set-***
673 ***21*, *set-32*, or *set-32*;*set-21* mutant.** The coverage, averaged from two replicates, was normalized to the
674 ChIP input signal and was calculated for each 10kb window.

675

676 **Figure S5.** (A) A scatter plot of whole-genome comparison of H3K9me3 and H3K23me3 levels (1 kb
677 windows) in the WT animals. (B) A Venn diagram of HRDE-1-dependent H3K23me3 and MET-2 SET-
678 25-dependent H3K23me3. (C) A Venn diagram of HRDE-1-dependent H3K9me3 and MET-2 SET-25-
679 dependent H3K9me3.

680

681 **Figure S6. RNA-seq (A-C) and sRNA-seq (D-E) comparison of WT and *set-32* or *set-21* single**
682 **mutant.**

683

684 **Figure S7.** MA-plots comparing *hrde-1* and WT animals for (A) mRNA and (B) siRNA expressions of
685 all protein-coding genes.

686

687 **Figure S8.** Venn diagram of genes with decreased or increased siRNA expression (minimal 3-fold
688 change, FDR \leq 0.02) comparing *set-21* or *set-32* single mutant with *set-32*;*set-21* double mutant.

689

690 **Figure S9. *set-32*;*set-21* mutations cause more wide spread changes in siRNA expression than**
691 **changes in mRNA expressions.** (A) sRNA MA-plot comparing *set-32*;*set-21* and WT with *set-32*/*21*-
692 sensitive genes (based on mRNA-seq) highlighted. (B-C) mRNA MA-plots comparing *set-32*;*set-21* and

693 WT with genes that had increased (B) and decreased (C) siRNA expression in the *set-32;set-21*
694 compared to WT highlighted.

695

696 **Figure S10. For genes of which mRNAs are desilenced in *set-32;set-21*, as well as genes of which**
697 **siRNAs are differentially expressed in *set-32;set-21* (either decreased or increased), their siRNAs**
698 **tend to be bound by HRDE-1, instead of CSR-1.** The same CSR-1 vs HRDE-1-coIP sRNA MA-plot
699 was shown in all three panels with each highlighting a different set of genes (marked in blue): (A)
700 desilenced genes (mRNA-seq) in *set-32;set-21*, (B-C) genes with decreased (B) or increased (C) siRNA
701 expression in the *set-32;set-21* mutant. CSR-1 vs HRDE-1-coIP sRNA data were from ⁵⁰. Genes with a
702 minimal of 3-fold difference in CSR-1-vs-HRDE-1-coIP siRNA (FDR≤0.02) were highlighted in red.

703

704 Table 1. A list of H3K23me3-enriched regions in WT identified in WT adult animals, with H3K23me3
705 ChIP-seq differential analysis outputs (log₂ ratio, FDR and mean) for WT vs *hrde-1* and WT vs *set-*
706 *32;set-21* comparisons calculated by BaySeq.

707

708 Table 2. Protein-coding gene differential analysis results of H3K23me3 ChIP-seq, Pol II ChIP-seq,
709 RNA-seq, and sRNA-seq for the comparisons between WT and various mutant animals. *Set-32/21-*
710 sensitive genes, based on RNA-seq analysis, were indicated.

711

712 Table 3. A list of high-throughput sequencing libraries used in this study.

713

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- 962

Figure 1

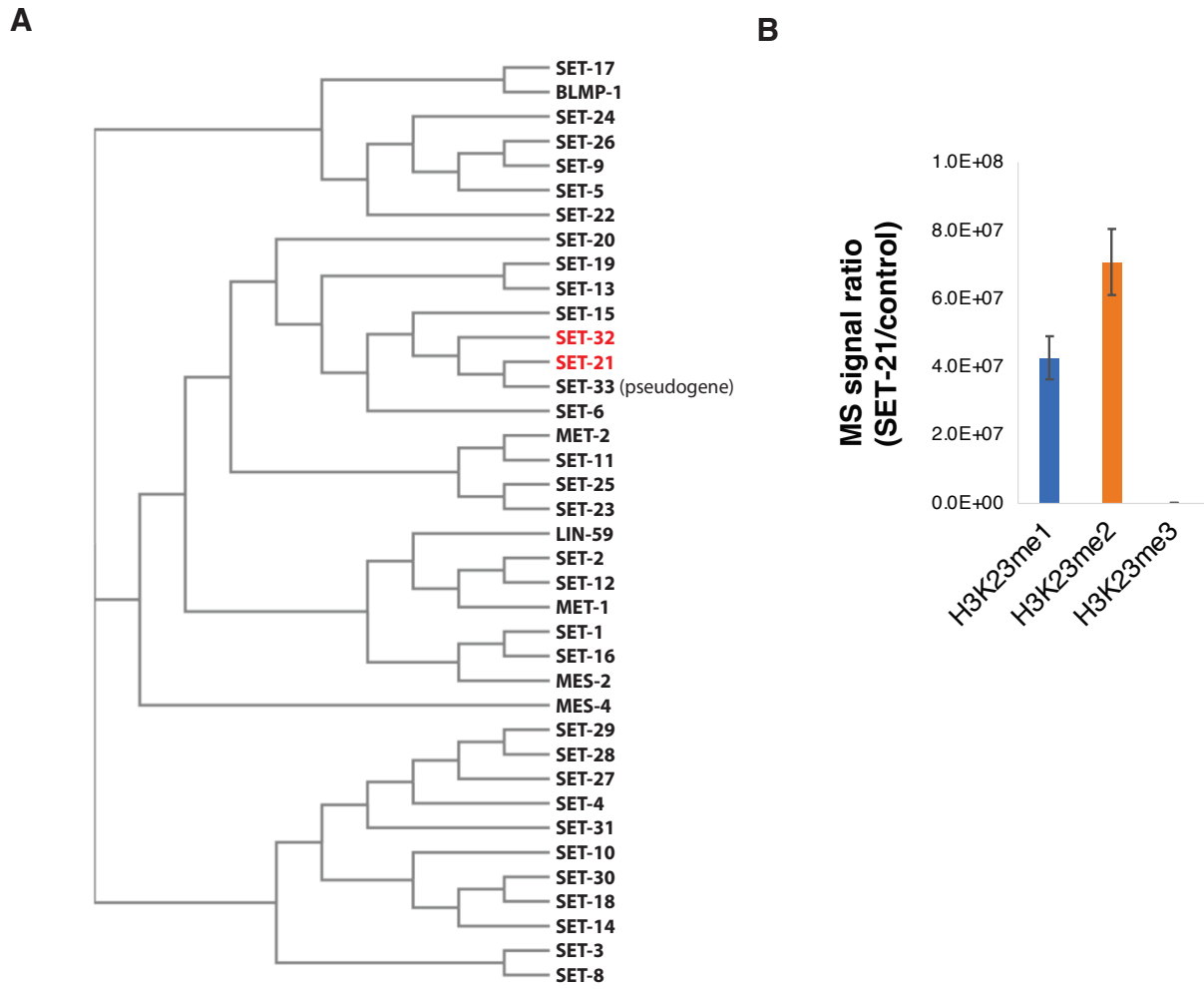


Figure 2

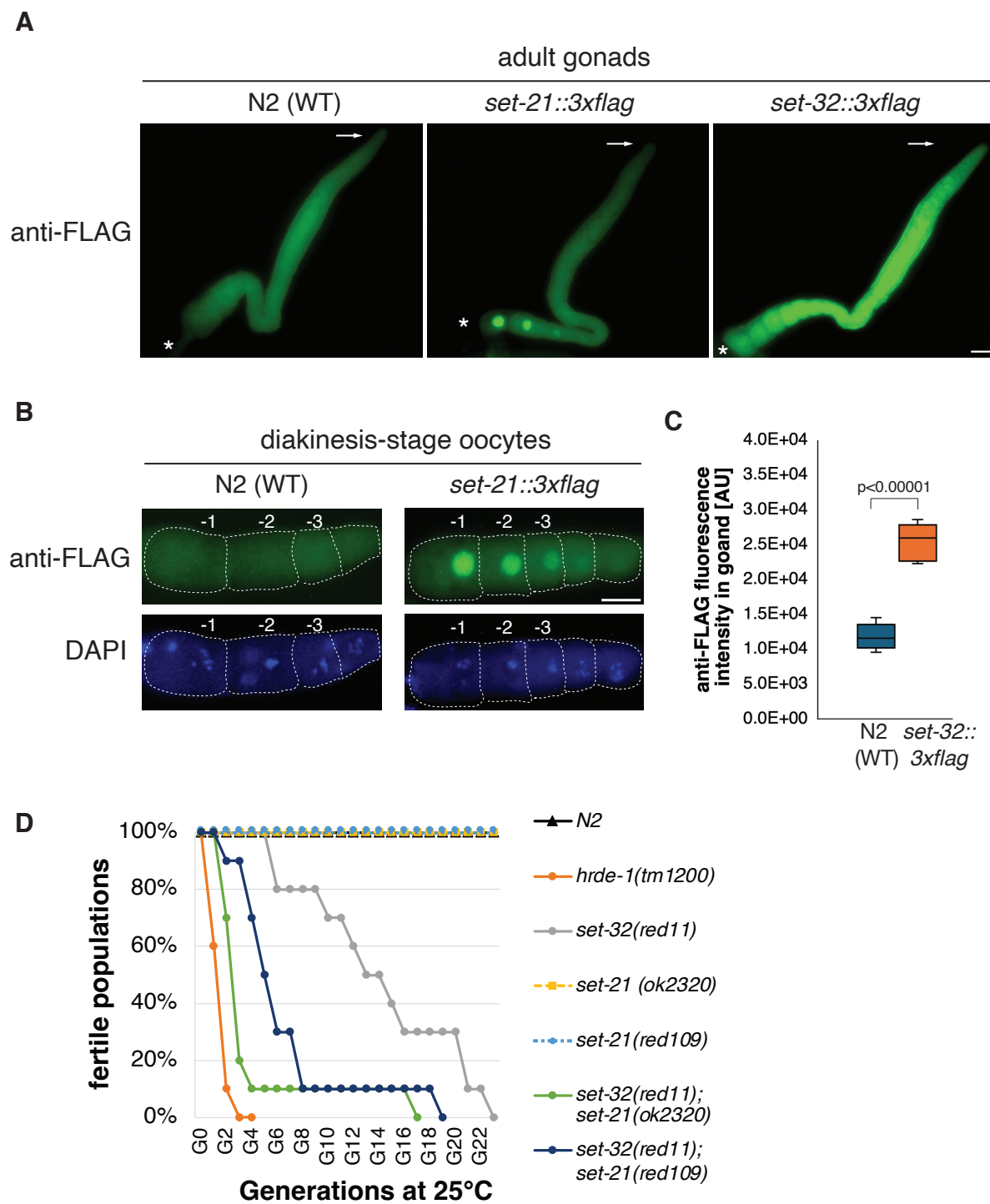


Figure 3

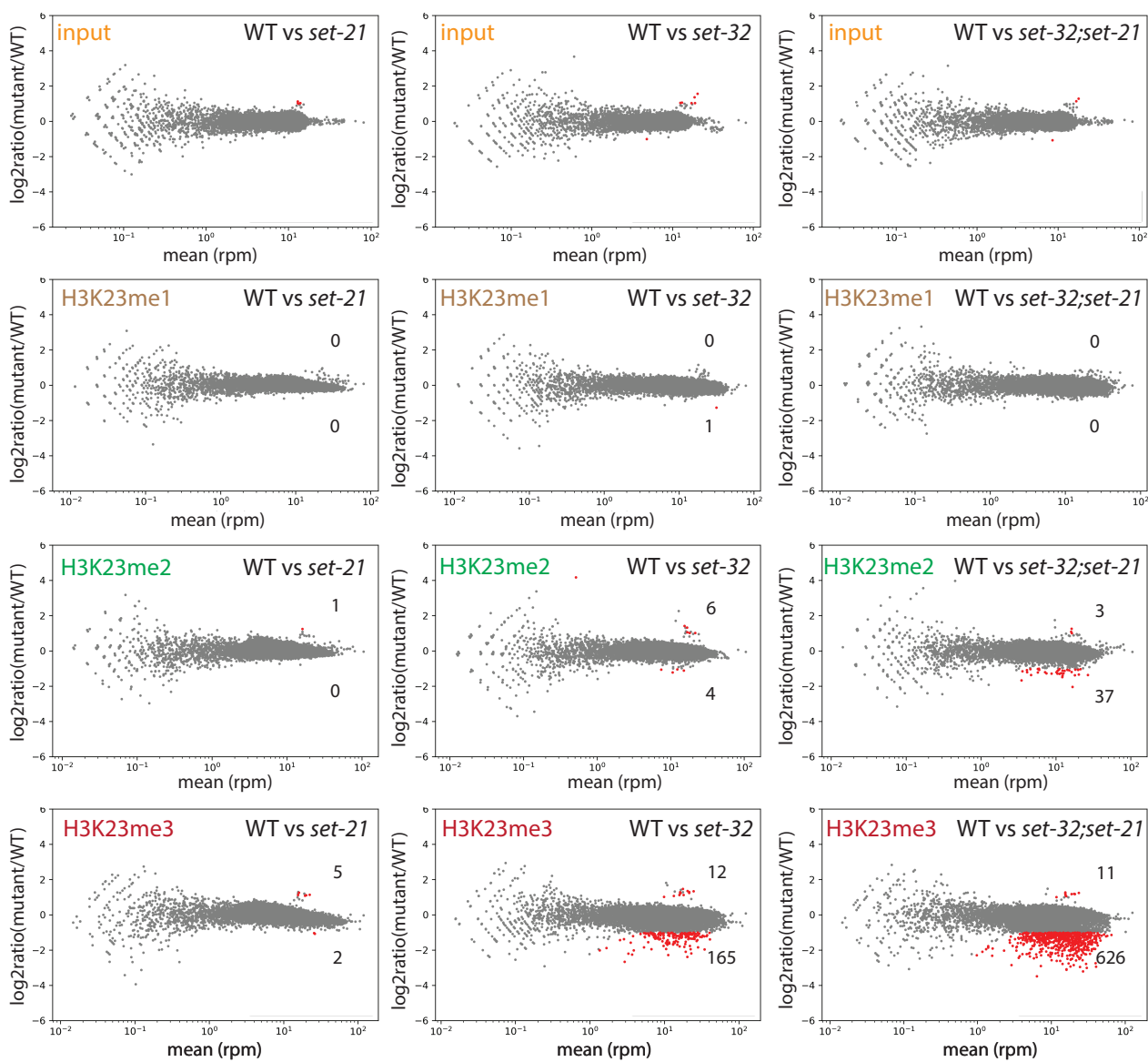


Figure 4

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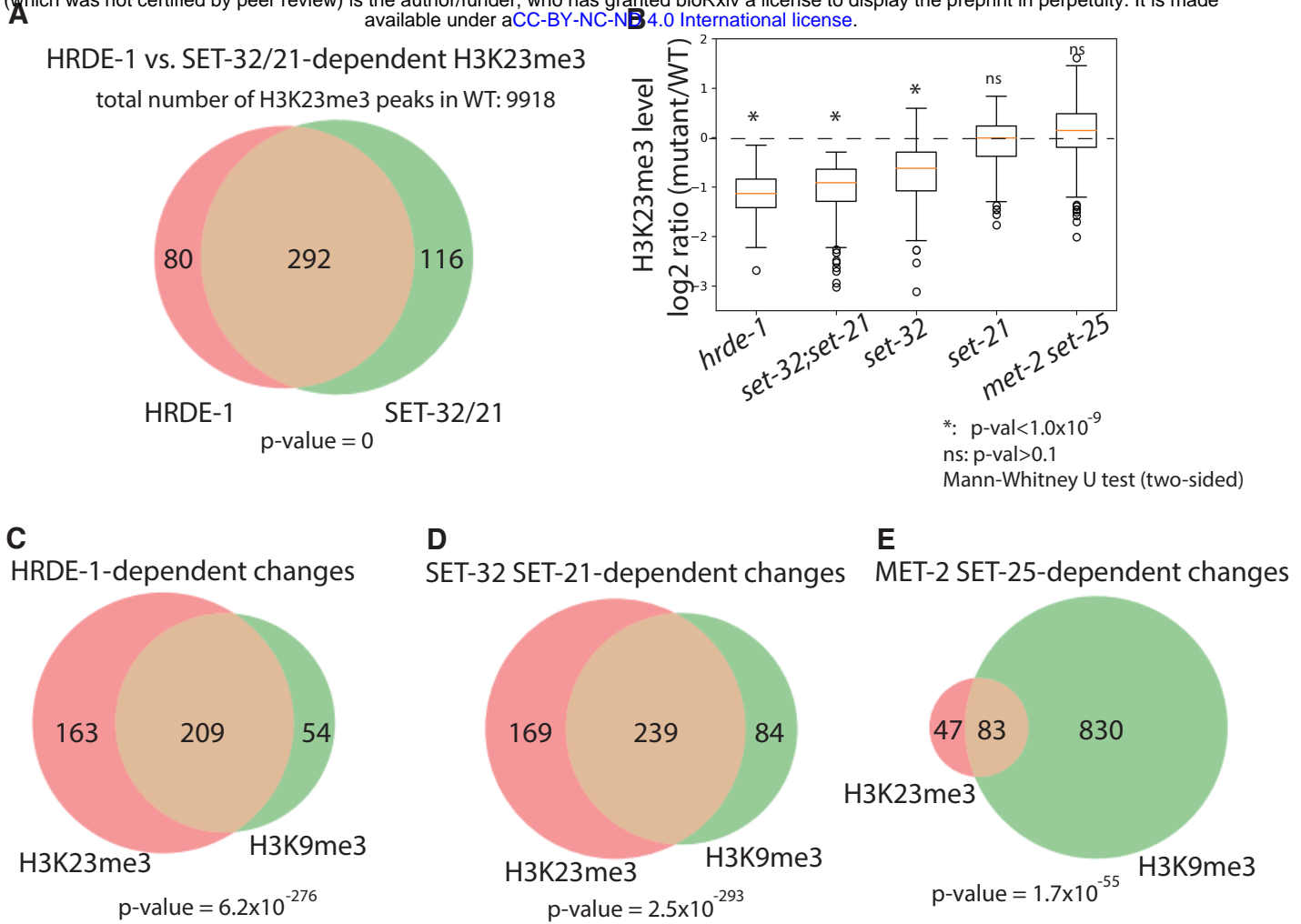
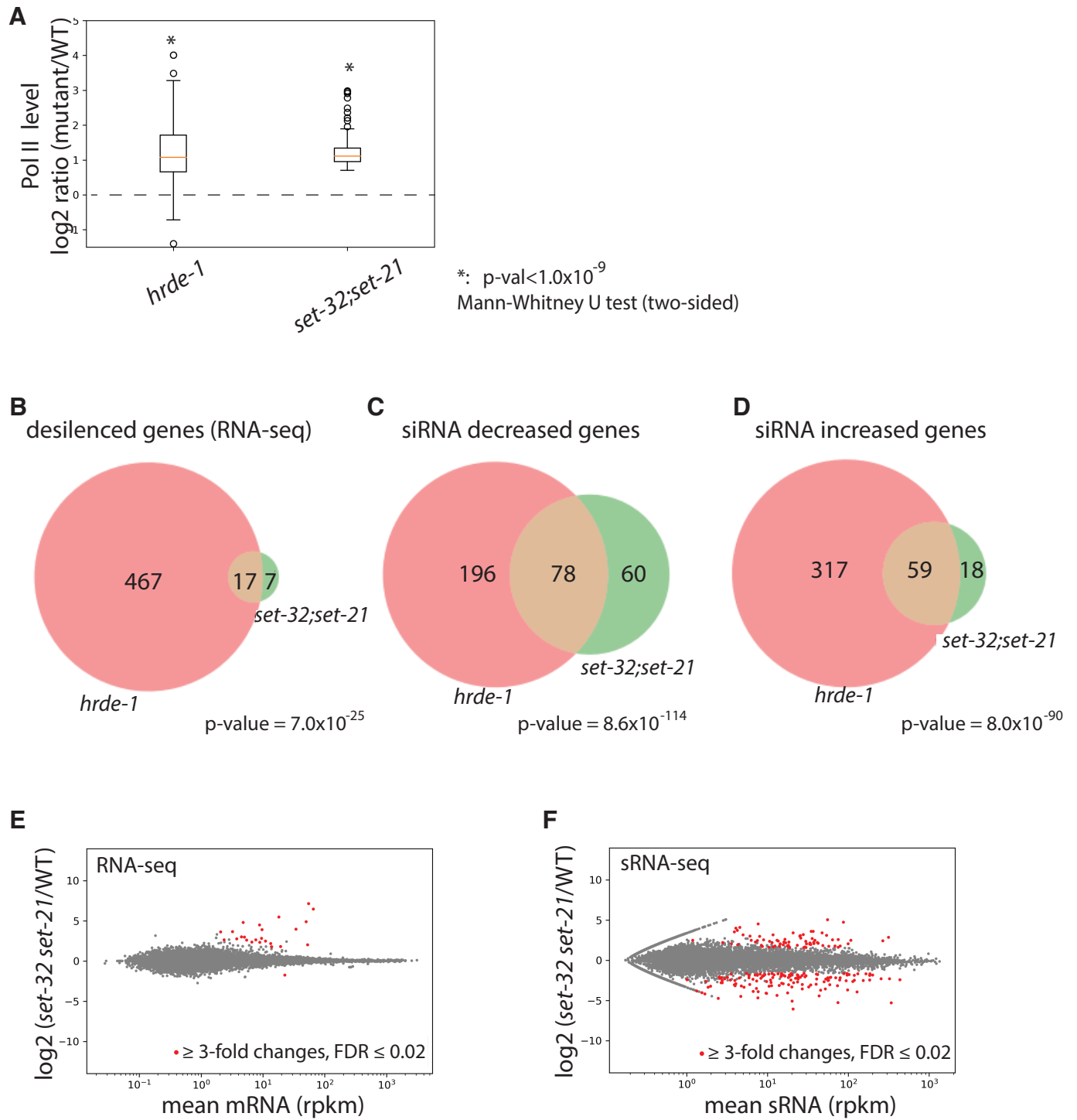


Figure 5



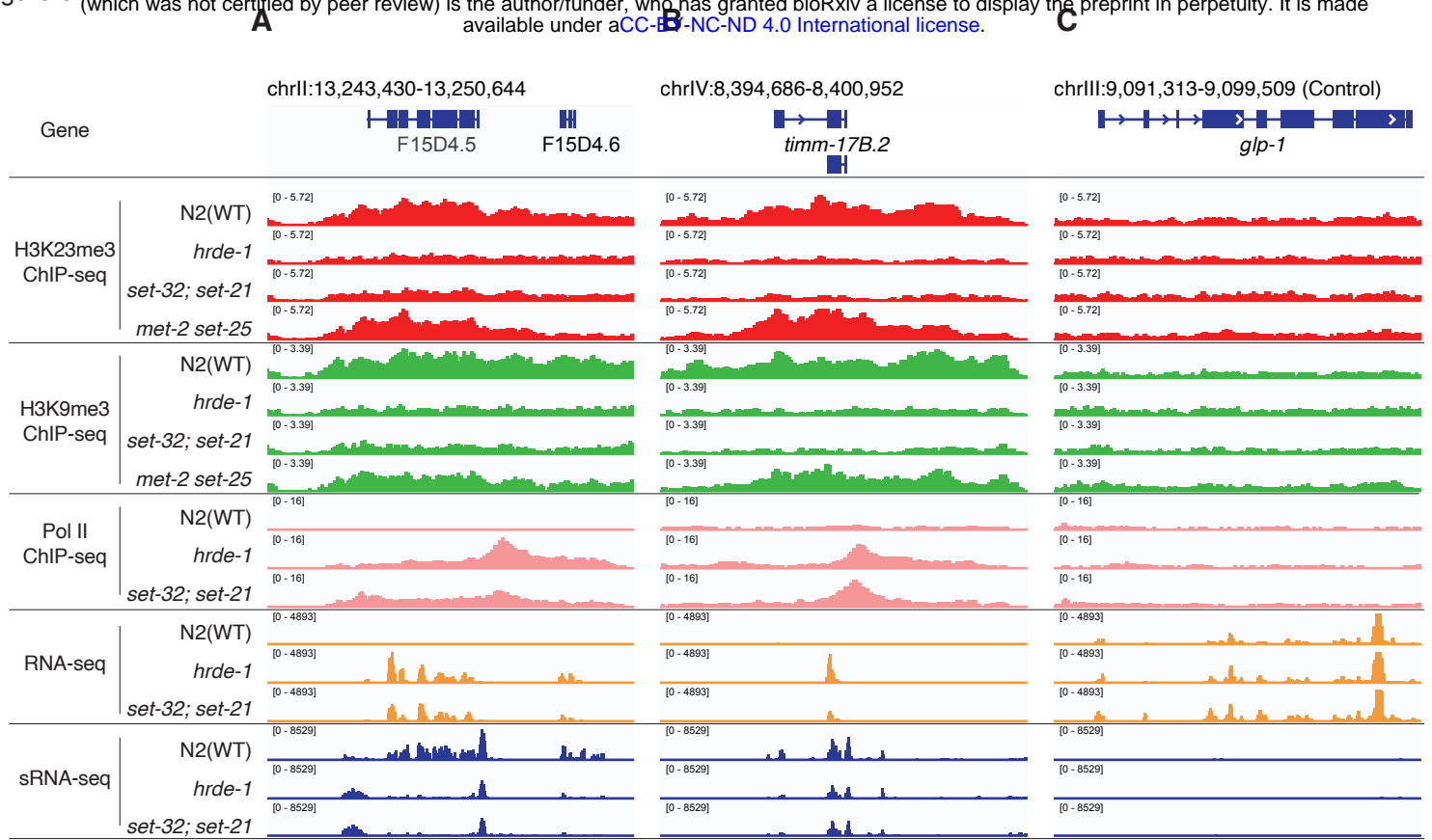
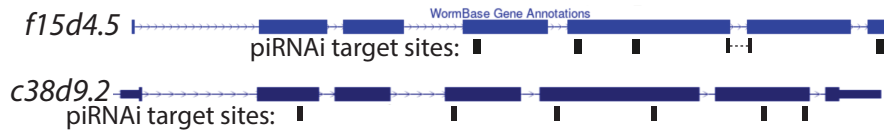


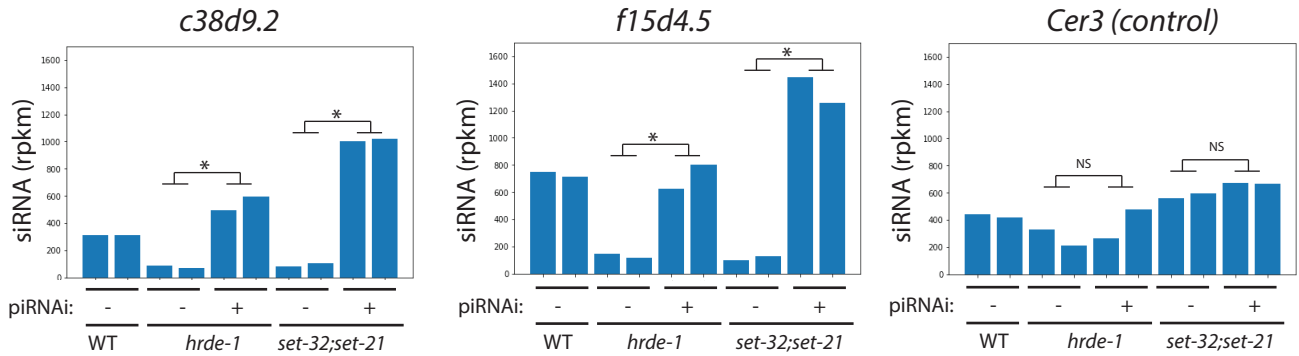
Figure 7

A



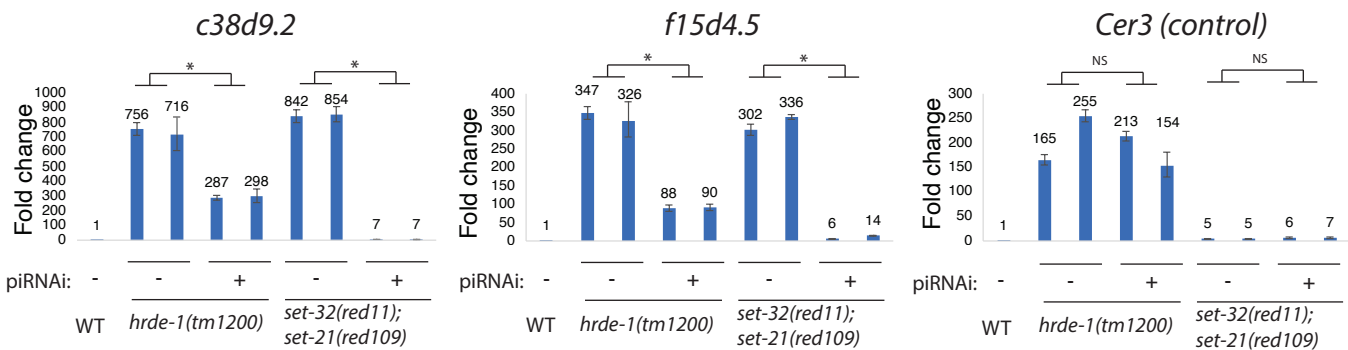
B

sRNA-seq



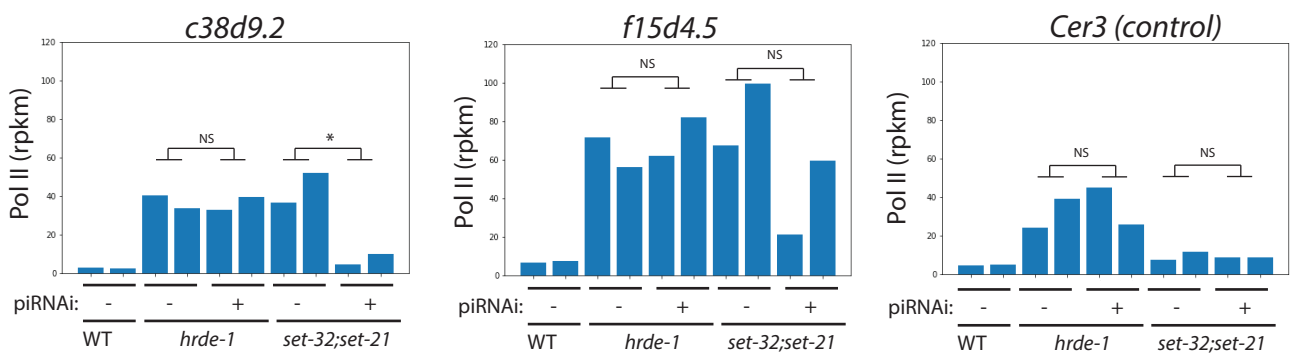
C

mRNA (RT-qPCR)



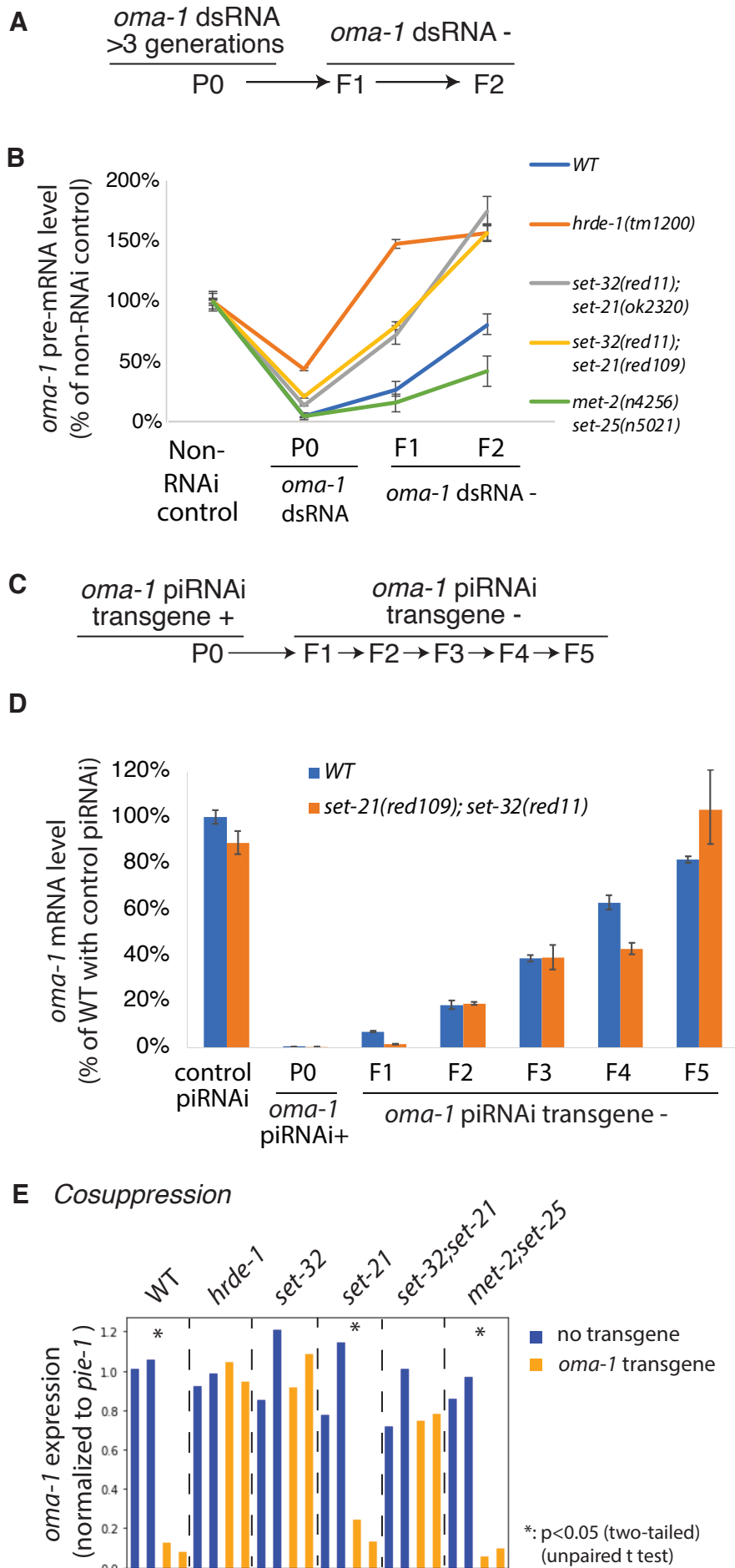
D

Pol II chip-seq



*: p-val<0.05 (unpaired t test)
NS: not statistically significant (p-val>0.05)

Figure 8



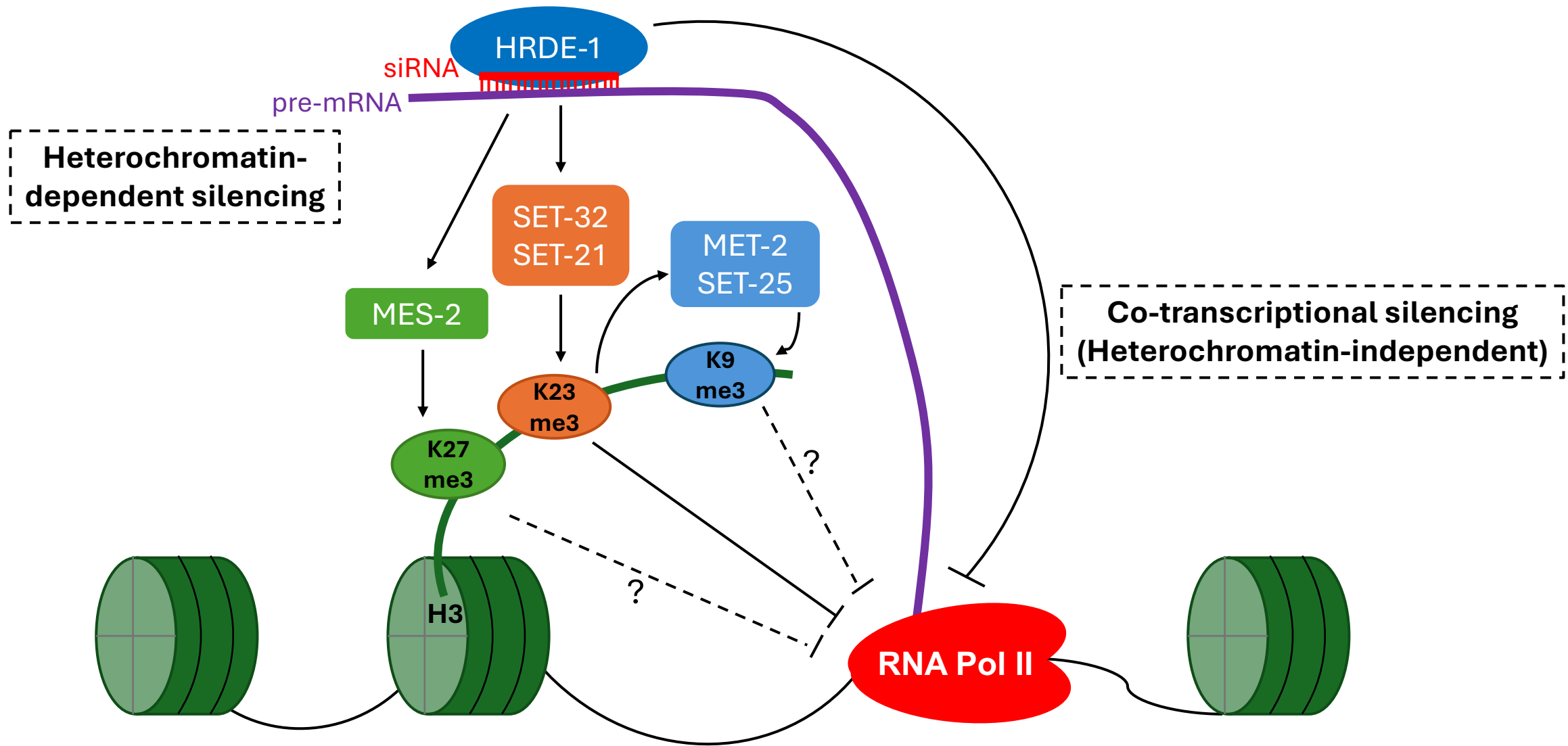
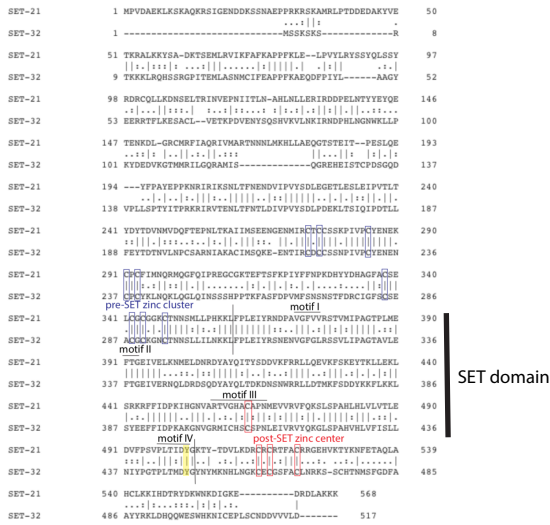
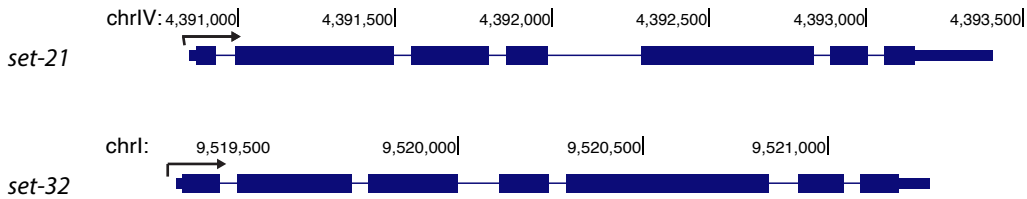


Figure S1

A pairwise alignment of SET-21 and SET-32

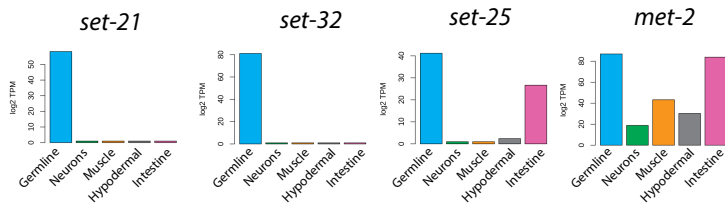


B



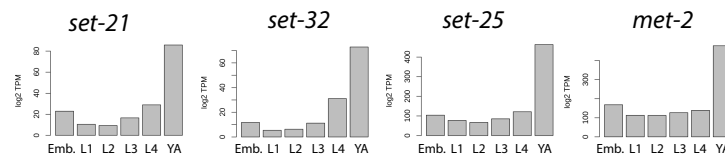
C

tissue-specific mRNA expression



D

developmental mRNA expression



Plots were generated by <https://ahringerlab.com/RegAtlas/>

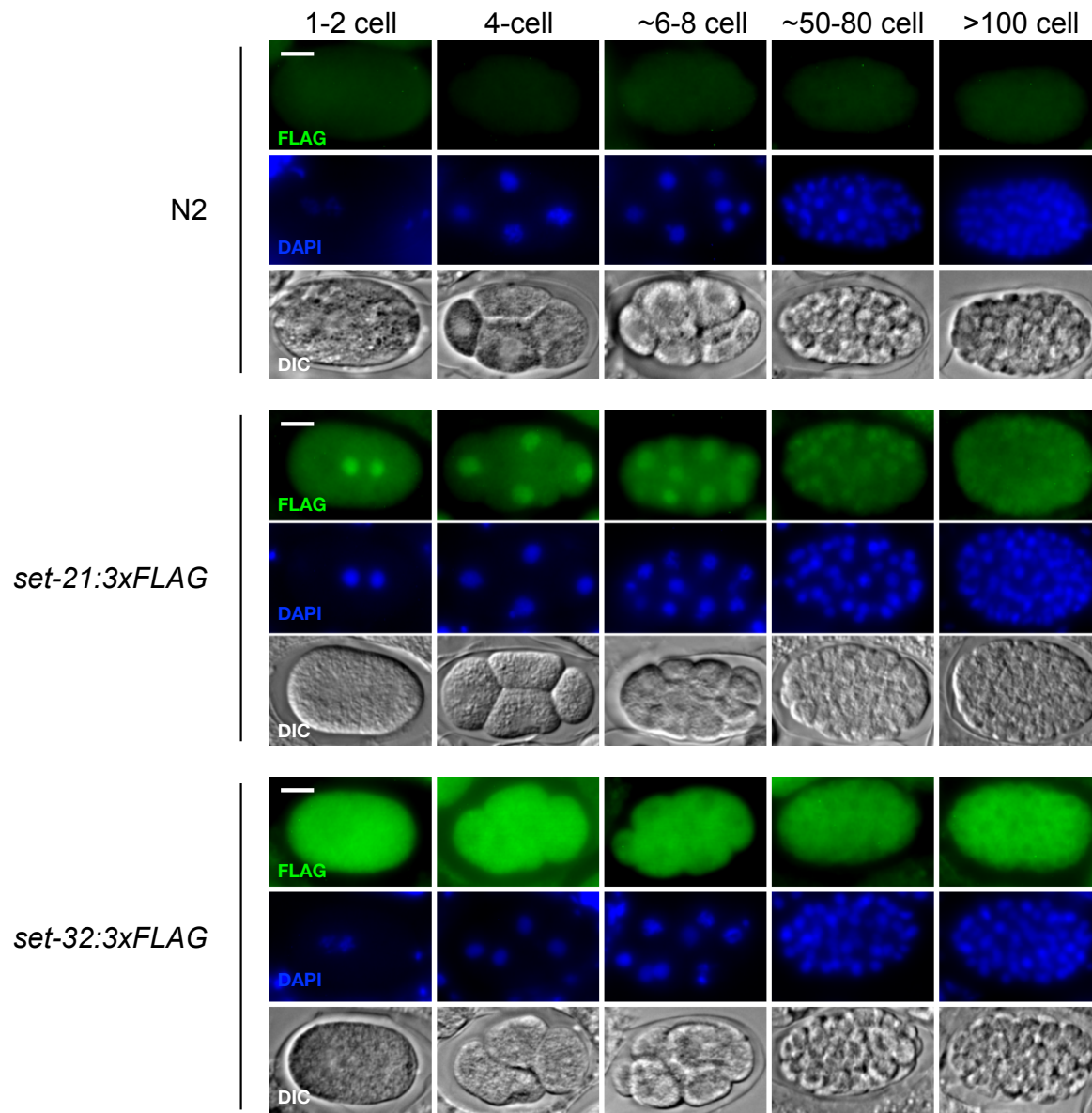


Figure S3

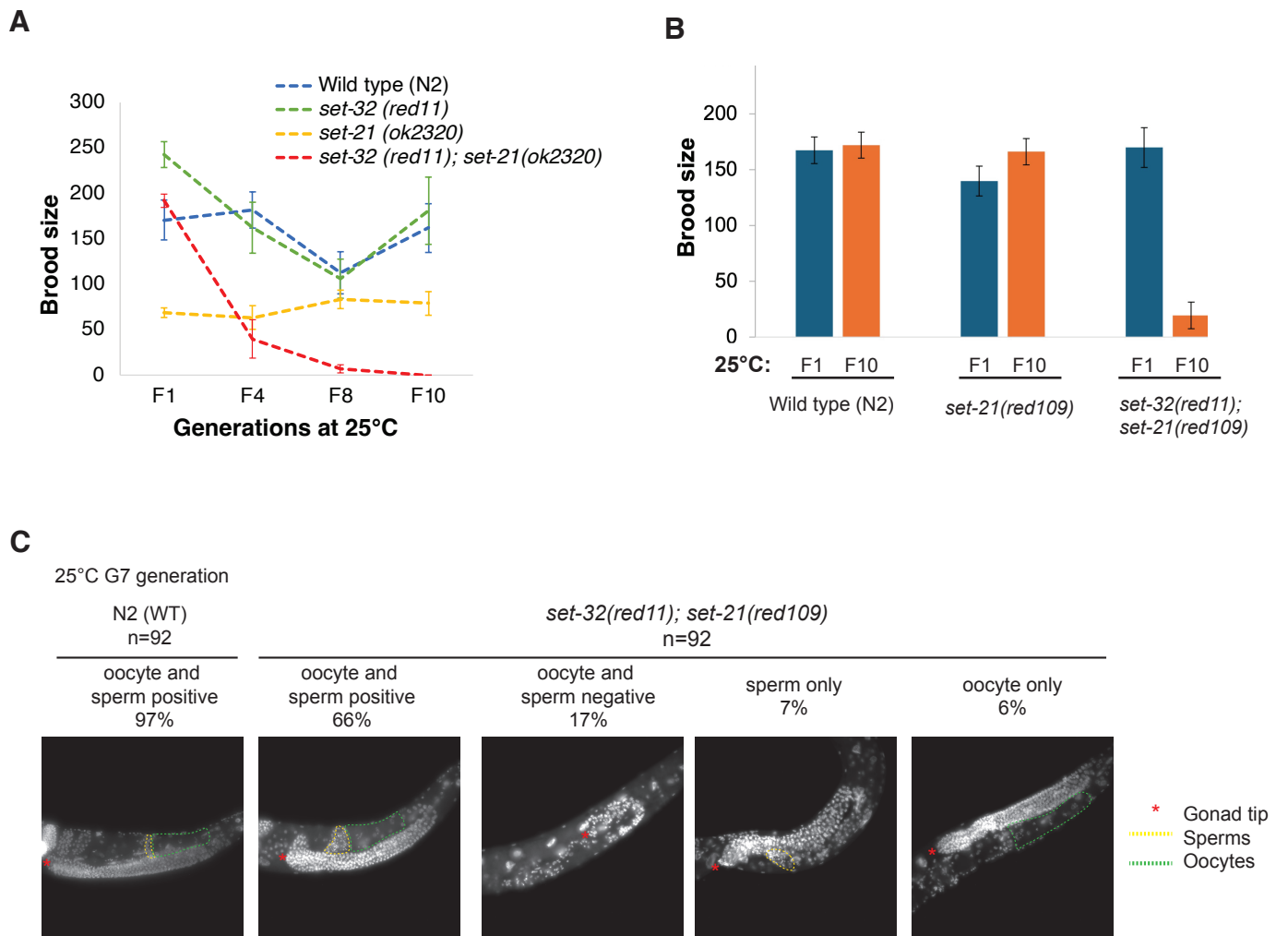


Figure S4

H3K23me1

H3K23me2

H3K23me3

coverage (WT and set-21)

coverage (WT and set-32)

coverage (WT and set-32;set-21)

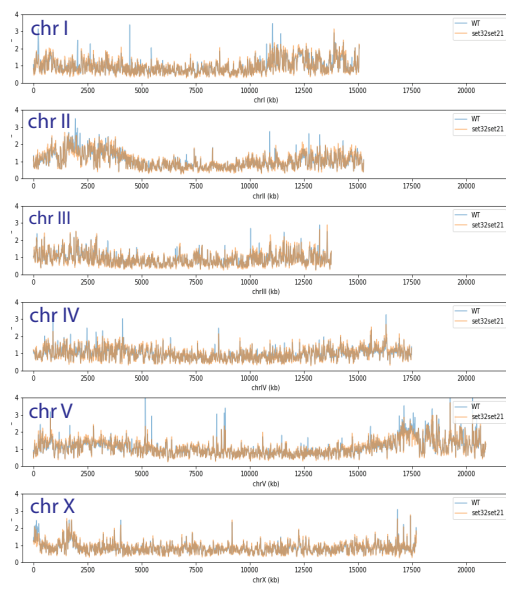
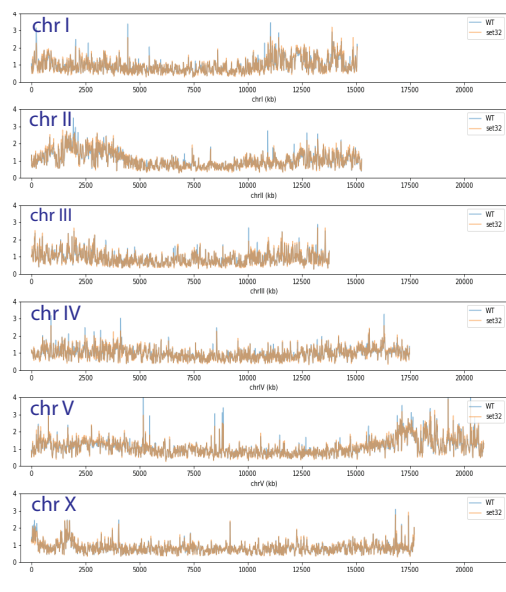
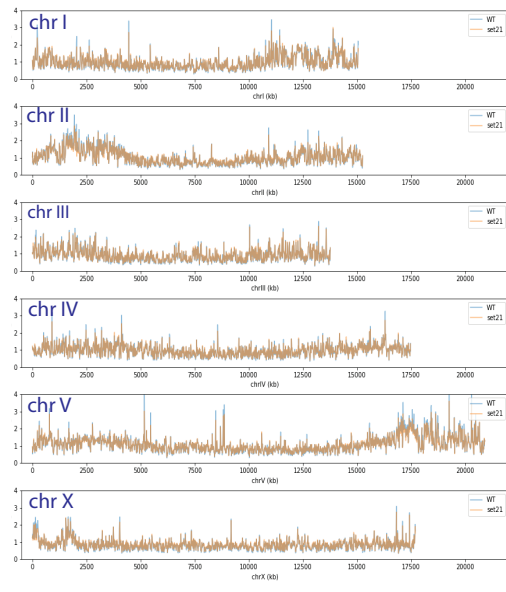
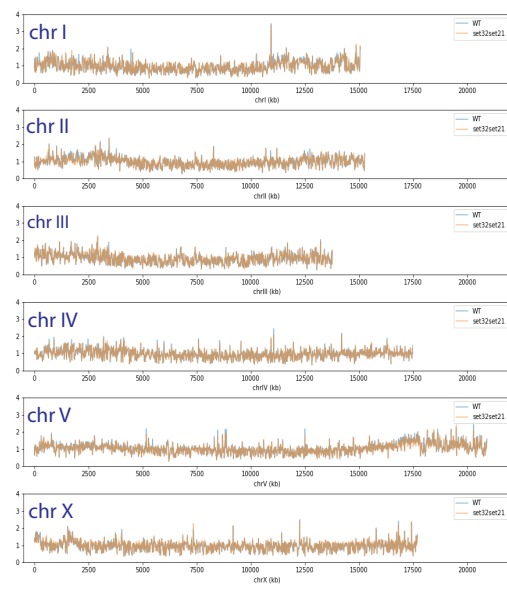
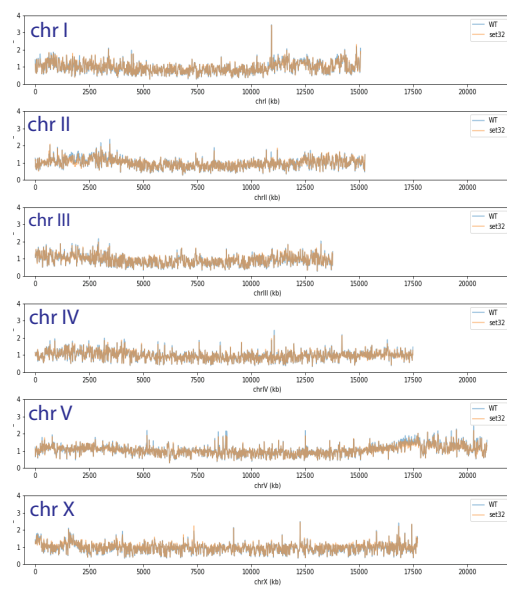
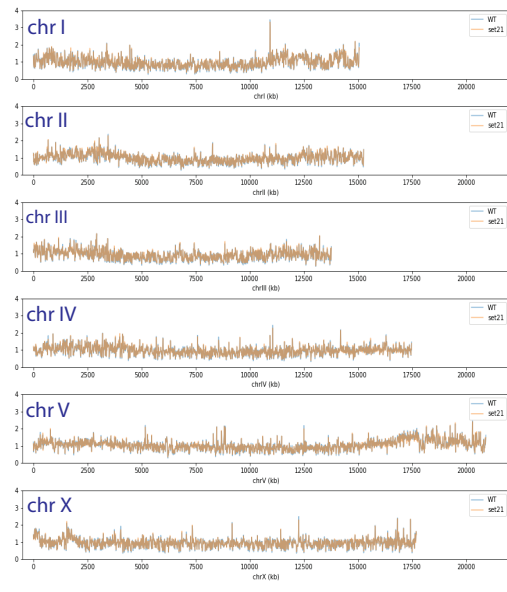
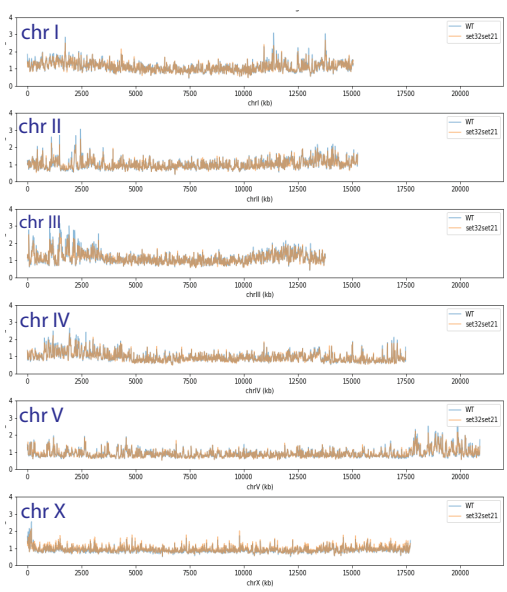
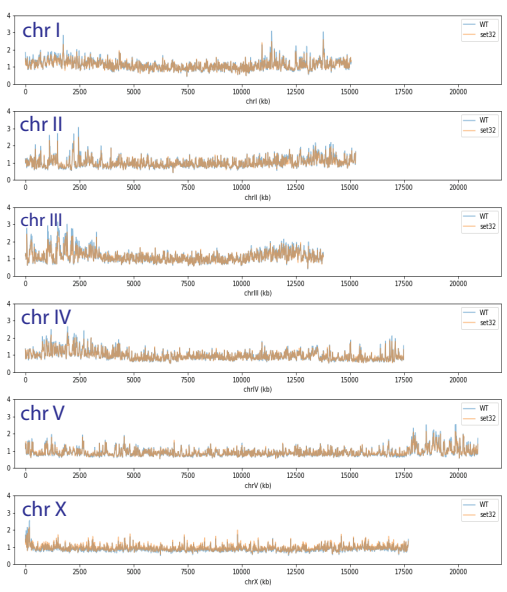
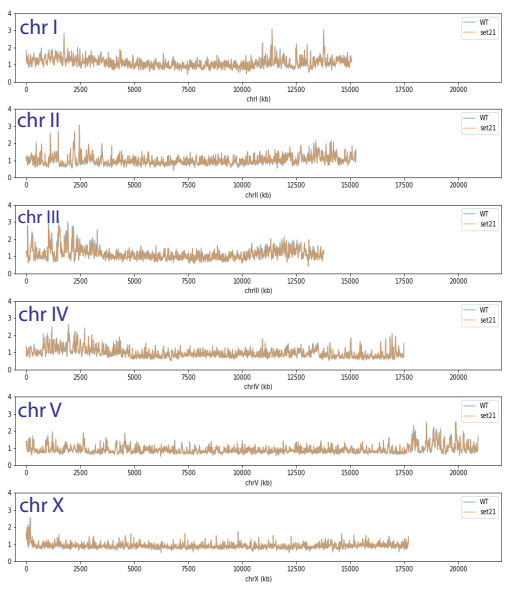


Figure S5

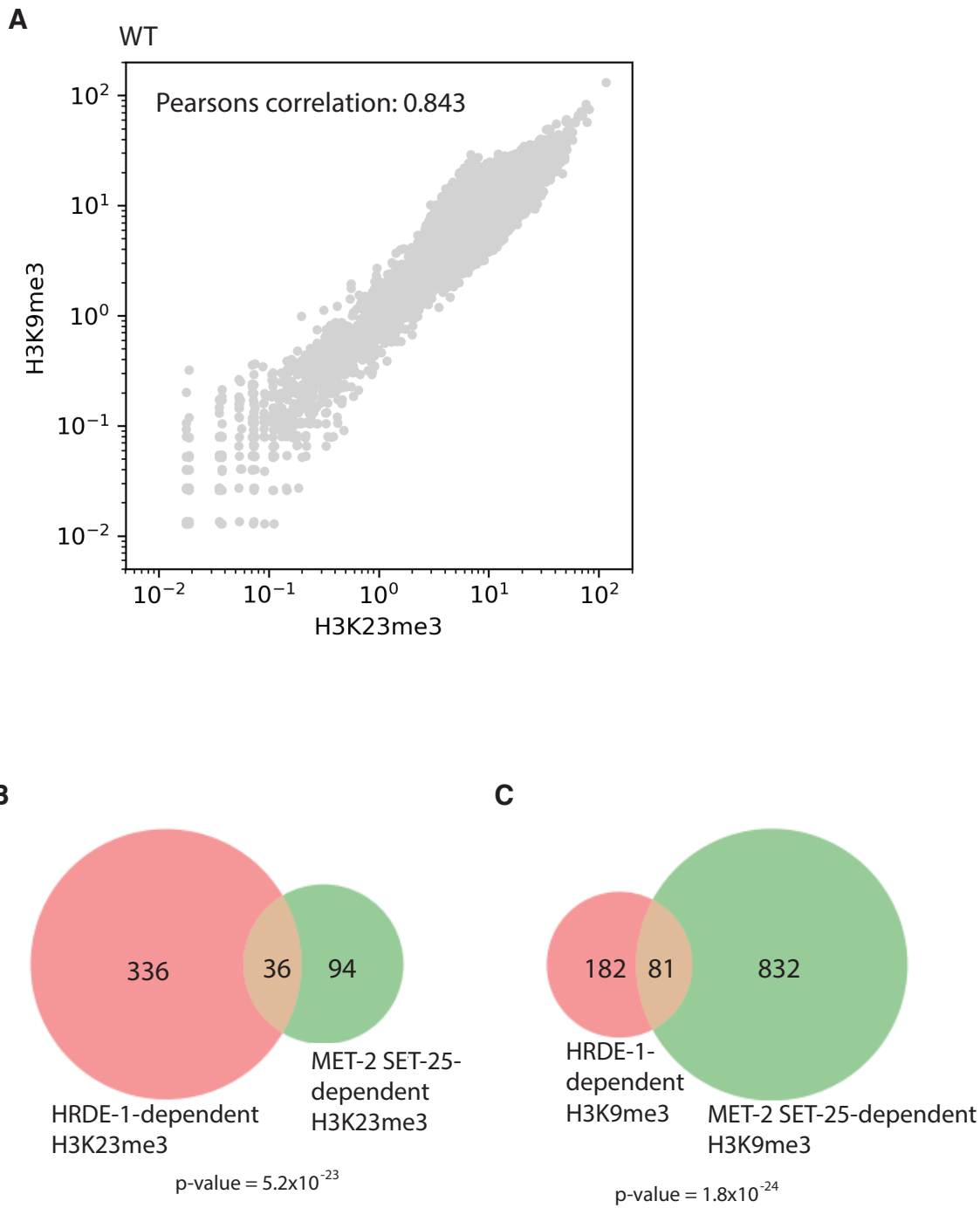


Figure S6

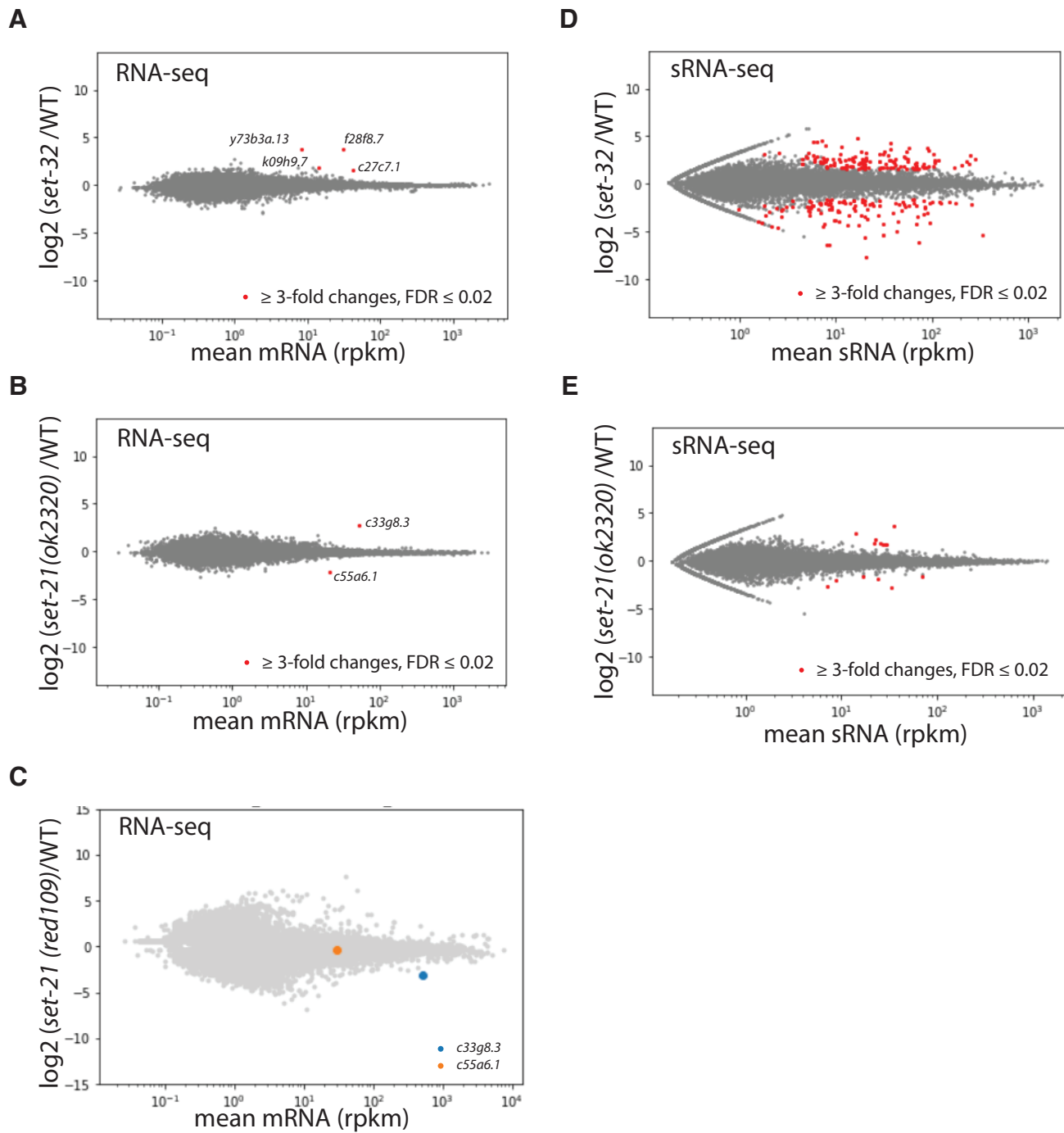
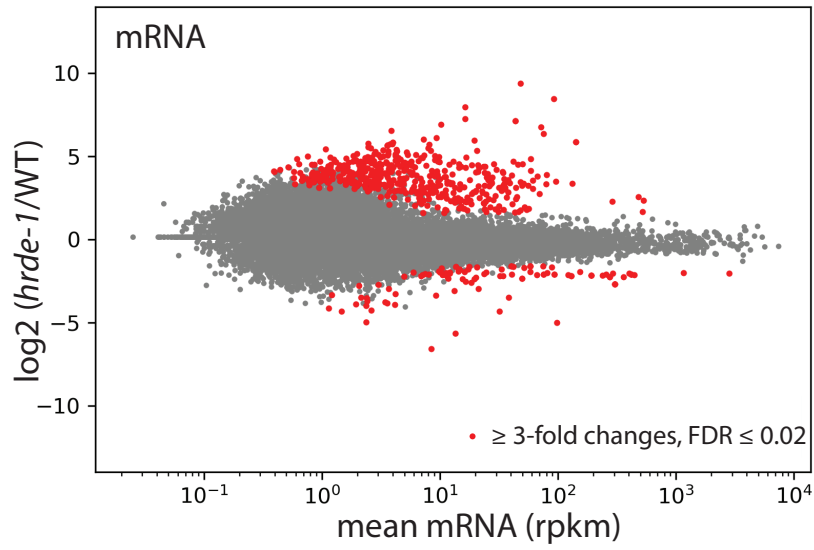


Figure S7

A



B

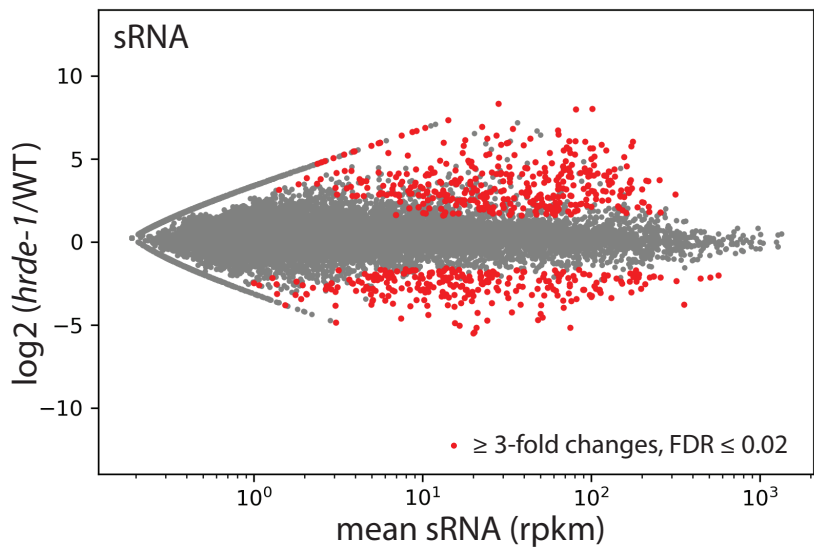


Figure S8

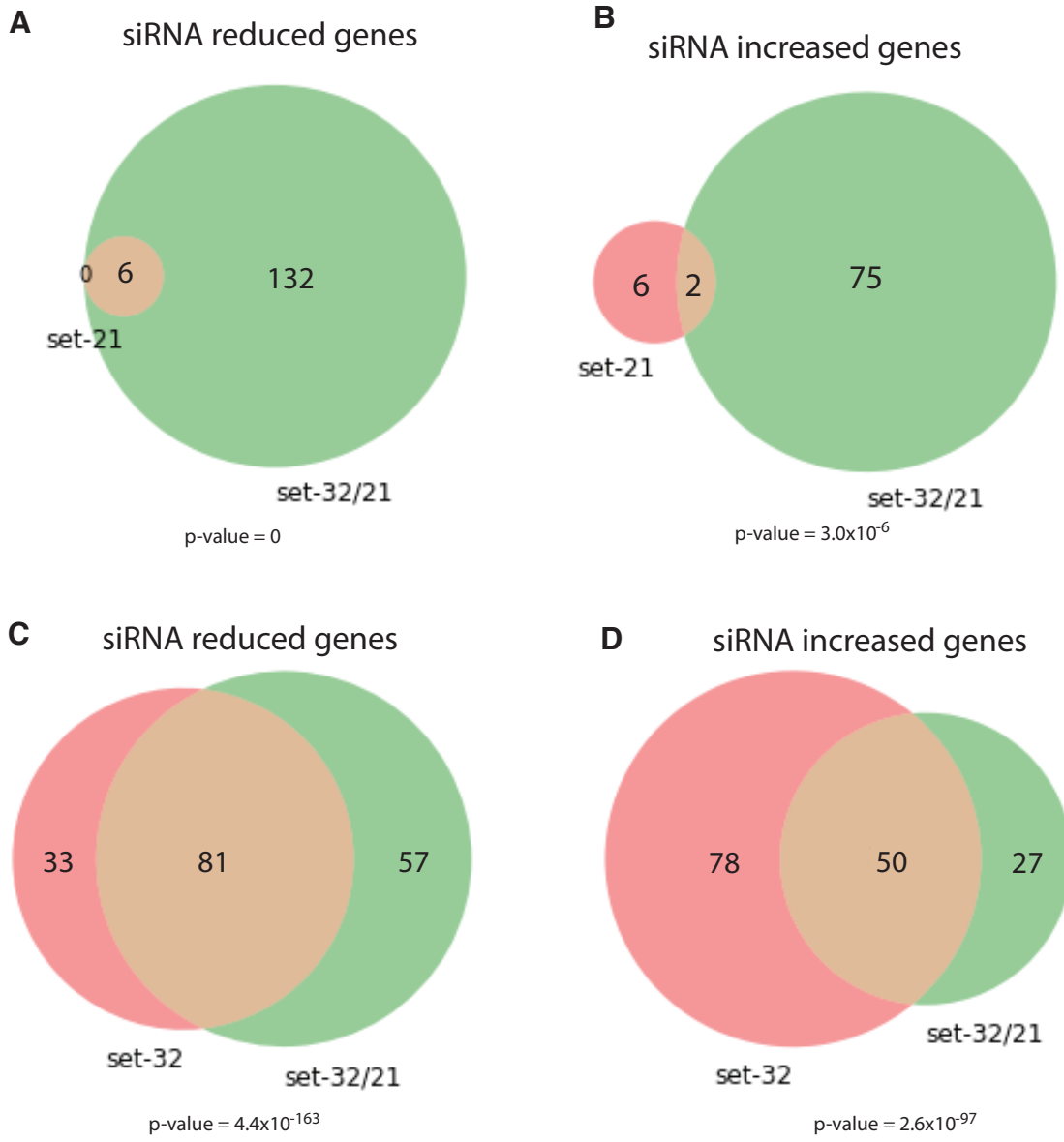
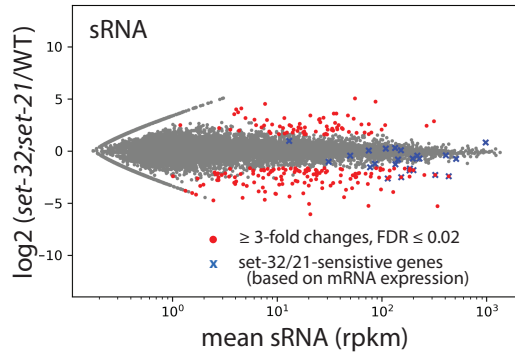
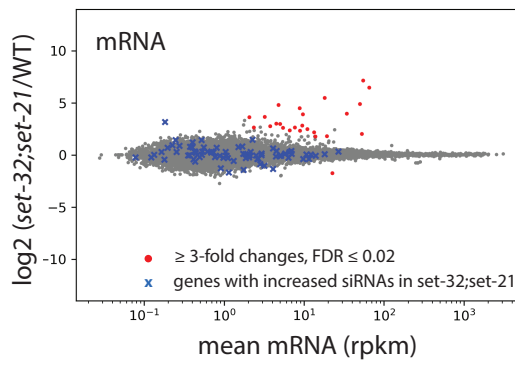


Figure S9

A



B



C

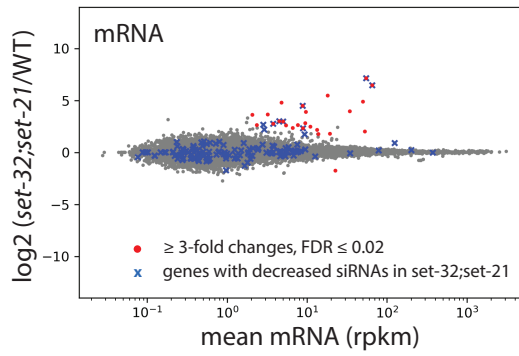


Figure S10

