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Early nasal microbiota and subsequent respiratory tract infections in infants with cystic fibrosis

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Abstract

Background Respiratory tract infections (RTIs) drive lung function decline in children with cystic fibrosis (CF). While the respiratory microbiota is clearly associated with RTI pathogenesis in infants without CF, data on infants with CF is scarce. We compared nasal microbiota development between infants with CF and controls and assessed associations between early-life nasal microbiota, RTIs, and antibiotic treatment in infants with CF. **Methods** We included 50 infants with CF and 30 controls from two prospective birth cohorts followed throughout the first year of life. We collected 1511 biweekly nasal swabs and analyzed the microbiota after amplifying the V3–V4 region of the 16S rRNA gene. We conducted structured weekly interviews to assess respiratory symptoms and antibiotic treatment. We calculated generalized additive mixed models and permutational analysis of variance. **Results** Here, we show that the nasal microbiota diversity differs between infants with CF. Microbiota following RTIs and/or antibiotic treatment. CF infants with lower α -diversity have a higher number of subsequent RTIs.

Conclusions Early nasal microbiota alterations may reflect predisposition or predispose to RTIs in infants with CF, and further change after RTIs and antibiotic treatment. This highlights the potential of targeting the nasal microbiota in CF-related RTI management, while also questioning current practices in the era of novel modulator therapies.

Several studies demonstrate a relationship between the dynamics of the upper respiratory microbiota in early life and susceptibility to respiratory tract infections in healthy individuals^{1–7}. However, conclusive data studying the relationship between respiratory tract infections and the microbiota among infants with cystic fibrosis (CF) is scarce⁸.

Plain language summary

Cystic fibrosis (CF) is an inherited condition which can increase the risk of developing respiratory tract infections (RTIs). We investigated the microorganisms present in the respiratory tract of infants from birth to the age of one. We found that infants with CF had differences in the microorganisms present immediately after birth compared to infants without CF. These differences increased after development of RTIs and following antibiotic treatment. Our results suggest that infants with CF could potentially benefit from treatments that modify microorganisms present in their respiratory tract prior to development of any RTI, or from different antibiotics to those used by infants without CF.

Opportunistic bacteria begin to colonize the airways of children with CF in early life, leading to recurrent, mostly polymicrobial respiratory tract infections (RTIs)^{9,10}. These may lead to pulmonary exacerbations that are followed by antibiotic treatment and hospitalizations^{11,12} and eventually drive persistent airway inflammation, structural damage, and lung function decline^{9,13}.

¹Division of Paediatric Respiratory Medicine and Allergology, Departement of Paediatrics, Inselspital, Bern University Hospital, University of Bern, Bern, Switzerland. ²Graduate School for Cellular and Biomedical Sciences, University of Bern, Bern, Switzerland. ³Paediatric Intensive Care and Pulmonology, University Children's Hospital Basel (UKBB), Basel, Switzerland. ⁴Institute for Infectious Diseases, University of Bern, Bern, Switzerland. ⁵Department of Respiratory Medicine and Children's Research Center, University Children's Hospital Zurich, Zurich, Switzerland. ⁶Pediatric Pulmonology and Cystic Fibrosis Unit, Division of Pediatrics, Department of Woman-Mother-Child, Lausanne University Hospital and University of Lausanne, Lausanne, Switzerland. ⁷Division of Pediatric Pneumology, Kantonsspital Aarau, Aarau, Switzerland. ⁸Division of Pediatrics, Hospital Bellinzona, Bellinzona, Switzerland. ⁹Wal-yan Respiratory Research Centre, Telethon Kids Institute, University of Western Australia, Perth, WA, Australia. *Lists of authors and their affiliations appear at the end of the paper. © e-mail: insa.korten@insel.ch The respiratory microbiota profile is altered in infants with CF, independent of the presence of pathogens detected with culture-based techniques^{14–17}. Early microbial development is characterized by early dominance and persistence of *Staphylococcus aureus* in infants with CF, shifting to *Corynebacterium spp*. and *Streptococcus spp*. dominance at age 3 months, compared with dominance of *Moraxella spp*., higher abundance of *Dolosigranulum* and *Prevotella spp*. in infants without CF^{17,18}. Antibiotic use in the first year of life is associated with lower bacterial density and increased colonization with gram-negative bacteria in infants with CF¹⁸.

We have previously demonstrated that distinct nasal microbiota profiles are present in the first year of life in infants with CF compared to unaffected controls¹⁷. RTIs and antibiotic therapy are frequent even in early CF disease, hampering to disentangle the interrelations between the microbiota, respiratory infections and antibiotics. This is however of uttermost importance to be able to assess a possible preventive and therapeutic potential to target the nasal microbiota in CF-related RTI management. Thus, this study had three aims: to compare nasal microbiota development between infants with CF and matched controls before and after the first RTI and first antibiotic treatment: to assess the interrelations between early-life nasal microbiota and number of RTIs, before and after the first RTI and first antibiotic treatment in infants with CF; and to analyze in detail how the first antibiotic treatment or the first RTI influence the nasal microbiota in infants with CF. We show that the nasal microbiota is already different before the first RTI or antibiotic treatment in infants with CF compared to controls. Differences increase following RTIs and/or antibiotic treatment. We observe that CF infants with lower α -diversity have a higher number of subsequent RTIs.

Methods Study design

This study includes data of infants from two prospective birth cohort studies: the Swiss Cystic Fibrosis Infant Lung Development (SCILD), which recruits infants with CF after newborn screening result¹⁹, and the Basel Bern Infant Lung Development (BILD) cohort, which recruits unselected infants without CF²⁰. In both studies, participants attend their first study visit between 3 and 5 weeks after birth, and are followed throughout the first year of life²¹. All included infants were white, due to the study's location and the higher incidence of CF in white populations, without consideration of other socially relevant groupings. Ethics Committee of the Canton of Bern, Switzerland approved the studies with the following Project-IDs: SCILD 2017-02139; BILD 2019-01072. We obtained written informed consent from each study participant's parent or legal guardian.

Fig. 1 | Collection of biweekly nasal swabs and longitudinal event courses. This figure displays two example longitudinal courses of events in infants. In the upper part (green arrow), the rare case of antibiotic treatment before the first RTI is displayed (e.g., due to Otitis media). The lower part (ochre arrow) shows an infant with two RTIs in the first year of life, one of which was treated with antibiotics. To disentangle the interrelations between nasal microbiota, respiratory tract infections (RTIs), and antibiotic treatment, we calculated statistics overall and before/after the first antibiotic treatment or before/after the first RTI in the first year of life. *Created with Biorender.com*.

Cohort

We included 50 infants with CF (30 of those were included in our previous study¹⁷) from nine centers around Switzerland (Supplementary Table 1) and 30 matched unaffected controls, all born between 2011 and 2019. In the following, we refer to the infants from the BILD cohort as controls. We performed weekly structured telephone interviews to obtain information about respiratory symptoms, antibiotic treatment, breastfeeding, and childcare. Parents collected biweekly anterior nasal swabs and sent them by post to the coordinating study center in Bern. Infants with CF received only therapeutic but not prophylactic antibiotic therapies.

Microbiota analysis

For transport and storage of nasal swabs UTM® system from Copan was used. DNA extraction was performed as described before²². The V3-V4 region of the 16S- ribosomal RNA gene was amplified, and PCR product purified. Paired-end sequencing was performed with Illumina Nova-Seq6000 platform. The DADA2 pipeline was used to obtain amplicon sequence variants (ASVs)²³ using the Silva v138.2 database⁵. We removed ASVs not assigned to kingdom Bacteria (family Mitochondria or class Chloroplast) or identified as contaminating ASV with the decontam package in R²⁴. We analyzed samples with at least 3000 reads, as suggested in comparable studies⁵ and as a clear flattening of the rarefaction curves was observed after 3000 reads (Supplementary Fig. 1). We included 1511 samples after quality control (1557 before). α -diversity calculation (Shannon-Diversity Index (SDI)), was performed without further filtering. For other analyses, only ASVs with a prevalence at least 0.1 % or abundance in at least five samples were preserved (43205 ASVs). Microbiota data was normalized using total sum scaling into relative abundance. Distance matrix to analyze β-diversity was calculated with Bray-Curtis dissimilarity.

Outcome variables

Comparison of the nasal microbiota between infants with CF and controls. We investigated 1. β -diversity: compositional microbiota differences between samples based on a dissimilarity matrix, 2. within-subject dissimilarity: β -diversity between consecutive taken swabs with less than 3 weeks difference of the same infant, 3. α -diversity: microbiota diversity within a sample, and 4. differential bacterial abundances (%). We assessed symptom scores as described previously²¹ (Supplementary Table 2). We included: a. all samples of all infants; b. samples taken before the first antibiotic treatment. c. samples taken before the first RTI. d. samples taken before the first antibiotic treatment. e. samples taken before the first antibiotic treatment. RTI (Fig. 1).



Investigation of the interrelations between early-life nasal microbiota and number of RTIs, first RTI, and first antibiotic treatment in infants with CF. We defined "higher number" of RTIs above the 75th percentile of RTI weeks of controls. We divided infants in two groups: Infants with a "lower number" of RTIs (0–3 weeks of RTI, n = 35) and infants with a "higher number" of RTIs (>3 weeks of RTI, n = 15). We performed analyses analogous to 1.–4. and a-c of the first part of the study.

Effect of a "first hit" on the microbiota in infants with CF. We investigated the role of first antibiotic treatment and the role of number of antibiotic treatments in the first year of life analogous to 1.–4. of the first part of the study. Infants with CF were divided into three groups depending on the number of antibiotic treatments: "Never" (0 antibiotic treatments, n = 17), "<75th Percentile" (1 antibiotic treatment, n = 25), and " \geq 75th Percentile" (2 or more antibiotic treatments, n = 8). We investigated the role of first RTI in the first year of life analogous to 1.–4. of the first part of the study.

Statistics and reproducibility

Statistics were performed with statistical software R (version 4.1.2). For normal distributed microbiota exposures, generalized additive mixed models (gamm function, mgcv package) were performed with autoregressive structure (lag 1) to account for temporal correlation within subjects (random effect) and corrected for before named possible confounders (fixed effect)²². The age of the infant in weeks was added in a smooth term. β-diversity was tested with permutational multivariate analysis of variance (PERMANOVA). Terms were added with the option "marginal" to account for all predictor variables equally (adonis2 function, vegan package). Differential abundance analysis of bacterial families was calculated with MaAsLin2 after total sum scaling normalization^{5,25}. We focused on the nine most abundant families for the main manuscript and summarized the rest ("others") due to 16S rRNA sequencing resolution. Reported q-values were corrected for multiple testing with Benjamini-Hochberg procedure as recommended in MaAsLin2 default²⁵. To control for confounding, we corrected for sweat chloride levels in infants with CF, mode of delivery, feeding type (breastfeeding vs formula fed), parental smoking, siblings, season, and antibiotic treatment. Due to only two infants with CF attending childcare in the first year of life, we matched control cases that did not attend childcare either without correcting in the further analyses. Results with p < 0.05, q < 0.05 respectively, were considered significant.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Results

Studied cohort and samples

The characteristics of the study cohorts are displayed in Table 1 and the study design in Fig. 1. The two cohorts were matched regarding sex, breastfeeding, delivery mode, and childcare. Mean number of reads was lower in infants with CF compared to controls, which could be due to higher exposure to antibiotics (Table 1, Supplementary Fig. 2 and Supplementary Table 3). However, infants with CF did not receive prophylactic antibiotic treatment.

Comparison of the nasal microbiota between infants with CF and controls

 β -diversity, measured by Bray-Curtis dissimilarity, was higher in infants with CF compared to controls (Table 2, Supplementary Fig. 3). This difference was not present if only antibiotic naïve swabs were considered or before the first RTI was reported (Table 2), but could only be detected after the first antibiotic treatment (e.g., treatment of asymptomatic bacterial colonization in CF infants), first RTI (prior

Table 1 | Characteristics of studied cohort

Characteristic	CF, <i>N</i> = 50 ^a	Controls, <i>N</i> = 30 ^a	P value ^b
Data points	933	578	
Sex (female)	26 (52)	15 (50)	0.9
Gestational age (weeks)	39.3 (38.5, 40.3)	39.8 (38.9, 40.3)	0.5
Gestational weight (kg)	3.3 (3.0, 3.6)	3.3 (3.1, 3.5)	>0.9
Cesarean Section	14 (28)	9 (30)	0.8
Breastfeeding	38 (76)	23 (77)	>0.9
Childcare	2 (4)	3 (10)	0.4
Parental Smoking	4 (8)	0 (0)	0.3
Antibiotic treatment in first year	33 (66)	2 (7)	0.001
Sweat Chloride	98 (79, 106)	-	
Swabs per infant	19 (16, 21)	22 (16, 23)	0.2
Mean reads per swab per infant	70,027 (50,071, 99,511)	90,396 (70,944, 105,308)	0.043
Any symptoms reported (weeks)	3 (2, 5)	3 (1, 4)	0.2
RTIs (weeks)	3 (1, 4)	1 (0, 3)	0.13
Age at first RTI (weeks)	24 (16, 35)	31 (20, 40)	0.11
Antibiotic treatments (weeks)	1 (0, 2)	0 (0, 0)	0.001
Age at first antibiotic treatment (weeks) ^c	26 (13, 34)	35 (33, 36)	
Higher number of RTIs	15 (30)	6 (20)	
Lower number of RTIs	35 (70)	24 (80)	
Antibiotic treatment			
Never	17 (34)	28 (93)	
<75th Percentile	25 (50)	2 (7)	
≥75th Percentile	8 (16)	-	

CF cystic fibrosis, RTI respiratory tract infection, IQR interquartile range.

^aMedian (IQR) for discrete values; n (%) for count data

^bWilcoxon rank sum test; Pearson's Chi-squared test; Fisher's exact test.

°Median (Range) for age at first antibiotic treatment.

Table 2 | Comparison of β -diversity between CF and controls overall, and stratified in groups regarding first RTI and first antibiotic treatment

Antibiotic treatment	RTI	CF <i>N</i> ; swabs	Controls <i>N</i> ; swabs	<i>R</i> ² value ^b	P value ^b
All	All	50; 933	30; 578	0.016	<0.001
Before	All	46; 539	30; 559	0.011	1.00
All	Before	48; 435	30; 357	0.099	0.733
After ^a	Before	13; 75	30; 357	0.020	<0.001
Before	After	20; 135	19; 162	0.015	0.023

RTI respiratory tract infection, CF cystic fibrosis, All all samples were included irrespective of antibiotic treatment or RTI, Before/After only samples before or after the first antibiotic treatment or RTI were included.

^aOnly samples of CF infants after antibiotic treatment and before RTI were compared with controls before RTI.

^bBy PERMANOVA, additional terms that were added marginally: breastfeeding, siblings, mode of delivery, age in weeks and season as fixed effects, and subject as random effect (not shown).

first antibiotic treatment) or both (Table 2, Supplementary Fig. 4). The R^2 -values from the PERMANOVA analyses were low overall despite being statistically significant, likely due to the large intra-group variation within the nasal microbiota compositions of both CF and control groups.

Fig. 2 | Comparison of within-subject dissimilarity in infants with CF and controls. a The median within-subject dissimilarities were calculated using the Bray-Curtis dissimilarity matrix for each two consecutive swabs from all infants. These dissimilarities were analyzed with a generalized additive mixed model, adjusting for confounders. The corrected within-subject dissimilarity values are shown as points on the y-axis, while the x-axis represents the age of the infants in weeks. Trendlines (loess function) are depicted in red for infants with CF (707 consecutive swabs from 50 infants) and in green for controls (442 consecutive swabs from 30 infants). Shaded areas indicate the 95% confidence interval for the trendlines. b The same analysis was conducted for consecutive swabs taken before the first antibiotic treatment. Data for infants with CF (397 consecutive swabs from 42 infants) are shown in red, and data for controls (426 consecutive swabs from 30 infants) are shown in green.



Infants with CF had less stable bacterial communities than controls, reflected by higher subsequent within-subject dissimilarities (Fig. 2, Supplementary Table 4). Again, this difference was not present before the first antibiotic treatment or before the first respiratory symptoms were reported (Fig. 2, Supplementary Table 4). We did not assess within-subject dissimilarity in even smaller subgroups (in contrast to the other diversity measures) because the number of consecutive taken samples of the same infant in subgroups was too low.

Differences in α -diversity between infants with CF and controls could only be reported after first antibiotic treatment (higher α -diversity in CF measured by SDI) (Table 3). "Baseline" α -diversity at the beginning of life or α -diversity after first RTI (without antibiotic treatment) did not differ between the two groups (Table 3).

In microbiota differential abundance analysis, results of the most abundant bacterial families are displayed in Fig. 3 and Supplementary Table 5. Taxa annotation on genus level was not possible for all samples (Supplementary Fig. 5). In infants with CF, we detected overall more *Staphylococcaceae*, *Propionibacteriaceae* and more *Micrococcaceae*, less *Carnobacteriaceae* and less *Moraxellaceae* than in controls (Fig. 3a, b, Supplementary Table 5). In controls, we observed the typical shift towards a more *Moraxellaceae/Carnobacteriaceae* (represented by *Dolosigranulum*) dominated profile around age four to 5 months, while in the profile of infants with CF *Staphylococcaceae* dominated. When specifically examining swabs taken before the first antibiotic treatment (CF = 539, controls = 559), consistent findings were observed for *Staphylococcaceae*, *Micrococcaceae*, and *Carnobacteriaceae*. This emphasizes that these differences were not confounded by antibiotic treatment (Supplementary Table 5). *Staphylococcaceae* and *Carnobacteriaceae* already differed before the first RTI (CF = 435, controls = 357 swabs) (Supplementary Table 5, Fig. 3c, d). After the first antibiotic therapy alone (before the first RTI) (CF = 75, controls = 357 swabs), we observed the same result as a trend. After the first RTI but before the first antibiotic treatment, we detected more *Staphylococcaceae* in infants with CF (Supplementary Table 5).

Investigation of the interrelations between early-life nasal microbiota and number of RTIs, first RTI, and first antibiotic treatment in infants with CF

 β -diversity did not differ between CF infants with a higher or lower number of RTIs in the first year of life, before the first antibiotic treatment, or before the first RTI occurred (results not shown). Microbiota communities were more stable in infants with a higher number of RTIs, reflected by lower within-subject dissimilarities between consecutive time-points (Table 4, Supplementary Fig. 6). This difference was already present in antibiotic naïve infants and before the first RTI (Table 4).

Infants with CF with a higher number of RTIs had a lower α -diversity measured by Shannon-diversity index compared to infants with a lower

Table 3 | Comparison of α -diversity between CF and controls overall, and stratified in groups regarding first RTI and first antibiotic treatment

Antibiotic treatment	RTI	CF N; swabs	Controls <i>N</i> ; swabs	Mean SDI CF/ Controls	Estimate (Controls) ^b	95% Cl⁵	<i>P</i> value ^b
All	All	50; 933	30; 578	2.124/1.927	-0.20	-0.320, -0.064	0.0032
Before	All	46; 539	30; 559	1.976/1.916	-0.08	-0.228, 0.065	0.277
All	Before	48; 435	30; 357	2.044/1.999	0.009	-0.169, 0.187	0.925
After ^a	Before	13; 75	30; 357	2.369/1.999	-0.405	-0.684, -0.125	0.005
Before	After	20; 135	19; 162	1.929/1.723	-0.168	-0.433, 0.097	0.220

RTI respiratory tract infection, CF cystic fibrosis, SDI Shannon-Diversity Index, CI confidence interval, All all samples were included irrespective of antibiotic treatment or RTI, Before/After only samples before or after the first antibiotic treatment or RTI were included.

^aCF infants after antibiotic treatment and before RTI were compared with control individuals before RTI.

^bGamm model corrected for breastfeeding, siblings, mode of delivery, antibiotic treatment, season (fixed effects), age in weeks (smooth term), and subject (random effect).

number of RTIs. Again, this difference was present before the first antibiotic treatment and before the first RTI occurred (Table 5, Fig. 4).

In infants with a higher number of RTIs, we observed reduced levels of *Neisseriaceae* (coefficient -1.959, q = 0.031) and *Propionibacteriaceae* (coefficient -1.49, q = 0.049), even prior to the occurrence of the first RTI (*Neisseriaceae* (coefficient -2.34, q = 0.048), *Propionibacteriaceae* (coefficient -2.12, q = 0.048)). Before the first antibiotic treatment, we observed this as a trend: *Neisseriaceae* (coefficient -2.03, q = 0.15), *Propionibacteriaceae* (coefficient -2.05, q = 0.068).

Effect of a "first hit" on the nasal microbiota in infants with CF

Ongoing antibiotic treatment at the time of nasal swab collection was associated with higher a-diversity (Coef 0.286; 95% CI 0.059, 0.512, p = 0.014). To investigate the effect of the first antibiotic treatment, infants with CF with at least one course of antibiotics (n = 33) were included. ßdiversity was higher after the first antibiotic treatment (PERMANOVA, $R^2 = 0.005$, p-adj = 0.007). In addition, α -diversity increased after the first antibiotic treatment (Coef 0.396; 95% CI 0.140, 0.651; p = 0.0027) (Fig. 5). We did not analyze within-subject dissimilarity and possible further changes in the microbiota after a second course of antibiotics due to low number of samples. Low abundant bacterial families ("others") (Coef 1.259, q = 0.000032), as well as Propionibacteriaceae (Coef 1.051, q = 0.024) and Neisseriaceae (Coef 1.259, q = 0.024) increased after the first antibiotic treatment. There was no association between the number of antibiotic treatments in first year of life and ß-diversity, within sample dissimilarity, adiversity, or differential abundances (results not shown). However, there were only few infants with more than two courses of antibiotic therapy in our cohort.

After the first RTI, β -diversity increased in infants with CF (PER-MANOVA, p < 0.001, $R^2 = 0.009$), reflecting a larger heterogeneity of the microbiota profiles in the CF group after the first infection. Again, R^2 -values were low, which points towards high variability within the CF group. Within-subject dissimilarity did not change after the occurrence of the first RTI. α - diversity also did not differ after the first RTI in infants with CF (Coef 0.001; 95% CI –0.267, 0.269; p = 0.994). In differential abundance analysis, we found an increase in abundance of *Neisseriaceae* (Coef 1.3, q = 0.039) after the first RTI.

Discussion

In this prospective cohort study, we investigated the nasal respiratory microbiota over the first year of life in infants with CF and controls and examined interrelations with antibiotic therapy and RTIs.

Microbiota differences between infants with CF and controls in our study are in line with previous results from studies of upper and lower respiratory samples^{8,17,18,26–28}. Importantly, differences in diversity indices first occurred after initial RTI and/or antibiotic treatment. This suggests, that the microbiota community destabilizes in infants with CF following first antibiotic therapy and/or RTI compared to control individuals. This can be attributed to the event itself combined with a higher vulnerability of

the CF microbiota structure, which was observed in a metagenome network analysis²⁸. Prevaes et al. report a different β -diversity in the first 3 months of life in infants with CF compared to controls¹⁸, too. Our study adds that this difference is not only an effect of progressing disease, but due to antibiotic treatments.

Distinct bacterial families between young CF and control infants were present in antibiotic naïve samples and prior respiratory infections also indicating a "CF specific microbiota", e.g., we demonstrated a higher abundance of *Staphylococcaceae* and a lower abundance of *Carnobacteriaceae*, in line with previous studies^{8,17,18}. High and early abundance of *Staphylococcus aureus* is associated with worse respiratory outcomes even in clinically stable infants with CF, strain persistence and unbeneficial adaption^{29–31}. Sequencing techniques with a higher resolution (like whole metagenome sequencing) are required to distinguish whether *Staphylococcaceae* dominance reflects early strain persistence of an opportunist and potential pathogen (*Staphylococcus aureus*)³² or whether it reflects a different composition of commensal bacteria among infants with CF in this cohort.

We report a lower α -diversity in CF infants with a higher number of RTIs, and more stable microbiota communities reflected by lower withinsubject dissimilarities between consecutive time-points. The latter seems counterintuitive, but could be explained by a bacterial overgrow of certain (disadvantageous) bacterial species, which already persist in the nasal cavity in infants with CF. This might differ to individuals without CF, Bosch et al. show that bacterial community stability is lower in infants with higher number of RTIs within the first year of life⁶. Ahmed et al.²⁶ report changes in β -diversity of the oropharyngeal microbiota with increasing age in CF infants, but did not report respiratory symptoms, and the increasing cumulative antibiotic dose in the first year of life, is likely to be associated with changing β -diversity.

Next to the (expected) microbial alterations through respiratory infections and/or antibiotics, in our study, a lower a-diversity (importantly: prior first RTI and antibiotic application) is associated with a higher number of subsequent RTIs in infants with CF independent from antibiotic therapy. Thus, although different additional factors contribute to disease development, certain microbiota profiles in CF infants likely predispose to respiratory disease or reflect an underlying airway biology that is followed by higher number of RTIs and antibiotic treatments. Studies in adult pwCF show an inverse relationship between microbiota diversity and disease progression, in line with our finding^{13,27}. However, the association between higher microbiota biodiversity and beneficial health outcomes is not always consistent in humans³³⁻³⁶. Otitis media^{37,38} or chronic rhinosinusitis³⁹ are associated with decreased α -diversity. In other studies, an increased α diversity has been associated with disease (e.g., in elderly pneumonia patients even before antibiotic therapy)⁴⁰. In CF infants, differing antibiotic treatment schemes throughout the world might further explain different outcomes for a-diversity⁴¹. A recently published longitudinal study of oropharyngeal samples of infants with CF⁴² observes higher numbers of distinct communities (leading to higher a-diversity) with higher cumulative



Fig. 3 | **Comparison of differential abundance of bacterial families between infants with CF and controls in first year of life. a** Relative abundance of the 9 most abundant families and "others" are displayed in alphabetical order and by age (months) for infants with CF (933 swabs from 50 infants) and controls (578 swabs from 30 infants). **b** Swabs of infants with CF (787 swabs from 42 infants) and controls (409 swabs from 21 infants) who experienced a RTI in first year of life were divided in swabs taken before and after the first RTI. **c, d** Results obtained from differential abundance analysis with MaAslin2 are plotted with effect size on the x-axes and FDR adjusted *p*-values (*q*-values) on the y-axes. The dashed horizontal line shows significance threshold (q = 0.05). We display differential abundance analysis (**c**) for all swabs (933 swabs from 50 infants with CF and 578 from 30 controls) and (**d**) for swabs only before the first RTI occurred (435 swabs from 48 infants with CF and 357 swabs from 30 controls).

Table 4 | Comparison of within-subject dissimilarities between infants with CF with higher number of RTIs and lower number of RTIs

Antibiotic treatment	RTI	Higher number of RTIs <i>N</i> ; consecutive swabs	Lower number of RTIs <i>N</i> ; consecutive swabs	Estimate (higher number) ^a	95% Cl ^a	P value ^a
All	All	15; 243	35; 464	-0.064	-0.100, -0.027	0.00071
Before	All	13; 115	29; 282	-0.068	-0.136, -0.026	0.00020
All	Before	14; 59	33; 276	-0.069	-0.133, -0.005	0.038

RTI respiratory tract infection, CI confidence interval, AI/ all samples were included irrespective of antibiotic treatment or RTI, Before only samples before the first antibiotic treatment or RTI were included. "Gamm model corrected for breastfeeding, siblings, mode of delivery, antibiotic therapy, season (fixed effects), sweat chloride, age in weeks (smooth term) and subject (random effect).

Table 5 | Comparison of α -diversity between infants with CF with higher and lower number of RTIs

Antibiotic treatment	RTI	Mean SDI RTI lower/higher number	Estimate ^a (Higher number of RTIs)	95% Cl ^a	P value ^a
All	All	2.260/1.838	-0.395	-0.589, -0.201	0.000068
Before	All	2.128/1.564	-0.528	-0.805, -0.251	0.00022
All	Before	2.157/1.625	-0.464	-0.826, -0.103	0.014

RTI respiratory tract infection, SDI Shannon-Diversity Index, CI confidence interval, All all samples were included irrespective of antibiotic treatment or RTI, Before only samples before the first antibiotic treatment or RTI were included.

aGamm model corrected for breastfeeding, siblings, mode of delivery, antibiotic treatment, season (fixed effects), sweat chloride, age in weeks (smooth term), and subject (random effect).

Fig. 4 | **a-diversity in infants with CF with higher and lower number of RTIs.** Shannon-diversity index (SDI) was compared between infants with CF with higher (300 swabs from 15 infants) and lower number (487 swabs from 27 infants) of RTIs in a generalized additive mixed model. Points display the model fitted SDI values on the y-axis, and (a) time to first RTI, or (b) time to first antibiotic treatment on the x-axis. Swabs taken before the first RTI (a), or antibiotic treatment (b), are displayed in red, and swabs taken after in green. The magenta trendline (loess function) reflects infants with a lower number of RTIs and the blue trendline displays infants with a higher number of RTIs in first year of life. The gray shadings show the 95% confidence intervals.







Time to first antibiotic treatment (weeks)

antibiotic exposure in days at time of sample collection in line with our data. In contrast, this study reports a mildly decreased α -diversity in antibiotic treated infants compared to antibiotic naïve individuals at age 9 months⁴². An opening of microbial niche spaces with elimination of single opportunistic bacteria by antibiotics might explain our findings, e.g., a rise in gramnegative bacteria after antibiotics in CF infants has been reported¹⁸, or transient environmental species may increase after initial antibiotic treatment. Thus, an increase in α -diversity cannot not necessarily be considered as an improvement. In line, we do not observe an increase in commensal bacteria that play a key role for respiratory health (like *Carnobacteriaceae* or *Corynebacteriaceae*)^{3,7}.

A strength of our study is the prospective study design that allowed structured collection of high-quality longitudinal data. We provide one of the largest longitudinal datasets of nasal microbiota swabs and clinical data (including respiratory symptoms and antibiotic treatment) to date. We include infants without CF from an unselected birth cohort as control subjects, who followed the same study protocol. Our data allowed us to study microbiota dynamics before and after important respiratory events (RTIs, antibiotic treatment), which is unique in infants with CF.

As limitation, we did not assess viral colonization⁵. Furthermore, as CF is not diagnosed at birth, information on the neonatal period was obtained retrospectively. RTI diagnoses were based on clinical assessment utilizing a previously validated respiratory symptom score (details are reported in the Supplementary Methods)^{43,44}. Additional information (e.g., further diagnostics or treatment modalities during respiratory diseases in the infants CF study center) is not assessed systematically in our study. Fortunately, infants with CF rarely present with very severe respiratory tract symptoms (e.g., leading to hospitalizations) in Switzerland. In addition, RTIs and antibiotic treatments occur together in infants with CF and thus, it is difficult to disentangle the effects of each individually. However, the last two points are general limitations for studies among pwCF and cannot be improved by study design.

Our data raise several questions concerning the reflexive use of antibiotics in infants with CF. Each respiratory event in infants with CF contributes to airway dysbiosis, which is subsequently used to justify antibiotic treatment. Perhaps a more deliberate and selective approach to antibiotic use could help in delaying or slowing the inevitable changes in microbial community structure, leading to potentially better long-term outcomes. We propose that early alterations in nasal microbiota may contribute to increased susceptibility towards RTIs in infants with CF and may exacerbate following RTIs and antibiotic treatment. However, it is also plausible that susceptibility to respiratory events in infants is primarily driven by underlying airway biology, with consistent and aggressive antibiotic responses exacerbating dysbiosis. Could we consider dysbiosis as a marker for intervention? These questions gain growing importance in times of CFTR modulator use.

In the future, novel modulator therapies if started in infancy or early childhood are very likely to substantially decrease the frequency of exacerbations⁴⁵⁻⁴⁷ and thus reduce antibiotic treatment. However, CFTR modulators are not (yet) available for infants and still not applicable for 10-15% of pwCF⁴⁸. In light of potential improvements through early triple modulator therapy initiation, it is even more important to understand early microbiota development to prevent early lung damage. It becomes crucial to exercise caution in treatment strategies and prioritize antimicrobial stewardship. It might be promising to treat infants with CF with probiotics to prevent destabilization of the upper airway microbiota. Some studies show promising effects of enteric probiotic therapies on clinical outcomes in individuals with CF between 2 and 44 years of age49, resulting in less frequent pulmonary exacerbations. Whether enteric probiotics influence the respiratory microbiota in CF has not been investigated so far. For a better understanding, large randomized controlled trials in infants with CF are required to study the effect of probiotic therapies on the respiratory microbiota and future RTIs and exacerbations. Metagenome analyses could provide further insights into strain adaption, persistence processes in infants with CF, and metabolomics might shed light into the mechanisms that underlie the influence of respiratory microbiota alterations on respiratory health.

In conclusion, we could show that the nasal microbiota is already altered before the first RTI or antibiotic treatment in infants with CF. It might predispose to a higher number of subsequent RTIs and is not (only) a consequence of recurrent RTIs or of antibiotic treatment in infants with CF. In the future, targeting the nasal microbiota might thus be an attractive option in CF-related RTI management.

Data availability

Sequencing data generated during this study have been stored accessible for the public in the NCBI bioproject repository (https://www.ncbi.nlm.nih. gov/bioproject/ with the accession code "PRJNA1019921"). Study participant and further metadata is available upon reasonable request to protect participant confidentiality. Requests can be sent to Insa Korten, insa.korten@insel.ch. The numerical data used to plot Fig. 3–5 (source data) can be found in Supplementary Data 1. The numerical data used to plot Fig. 2 (source data) can be found in Supplementary Data 2. Code used for the analysis of this data is available at https://github.com/ steinbaer/microbiome-16S/. No novel code was developed for the analysis of this study.

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Author contributions

R.S., I.K., P.L., and K.A.R. designed the study. R.S., I.K., E.K., B.F., C.C., J.U., A.M., D.T., I.R., S.B., D.M.S., B.K., U.F., and M.Z. involved in data acquisition. R.S. and I.K. collected clinical and metadata. M.H. and I.K. were responsible for biological samples and amplicon sequencing. N.M. and R.S. performed bioinformatics analyses. R.S. and I.K. performed and interpreted statistics. R.S., I.K., P.L., and M.H. were involved in interpretation of the results. R.S. and I.K. wrote the manuscript. All authors read and approved the final manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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