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Tau immunotherapies for Alzheimer's disease and related tauopathies: status of trials and insights from preclinical studies

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Abstract

The tau protein undergoes pathological changes in Alzheimer's disease and other tauopathies that eventually lead to functional impairments. Over the years, several therapeutic approaches have been examined to slow or halt the progression of tau pathology but have yet to lead to an approved disease-modifying treatment. Of the drugs in clinical trials that directly target tau, immunotherapies are the largest category and mostly consist of antibodies in different stages of development. There is a reasonable optimism that at least some of these compounds will have a clinically meaningful efficacy. This view is based on the significant although modest efficacy of some antibodies targeting amyloid-β in Alzheimer's disease and the fact that tau pathology correlates much better with the degree of dementia than amyloid-β lesions. In Alzheimer's disease, clearing pathological tau may therefore improve function later in the disease process than when removing amyloid-β. This review provides a brief update on the active and passive clinical tau immunization trials with insight from preclinical studies. Various epitopes are being targeted and some of the antibodies are said to target extracellular tau but because almost all of pathological tau is found intracellularly, the most efficacious antibodies should be able to enter the cell.

Keywords

Alzheimer's disease; tauopathies; tau protein; immunotherapy; vaccine; antibody; clinical trials

Introduction

There have been various developments in the field of tau immunotherapies since my previous review article on this topic published in your journal in 2018. Of the eight ongoing clinical trials at that time, three antibody trials have been discontinued but seven additional ones have started and are still ongoing (Table 1). At this time, there are two tau vaccines and nine tau antibodies in clinical trials in Phase I to III. While there are several possible reasons

Conflict of Interest:

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EMS is an inventor on patents on tau immunotherapies that are assigned to New York University. Some of those patents are licensed to H. Lundbeck A/S.

for why some of these approaches have failed, none have been safety related. In total, 14 antibodies have entered trials and two vaccines. The vaccines were among the earliest that entered trials but have not advanced as fast as some of the antibodies. Both are still in Phase II. Of the five antibodies that failed, two were discontinued in Phase I and three in Phase II.

We have written several reviews on this topic since the 2018 publication [1–6]. For details on the animal studies that justified bringing these antibodies and vaccines to clinical trials, please refer to those prior reviews, although the preclinical work on some of them has yet to be reported in peer reviewed journals. It is also notable that when reported, some of the experimental design focuses on prevention of tau seeding, which may not prevent tau toxicity [5;7], and the efficacy of some of them is not supported by functional studies.

Here I will provide a brief overview of the status of the individual antibodies and vaccines that have entered trials since this approach was first reported to be efficacious as a vaccine and as an antibody [8–11] (Table 1). Possible reasons for why some of these potential treatments have failed will be discussed as well, and an overview will be provided of the various factors to be considered when designing therapeutic tau antibodies.

Discontinued clinical trials on tau antibodies

RG7345 recognizes phospho-serine 422 and was discontinued while being tested in healthy subjects that are thought to not have this epitope [12]. With that in mind, target engagement could not have been an issue so a poor pharmacokinetic profile may have been the reason. BIIB076 was described to bind to the mid-domain of tau and had shown target engagement in Phase I but its development was halted for business reasons [13].

The three antibodies that failed in Phase II had all been described to work only extracellularly, two bound solely to the N-terminus and one to a conformational discontinuous epitope consisting of the N-terminus and amino acids in the three hundred range [14–16]. All apparently engaged their epitope but none showed any indication of functional improvement to justify larger Phase III trials. Specifically Gosuranemab is an IgG4 antibody that binds to amino acids 15–22 of tau. Over 99% of the tau protein is found intracellularly and the extracellular fraction is thought to consist mostly of amino acids 150–250 [5;17-20]. Most recently, the majority of tau in the brain interstitial fluid was shown to be fragmented with a similar pattern of at least ten distinct fragments spanning the entire tau protein in three different mouse models of tauopathy [21]. Therefore, even though Gosuranemab showed a clear target engagement in the trials, clearing a very small extracellular fraction of tau was always unlikely to improve neuronal function. The same goes for Tilavonemab, another IgG4 antibody against amino acids 25–30 of the tau protein that also was described to only work extracellularly. It showed target engagement in clinical trials without functional improvements. The third antibody, Zagotenemab, differs from the other two because of its discontinuous epitope. Its antibody subclass was not described but it is the humanized version of the MC1 antibody. That antibody recognizes early forms of tau aggregates on tissue sections but it had not been useful to detect tau in biological fluids, perhaps because of its low affinity and its epitope may not be prominent extracellularly. With that in mind, it was said to work only extracellularly but it is unclear if it showed any

target engagement in clinical trials. None of the findings from its trials have been published. It was discontinued in Phase II because it did not improve cognition, which was the primary outcome.

Ongoing clinical trials on tau immunotherapies

Active immunotherapy

The two tau vaccines in clinical trials have shown an excellent safety profile but have not advanced as rapidly through the different trial phases as some of the antibodies (Table 1).

AADvac1: AADvac1 was the first tau immunotherapy that entered clinical trials [22–28]. The vaccine contains a tau peptide of amino acids 294–305 of the tau protein that is linked to keyhole limpet haemocyanin and is administered in an aluminum hydroxide adjuvant to boost its immunogenicity. Four Phase I to II trials on it have been completed, three in AD patients and one in patients with non-fluent agrammatic variant progressive aphasia (naPPA), which is a tauopathy that relates to AD and frontotemporal dementia. The AD trials have shown a strong immunogenicity and an excellent safety profile with at least some hints of efficacy based on evaluation of biomarkers, brain imaging and functional outcome that justifies larger stratified studies with sufficient statistical power to assess its clinical efficacy. The outcome of the naPPA study has yet to be reported.

ACI-35: ACI-35 targets the p-tau396/404 epitope with the tau peptide incorporated into liposomes to improve its immunogenicity [29;30]. Its Phase I to II trials in AD patients have confirmed its safety but its immunogenicity was rather weak, which led to its modification by adding a second adjuvant and a T-cell helper epitope (ACI-35.030) or a carrier protein (JACI-35.054). The ACI-35.030 version led to a stronger antibody response than the JACI-35.054 version and is advancing to be tested in a larger AD population.

Passive immunotherapy

The antibodies that remain in trials target diverse tau epitopes and typically are of either the IgG1 subclass or the IgG4 subclass (Table 1). The former conveys effector function that should facilitate microglial phagocytosis of the antibody-tau complex, whereas the latter is neutral in that regard and thereby avoids possible inflammatory side effects associated with microglial activation.

APNmAb005: APNmAb005 is a recent entry into this field. It has been described to recognize oligomeric and insoluble tau in various tauopathies [31]. Its subclass has not been revealed and it is currently being tested in healthy subjects in a Phase I safety study.

Bepranemab: Bepranemab is an IgG4 antibody that recognizes amino acids 235–250 of the tau protein [32–34]. It has been said to bind to extracellular tau. Phase I trials in healthy subjects and patients with progressive supranuclear palsy (PSP) have not revealed any safety issues but some of the findings have yet to be reported and the PSP study is continuing as an open-label extension study. The antibody is currently in a Phase II trial in AD patients that is scheduled to end in 2025.

E2814: E2814 is an IgG1 antibody that binds to HVPGG amino acids that are in the second and fourth repeat of the microtubule-binding domain of tau [35;36]. It has been reported to bind to extracellular tau. It is currently in Phase II/III trials in AD patients with familial mutations in the amyloid precursor protein or presenilins that are scheduled to end in 2027. The tau antibody will be administered with or without Lecanemab, the $\Delta\beta$ targeting antibody that was recently approved by the FDA. Earlier Phase I/II trials in healthy subjects and familial AD patients appeared to be safe with favorable pharmacokinetics and target engagement in CSF but only preliminary results have been reported.

JNJ-63733657: JNJ-63733657 is an IgG1 antibody that binds to p-tau217 [37]. Three Phase I trials in healthy individuals and AD patients have been completed. Data from one of these trials has been reported showing a good safety profile and target engagement with similar pharmacokinetics in patients and healthy subjects. The antibody is currently in a Phase II study in AD patients that is scheduled to end in 2025.

Lu AF87908: Lu AF87908 is an IgG1 antibody that was raised against the p-Ser396/404 epitope and it primarily binds to the pSer396 region [38–43]. Its Phase I study in healthy subjects and AD patients ended in July 2023 and its findings will presumably be released in the near future.

MK-2214: MK-2214 does not have a reported epitope or subclass but it may be derived from a mouse antibody that binds to p-tau413 [44;45]. Two Phase I trials are ongoing in healthy subjects and patients with AD or mild cognitive impairment (MCI) that are supposed to end in 2024.

PNT001: PNT001 binds to a unique cis conformation of tau around p-tau231 [46–49]. This form of tau is reported by the original developer of this antibody to be only found under pathological conditions and to be highly toxic. Its subclass has not been published. A phase I trial in healthy subjects did not reveal any safety issues but a second Phase I trial in patients with acute traumatic brain injury (TBI) was terminated early for unknown reasons.

PRX005: PRX005 is an IgG1 antibody that binds to the microtubule binding region in both 3R and 4R tau isoforms [50]. It is currently in Phase I trials in healthy subjects and AD patients with no safety issues reported to date.

Semorinemab: Semorinemab is an IgG4 antibody directed at the N-terminus of all six isoforms of the tau protein [51–54]. It has been reported to target extracellular tau. A Phase I trial in healthy subjects and AD patients did not reveal any safety concerns. A subsequent Phase II study in patients with prodromal or mild AD confirmed an excellent safety profile but it missed both primary and secondary efficacy endpoints. A second Phase II study in patients with moderate AD was completed in August 2023. Top line results showed that the antibody slowed decline on one cognitive test and reduced CSF tau but other cognitive or functional tests did not show efficacy and tau PET signal was not altered. It is not clear at this point if the antibody will advance into Phase III studies.

Mechanistic considerations for therapeutic tau antibodies.

Although there are no proven reasons for the failures of the antibodies that have been discontinued, one can speculate about likely reasons based on what we know about tau biology and chemistry.

Extracellular vs intracellular tau: First of all, antibodies that only work extracellularly are less likely to have clinical benefits than antibodies that can work both extra- and intracellularly. This is based on the fact that only a tiny fraction of tau is found extracellularly (much less than one percent, [5;17]), even considering that tau levels in brain interstitial fluid are about ten times higher than in CSF, both in humans and mice [55;56]. As mentioned before, most of tau in CSF and brain interstitial fluid is fragmented [18–21]. A caveat there is that it is not clear whether antibodies said to target only extracellular tau were tested sufficiently to exclude intracellular targeting. Note also that antibody humanization can dramatically change antibody properties, including their ability to enter cells ([7], see Antibody Charge section).

Although extracellular tau may be involved in the spread of tau pathology through anatomically connected regions, focusing solely on clearing it while leaving intracellular tau intact can only be expected to have a modest impact. The best chance of success for extracellular tau antibodies would be in presymptomatic cases before the burden of intracellular tau becomes high enough to result in functional impairments. The reason to focus on extracellular tau seems to be based on the assumption that targeting tau intracellularly would be associated with adverse reactions that could derail further development of the antibodies. I am not aware of any studies that support this argument. In contrast, numerous studies by my laboratory and others have showed that tau antibodies that work both extra- and intracellularly are not associated with any particular toxicity specifically linked to their ability to enter cells [7;9;46;57-69]. This may in part be related to the fact that most of the antibody-tau interactions within the cell are likely to take place within the endosomal-lysosomal system following endosomal uptake of the antibodies, primarily via receptor-mediated uptake (about 80%) and to some extent via non-specific bulk endocytosis (about 20%) [7;57-60;62;66]. The antibodies will then meet their tau target when autophagosomes with tau aggregates fuse with endosomes containing the antibodies. In the lysosomes, efficacious antibodies will then disassemble tau aggregates to allow access of lysosomal enzymes to degrade them. Not all antibodies found with tau in lysosomes are effective. Depending on their affinity and/or epitope, some may make the aggregates more compact and thereby more difficult to degrade. A cytosolic pathway via the proteasome is likely to be also in play. We have shown that some antibodies leak into the cytosol, presumably from bursting or leaky endosomes [59]. Mallery et al identified a high affinity Fc binding site on TRIM21, a ubiquitin E3 ligase that facilitates ubiquitination and thereby subsequent proteosomal clearance of antibody-target complex [70]. It was first reported to be involved in promoting clearance of antibody-virus complexes and later of antibody-tau complexes [65;69;70]. It is highly unlikely that a high affinity binding site for the Fc-moiety of antibodies can exist intracellularly without a biological role of antibodies inside cells. As a matter of fact, most if not all cells have extracellular receptors that recognize the Fc region of antibodies. This feature may have evolved to allow antibody inside cells to neutralize

viruses and possibly other intracellular pathogens. When I was writing my first grant on this topic, that was the argument I used to justify targeting tau intracellularly with antibodies [71].

The dramatic difference in the amount of tau inside vs outside cells is likely to be even more pronounced in primary tauopathies compared to AD. In contrast to AD that presents with increased levels of tau in CSF, primary tauopathies such as progressive supranuclear palsy, corticobasal degeneration and Pick's disease do not have increased tau levels in CSF compared to controls [72]. This makes it even less likely for tau antibodies that solely work extracellularly to be effective in those tauopathies. With this in mind, it is not clear why extracellular tau antibodies were tested in primary tauopathies (Gosuranemab and Tilavonemab) in trials that were eventually discontinued, or why another extracellular antibody (Bepranemab) is being examined in progressive supranuclear palsy.

Tau epitope: Various tau epitope have and are being targeted and it is hard to say which one may be best (Table 1). Based on studies by us and others, subtle differences in binding within the same tau region can greatly influence efficacy, which suggests that serendipity may eventually guide us to the most efficacious antibodies in human patients. However, targeting certain regions of tau is likely to be more efficacious than others.

In our initial studies, we focused on phospho-tau epitopes because this posttranslational modification is most strongly associated with pathological tau. One of the most prominent phospho-tau epitope consists of p-tau396/404. In our initial active vaccination studies that region turned out to be also very immunogenic which led us to successfully show its efficacy in clearing pathological tau and improving function in tauopathy mice, followed by similar findings using the prototype antibody against this region, PHF1 [8–11], whose efficacy was confirmed by others [73]. Notably, targeting this region is being examined in one of the active immunization trials (ACI-35) and one of the passive immunization trials (Lu AF87908). Other phospho-tau epitopes that are being examined include ptau217 (JNJ-63733657), cis p-tau231 (PNT001; its mouse version was shown to work intracellularly), and possibly p-tau413 (MK-2214). One antibody targeting p-tau422 failed early in healthy subjects, presumably for pharmacokinetic reasons. This particular epitope has been described to be only found in tauopathy and not in healthy subjects so the failure cannot have been related to lack of target engagement.

The other vaccine/antibodies in trials or that have failed target normal tau epitopes apart from Zagotenemab that failed and targeted a discontinuous conformational tau epitope that is only found in pathological tau. As mentioned above, antibodies that target the N-terminus have been discontinued because they did not result in functional improvements (Gosuranemab and Tilavonemab). The third one targeting this epitope has failed in one trial in prodromal and early AD while resulting in some functional improvements in moderate AD (Semorinemab). Its further development is being considered but it is hard to see it being very effective if it only acts extracellularly as described for the mouse antibody. As mentioned before, not only is extracellular tau a tiny fraction of intracellular tau but most of extracellular tau has been described to lack the N-terminus, which should further reduce its efficacy [18–20]. With this in mind, several antibodies that later entered clinical trials target

the mid-domain of tau (Bepranemab and JNJ-63733657) and thereby the largest fraction of extracellular tau, or the microtubule binding region (E2814 and PRX005) which is closely linked to tau aggregation. Of these, Bepranemab and E2814 have been described to work only extracellularly. Since Bepranemab targets the largest extracellular tau fragment, it is more likely to work than other extracellular tau antibodies against tau epitopes found in smaller amounts outside cells. Like the N-terminus, the microtubule binding region is only a small fraction of extracellular tau but this antibody has already advanced to Phase II/III trials based on it safety, favorable pharmacokinetic profile and target engagement in CSF. It should be noted though that target engagement does not predict efficacy but is a required feature to advance the antibody into larger trials.

Of the other antibodies in trials, the epitope of APNmAb005 has not been reported.

Antibody subclass: For antibodies that have revealed subclass, only two have been examined in clinical trials, IgG1 and IgG4 (see Table 1). IgG1 antibodies have full effector function and are selected to enhance efficacy by promoting microglial phagocytosis of antibody-tau complexes. Conversely, IgG4 antibodies are devoid of effector function and are selected for safety reasons to minimize adverse inflammatory reactions. There are not many reports examining this issue for tau antibodies. An early study looked at this for two antibodies but their binding sites differed as well so no firm conclusions can be derived from that study [74]. Later, this issue was examined in culture for an antibody that binds to the same tau region as the AADvac1 antibody. It concluded that an IgG1 subclass was preferable but there was not a major difference in the efficacy of the subclasses, the strong effect of tau on microglial cytokine release made it difficult to evaluate subclass effect on that inflammatory effect, and microglia in culture behave differently from the same cells in vivo [75]. This was also looked into for Semorinemab, reaching a different conclusion that an IgG4 subclass was preferable because the IgG1 version was toxic in culture based on it causing MAP2 fragmentation in the cells [51]. However, the IgG1 subclass of Semorinemab showed target engagement at a lower dose than its IgG4 subclass and both had an excellent safety profile in vivo [52]. A third study examining tau antibody subclasses showed a similar effect of mouse IgG2a (closest to human IgG1) and mouse IgG1 (less effector function) on clearing several soluble and insoluble phospho-tau epitopes as quantified on western blots, but IgG1 seemed to be better at clearing one phospho-tau epitope on immunostained brain sections [76]. My laboratory looked into this more closely recently by studying cellular uptake and efficacy of all of the mouse subclasses (IgG1, IgG2a, IgG2b and IgG3) in culture models [68]. The IgG1 and IgG2a were the most efficacious and the IgG3 was the least efficacious. Mouse IgG2a and IgG3 are equivalent to human IgG1 and IgG4. None of the subclasses were specifically linked to toxicity although for one of the tau antibodies, all of the bivalent IgGs were toxic compared to the parent single domain antibody. This suggests that for that particular antibody, a bivalent binding stabilized a toxic conformation of the tau protein. We are examining that intriguing possibility further in in vivo studies. In summary, apart from culture studies, there is no in vivo evidence that an IgG4 subclass is any safer than an IgG1 subclass but at least one in vivo study indicates that Semorinemab on an IgG1 backbone is more efficacious than that antibody on an IgG4 backbone [52]. Keeping in mind

that extracellular tau is only a miniscule fraction of total tau it is unlikely that microglial clearance of tau-IgG1 complexes will have notable adverse reactions.

Antibody affinity: Generally speaking, higher affinity of an antibody for its target is often thought to translate to better efficacy in neutralizing or clearing that target. This may be the case for many targets but our experience is that it does not always apply to antibody-mediated clearance of pathological tau. For example, our 4E6 antibody targeting the p-tau396/404 region has a rather low affinity for this target (around 10^{-7} M) and is very effective in clearing pathological tau in culture and in vivo resulting in functional improvements [7;58;62;64;66]. On the other hand, our 6B2 antibody which targets the same region with a high affinity (up to 10^{-10} M), albeit a slightly different binding site, is ineffective in clearing tau and does not improve function [7;58;62;64;66]. Both can be found within neurons in the endosomal-lysosomal system after administration. A possible explanation for their contrasting effects is that the relatively modest 4E6 binding to tau may loosen up tau aggregates within the lysosomes and thereby allow better access of lysosomal enzymes to degrade tau, whereas the strong binding of 6B2 may render the aggregates more compact and thereby more difficult to degrade. This is likely epitope dependent, considering that for a couple of our antibodies that specifically or selectively recognize tau that is truncated an amino acid 421, higher affinity conveys better efficacy [77;78].

Antibody charge: To consider the influence of overall charge of an antibody on its properties such as uptake into neurons has not received much attention in the neurodegeneration field but it is a key consideration in other fields such as for cancer antibodies to try to improve their access into tumors. Our initial findings that antibodies can enter neurons and bind to pathological tau was first met with disbelief or indifference although it was already clear from other fields such as in virology that antibodies could have those properties [9]. This has been the case in the tau immunotherapy field as well with several groups showing uptake of monoclonal tau antibodies into neurons [7;9;46;57-69]. Neuronal uptake of antibodies has also been reported in models of Aβ amyloidosis, Parkinson's disease and amyotrophic lateral sclerosis [79–85]. Autoantibodies that can be detrimental have also been shown to be taken up into neurons [86–92]. Over the years, we have shed some light on this issue and implicated Fc receptors as a major pathway for uptake of tau antibodies into neurons, and showed that differences in uptake can at least in part be explained by charge differences [7;57-60;62;66]. In our hands, antibodies that have a close to a neutral overall charge (isoelectric point (IEP)) are taken up to a larger extent than very basic or acidic antibodies [7]. In light of the fact that over 99% of tau, including its pathological forms, is found within cells [5;17], in particular neurons, the charge of antibodies needs to be taken into consideration when advancing antibodies within or from preclinical studies to clinical trials. Notably, antibody humanization typically replaces most of the mouse sequence with a human sequence, which can result in a completely difference charge of the antibody. We noted this for our 4E6 mouse antibody that has an IEP around 6.5 but its chimeric version with most of the mouse scaffold replaced with a human scaffold has an IEP around 9.0, and unlike the mouse version does not enter neurons and thereby cannot neutralize the toxicity of or clear intracellular tau [7]. With this in mind, it is entirely unclear if the tau antibodies in clinical trials that were described not to enter neurons have

maintained such restricted ability in their humanized versions. It would be helpful if the drug companies with antibodies in trials would published their detailed characterization of their humanized antibodies and if/how their properties may differ from their mouse counterparts.

Antibody size: All of the tau antibodies in clinical trials are whole antibodies that consist of an Fc moiety that is linked to two Fab arms with an overall molecular weight of around 150 kDa (Figure 1). This is also the case for most of the preclinical studies on potentially therapeutic tau antibodies. Their key advantages are a long half-life of 1–4 weeks and the ability to bind to two targets at the same time. Their key disadvantage is large size that may limit uptake into the brain and cells and prevent them from accessing cryptic epitopes that may have therapeutic relevance. Their Fc-region that can convey effector function such as to enhance microglial phagocytosis of tau-antibody complexes can be seen as an advantage to enhance efficacy or a disadvantage by leading to adverse inflammation. Antibody fragments like Fabs (50 kDa), single chain variable fragments (scFvs, 25 kDa) and single domain antibodies (sdAbs, VHHs, 15 kDa) have shorter half-lives and would typically be administered as single units that prevents the avidity enhancement that can be obtained by a bivalent antibody. However, their smaller size compared to whole antibodies should allow greater brain entry and perhaps enhanced cellular uptake to access the largest pool of pathological tau. sdAbs in particular should be able to access novel cryptic epitopes that may provide a therapeutic advantage. Their single unit facilitates cellular folding, which renders them ideally suited for gene therapy that may end up being the preferred approach to prophylactically target tau in familial tauopathies.

Conclusions

Tau immunotherapies are the largest segment of clinical trials on therapies that directly target the tau protein. Although a few tau antibodies have failed in trials, several other tau antibodies remain in Phase I-III stages of examination to determine if they can directly slow or halt the progression of tau pathology in human patients. Two tau vaccines are also continuing in trials with additional antibodies and vaccines likely to enter clinical testing in the near future. It took about 24 years from the first in vivo report of a successful $\mathbf{A}\beta$ vaccination in a mouse model to the approval of a therapeutic Aβ antibody [93]. A similar lag time from the first in vivo report of a successful tau vaccination in a mouse model to an approval of a therapeutic tau antibody would mean that we could expect an approval of a tau antibody in 2030–31 [8;9]. As outlined in this review, targeting tau is likely to be more complex than targeting Aβ. Specifically, pathological tau protein is primarily found intracellularly whereas Aβ lesions are mostly extracellular. In addition, the tau protein is about 10 times the size of $\mathsf{A}\beta$ that greatly increases possible binding sites for the antibodies, and it undergoes various posttranslational modifications that exceed such changes for Aβ. Collectively, these differences render tau a more complicated target than Aβ. However, greater mechanistic insight into how these therapies work as reviewed here is likely to speed up the development of efficacious tau antibodies to slow or halt the progression of all tauopathies.

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Figure 1:

Advantages and disadvantages of whole antibodies versus antibody fragments.

Table 1:

Tau Immunotherapies that entered clinical trials

