RESEARCH ARTICLE

Cancer Medicine

Circulating serum miRNAs predict response to platinum chemotherapy in high-grade serous ovarian cancer

Kazuhiro Suzuki¹ | Akira Yokoi^{[1,2](#page-0-0)} | Juntaro Matsuzaki³ | Kosuke Yoshida^{1,2} | | **Yusuke Yamamoto[4](#page-0-2)** | **Tomoyasu Kato[5](#page-0-3)** | **Mitsuya Ishikawa[5](#page-0-3)** | **Takahiro Ochiya[6](#page-0-4)** | **Hiroaki Kajiyama[1](#page-0-0)**

1 Department of Obstetrics and Gynecology, Nagoya University Graduate School of Medicine, Nagoya, Japan

2 Institute for Advanced Research, Nagoya University, Nagoya, Japan

³Division of Pharmacotherapeutics, Keio University Faculty of Pharmacy, Tokyo, Japan

4 Laboratory of Integrative Oncology, National Cancer Center Research Institute, Tokyo, Japan

5 Department of Gynecology, National Cancer Center Hospital, Tokyo, Japan

6 Department of Molecular and Cellular Medicine, Institute of Medical Science, Tokyo Medical University, Tokyo, Japan

Correspondence

Akira Yokoi, Department of Obstetrics and Gynecology, Nagoya University Graduate School of Medicine, Tsurumacho 65, Showa-ku, Nagoya 466-8550, Japan.

Email: ayokoi@med.nagoya-u.ac.jp

Abstract

Background: Platinum chemotherapy is the cornerstone of treatment for highgrade serous ovarian cancer (HGSOC); however, validated biomarkers that can accurately predict platinum response are lacking. Based on their roles in the underlying pathophysiology, circulating microRNAs are potential, noninvasive biomarkers in cancer. In the present study, we aimed to evaluate the circulating miRNA profiles of patients with HGSOC and to assess their potential utility as biomarkers to predict platinum response.

Methods: Pretreatment serum samples collected from patients who received platinum chemotherapy for Stage III–IV HGSOC between 2008 and 2016 were analyzed using miRNA microarray. LASSO logistic regression analysis was used to construct predictive models for treatment-free interval of platinum (TFIp).

Results: The median follow-up was 54.6 (range, 3.5–144.1) months. The comprehensive analysis of 2588 miRNAs was performed in serum samples of 153 eligible patients, and predictive models were constructed using a combination of circulating miRNAs with an area under the receiver operating characteristic curve of 0.944 for TFIp >1 month, 0.637 for TFIp ≥6 months, 0.705 for TFIp ≥12 months, and 0.938 for TFIp ≥36months. Each predictive model provided a significant TFIp classification ($p = 0.001$ in TFIp >1 month, $p = 0.013$ in TFIp ≥6 months, $p < 0.001$ in TFIp ≥12 months, and $p < 0.001$ in TFIp ≥36 months).

Conclusion: Circulating miRNA profiles has potential utility in predicting platinum response in patients with HGSOC and can aid clinicians in choosing appropriate treatment strategies.

KEYWORDS

biomarker, high-grade serous carcinoma, microRNA, ovarian cancer, platinum response

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1 | **INTRODUCTION**

According to the GLOBOCAN estimates, 313,959 new ovarian cancer cases and 207,252 ovarian cancer-related deaths occurred worldwide in 2020.¹ High-grade serous ovarian cancer (HGSOC), the main epithelial ovarian can-cer subtype,^{[2](#page-8-1)} is already in advanced stage at diagnosis in most cases; therefore, the 5-year survival rate of advanced-stage HGSOC is approximately [3](#page-8-2)0%.³ The standard treatment strategy for HGSOC is primary debulking surgery followed by chemotherapy or neoadjuvant chemotherapy with subsequent interval debulking surgery.⁴ The introduction of bevacizumab and Poly(ADP-ribose) polymerase inhibitors (PARPi) has expanded the spectrum of available treatment alternatives, but consistent therapy efficacy is limited.^{5,6} Platinum chemotherapy remains the most vital therapeutic agent for the treatment of both pri-mary and recurrent HGSOC.^{[4](#page-8-3)}

Treatment-free interval of platinum (TFIp) is a com-monly used decision criterion for platinum rechallenge.^{[7](#page-8-5)} Similarly, the TFIp status has been used to classify patients in clinical trials on ovarian cancer.^{8,9} However, the efficacy of platinum rechallenge was clearly demonstrated in several phase II trials, which showed that platinum chemotherapy was no longer effective patients with a TFIp of \leq 6 months,^{10,11} indicating that a TFIp of \leq 6 months was not a consistent predictor of response to platinum chemotherapy. There are various chemotherapy options for ovarian cancer, such as platinum chemotherapy with or without the addition of bevacizumab or maintenance therapy with or without the addition of bevacizumab and PARPi. For an expected short duration of TFIp with platinum chemotherapy alone, the addition of bevacizumab or enhanced maintenance therapy should be selected with the expectation of additional therapeutic benefit. In contrast, for an expected long duration of TFIp with platinum chemotherapy alone, the patient may choose not to receive any additional therapy or maintenance therapy. Therefore, accurate prediction of TFIp is essential for treatment selection. Currently, validated biomarkers that predict response to platinum chemotherapy in clinical settings are lacking.

MicroRNAs (miRNAs) are endogenous, small, noncoding, single-stranded RNAs that regulate target gene expression and play vital roles in cancer progression. $12,13$ Importantly, miRNAs are released from cells to stably exist in body fluids by escaping RNase degradation. Circulating miRNAs in body fluids are wrapped up by extracellular vesicles, thereby intervening in cell-to-cell communication in both the original and the distant microenvironments.^{14,15} We have previously demonstrated the potential role of circulating miRNAs as noninvasive diagnostic biomarkers for ovarian cancer, with high accuracy and

prognostic ability found in high-grade serous carcinoma and ovarian clear cell carcinoma.^{[16,17](#page-9-2)} Recent studies have also reported the utility of miRNA expression profiles in predicting therapeutic response in cancer.^{[18,19](#page-9-3)}

In the present study, we aimed to determine the utility of circulating miRNA profiles as noninvasive biomarkers to predict platinum response in HGSOC. Thus, we investigated the association between platinum response and circulating miRNA profiles before platinum therapy by analyzing patients who did not receive bevacizumab or PARPi as first-line therapy to determine platinum response specifically. Therefore, the present study provides novel evidence on the utility of circulating miRNA profiles as noninvasive biomarkers to predict platinum response in HGSOC.

2 | **MATERIALS AND METHODS**

2.1 | **Patient selection**

This is a retrospective cohort study based on miRNA profiles identified in a previous study and on the clinical information of the patients. In a previous study, we collected 4046 serum samples from patients with ovarian tumors and healthy controls admitted or referred to the National Cancer Center Hospital between 2008 and 2016.¹⁶ Data are available from the NCBI database under the accession number GSE106817. The present study included the pretreatment serum samples of 442 patients with ovarian tumors. The clinical information of patients, such as age, histologic subtype, International Federation of Gynecology and Obstetrics stage, residual tumor volume, administration of neoadjuvant or adjuvant chemotherapy, recurrence, and death, was retrospectively reviewed by accessing the database of the Department of Gynecology of the National Cancer Center Hospital (Tokyo, Japan). Inclusion criteria were as follows: histology HGSOC, Stage III-IV, and patients who had serum samples collected before initiation of initial treatment. Exclusion criteria were as follows: patients who had undergone surgery or chemotherapy before serum collection, patients with a history of other cancers, and patients for whom an adequate sample could not be collected. Follow-up was performed at least every 3months for 2 years after the last platinum treatment. At the time of follow-up, computed tomography was performed after at least every 6months. Tumors were evaluated using contrast-enhanced computed tomography every two cycles. The response to chemotherapy was evaluated according to the Response Evaluation Criteria in Solid Tumors 1.1.

The present study was approved by the National Cancer Center Hospital Institutional Review Board (approval no. 2015–376, 2016–29), and all participants provided written informed consent.

2.2 | **miRNA expression analysis**

The serum samples stored in the National Cancer Center Biobank were used for comprehensive miRNA expression analysis using the 3D-Gene miRNA labeling kit and the 3D-Gene Human miRNA Oligo Chip (Toray Industries Inc., Tokyo, Japan), which was designed to detect 2588 miRNA sequences registered in miRBase (release 21). 20 To that end, total RNA was extracted from 300μL of the serum samples using the 3D-Gene RNA extraction reagent (Toray Industries Inc., Tokyo, Japan). In the present study focusing on circulating miRNAs released by ovarian cancer cells, miRNAs were selected according to the criteria described in our previous study.¹⁶ Among a total of 2038 miRNAs, 858 miRNAs were detected in extracellular vesicles derived from at least one ovarian cancer cell line. Among these, 210 miRNAs that were identified based on a human serum dataset were included in the final analysis.^{[16](#page-9-2)}

2.3 | **Statistical analysis**

In the present study, TFIp was defined as the time interval from the last platinum treatment to the time of initial tumor progression or last contact and overall survival was defined as the time interval from initial treatment to the time of death from any cause (Figure [S1](#page-9-5)). Sensitivity, specificity, and accuracy of the circulating miRNAs in predicting TFIp were determined using receiver operating characteristic (ROC) curve analysis and the area under the ROC curve (AUC) was calculated. The ROC analysis was used to determine the TFIp with the best power, which was used to develop a predictive model for platinum response. Thus, predictive index-positive cases were considered as patients with a $TFIp > X$ months. The sensitivity showed the predictive rate of TFIp longer than X months, and the specificity showed the predictive rate of TFIp less than X months. To calculate sensitivity, specificity, and accuracy, optimal cutoff values were set based on the maximum point of the sum of sensitivity and specificity, that is, Youden index. The 95% confidence interval (95% CI) of the AUC was calculated and plotted in the ROC curve. Two-group comparison was performed using Welch's *t*-test. LASSO logistic regression analysis was performed using the R software (version 4.3.0; R Foundation for Statistical Computing, [http://www.R-project.org\)](http://www.r-project.org), compute.es (version 0.2–4), glmnet (version 2.0–3), hash (version 2.2.6), MASS (version 7.3–45), mutoss (version 0.1–10), and pROC (version 1.8). ROC curves were

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compared using the DeLong test. Pearson's χ^2 , Welch's *t* tests, and one-way analysis of variance were performed using SPSS Statistics (version 29; IBM Corp., Armonk, NY, USA). Kaplan–Meier curves were used for the analysis of TFIp and were compared using the generalized Wilcoxon test. A two-sided $p < 0.05$ was considered statistically significant for all analyses.

3 | **RESULTS**

A total of 422 serum samples of patients with ovarian tumors were analyzed using the miRNA microarray to obtain the comprehensive miRNA expression profiles of patients. After the exclusion of serum samples from 262 patients with other ovarian cancer subtypes, 14 patients with Stage I–II cancer, 11 patients with insufficient clinical information, and 2 patients with low-quality microarray results, the profiles of 153 patients were included in the final analysis (Figure [1](#page-3-0)).

The patient characteristics are summarized in Table [1](#page-4-0). Briefly, all patients received cytoreductive surgery and adjuvant chemotherapy, which typically included carboplatin plus paclitaxel, without bevacizumab or PARPi. The median follow-up period was 54.6 (range, 3.5–144.1) months. The groups were created according to the initial TFIp, and 143, 122, 84, and 30 patients had TFIp >1 , ≥ 6 , ≥12, and ≥36months, respectively.

3.1 | **Selection of circulating miRNA candidates, and construction of predictive models**

A total of 210 miRNAs selected as candidate biomarkers according to the method described in our previous report were used in combination with other prognostic factors in-cluded in Table [1](#page-4-0).^{[16](#page-9-2)} In each group, a predictive model was constructed using the LASSO logistic regression analysis with prognostic factors. The results are summarized in Table [2,](#page-5-0) and the details of the predictive model are shown in Table [S1](#page-9-6). Only one model was selected to predict TFIp in each group based on DeLong test. The most appropriate predictive model was expressed as follows: model TFIp >1month: exp. *Y*/(1+exp *Y*) | *Y*=(−0.43074×miR-1228-5p) + (−0.2713 × miR-1273 g-3p) + (0.2881 × miR-3917) + (−0.15449 × miR-3940-5p) + (0.03679 × miR- $(4708-3p) + (-1.00381 \times m) + (-0.0285 \times m)$ $(486-5p) + (0.47157 \times m)$ \cdot $(0.35716 \times m)$ \cdot 6088 + $(0.09762 \times m$ iR-6872-3p) + 13.9039 and model TFIp ≥6months: exp Y/(1+exp *Y*) | *Y*=(0.04331×miR- $4708-3p$ + 1.0617 and model TFIp \geq 12 months: exp *Y*/(1+exp *Y*) | *Y*=(−0.0426×miR-3141)+(0.01861×

Patients with ovarian tumor who were treated at National **Cancer Center Hospital and registered in the National** Cancer Center Biobank between 2008 and 2016

FIGURE 1 Selection of patients and study design. Flowchart of patients' selection. We identified a total of 442 patients with ovarian tumors with preoperative serum microRNA profiles. Among them, 180 patients were diagnosed with high-grade serous ovarian carcinoma. Finally, 180 patients were assessed in this study, after excluding 27 patients with Stage I–II cancer, insufficient clinical information, and lowquality samples.

 m i R - 3928 - 3p) + (0.0129 \times m i R - $6766 - 5p$ + $(0.0094 \times m$ ik-7108-3p + 0.31159, and model TFIp \geq 12months: exp *Y*/(1+exp *Y*) | *Y* = (-0.0125433 × miR-1181) + (0.1067349 × miR-1254) + (0.0015947 × miR-1268b) + (−0.3771727 × miR-187-5p)+(0.0360527×miR-191–5p)+(−0.0984009×miR- 3141) + (0.0233745 × miR-3195) + (-0.5606837 × miR- 3197 + (0.2415774 \times miR-320a) + (0.1046963 \times miR- $342-5p$) + (0.0502296 × miR-3928-3p) + (0.0009486 × miR- $422a$) + (0.0050783 × miR-4419b) + (0.05179 × miR- $(4429) + (0.4470169 \times m)$ R-4447 + $(0.0210486 \times m)$ R-4449) + (−0.9951068 × miR-4463) + (−0.0335096 × miR- $(4484) + (0.0152364 \times m)$ R-4515) + $(0.0870314 \times m)$ R- $4640-5p$ + $(0.2338915 \times m$ iR -4675 + $(0.0864322 \times m$ iR $-$

 $486-5p$ + (0.5827542 × miR-557) + (0.0782236 × miR-6088)+(−0.1712249× miR-642a-3p)+(0.1380852× miR- $6766-3p$ + (-0.3991616 × miR-6799-5p) +- $(-0.2738122 \times m$ iR-6808-5p) + (0.0531576 $\times m$ iR-6842- 5p) + (−0.5248665 × miR- $6858 - 5p$ + $(0.033589 \times m iR - 7108 - 3p)$ + $(-0.2512049 \times m)$ = $(-0.5161003 \times m)$ R 8089)+(−0.1219326 × miR-887-3p)+(0.0626341 × miR-939-5p)+19.9189978. The AUC of most appropriate predictive models for each model was as follows: model TFIp >1month: 0.944 (95% CI: 0.896–0.992) for the group with TFIp >1 month, model TFIp \geq 6 months: 0.637 (95%) CI: 0.526–0.748) for the group with TFIp ≥ 6 months, model TFIp ≥12months: 0.705 (95% CI: 0.622–0.787)

TABLE 1 Clinical characteristics of 153 patients with high-grade serous carcinoma grouped according to TFIp.

Note: Data are shown as *n* (%) unless otherwise noted.

Abbreviations: OS, overall survival; PFS, progression-free survival.

for the group with TFIp ≥ 12 months, and model TFIp ≥36months: 0.938 (95% CI: 0.891–0.985) for the model with TFIp ≥36 months (Figure [2](#page-6-0)).

3.2 | **Further potentials for predictive models**

Each model was applied to all subgroups and AUCs were calculated. Model TFIp >1month: AUC of 0.683 for the group with TFIp ≥ 6 months, 0.603 for TFIp ≥ 12 months, and 0.618 for TFIp \geq 36 months. Model TFIp \geq 6 months: 0.631 for TFIp >1 month, 0.570 for TFIp $12 \ge$ months, and 0.563 for TFIp \geq 36 months. Model TFIp \geq 12 months: 0.757 for >1 month, 0.698 for TFIp $6 \ge$ months, and 0.659 for TFIp \geq 36 months. Model TFIp \geq 36 months: 0.624 for >1 month, 0.641 for TFIp $6 \ge$ months, and 0.645 for TFIp \geq 12 months (Table [S2](#page-9-6)). The predictive models for each TFIp group were re-evaluated by dividing each group into those with TFIp shorter and longer than the cutoff value. As shown in Figure [3A,](#page-7-0) there was a significant difference in each group (*p*=0.001 in model TFIp >1 month, $p=0.013$ in model TFIp ≥ 6 months, $p < 0.001$ in model TFIp ≥12 months, and p < 0.001 in model TFIp ≥36months) (Figure [3A,](#page-7-0) Figure [S2A\)](#page-9-6). Upon examining the model score transition for each patient, we observed a tendency of decreasing model score when the actual TFIp was lower than the predicted TFIp (Figure [3B](#page-7-0)).

3.3 | **Reassessment of the predictive model**

Pearson's correlation coefficients were calculated between TFIp and model score for each model. TFIp was positively correlated with all models (*r*=0.170 in model TFIp >1 month, $r=0.047$ in model TFIp ≥ 6 months, $r=0.256$ in model TFIp ≥12 months, and $r = 0.630$ in model TFIp ≥36months) (Figure [S2A\)](#page-9-6). Kaplan–Meier curves were constructed after stratifying patients into high and low

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TABLE 2 Discrimination accuracy of each diagnostic model. **TABLE 2** Discrimination accuracy of each diagnostic model.

 1.0

 0.8

 0.6

FIGURE 2 ROC curves for each Model. ROC curves for prediction of TFIp by models using LASSO logistic regression analysis. The green colored area indicates the 95% confidence interval region. The details of the predictive model are shown in Table [S1](#page-9-6).

groups based on the cutoff value of each model score. The Kaplan–Meier curves indicated that patients with a high model score had significantly longer TFIp than those with a low model score $(p=0.006$ in model TFIp >1 month, $p=0.003$ in model TFIp ≥ 12 months, and $p < 0.001$ in model TFIp \geq 36 months) (Figure [S2B](#page-9-6)). Therefore, the three models have the potential to predict early recurrence, regardless of each cutoff value.

4 | **DISCUSSION**

Accurate prediction of platinum response is fundamentally important in the clinical management of patients with HGSOC. Previous studies have explored the efficacy of platinum chemotherapy by categorizing patients as those who were platinum-sensitive and those who were platinum-resistant based on a TFIp of 6months. 21,22 In

the present study, we evaluated the expected TFIp before platinum chemotherapy. The AUC values for model TFIp >1month and model TFIp ≥36months were 0.944 and 0.938, respectively. The inclusion of circulating miRNA profiles in these analyses demonstrated their ability in accurately predicting TFIp.

The mechanisms underlying platinum chemotherapy resistance in patients with HGSOC have been extensively evaluated. $23-26$ Platinum resistance is not straightforward and is considered a complex biologic process. Therefore, the combination of factors, rather than a single factor, is a reasonable approach to develop biomarkers. In the present study, the miRNAs selected as predictive factors were different and multiple in each model, which may reflect platinum-resistant and -sensitive pathologies. Model TFIp ≥12 months appeared to be versatile, with an AUC \geq 0.69 for all subgroups, but model TFIp >1 month for the group with TFIp >1 month

FIGURE 3 Development of the predictive TFIp models. (A) Dot plot for each Model. Patients were stratified based on the TFIp. *p* values were calculated by Welch's *t*-test. (B) Model values for each in the same patient. Colored values indicate representative cases.

and model TFIp ≥ 6 months for TFIp ≥ 6 months were the most appropriate, each with an AUC of ≥ 0.9 . The miRNA with the strongest negative impact in model TFIp >1 month was miR-4739 (Table [S1,](#page-9-6) Figure [S3](#page-9-6)). With demonstrated involvement in the VEGFA/PI3K/ AKT pathway, miR-4739 has been reported to play an antioncogenic role in pancreatic cancer. 27 27 27 The miRNA with the strongest impact in model TFIp ≥ 6 months was miR-4708-3p (Table [S1](#page-9-6), Figure [S3\)](#page-9-6). According to miRDB, one of the targets of miR-4708-3p is interleukin 22, which has been previously reported to be involved in chemotherapy resistance in lung and breast cancers. $28-30$ The miRNA with the strongest impact in model TFIp ≥12 months was miR-3141, which directly targets transforming growth factor-β, which has demonstrated roles in platinum response in ovarian cancer (Table [S1,](#page-9-6) Figure [S3](#page-9-6)).³¹⁻³³

Currently, there is not one standard definition for therapeutic response, although biomarkers to predict therapeutic response have been investigated in ovarian cancer. In clinical trials, the typical primary endpoints are indicators such as overall and progression-free survival and response rate. Indicators that might define platinum treatment response in ovarian cancer include TFIp, response rate, and progression-free survival.^{[22,34,35](#page-9-12)} Biomarkers that can predict platinum response should be able to predict TFIp in first-line therapy because TFIp is expected. Second- or third-line chemotherapy might provide TFIp; however, recurrence and progression might still occur during platinum chemotherapy. Therefore, biomarkers predicting platinum response and recurrence in second- or third-line chemotherapy should be defined by TFIp or progression-free survival from treatment initiation for recurrence. After fourthline therapy, the risk of recurrence and progression during platinum chemotherapy is even higher and predictive biomarkers for platinum response might be defined by the duration of continued platinum therapy. Ovarian cancer is unique as patients are repeatedly administered platinum chemotherapy, even in cases of relapse, while good response to platinum chemotherapy is expected. In the present study, we selected TFIp as an indicator of predictive biomarkers for platinum response as platinum was administered as first-line therapy. Biomarkers that can predict treatment response should be defined according to the specific line of treatment.

The present study has several limitations. First, our results were based on dataset reanalysis and validation experiments using patients with recurrent cancer could not be conducted. Second, We did not obtain the BRCA mutation status of patients and did not include patients who received bevacizumab or PARPi as first-line

therapy; therefore, whether the predictive models can be applied to these patients remains unclear. Circulating miRNAs can be upregulated or downregulated by bevacizumab or PARPi. $36,37$ Further studies with larger, independent groups are needed to determine predictive biomarkers for response to platinum in combination with bevacizumab or PARPi. Third, although the expression of miRNAs in ovarian cancer cell lines was considered, the origin of the circulating miRNAs measured in the present study was unclear. Furthermore, the function of miRNAs in HGSOC should be evaluated in future studies.

In conclusion, we identified a circulating miRNA profile that predicted platinum response in HGSOC before platinum chemotherapy. Accurate prediction of treatment response prior to initiation can assist in determining appropriate treatment strategies, such as avoiding unnecessary treatments or choosing additional treatments. Prospective studies are warranted to evaluate circulating miRNA analysis for the translation of miRNA profiling into clinical care.

AUTHOR CONTRIBUTIONS

Kazuhiro Suzuki: Data curation (equal); formal analysis (equal); investigation (equal); visualization (equal); writing – original draft (equal); writing – review and editing (equal). **Akira Yokoi:** Conceptualization (equal); funding acquisition (equal); project administration (equal); resources (equal); supervision (equal); writing – review and editing (equal). **Juntaro Matsuzaki:** Formal analysis (equal); resources (equal); supervision (equal); visualization (equal). **Kosuke Yoshida:** Data curation (equal); formal analysis (equal); funding acquisition (equal); resources (equal); supervision (equal); visualization (equal); writing – review and editing (equal). **Yusuke** Yamamoto: Resources (equal); software (equal); visualization (equal). **Tomoyasu Kato:** Data curation (equal); investigation (equal). **Mitsuya Ishikawa:** Data curation (equal); investigation (equal). **Takahiro Ochiya:** Funding acquisition (equal); resources (equal); supervision (equal). **Hiroaki Kajiyama:** Resources (equal); supervision (equal); writing – review and editing (equal).

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CONFLICT OF INTEREST STATEMENT

The authors have no conflict of interest to declare.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are openly available in the NCBI database at [https://www.ncbi.nlm.](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE106817) [nih.gov/geo/query/acc.cgi?acc](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE106817)=GSE106817, reference number GSE106817.

ETHICS STATEMENT

Approval of the research protocol by an institutional review board: This study was approved by the National Cancer Center Hospital Institutional Review Board (approval no. 2015-376, 2016-29).

INFORMED CONSENT

Written informed consent was obtained from all participants.

ORCID

Akira Yokoi <https://orcid.org/0000-0003-0789-5102> *Kosuke Yoshida* <https://orcid.org/0000-0003-1484-4872> *YusukeYamamoto* **•** [https://orcid.](https://orcid.org/0000-0002-5262-8479) [org/0000-0002-5262-8479](https://orcid.org/0000-0002-5262-8479)

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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