

## The effects of breathing He–O<sub>2</sub> mixtures on maximal oxygen consumption in normoxic and hypoxic men

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1. The hypothesis that the ventilatory resistance to O<sub>2</sub> flow ( $R_V$ ) does limit maximal O<sub>2</sub> consumption ( $\dot{V}_{O_2, \max}$ ) in hypoxia, but not in normoxia, at least in non-athletic subjects, was tested.  $R_V$  was reduced by using He–O<sub>2</sub> mixtures.
2.  $\dot{V}_{O_2, \max}$  was measured during graded cyclo-ergometric exercise in eight men (aged  $30 \pm 3$  years) who breathed N<sub>2</sub>–O<sub>2</sub> and He–O<sub>2</sub> mixtures in normoxia (inspired oxygen fraction ( $F_{I,O_2}$ ) = 0.21) and hypoxia ( $F_{I,O_2}$  = 0.11). O<sub>2</sub> consumption, expired and alveolar ventilations ( $\dot{V}_E$  and  $\dot{V}_A$ , respectively), blood lactate and haemoglobin concentrations, heart rate and arterial oxygen saturation ( $S_{a,O_2}$ ) were determined at the steady state of each work load. Arterial O<sub>2</sub> and CO<sub>2</sub> partial pressures ( $P_{a,O_2}$  and  $P_{a,CO_2}$ , respectively) were measured at rest and at the end of the highest work load.
3. Maximal  $\dot{V}_E$  and  $\dot{V}_A$  were significantly increased by He–O<sub>2</sub> breathing in normoxia (+27 and +18%, respectively), without significant changes in  $P_{a,O_2}$ ,  $S_{a,O_2}$  and  $\dot{V}_{O_2, \max}$ . In hypoxia,  $\dot{V}_E$  and  $\dot{V}_A$  increased (+31 and +24%, respectively), together with  $P_{a,O_2}$  (+17%),  $S_{a,O_2}$  (+6%) and  $\dot{V}_{O_2, \max}$  (+14%).
4. The results support the hypothesis that the role of  $R_V$  in limiting  $\dot{V}_{O_2, \max}$  is negligible in normoxia. In hypoxia, the finding that higher  $\dot{V}_E$  and  $\dot{V}_A$  values during He–O<sub>2</sub> breathing led to higher  $\dot{V}_{O_2, \max}$  values suggests a greater role of  $R_V$  as a limiting factor. It is unclear whether the finding that the  $\dot{V}_{O_2, \max}$  values were the same during He–O<sub>2</sub> and N<sub>2</sub>–O<sub>2</sub> breathing in normoxia is due to a non-linear response of the O<sub>2</sub> transfer system, as previously proposed.

In recent years, multifactorial models of maximal O<sub>2</sub> consumption ( $\dot{V}_{O_2, \max}$ ) limitation have been gaining momentum against the classical unifactorial view that in normoxic man  $\dot{V}_{O_2, \max}$  is limited only by cardiovascular O<sub>2</sub> transport, at least during exercises involving large muscle groups (Clausen, 1977; Blomqvist & Saltin, 1983; Ekblom, 1986). On one side, Wagner (1992, 1993) has looked at a two-site system (perfusion *vs.* diffusion) and stressed the role of peripheral O<sub>2</sub> diffusion as a limiting factor. On the other side, a quantitative model of  $\dot{V}_{O_2, \max}$  limitation has been proposed (di Prampero, 1985; di Prampero & Ferretti, 1990), on the assumption that the flow of O<sub>2</sub> from ambient air to mitochondria is driven by pressure gradients across a cascade of resistances in-series. Each resistance to O<sub>2</sub> flow is considered to provide a given measurable fraction of the overall  $\dot{V}_{O_2, \max}$  limitation. Application of this model leads to the conclusion that cardiovascular O<sub>2</sub> transport imposes most of the limits to  $\dot{V}_{O_2, \max}$  (60–70%), whereas the role of pulmonary ventilation and gas exchange appears negligible, at least in healthy non-athletic subjects in normoxia

(di Prampero & Ferretti, 1990; Turner *et al.* 1993; Ferretti & di Prampero, 1995).

The latter statement relies on the postulate that in normoxia any change in the ventilatory resistance ( $R_V$ ) would be counteracted by an equivalent opposite change in the cardiovascular resistance ( $R_Q$ ), due to the shape of the O<sub>2</sub> equilibrium curve. In fact, higher alveolar ventilation ( $\dot{V}_A$ ) would lead to an increase in alveolar O<sub>2</sub> partial pressure ( $P_{A,O_2}$ ) and probably in arterial O<sub>2</sub> partial pressure ( $P_{a,O_2}$ ). Any increase in  $P_{a,O_2}$ , however, cannot be accompanied by a concurrent increase in arterial O<sub>2</sub> concentration ( $C_{a,O_2}$ ), because it occurs on the flat part of the O<sub>2</sub> equilibrium curve. This implies a decrease in the oxygen transport coefficient in the blood phase,  $\beta_b$ , which is equal to:

$$\beta_b = (C_{a,O_2} - C_{v,O_2}) / (P_{a,O_2} - P_{v,O_2}), \quad (1)$$

where  $C_{v,O_2}$  is mixed venous O<sub>2</sub> concentration and  $P_{v,O_2}$  is mixed venous O<sub>2</sub> partial pressure. Since  $R_Q = \dot{Q}^{-1} \beta_b^{-1}$ , where  $\dot{Q}$  is cardiac output, this necessarily implies an increase in  $R_Q$ . In contrast, in hypoxia any increase in  $\dot{V}_A$ , and

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subsequently in  $P_{A,O_2}$  and  $P_{a,O_2}$ , would also lead to a significant increase in  $C_{a,O_2}$ , since the  $P_{a,O_2}$  values lie on the steep part of the  $O_2$  equilibrium curve. As a consequence, the postulated compensation through changes in  $R_Q$  cannot operate, and  $\dot{V}_{O_2,max}$  can vary as a function of  $R_V$ .

This topic was examined by Ferretti & di Prampero (1995), who simulated the effects of independent changes in  $R_V$  on  $\dot{V}_{O_2,max}$  in normoxia and in hypoxia. The results showed that  $R_V$  provides less than 5% of the  $\dot{V}_{O_2,max}$  limitation in normoxia. In hypoxia, it appeared that the lower the inspired  $O_2$  partial pressure ( $P_{I,O_2}$ ), the greater the contribution of  $R_V$  to  $\dot{V}_{O_2,max}$  limitation. If this is the case, the lower the  $P_{I,O_2}$  and thus  $P_{a,O_2}$ , the greater the effects of a reduction in  $R_V$  on  $\dot{V}_{O_2,max}$ .

Such a reduction can be achieved, for instance, by breathing low-density gas mixtures, such as He- $O_2$ . In fact in the horse, He- $O_2$  breathing had no effect on  $\dot{V}_{O_2,max}$  in normoxia (Erickson *et al.* 1994), but increased (Erickson *et al.* 1995)  $\dot{V}_{O_2,max}$  in hypoxia. As far as humans are concerned, He- $O_2$  breathing has been reported to increase (Robertson & McRae, 1966; Brice & Welch, 1983), decrease (Murphy, Clark, Buckingham & Young, 1969; Spittler, Horvath, Kobayashi & Wagner, 1980) or have no effects (Bowers & Fox, 1967) on  $\dot{V}_{O_2,max}$  in normoxia. No previous studies, to our knowledge, have investigated the effects of He- $O_2$  breathing on  $\dot{V}_{O_2,max}$  in humans exposed to acute hypoxia.

The aim of the present study was to test the hypothesis that the role played by pulmonary ventilation in limiting  $\dot{V}_{O_2,max}$  is negligible in normoxia, at least in healthy non-athletic subjects, but is noticeable in hypoxia. To this purpose,  $R_V$  was specifically reduced by administering He- $O_2$  gas mixtures during exercise, and  $\dot{V}_{O_2,max}$  was measured in normoxia and in hypoxia.

## METHODS

### Subjects

After approval by the local ethical committee, eight male non-athletic subjects (age,  $30 \pm 3$  years; mean  $\pm$  s.e.m.) were admitted to this study. All subjects gave written informed consent. They were all clinically healthy and had a normal resting and exercise electrocardiogram. None of them were involved in a specific training programme nor underwent prolonged altitude exposure during the six months preceding this study.

### Methods

The  $O_2$  consumption at the exercise steady state ( $\dot{V}_{O_2}$ ) was measured by the standard open circuit method. During  $N_2$ - $O_2$  breathing, expired gas was collected into Douglas bags, and its volume was determined by means of a dry gas meter (Singer DTM 15; USA). During He- $O_2$  breathing, expired air was collected into an 80 l Tissot spirometer, which had previously been re-equilibrated for the use of He- $O_2$  mixtures. Expired  $O_2$  and  $CO_2$  fractions were measured by a paramagnetic  $O_2$  analyser (Oxyinos 1-C; Leybold Haereus, Hanau, Germany) and an infrared  $CO_2$  analyser (LB-2; Leybold Haereus), respectively. The gas analysers were calibrated with gas mixtures of known composition, balanced with either  $N_2$

or He.  $\dot{V}_{O_2}$  was computed by a standard procedure.  $CO_2$  output, expired ventilation ( $\dot{V}_E$ ) and the gas exchange ratio ( $R$ ) were also calculated.

Blood lactate concentration ( $[La]_b$ ) was measured by an enzymatic method (ESAT 6661 Lactat; Eppendorf, Hamburg, Germany) on 20  $\mu$ l blood samples from an ear lobe. Heart rate ( $f_H$ ) was measured continuously by electrocardiography (ETM 2000; Elmed, Augsburg, Germany). Arterial  $O_2$  saturation ( $S_{a,O_2}$ ) was measured continuously by finger-tip infrared oxymetry (Pulsox-5; Minolta, Japan). Haemoglobin concentration ( $[Hb]$ ) was determined by a standard photometric technique (B-Hemoglobin, Hemocue AB, Angelholm, Sweden) on 10  $\mu$ l ear lobe blood samples. Arterial blood pH,  $P_{a,O_2}$  and arterial  $CO_2$  partial pressure ( $P_{a,CO_2}$ ) were measured by means of microelectrodes (Ciba Corning 280 blood gas system, Medfield, MA, USA) on 80  $\mu$ l arterialized blood samples from an ear lobe.  $\dot{V}_A$  was then calculated from the Bohr equation, assuming that  $P_{A,CO_2}$  was equal to  $P_{a,CO_2}$ .

### Exercise testing protocol

$\dot{V}_{O_2,max}$  was determined during graded exercise on the cycle ergometer. Each submaximal work load lasted 5 min. The lowest powers were 50 and 100 W for hypoxic and normoxic conditions, respectively. Power was then progressively increased by 50 W steps. The step increase was reduced to 25 W as the individual maximum was approached.  $\dot{V}_{O_2}$  was determined during the last minute of each work load. Successive work loads were separated by 5 min recovery intervals, during which blood samples were taken at 1, 3 and 5 min for the determination of  $[La]_b$ . At rest prior to exercise and immediately after the end of the highest work load, arterialized blood samples were obtained from the ear lobe and immediately analysed.

The individual  $\dot{V}_{O_2,max}$  was established from the plateau attained by the relationship between oxygen uptake and mechanical power above a given power. When the plateau was not evident, the following criteria for establishing  $\dot{V}_{O_2,max}$  were used: (i) the lack of increase in  $f_H$  between two successive work loads ( $\Delta f_H < 5$  beats  $min^{-1}$ ), (ii)  $R$  values higher than 1.1, and (iii)  $[La]_b$  higher than 10 mM. The minimum power requested at which  $\dot{V}_{O_2}$  was equal to  $\dot{V}_{O_2,max}$  was defined as  $\dot{W}_{max}$ .

Four  $\dot{V}_{O_2,max}$  measurements were carried out. The first was always in ambient air (normoxia,  $P_{I,O_2} = 150$  Torr). The other tests were performed while the subjects breathed either a  $N_2$ - $O_2$  mixture with an inspired  $O_2$  fraction,  $F_{I,O_2}$ , of 0.11 ( $P_{I,O_2} = 80$  Torr) or He- $O_2$  mixtures with  $F_{I,O_2}$  of 0.21 and 0.11 in a random order. Successive tests were separated by at least 2 days to avoid specific training effects. Except for ambient air, inspired gas was provided by a cylinder, and was administered via a Douglas bag acting as a volume buffer, through a 2.5 cm diameter hose. The length of the hose was 2.8 and 1.8 m for He- $O_2$  and  $N_2$ - $O_2$  breathing, respectively, in order to minimize differences in resistance along the hose between the two conditions.  $F_{I,O_2}$  at the entrance of the valve was continuously monitored. Ten minutes at rest were allowed for equilibration, before the exercise test was carried out.

### Statistical analysis

Data are reported as means  $\pm$  s.e.m. A two-way ANOVA for repeated measurements was used for statistical analysis. When significant interactions were found, a *post hoc* test (Tukey's test) was then applied for evaluation of the effect of He- $O_2$  breathing, both in normoxia and in hypoxia. Linear relationships were studied by regression analysis. The results were considered significant if  $P < 0.05$ .

**Table 1. Mean values ( $\pm$  s.e.m.) of the measured and calculated variables in the four tested conditions at maximal exercise**

	$\dot{V}_{O_2, \max}$ (l min <sup>-1</sup> )	$\dot{V}_{O_2, \max}$ (ml min <sup>-1</sup> kg <sup>-1</sup> )	<i>R</i>	$\dot{W}_{\max}$ (W)	$\dot{V}_E$ BTPS (l min <sup>-1</sup> )	$\dot{V}_A$ BTPS (l min <sup>-1</sup> )	<i>f</i> <sub>H</sub> (beats min <sup>-1</sup> )	[La] <sub>b</sub> (mM)
Normoxia								
N <sub>2</sub> -O <sub>2</sub>	2.99 ± 0.26	43.9 ± 3.5	1.19 ± 0.06	236.3 ± 17.4	109.9 ± 5.8	95.1 ± 6.6	186.0 ± 1.6	11.1 ± 0.6
He-O <sub>2</sub>	3.07 ± 0.27	45.2 ± 3.7	1.20 ± 0.04	242.6 ± 16.4	139.1 ± 14.2*	112.1 ± 11.0	186.0 ± 2.1	11.4 ± 0.8
Hypoxia								
N <sub>2</sub> -O <sub>2</sub>	1.96 ± 0.09	28.7 ± 1.4	1.23 ± 0.05	156.4 ± 9.9	107.8 ± 8.8	82.1 ± 7.4	176.9 ± 3.3	11.2 ± 0.9
He-O <sub>2</sub>	2.23 ± 0.16*	32.6 ± 2.3*	1.24 ± 0.03	183.6 ± 14.4*	141.3 ± 13.3*	101.5 ± 10.6*	180.3 ± 3.0	11.7 ± 1.0

$\dot{V}_{O_2, \max}$ , maximal oxygen consumption; *R*, respiratory exchange ratio;  $\dot{W}_{\max}$ , minimum power for which O<sub>2</sub> consumption is equal to  $\dot{V}_{O_2, \max}$ ;  $\dot{V}_E$ , expired ventilation;  $\dot{V}_A$ , alveolar ventilation; BTPS, body temperature and pressure, saturated; *f*<sub>H</sub>, heart rate; [La]<sub>b</sub>, blood lactate concentration. \* *P* < 0.05 compared with N<sub>2</sub> breathing.

## RESULTS

### Submaximal exercise

All submaximal 5 min work loads could be completed by all the subjects. At any work load, the same submaximal  $\dot{V}_{O_2}$  values were observed with He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> breathing, whether in normoxia or in hypoxia. Thus, as appears from Fig. 1, the relationships between the corresponding  $\dot{V}_{O_2}$  values in He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> were not significantly different

from the identity line. This allowed the establishment of an overall linear relationship between  $\dot{V}_{O_2}$  and power, independent of *F*<sub>I,O<sub>2</sub></sub> and breathed gas mixtures. This relationship (not shown in a figure) is described by the equation:  $\dot{V}_{O_2} = 0.307 + 0.011 \dot{W}$  ( $\dot{W}$  being power, in W; *n* = 128; adjusted *r*<sup>2</sup> = 0.95; *P* < 0.001), from which an average mechanical efficiency of exercise of 0.25 was obtained.

**Figure 1. Oxygen consumption during He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> breathing at submaximal exercise**

Oxygen consumption ( $\dot{V}_{O_2}$ ) during He-O<sub>2</sub> breathing as a function of  $\dot{V}_{O_2}$  during N<sub>2</sub>-O<sub>2</sub> breathing in normoxia (A) and in hypoxia (B). The regression lines (continuous lines;  $y = -0.03 + 0.99x$ , *r*<sup>2</sup> = 0.97, *P* < 0.001; and  $y = 0.04 + 0.98x$ , *r*<sup>2</sup> = 0.93, *P* < 0.001; for normoxia and hypoxia, respectively) are not significantly different from the identity lines (dotted lines).

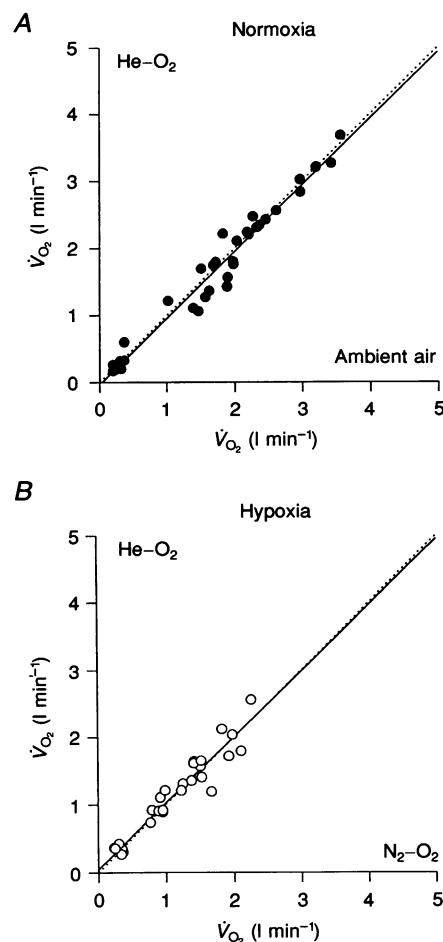


Table 2. Mean values ( $\pm$  s.e.m.) of arterial blood parameters in the four tested conditions at maximal exercise

	[Hb] (g l <sup>-1</sup> )	S <sub>a,O<sub>2</sub></sub>	P <sub>a,O<sub>2</sub></sub> (Torr)	pH	P <sub>a,CO<sub>2</sub></sub> (Torr)
Normoxia					
N <sub>2</sub> -O <sub>2</sub>	173.1 $\pm$ 5.7	0.95 $\pm$ 0.01	86.5 $\pm$ 0.3	7.29 $\pm$ 0.01	29.9 $\pm$ 1.1
He-O <sub>2</sub>	171.5 $\pm$ 3.4	0.96 $\pm$ 0.00	85.4 $\pm$ 1.2	7.28 $\pm$ 0.02	27.3 $\pm$ 1.8
Hypoxia					
N <sub>2</sub> -O <sub>2</sub>	169.9 $\pm$ 3.6	0.66 $\pm$ 0.02	40.0 $\pm$ 2.2	7.32 $\pm$ 0.03	27.4 $\pm$ 1.7
He-O <sub>2</sub>	170.3 $\pm$ 2.5	0.70 $\pm$ 0.02*	46.6 $\pm$ 1.9*	7.30 $\pm$ 0.03	24.7 $\pm$ 1.4*

[Hb], haemoglobin concentration; S<sub>a,O<sub>2</sub></sub>, arterial O<sub>2</sub> saturation; P<sub>a,O<sub>2</sub></sub>, arterial O<sub>2</sub> partial pressure; pH, arterial pH; P<sub>a,CO<sub>2</sub></sub>, arterial CO<sub>2</sub> partial pressure. \* P < 0.05 compared with N<sub>2</sub> breathing.

Similarly, the same submaximal  $\dot{V}_E$  values were observed at each work load during He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> breathing, both in normoxia and hypoxia. Thus, the relationships between the corresponding  $\dot{V}_E$  values in He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> (Fig. 2) were not significantly different from the identity line. This indicates that the ventilatory equivalent was unaffected by helium breathing.

### Maximal exercise

The measured and calculated metabolic variables at maximal exercise during the four experimental conditions are shown in Table 1. The corresponding blood O<sub>2</sub> transport parameters and blood pH data are shown in Table 2. Figure 3 shows the percentage gain in  $\dot{V}_{O_{2,max}}$ ,  $\dot{W}_{max}$ ,  $\dot{V}_E$ ,  $\dot{V}_A$  and S<sub>a,O<sub>2</sub></sub> induced by replacing N<sub>2</sub> with He, in normoxia and

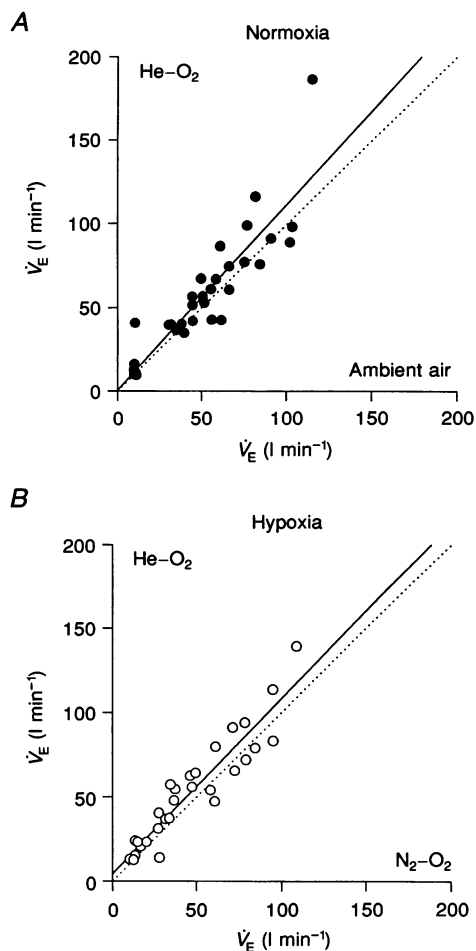


Figure 2. Pulmonary ventilation during He-O<sub>2</sub> and N<sub>2</sub>-O<sub>2</sub> breathing at submaximal exercise

Expired ventilation ( $\dot{V}_E$ ) during He-O<sub>2</sub> breathing as a function of  $\dot{V}_E$  during N<sub>2</sub>-O<sub>2</sub> breathing in normoxia (A) and in hypoxia (B). The regression lines (continuous lines;  $y = 0.51 + 1.12x$ ,  $r^2 = 0.81$ ,  $P < 0.001$ ; and  $y = 4.5 + 1.04x$ ,  $r^2 = 0.87$ ,  $P < 0.001$ ; for normoxia and hypoxia, respectively) are not significantly different from the identity lines (dotted lines).

hypoxia, respectively. In normoxia,  $\dot{V}_E$  ( $+27 \pm 8\%$ ) and  $\dot{V}_A$  ( $+18 \pm 7\%$ ) increased significantly without any change in  $\dot{V}_{O_2, \max}$  ( $+3 \pm 2\%$ , n.s. (not significant)). In contrast, in hypoxia, the significantly higher  $\dot{V}_E$  ( $+31 \pm 9\%$ ) and  $\dot{V}_A$  ( $+24 \pm 9\%$ ) values were accompanied by a significant increase in  $\dot{V}_{O_2, \max}$  ( $+14 \pm 4\%$ ). The variations in  $\dot{V}_{O_2, \max}$  were paralleled by the changes in mechanical power ( $+3 \pm 2\%$ , n.s.; and  $+17 \pm 2\%$  in normoxia and hypoxia, respectively).

The increases in  $\dot{V}_E$  and  $\dot{V}_A$  were associated with a drop in  $P_{a, CO_2}$ , which was significant in hypoxia ( $-10 \pm 4\%$ ), but not in normoxia ( $-9 \pm 6\%$ ). At maximal exercise  $R$ ,  $[La]_b$ ,  $[Hb]$  and  $f_H$  were the same in normoxia and in hypoxia.  $S_{a, O_2}$  and  $P_{a, O_2}$  were significantly higher during He-O<sub>2</sub> than during N<sub>2</sub>-O<sub>2</sub> breathing ( $+6 \pm 2$  and  $+17 \pm 5\%$ , respectively) in hypoxia. This was not the case for normoxia.

## DISCUSSION

### The reduction in the ventilatory resistance to O<sub>2</sub> flow

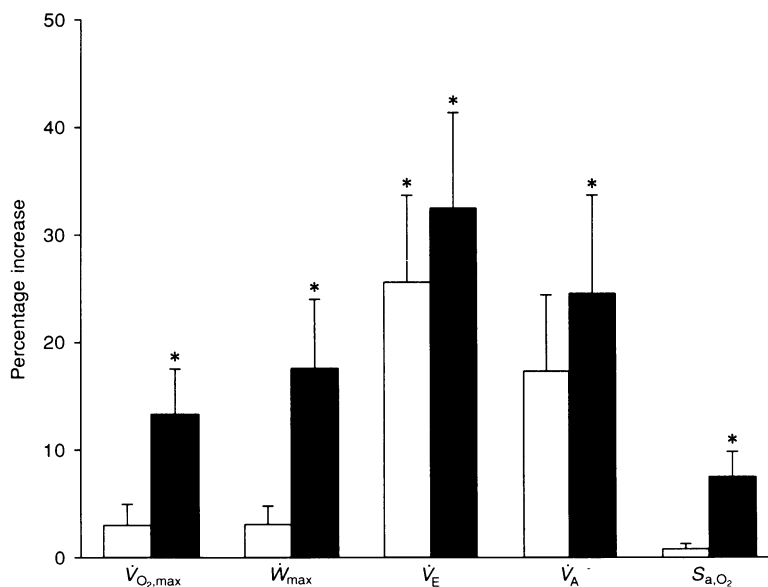
The reduction in  $R_V$  induced by He-O<sub>2</sub> breathing can be related to the combined effects of lower density and higher viscosity of He with respect to N<sub>2</sub> (Murphy *et al.* 1969; Papamoschou, 1995). At a given Reynolds number, all other variables being constant, gas flow is inversely proportional to the ratio of inertial to viscous forces. Since the density of He is about 7 times lower and its viscosity 8% higher than that of N<sub>2</sub>, higher gas flows occur at all Reynolds numbers when He-O<sub>2</sub> mixtures rather than N<sub>2</sub>-O<sub>2</sub> mixtures are breathed. As a consequence: (i) the transition from laminar

to turbulent flow takes place at higher flows in the former than in the latter case, and (ii) the respiratory unloading during He-O<sub>2</sub> breathing persists also under fully turbulent flow conditions.

For a given length, average diameter and pressure gradient of the airways, the gas flow ratio is given by (Papamoschou, 1995):

$$\frac{\dot{V}_{He-O_2}}{\dot{V}_{N_2-O_2}} \approx \sqrt{\frac{\rho f_{N_2-O_2}}{\rho f_{He-O_2}}}, \quad (2)$$

where  $\rho$  is the gas density and  $f$  the friction factor. Under conditions of turbulent flow,  $f$  is constant, and the increase in gas flow during He-O<sub>2</sub> breathing can be predicted from  $\rho$  changes only. Assuming that air flow at maximal exercise is turbulent in most of the airways, it can be calculated that  $\dot{V}_E$  should increase by approximately 70 and 100% during He-O<sub>2</sub> breathing in normoxia and hypoxia, respectively. In contrast to this prediction,  $\dot{V}_E$  was 27 and 31% and  $\dot{V}_A$  was 18 and 24% higher during He-O<sub>2</sub> than N<sub>2</sub>-O<sub>2</sub> breathing in normoxia and hypoxia, respectively, i.e. less than expected on a merely physical basis. These findings in normoxia are in good agreement with previous reports at maximal exercise (Wilson & Welch, 1980; Brice & Welch, 1983), although other investigators (Spitler *et al.* 1980) observed a lower increase in  $\dot{V}_E$  during He-O<sub>2</sub> breathing. Three factors may explain this apparent discrepancy. Firstly, transitional and turbulent flows are attained at  $\sim 3$  times higher flows in He-O<sub>2</sub> than in N<sub>2</sub>-O<sub>2</sub>. Thus turbulent flow would appear in a smaller fraction of the airways and for a shorter time during a respiratory cycle in the former than in the latter



**Figure 3. Percentage changes in mechanical and respiratory variables at maximal exercise during He-O<sub>2</sub> breathing**

Percentage increase in maximal O<sub>2</sub> consumption ( $\dot{V}_{O_2, \max}$ ), minimum power requiring an O<sub>2</sub> consumption equal to  $\dot{V}_{O_2, \max}$  ( $\dot{W}_{\max}$ ), expired ventilation ( $\dot{V}_E$ ), alveolar ventilation ( $\dot{V}_A$ ) and arterial O<sub>2</sub> saturation ( $S_{a, O_2}$ ), induced by He-O<sub>2</sub> breathing in normoxia (□) and hypoxia (■). \*  $P < 0.05$ . Error bars are s.e.m.

case (Murphy *et al.* 1969). Secondly, mechanical limitation of exercise hyperpnoea, although barely apparent during maximal exercise in air (Olafsson & Hyatt, 1969; Younes & Kivinen, 1984), may prevent a greater increase in  $\dot{V}_E$  than that observed in the present study. Finally, the hyper-ventilation induced by respiratory unloading is obviously accompanied by a reduction of  $P_{a,CO_2}$ . This, in turn, may inhibit central chemoreceptor activity, and thus depress the activity of the respiratory centres. The outcome would be a lower increase in  $\dot{V}_E$  and  $\dot{V}_A$  than predicted. These three mechanisms may also explain the finding of similar  $\dot{V}_E$  values during  $N_2$ - $O_2$  and He- $O_2$  breathing at submaximal exercise (Fig. 2), in good agreement with other studies in normoxia (Bowers & Fox, 1967; Murphy *et al.* 1969; Brice & Welch, 1983).

### Factors limiting maximal $O_2$ consumption after ventilatory unloading

The main finding of the present study is that the reduction in  $R_V$  induced by He- $O_2$  breathing resulted in a significant increase in  $\dot{V}_{O_2,max}$  during hypoxic exercise. This was not the case in normoxia. The present results agree with those obtained by others in the horse (Erickson *et al.* 1994, 1995), and appear compatible with the tested hypothesis that the role played by pulmonary ventilation and the gas exchange system in limiting  $\dot{V}_{O_2,max}$  is important in hypoxia, but negligible in normoxia.

The results of the present study can be interpreted by means of the multifactorial model of  $\dot{V}_{O_2,max}$  limitation. As previously pointed out, this model is an application of the  $O_2$  conductance equation to maximal exercise. As far as the ventilatory conductance to  $O_2$  flow ( $G_V = R_V^{-1}$ ) is concerned, ventilatory  $O_2$  transfer is equal to:

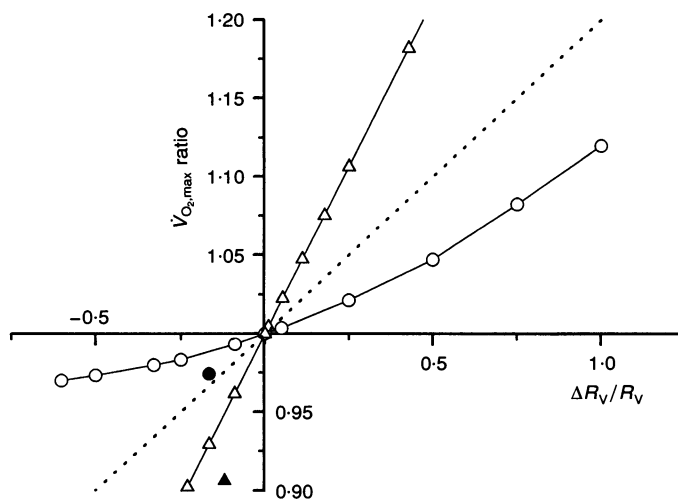
$$\dot{V}_{O_2,max} = G_V(P_{I,O_2} - P_{A,O_2}) = \dot{V}_A \beta_g (P_{I,O_2} - P_{A,O_2}), \quad (3)$$

where  $\beta_g$  is the  $O_2$  transfer coefficient in the gas phase. Since in standard conditions  $\beta_g$  is a constant ( $1.16 \text{ ml l}^{-1} \text{ Torr}^{-1}$  at  $37^\circ\text{C}$ ; see Piiper, Dejours, Haab & Rahn, 1971), the increase in  $G_V$  in this study turns out to be equivalent to that in  $\dot{V}_A$ . A quantitative analysis of the ventilatory limitation to  $\dot{V}_{O_2,max}$  can thus be attempted, on the assumption of a steady state for  $\dot{V}_A$  and  $\dot{V}_{O_2,max}$ .

If one resistance to  $O_2$  flow is specifically and independently modified and the resulting effect on  $\dot{V}_{O_2,max}$  is looked at, the fractional limitation to  $\dot{V}_{O_2,max}$  imposed by that resistance ( $F_1$ ) is given by:

$$F_1 = d(\dot{V}_{O_2,max} \text{ ratio})/d(\Delta R_V/R_V), \quad (4)$$

where the  $\dot{V}_{O_2,max}$  ratio is the  $\dot{V}_{O_2,max}$  before, divided by the  $\dot{V}_{O_2,max}$  after, an acute manoeuvre leading to a change in the resistance at stake, and the term  $\Delta R_V/R_V$  is the induced relative change in the resistance at stake (di Prampero & Ferretti, 1990; Ferretti & di Prampero, 1995). The  $\dot{V}_{O_2,max}$  ratio is plotted as a function of the relative changes in  $R_V$  in



**Figure 4.** Effect of a change in ventilatory resistance to  $O_2$  flow on maximal  $O_2$  consumption

The changes in maximal  $O_2$  consumption ( $\dot{V}_{O_2,max}$ ) following the acute changes in the ventilatory resistance to  $O_2$  flow ( $R_V$ ), are presented on the  $y$ -axis as the ratio between the  $\dot{V}_{O_2,max}$  before and the  $\dot{V}_{O_2,max}$  after the ventilatory unloading. The relative changes in  $R_V$ , expressed as the  $R_V$  difference divided by the  $R_V$  before ventilatory unloading ( $\Delta R_V/R_V$ ) are given on the  $x$ -axis.  $\dot{V}_{O_2,max}$  ratios below 1 indicate an increase in  $\dot{V}_{O_2,max}$  and  $\Delta R_V/R_V$  values below 0 indicate a decrease in  $R_V$ . The continuous lines refer to the results of a simulation in normoxia (O; Ferretti & di Prampero, 1995) and hypoxia (inspired  $O_2$  fraction of 0.11,  $\Delta$ ). The dashed line represents the relationship that would apply in the case of a linear  $O_2$  transport system in normoxia (Ferretti & di Prampero, 1995). The slopes of these lines are equal to the fractional limitation to  $\dot{V}_{O_2,max}$  imposed by  $R_V$ . The results of the present study are plotted as  $\bullet$  and  $\blacktriangle$ , for normoxia and hypoxia, respectively.

Fig. 4. As far as normoxia is concerned, two lines are shown, for a linear (dashed line) and non-linear (continuous line, ○) solution of the model, respectively (Ferretti & di Prampero, 1995). The point corresponding to the mean results of this study (●) is also given. The experimental point lies between the two lines, closer to that for a linear solution. This, associated with the lack of increase in  $P_{a,O_2}$  in normoxia, may undermine the argument of a compensatory increase in  $R_Q$  preventing  $\dot{V}_{O_2,max}$  from changing. It is noteworthy, however, that for the decrease in  $R_V$  attained in this study, the average error of a  $\dot{V}_{O_2,max}$  determination (Katch, Sady & Freedson, 1982; Howley, Bassett & Welch, 1995) does not allow discrimination between the linear and the non-linear solution of Fig. 4. Concerning hypoxia, the experimental point ▲ lies somewhat below the theoretical line (continuous line, △), suggesting a potential additional effect on a different resistance, perhaps related to pulmonary gas exchange.

In conclusion, the results of this study agree with the tested hypothesis that the lungs play a negligible role in limiting  $\dot{V}_{O_2,max}$  in normoxia, at least in non-athletic subjects. Indeed, no significant changes in  $\dot{V}_{O_2,max}$ , despite the increase in  $\dot{V}_E$  and  $\dot{V}_A$  induced by breathing He–O<sub>2</sub> gas mixtures, were observed. In contrast, in hypoxia the induced increase in  $\dot{V}_E$  and  $\dot{V}_A$  was accompanied by significantly higher  $\dot{V}_{O_2,max}$  values. This appears compatible with the hypothesis of a significant role of ventilation in limiting  $\dot{V}_{O_2,max}$  in hypoxia. It remains unclear whether the lack of significant  $\dot{V}_{O_2,max}$  changes during He–O<sub>2</sub> breathing in normoxia was an effect of a non-linear response of the O<sub>2</sub> transfer system, as proposed (di Prampero & Ferretti, 1990). Although the present data suggest that this may not be the case, greater changes in the ventilatory resistance to O<sub>2</sub> flow ought to be achieved to obtain a clear answer to this question.

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