



Concurrent consumption of cocoa flavanols and caffeine does not acutely modulate working memory and attention

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Abstract

Purpose Consumption of cocoa flavanols and caffeine might acutely enhance cognition, particularly in synergy. Due to the use of multifaceted tasks in prior research, it is unclear precisely which cognitive functions are implicated. Here we aimed to assess the acute effects of the (joint) ingestion of cocoa flavanols and caffeine on temporal attention, spatial attention, and working memory.

Methods In four separate sessions of a randomized, double-blind, placebo-controlled, crossover trial, 48 young adult participants consumed a placebo drink, a cocoa flavanols (415 mg) drink, a caffeine (215 mg) drink, and a drink containing both concurrently. In each session, after ingestion, we tested performance in three cognitive tasks. We tested temporal attention in a dual-target rapid serial visual presentation paradigm, known to elicit the attentional blink, in which the time between the targets was manipulated. We measured spatial attention in a visual search task, where we varied the number of distractors that appeared simultaneously with the target. We tested working memory in a delayed recall task, in which the number of stimuli to be remembered was manipulated.

Results We obtained the expected performance pattern in each task, but found no evidence for modulation of response accuracy or reaction times by the ingestion of either substance, nor of their combined ingestion, even in the most challenging task conditions.

Conclusions We conclude that, even when jointly ingested, neither the tested amount of cocoa flavanols nor caffeine have acute effects that are robustly measurable on cognitive tasks that target attention and working memory specifically.

Keywords Cocoa flavanols · Caffeine · Attentional blink · Visual search · Working memory

Introduction

Chocolate and coffee enjoy immense popularity world-wide. They are made from cocoa and coffee beans, in which the psycho-active components of flavanols and caffeine exert acute effects on visual perception, attention, working memory, and executive functions.¹ These cognitive effects occur through different physiological mechanisms. Cocoa flavanols increase nitric oxide (NO) synthesis [6, 7]. NO binds to guanylate cyclase, triggering structural changes therein, leading

to a higher level of guanosine monophosphate in NO generator cells. Consequently, this process prompts vasodilation, affecting both the blood vessels and the cerebral arteries [8]. NO also functions as a pre- and post-synaptic intercellular messenger, which affects neural signaling pathways particularly at GABAergic and glutamatergic synapses mediated by guanylate cyclase [9–11]. NO thereby strengthens communications between neurons and strengthens synaptic plasticity [12, 13]. It should be noted, however, that NO synthesis may require a source of nitrates (cf. [14]). Caffeine blocks A1 and A2a adenosine receptors in various regions of the brain, because of its structural similarity to adenosine [15]. Adenosine inhibits the release of the neurotransmitters glutamate [16], serotonin [17], and dopamine [18]. By blocking of A1 and A2a receptors, caffeine prevents the inhibitory

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¹ The focus of the current work is on acute cognitive effects. See Socci et al. [1, 2, 3] Karataş et al. [4], and McLellan et al. [5] for reviews that also include long-term effects.

effect of adenosine, effectively stimulating the release of these neurotransmitters.

Cocoa flavanols improve visual perception by enhancing visual contrast sensitivity and acuity [19–21], though see also [22]. Aspects of attention also improve acutely after consumption of flavanols. Among these are higher accuracy and lower reaction time (RT) in the rapid visual information processing (RVIP) and Bakan tasks [23, 24], and lower RT in visual search [25]. Improvements in working memory have been found in serial subtraction tasks [24, 26], in spatial and auditory memory tasks [19, 27], and in N-back tasks [28], although there are several studies reporting null results on spatial and numerical working memory, face recognition, word recognition, and delayed recall [29, 26, 31, 30]. Lastly, cocoa flavanols have also been reported to improve executive function, as measured in the Stroop task [32], although not consistently so [26, 30, 33]. Task switching performance in particular does not seem to benefit acutely from ingesting flavanols [34].

Caffeine increases color sensitivity during dark adaptation, reduces luminance thresholds [35, 36], reduces surround suppression of perceived contrast [37], and improves dynamic visual acuity [38]. Acute caffeine-induced improvements in attention are found in simple and choice RT tasks [39–41, 42], RVIP tasks [41, 43, 44, 452], and visual search tasks [46], but not in cueing tasks [47]. It must be noted that some of these positive caffeine effects may be attributed to a reversal of the negative consequences of caffeine withdrawal [48]. Improvements in spatial, verbal, and numeric working memory tasks have been reported [41, 44], [2, 45], but not consistently so [39]. Caffeine can even have negative effects on digit span [49], while N-back is only occasionally improved [40, 50–52]. With regard to executive functions, caffeine has been found to reduce task switching costs [53], improve Stroop task performance [54], and reduce RT in the Flanker task [40, 50], although such effects have also been attributed to general speeding [47].

A few studies have been conducted to investigate possible synergistic effects between cocoa flavanols and caffeine. Such synergy is important also from a consumer perspective, as these substances co-occur in commercially available beverages [55]. Synergy was indeed observed by Boolani and colleagues [23], who found that while performance on the Bakan task only improved under dual-task conditions after ingestion of flavanols, single-task performance also improved when combined with caffeine. Another study investigated the concurrent intake of polyphenols from apples and caffeine [56]. Although not identical to cocoa flavanols, apple polyphenols should have similar effects on human cognition. Polyphenols, combined with caffeine, improved serial subtraction performance, beyond a caffeine-related performance improvement, compared to baseline. Although there is thus evidence for acute positive effects

of (synergistic) flavanols and caffeine consumption on cognition, the picture is not unequivocal. These mixed results could be due to the tasks used to measure performance. Not only is there variability between tasks, but they also do not always clearly map onto a specific cognitive function. For instance, serial subtraction tasks (e.g., [24] involve working memory to retain and update the numbers, but also involve the ability to do the mathematical transformation, and also require constant attention to keep track of the current number. It remains unclear which of these abilities is eventually affected. Thereby, if another seemingly similar task is used, which does not involve exactly the same abilities (e.g., the N-back task, see also [57]), discrepancies could arise. Here, we avoided this issue by using tasks that are well-defined in experimental psychology to target specific cognitive functions.

Methods

To assess the cognitive effects of flavanols and caffeine, we used a dual-target rapid serial visual presentation (RSVP) task to measure temporal attention, a visual search task to measure spatial attention, and a delayed recall task to measure working memory maintenance. The canonical RSVP task presents a series of successive stimuli at the center of the screen, at a rate of about 10 per second, and is known to elicit the attentional blink phenomenon at short lag between targets, reflected by poor performance on the second target [58, 59], for a review, see [60], as a consequence of processing the first (e.g., [61–63]). In visual search tasks, the distribution of attention across space, rather than time, is tested. Participants search for a target within an array of simultaneously presented distractors. The time taken to find the target, and to a lesser extent, the accuracy of the search, depends on the ease with which it can be discriminated from the distractors (for an in-depth review, see [64]). Unless the target ‘pops out’ of the array, the number of distractors in the array strongly predicts RT. In delayed recall tasks, working memory is tested, while minimizing attentional factors that often co-occur (e.g., [65, 66]). In these tasks, participants are asked to remember a set of items for a brief time. At the end of the retention interval, the participants are then asked to recall (one of) the items, and response accuracy is assessed (e.g., [67]). The difficulty of this task is manipulated by varying the number of memory items, and performance drops rapidly beyond a set size of four items [68]. In the present study, to assess whether the concurrent consumption of cocoa flavanols and caffeine acutely affects attention across space and time, and/or working memory, we let participants do all three tasks, in four different conditions: Participants either first consumed a drink without psycho-active contents,

one with cocoa flavanols, with caffeine, or with both flavanols and caffeine.

Participants

Forty-eight university students (24 female and 24 male), aged between 19 and 31 ($\bar{X} = 21.94$, $S = 2.50$), participated in the study. Further details are given in Table 1. An a priori power analysis in G*Power [69] showed that this group size was sufficient to detect an effect of medium size ($f = 0.30$), with two groups and four measurements; $\alpha = 0.05$; sample size = 24, critical $F = 2.74$ ($df = 3$). The chosen effect size was based on a previous study by Boolani and colleagues [23], who observed acute effects of cocoa and caffeine on attention, with a η_p^2 of 0.085.

The participants signed an informed consent form prior to the start of the experiment. The study was approved by the ethical committee of the Psychology Department of the University of Groningen (PSY-1920-S-0472) and conducted in accordance with the Declaration of Helsinki (2008).

The participants met several selection criteria: (1) they were not previously diagnosed with vascular disease, and did not currently have health disorders affecting their metabolism; (2) they had no neurological or psychiatric disorders, and were not following a medically restrictive diet; (3) they did not smoke, and did not use other tobacco products [31, 34], they were not taking over-the-counter or prescription medication, except for the contraceptive pill [24], they were

not taking vitamin supplements, herbal extracts, or illicit drugs, (4) they were not currently pregnant or breastfeeding; (5) they had normal or corrected-to-normal visual acuity, and a normal ability to perceive color; (6) they had a body mass index (BMI between 18 and 24.9).

General apparatus

The data was gathered within the laboratories of the Psychology Department at the University of Groningen. A 22" CRT monitor with a refresh rate of 100 Hz at a resolution of 1920 by 1200 pixels and 32-bit color depth was utilized during the data collection process. The experimental tasks were created and executed in OpenSesame 3.3.9 [70], running on the Windows 10 system. Responses were collected using a USB keyboard and mouse.

Experimental product

Participants consumed four experimental ingredients: Caffeine powder, lactose powder, cocoa powder with high flavanol content, and alkalized cocoa powder (see Table 2), which were all dissolved in 200 ml of decaffeinated Nescafe Dolce Gusto capsule coffee (Aromatic Arabica flavor, Lungo serving size, and 6/10 intensity), brewed in a Krups KP1208 coffee machine. In the placebo (P) condition, 7.5 g alkalized cocoa powder and 200 mg lactose powder were administered. In the cocoa flavanols (F) condition, 5 g of high-flavanol cocoa powder (containing 415 mg flavanols), 2.5 g of alkalized cocoa powder, and 200 mg lactose powder were given. In the caffeine (C) condition, 7.5 g of alkalized cocoa powder, and 200 mg of caffeine powder were administered. In the concurrent (CC) condition, 5 g of high-flavanol cocoa powder, 2.5 g of alkalized cocoa powder, and 200 mg of caffeine powder were given.

The cocoa powders were provided for free by the Barry Callebaut Company. The company was not otherwise involved in any part of the study. The dosage was based on the study by Karabay and colleagues (2018), in which a similar amount of flavanols had acute effects on visual search efficiency. In terms of the caffeine dose, different strategies have been followed in recent studies. For instance, van den Berg et al. [54] used 3 mg per kg of body weight, while Lanini et al. [71] calculated personalized doses for each participant based on their daily caffeine habits (25–300 mg). In previous research, faster RT was generally observed after a medium to high dose (150–450 mg), while greater accuracy was associated with a low dose of caffeine (50–150 mg [72]). In the present study, we chose a dose of 200 mg of caffeine, which falls in the range that both accuracy and RT may be facilitated, and which is approximately equal to two 16 oz cups of regular coffee [73].

Table 1 Study sample

Characteristic	N = 48 ¹
Gender	Female: 24 (50%), Male: 24 (50%)
Age	21.94 (2.50)
Height (cm)	
Overall	173.67 (10.52)
Female	165.92 (7.68)
Male	181.42 (6.47)
Weight (kg)	
Overall	68.19 (13.90)
Female	59.42 (10.72)
Male	76.96 (10.93)
BMI	
Overall	22.48 (3.48)
Female	21.63 (4.03)
Male	23.33 (2.63)
Handedness	
Right-handed	46 (95.8%)
Left-handed	2 (4.2%)

¹n (%); Mean (SD)

Table 2 Nutritional composition of the study treatments

Consumption conditions				
Experimental ingredients	Placebo	Caffeine	Flavanols	Caffeine + Flavanols
Base Drink: Decaffeinated coffee ¹	one capsule	one capsule	one capsule	one capsule
<i>1) Alkalized cocoa powder (g)</i>	7.5	7.5	2.5	2.5
Energy (kcal)	22.8	22.8	7.6	7.6
Protein (mg)	1665	1665	555	555
Fat (mg)	825	825	275	275
Caffeine (mg)	15	15	5	5
Theobromine (mg)	157.5	157.5	52.5	52.5
<i>2) High-flavanol cocoa powder (g)</i>	–	–	5	5
Flavanols (mg)	–	–	415	415
Energy (kcal)	–	–	17.2	17.2
Protein (mg)	–	–	1120	1120
Fat (mg)	–	–	700	700
Caffeine (mg)	–	–	10	10
Theobromine (mg)	–	–	105	105
<i>3) Caffeine powder (mg)</i>	0	200	0	200
<i>4) Lactose powder (mg)</i>	200	0	200	0
Energy (kcal)	0.796	–	0.796	–
Protein (mg)	0.60	–	0.60	–
Carbohydrates (mg)	190	–	190	–
Sodium (mg)	0.06	–	0.06	–
Total				
	Caffeine (mg)	15	215	15
	Flavanols (mg)	0	0	415

¹Decaffeinated coffee may still contain residual caffeine up to 6.95 mg/8 oz [73]

The bold and italic fonts indicate that these are the main categories (substances), to distinguish them from the other items/subcategories listed

General procedure

The experiment comprised four sessions with a crossover design so that all participants participated in each consumption condition. The treatment order was randomized with a Latin-square design and gender-balanced (see Supplementary Materials, Table S1). Participants either received a payment of 76 euros, or course credits as compensation. Detailed information about the research, including restrictions in effect prior to, and during the study, was given to participants before their participation. They were furthermore asked not to drink alcohol during a period of 24 h before each of the experimental sessions. To control for circadian effects, the time of day at which participants were tested was kept constant for each individual. Experimental drinks were consumed 90 min before participating in the experimental tasks, to allow for the body's uptake of flavanol and caffeine [34, 74–76], van den [54]. From the consumption of the experimental drink, until the onset of the first experimental task, the participants were asked not to drink or eat anything except water. To ensure a double-blind procedure, one researcher served the experimental drinks, and another researcher instructed participants in the laboratory.

Participants were seated in individual, isolated testing cabins at approximately 60 cm viewing distance from the computer screen, on which the three experimental tasks were presented to measure temporal attention, spatial attention, and working memory. Task order was also randomized and counter-balanced for each gender separately (see Supplementary Materials). The participants had ample time to read the task instructions, and ask any questions before doing the tasks. In the first session only, the participants filled out a short questionnaire asking about their daily caffeine and flavanols consumption (from cocoa, chocolate and red wine), and their age, gender, body weight, and height. There was a 5–9 days washout period between each session.

Tasks

Figure 1 displays the three experimental tasks. Temporal attention was assessed through a speeded rapid serial visual presentation (RSVP) task. Spatial attention was gauged using a visual search (VS) task, while visual working memory was examined by a delayed recall (DR) task.

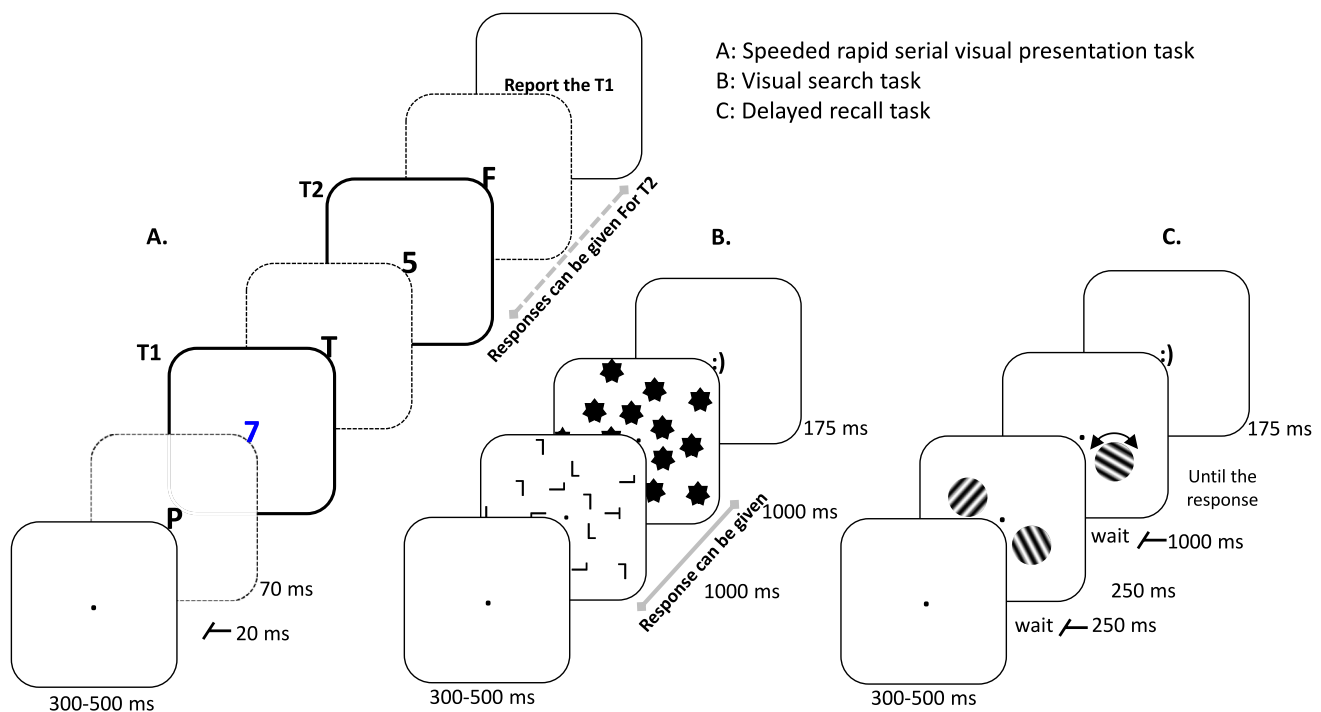


Fig. 1 Schematic Overview of the Experimental Tasks. **a** Speeded dual-target RSVP task: Thick-outlined frames highlight the targets (numbers), while dashed outlines signify a varying number of distractors (letters). **b** VS task with 14 distractors. **c** DR task with two memory items

RSVP task

The task consisted of 30 practice trials followed by 300 experimental trials divided into ten blocks. Participants were given breaks between each block. Each trial began with a black fixation dot with an 8-pixel radius (6 pt. size), which was displayed at the center of the screen for 300–500 ms, after participants pressed the spacebar. This was followed by an RSVP stream containing two targets and 16 distractors, shown on a light grey background (RGB 192, 192, 192). Targets were numbers from 1 to 9, while the distractors were 20 uppercase letters (excluding I, O, Q, S, W, and X), both of which were displayed in 52-pt. mono font at the center of the screen. Target 1 (T1) appeared in blue (RGB 0, 0, 255), whereas Target 2 (T2) and the distractors were presented in black. Each stimulus in the RSVP stream was presented for 70 ms, followed by a blank 20 ms inter-stimulus interval. The temporal position of T1 was randomly varied between the 5th and 7th stimulus in the stream, evenly distributed across conditions. T2 followed T1 either as the second (Lag 2), third (Lag 3), or eighth (Lag 8) stimulus. Participants used the numeric keypad of a standard keyboard to report the identity of the targets. They were instructed to identify T2 as quickly as possible, with a 1.5 s time-out. T1 was identified at the end of the trial without time pressure. Feedback on task performance was provided between blocks.

The dependent variables included T1 accuracy, conditional T2 accuracy (T2/T1), and T2 RT for correct T2 responses in conditional T2 trials (T2/T1). Conditional T2 performance refers to trials in which the T1 response was correct. For the T2/T1 RT analysis, responses faster than 100 ms were excluded, resulting in the removal of 290 trials (0.47%).

VS task

The VS task included 30 practice trials and 300 experimental trials (100 trials per condition) divided into ten blocks. Each experimental block consisted of 30 trials, and participants were allowed to take breaks between blocks. Each block began when the participant pressed the spacebar. Each trial involved the presentation of a sequence of stimuli on a light grey background (RGB 192, 192, 192), including a fixation dot, search array, mask, and feedback screen. At the beginning of each trial, a fixation dot was displayed for 300–500 ms. The fixation dot was shown in black with a radius of 8 pixels (6 pt. size). It was followed by the search array presented for 1000 ms, and covered with a mask for the next 1000 ms. The search array always contained one target letter (“T”) and a varying number of distractors (“L”; either 14, 20, or 26), displayed in black and 10 pt. size (28 × 60 pixels). The orientation of the letters varied randomly among 0°, 90°, 180°, or 270°, evenly distributed on each trial. All

letters were evenly distributed within the search array across three invisible concentric circles. The circles had radii of 100, 150, and 200 pixels (equivalent to 2.53°, 3.79°, and 5.05° of visual angle, respectively) and were centered on the screen. Each invisible circle contained either 5, 7, or 9 letters, placed randomly, but at equidistant locations. In the subsequent masking display, black stars (10 pt. size; 28 × 60 pixels) appeared on locations that had contained letters in the preceding search display.

Participants were instructed to quickly and accurately report the orientation of the target letter. They had a maximum of 2000 ms, until the mask disappeared, to respond with the arrow keys on the keyboard. Following the response, feedback was displayed for 175 ms, represented by either a happy or unhappy smiley, based on their accuracy. The subsequent trial began with an intertrial interval of 250–300 ms after the offset of the feedback display.

Similar to the RSVP analysis, the dependent variables were accuracy and RT for correct responses. As before, RT values below 100 ms were excluded from the RT analysis, resulting in the removal of 81 trials (0.13%).

DR task

The task included 30 practice trials and 300 experimental trials, with each condition having 100 trials. Participants had the option to take breaks between blocks if needed. All stimuli were presented on a light grey background (RGB 192, 192, 192). Each trial began with the display of a black fixation dot with a radius of 8 pixels (6 pt. size) at the center of the screen for 300 to 500 ms. After a 250 ms blank interval, the memory array appeared for 250 ms, containing either one, two, or three memory items. Each memory item was shown at 2.75° of visual angle from the fixation dot. The memory items were Gabor patches (sine-wave gratings) with a size of 2.2° of visual angle and a spatial frequency of 1.8 cycles per degree. These memory items were presented on an invisible circle with a diameter of 6.46° of visual angle. The locations of the memory items on the circle were random, following specific constraints. In the two-memory items condition, the items were presented symmetrically on both sides of the visual field. In the three-memory items condition, the items were positioned on an equilateral triangle intersecting the circle. The orientation of each item (ranging from 1 to 180°) was randomly chosen with equal probability in each trial. Following a one-second delay after the memory array, one of the previously shown item locations was probed with the presentation of another grating in a different orientation. This orientation was randomly chosen but at least 15° away from the actual orientation of the target memory item.

Participants were instructed to accurately reproduce the orientation by adjusting the orientation of the probe grating, using a USB mouse. After each trial, response feedback

was provided for 175 ms. Positive feedback was given if the error was less than 15°, while negative feedback was given otherwise; either a happy or unhappy smiley in white, 32-pt. size, and mono font type, at the center of the screen. Additionally, participants received block-wise feedback regarding their overall task performance at the end of each block.

The dependent variables were accuracy (%), calculated based on degrees of error, and RT. Trials with an RT of less than 100 ms were excluded from the analysis, resulting in the removal of 30 trials (0.05%).

Statistical Analysis

Linear Mixed Models (LMM) and Generalized Linear Mixed Models (GLMM) were used to test the acute effects of cocoa flavanols and caffeine on spatial attention, temporal attention and working memory maintenance. Statistical analyses were run in RStudio [77] with the nlme and lme4 [78] packages. The ggplot2 [79] and sjPlot [80] package was used to visualize the results.

In model testing, model improvements comparing simpler models to more complex ones were assessed by testing for differences in deviances (Δd) with a chi-square test. If this test was significant, we then computed the Bayes Factor (BF_{10} ; [81]) on the associated Bayesian Information Criterion (BIC) value. We took the following steps: First the initial model (without fixed effects) was tested with random intercepts for subjects. Then fixed effects were added. For the Task conditions these were Lag for the RSVP task (2, 3, or 8), number of Distractors for the VS task (14, 20, or 26), and number of Items (1, 2 or 3) for the DR task. For the Treatment conditions these were placebo, flavanols only, caffeine only, or both flavanols and caffeine concurrently. Additionally, gender and BMI were entered into the final models as fixed effects in a post-hoc analysis stage when main effects or interactions were found. Following the fixed effects analysis, random slopes were added, and pairwise differences were tested with a Tukey test. Practice trials were excluded from analysis in all tasks.

Results

Temporal attention

In the GLMM on T1 accuracy, before adding fixed effects, random intercepts for each subject were added to the null model, and the model deviance improved significantly [$\chi^2_{\Delta d} = 1694.6$, $df = 1$, $p < 0.001$, $BF_{10} > 100$], suggesting that random intercepts for subjects were necessary.

Adding the fixed effect of Lag [$\chi^2_{\Delta d} = 94.19$, $df = 2$, $p < 0.001$, $BF_{10} > 100$] improved the model significantly. Following that, Treatment was added to the model, and it

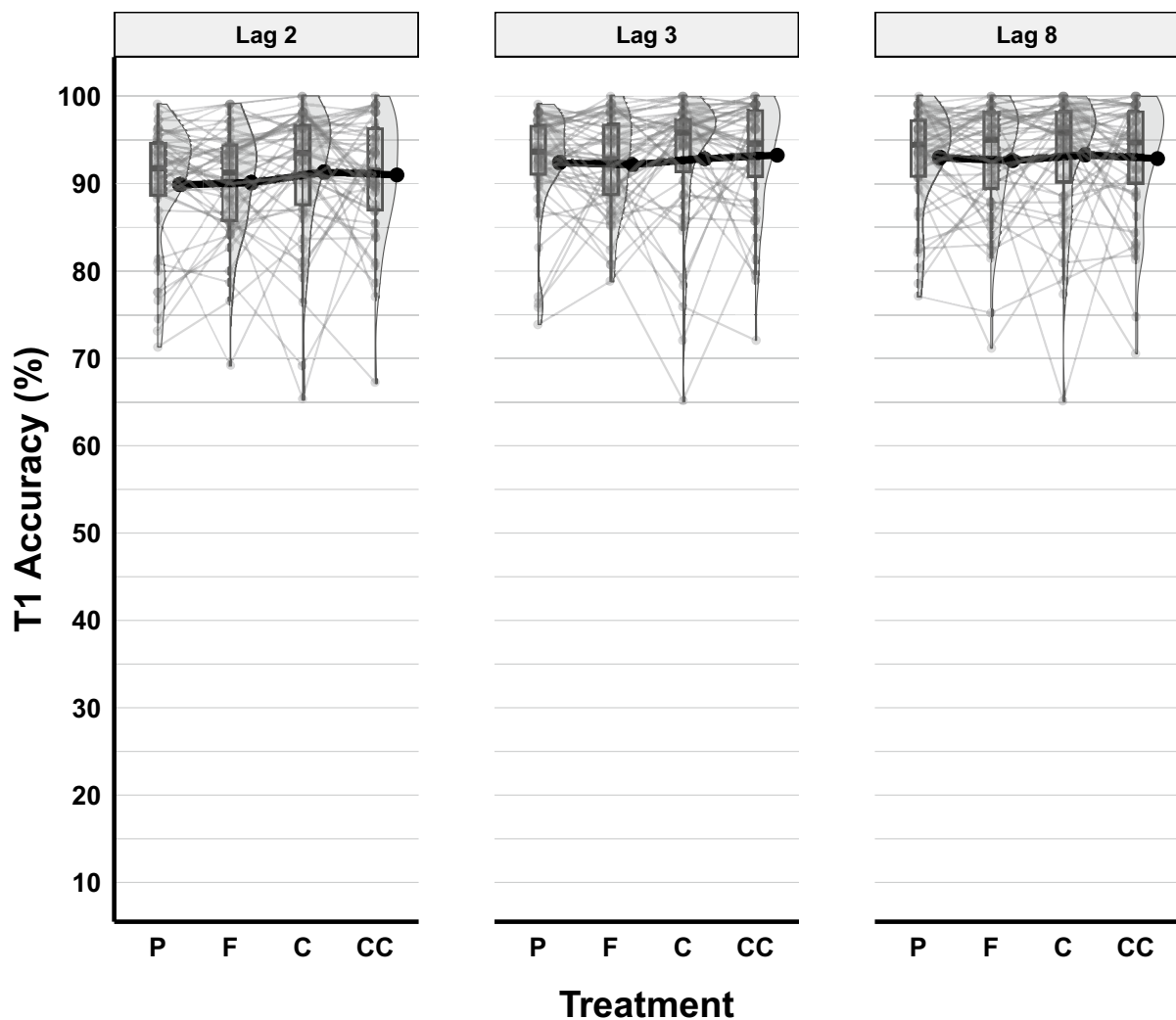


Fig. 2 T1 Accuracy in the RSVP Task across Treatment and Lag. Boxplots represent quartiles. Black dots accompanied by error bars depict means and standard errors. Individual data points are illustrated with grey points, and lines connect these individual points across treatments. P refers

to the placebo condition, F to cocoa flavanols, C to caffeine, and CC to the concurrent condition (flavanols and caffeine combined)

improved the model significantly [$\chi^2_{\Delta d} = 32.74$, $df = 3$, $p < 0.001$, $BF_{10} = 0.827$]. However, the interaction of Treatment and Lag did not improve the model [$\chi^2_{\Delta d} = 3.96$, $df = 6$, $p = 0.683$, $BF_{10} < 0.001$].

Random slopes for Lag [$\chi^2_{\Delta d} = 30.72$, $df = 5$, $p < 0.001$, $BF_{10} < 0.001$] and Treatment [$\chi^2_{\Delta d} = 17.12$, $df = 18$, $p = 0.515$, $BF_{10} < 0.001$] did not improve the model. Additionally, neither the gender of the participants [$\chi^2_{\Delta d} = 4.62$, $df = 1$, $p = 0.032$, $BF_{10} = 0.0040$], nor their BMI scores [$\chi^2_{\Delta d} = 0.03$, $df = 1$, $p = 0.853$, $BF_{10} = 0.0041$], significantly improved the model (see Table S2). T1 accuracy by Lag and Treatment is shown in Fig. 2.

Tukey pairwise comparisons showed that T1 accuracy at Lag 2 ($prob = 0.928$, $SE = 0.007$, 95% CI [0.912–0.941]) was significantly lower than at Lag 3 ($prob = 0.945$, $SE = 0.006$,

95% CI [0.932–0.955]), $Z = 7.87$, $p < 0.001$, and at Lag 8 ($prob = 0.947$, $SE = 0.006$, 95% CI [0.935–0.957]), $Z = 8.92$, $p < 0.001$. There was no significant difference in T1 accuracy between Lag 3 and Lag 8, $Z = 1.08$, $p = 0.529$. Lastly, Tukey pairwise comparisons between Treatment conditions showed no significant differences between them.

For T2/T1 accuracy, the GLMM showed that adding the random intercepts for subjects decreased deviation significantly [$\chi^2_{\Delta d} = 5701.7$, $df = 1$, $p < 0.001$, $BF_{10} > 100$]. Following this, the fixed effects were included in the model, and Lag [$\chi^2_{\Delta d} = 969.99$, $df = 2$, $p < 0.001$, $BF_{10} > 100$] improved the model significantly, but Treatment did not [$\chi^2_{\Delta d} = 5.72$, $df = 3$, $p = 0.126$, $BF_{10} < 0.001$]. Random slopes for fixed effects were also tested, and the model was better after adding the random slope to Lag [$\chi^2_{\Delta d} = 677.72$, $df = 5$,

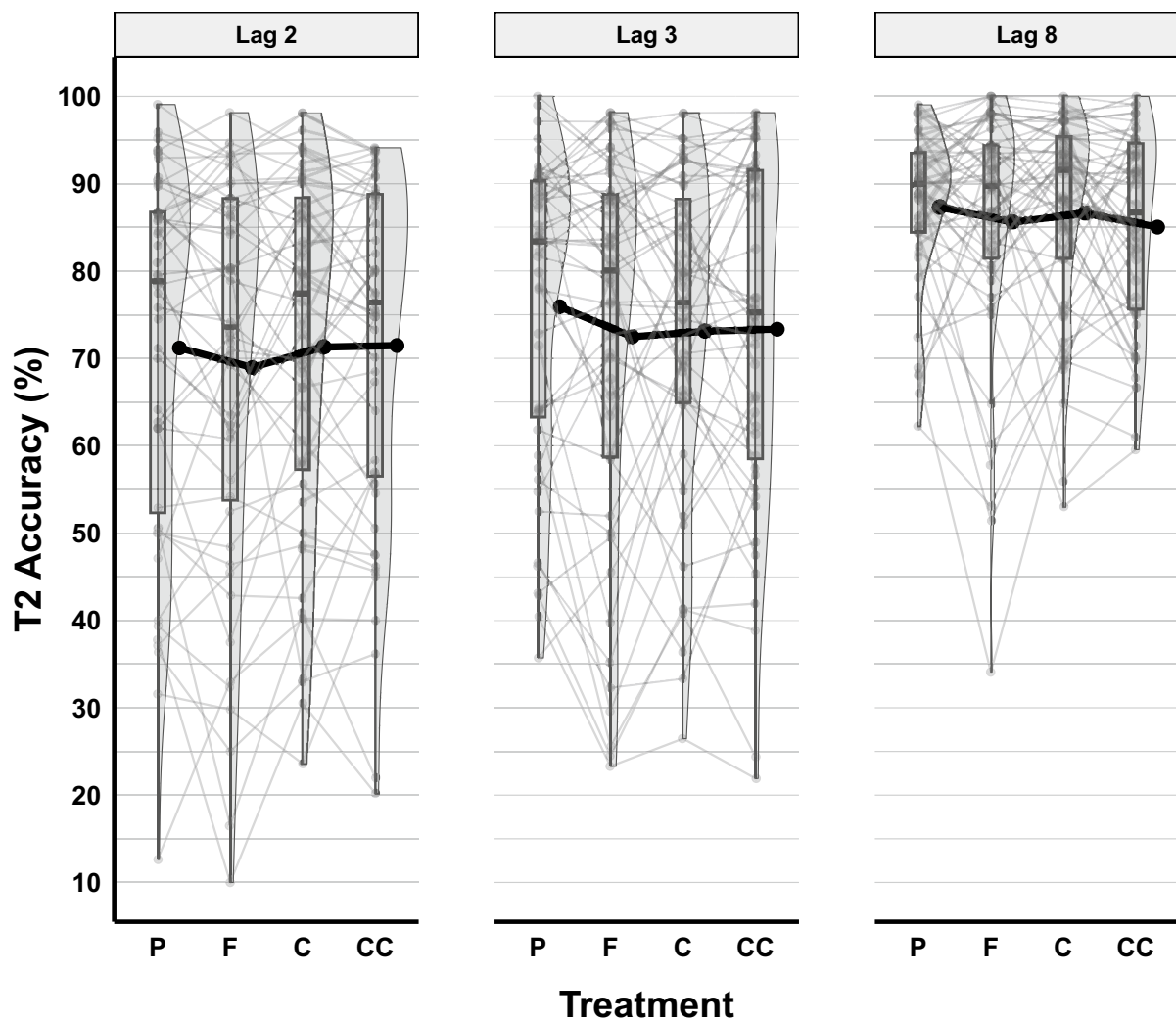


Fig. 3 T2/T1 Accuracy in the RSVP Task across Treatment and Lag. Figure conventions follow Fig. 2

$p < 0.001$, $BF_{10} > 100$). In the post-hoc analyses, neither the gender of participants [$\chi^2_{\Delta d} = 0.46$, $df = 1$, $p = 0.499$, $BF_{10} = 0.005$], nor their BMI scores [$\chi^2_{\Delta d} = 0.011$, $df = 1$, $p = 0.918$, $BF_{10} = 0.004$] improved the final model (see Table S3). T2/T1 accuracy across Lag and Treatment is shown in Fig. 3.

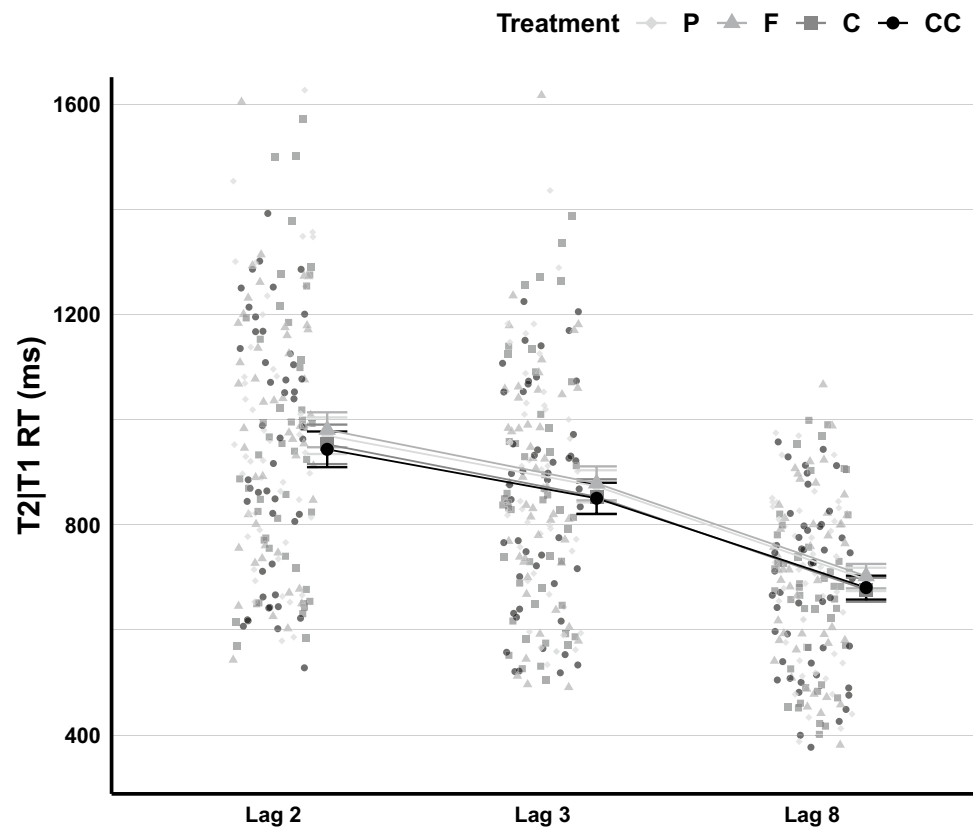
Tukey pairwise comparisons between Lag conditions showed that T2/T1 accuracy at Lag 8 ($prob = 0.904$, $SE = 0.011$, 95% CI [0.880–0.924]) was significantly higher than at Lag 3 ($prob = 0.836$, $SE = 0.021$, 95% CI [0.789–0.873]), $Z = 5.80$, $p < 0.001$, and higher than at Lag 2 ($prob = 0.815$, $SE = 0.025$, 95% CI [0.762–0.858]), $Z = 6.33$, $p < 0.001$. Also, at Lag 3, T2/T1 accuracy was significantly higher than at Lag 2, $Z = 2.66$, $p = 0.021$. These outcomes confirmed the presence of an attentional blink at the shorter lags.

In the LMM on T2/T1 RT, deviance in the null model (without fixed and random effects) was significantly reduced

[$\chi^2_{\Delta d} = 17,466.0$, $df = 1$, $p < 0.001$, $BF_{10} > 100$]. The fixed effects of Lag [$\chi^2_{\Delta d} = 9596.3$, $df = 2$, $p < 0.001$, $BF_{10} > 100$], and Treatment [$\chi^2_{\Delta d} = 71.51$, $df = 3$, $p < 0.001$, $BF_{10} > 100$] improved the model significantly, but the interaction of Lag and Treatment did not [$\chi^2_{\Delta d} = 3.96$, $df = 6$, $p = 0.682$, $BF_{10} < 0.001$]. Following the fixed effects, random slopes for Lag [$\chi^2_{\Delta d} = 1989.3$, $df = 5$, $p < 0.001$, $BF_{10} > 100$] were included in the model and improved it significantly, but random slopes for Treatment did not [$\chi^2_{\Delta d} = 29.08$, $df = 24$, $p = 0.217$, $BF_{10} < 0.001$]. In the exploratory analyses, neither the gender of participants [$\chi^2_{\Delta d} = 9.17$, $df = 1$, $p = 0.003$, $BF_{10} = 0.467$], nor their BMI scores [$\chi^2_{\Delta d} = 6.28$, $df = 1$, $p = 0.012$, $BF_{10} = 0.110$] improved the final model (Table S4). T2/T1 RT across Lag and Treatment is shown in Fig. 4.

Pairwise Tukey comparisons between Lag conditions showed that T2/T1 RT at Lag 8 (*Estimated marginal mean [EMM]* = 688, $SE = 20.8$, 95% CI [647–729]) was

Fig. 4 T2/T1 RT in the RSVP Task across Treatment and Lag. The figure illustrates individual RT means across various treatment conditions. The Placebo (P) condition is represented by light grey filled diamonds for both individual data points and larger dots indicating means and standard errors. The cocoa flavanols (F) condition is signified by medium-light grey filled triangles. The caffeine (C) condition is depicted using medium-dark grey filled squares. Lastly, the concurrent (CC) condition, which combined cocoa flavanols and caffeine, is represented by black filled circles



significantly lower than at Lag 3 ($EMM = 863$, $SE = 29.2$, $95\% \text{ CI } [806-921]$), $Z = 14.17$, $p < 0.001$, and lower than at Lag 2 ($EMM = 961$, $SE = 32.8$, $95\% \text{ CI } [897-1025]$), $Z = 16.02$, $p < 0.001$. Also, at Lag 3, T2/T1 RT was significantly lower than at Lag 2, $Z = 12.62$, $p < 0.001$. These RT results thus mirrored the typical accuracy pattern during the attentional blink.

LMM results showed that both the caffeine Treatment, ($b = -25.26$, $SE = 10.86$, $95\% \text{ CI } [-46.54-3.98]$, $t = -2.33$, $p = 0.020$), and the concurrent flavanols and caffeine Treatment ($b = -24.97$, $SE = 10.84$, $95\% \text{ CI } [-46.22-3.72]$, $t = -2.30$, $p = 0.021$) significantly predicted T2/T1 RT, but the cocoa flavanols Treatment did not ($b = -2.10$, $SE = 10.88$, $95\% \text{ CI } [-23.43-19.22]$, $t = -0.19$, $p = 0.847$).

Based on Tukey pairwise comparisons (see Fig. 5), there was a marginally significant difference between the placebo ($EMM = 851$, $SE = 27.9$, $95\% \text{ CI } [796-905]$) and caffeine ($EMM = 825$, $SE = 27.9$, $95\% \text{ CI } [771-880]$) conditions, $Z = 2.33$, $p = 0.092$, and between the placebo and the concurrent flavanols and caffeine conditions ($EMM = 826$, $SE = 27.9$, $95\% \text{ CI } [771-880]$), $Z = 2.30$, $p = 0.097$. However, T2/T1 RT in the cocoa flavanols condition ($EMM = 848$, $SE = 27.9$, $95\% \text{ CI } [794-903]$) was not significantly different from the placebo condition, $Z = 0.19$, $p = 0.997$, from the caffeine condition, $Z = -2.14$, $p = 0.142$, and from

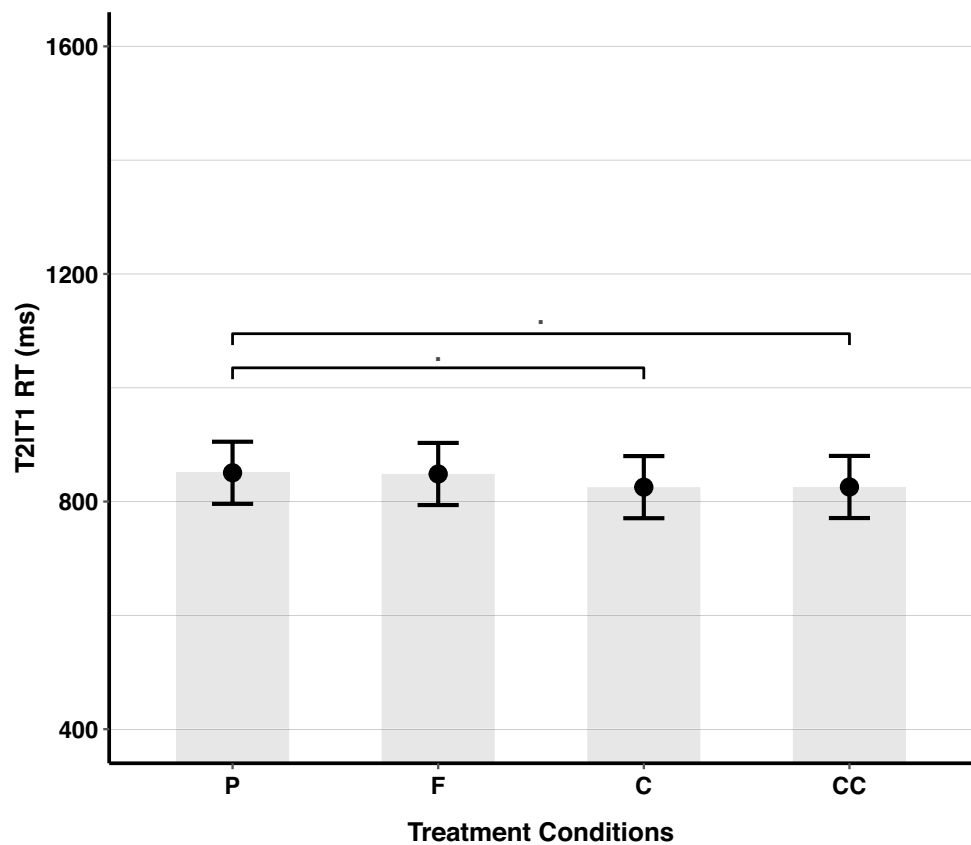
the concurrent flavanols and caffeine condition, $Z = 2.10$, $p = 0.152$. Also, in the caffeine condition, T2/T1 RT was not significantly different from that in the concurrent flavanols and caffeine condition, $Z = -0.03$, $p = 1$.

Spatial attention

The GLMM showed that adding the random intercepts for subjects to the null model significantly decreased deviance [$\chi^2_{\Delta d} = 2819.9$, $df = 1$, $p < 0.001$, $BF_{10} > 100$]. Adding the number of Distractors as a fixed effect improved the model significantly [$\chi^2_{\Delta d} = 912.62$, $df = 2$, $p < 0.001$, $BF_{10} > 100$], but adding Treatment did not [$\chi^2_{\Delta d} = 24.6$, $df = 3$, $p < 0.001$, $BF_{10} = 0.014$]. Following the fixed effects, random slopes for the number of Distractors were added, but this did not improve the model significantly [$\chi^2_{\Delta d} = 7.38$, $df = 5$, $p = 0.194$, $BF_{10} < 0.001$]. Exploratory analyses showed that neither the gender of participants [$\chi^2_{\Delta d} = 1.30$, $df = 1$, $p = 0.255$, $BF_{10} = 0.008$], nor their BMI scores [$\chi^2_{\Delta d} = 1.45$, $df = 1$, $p = 0.229$, $BF_{10} = 0.008$] predicted visual search accuracy (Table S5). Visual search accuracy as a function of the number of Distractors and Treatment is shown in Fig. 6.

Tukey pairwise comparison results showed that as the number of Distractors increased, visual search accuracy decreased gradually. Search accuracy was significantly higher when 14 distractors were displayed ($prob = 0.908$,

Fig. 5 T2/T1 RT in the RSVP Task for Treatment, collapsed across Lag. The figure illustrates RT means for the different treatment conditions, collapsed across Lag. P refers to the placebo condition, F to cocoa flavanols, C to caffeine, and CC to the concurrent condition (flavanols and caffeine combined). $p < .10$



SE = 0.009, 95% CI [0.890–0.924]), than when 20 distractors ($prob = 0.861$, SE = 0.012, 95% CI [0.835–0.883]), $Z = 15.99$, $p < 0.001$, or 26 distractors ($prob = 0.808$, SE = 0.016, 95% CI [0.775–0.837]) were presented, $Z = 30.14$, $p < 0.001$. Additionally, when 20 distractors were shown, search accuracy was significantly higher than when 26 distractors were displayed, $Z = 14.77$, $p < 0.001$.

In the LMM analysis of visual search RT, including random intercepts for subjects in the model significantly reduced deviance [$\chi^2_{\Delta d} = 5489.4$, $df = 1$, $p < 0.001$, $BF_{10} > 100$]. Adding fixed effects of the number of Distractors [$\chi^2_{\Delta d} = 1170.2$, $df = 2$, $p < 0.001$, $BF_{10} > 100$] and Treatment [$\chi^2_{\Delta d} = 67.37$, $df = 3$, $p < 0.001$, $BF_{10} > 100$] also improved the model significantly. However, the interaction of the number of Distractors and Treatment conditions did not [$\chi^2_{\Delta d} = 5.69$, $df = 6$, $p = 0.459$, $BF_{10} < 0.001$]. Additionally, as random slopes, neither the number of Distractors [$\chi^2_{\Delta d} = 23.08$, $df = 5$, $p = 0.0003$, $BF_{10} < 0.001$] nor Treatment [$\chi^2_{\Delta d} = 33.63$, $df = 18$, $p = 0.014$, $BF_{10} < 0.001$] improved the model. In the exploratory analysis, neither the gender of participants [$\chi^2_{\Delta d} = 8.81$, $df = 1$, $p = 0.003$, $BF_{10} = 0.360$], nor their BMI scores [$\chi^2_{\Delta d} = 6.08$, $df = 1$, $p = 0.014$, $BF_{10} = 0.091$] improved the model (Table S6). Visual search RT by the number of Distractors and Treatment is shown in Fig. 7.

Tukey pairwise comparisons showed that visual search RT was significantly lower when 14 distractors ($EMM = 876$,

SE = 14.6, 95% CI [848–905]) were presented than when 20 distractors ($EMM = 932$, SE = 14.6, 95% CI [904–961]), $Z = 19.71$, $p < 0.001$, or 26 distractors were displayed ($EMM = 981$, SE = 14.6, 95% CI [952–1009]), $Z = 35.91$, $p < 0.001$. Also, RT was significantly lower when 20 distractors were shown than when 26 distractors were shown, $Z = 16.33$, $p < 0.001$.

The caffeine Treatment condition predicted visual search RT significantly ($b = -16.42$, SE = 7.60, 95% CI [-31.31–-1.53], $t = -2.16$, $p = 0.031$), but the cocoa flavanols ($b = -6.53$, SE = 7.57, 95% CI [-21.37–8.31], $t = -0.86$, $p = 0.388$) and concurrent conditions ($b = -12.34$, SE = 7.66, 95% CI [-27.36–2.68], $t = -1.61$, $p = 0.107$) did not.

Tukey test results (see Fig. 8) showed that visual search RT in the placebo condition ($EMM = 939$, SE = 15.3, 95% CI [909–969]) was not significantly different from the caffeine ($EMM = 922$, SE = 15.3, 95% CI [892–952]), $Z = 2.16$, $p = 0.134$, the cocoa flavanols ($EMM = 932$, SE = 15.3, 95% CI [902–962]), $Z = 0.86$, $p = 0.824$, or the concurrent condition ($EMM = 926$, SE = 15.3, 95% CI [896–956]), $Z = 1.11$, $p = 0.373$. Additionally, RT was not significantly different between the cocoa flavanols condition and the caffeine, $Z = 1.30$, $p = 0.566$, and concurrent condition, $Z = 0.76$, $p = 0.871$. There were also no significant differences between the caffeine and the concurrent conditions, $Z = 0.54$, $p = 0.950$.

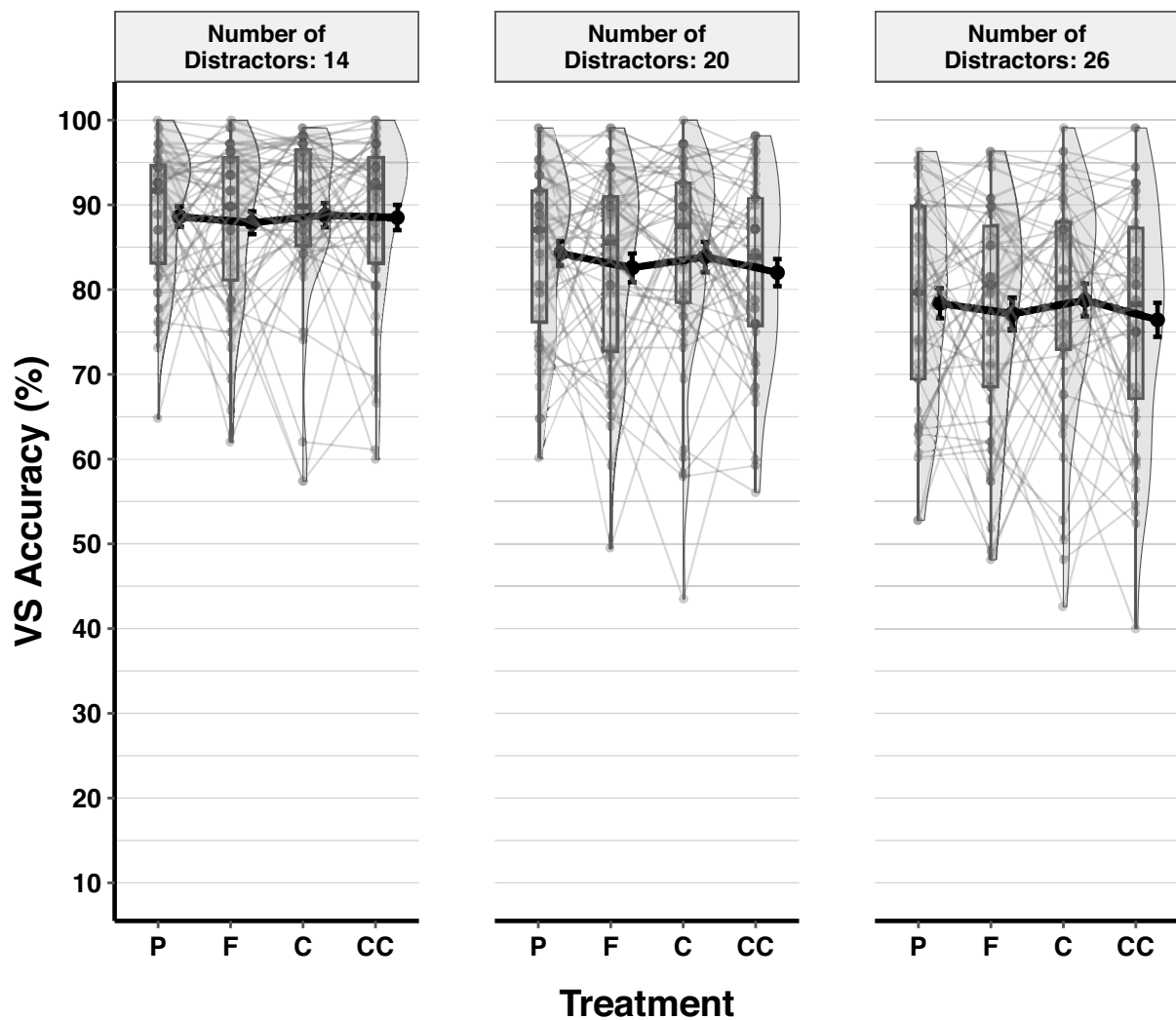


Fig. 6 Accuracy in Visual Search by Treatment and Number of Distractors. Figure conventions follow Fig. 2

Visual working memory maintenance

The LMM analysis of visual working memory accuracy showed that adding random intercepts for subjects to the null model decreased deviance significantly [$\chi^2_{\Delta d} = 2900.6$, $df = 1$, $p < 0.001$, $BF_{10} > 100$]. As fixed effect, the number of Items was added to the model, improving it significantly [$\chi^2_{\Delta d} = 8403.0$, $df = 2$, $p < 0.001$, $BF_{10} > 100$], but Treatment did not [$\chi^2_{\Delta d} = 2.46$, $df = 3$, $p = 0.482$, $BF_{10} < 0.001$]. Including the random slopes of the number of Items also improved the model significantly [$\chi^2_{\Delta d} = 596.64$, $df = 5$, $p < 0.001$, $BF_{10} > 100$]. Lastly, in the exploratory analyses, neither the gender of the participants [$\chi^2_{\Delta d} = 6.32$, $df = 1$, $p = 0.012$, $BF_{10} = 0.157$], nor their BMI scores [$\chi^2_{\Delta d} = 0.03$, $df = 1$, $p = 0.869$, $BF_{10} = 0.0011$] predicted visual working memory maintenance accuracy (Table S7). Response accuracy by the number of Items and Treatment is shown in Fig. 9.

Tukey pairwise comparisons between the number of Items showed that visual working memory maintenance accuracy was significantly higher when one item was presented ($EMM = 90.6$, $SE = 0.44$, 95% CI [89.7–91.4]), than when two items ($EMM = 81.2$, $SE = 0.88$, 95% CI [79.5–83.5]), $Z = 16.74$, $p < 0.001$, or three items were shown ($EMM = 71.0$, $SE = 1.04$, 95% CI [68.9–73.0]), $Z = 25.57$, $p < 0.001$. Furthermore, working memory maintenance accuracy was significantly higher in the two items condition than in the three items condition, $Z = 25.01$, $p < 0.001$.

Discussion

We examined the acute effects of concurrently ingesting moderate doses of cocoa flavanols and caffeine on temporal and spatial attention, as well as working memory maintenance. The outcome can be easily summarized: We found

Fig. 7 Visual Search RT across Treatment and Number of Distractors. Figure conventions follow Fig. 4

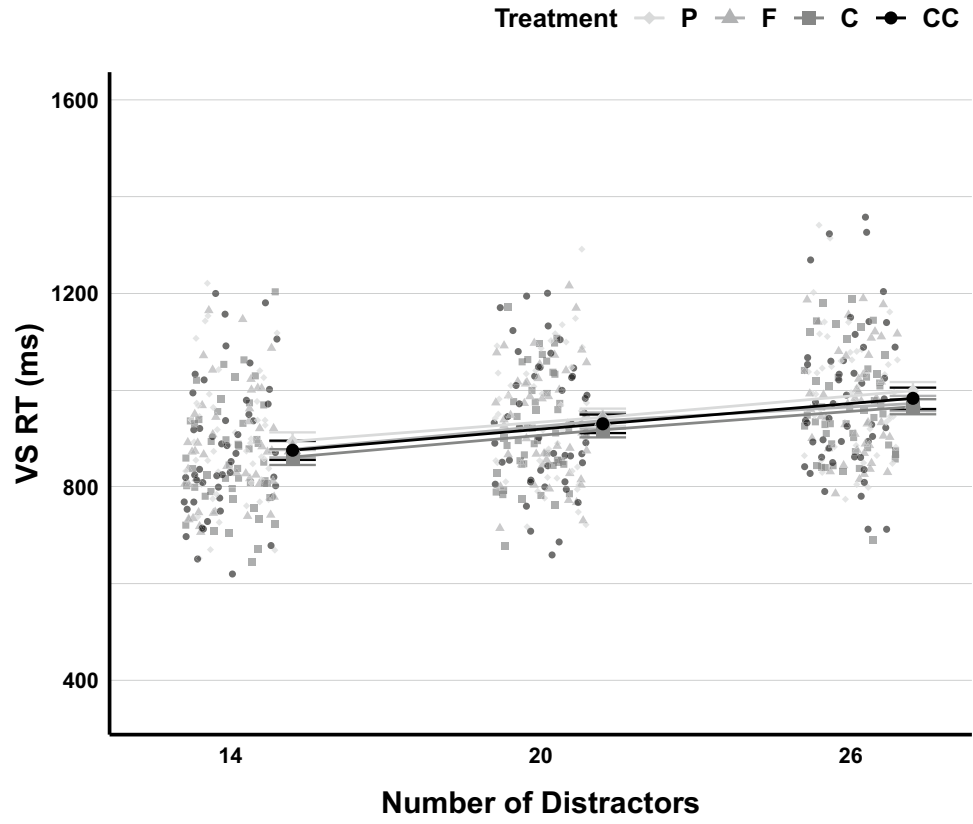
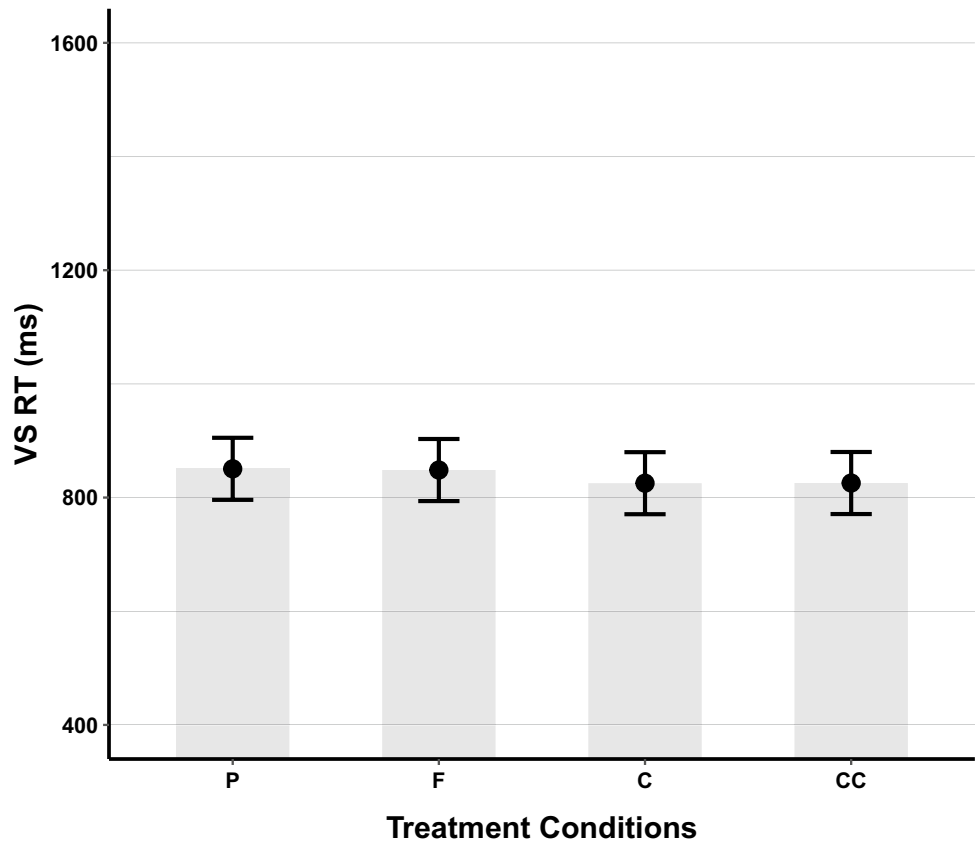


Fig. 8 Visual Search RT, collapsed across the Number of Distractors. Figure conventions follow Fig. 5



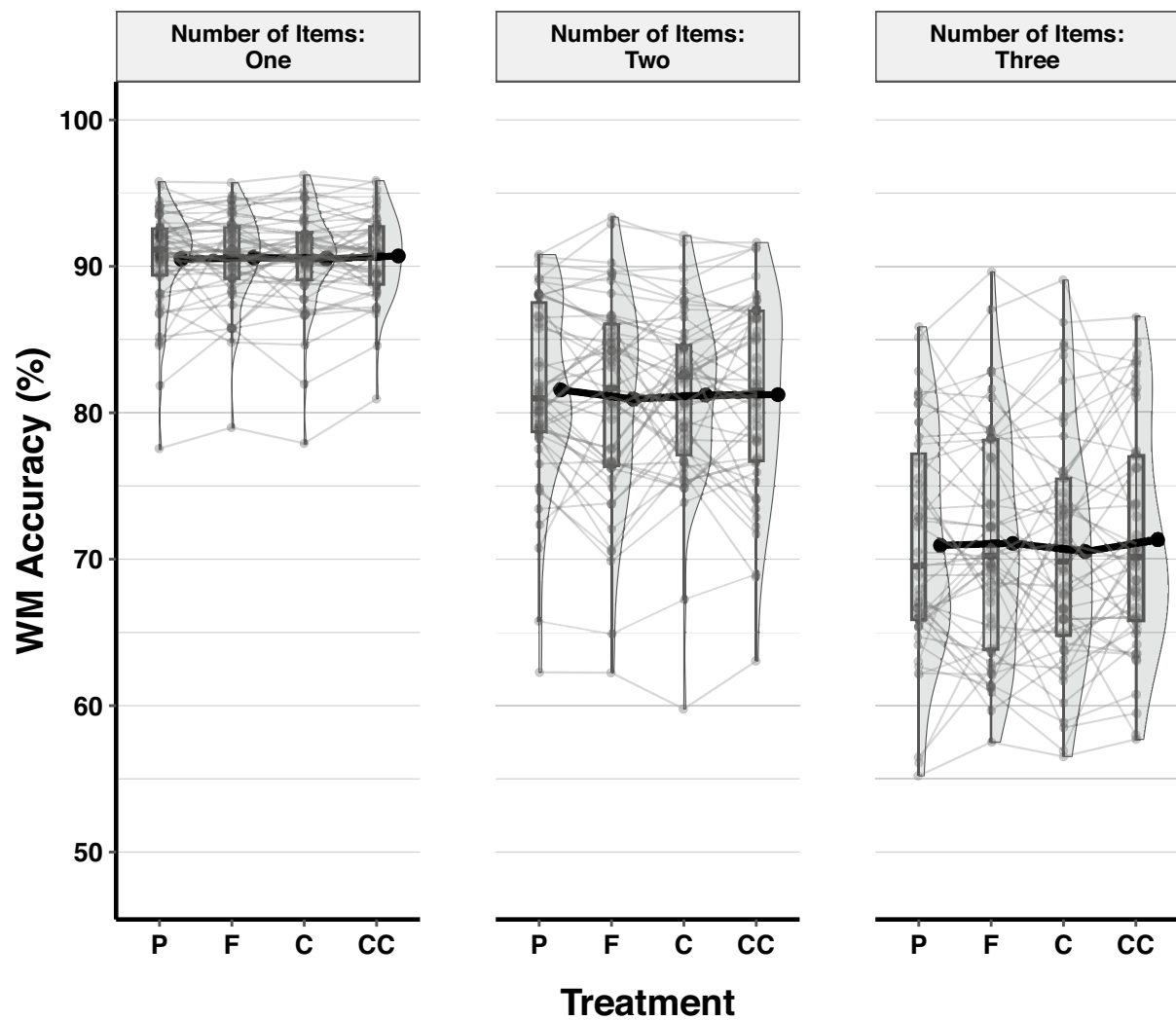


Fig. 9 Response Accuracy in the Visual Working Memory Task by Treatment and Number of Items. Figure conventions follow Fig. 2

no evidence for any effect related to these substances, apart from a marginal trend towards shorter T2 RT in RSVP due to the ingestion of caffeine. It is important to place these findings in the context of the other variables we manipulated. In the RSVP task, we manipulated the lag between targets, expecting to observe the attentional blink deficit and increased RTs at shorter lags. In the visual search task, we manipulated the number of simultaneous distractors, expecting increasing search RT when more distractors were shown. Finally, in the delayed recall task, we manipulated the number of items to be retained, expecting that more items would reduce recall performance. All of these manipulations were clearly successful, and produced the expected effects. Thus, the lack of effects associated with flavanols and caffeine cannot be explained by a failure to properly test attention and working memory.

In a similar vein, there were no indications that the tasks we presently tested led to performance that was close to a bottom or ceiling level that might have obscured treatment effects, especially in the most critical and difficult conditions (e.g., short lag in RSVP). Whereas there was substantial intra- and inter-individual variance in the data, despite the relative homogeneity of our tested sample (i.e., local university students), the resultant treatment means were nevertheless very close to each other, suggesting that this variance did not hide differences that might have proven reliable with a larger sample.

It might be concluded that the physiological effects of cocoa flavanols and caffeine do not result in changes at the cognitive level. In other words, assuming that these effects indeed took place in our study, perhaps increased cerebral blood flow, enhanced synaptic plasticity, and a release from adenosine-related inhibition in the release of neurotransmitters, simply do not help attention in time and space, or

working memory. There could be various reasons for why this might be the case, but one might speculate that the bottlenecks in these cognitive functions just do not lie in these particular physiological conditions.

Another possible explanation for the lack of acute effects could be the dosages that we presently administered, which were 415 mg of cocoa flavanols and 215 mg of caffeine. However, there is little reason to suspect that these dosages would be ineffective. With regard to flavanols, dosages in the range of 83–994 mg have produced acute effects in previous studies [24, 27]. Similarly, with regard to caffeine, dosages in the range of 60–450 mg have been considered as effective previously, particularly on attention-related functions [72], with limited evidence for dose-dependency within this range. More recently, a dose-dependent range between 40 and 300 mg has been identified as effective in improving attentional, and less consistently, memory-related processes in well-rested individuals [5]. Thus, although there is some variation in the literature, and although we did not systematically test different dosage levels in the present study, the chosen amounts seem appropriate to elicit acute cognitive effects.

Perhaps the most likely account for the current null findings is that the cognitive functions presently tested are not the ones that were critically taxed in previous positive reports. The kind of tasks that are frequently used to assess psychopharmacological effects tend to combine (and as we have argued, conflate) different cognitive functions. Thereby, an effect on one of those may produce an overall effect that implicates also other functions, even though those are in fact not modulated. Case in point might be the N-back task (e.g., [28]), which arguably taxes not only working memory, but also requires active inhibition of currently irrelevant and previously relevant items (cf. [57]). It is conceivable that although the N-back task is often interpreted as a working memory task, actual acute effects of cocoa flavanols and/or caffeine might be on the inhibitory part, even if it is a lesser aspect of this task.

This account would also fit to previous findings from our own lab, in which we found no acute effect of cocoa flavanols on (pure) working memory either [29]. It would also fit to another previous study on the effects of cocoa flavanols on attention, in which we observed a positive effect on RT in visual search, contrary to the present findings [25]. Although surprising at first glance, the visual search task used by Karabay and colleagues was of a special kind, in which a salient second singleton stimulus appeared next to target stimuli in the search arrays (cf. [82]). It might have been the need to inhibit this second singleton that was facilitated acutely by the ingestion of cocoa flavanols in that study. In the present study, this inhibitory aspect was lacking from the more typical visual search task we used, even though overall the present task was at least as difficult.

Limitations and recommendations for future research

Our study has some limitations that might be addressed in future studies on the acute effects of caffeine and cocoa flavanols. For instance, these might focus on different cognitive functions than the ones tested presently. Executive and inhibitory functions, such as tested in Stroop or Flanker tasks (e.g., [26, 32, 33, 47]), may prove more sensitive to these substances, where attention and working memory did not. As previous research observed acute effects on tasks in which cognitive functions are combined (e.g., [24, 41]), an obvious choice would be to revert to such tasks. However, we would argue that it is important to then devise a way to systematically vary the degree to which different cognitive functions are taxed, so that the locus of the effects can then be determined. It might also be worthwhile to test different dosage levels, as dosage-response curves may yield surprising maxima. Furthermore, it might be advisable to target specific groups that perform at a lower baseline level than our healthy young university students did, such as elderly, fatigued, or sleep-deprived individuals. Finally, we did not strictly control the (habitual) intake of flavanols and caffeine, which would also be advisable to assess possible contributions of withdrawal effects, which has been a concern in the caffeine literature in particular (e.g., [48], but see also [72]).

Conclusion

We found no evidence for any acute effect of the ingestion of commonly tested doses of cocoa flavanols and caffeine, nor of their concurrent consumption, on temporal attention, spatial attention, and working memory, in our sample. Possibly, flavanols and caffeine mainly affect early visual functions, or other cognitive ones, such as executive functions, which may account for previously observed enhancements.

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Data availability The data of the study and analysis codes, including plots, are available on the Open Science Framework, at: <https://osf.io/kjgyd>.

Declarations

Conflicts of interest The authors declare no conflicts of interest.

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