# **NVL-655 Is a Selective and Brain-Penetrant Inhibitor of Diverse ALK-Mutant Oncoproteins, Including Lorlatinib-Resistant Compound Mutations**

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# **ABSTRACT**

Three generations of tyrosine kinase inhibitors (TKI) have been approved for anaplastic lymphoma kinase (*ALK*) fusion–positive non–small cell lung cancer.

However, none address the combined need for broad resistance coverage, brain activity, and avoidance of clinically dose-limiting TRK inhibition. NVL-655 is a rationally designed TKI with >50-fold selectivity for ALK over 96% of the kinome tested. *In vitro*, NVL-655 inhibits diverse ALK fusions, activating alterations, and resistance mutations, showing  $\geq 100$ -fold improved potency against ALKG1202R single and compound mutations over approved ALK TKIs. *In vivo*, it induces regression across 12 tumor models, including intracranial and patient-derived xenografts. NVL-655 inhibits ALK over TRK with 22-fold to >874-fold selectivity. These preclinical findings are supported by three case studies from an ongoing first-in-human phase I/II trial of NVL-655 which demonstrate preliminary proof-ofconcept clinical activity in heavily pretreated patients with *ALK* fusion–positive non–small cell lung cancer, including in patients with brain metastases and single or compound *ALK* resistance mutations.

**Significance:** By combining broad activity against single and compound ALK resistance mutations, brain penetrance, and selectivity, NVL-655 addresses key limitations of currently approved ALK inhibitors and has the potential to represent a distinct advancement as a fourth-generation inhibitor for patients with ALK-driven cancers.

# **Introduction**

The receptor tyrosine kinase anaplastic lymphoma kinase (ALK) can be constitutively activated by aberrant gene fusion, point mutation, and partial deletion to drive human cancers ([1\)](#page-17-0). Initially discovered as an oncogenic fusion in anaplastic large cell lymphoma ([2](#page-17-1)), *ALK* fusions are present in 3% to 5% of non–small cell lung cancer (NSCLC; ref. [3](#page-17-2)), and oncogenic *ALK* has been detected in neuroblastomas, gliomas, inflammatory myofibroblastic tumors, and other cancers [\(4](#page-17-3)–[8](#page-17-4)). More than 90 fusion partners have been reported for *ALK* [\(9\)](#page-17-5). *EML4* accounts for 84% of *ALK* fusions in NSCLC ([10\)](#page-17-6) with at least 15 known *EML4*–*ALK* breakpoint variants, the most common of which are variant  $1 (v1)$  and variant  $3 (v3)$  that conjoin *ALK* exon 20 with *EML4* exon 13 and exon 6, respectively ([11](#page-17-7)). Each fusion partner and breakpoint variant may differentially affect ALK subcellular localization; stability; transformation and metastatic capacity; drug sensitivity; and propensity to develop resistance mutations [\(12](#page-17-8)–[17\)](#page-17-9).

Six ALK tyrosine kinase inhibitors (TKI) spanning three generations (1G, 2G, and 3G) have been approved by one or more regulatory agencies around the globe for the treatment of patients with advanced or metastatic *ALK* fusion–positive (*ALK*+) NSCLC. As initial ALK TKI therapy, all six are efficacious with overall response rates of ∼70% to 80%, but each successive generation has achieved improved activity in the central nervous system (CNS) and median progression-free survival (mPFS; refs. [18](#page-17-10)[–23\)](#page-17-11). In phase III randomized studies against the 1G TKI crizotinib in TKI-naïve patients, benefit was achieved with the 2G TKIs alectinib (mPFS = 25.7 vs. 10.4 months;  $HR = 0.53$ ), brigatinib (24.0 vs. 11.0 months;  $HR = 0.49$ ), and ensartinib (25.8 vs. 12.7 months;  $HR = 0.51$ ; approved in China only) and the 3G TKI lorlatinib (not estimable vs. 9.3 months;  $HR = 0.28$ ) as assessed by independent review committees [\(20–](#page-17-12)[23](#page-17-11)). Importantly, considerations related to resistance mutations, brain penetrance, or safety have limited the extent of clinical benefit from each of these TKIs.

The 1G ALK TKI crizotinib demonstrates a lack of potency against a broad spectrum of acquired secondary resistance mutations including ALKC1156Y, ALKI1171N/S/T, ALKF1174C/L/V, ALKL1196M, ALKG1202R, and ALKG1269A ([24](#page-17-13)) and has minimal brain penetrance [\(25\)](#page-18-0)—a major deficiency given that ∼30% to 40% of patients with *ALK*+ NSCLC have CNS metastases at diagnosis [\(26](#page-18-1)[–28](#page-18-2)). Although 2G ALK TKIs can overcome some crizotinib-resistant ALK mutations and have demonstrated intracranial responses ([24,](#page-17-13) [29–](#page-18-3)[34](#page-18-4)), ALK resistance mutations causing disease relapse still occur, predominantly the G1202R solvent-front mutation detected in ∼35% of patients after progression on a 2G ALK TKI [\(33,](#page-18-5) [35\)](#page-18-6).

The 3G ALK TKI lorlatinib is brain-penetrant and active against ALK single amino acid substitutions that confer resistance to 1G and 2G ALK TKIs [\(36](#page-18-7)[–38](#page-18-8)). However, its efficacy

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following 2G ALK TKI therapy has been limited by the emergence of acquired resistance, including compound *ALK* resistance mutations identified in 25% to 50% of patients after progression on lorlatinib, with G1202R-containing compound mutations being the most prevalent and often resistant to all approved ALK TKIs [\(35,](#page-18-6) [36,](#page-18-7) [39](#page-18-9)). An investigational ALK TKI zotizalkib (TPX-0131) was designed to inhibit lorlatinibrefractory compound mutations [\(40\)](#page-18-10), but its initial clinical study has been terminated (NCT04849273). At this time, effective treatment strategies for patients following disease relapse on lorlatinib remain limited. Lorlatinib has further been limited by a broad spectrum of CNS effects, reported to occur in 52% of patients [\(23,](#page-17-11) [41\)](#page-18-11). These CNS effects include psychotic effects and changes in cognitive function, mood, speech, and mental status, which have been attributed to the inhibition of tropomyosin receptor kinase B (TRKB) in the CNS ([41](#page-18-11), [42\)](#page-18-12). Inhibition of the TRK-family kinases, comprising TRKA, TRKB, and TRKC, may additionally cause weight gain, dizziness, and gait disturbance, which are also reported in patients receiving lorlatinib [\(23](#page-17-11), [43–](#page-18-13)[45](#page-18-14)).

Taken together, a need exists for a next-generation brainpenetrant ALK TKI that inhibits diverse ALK single and compound resistance mutations while sparing TRK. Here, we describe the discovery of NVL-655, an ALK TKI that addresses this need as evidenced by preclinical and preliminary proofof-concept clinical activity.

# **Results**

# **Design and Structure**

NVL-655 [\(Fig. 1A\)](#page-3-0) is a macrocyclic small-molecule inhibitor that binds to the ALK ATP pocket. A crystal structure of NVL-655 bound to ALKG1202R/L1196M was obtained, which shows the aminopyridine moiety of NVL-655 forming two hydrogen bonds with Glu1197 and Met1199 in the hinge region ([Fig. 1B;](#page-3-0) Supplementary Fig. S1A and S1B). The fluorophenyl ring fits into a recess in the floor of the binding pocket, and the methylpyrazole ring contacts the P-loop at the roof of the pocket. NVL-655 was designed to overcome the liabilities of approved and other investigational ALK TKIs [\(Fig. 1C](#page-3-0)) by addressing the following three medical needs.

# *Activity against ALK Single and Compound Mutations*

The chemical structures of 1G, 2G, and 3G ALK TKIs have atoms that occupy the solvent-front region of the kinase ATP-binding pocket. Mutations in this region, particularly G1202R, have been hypothesized to cause a steric clash with these inhibitors, reducing affinity and thus limiting drug activity ([36](#page-18-7), [40](#page-18-10), [46](#page-18-15)–[48](#page-18-16)). NVL-655 was designed to minimize bulk in this region, potentially reducing steric clashes and preserving affinity for ALK mutants harboring G1202R [\(Fig. 1B\)](#page-3-0).

# *Avoiding TRK Inhibition*

There is a differentiating residue at ALK Leu1198, which corresponds to a sterically larger tyrosine residue in TRK (TRKA Tyr591, TRKB Tyr619, and TRKC Tyr619; [Fig. 1D;](#page-3-0) refs. [49](#page-18-17), [50](#page-18-18)). We took advantage of this residue and installed an *N*-ethylpyrazole on NVL-655 to avoid a negative interaction

with the smaller ALK Leu1198 while clashing with the larger TRK tyrosine residue, which could result in preferential binding to wild-type (WT) and mutant ALK over TRK.

# *Brain Penetrance*

The physicochemical properties of NVL-655 (Supplementary Fig. S1C) suggest that the compound has a good probability of brain penetrance. Specifically, topological polar surface area (TPSA) is a key correlate of free brain exposure, with lower TPSA values correlating with better CNS penetrance [\(51,](#page-18-19) [52\)](#page-18-20). In comparison with lorlatinib, a compound with excellent CNS exposure ([53\)](#page-18-21), NVL-655 has a smaller TPSA  $(84 \text{ Å}^2 \text{ vs. } 110 \text{ Å}^2)$ . Based on this analysis, NVL-655 was predicted to have favorable CNS exposure.

# **Biochemical Activity**

# *WT ALK*

Eight ALK TKIs (crizotinib, ceritinib, alectinib, brigatinib, ensartinib, lorlatinib, zotizalkib, and NVL-655) were profiled in biochemical phosphorylation assays against the recombinant ALK domain. The assays were performed in the presence of 1 mmol/L ATP to simulate competitive inhibition against a physiologic ATP concentration. All TKIs including NVL-655 potently inhibited WT ALK ( $IC_{50} = 0.8-11$  nmol/L; [Fig. 1E](#page-3-0); Supplementary Fig. S2A and S2B).

# *ALK Single Mutation*

NVL-655 inhibited ALKT1151insT, ALKT1151M, ALKL1152R, ALKC1156Y, ALK<sup>11171N</sup>, ALK<sup>11171S</sup>, ALK<sup>11171T</sup>, ALK<sup>F1174L</sup>, ALK<sup>F1174S</sup>,  $\rm ALK^{V1180L},$   $\rm ALK^{L1198F},$   $\rm ALK^{G1202R},$   $\rm ALK^{D1203N},$   $\rm ALK^{S1206R},$  and ALKR1275Q potently (IC<sub>50</sub> = 0.9-6.8 nmol/L) and inhibited ALK<sup>L1196M</sup>, ALK<sup>G1269A</sup>, and ALK<sup>G1269S</sup> moderately (IC<sub>50</sub> = 11-79 nmol/L; Supplementary Fig. S2C). All of these have been identified as ALK TKI resistance mutations in NSCLC and/ or gain-of-function mutations in neuroblastoma ([24](#page-17-13), [54,](#page-18-22) [55](#page-18-23)). NVL-655 was 57-fold more potent than lorlatinib against  $ALK^{G1202R}$  (IC<sub>50</sub> = 0.9 vs. 51 nmol/L; [Fig. 1F](#page-3-0)), which represents the most common *ALK* resistance mutation in patients following 2G ALK TKI therapy [\(33,](#page-18-5) [35](#page-18-6)).

# *ALKG1202R/L1196M Compound Mutation*

ALKG1202R/L1196M confers clinical resistance to lorlatinib and has been reported to confer resistance to 1G, 2G, and 3G ALK TKIs *in vitro* ([35,](#page-18-6) [36](#page-18-7)). Indeed, 1G, 2G, and 3G ALK TKIs inhibited recombinant ALKG1202R/L1196M with  $IC_{50} > 100$  nmol/L. By contrast, NVL-655 potently inhibited ALKG1202R/L1196M with an IC<sub>50</sub> of 1.8 nmol/L ([Fig. 1E;](#page-3-0) Supplementary Fig. S2A-S2D).

# *Kinome Selectivity*

In a biochemical activity screen against 335 WT human kinases, NVL-655 selectively inhibited ALK with  $IC_{50} = 2.8$ nmol/L ([Fig. 1G;](#page-3-0) Supplementary Fig. S3A and S3B). Five kinases (ROS1, LTK, PYK, TRKB, and FAK) showed  $IC_{50}$  within 10-fold of ALK  $IC_{50}$ , and six additional kinases (SLK, TRKA, FER, MUSK, EPHA6, and TRKC) showed  $IC_{50}$  within 50-fold of ALK IC $_{50}$ . Accordingly, NVL-655 had a >50-fold selectivity for ALK over 96% (323/335) of the kinome. TRKA, TRKB, and TRKC were inhibited with  $IC_{50} < 50$ -fold of ALK  $IC_{50}$  in this

# **RESEARCH ARTICLE**



<span id="page-3-0"></span>**Figure 1.** Design and biochemical activity of NVL-655. **A,** Chemical structure of NVL-655. **B,** X-ray structure of NVL-655 (cyan) in the binding pocket of ALKG1202R/L1196M, with key residues highlighted in yellow and hydrogen bonds in red. **C,** Classification of ALK TKIs into 1G, 2G, 3G, or next generation. **D,** Positioning of NVL-655 with respect to Leu1198 in the crystal structure of ALKG1202R/L1196M (left, yellow; PDB: 9GBE) or Tyr619 in TRKB (right, orange; PDB: 4AT3) based on α-carbon superposition. Red disc indicates a steric clash based on van der Waals overlap. **E,** Activity of eight TKIs against ALK (gray) and ALK<sup>G1202R/L1196M</sup> (blue) in biochemical assays. Geometric mean and SD are plotted, with numerical values of the means shown. "IC<sub>50</sub> >" is treated as "IC50 =" for plotting. **F,** Biochemical activity of NVL-655 (blue) and lorlatinib (orange) against ALK with single amino acid substitution or insertion. Geometric mean and SD are plotted. Graph indicates relative potency to NVL-655. **G,** Kinome selectivity tree for NVL-655. Twelve kinases inhibited with IC<sub>50</sub> within 50-fold of ALK are indicated.

assay (Supplementary Fig. S3B). Because TRK proteins are key off-targets of concern, we further profiled them in biochemical and cell-based assays (see "ALK versus TRK Selectivity").

# **Cellular Activity**

We profiled the activity of ALK TKIs, including NVL-655, in viability assays against 45 ALK-driven cell lines comprising nine patient-derived cell lines (PDC), seven human cancer cell lines, one engineered human cancer cell line, and 27 engineered Ba/F3 cell lines (Supplementary Figs. S4 and S5). The panel collectively represents a broad diversity of ALK alterations including two fusion partners (EML4 and NPM1); three *EML4*–*ALK* fusion breakpoint variants (v1, v2, and v3); two partial *N*-terminal deletions (ex2–3del and ex2–17del); 16 single point mutations (T1151M, C1156Y, I1171N, I1171S, I1171T, F1174L, V1180L, L1196M, L1196Q, L1198F, G1202R, D1203N, S1206F, S1206Y, E1210K, and G1269A); two small indels (T1151insT and G1202del); and seven compound mutations (G1202R/T1151M, G1202R/F1174L, G1202R/L1196M, G1202R/L1198F, G1202R/G1269A, I1171N/ L1198F, and I1171N/D1203N).

# *ALK Fusion with the WT Kinase Domain*

NVL-655 potently inhibited eight cell lines expressing WT *ALK* fusions with average  $IC_{50}$  < 1.1 nmol/L [\(Fig. 2A](#page-5-0)). NVL-655 exhibited an  $IC_{50}$  of 0.3 to 1.6 nmol/L against MGH048-1, MGH064-1, and MGH026-1 cell lines, which were established from TKI-naïve patients with NSCLC harboring *EML4*–*ALK* v1, v2, and v3 fusions, respectively (Supplementary Fig. S6A), and an  $IC_{50}$  of 2.0 nmol/L against the anaplastic large cell lymphoma cell line Karpas299 harboring the *NPM1*–*ALK* fusion. NVL-655 was on average more potent than any other ALK TKIs tested (Supplementary Fig. S6B). In ALK-driven human cancer cell lines treated with NVL-655 for 24 hours, we observed a reduction of cells in the S phase and an increase in caspase 3/7 activation. In a subset of the cell lines, we also observed an increase in the sub- $G_0-G_1$  population, annexin V staining, and propidium iodide (PI) staining (Supplementary Fig. S6C–S6E).

# *ALK Fusion with Single Amino Acid Mutations*

NVL-655 potently inhibited the growth of five cell lines harboring  $ALK^{G1202R}$  fusions (IC<sub>50</sub> = 0.1-0.8 nmol/L, average < 0.3 nmol/L; [Fig. 2B\)](#page-5-0), including three PDCs established after progressive disease on alectinib (MGH953-4 and YU-1077) or brigatinib (MGH9037-2; Supplementary Fig. S6A). NVL-655 was >14-fold more potent than zotizalkib, >100 fold more potent than lorlatinib, and >280-fold more potent than 1G and 2G ALK TKIs on average (Supplementary Fig. S6F). In Ba/F3 *EML4*–*ALK* v1 cells, introduction of G1202R mutation resulted in an 8- to 36-fold increase in the  $IC_{50}$  for 2G and 3G ALK TKIs but not NVL-655, consistent with its G1202R-accommodating design ([Fig. 2C](#page-5-0)). Among ALK fusions with non-G1202R mutations, NVL-655 exhibited a potent IC<sub>50</sub> (0.6-9.8 nmol/L) against ALK<sup>T1151M</sup>, ALK<sup>T1151insT</sup>, ALKC1156Y, ALKF1174L, ALKV1180L, ALKL1196Q, ALKL1198F, ALKG1202del, ALK<sup>S1206F</sup>, ALK<sup>S1206Y</sup>, and ALK<sup>E1210K</sup> and a moderate  $IC_{50}$  (16– 35 nmol/L) against ALK<sup>I1171N</sup>, ALK<sup>I1171S</sup>, ALK<sup>I1171T</sup>, ALK<sup>L1196M</sup>, ALK<sup>D1203N</sup>, and ALK<sup>G1269A</sup> [\(Fig. 2B](#page-5-0)).

# *ALK Fusion with Compound Mutations*

NVL-655 potently inhibited the growth of eight cell lines harboring diverse  $ALK$ <sup>G1202R</sup> compound mutations (IC<sub>50</sub>)  $= 0.1$ -7.3 nmol/L, average  $= 1.6$  nmol/L; [Fig. 2D\)](#page-5-0) and was more potent than any other ALK TKIs (Supplementary Fig. S6G). MR448re (*ALK*G1202R/T1151M) and MGH953-7 (*ALK*G1202R/ L1196M) cell lines, which were derived from patients with NS-CLC after progressive disease on sequential 1G, 2G, and 3G ALK TKI treatment [\(39,](#page-18-9) [56](#page-18-24)–[58\)](#page-18-25), were highly sensitive to NVL-655 ( $IC_{50} = 0.1 - 1.8$  nmol/L) but not FDA-approved ALK TKIs (IC $_{50}$  > 100 nmol/L; Supplementary Fig. S6A). In Ba/ F3 *EML4*–*ALK* v1 cells, introduction of the G1202R/L1196M compound mutation had a large impact on the  $IC_{50}$  of 2G and 3G ALK TKIs (18–970-fold increase) but a small impact on the  $IC_{50}$  of NVL-655 (4.6-fold increase), again consistent with the design of NVL-655 [\(Fig. 2E](#page-5-0)).

I1171N-based compound mutations including *ALK*I1171N/ L1198F and *ALK* I1171N/D1203N have been reported in patients following relapse on lorlatinib [\(35](#page-18-6), [36\)](#page-18-7). In viability assays with engineered Ba/F3 cells, NVL-655 inhibited ALKI1171N/L1198F with a moderate  $IC_{50}$  of 16 nmol/L, which was 12-fold more potent than lorlatinib ( $IC_{50} = 183$  nmol/L); however, NVL-655 and most other ALK TKIs showed limited activity against ALKI1171N/D1203N [\(Fig. 2D\)](#page-5-0).

# *ALK Nonfusion Oncogenic Mutations*

Besides fusion, ALK can be activated by point mutations or deletions in the extracellular domain [\(7,](#page-17-14) [59,](#page-18-26) [60](#page-18-27)). NVL-655 inhibited neuroblastoma cell lines Kelly (*ALK*F1174L), SH-SY5Y (*ALK*F1174L), and NB-1 (*ALK* amplification, ex2–3del), as well as a soft-tissue sarcoma cell line Aska-SS (*ALK* ex2–17del) with  $IC_{50} = 2$  to 19 nmol/L ([Fig. 2F](#page-5-0)).

# *Comparing Potency and Mutational Coverage of ALK TKIs*

NVL-655 proved active against diverse oncogenic alterations, fusion partners, breakpoint variants, resistance mutations (Supplementary Fig. S7A), and disease backgrounds, with low IC $_{50}$  values ranging from 0.1 to 35 nmol/L. We compared the  $IC_{50}$  of NVL-655 against seven other ALK TKIs across up to 45 cell lines, for a total of 285 pairwise comparisons. NVL-655 showed a lower  $IC_{50}$  than the comparator in the vast majority of comparisons  $(276/285 = 97\%)$ ; Supplementary Fig. S7B). In the 9/285 cases in which NVL-655 showed a higher  $IC_{50}$ , the  $IC_{50}$  difference was small, with a median of ∼1.3-fold only. By contrast, lorlatinib had a lower IC<sub>50</sub> than the comparator in only  $209/285 = 73%$  of comparisons (Supplementary Fig. S7C). In the 76/285 cases in which lorlatinib exhibited a higher  $IC_{50}$  than the comparator, most were a difference of >3-fold. This analysis indicated that among eight ALK TKIs tested, NVL-655 was the most potent inhibitor with the broadest coverage across ALK alterations.

# *Signaling Pathway Analysis*

The effect of NVL-655 on ALK signaling was investigated in six cell lines representing diverse mutational categories: *EML4–ALK* v1, *EML4–ALK* v3 G1202R, *EML4–ALK* v3 G1202R/L1196M, *EML4–ALK* v3 G1202R/T1151M, and *EML4–ALK* v1 L1196M. In all these cellular models, NVL-655 suppressed phosphorylation of ALK and downstream



<span id="page-5-0"></span>**Figure 2.** NVL-655 inhibits the viability of ALK-driven cancer cell lines. **A,** Heat map showing the activity in cell viability assays against *ALK* fusion with the WT kinase domain. Karpas299 harbors the *NPM1*–*ALK* fusion, not *EML4*–*ALK*. **B,** Same as **A** but for *ALK* fusion with single amino acid mutation (substitution, insertion, or deletion). **C,** Plot showing the IC50 for *ALK* WT (gray) vs. G1202R (blue) in Ba/F3 *EML4*–*ALK* v1, along with the associated fold change in IC50. Red indicates an increase in IC50, whereas green indicates a decrease in IC50. **D,** Same as **A** but for *ALK* fusion with compound mutations. **E,** Same with **C** but for G1202R/L1196M. **F,** Same as **A** but for ALK nonfusion mutations. Potency values reflect geometric mean, and "IC50 <" and "IC50 >" are treated as "IC50 =" for plotting and heat map coloring. Errors, repeats, and maximal effects (*E*max) are provided in Supplementary Figs. S5 and S6. Grayed-out entries indicate data not determined (ND). Average potencies (blue) reflect the geometric mean across the cell lines within each category. Amp, amplification.

effectors including ERK, AKT, and/or S6 in a dose-dependent manner at potencies consistent with cell viability measurements, supporting an on-target mechanism of action (Supplementary Fig. S8). NVL-655 induced PARP cleavage in MR448re, suggesting apoptosis. In *PDCs* harboring *ALK*G1202R single or compound mutations, NVL-655 showed maximal effects on pathway signaling at ≤10 nmol/L, whereas lorlatinib showed limited effects even at ≥100 nmol/L.

# *Further Evidence of On-target Activity*

Consistent with the identification of ROS1 and LTK as targets in the kinome screen, NVL-655 potently inhibited Ba/F3 cells expressing oncogenic *CD74*–*ROS1*, *CD74*–*ROS1*G2032R, or *CLIP1*–*LTK* fusions [\(61\)](#page-18-28) but not parental Ba/F3 cells cultured under IL3 (Supplementary Fig. S9). NVL-655 also did not inhibit ALK- and ROS1-independent cell lines including A549 (*KRAS*G12S lung carcinoma) and A431 (*EGFR*-amplified epidermoid carcinoma; Supplementary Fig. S9). These observations suggest on-target activity and potentially limited general cytotoxicity.

# **ALK versus TRK Selectivity**

As avoidance of TRK inhibition was one of the main goals in designing NVL-655, we profiled the TRK inhibitory potency of ALK TKIs, including NVL-655, using (i) biochemical assays against purified TRKA, TRKB, and TRKC, (ii) cell viability assays against Ba/F3 cells expressing *TPM3*–*TRKA*, *ETV6*–*TRKB*, and *ETV6*–*TRKC* oncogenic fusions, and (iii) cellular phosphorylation assays against Ba/F3 TRKB cells stimulated with brain-derived neurotrophic factor ([Fig. 3A;](#page-7-0) Supplementary Fig. S10A; ref. [49\)](#page-18-17). Potency trends were highly consistent across the three TRK paralogs and three assay modalities, with correlation coefficients (*R*2) ranging from 0.87 to 0.98 (Supplementary Fig. S10B). Given the consistent results, we then selected cellular TRKB phosphorylation as the representative TRK assay because of its resemblance to ligand-mediated TRKB signaling *in vivo*. We calculated the selectivity window between TRKB inhibition and inhibition of diverse ALK oncogenes from cell viability assays. A selectivity window greater than 1 indicates stronger activity for ALK than TRKB, and a selectivity window less than 1 indicates stronger activity for TRKB than ALK [\(Fig. 3B](#page-7-0) and [C\)](#page-7-0).

Lorlatinib had a >200-fold selectivity window for WT ALK, but this window was eroded to only 11- to 14-fold for ALKG1202R, ALK<sup>I1171N</sup>, and ALK<sup>L1196M</sup> ([Fig. 3B](#page-7-0) and [C\)](#page-7-0). Lorlatinib has elicited tumor responses in patients harboring these single ALK mutants [\(62](#page-19-0)). Its relatively narrow selectivity window suggests that there may be nonnegligible TRKB inhibition at its clinically relevant concentration. Indeed, dose–response curves indicate 41% TRKB inhibition by lorlatinib at 640 nmol/L, a concentration required for ≥95% inhibition of ALK, ALK<sup>G1202R</sup>, and ALK<sup>L1196M</sup> (Supplementary Fig. S10C). Zotizalkib exhibited a ≤0.63-fold selectivity window for every ALK oncogene tested, indicating stronger activity against TRKB than ALK or ALK mutants ([Fig. 3B](#page-7-0) and [C\)](#page-7-0).

NVL-655 was the most selective TKI for ALK over TRKB and was the only TKI to have a ≥22-fold window for ALK and every mutant ALK oncogene shown [\(Fig. 3B](#page-7-0) and [C\)](#page-7-0). This selectivity window enabled NVL-655 to avoid TRKB inhibition at an efficacious concentration; dose–response curves indicate only 2% TRKB inhibition by NVL-655 at 100 nmol/L, a concentration that provides ≥95% inhibition of ALK, ALKG1202R, ALKL1196M, and ALKG1202R/L1196M (Supplementary Fig. S10D). Orthogonal analyses using TRKA/B/C fusion viability assays were consistent with the findings from cellular TRKB phosphorylation assays (Supplementary Fig. S11A–S11C).

# *In Vivo* **Activity**

Antitumor activity of NVL-655 was tested in 10 subcutaneous murine xenograft models, representing a wide diversity of fusion partners (*EML4*, *HIP1*, and *STRN*); *EML4* breakpoint variants (v1 and v3); amino acid substitutions (I1171N, G1202R, G1202R/T1151M, G1202R/L1196M, and G1202R/G1269A); origins [PDCs, patient-derived xenografts (PDX), human cancer cell lines, and Ba/F3]; and indications (cholangiocarcinoma and NSCLC; [Fig. 4A–D](#page-8-0)). NVL-655 was administered orally twice daily. Lorlatinib served as a control in some of these studies and, unless noted otherwise, was administered at 5 mg/kg twice daily, a precedented dosage ([40](#page-18-10)) in which free steady-state trough exposure (*C*min,ss) approximates the reported free efficacious concentration (*C*eff) of 125 nmol/L ([63\)](#page-19-1).

# *ALK Fusion with the WT Kinase Domain*

NVL-655 at 1.5 mg/kg or lorlatinib at 5 mg/kg induced regression in xenograft models Lu-01-0015 (a TKI-naïve NSCLC PDX harboring *HIP1*–*ALK*) and NCI-H3122 (a human lung cancer cell line harboring *EML4*–*ALK* v1; [Fig. 4A](#page-8-0); Supplementary Fig. S12A and S12B). Western blot analysis of tumor samples confirmed ALK and S6 phosphorylation inhibition, consistent with an on-target mechanism of action, and revealed PARP cleavage, which suggests activation of apoptosis (Supplementary Fig. S12C and S12D). Lorlatinib at 5 mg/kg provided *C*min,ss = 120 to 134 nmol/L, matching the reported  $C_{\text{eff}}$  = 125 nmol/L ([63](#page-19-1)), whereas NVL-655 at 1.5 mg/kg provided *C*min,ss = 5.75 to 14.3 nmol/L (Supplementary Fig. S12C and S12D). The C<sub>min,ss</sub> values of both compounds are expected to provide 100% inhibition of WT ALK fusion based on the *in vitro* cell viability assay, consistent with the observed regression *in vivo*.

# *ALK Fusion with Single Mutations*

The MR619 model was derived from a patient with cholangiocarcinoma after progression on alectinib and harbored the *STRN*–*ALK* fusion with a G1202R mutation. MR619 xenografts were highly sensitive to NVL-655, with 0.5 mg/kg NVL-655 causing a 92% tumor volume decrease by day 14 ([Fig. 4B](#page-8-0); Supplementary Fig. S13A). NVL-655 at 0.5 mg/kg also induced tumor regression in a Ba/F3 *EML4*– *ALK* v1 G1202R xenograft model ([Fig. 4B](#page-8-0); Supplementary Fig. S13B).

In cell viability testing, I1171N was one of the least sensitive mutations for NVL-655, with  $IC_{50} = 27$  nmol/L. Nevertheless, NVL-655 induced regression in the Ba/F3 *EML4*–*ALK* v1 I1171N model at 4.5 to 7.5 mg/kg ([Fig. 4C](#page-8-0); Supplementary Fig. S13C).



<span id="page-7-0"></span>**Figure 3.** Selectivity for ALK vs. TRK. **A,** Activity against TRKA, TRKB, and/or TRKC in three assay modalities (biochemical, cell viability, and cellular phosphorylation assays). Geometric mean and SD are plotted. "IC<sub>50</sub> >" and "IC<sub>50</sub> <" are treated as "IC<sub>50</sub> =" for plotting. **B**, Heat map showing the selectivity window calculated from cellular TRKB phosphorylation IC50 (Supplementary Fig. S10A) divided by Ba/F3 *EML4*–*ALK* v1 cell viability IC50 (Supplementary Fig. S4). "Selectivity <" and "selectivity >" are treated as "selectivity =" for heat map coloring. **C,** Graphical representation of the heat map in **B**. "Selectivity <" and "selectivity >" are treated as "selectivity =" for plotting. N.C., noncalculable.

# *ALK Fusion with Compound Mutations*

We profiled NVL-655 against five xenograft models with *ALK*G1202R compound mutations. Two of these—MGH953-7 (*EML4*–*ALK* v3 G1202R/L1196M) and MR448re (*EML4*–*ALK* v3 G1202R/T1151M)—were derived from patients with NSCLC following relapse on sequential 1G, 2G, and 3G ALK TKI treatments (Supplementary Fig. S6A). Two were generated from Ba/F3 cells expressing *EML4*–*ALK* v1 G1202R/ L1196M or G1202R/G1269A, and the fifth model was generated from NCI-H3122 cells engineered to express *EML4*–*ALK* v1 G1202R/L1196M. These compound mutations were resistant to 1G, 2G, and 3G ALK TKIs *in vitro* [\(Fig. 2C\)](#page-5-0), and lorlatinib treatment had limited to no activity *in vivo* [\(Fig. 4D\)](#page-8-0). By contrast, NVL-655 at ≤1.5 mg/kg induced regression in all five models [\(Fig. 4D](#page-8-0); Supplementary Fig. S14A–S14E). Western blot analysis of tumor samples confirmed inhibition of downstream signaling through the ERK, AKT, or STAT3 axes

as well as upregulation of BIM, indicative of *in vivo* target inhibition and apoptosis activation (Supplementary Fig. S15A and S15B).

In all studies, we observed dose-dependent tumor inhibition by NVL-655 [\(Fig. 4A–D](#page-8-0)) with no significant body weight decrease (Supplementary Figs. S12–14), suggesting that NVL-655 was well tolerated.

# **Preclinical Intracranial Activity**

NVL-655 exhibited an unbound brain-to-plasma partition coefficient (*K*p,uu) of 0.16 in Wistar Han rats, measured at 1 hour after a single oral 10 mg/kg dosage. These *K*p,uu values were comparable with that of lorlatinib  $(K_{p,\text{uu}} = 0.11)$ , a TKI with high CNS penetration ([49](#page-18-17), [50](#page-18-18), [53,](#page-18-21) [63](#page-19-1)).

YU-1077 is a NSCLC PDC harboring *EML4*–*ALK* v3 G1202R established after relapse on alectinib (Supplementary Fig. S6A; ref. [64](#page-19-2)). We implanted YU-1077 cells in the mouse



<span id="page-8-0"></span>**Figure 4.** NVL-655 inhibits subcutaneous ALK-driven tumor xenografts in mice. **A–D,** Change in tumor volume over time plotted as mean ± SEM for models harboring *ALK* fusion with a WT kinase domain (**A**), G1202R single mutation (**B**), I1171N single mutation (**C**), or G1202R compound mutations (**D**). Horizontal gray lines denote mean starting tumor volume of the vehicle group. All treatments were administered orally twice daily, except alectinib 50 mg/kg and lorlatinib 10 mg/kg, which were administered orally once daily. Each group contained 4–9 mice.

brain and monitored tumor burden via MRI. The tumor grew ∼100-fold in size within 2 weeks after treatment initiation, and all vehicle-treated mice succumbed within 5 weeks, with a median overall survival (mOS) of 4 weeks [\(Fig. 5A–C](#page-9-0); Supplementary Fig. S16A). Treatment with alectinib at 10 mg/kg once daily provided no antitumor activity. By contrast, NVL-655 at 0.5 and 1.5 mg/kg suppressed brain tumors and extended the survival of all mice to beyond the end of the study (week 8), representing a >2-fold increase in mOS. No tumor cells were detected by hematoxylin and eosin staining of brain slices in the NVL-655 treatment groups at week 3; however, MRI reconstruction indicated residual tumors of ~0.5 mm<sup>3</sup> throughout the study ([Fig. 5B](#page-9-0); Supplementary Fig. S16B).

We also intracranially implanted Ba/F3 cells coexpressing *EML4*–*ALK* v1 G1202R/L1196M and luciferase, which permitted tumor burden measurement via bioluminescence imaging.

# **RESEARCH ARTICLE**



<span id="page-9-0"></span>**Figure 5.** NVL-655 inhibits intracranial ALK-driven tumor xenografts in mice. **A–D,** NVL-655 inhibited tumor growth in the YU-1077 intracranial model. **A,** Superimposed MRI images from individual mice with brain tumor masses highlighted in cyan. **B,** Brain tumor volume over time based on MRI reconstruction, plotted as mean ± SEM. Horizontal gray line indicates initial tumor volume of the vehicle group. \* indicates that six mice remained at the final treatment timepoint in the alectinib treatment group. **C,** Survival analysis. **D–G,** NVL-655 inhibited the Ba/F3 *EML4*–*ALK* v1 G1202R/L1196M luciferase intracranial model. **D,** Bioluminescence images indicating a change in tumor burden over 10 days of treatment. **E,** Brain bioluminescence over time plotted as mean ± SEM. Plotting for each treatment group stopped when the first animal was lost. Horizontal gray line indicates initial bioluminescence of the vehicle group. **F,** Body weight over time plotted as mean ± SEM. Horizontal gray line indicates initial body weight. **G,** Survival analysis. All treatment was administered orally twice daily, except alectinib 10 mg/kg, which was administered orally once daily.

This tumor showed a ∼100-fold gain in brain bioluminescence signal within 10 days after treatment initiation and metastasized to peripheral organs [\(Fig. 5D](#page-9-0) and [E\)](#page-9-0), resulting in rapid weight decrease and loss of all vehicle-treated mice by day 18 with an mOS of 15 days [\(Fig. 5F](#page-9-0) and [G](#page-9-0)). NVL-655 suppressed brain tumor growth in a dose-dependent manner and, at 4.5 mg/kg dosing, extended mOS to beyond the end of the study on day 65, representing a >4-fold survival improvement.

# **Preliminary Proof-of-concept Clinical Activity**

Preliminary results from the ongoing phase I dose-escalation portion of the first-in-human ALKOVE-1 study provide preliminary clinical proof-of-concept for the design of NVL-655 [\(65\)](#page-19-3). Preliminary clinical activity has been demonstrated in patients with *ALK*+ NSCLC, including those with brain metastases and single or compound *ALK* resistance mutations, with a favorable preliminary safety profile consistent with its ALK-selective, TRK-sparing design. Herein, we present three case studies from this trial in which intracranial and

extracranial activities have been observed in heavily pretreated patients with *ALK*+ NSCLC, including two with detectable *ALK*G1202R compound mutations.

# *Case Study 1*

A 66-year-old patient diagnosed with stage IV lung adenocarcinoma with multiple lung, bone, and hepatic metastases had an *EML4*–*ALK* fusion identified in plasma ctDNA. The patient received alectinib followed by lorlatinib, each associated with tumor response and subsequent hepatic progression. The patient then received carboplatin and pemetrexed followed by docetaxel and nintedanib, with lung and hepatic progression as the best response to both treatments. Central ctDNA analysis performed at entry to the ALKOVE-1 study showed the *EML4*–*ALK* v3 fusion as well as a confirmed cis-allelic *ALK*G1202R/F1174C compound mutation. The patient initiated NVL-655 at 150 mg once daily and experienced immediate improvement in fatigue. Follow-up ctDNA analysis revealed complete clearance of the *ALK* fusion and mutant





**Figure 6.** Preliminary clinical activity of NVL-655. **A,** Left, Representative CT images demonstrating a confirmed PR to NVL-655 in a patient with *EML4–ALK v3* fusion*–*positive lung adenocarcinoma with *ALK*G1202R/F1174C compound resistance mutation after prior alectinib, lorlatinib, and chemotherapy. The patient received NVL-655 at 150 mg once daily. Yellow circles indicate liver metastases (top) and mesenteric and retroperitoneal lymph nodes (bottom) that decreased in size over the course of treatment. Right, Analysis of ctDNA indicated clearance of *ALK* fusion and mutation alleles. Not detected is imputed as 0% for graphing. **B,** Left, Representative CT images demonstrating a confirmed PR to NVL-655 in a patient with *EML4–ALK* v3 fusion*–*positive lung adenocarcinoma with *ALK*G1202R and *ALK*G1269A resistance mutations after prior alectinib, brigatinib, lorlatinib, and chemotherapy. The patient received NVL-655 at 150 mg once daily. Yellow arrows indicate lung metastases that became barely appreciable. Right, Analysis of ctDNA indicated clearance of *ALK* fusion and mutation alleles. Not detected is imputed as 0% for graphing. **C,** Representative images of MRI demonstrating a confirmed CNS CR to NVL-655 in a patient with *EML4–ALK v1* fusion*–*positive lung adenocarcinoma without baseline detectable resistance mutation after prior crizotinib, ceritinib, lorlatinib, and chemotherapy. The patient received NVL-655 at 50 mg once daily. Yellow arrows indicate multiple brain metastases at baseline which completely disappeared after 6 weeks of therapy.

<span id="page-10-0"></span>at 6 weeks demonstrated a partial response (PR) by RECIST v1.1, including significant reduction in the size of liver metastases and mesenteric and retroperitoneal lymph nodes. As of the data cutoff, the patient has received NVL-655 for approximately 9 months with a confirmed PR (−64% by RECIST v1.1; [Fig. 6A](#page-10-0)) and no reported toxicities.

# *Case Study 2*

A 62-year-old patient was diagnosed with stage IV lung adenocarcinoma harboring an *EML4*–*ALK* fusion. The patient was treated with alectinib, brigatinib, and lorlatinib sequentially, followed by chemotherapy with carboplatin, pemetrexed, and bevacizumab. Following disease progression, the patient was enrolled into the ALKOVE-1 study. Baseline central ctDNA analysis detected an *EML4*–*ALK* v3 fusion as well as *ALK*G1202R and *ALK*G1269A mutations. A cis-allelic configuration could not be confirmed as the two codons reside on different exons and are not covered by the same sequencing reads. NVL-655 was initiated at 150 mg once daily. Follow-up ctDNA

analysis demonstrated complete clearance of the *ALK* fusion and mutant alleles by 6 weeks [\(Fig. 6B](#page-10-0)). Imaging after 6 weeks of therapy showed a PR (−82% by RECIST 1.1) with marked reduction of disease burden in the lungs. As of the data cutoff, the patient continues on NVL-655 at approximately 5 months with an ongoing confirmed PR [\(Fig. 6B\)](#page-10-0) and has tolerated treatment well without grade  $\geq$  3 toxicity.

# *Case Study 3*

A 47-year-old patient diagnosed with stage IV lung adenocarcinoma with multiple lung, hepatic, and infradiaphragmatic lymph node metastases had tumor ALK protein expression detected by IHC. The patient received—in sequence—crizotinib with CNS and hepatic progression at 1 month, cisplatin and pemetrexed with hepatic progression, ceritinib requiring dose reductions due to hepatic toxicity and with eventual CNS progression, and lorlatinib with initial CNS complete response (CR) and systemic PR followed by multifocal CNS progression and adrenal oligoprogression. Next-generation sequencing of

the lorlatinib-refractory adrenal tumor showed *EML4*–*ALK* v1, with no known *ALK* resistance mutation. The patient then received datopotamab deruxtecan on a clinical trial. Upon multifocal CNS progression with the emergence of new brain metastases, the patient was enrolled in the ALKOVE-1 study and initiated NVL-655 at 50 mg once daily. Central ctDNA analysis did not detect *ALK* alterations in plasma, although we cannot exclude the possibility that such alterations were present but not detected. Imaging at week 6 demonstrated a CNS CR with complete resolution of multiple parenchymal brain metastases [\(Fig. 6C\)](#page-10-0) and an overall response of PR (−31% of target lesions). Therapy was briefly interrupted because of grade 3 transaminase elevations without total bilirubin elevation that resolved after 2 weeks. NVL-655 was then restarted at the same dose. The patient remains on therapy for approximately 9 months as of the data cutoff with a confirmed overall PR (−50% by RECIST v1.1) and a sustained CNS CR without recurrence of transaminase elevations.

#### *Estimated TRKB Inhibition in Humans*

The steady-state maximum plasma-free drug concentrations were 483 nmol/L for lorlatinib (100 mg once daily; ref. [23\)](#page-17-11) and 40 nmol/L for NVL-655 (150 mg once daily; ref. [65\)](#page-19-3). These concentrations correspond to 31% and 3% estimated TRKB inhibition, respectively, based on *in vitro* dose–response curves (Supplementary Fig. S17). This pharmacokinetic (PK) analysis supports the potential of NVL-655 to avoid TRKB inhibition relative to lorlatinib at similar recommended dosages in humans and is consistent with findings in mice administered a single 10 mg/kg oral dose of lorlatinib or NVL-655 (Supplementary Fig. S17).

# **Discussion**

ALK TKIs exemplify generational improvements in drug discovery and belong to a growing list of targeted therapies, including BCR–ABL, EGFR, and ROS1 inhibitors ([49,](#page-18-17) [66](#page-19-4), [67\)](#page-19-5), that have traced parallel paths in multigenerational drug development. A common theme among these programs is the identification of medical needs left by earlier-generation therapies and the subsequent drug design effort to address those needs. Earlier-generation therapies have been limited by a number of factors, such as innate or acquired drug resistance, toxicity, and suboptimal PK properties, including inadequate brain penetration, which may collectively render the drugs ineffective or necessitate dose modifications that eventually lead to disease progression. By bridging the gap left by its predecessors, a next-generation therapy can re-induce tumor responses and may ultimately supplant an earlier-generation therapy as the standard of care due to improved response durability, CNS activity, and tolerability, as exemplified by the development of 3G EGFR TKI osimertinib in *EGFR*-mutant lung cancers ([67](#page-19-5)).

Progress has been evident across the three generations of approved ALK TKIs. Compared with the 1G TKI crizotinib, 2G TKIs such as alectinib, brigatinib, and ensartinib offer enhanced potency, coverage of certain resistance mutations, and brain penetrance that altogether resulted in increased mPFS [\(20–](#page-17-12)[22,](#page-17-15) [68,](#page-19-6) [69\)](#page-19-7). The 3G TKI lorlatinib covers a wider mutational spectrum while achieving high brain penetrance,

translating to a lower HR versus crizotinib than what had been achieved with 2G TKIs ([23](#page-17-11)). These data have established 2G and 3G ALK TKIs as the preferred first-line therapy in advanced *ALK*+ NSCLC [\(70\)](#page-19-8). For patients experiencing disease relapse on initial therapy with a 2G ALK TKI, lorlatinib represents a subsequent option as it has induced tumor responses in patients with *ALK* single mutations ([38](#page-18-8)). However, clinical experiences have underscored two noteworthy deficiencies for lorlatinib. First, in relapsed patients with preexisting *ALK* single mutations, subsequent lorlatinib therapy can result in the development of compound *ALK* mutations refractory to most or all approved ALK TKIs. Second, CNS effects attributed to TRK inhibition have been observed in patients treated with lorlatinib [\(41,](#page-18-11) [42](#page-18-12)), consistent with its limited *in vitro* selectivity for ALK single mutations, in particular G1202R, over TRK. Motivated by these medical needs, NVL-655 was designed to surpass the limitations of existing ALK TKIs by combining potent activity against ALK single and compound mutations with high brain penetrance and selectivity over TRK.

NVL-655 is active against a diverse set of ALK-mutant oncoproteins, including ALKG1202R single and compound mutations, for which it was specifically designed. The potency against ALK<sup>G1202R</sup> solvent-front mutation is noteworthy, as (i) it is the most common on-target resistance mutation following relapse on standard 2G ALK TKIs ([33,](#page-18-5) [35](#page-18-6)); (ii) although lorlatinib has demonstrated clinical efficacy against  $ALK^{\text{G1202R}}$ [\(38\)](#page-18-8), neurologic and other toxicities may necessitate dose re-ductions ([71](#page-19-9)); and (iii)  $ALK$ <sup>G1202R</sup> compound mutations are recurrent after sequential 2G and 3G ALK TKIs and are particularly refractory, with no subsequent options for effective targeting [\(35](#page-18-6), [39\)](#page-18-9). NVL-655 was the most potent among eight approved or investigational ALK TKIs in nearly all the cell lines and ALK mutations tested. This *in vitro* activity translated into antitumor activity across diverse *ALK*+ xenograft models, including those derived from patients who had relapsed on 2G and/or 3G therapies and those harboring single or compound resistance mutations. Concordant with these results, NVL-655 has demonstrated activity in patients who have experienced disease progression on multiple ALK TKIs including lorlatinib, with or without single or compound *ALK* resistance mutations.

NVL-655 is also active against the less common *ALK* resistance mutations I1171N/S/T, L1196M, and G1269A, albeit with a reduced potency compared with its activity against WT ALK fusions. Hypotheses have been proposed based on computational studies for how these mutations confer resistance to other ALK TKIs. The ALK<sup>I1171N/S/T</sup>  $\alpha$ C-helix mutations are proposed to disrupt the hydrophobic regulatory spine, electrostatically alter the binding pocket, and increase ATP affinity, shifting the kinase into an active state ([72](#page-19-10)[–74\)](#page-19-11). The ALKL1196M gatekeeper mutation is proposed to increase steric hindrance and/or affect the activation loop and its interaction with the  $\alpha$ C-helix, disrupting ligand binding ([75](#page-19-12)-77), and the ALKG1269A mutation is proposed to increase steric hindrance [\(75](#page-19-12)[,78](#page-19-14)). Resistance to ALK TKIs can be mediated by on-target or bypass mechanisms [\(79\)](#page-19-15). NVL-655 has reduced activity against the *ALK*<sup>I1171N/D1203N</sup> compound mutation, which has been observed in patients progressing on lorlatinib [\(35](#page-18-6)). Additionally, as an ALK-selective TKI, NVL-655 is not predicted to prevent bypass resistance mechanisms that have been observed with other ALK TKIs, such as *MET* amplification and *BRAF*V600E mutation [\(80\)](#page-19-16).

*ALK*+ NSCLC has a high incidence of brain metastases ([25](#page-18-0)[–28\)](#page-18-2), and the CNS represents a recurrent site of disease relapse on existing ALK TKIs, including 2G and 3G TKIs [\(30,](#page-18-29) [37](#page-18-30), [81,](#page-19-17) [82](#page-19-18)). Once patients experience intracranial progression on lorlatinib, options to reestablish CNS disease control are extremely limited. In addition, some primary brain tumors are driven by *ALK* [\(6](#page-17-16)). Consistent with our design, NVL-655 was efficacious in intracranial models of *ALK*G1202R and *ALK*G1202R/L1196M and induced an intracranial CR in a patient (case study 3, no detected *ALK* resistance mutation) who had experienced intracranial progression on multiple ALK TKIs including lorlatinib.

To spare TRK and thereby minimize TRK-associated neurologic toxicities, NVL-655 presents an *N*-ethyl substituent that is positioned to clash with TRKA Tyr591 and TRKB/C Tyr619 while accommodating the smaller Leu1198 at the analogous position in ALK. This differential interaction might explain the observed selectivity over TRK and contribute to the broader kinome selectivity, as 60% of kinases harbor a Tyr at this position ([50](#page-18-18)). Due in part to this feature, NVL-655 inhibited ALK, ALKG1202R, and ALKG1202R compound mutations 88-fold to >874-fold more potently than TRKB *in vitro*. This favorable potency differential should enable selection of a clinical NVL-655 dose that can strongly inhibit ALK oncoproteins in tumors without significant inhibition of TRK in the nervous system. Indeed, in the patient case studies reported here, NVL-655 induced responses without accompanying CNS effects attributed to off-target TRK inhibition. However, further study in more patients and with longer follow-up is needed to determine the extent—or the lack thereof—of neurologic adverse events; this is being assessed in the ongoing phase I/II clinical trial.

Despite the remarkable progress in targeting ALK fusions in lung cancer, important medical needs persist. NVL-655 has been developed to fulfill these needs and offers potential best-in-class features that integrate broad single and compound ALK mutation coverage, CNS penetrance, and selectivity aimed at minimizing clinical toxicities. These features, together with the encouraging early reports of clinical activity and safety in the ongoing first-in-human ALKOVE-1 trial, suggest that NVL-655 has the potential to improve outcomes for patients with ALK-driven cancers.

# **Methods**

#### *Compounds*

Reference compounds were purchased from commercial sources: crizotinib (Combi-Blocks #QE-4059; MedChemExpress #HY-50878; Selleckchem #S1068), ceritinib (Ark Pharm Inc. #A-1739; Selleckchem #S7083; Anhui Senrise Technology #E120465), alectinib (Advanced ChemBlocks #I-9209; MedChemExpress #HY-13011; Selleckchem #S2762; Chemgood #C-1109), brigatinib (Advanced ChemBlocks # L13974; AstaTech #43213; MedChemExpress #HY-12857; Selleckchem #S8229), ensartinib (MedChemExpress #HY-103714; Wuhan Yongcan Biotechnology #184321; Selleckchem #S2934), lorlatinib (Ark Pharm Inc. #AK175603; eNovation Chemicals #Y0975931; Selleckchem #S7536; MedChemExpress

#HY-12215; Chemgood #C-1260), zotizalkib (Pharma Resources; Shanghai Biochempartner #BCP42131), palbociclib (MedChem-Express #HY-50767), and Z-VAD-FML (MedChemExpress #HY-16658). NVL-655 was identified through a medicinal chemistry effort to balance potent activity against ALK and a range of ALK resistance mutations with avoidance of TRK inhibition. Synthesis of NVL-655 can be found in the International Patent Application Publication WO 2021/226269, Example 73. Calculator Plugins were used for structure property prediction and calculation, Mar-vin 19.20.0, 2019, Chemaxon [\(http://www.chemaxon.com\)](http://www.chemaxon.com/):  $logD<sub>7.4</sub>$ , TPSA, and predicted basic pKa.

# *X-ray Crystallography*

*Protein Production for Crystallography.* For structure analysis, a crystallization system was developed for *ALK*G1202R/L1196M, in which the kinase domain was fused to an *N*-terminal TEV-cleavable His-GST fusion tag. The protein was produced as previously published ([83](#page-19-19)). In brief, the gene was expressed in baculovirus-infected insect cells, and the protein was purified by a three-step procedure comprising affinity and size exclusion chromatography. Protein for crystallization was concentrated to 10 mg/mL in crystallization buffer (500 mmol/L NaCl, 5.00 %v/v glycerol, 2 mmol/L dithiothreitol, and 20 mmol/L Tris-HCl pH 8).

*Crystallization and Structure Analysis.* Crystals of ALK in complex with NVL-655 were grown by mixing protein solution (5.5 mg/ mL + 2 mmol/L NVL-655) with reservoir solution [16%–22% (w/v) polyethylene glycol 3350 and 0.15 mol/L buffer solution pH 7.0–7.5 at a 1:1 ratio] using the sitting drop vapor diffusion method at 293 K. Before flash freezing in liquid nitrogen, crystals were cryoprotected by being immersed in reservoir solution supplemented with 20% (v/v) polyethylene glycol 200. Diffraction data of the complex were collected at the Swiss Light Source. The crystals belonged to space group P  $2_1$   $2_1$   $2_1$  containing one molecule per asymmetric unit. The structure was solved to a final resolution of 1.58 Å. The phase information necessary to determine and analyze the structure was obtained by molecular replacement ([2](#page-17-1)) using a previously solved structure of ALK as a search model. Subsequent model building and refinement were performed according to standard protocols using CCP4 and COOT ([3\)](#page-17-2). Ligand parametrization and generation were carried out using CORINA ([4](#page-17-3)). The water model was built using the "find waters2" algorithm of COOT, followed by refinement using REFMAC ([5](#page-17-17)) and checking all waters using the validation tool of COOT. Full data collection, processing, and refinement statistics for the structure of NVL-655 bound to human ALK are given in Supplementary Fig. S1A. Data collection and model statistics are shown in Supplementary Fig. S1A. The atomic coordinates and structure factors have been deposited in the Protein Data Bank, [www.pdb.org](http://www.pdb.org) (PDB ID code 9GBE).

#### *Computational Modeling*

TRKB [PDB: 4AT3 [\(84](#page-19-20))] was superimposed onto the X-ray structure of NVL-655 in *ALK*G1202R/L1196M using the Schrodinger Maestro binding-site alignment protocol to illustrate the proximity between NVL-655 and *TRKB*Y619. NVL-655 was not separately docked onto TRKB. All residue numbering is based on the canonical amino acid sequence entries on the UniProt database: ALK (Q9UM73), TRKA (P04629-1), TRKB (Q16620-1), TRKC (Q16288-1), ROS1 (P08922), and LTK (P29376-1).

#### *Biochemical Kinase Activity Assay*

The activity of purified kinases was measured using the Phospho-Sens assay (AssayQuant Technologies; ref. [85](#page-19-21)). For testing site 1A, test compounds were dissolved in DMSO to 100-fold over the desired

concentration and dispensed at 250 nL into a 384-well plate in a threefold dilution series. A 12.5 µL solution containing 2 mmol/L ATP with 26 µmol/L fluorogenic peptide substrate AQT0101 (AssayQuant Technologies #AQT0101; paired with ALK and ALKG1202R/L1196M) or AQT0104 (AssayQuant Technologies #AQT0104; paired with TRKA, TRKB, and TRKC) in buffer [50 mmol/L 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) pH 7.5, 0.01% Brij-35, 0.5 mmol/L ethylene glycol-bis(β-aminoethyl ether)-  $N$ , $N$ , $N'$ , $N'$ -tetraacetic acid (EGTA), and 10 mmol/L  $MgCl<sub>2</sub>$ ] was added to the plate. The reaction was triggered by the addition of a 12.5 µL solution containing 3 nmol/L ALK (Carna #08-518), 10 nmol/L ALKG1202R/L1196M (SignalChem #NP20-118G), 1 nmol/L TRKA (BPS Bioscience #40280), 3 nmol/L TRKB (SignalChem #N17-11G), or 0.5 nmol/L TRKC (BPS Bioscience #40282) kinase domains in buffer (50 mmol/L HEPES pH 7.5, 0.01% Brij-35, 2% glycerol, 0.4 mg/mL BSA,  $0.5$  mmol/L EGTA, and  $10$  mmol/L MgCl<sub>2</sub>). The final concentrations were 1 mmol/L ATP, 13 µmol/L AQT0101 or AQT0104, 0.5 to 5 nmol/L kinase (1.5 nmol/L ALK, 5 nmol/L ALKG1202R/L1196M, 0.5 nmol/L TRKA, 1.5 nmol/L TRKB, or 0.5 nmol/L TRKC), 50 mmol/L HEPES pH 7.5, 0.01% Brij-35, 1% glycerol, 0.2 mg/mL BSA,  $0.5 \text{ mmol/L}$  EGTA, and  $10 \text{ mmol/L}$  MgCl<sub>2</sub>.

For testing site 1B, test compounds were dissolved in 10% DMSO in water to 10-fold over the desired concentration and dispensed at 2.5 µL into a 384-well plate in a threefold dilution series. Aqueous buffer was added to each well such that the final volume was 20 µL, and the final concentrations were 15 µmol/L AQT0101 (AssayQuant Technologies #AQT0101), 1 mmol ATP, 1 mmol DTT, 0.5 mmol EGTA, 50 mmol/L HEPES pH 7.5, 10 mmol/L  $MgCl<sub>2</sub>$ , 0.01% Brij-35, and 0.5 to 5 nmol/L kinase: 5 nmol/L ALKT1151insT (SignalChem # A19-12G), 0.5 nmol/L ALKT1151M (SignalChem # A19-12BG), 0.5 nmol/L ALKL1152R (SignalChem # A19-12CG), 0.5 nmol/L ALK<sup>C1156Y</sup> (SignalChem # A19-12DG), 0.5 nmol/L ALK<sup>I1171N</sup> (Carna # 08-566), 0.5 nmol/L ALKI1171S (Carna # 08-569), 1 nmol/L ALKI1171T (Carna # 08-590), 1 nmol/L ALKF1174L (SignalChem # A19-12EG), 0.5 nmol/L ALKF1174S (SignalChem # A19-12FG), 1.5 nmol/L ALKV1180L (Carna # 08-570), 1 nmol/L ALKL1196M (SignalChem # A19-12GG), 1 nmol/L ALKL1198F (Carna # 08-571), 1 nmol/L ALKG1202R (Carna # 08-544), 1.5 nmol/L ALKD1203N (Carna # 08-583), 1 nmol/L 5 nmol/L ALKS1206R (SignalChem # A19-12IG), ALKG1269A (SignalChem # A19-12JG), 0.5 nmol/L ALKG1269S (SignalChem # A19-12KG), or 2 nmol/L ALK $R1275Q$  (SignalChem # A19-12LG).

For both testing sites, the plate was sealed, and the fluorescence signal was recorded using a plate reader at  $\lambda_{\text{emission}} = 485$  nm every few minutes for up to 240 minutes at 30°C. The change in fluorescence intensity over time during the initial, linear phase of the reaction is the initial velocity.  $IC_{50}$  was calculated from the plot of initial velocity versus log (inhibitor concentration) regressed to the four-parameter logistic equation.

# *Kinase Panel Screening*

Inhibition of kinase activity was measured using radiolabeled [y-<sup>33</sup>P]-ATP (Reaction Biology). A solution containing [y-<sup>33</sup>P]-ATP was mixed with NVL-655 (100 nmol/L or 1 μmol/L), each of the 335 kinases, and the corresponding kinase substrate, with ATP at the concentration close to the Michaelis–Menten constant (*K*M). The reaction was stopped after 1 hour, and the incorporation of 33P was quantified using a scintillation counter. Inhibition was measured by the residual activity of 33P. Based on the 335-kinase screen, 31 kinases showing  $>50\%$  inhibition were selected for focused IC<sub>50</sub> determination using the same assay at 10 concentrations of NVL-655 ranging from 3  $\mu$ mol/L to 0.09 nmol/L. IC<sub>50</sub> was determined from the plot of residual activity against log (inhibitor concentration) regressed to a four-parameter logistic equation. Kinome map illustration was reproduced courtesy of Cell Signaling Technology, Inc. ([www.cellsignal.](http://www.cellsignal.com/) [com;](http://www.cellsignal.com/) ref. [86](#page-19-22)).

#### *Cell Culture*

The MGH026-1, MGH048-1, and MGH064-1 cell lines were developed from malignant pleural effusions of TKI treatment–naïve patients with NSCLC harboring *EML4*–*ALK* rearrangement. The MGH045-1, MGH953-4, MGH9037-2, and MGH953-7 cell lines were developed from a surgical biopsy of pleural tumor (for MGH045-1 only) or from malignant pleural effusions (for the remaining three cell lines) of patients with NSCLC with *EML4*–*ALK* rearrangement who relapsed on prior ALK TKI treatments as indicated in Supplementary Fig. S6A. Prior to model generation, the patients signed written informed consent to participate in a Dana-Farber/Harvard Cancer Center Institutional Review Board–approved protocol, providing permission for research to be performed on their samples. Cell lines were cultured in RPMI1640 + 10% FBS or DMEM + 10% FBS and were sequenced to confirm the presence of *ALK* rearrangement and/or mutation. Additional authentication was performed by SNP fingerprinting.

After receiving written informed consent from the patent, the MR448re PDC was established from ascitic fluid of a patient with NSCLC following relapse on sequential crizotinib, alectinib, brigatinib, and lorlatinib treatment. Ascitic mononuclear cells were isolated by Ficoll centrifugation and cultured in "TCM" media containing DMEM/F-12, GlutaMAX, 10% FBS, 0.4 μg/mL hydrocortisone, 8.4 ng/mL cholera toxin, 24 μg/mL adenine, and 5 μmol/L Y-27632 (a ROCK inhibitor; Selleckchem # S1049). After stable cancer cell growth was obtained *in vitro*, culture media were transitioned to DMEM + 10% FBS. The presence of driver alterations was confirmed by RT-PCR and Sanger sequencing.

After receiving written informed consent from the patent, the YU-1077 PDC was established from the pleural effusion of a patient with NSCLC after progression on alectinib and during subsequent ceritinib treatment for 1 month. The patient's pleural effusion samples were centrifuged at 500× *g* for 10 minutes at 25°C and resuspended in PBS. Cells were separated with Ficoll-Paque PLUS solution as per protocol. The interface containing the mononuclear cells was washed twice in Hank's Balanced Salt Solution and plated on collagen IV–coated plates in RPMI medium supplemented with 10% FBS. Sanger sequencing was performed to determine whether cells maintained patient characteristics. FACS staining of EpCAM confirmed PDCs with more than 99% cancer purity. Sanger sequencing confirmed *EML4–ALK* v3 and G1202R mutations.

Ba/F3 cells were provided by the RIKEN BRC through the National Bio-Resource Project of the MEXT, Japan, and were maintained in RPMI1640 + 10% FBS in the presence of IL3 (10 ng/mL). Genes encoding human *EML4–ALK* v1 (WT, G1202R, G1202R/L1196M, G1202R/L1198F, G1202R/G1269A, T1151instT, C1156Y, I1171N, I1171S, I1171T, V1180L, L1196M, L1196Q, L1198F, G1202del, D1203N, S1206F, S1206Y, E1210K, G1269A, I1171N/L1198F, or I1171N/D1203N), *CD74*–*ROS1* (WT, G2032R, or L2086F), *CLIP1*– *LTK*, *TPM3*–*TRKA*, *ETV6*–*TRKB*, *ETV6*–*TRKC*, or *TRKB* (full-length) were synthesized, cloned into a retroviral vector with a puromycinresistant marker, and packaged into retroviral particles. The virus was used to infect Ba/F3 cells. Stable cell lines were selected by IL3 withdrawal and with puromycin for at least 7 days. The polyclonal culture was used in assays directly, or monoclonal cultures were established through limiting dilution before being used in assays. Successful transformants were confirmed by Sanger sequencing and Western blot. All cells were confirmed to express the full desired protein. Although rendering Ba/F3 *CD74*–*ROS1* and *ETV6*–*TRKC* cells IL3-independent, the genes underwent a spontaneous C-terminal frameshift past the kinase domain that was deemed inconsequential to the activity or sensitivity of the kinase domains.

Alternatively, Ba/F3 cells were purchased from DSMZ and cultured in DMEM + 10% FBS in the presence of IL3 (0.5 ng/mL) and were infected with lentiviral constructs according to the manufacturer's protocol (Thermo Fisher Scientific # K4955) to express *EML4*–*ALK* v3 (WT, G1202R, G1202R/T1151M, G1202R/F1174L, T1151M, or F1174L). Infected Ba/F3 cells were selected in the presence of blasticidin (14 mg/mL) and IL3 (0.5 ng/mL) followed by IL3 withdrawal. *EML4*–*ALK* fusion and kinase domain mutations were confirmed by Sanger sequencing.

A431, A549, Aska-SS, Karpas299, Kelly, NB-1, NCI-H2228, NCI-H3122, and SH-SY5Y cell lines were obtained at Pharmaron, except for the Western blot experiment (Supplementary Fig. S8) in which NCI-H3122 was purchased from ATCC. Cell lines were cultured in the following media: A431 (DMEM + 10% FBS), A549 (F12K + 10% FBS), Aska-SS (DMEM + 20% FBS), Karpas299 (RPMI + 10% FBS), Kelly (RPMI + 10% FBS + 1% GlutaMAX), NB-1 (RPMI + 10% FBS), and SH-SY5Y (1:1 mixture of F12K:EMEM + 10% FBS). NCI-H3122 was transduced with the *EML4*–*ALK* v1 G1202R/L1196M construct (same as used for Ba/F3), selected for 2 weeks on puromycin, expanded monoclonally, and confirmed by Sanger sequencing and Western blot.

All cells were maintained at  $37^{\circ}$ C with 5% CO<sub>2</sub>. All cells were inspected for *Mycoplasma* contamination using ABM PCR Mycoplasma Detection Kit (Cat #G238) or the Lonza MycoAlert Detection Kit (LT07-710) at a frequency of at least once per 3 months, with the following exceptions. YU-1077 cells were inspected for *Mycoplasma* contamination prior to experimentation using Myco-Read *Mycoplasma* Polymerase Chain Reaction (PCR) Detection Kit (Cat #SMD0171). MR448re cells were not tested for *Mycoplasma* contamination but were not maintained in culture for more than 2 months after establishment or thawing.

#### *Cell Viability Assay*

For testing site 2A, 2,000 to 5,000 cells (MGH026-1, MGH048-1, MGH064-1, MGH045-1, MGH953-4, MGH9037-2, and MGH953-7) were plated in triplicate into 96-well plates. Cells were incubated with CellTiter-Glo (Promega) after 5-day drug treatment, and luminescence was measured using a SpectraMax M5 Multi-Mode Microplate Reader (Molecular Devices, LLC). GraphPad Prism (GraphPad Software) was used to graphically display data and determine  $IC_{50}$  values by a nonlinear regression model utilizing a four-parameter analytic method.

For testing site 2B, cells (MR448re and engineered Ba/F3 *EML4*– *ALK* v3) were tested in cell viability assays in 96-well plates using the CellTiter-Glo reagent (G7570, Promega) after a 72-hour (Ba/F3) or 144-hour (MR448re) treatment. Data were normalized to DMSO vehicle wells, and the IC<sub>50</sub> was determined using GraphPad Prism.

For testing site 2C, about 3,000 YU-1077 cells were seeded in 96 well plates in growth media and incubated overnight at 37°C before adding serially diluted inhibitors. Cells were incubated at 37°C for 72 hours before performing CellTiter-Glo (G924C, Promega) following the manufacturer's protocol. Dose-response curves and  $IC_{50}$  values were calculated using GraphPad Prism 10 (GraphPad Software, Inc.).

For testing site 2D, cells (A431, A549, Aska-SS, Karpas299, Kelly, NB-1, NCI-H2228, NCI-H3122, SH-SY5Y, and engineered NCI-H3122 and Ba/F3 *EML4*–*ALK* v1 cells) were seeded into 384-well plates, and test compounds were added in a threefold dilution series in complete culture medium containing 10% FBS. For cell viability of parental Ba/F3 cells supplemented with IL3, 10 ng/mL IL3 was used. After a 72-hour incubation with the inhibitor, cell viability was measured using the CellTiter-Glo reagent (Promega). Untreated wells served as negative controls (no inhibition), whereas wells treated with high concentrations of the nonspecific kinase inhibitor staurosporine served as positive controls (full inhibition).  $IC_{50}$  was calculated from percent inhibition and log (inhibitor concentration) using fourparameter logistic regression.

In some human cancer cell lines, we observed a biphasic dose– response behavior. We attributed the first dose response to on-target growth inhibition caused by ALK inhibition and the second dose response to off-target cytotoxicity caused by other pathways beyond ALK. In such cases, only the first  $IC_{50}$  (Supplementary Fig. S4) and its associated plateau (*E*max; Supplementary Fig. S5) are reported and indicate on-target ALK inhibition.

# *Western Blot and Cellular Phosphorylation Assays*

For testing site 3A, cells (NCI-H3122, MGH045-1, MGH953-4, and MGH953-7) were treated for 6 hours. Total protein lysates were analyzed by Western blotting with the following antibodies (all from Cell Signaling Technology): pALK Y1282/1283 (#9687), pALK Y1604 (#3341), ALK (#3633), pAKT S473 (#4060), AKT (#4691), pERK1/2 T202/Y204 (#9101), ERK1/2 (#9102), pS6 S240/244 (#5364), S6 (#2217), and β-actin (#4970).

For testing site 3B, MR448re cells were treated for 6 hours and were analyzed by Western blotting using the following antibodies (all from Cell Signaling Technology except for β-actin): pALK Y1604 (#3341), ALK (#3333), pAKT S473 (#4060), AKT (#4961), pERK1/2 T202/Y204 (#9101), ERK1/2 (#9102), pS6 S235/S236 (#4858), S6 (#2217), cleaved PARP Asp214 (#9541), and β-actin antibody (Sigma-Aldrich #A1978).

For testing site 3C, YU-1077 cells were treated for 6 hours and were analyzed by Western blotting using the following antibodies (all from Cell Signaling Technology): pALK Y1604 (#3341), ALK (#3363), pAKT S473 (#9271), AKT (#9272), pERK1/2 T202/Y204 (#9101), ERK1/2 (#9102), pS6 S235/S236 (#4858), and S6 (#2217). Cell lysates were centrifuged at 1,300 rpm for 20 minutes at 4°C, and the resultant supernatants were transferred to new tubes. The protein concentration was quantified using a Bradford assay (Bio-Rad). Equal amounts of protein were separated by SDS-PAGE and transferred to the polyvinylidene difluoride membrane. The immunoblots were detected using SuperSignal West Pico Chemiluminescent Substrate (Thermo Fisher Scientific). Statistical analyses were performed using GraphPad Prism 10 software (GraphPad Software, Inc.). Cell line experiments were independently repeated more than three times with technical and biological repeats in each condition.

For testing site 3D, Ba/F3 TRKB cells were seeded into 384-well plates, and test compounds were added in a threefold dilution series in full culture medium + 10% FBS. Cells were stimulated with 100 ng/mL brain-derived neurotrophic factor for 20 minutes. TRK phosphorylation was measured using the phospho-TRKA (Tyr674/ 675)/phospho-TRKB (Tyr706/Tyr707) AlphaLISA reagent (Perkin-Elmer #ALSU-PTRKAB). Untreated wells served as negative controls (no inhibition), whereas wells treated with high concentrations of the nonspecific kinase inhibitor staurosporine served as positive controls (full inhibition).  $IC_{50}$  was calculated from percent inhibition and inhibitor concentration using four-parameter logistic regression.

#### *Cell Cycle and Apoptosis Assays*

Cell-cycle analysis was performed using the FITC BrdU Flow Kit according to the manufacturer's instructions (BD Pharmingen # 559619). Briefly, NCI-H3122, Karpas299, and NB-1 cells were treated with NVL-655 or palbociclib for 24 hours followed by the FITC BrdU solution for 2 hours. Cells were washed, fixed and permeabilized, and treated with DNase, RNase A, and then 7-aminoactinomycin D (7-AAD). Cells were analyzed by flow cytometry (iQue3) with the following gating: sub-G<sub>0</sub>-G<sub>1</sub> phase (low 7-AAD), G<sub>0</sub>-G<sub>1</sub> phase (7-AAD<sup>+</sup>, BrdU<sup>-</sup>), S phase (7-AAD<sup>+/++</sup>, BrdU<sup>+</sup>), and G<sub>2</sub>-M phase (7-AAD<sup>++</sup>, BrdU<sup>-</sup>).

Caspase 3/7 activation was measured using Caspase-Glo 3/7 Assay System according to the manufacturer's instructions (Promega # G8092) after treatment of NCI-H3122, Karpas299, and NB-1 cells with NVL-655 or Z-VAD-FMK for 24 hours. Annexin V and PI staining was performed using the Dead Cell Apoptosis Kits according to the manufacturer's instructions (Invitrogen # V13242). Briefly, NCI-H3122 and NB-1 cells were treated with NVL-655 or Z-VAD-FMK for 24 hours. Cells were washed, treated with FITC annexin V and PI, and analyzed by flow cytometry (iQue3) with the following gating: early apoptosis (annexin V+, PI−) and late apoptosis (annexin V<sup>+</sup>, PI<sup>+</sup>).

# *Subcutaneous Xenograft Studies*

*MGH953-7 Model.* All animal studies were conducted in accordance with the guidelines as published in the Guide for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee (IACUC) of Massachusetts General Hospital. Xenografts were implanted subcutaneously into the flanks of female athymic nude (Nu/Nu) mice aged 6 to 8 weeks. Mice were maintained in laminar flow units in sterile filter-top cages with Alpha-Dri bedding. Mice were randomized into groups once the tumors had attained a volume of 150 mm3. The treatment groups were treated twice a day at approximately 9-hour/15-hour intervals with drug solution dissolved in acid water (lorlatinib) or drug solution dissolved in 20% hydroxypropyl-β-cyclodextrin (HP-β-CD; NVL-655) by oral gavage. Tumor volumes were measured twice weekly and calculated using the formula mm<sup>3</sup> =  $0.52 \times L \times W^2$ . The numbers of mice at the beginning of the study were  $n = 7$  (vehicle),  $n = 8$  (lorlatinib), *n* = 8 (NVL-655, 0.5 mg/kg), and *n* = 9 (NVL-655, 1.5 mg/kg). On day 17 and day 21 timepoints, the numbers of mice were  $n = 6$ (vehicle), *n* = 7 (lorlatinib), *n* = 8 (NVL-655, 0.5 mg/kg), and *n* = 8 (NVL-655, 1.5 mg/kg) due to mouse loss.

*MR448re and MR619 Models.* All animal procedures and studies were performed in accordance with the approved guidelines for animal experimentation by the Ethics Committee at University Paris Sud (CEEA 26, Project 2020\_074\_27871) following EU regulation. Animals were housed under pathogen-free conditions with food and water *ad libitum*. For each model, cancer cells were grafted subcutaneously (1 graft/mouse) in 8-week-old female Swiss nude (MR448re) or male NOD/SCIDγ mice (MR619; Charles River Laboratories) until tumor volume reached 80 to 200 mm3 for the efficacy study or 250 to 400 mm3 for the pharmacodynamics (PD) study. The animals were then randomized for treatment. Treatment was administered once or twice a day (at 8:00 and 18:00 hours), with dosing skipped on weekends. Western blotting was performed using the following antibodies (all from Cell Signaling Technology except for β-actin): pALK Y1604 (#3341), ALK (#3333), pAKT S473 (#4060), AKT (#4961), pERK1/2 T202/Y204 (#9101), ERK1/2 (#9102), pS6 S235/S236 (#4858), S6 (#2217), BIM (#2933), and β-actin antibody (Sigma #A1978). MR619 and MR448re PDCs harbored the corresponding driver fusions and mutations as confirmed by RT-PCR and Sanger sequencing. PDX models were subjected to whole-exome sequencing and wholetranscriptome sequencing for complete characterization.

# *Lu-01-0015 Model*

All experimental procedures were performed according to and with approval from the guidelines of the IACUC of Wuxi AppTec following the guidance of the Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC). Briefly, 6- to 8-week-old female Balb/c nude mice were implanted subcutaneously into the right flank with Lu-01-0015 tumor slices (~30 mm<sup>3</sup>) with Matrigel. In the efficacy study, after tumors grew to an average tumor volume of about 162 mm<sup>3</sup>, mice ( $n = 5$  per group) were randomized and administered vehicle (20% HP-β-CD), lorlatinib, or NVL-655 by oral gavage twice daily (12-hour intervals). In a separate PK and PD study, after tumors grew to an average tumor volume of about 411 mm<sup>3</sup>, mice received a single dose or twice daily  $\times$  5 days of vehicle, lorlatinib, or NVL-655, and tumor and blood were collected at 1 and 12 hours after the last dose. Western blotting was performed using the following antibodies (all from Cell Signaling Technology): pALK Y1604 (#3341), ALK (#3633), and β2-microglobulin (#12851).

#### *NCI-H3122 Models and Ba/F3 Models*

All the procedures related to animal handling, care, and the treatment in this study was performed according to guidelines approved by the IACUC of Pharmaron following the guidance of the AAALAC. Briefly, 6- to 8-week-old female Balb/c nude mice were implanted subcutaneously into the right flank with  $2 \times 10^6$  (NCI-H3122 or NCI-H3122 *EML4*–*ALK* v1 G1202R/L1196M) or 1 × 106 (Ba/F3 *EML4*–*ALK* v1 G1202R, I1171N, G1202R/L1196M, or G1202R/ G1269A) tumor cells. In the efficacy studies, after tumors reached an average tumor volume of approximately 154 to 170 mm<sup>3</sup>, mice were randomized and administered vehicle (20% HP-β-CD), lorlatinib, or NVL-655 by oral gavage twice daily (12-hour intervals). In separate PK and PD studies, after tumors reached an average tumor volume of approximately 420 to 450 mm<sup>3</sup>, mice received a single dose or twice daily × 5 days of vehicle, lorlatinib, or NVL-655, and tumor and blood were collected at 1 and/or 12 hours after the last dose. Western blotting was performed using the following antibodies (all from Cell Signaling Technology): pSTAT3 (#9145), STAT3 (#12640), GAPDH (#97166), pALK Y1604 (#3341), ALK (#3633), pS6 (#4858), S6 (#2217), cleaved PARP Asp214 (#5625), PARP (#9532), and β2-microglobulin (#12851).

*P* values were calculated using the Wilcoxon rank-sum test for tumor volume changes between the first day and the indicated day of treatment in each pairwise comparison. The calculation was performed using an open-access web tool [\(87](#page-19-23)).

#### *Kp,uu and Intracranial Studies*

*Kp,uu.* All the procedures related to animal handling, care, and the treatment in these studies were performed according to guidelines approved by the IACUC of Pharmaron following the guidance of AAALAC. NVL-655 was formulated as 1 mg/mL solution in 20% HP-β-CD in deionized water. NVL-655 was administered as a single oral dose to male Wistar Han rats (*n* = 3 each) at 10 mg/kg. After 1 hour, brain and plasma samples were collected, and brain samples were homogenized in PBS pH 7.4. Brain and plasma samples were precipitated by acetonitrile and centrifugation (4,700 rpm, 15 minutes). Total drug concentrations in the supernatants were quantified by LC/ MS-MS. Unbound fractions were determined using rapid equilibrium dialysis. *K*p,uu was calculated as the ratio between the unbound drug concentration in the brain and unbound drug concentration in the plasma.

*Ba/F3 EML4–ALK v1 G1202R/L1196M Intracranial Model.* All the procedures related to animal handling, care, and the treatment in these studies were performed according to guidelines approved by the IACUC of Pharmaron following the guidance of AAALAC. Ba/F3 EML4–ALK G1202R/L1196M cells were transduced with viral particles containing the firefly luciferase gene and a neomycin-resistant marker. Infected cells were selected on neomycin, and monoclonal cultures were established through limiting dilution. Successful transformants were confirmed by Sanger sequencing and bioluminescence. For the *in vivo* study, 5 × 104 Ba/F3 EML4–ALK G1202R/L1196M luciferase cells were stereotactically implanted into the right forebrains of 6- to 8-week-old female Balb/c nude mice. After 7 days, mice were randomized based on mean bioluminescence signal into four groups of *n* = 10 mice each and received vehicle or NVL-655. Bioluminescence and body weight were measured at regular intervals until the end of the study (65 days after treatment start) or until animals met the criteria for euthanasia.

*YU-1077 Model.* All animal experiments were performed in compliance with the standard animal care conditions by and with approval from the IACUC at Yonsei University College of Medicine. Mouse cages were limited to a maximum of five animals per cage and checked daily for cage cleanliness and sufficient food/water. Briefly, 10,000 YU-1077 cells (diluted in 5 µL PBS) were stereotactically injected into the brain of 7-week-old male Balb/c nude mice. Mice were treated with NVL-655 orally twice daily for 2 weeks. Tumors were evaluated with MRI 1 week after implantation and every subsequent week after treatment with NVL-655. All MRI scans were evaluated volumetrically using OsiriX Lite software (Pixmeo SARL).

# *Clinical Study*

ALKOVE-1 (NCT05384626) is a first-in-human, tumor-agnostic, phase I/II trial of NVL-655 in patients with solid tumors harboring *ALK* rearrangements or activating ALK mutations. All patients provided signed written informed consent for participation. The study was conducted in accordance with the ethical principles of Good Clinical Practice and the Declaration of Helsinki and was approved by the institutional review board/independent ethics committee at each participating site. Case studies are reported as of the data cutoff date of December 21, 2023. The ctDNA next-generation sequencing analysis was conducted with plasma samples collected at cycle 1 day 1 (C1D1, predose), C1D15, and C3D1 using the Guardant360 assay (Guardant Health). Allelic configuration (cis vs. trans) was determined for samples with ≥2 *ALK* mutations on the same exon as previously described ([39](#page-18-9)). For *ALK*G1202R/F1174C, the two mutant codons are in close enough proximity and can be covered by the same sequencing reads, revealing the presence of a cis-allelic configuration.

#### *Data Availability*

No datasets were generated or analyzed in this study.

#### **Authors' Disclosures**

J.J. Lin reports personal fees from C4 Therapeutics, Blueprint Medicines, Mirati Therapeutics, AnHeart Therapeutics, CLaiM Therapeutics, Regeneron, Yuhan, Ellipses Pharma, Daiichi Sankyo, AstraZeneca, and Hyku Biosciences, grants and personal fees from Genentech, Nuvalent, Bayer, Elevation Oncology, Novartis, Turning Point Therapeutics, and Bristol Myers Squibb, grants, personal fees, and other support from Pfizer, grants from Relay Therapeutics, Roche, and Linnaeus Therapeutics, and personal fees and other support from Merus outside the submitted work. J.C. Horan reports employment with and ownership interest in Nuvalent, Inc., and a patent for US11667649 granted, a patent for WO 2023/196900 pending, and a patent for WO 2024/086634 pending. A. Tangpeerachaikul reports employment with and ownership interest in Nuvalent, Inc., and a patent for US11667649 granted, a patent for WO 2023/196910 pending, and a patent for and a patent for WO 2024/086634 pending. M.L. Johnson reports grants from Nuvalent during the conduct of the study, as well as grants and other support from AbbVie, Amgen, Arcus Biosciences, ArriVent Biopharma, AstraZeneca, Boehringer Ingelheim, Bristol Myers Squibb, Daiichi Sankyo, Eli Lilly and Company, Fate Therapeutics, Genentech/Roche, Genmab, GlaxoSmith-Kline, Gritstone Oncology, Immunocore, Janssen, Merck, Mirati Therapeutics, Novartis, Pfizer, Revolution Medicines, Sanofi, and Takeda Pharmaceuticals, grants from Adaptimmune, Array Bio-Pharma, Artios Pharma, Bayer, BeiGene, BerGenBio, BioAtla, Black Diamond, Calithera Biosciences, Carisma Therapeutics, City of Hope National Medical Center, Conjupro Biotherapeutics, Corvus Pharmaceuticals, Curis, CytomX, Dracen Pharmaceutical, Elicio Therapeutics, EMD Serono, EQRx, Erasca, Exelixis, Genocea Biosciences, Harpoon, Helsinn Healthcare, Hengrui Therapeutics, Hutchinson MediPharma, IDEAYA Biosciences, IGM Biosciences, Immuneering Corporation, Immunitas Therapeutics, IMPACT Therapeutics, Incyte, Kartos Therapeutics, LockBody Therapeutics, Loxo Oncology, Memorial Sloan Kettering, Merus, Mythic Therapeutics, NeoImmune Tech, Neovia Oncology, NextPoint Therapeutics, Numab Therapeutics, Nuvalent, OncoC4, Palleon Pharmaceuticals, PMV Pharmaceuticals,

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