

# Epidermal Growth Factor Receptor (*EGFR*) and *SMAD4* negatively correlated in the progression of gallbladder cancer in Eastern Indian patients

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# **Abstract**

**Background and introduction** Two and half percent of the Indian population sufer from gallbladder cancer (GBC). The primary factors that lead GBC are associated with mutation of several protooncogenes such as *EGFR, ERBB2, Myc,* and *CCND1* along with dysregulation of several tumor suppressor genes such as *SMAD4* and *CDKN2A.* Bacterial infection caused by *S.typhi* and *H.pylori* are also hypothesized to be potential factors driving GBC.

**Aims** This study aims to investigate the molecular mechanisms driving the progression of gallbladder adenocarcinoma in Eastern Indian patients. We specifcally focussed on analyzing the mutational status of the *KRAS* gene, examining the amplifcation of the *ERBB2/Her2-neu* gene, and evaluating the expression patterns of six dysregulated genes (*CCND1, MYC, EGFR, ERBB2/Her2-neu, CDKN2A, SMAD4*). Additionally, we assessed the expression status of TGFbeta, the association between bacterial infections (*S. Typhi and H. pylori)* and GBC, and the impact of single nucleotide polymorphisms in *ERBB2/Her2-neu* and *CCND1* genes within this population.

**Methods** Sixty-seven samples from GBC-diagnosed patients, 26 other unrelated GBC samples for validation cohort, and 68 gallstone tissue samples were collected for this study. Genomic DNA from normal as well as tumor tissues were isolated, exon 2 and exon 3 of *KRAS* gene were amplifed along, DNA sequenced and analyzed. KRAS codon 12 mutation was detected by allele specifc PCR (ASPCR) method. Amplifcation of *UreC A* (coding for urease subunit α), *VacA* (coding for Vacuolating cytotoxin A) and *CagA* genes (coding for cytotoxin-associated gene A) in *H.pylori* were amplifed using PCR. Similarly, *FlicC* (coding for fagellin gene C) in *S.typhi* was amplifed using PCR. The *ERBB2/Her2-neu SNP I655V, and CCND1 SNP A870G* were analyzed using PCR followed by RFLP. Expression studies of *CCND1, Myc, CDKN2A, ERBB2/Her2-neu, EGFR,* and *SMAD4* genes were measured in GBC tumor tissues by sybr green quantitative RT PCR.

**Results** The oncogenes (*EGFR* and *ERBB2/Her2-neu*) were statistically signifcantly overexpressed and the tumor suppressor gene (*SMAD4*) downregulated in our GBC tumor patient samples. The *EGFR* and *SMAD4* genes were negatively correlated (*r*=*-0.01*) in GBC patients and the data is statistically signifcant and validated through IHC technique. A signifcant downregulation of *TGF-beta* had also been observed. Lower frequency (i.e. 11.5%) of *KRAS* mutation in GBC tumor was observed.

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**Conclusions** *EGFR* and *SMAD4* expression were found to be negatively correlated in GBC tissue samples. *ERBB2* overexpression/amplifcation was observed in 30% of the GBC samples. We also found a low percentage of GBC samples to show *KRAS* codon 12 mutation in Indian GBC patient population, as had been previously documented in pancreatic cancers.

**Keywords** Gallbladder cancer, KRAS mutation, ERBB2 amplifcation, EGFR-SMAD4 expression correlation, Bacterial infection

# **Introduction**

Gallbladder cancer (GBC) is very frequently reported among the biliary tract malignancies. GBC is often delineated at an advanced stage and has poor prognosis mainly presenting obvious symptoms [[1\]](#page-19-0). GBC have reportedly higher incidences in places like Chile, North India, Korea, Japan and New Mexico of United States. According to GLOBOCAN 2024, the number of new cases in India is 25,999, having a percentage of 2.47 and current risk is 0.24. The numbers of deaths in India are 19,676, having a percentage of 2.74 and current risk is 0.18. GBC ranks 14th among newly reported cancer cases in India and holds a rank of 13th in the number of deaths. The 5-year prevalence rate in India is 31,357, having a proportion of 2.32 (GLOBOCAN, 2018). GBC also has a higher female incidence rate compared to men. The several risk factors concerning GBC include demographic elements, history of gallbladder disease, and environmental exposures  $[2]$  $[2]$ . The risk factorial conditions for GBC include chronic gallstones, gallbladder polyps, cholangitis related infections (e.g., Salmonella enterica typhi and *H. pylori* prevalent in India and Bangladesh), porcelain gallbladder, Mirizzi's syndrome, and bile refux [[3\]](#page-19-2). Environmental factors like diet, high capsaicin ingestion, afatoxins, and vitamin defciencies have been disputably linked to GBC pathogenesis [\[4](#page-19-3)]. In various studies reported, *S. typhi* was found to colonize the gallbladder resulting in an asymptomatic chronic infection [\[5](#page-19-4)]. Various studies revealed various species of *H. pylori* in tissue and bile samples of the gallbladder. First hypothesis of a potential association between *H. pylori* infection and gallstone formation was given by [[6\]](#page-19-5). Chile, Bolivia, India, Pakistan, Japan and Korea, which are *S. typhi* endemic zones have revealed that about 90% of chronically infected carriers are also gallstone patients, which can later develop GBC  $[5]$  $[5]$ . The advancement of gallbladder lesion into GBC includes staging such as metaplasia, dysplasia, carcinoma-insitu and fnally invasive carcinoma [\[4](#page-19-3)]. Subsequently, the lesion assembles mutations of *KRAS*. This genetic aberration is believed to be one of the principal reasons driving the lesion to develop into an invasive carcinoma [[7\]](#page-19-6). Various studies report the spectrum of *KRAS* point mutation to be 3–40% [\[8](#page-19-7)]. *KRAS* mutation at codon-12 is reported to be 8% [[9\]](#page-19-8). Various somatic mutations and amplifcations have been observed in the *ErbB* signalling pathway, mainly consisting of the *ERBB/Her-neu* and *or ERBB2/Her2-neu. ErbB* pathway genes are important growth factor receptor genes frequently involved in multiple cancers including GBC  $[4]$  $[4]$ . The single nucleotide polymorphism (SNPs) in the human *ERBB2/Her2 neu* was identifed in the transmembrane coding region of the gene at codon 655, encoding isoleucine or valine. In presence of "Val" allele may enhance dimerization of *ERBB2/Her2-neu,* resulting in increased autophosphorylation, tyrosine kinase activation and subsequently leading to cell transformation. CyclinD1 (*CCND1*) is a member of D-type cyclin proteins, involved in the regulation of cell cycle progression from G1 to S phase. The common site of SNP has been found at 870 position or codon 242 located in the common splice donor region of exon 4 site with increased expression. The "A" allele produces an alternative transcript, transcript-b, a bigger transcript which does not get spliced at exon 4 intron 4 boundary and has longer half-life, whereas "G" allele produces normal splicing of the exon 5, transcript-a. Transcriptb/A allele is associated with several cancers including colorectal, squamous cell carcinoma of the oesophagus, lung, SSC of head and neck, bladder and cervix [\[10](#page-19-9)]. Oncogenes, including *EGFR, ERBB2/Her2-neu, Myc,* and *CCND1* are mostly dysregulated in GBC as have been reported from several Next Generation Sequencing (NGS) studies. Along with this loss of tumor suppressor genes (TSGs) and loss of heterozygosity (LOH) at polymorphic loci are also hallmarks of many diferent tumors including GBC. Previous studies also reported *SMAD4* and *CDKN2A* to be the most frequently dysregulated TSGs in GBC [\[11\]](#page-19-10). Collectively, both TGF-beta signalling and SWI/SNF complex are correlated with *MYC* expression, and the *MYC* alteration is mutually exclusive with alterations in TGF and SWI/SNF complex, *SMAD4* is the important gene in TGF-beta signalling pathway and acts as TSG in the GBC progression [\[12\]](#page-19-11). During the development of dysplasia, intermediate changes were observed including allelic loss at several chromosomal positions, *CDKN2A,* TSG particularly on the q arm of chromosome 9. GBC continues to pose a challenge in certain geographic locations such as in latin America and Asia including Arab countries [\[13](#page-19-12)]. Although it is still unknown, why certain ethnic groups have a higher predisposition to GBC than others, such as in northern and eastern India, where GBC has an extremely high incidence [\[14](#page-19-13), [15\]](#page-19-14). Our hypothesis sought to establish the existence of huge variance of GBC incidences in diferent geographical locations across the world. The main reason is due to diferent kind of genomic alterations developed in GBC patients. The data reported by Kolkata cancer registry noted GBC to be the third most frequent malig-nancy in eastern Indian females [\[16\]](#page-19-15). The *KRAS* mutation frequency, *ERBB2* amplifcation, and dysregulation of *CDKN2A, EGFR, SMAD4, cMYC, CCND1,* and *ERBB2/ Her2-neu* genes varies with ethnicity and geographical location of GBC patient population. In addition to that *S.typhi* and *H.pylori* infection status also varies in diferent patient population of GBC. We hypothesized *KRAS* mutation in the early events in the GBC development. In Indian patient population, very less studies reported regarding these genomic alterations in previous decades, however there is no reports from Eastern Indian region of India.

In our present study, we have investigated on detecting the mutational proportion of *KRAS* gene in GBC and gallstone disease along with detecting the expression and amplifcation pattern of *ERBB2/Her2-neu* gene, with expression pattern of six mostly dysregulated genes, expression status of TGF-beta and status of bacterial infection such as *S.Typhi* and *H.pylori*, two SNPs of *ERBB2/Her2-neu* and *CCND1* gene in Indian context in the population of West Bengal in Eastern Indian region.

## **Patient information and methods**

## **Patients samples collection in the current study**

All the tissues investigated in our study were obtained from patients from Kolkata megacity regions and state of West Bengal (one of the Eastern States in India) who had surgical resection between May 2013 to January 2022 at Medical College and Hospital, Kolkata, SSKM Hospital and IPGME&R, Kolkata. Written informed consent for gene expression analysis was received from all the patients before surgery and all the experiments were done according to the "Ethical guidelines for Biomedical Research on Human Participants" published by Indian Council of Medical Research (ICMR) (2006) and was approved by the Institutional Ethics Committee of Indian Statistical Institute (ISI). A systemic 2–5 year follow up was done for overall survival (OS). Tumor and adjacent normal tissue samples were collected during surgery and stored in RNA later solution (Sigma-Aldrich Co. LLC). Histopathological examination and TNM staging were done according to the 8th edition guidelines by American Joint Committee on Cancer (AJCC), by all the associated clinician. We had investigated the tumor purity through histopathological evaluation, and it was found to be > 80% in all samples  $(10 \times \text{imagery by using Leica DM } 1000,$ camera: Leica EC3). We have generated a score for each sample regarding tumor cell percentage by randomly taking 8 images for each slide. The inclusion and exclusion criteria of the patients were considered prior to specimen collection and consenting.

#### *Inclusion criteria of patient samples*

The inclusion criteria included collection of only clinically diagnosed GBC samples within the age-limit 30–75 years and should positively be sero-negative and also capable of undergoing surgery procedure.

#### *Exclusion criteria of the patient samples*

The exclusion criteria were designed to target GBC subtype, which is a specifc subtype of GBC. Hence periampullary adenocarcinomas were excluded and also GBC samples with sero -positivity were excluded. Some other exclusion criterias included were patients with age greater than 75 years and physically and mentally handicapped patients.

**Patient Sample Collection Details:** A total of 70 gallstone disease (GSD) tissues collected only from Medical College and Hospital, Kolkata, were included in the study. Demographic and clinico-pathological data were also collected during the tissue sample collection. Another 67 patients in a diferent discovery set including clinically and histologically diagnosed with GBC from SSKM and IPGME&R, and Medical College and Hospital, Kolkata, were included in the study. 5 ml of peripheral blood, GBC tumor tissue and adjacent normal tissue were collected. Excluding two above sets of patients, 20 independent GBC patients' validation set was also included in detecting the frequency of *KRAS* codon 12 mutation, expression status of 6 mentioned genes, and *ERBB2/Her2-neu* amplifcation to confrm the previous fndings in the present study. All tumor samples were collected at the primary stage and not treated with any drugs or chemotherapeutic agents. All patients underwent surgical resection and were staged according to the TNM staging system of the Union for International Cancer Control (UICC). Tumors were graded according to the World Health Organization (WHO) guidelines. Demographic and clinicopathological parameters such as age, sex, food habits, tobacco, smoking, alcohol habits, jaundice, diabetes pancreatitis, family history of cancer, gallstone history, tumor sizes, grades, stages, and survival data were recorded for all patients from the medical records and through follow up. Finally, informed consent from each patient or their relatives was taken during specimen collection.

#### **Genomic DNA extraction from tissue samples**

Genomic DNA was isolated from both the normal as well as the tumor tissue samples. Qiagen DNeasy Blood and Tissue kit, from Qiagen Inc. Germany (spincolumn protocol) was used for isolation of DNA from tissue samples. The genomic DNA from blood was isolated by QiAmp DNA blood minikit using manufacturer protocol. At frst, a day prior to isolation, tissue samples were transferred from -80 °C. DNA concentration was measured before PCR experiments.

# *PCR reaction for amplifying UrecA, CagA, VacA and FlicC was performed*

Detailed protocol and respective primer sequences are described in Supplementary Tables 1 and 2.

## *KRAS Exon 2 and 3 PCR reaction was performed*

Respective primer sequences and protocol are described in Supplementary Tables 1 and 2.

# **PCR amplifcation of** *ERBB2/Her2‑neu Ile655Val* **and** *CCND1 A870G*

*ERBB2/Her2-neu* Allele specifc primers were designed against the SNP *Ile655Val*. Then (Restriction Fragment Length Polymorphism) RFLP was performed to screen the samples. The 655 "Val" allele gives rise to a restriction site for the enzyme *Bam*H1 that is absent in 655 "*Ile*" allele. Using genomic DNA a 148 bp PCR product was generated. This was digested with *BamH*1 for 3 h at 55 °C, resulting in 116 bp and 32 bp fragments for *"Val"* at position 655 and 148 bp fragments for an "A is *Ile"* at this position. Digested products were separated by gel electrophoresis on 3.0% agarose gels. *CCND1:* Allele specifc primer sequences were designed against the SNP A870G. Then RFLP was performed to screen the samples. The 870 "G" allele gives rise to a restriction site for the enzyme *Nci*I that is absent in 870 *"A"* alleles. Using genomic DNA a 199-bp PCR product was generated. This was digested with *Nci*I for 4 h at 37 °C, resulting in 176 bp and 23 bp fragments for an *"A"* at position 870 and in 141 bp, 35 bp, and 23 bp fragments for a *"G"* at this position. Digested products were separated by gel electrophoresis on 2.0% agarose gels. Detailed protocol and primer sequences are also described in Supplementary Tables 1 and 2.

# **Verifcation and validation of somatic mutation in** *KRAS* **gene by allele specifc PCR**

Allele specifc polymerase chain reaction (ASPCR) primers were designed against mutant sequence and PCR were performed to amplify DNA strands in the corresponding mutant samples and visualized in 1% agarose gel. The primers (S2) were designed such that the last base of the forward primer changed as complementary to mutant allele. The mutations analyzed by this method were *KRAS:p.G12A, KRAS:p.G12V, KRAS:p.G12D, KRAS:p.G12R, KRAS:p.*and *Q61H*. Both the tumor and corresponding normal samples were screened by the allele specifc primers as well as wild type primers to confrm the specifc mutation. Detailed PCR protocol and primer sequences are described in Supplementary Tables 1 and 2.

# *KRAS 12th codon mutation detection by two‑step enriched‑semi nested PCR*

The presence of mutation in codon 12 of *KRAS* was detected using a Two-Step Enriched- Semi Nested PCR by following the protocol described in supplemental method section.

## *ERBB2/Her2‑neu* **amplifcation in GBC tumors**

Copy number analysis for *ERBB2/Her2-neu* was done on all 93 samples using TaqMan Copy Number Assay (Hs00817646\_cn) (Applied Biosystems, CA, USA). The PCR condition as follows: 10 min in 95 °C followed by 40 cycles of denaturation for 15 s at 95 °C, and annealing for 1 min at 60 °C. *RNaseP* (Applied Biosciences, CA, USA) (20X, VIC dye) used as a reference control with 2 copies in the human genome. Target and reference assays that were used for copy number calculation were derived from the mean of duplicate. Relative quantifcation determined as  $2^{-\Delta\Delta ct}$  was calculated for each of the samples to identify copy number change. Above twofold change was identified as amplified samples. The frozen Bio-Rad  $2\times iQ^{TM}$  SYBR<sup>®</sup> Green supermix (BioRad, USA)/Light-Cycler® 480 SYBR Green I Master (Roche Life Sciences, Germany), was used. In a reaction tube, master mix for each gene was prepared using (5 μl of SYBR® Green supermix, 0.3 μl of forward primer and 0.3 μl of reverse primer and 2.4 μl of nuclease-free water for each well) multiplied by total number of wells in a PCR microplate. Then  $8 \mu$  of the prepared master mix was added to each well in a 96 –well PCR microplate, followed by the addition of 2 μl of cDNA of each tissue sample into each well. Then, the microplate was run on Applied BIOsystem 7900 HT Real Time PCR machine with the thermal cycler programmed as follows:

# **Protocol for expression measurement and analysis of** *GAPDH, ACTB, CCND1, MYC, CDKN2A, ERBB2/Her2‑neu, EGFR* **and** *SMAD4* **genes in GBC tumor tissues**

The expression of *GAPDH*, *ACTB*, *CCND1*, *MYC*, *CDKN2A, ERBB2/Her2-neu, EGFR, and SMAD4* were analyzed in the Real Time PCR.All primer sequences and detailed PCR protocols for respective loci are mentioned in Supplementary Tables 1 and 2.

# **Relative gene expression and fold change analysis of tumor normal paired samples**

The relative expression of six genes namely *CCND1*, *MYC, EGFR, ERBB2/Her2-neu, CDKN2A,* and *SMAD4* were studied in GBC tumor with adjacent normal tissue samples. Two genes, namely *GAPDH* and *ACTB* were used as internal control (or, reference genes) to normalize the expression of the target gene to compensate for any diference in the amount of sample tissue.

# *Estimation of diferential expression of genes in tumor and adjacent normal tissues*

Target and reference gene Ct values were obtained. *GAPDH* and *ACTB* were used as reference control for normalization of target genes. Relative expression of targeted genes determined as  $2^{-\Delta\Delta ct}$  was calculated for each of the samples to identify fold change. More than twofold change was identifed as dysregulation (overexpressed/ under expressed) for the respective genes.

## *DNA sequencing and sequencing data analysis*

Detailed methods described in supplementary method section.

#### **Statistical analysis**

# *Distribution of fold change diferences and analysis of diferential expression of genes in tumor and normal groups*

Distributions of  $2^{-\Delta ct}$  values after normalization with *GAPDH* for the respective genes were checked by Anderson–Darling test in R for both matched tumor and adjacent normal groups  $(n=38)$  for the discovery group and  $(n=14)$  for the replicative group. Wilcoxon signed rank test was used to measure any signifcant diferences ( $p \leq 0.05$ ) of 2<sup>−∆ct</sup> values between two groups for all genes. Fold change diferences between tumor and normal group of respective genes represented by box plots (ggplot2 package in R Studio). Similar tests were done for unpaired samples, where total 68 tumors and 38 adjacent normal groups in the discovery set as well as 26 tumors and 26 adjacent normal groups in the replicative group were compared for respective genes.

# *Distribution of fold change diferences and analysis of diferential amplifcation in tumor and normal groups*

Distributions of 2<sup>−</sup>Δct values after normalisation with *RNaseP* for the respective genes were checked by Anderson–Darling test in R package (ggplot2, R Studio) for both matched tumor and normal groups (*n*=38). Wilcoxon signed rank test was used to measure any

significant differences ( $p \le 0.05$ ) of 2<sup>- $\Delta$ ct</sup>values between two groups for all genes. Fold change diferences between tumor and normal group of respective genes represented by box plots (ggplot2 package in R).

# **Correlation analysis**

Correlation analysis was done between gene expression and clinicopathological parameters using Pearson's correlation in SPSS software (Version 16.0, Harvard University, MA, USA). This was done only for the tumor and normal paired samples. Gene expression fold change and clinicopathological variables data were converted in binary (0,1) format and analysed.

#### **Survival analysis**

Overall Survival (OS) analysis was done by Kaplan– Meier estimator using SPSS Inc. (Version 6.0, Harvard University, MA, USA). OS was calculated from the date of pathological diagnosis to the date of death or the date of the last confrmed contact. Survival curves were generated using the Kaplan–Meier method and assessed for statistically significant differences  $(p<0.05)$  via the log rank test. Overall survival was also compared between the GBC patients group, and separately between *ERBB2/ Her2-neu* amplifed for 102 GBC samples and diferential expression status of *EGFR, ERBB2/Her2-neu, CCND1, Myc, CDKN2A,* and *SMAD4* with patient OS were done with 49 GBC patient samples to study the efect of genetic changes on patient survival.

# *Immunohistochemical (IHC) analysis of gallbladder tissue samples*

For IHC staining, 5 um tissue sections were prepared in slides from formalin fixed, paraffin embedded GBC tissue blocks. Routine hematoxylin and eosin stain were carried out for all sections to ascertain histological features. Further, in separate experiments, all sections were taken for immunostaining following antigen retrieval in citrate bufer (pH 6.0) at 90 °C for 30 min. Primary monoclonal antibody anti-*ERBB2/Her2-neu* (Cell Signalling Technology Inc., US, cat. No. 2165, dilution 1:300), anti-*EGFR* (ABclonal Inc, MA, USA, cat. no.A5657,dilution 1:100) and anti-*SMAD4* (ABclonal Inc, MA, USA, cat. no.A11351, dilution 1:100) and anti-rabbit HRP conjugated secondary antibody (Cell Signalling Technology Inc., US, cat. No. 7074, dilution 1:1000) were used for immunohistochemical localization of proteins followed by nuclear counterstaining by hematoxylin. Mounting of stained slides were done by DPX mount media and observed under Bright feld microscope (Leica). Multiple areas of slide images were captured and analyzed with Image J software (version 1.54) for relative quantitative intensity. To quantify the intensity of immunostaining, we employed ImageJ Fiji software to determine the mean grey area values of deconvoluted images, which were subsequently represented graphically.

# **Results**

#### **Patient characteristics**

At frst, we had 38 paired (tumor and normal tissues), and 30 unpaired (only tumor tissues) tissue samples of GBC patients collected from multi hospitals of Kolkata City. We had another 14 paired and 6 unpaired samples of GBC for independent validation cohort. Out of these 68 GBC samples recruited in our study, 51 (75%) were female patients and 17 (25%) male patients, the mean age was found to be about 55. While only 7.4% (5 of them) had a smoking habit, and 5.9% (4 of them) had an alcohol habit, an overwhelming 82.4% (56 of them) recorded a gallstone history. The site of GBC lesion was found mostly in its fundus (41%), and neck (32.2%), followed by body (20.7%), head (4.6%) and intra luminal (1.5%). According to 7th edition of America Joint Committee on Cancer (AJCC) nomenclature on the stages (pathological) of the tumors were; out of total 68 patient samples, 25 (36.4%) had a stage IIB gallbladder tumor, 19 (28.1%) had a stage IIIB tumor, 8 (11.6%) had a stage IIIA tumor, while only 14 (20.4%) had a stage IV tumor (Table [1](#page-5-0)).

# **Low frequency of** *KRAS* **codon 12 mutations detected in GBC cases**

In biliary tract carcinomas, a low to high frequency of *KRAS* mutation had been noted. *KRAS* is known to be a commonly mutated driver gene in pancreatic and colonic cancer. We have selected 87 GSD samples and 68 GBC tissue samples for *KRAS* mutation detection at codon 12 position. We have performed PCR followed by RFLP based detection method at codon 12 position. We have observed three *G12A*, three *G12V*, and one *G12D* mutation among 87 GBC samples, but no *KRAS* codon 12 mutation was observed in GSD samples. In this study, we found a relatively lower frequency (i.e. 11.5%) of *KRAS* mutation in GBC tumors. To revalidate this lower frequency, we adopted two independent mutation validation approaches- frst verifed by ASPCR for *G12A, G12R, G12V,* and *G12C* (Fig. [1](#page-6-0)) and then *KRAS* 12th codon mutations were additionally validated by Two-Step Enriched-Nested PCR. We have done Sanger sequencing of 65 samples in exon 2 and exon 4 of *KRAS* gene (Supplementary Fig. 1). We did not observe any codon 13 mutation and codon 61 mutations in GBC patient samples.

<span id="page-5-0"></span>**Table 1** Characteristics of demography and clinico-pathological parameters of total patients



# **Expression pattern of** *CCND1, Myc* **and** *CDKN2A* **genes in GBC patient samples**

In our study, the relative expression of 6 most diferentially regulated genes previously identifed from Next Generation Sequencing (NGS) studies namely *CCND1, MYC, EGFR,* and *ERBB2/Her2-neu,* oncogenes and two tumor suppressor genes *CDKN2A,* and *SMAD4* were studied in gallbladder tumor with respect to their adjacent normal tissue samples. It was found that in 38 paired tumor tissues, *CCND1* was overexpressed in 42.1%  $(n=16)$  (Fig. [2a](#page-7-0)), *MYC* was overexpressed in 42.1%  $(n=16)$  of tumor samples (Fig. [2b](#page-7-0)), *EGFR* was overexpressed in 55.2% (*n*=21) (Fig. [2c](#page-7-0)) and *ERBB2/ Her2-neu* was overexpressed in 50% (*n*=19) of tumor samples (Fig. [3a](#page-8-0)). On the other hand, *SMAD4* was found to be downregulated in  $42.1\%$  ( $n=16$ ) (Fig. [3b](#page-8-0)), while *CDKN2A* was found to be downregulated in 55.2%  $(n=21)$  of tumor samples (Fig. [3c](#page-8-0)). All normalization was done with respect to *GAPDH* and *ACTB* of internal control gene expression.



<span id="page-6-0"></span>**Fig. 1 a** The BstN1 enzyme digests PCR products, run in 2% agarose gel (stained with EtBr). Lane 1: 100 bp ladder; Lane 2 to 7 has GBC tumor and adjacent normal paired samples respectively; Lane 8 to 9 has positive control for codon 12th mutation of GBC tumor samples;. Lane 10–13 has Gallstone disease samples. A clear and strong band near 200 bp observed in lane 6, 8, and 9 suggesting presence of 197 bp fragment that diferentiates the positive samples (codon 12 mutation of *KRAS*) with rest of the samples containing 160 bp band. **b** Detection of *KRAS* G12A mutations by allele specifc PCR: Lane 1 represents 100 bp DNA ladder. Lane 2 to 12 represents 6 tumor normal paired samples. In the upper panel PCR done with primer corresponds to wild type allele for *KRAS* G12A mutation. A single band observed in all the samples due to the presence of normal allele in all the samples. In the lower panel PCR done with allele specifc mutant primer for *KRAS* G12A mutation. A strong band is observed in the 4th tumor sample (T4) but not in the corresponding normal sample (N4). Samples T1, T2, and T3 do not contain the respective bands. T5 and T6 are negative controls so the bands are not present. **c** Detection of *KRAS* G12D mutations by allele specifc PCR: Lane 1 represents 100 bp DNA ladder. Lane 2 to 12 represents 6 tumor normal paired samples. In the upper panel PCR done with primer corresponds to wild type allele for KRAS G12D mutation. A single band observed in all the samples due to the presence of normal allele in all the samples. In the lower panel PCR done with allele specifc mutant primer for *KRAS* G12D mutation. A band is observed in the 2nd tumor sample (T2) but not in the corresponding normal sample (N2). Samples T1, T3, and T4 do not contain the respective bands. T5 and T6 are negative controls so the bands are not present. **d** Detection of *KRAS* G12V mutations by allele specifc PCR: Lane 1 represents 100 bp DNA ladder. Lane 2 to 12 represents 6 tumor normal paired samples. In the upper panel PCR done with primer corresponds to wild type allele for *KRAS* G12V mutation. A single band observed in all the samples due to the presence of normal allele in all the samples. In the lower panel PCR done with allele specifc mutant primer for *KRAS* G12V mutation. Double bands are observed in 3rd (T3), 4th (T4) tumor samples but not in the corresponding normal sample (N3 or N4). Samples T1, T2 does not contain the respective bands. T5 and T6 are negative controls so the bands are not present

Next, the distribution curve of the Ct values of each gene in normal tissue samples was constructed and the *p*-values of the distribution were found to be 0.013 for *ACTB*, 0.010 for *GAPDH*, 0.003 for *CCND1*, 0.0017 for *MYC*, 0.017 for *CDKN2A*, thus the hypothesis of an underlying normal distribution was rejected at 0.05 signifcance level (data not shown). As the distribution of the Ct values was found to be not normal, test was performed to determine the signifcance of diferential expression of the genes in 38 paired tumor samples with respect to adjacent normal. Upon *GAPDH* normalization, *CCND1* ( $p = 0.50$ ) and *MYC* ( $p = 0.07$ ) overexpression were found to be statistically non-signifcant. On the other hand, *CDKN2A*  $(p=0.003)$  was found to be significantly downregulated. Then, again after pooling 30 unpaired tumor samples, when the test was performed



<span id="page-7-0"></span>**Fig. 2 a** Gene expression of *CCND1* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene *GAPDH*. The expressions of *CCND1* in tumor samples were compared with adjacent normal samples by Anderson–Darling statistical test. The twofold is the cut- of of the dysregulation of the gene with respect to the adjacent normal tissues. **b** Gene expression of *MYC* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene GAPDH. The expressions of *MYC* in tumor samples were compared with normal samples by Anderson-Darling statistical test. The twofold is the cut- off of the dysregulation of the gene with respect to the normal tissues. **c** Gene expression of *EGFR* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene *GAPDH*. The expressions of *EGFR* in tumor samples were compared with normal samples by Anderson–Darling statistical test. The twofold is the cut- of of the dysregulation of the gene with respect to the adjacent normal tissues

on 68 tumor samples with 38 normal control, *CCND1* and *MYC* overexpression were found to be statistically nonsignifcant. *CDKN2A* (*p*=*0.003*) downregulation was found to be statistically signifcant (Fig. [4](#page-9-0)a) and all data observed from this analysis were consistent with the previous fnding of the same study.

Among the 38 paired GBC patients, out of the 6 genes, any 1 studied showed altered expression in 13% (*n*=5), any 2 showed altered expression in 19% (*n*=7), any 3 showed altered expression in 37% ( $n=14$ ), any 4 in 8% ( $n=3$ ), and any 5 showed altered expression in 18% (*n*=7) of tumor samples. And, all the 6 genes studied showed altered expression in only 5%  $(n=2)$  of tumor samples (Fig. [4](#page-9-0)b). Next, *SMAD4* downregulation and *MYC* overexpression was found to be mutually exclusive (i.e., if one shows altered expression, the other doesn't) in a majority, i.e., 20 (54.2%) of the paired 38 tumor samples, while both showed altered expression in only 8 (20%) of paired tumor samples (Fig. [4c](#page-9-0)). Out of the paired 38 tumor tissues both *SMAD4* and *CDKN2A* downregulated in 6 samples. Among these samples, all 4 oncogenes (*EGFR, ERBB2/Her2-neu, MYC* and *CCND1*) showed upregulation in 2 samples, and any 3 of the oncogenes also showed upregulation in 2 samples. Another 10 samples showed downregulation of only *SMAD4*. Out of these 10 samples, all 4 oncogenes showed overexpression in 3 samples, while any 3 got overexpressed in 1 sample and any 2 got overexpressed in 2 samples. While another 16 samples showed only *CDKN2A* downregulation. Out of these 16 samples, all 4 of the oncogenes were upregulated in 2 samples, any 3 in 2 samples and any 2 in just one sample (Table [2\)](#page-10-0).

# **Expression pattern of** *ERBB2/Her2‑neu* **gene and validation in GBC tissue samples**

*ERBB2/Her2-neu* was found to be overexpressed in 50%  $(n=19)$  of tumor samples (Fig. [3](#page-8-0)a). Next, the distribution curve of the Ct values in normal tissue samples was constructed through and the *p*-values of the distribution were found to be 0.013 for *ACTB*, 0.010 for *GAPDH*, 0.0000005 for *ERBB2/Her2-neu*, thus the hypothesis of an underlying normal distribution was rejected at 0.05 signifcance level (data not shown). Upon *GAPDH* normalization, *ERBB2/Her2-neu* (*p*=*0.00001*) overexpression were found to be statistically significant. Then, after pooling 30 unpaired tumor samples, when the test was performed on 68 tumor samples with 38 normal control, similarly, oncogene *ERBB2/Her2-neu* (*p*=*0.00001*) overexpression was found to be statistically signifcant.



<span id="page-8-0"></span>**Fig. 3 a** Gene expression of *ERBB2/Her2-neu* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene *GAPDH*. The expressions of *ERBB2/Her2-neu* in tumor samples were compared with normal samples by Anderson–Darling statistical test. The twofold is the cut- of of the dysregulation of the gene with respect to the normal tissues. **b** Gene expression of *SMAD4* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene *GAPDH*. The expressions of *SMAD4* in tumor samples were compared with normal samples by Anderson–Darling statistical test. The twofold is the cut- off of the dysregulation of the gene with respect to the adjacent normal tissues. **c** Gene expression of *CDKN2A* in 68 tumor and 38 adjacent normal tissue samples. Data normalized with internal control gene *GAPDH*. The expression of *CDKN2A* in tumor samples was compared with adjacent normal samples by Anderson–Darling statistical test. The twofold is the cut- off of the dysregulation of the gene with respect to the normal tissues

*ERBB2/Her2-neu* amplifcation was identifed in 30% of GBC samples (Fig. [5](#page-11-0)). Among the *ERBB2/Her2 neu* amplifed patients, in addition, when fold change between tumor and normal group were compared, a very significant difference was observed  $(p=0.03)$ . Ideally the amplifcation results are validated by other methods like, in situ hybridization and immunohistochemistry (IHC), we have performed by IHC method.

In our study, we conducted immunohistochemical staining to validate the gene expression pattern of *ERBB2/Her2-neu* in GBC. We selected 15 tumor tissues with both high and low expressions for *ERBB2/ Her2-neu* and performed immunohistochemical localization of the respective proteins. Upon detailed analysis at different microscopic magnifications, we observed that in tissues with high *ERBB2/Her2-neu* expression, the staining was specific to tumor cells. This observation strongly suggests a tumor area-specific expression pattern *of ERBB2/Her2-neu* (Fig. [6\)](#page-12-0).

# **Expression pattern of** *EGFR, SMAD4* **genes and validation in GBC tissue samples**

Similarly, *EGFR* was found to be overexpressed in 55.2%  $(n=21)$  of tumor samples (Fig. [2c](#page-7-0)). On the other hand, *SMAD4* was found to be down regulated in 41.2% (*n*=16) of tumor samples (Fig. [3b](#page-8-0)). Next, the distribution curve of the Ct values in normal tissue samples was constructed and the *p*-values of the distribution were found to be 0.013 for *ACTB*, 0.010 for *GAPDH*, 0.05 for *EGFR*, and 0.18 for *SMAD4,* thus the hypothesis of an underlying normal distribution was rejected at 0.05 signifcance level (data not shown). Then, again after pooling 30 unpaired tumor samples, when the test was performed on 68 tumor samples with 38 normal control, similarly, oncogene *EGFR* (*p*=*0.0005*) overexpression and tumor suppressor gene *SMAD4* (*p*=*0.009*) down regulation was found to be statistically signifcant (Fig. [3a](#page-8-0)).

In our study, we conducted immunohistochemical staining to validate the gene expression patterns of *EGFR* and *SMAD4* in GBC. There was a significant negative correlation between the expression of *EGFR* and *SMAD4* in the majority of samples in consistence with the results obtained through RTPCR technique. We selected 15 tumor tissues with both high and low expressions for these genes and performed immunohistochemical localization of the respective proteins. Our investigation revealed an intriguing relationship between *EGFR* and *SMAD4* expression. Tumor tissues exhibiting higher



<span id="page-9-0"></span>**Fig. 4 a** Fold change status of studied 6 genes in all the patients. The red boxes indicate above twofold change of gene expression in tumor tissues compared to respective adjacent normal pair samples. White boxes indicate no change (<twofold) in the tumor tissues compared to adjacent normal pairs and grey boxes indicate un-determined values. **b** Cumulative grade of gene expression across samples. X axis denotes the combined alteration of genes and Y axis denotes frequency of types of alterations. **c** Combination of *SMAD4* and *MYC* gene expression alteration in patient samples

positive staining for *EGFR* demonstrated decreased *SMAD4* levels, and conversely, tissues with lower *EGFR* levels had increased *SMAD4* levels (Fig. [7\)](#page-13-0). This corroborated the gene expression patterns observed in our earlier results.

# **Detection of correlation between clinicopathological parameters and gene expression status in gallbladder adenocarcinoma**

In our study, 38 sets of tumor and normal paired samples of GBC were considered to identify any correlation between clinicopathological data and expressions of several previously mentioned genes. Next, Pearson correlation test was performed to measure the strength of linear association between two variables and the *p* value determined to test the significance of the correlation coefficient (at signifcance level *p*≤0.05). It has been found in

our patients that there is a signifcant positive correlation between the presence of afected lymph nodes and overexpression oncogene *ERBB2/Her2-neu* ( $r=0.00$ ). The overexpression of *CCND1* and *MYC* genes have a strong correlation with increase in stages of GBC (*r*=0.00, and  $r=0.00$  respectively). It is also found that there is a significant positive correlation  $(r=0.01)$  between the overexpression of the oncogenes, i.e. there is a strong incidence that *CCND1* and *Myc* get overexpressed in same tumor samples. Also, it is found that there is a signifcant positive linear correlation (*r*=0.00) between the expression of both the tumor suppressor genes *CDKN2A* and *SMAD4* i.e. there is a strong incidence that *CDKN2A* and *SMAD4* get downregulated in same samples. Our analysis also show a signifcant positive correlation between smoking and overexpression of *CCND1* and *MYC* genes  $(r=0.00$  and  $r=0.00$  respectively); a positive correlation

Tumor	SMAD4	CDKN2A	<b>MYC</b>	<b>EGFR</b>	ERBB2	CCND1
<b>Tissues</b>						
CAGB 1T						
CAGB 2T						
CAGB 3T						
CAGB 4T						
CAGB 5T						
CAGB <sub>6T</sub>						
CAGB 7T						
CAGB 8T						
CAGB 9T						
CAGB 10T						
CAGB 11T						
CAGB 12T						
CAGB 13T						
CAGB 14T						
CAGB 15T						
CAGB 16T						
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CAGB 27T						
CAGB 28T						
CAGB 29T						
CAGB 30T						
CAGB 31T						
CAGB 32T						
CAGB 33T						
CAGB 34T						
CAGB 35T						
CAGB 36T						
CAGB 37T						
CAGB 38T						

<span id="page-10-0"></span>**Table 2** Gene expression status of 6 genes in GBC patient samples

Here, yellow box indicates no change, green box indicate downregulation and red box indicate overexpression/upregulation of the respective genes



<span id="page-11-0"></span>**Fig. 5 a** Amplifcation of *ERBB2/Her2-neu* gene in Gallbladder adenocarcinoma samples. **b** Distribution of *ERBB2/Her2-neu* gene amplifcation in Gall bladder adenocarcinoma by Taqman copy number assay. The bar denotes the fold change in *ERBB2/Her2-neu* expression with respect to sample ID. X- axis denotes the sample ID of the patient cohort and the y-axis represents the fold change in expression of ERBB2/Her2-neu gene in the patient cohort

between stage and overexpression of *CCND1* and *MYC* genes (*r*=0.00 and *r*=0.00 respectively). Similarly, our analysis also pointed out a signifcant negative correlation between *EGFR* and *SMAD4* expression (*r*=-0.01) (Table [3](#page-14-0)). We did not fnd any signifcant correlation between gene expression status and gender or tumor stage.

#### **Bacterial infection and correlation to the GBC disease**

Our samples were also subjected to thorough screening for any bacterial DNA that could have a correlation with the GBC. This was hypothesized because every lower body cancer has such correlations and as suggested by a study, they have found correlation between *S.typhi* and *H.pylori* infections and GBC [[17\]](#page-19-16)*.*

We found that in our tissue samples there were no strains of *H.pylori* when screened by an *Urec A* (a housekeeping gene of *H.pylori*) fgure (Supplementary Fig. 2a). So, we screened for the *CAG* (Supplementary Fig. 2b) and *VAC* genes which are responsible for its pathogenicity. Only one tissue sample was *VAC* and *CAG* positive (Supplementary Fig. 3b). We have also screened 68 gallstone diseased tissues, for *CAG A, Urec A*, and *VAC* genes. Gallstone disease (GSD) is known as an early precancerous lesion of GBC. Hence, we may argue that *H.pylori* infection is not essential to develop GBC in Eastern Indian patients.

We also screened for *S.typhi* bacterial DNA in our tissue samples to see if this infection has any correlation to GBC in our region as suggested in many case–control endemic studies. When we screened our tissue samples

for the *FLIC* gene responsible for making fagellin in *S.typhi* all tissue samples were *FLIC* negative (Supplementary Fig. 3a). We have also screened 68 gallstone diseased tissues for *FLIC* genes. As an essential component for *S.typhi* was not found, so we did not pursue further for its rRNA specifc amplifcation.

# **Association of** *CCND1* **870 A/G and** *ERBB2/Her2‑neu* **codon 655** *Ile/Val* **polymorphisms with GBC and control samples**

The restriction analysis at *CCND1* G870A and *ERBB2/ Her2-neu Ile655Val* polymorphisms were done with 67 GBC patient DNA samples. The frequency of "AG" genotype was highest with respect to the other two genotypes in *CCND1* 870 A/G SNP (Supplementary Fig. 4a and 4b). The *Ile/Ile* genotype frequency was higher than the other two genotypes in *ERBB2/Her2-neu Ile655Val* SNP (Supplementary Fig. 5a and 5b). We have analyzed the correlation and survival data from patient samples separately with these SNPs, but we did not observe any signifcant correlation between survival and risk genotype of *ERBB2/Her2-neu* and *CCND1* SNPs (Supplementary Table 3).

# **Survival analysis of gene expression and clinicopathological data of GBC tumor**

We have included diferential expression status of *EGFR, ERBB2/Her2-neu, Myc, CCND1, SMAD4* and *CDKN2A* in a 50 paired tumor and adjacent normal sample set. On the other side we have included overall survivals of each and individual accounted patient. Unfortunately, we did not observe any statistically signifcant patient OS with gene expression status (Supplementary Fig. 6).



<span id="page-12-0"></span>**Fig. 6** Immunohistological localization of *ERBB2/Her2-neu* expression in gallbladder patient tissues. **A** Tumor tissue in H&E (magnifcation×100); (**B**) *ERBB2/Her2-neu* high expression (magnifcation×200); (**C**) *ERBB2/Her2-neu* high expression (magnifcation×400); (**D**) H&E (magnifcation×100); (**E**) *ERBB2/Her2-neu* low expression (magnifcation×200); (**F**) *ERBB2/Her2-neu* low expression (magnifcation×400) (**G**) Graphical representation of *ERBB2* expression quantitated from positive staining intensity in tumor tissues

# *KRAS codon 12 Mutation detection, ERBB2/Her2‑neu amplifcation, EGFR, ERBB2/Her2‑neu, CCND1, Myc, SMAD4, and CDKN2A and TGF‑ β gene expression status in independent validation cohort of GBC tumors*

We also have included another validation set of 26 unrelated/independent paired samples (tumor and normal tissues). Out of the 26 patients' gallbladder tumor samples recruited in our study, 18 (69.2%) were female patients and 8 (30.8%) were male patients, mean age was found to be about 52. While 4 (15.4%) had a smoking habit, and 2(7.69%) had alcohol habit, an overwhelming 22 (84.6%) recorded a gallstone history. The site of GBC lesion was found mostly in it is neck (38.5%), and fundus (26.9%) followed by body (15.4%) and head (11.5%). Among the total 26 patient samples, 3 (11.5%) had a stage I gallbladder tumor, 6 (23.1%) had stage IIB, 2 (7.7%) had stage IIIA tumor, 6 (23.1%) had stage IIIB tumor and 9 (34.6%) had stage IVB tumor (Table [4\)](#page-16-0).

In our validation study cohort, we have done the most of the experiments that we have done in the previous section of our study. We frst reidentifed *KRAS* codon 12 mutation by PCR RFLP method and observed 10% *KRAS* codon 12 mutation in 26 GBC patients. *ERBB2/Her2 neu* amplifcation was observed in 46% (*n*=12) when we compared tumor samples with adjacent normal tissue (Supplementary Tables 4 and 5). Test was also performed to determine the signifcance of diferential expression of *EGFR, ERBB2/Her2-neu, MYC, CCND1, SMAD4* and *CDKN2A* genes in validation cohort upon *ACTB* normalization and the results obtained are consistent with our previous fndings. A signifcant *ERBB2* overexpression was observed and a strikingly high negative/inverse correlation was observed between *EGFR* and *SMAD4* expression, which is statistically signifcant.

Several previous studies demonstrated *TGF-β* downregulation increased the proliferation, and invasive ability



<span id="page-13-0"></span>**Fig. 7** Negative correlation of *EGFR* and *SMAD4* expression in gallbladder patient tissues indicated by immunohistochemical localization in tumor areas (**A**) H&E staining of *EGFR* high expressing tumor tissues (magnifcation×100); (**B**) *EGFR* high expression in same tissue (magnifcation×200); (**C**) *EGFR* high expression (magnifcation×400); (**D**) *SMAD4* low expression in same tissue (magnifcation×200); (**E**) *SMAD4* low expression in same tissue (magnifcation×400); (**F**) Representation of high expression of *EGFR* and low expression of *SMAD4* as quantitated from positive staining intensity in tumor tissues: (**G**) H&E staining of *SMAD4* low expressing tumor tissues (magnifcation×100); (**H**) *SMAD4* low expression in same tissue (magnifcation×200); (**I**) *SMAD4* low expression in same tissue (magnifcation×400); (**J**) *EGFR* high expression in same tissue(magnifcation×200); (**K**) *EGFR* high expression in same tissue(magnifcation×400); (**L**) Representation of high expression of *SMAD4* and low expression of *EGFR* as quantitated from positive staining intensity in tumor tissues

of cancer cells, especially in pancreatic and colorectal cancers [\[18\]](#page-19-17). We have tried to analyze the trend in GBC tissue samples as well. Interestingly, in the 26 tissue samples in our validation cohort, we have also found a signifcant *TGF-β* downregulation in GBC tissues (data not shown).

# **Discussion**

Cancer is a complex genetic disease characterized by cumulative genetic and epigenetic alterations that lead to activation of oncogenes and inactivation of tumor suppressor genes. GBC is no exception to this, with recent

<span id="page-14-0"></span>







<span id="page-16-0"></span>**Table 4** Characteristics of demography and clinicopathological parameters of total patients in validation cohort

<b>Total Patients Recruited in the study</b>	$n = 26$
Demography and Clinicopathological Characteristics	
Age(mean)	53.58
Smoking habit	15.4%
Alcohol habit	7.69%
Gall Stone	84.6%
<b>Tumor Classification (7th AJCC)</b>	
Stage I	11.5%
Stage IIB	23.1%
Stage IIIA	7.7%
Stage IIIB	23.1%
Stage IVB	34.6%
<b>Tumor Differentiation</b>	
Well differentiated	19.2%
Moderately differentiated	38.5%
Poorly differentiated	38.5%
Unidentified	3.8%
Lymph Node	
Present	53.84%
Absent	46.16%
<b>Site of Lesions</b>	
Body	15.4%
Fundus	26.9%
Neck	38.5%
Head	11.5%
Intraluminal	7.7%

molecular genetic studies revealing involvement of specifc proto-oncogenes and tumor suppressor genes in its development and progression [\[19,](#page-19-18) [20](#page-19-19)]

The ErbB signalling pathway is composed of *EGFR*, *ERBB2, ERBB3,* and *ERBB4* receptors and their downstream genes. The *EGFR* (also known as *ERBB1*), and *ERBB2* proteins have been overexpressed in GBC, and this pathway is associated with cell adhesion, diferentiation, apoptosis, division and migration and has been linked to cancer initiation and progression among several tumor types. Expression of *ERBB2/Her2-neu* is mostly absent and/or mild in dysplasia or adenoma, however study show that advanced stages (stages II to IV) are closely associated with higher levels of *ERBB2/ Her2-neu*. The *EGFR* overexpression vary between 6% to 70.7%, whereas *ERBB2/Her2-neu* overexpression has been reported to occur in 15.7%—63.6% cases in GBC from diferent studies [[21](#page-19-20)]. Consistent with this above model in the present study, we also observed overexpression of *ERBB1* and *ERBB2/Her2-neu* in our patient cohort and the overexpression pattern were statistically signifcant when we compared patient group with respect to normal control  $(EGFR/p = 0.01;$  and  $ERBB2/p = 0.02)$ . Furthermore, few NGS studies also demonstrated *EGFR* point mutations, *ERBB2/Her2-neu* amplifcation and/or protein overexpression might be involved in the development of GBC. Thus, we have focused in our study to fnd the amplifcation status of *ERBB2/Her2-neu* in our GBC patients. A previous study even stated that *ERBB2/ Her2-neu* gene amplifcation in GBC similar to that found in breast cancer [[22\]](#page-19-21). In our study *ERBB2/Her2-neu* amplifcation was identifed in 30% (17 out of 57) in GBC samples that supports previous fndings. We have seen *ERBB2/Her2-neu* amplifcation to be statistically signifcant in GBC tumor type when compared with adjacent normal tissues (*p*=*0.03*). Besides this above story, several studies have analyzed the protein expressions by immunostaining and mutation pattern of *ERBB2/Her2-neu* in GBC and resulted in (3–13%) and (4–24%) respectively [[23\]](#page-19-22). The role of *SMAD4* in modulating *EGFR* expression is a critical aspect. *SMAD4* acts as a suppressor of *EGFR* expression. Loss of *SMAD4* can result in increased *EGFR* expression, contributing to tumorigenesis [\[24](#page-19-23)]. *SMAD4* functions as a suppressor of *EGFR,* and when *SMAD4* is lost or downregulated, *EGFR* expression tends to rise in other GI tract cancers  $[25]$  $[25]$ . This is due to the loss of *SMAD4's* inhibitory efect on *EGFR* [\[26\]](#page-19-25). In our GBC cohort, expression analysis of *ERBB2, EGFR,* and *SMAD4*, led to some intriguing findings. One significant observation was the inverse correlation between *EGFR* and *SMAD4* gene expression levels in our cohort of Indian GBC samples, which is in consistence with the typical trend reported in previous literature of other GI tract cancers like in pancreatic adenocarcinoma cells [ $26$ ]. The results through RTPCR technique, corroborated with fndings through IHC studies of *EGFR* and *SMAD4* expression in GBC tissues, which was subsequently validated though another gene expression studies from validation cohort. This suggests similar genetic or molecular factors operating in the Indian population in GBC, which has barely been documented before. Our analysis also highlighted a link between *ERBB2* expression and *SMAD4* levels. When *ERBB2* expression was high, *SMAD4* expression also showed a corresponding increase. This intriguing relationship suggests potential crosstalk between the *ERBB2* and *SMAD4* pathways in GBC among Indian patients. Although such fndings were documented in other GI tract cancers in diferent parts of the world, we confrm the same mechanisms operating in GBC in Indian patients. However, when the expression status of all the 6 genes were taken together, it is not possible to make a concrete prediction as to whether simultaneous overexpression of 2, 3 or 4 genes have any synergistic or antagonistic relation with

downregulation of *CDKN2A* and *SMAD4* taken together/ independently for east Indian patient population.

Our study highlights the need for further research to unravel the intricate molecular mechanisms governing *EGFR* and *SMAD4* expression in GBC, particularly among Indian patients. These findings can have significant implications for understanding the pathogenesis of GBC and may contribute to the development of targeted therapies tailored to specifc populations.

Past NGS studies on GBC showed that the expression of *EGFR, ERBB2/Her2-neu, CCND1, Myc, SMAD4,* and *CDKN2A* were dysregulated most frequently. In a study, *Feng et.al. 2011,* pointed out the role of *CCND1/ CDK4/p16* pathway in GBC [\[27](#page-19-26)]. They also proposed that expression of *CCND1* increased along with the progression of the disease [\[28](#page-19-27)]. Another study found that the expression rates of abnormal cyclin D1 were observed in 68.3% GBC and 57.1% gallbladder adenoma and these were signifcantly higher than those found in chronic cholecystitis (7.1%). Specimens with Cyclin D1 overexpression showed a high incidence of lymphatic permeation, venous permeation, lymph node metastasis and was frequently observed in adenocarcinomas and even in adenomas, but not in any specimen of normal epithelium or adenomyoma. This results strongly suggests that increased cyclin D1 probably play a critical role in the transformation of gallbladder epithelium cells in GBC. Our study also revealed that *CCND1* expression was statistically signifcantly higher in GBC patient cohort with respect to their adjacent normal counterpart  $(p=0.04)$ . Besides this, overexpression of cyclin D1 proteins was detected by immunostaining in 41% of GBC.

Disruption of cell cycle is universal in tumors, and the most common abnormalities of this type involve the RB-CDK-INK4A pathway. Cyclin dependent kinases (CDKs) are in turn regulated by CDK inhibitors such as *INK4A* (also known as *p16INK4* and encoded by *CDKN2A*). In addition to the overexpression of cyclin D1 gene described previously in GBC, a modest number of studies indicate that *CDKN2A* might have an important role in GBC associated with gallstone [\[29\]](#page-19-28). Deletions at the *CDKN2A* region (9p21) have been reported in half of GBC, suggesting that the loss of tumor suppressor activity of p16 may play a role in the early onset of preneoplastic lesions. Inactivation of *CDKN2A* in GBC can occur by deletion, mutation, methylation, homozygous deletions, and protein expression but the mechanism of inactivation is still not well understood. Combined data from whole exome and targeted sequencing studies, explored that only 5.9% of the GBC patients showed *CDKN2A* mutations which is very low in GBC suggesting that *CDKN2A* inactivating mutation frequency is lower than other inactivating mechanisms such as homozygous deletions, LOH, promoter hypermethylation and mRNA expression. Our study also explained the lower expression of *CDKN2A,* in gallbladder tumors with respect to their normal epithelium tissues measured by mRNA expression. Overall, *CDKN2A* expression was not statistically signifcant in 68 tumor tissues *(p*=*0.07*). *SMAD4* inactivation and alterations in transforming growth factor (TGF) beta family receptors were frequently observed in GBC and other biliary tract malignancies [[12\]](#page-19-11). TGF- β suppresses tumor formation by blocking cell cycle progression, although this tumor-suppressive function is often lost in pancreatic adenocarcinoma cells by inactivation of *SMAD4*, which acts as a signalling mediator in the TGF- β signalling path-way [\[30](#page-19-29), [31\]](#page-19-30). Thus, downregulation of *TGF- β* means loss of its tumor suppressive efect, which is corroborated in our studies, where we have observed decreased expres-

sion of *TGF- β* in GBC tissue samples.

Genetic studies have shed light on the role of specifc genes in GBC development. *KRAS,* a proto-oncogene, has been implicated in several signal transduction pathways and associated pathways in the late stages of GBC malignancy. Mutations in codon 12 of the *KRAS* gene have been reported in GBC tissue, although the timing of these mutations in GBC pathogenesis remains under investigation. *KRAS* mutations exhibit signifcant variability across diferent populations, with Eastern Asian patients showing higher frequencies compared to Western populations [\[32](#page-19-31)]. Whereas, three NGS studies from whole-exome sequencing studies reported 7.8 -30% of *KRAS* mutations in their studies [[33\]](#page-19-32). Most of the *KRAS* mutation has been aggregated on codon 12, the second nucleotide of codon 12 attributed to a G to A and G to C transition. GBC associated with an anomalous pancreatobiliary duct junction (APBDJ) has a high *KRAS* mutation rate (50–83%) at codon 12 in early stages, along with that overall the *KRAS* mutation rates vary from 10–67% in diferent studies [[34\]](#page-20-0). But our study showed lower percentage (11.5%) of *KRAS* mutation. *Kumari*  et al*.* also reported from Indian study that 1 out of 49 patients has *KRAS* mutation [\[35](#page-20-1)]. The observed lower *KRAS* mutation rate in Indian GBC patients, in contrast to higher rates in other populations, challenges the notion of *KRAS* as a predominant driver in Indian GBC.

The ErbB signalling pathway, involving receptors like *EGFR* and *ERBB2*, has also been linked to GBC. The expression levels of *EGFR* and *ERBB2* vary with GBC stages, and studies have reported overexpression patterns from early to advanced stages of GBC and involved in cancer initiation and progression [[22](#page-19-21), [36](#page-20-2)]. Chronic bacterial cholangitis, primarily due to Salmonella and Helicobacter infections, also increases the risk of biliary tract malignancy. In our study, we did not fnd evidence of *H. pylori* or *S. typhi* infection in GBC patients. Gallstone

disease shows remarkable geographical variations, being more common in certain regions like the UK, USA, and Europe and less common in Africa, China, and Japan [[37\]](#page-20-3). In India, gallstone disease is more prevalent in the northern and eastern parts of the country, particularly among younger women. This regional variation is also refected in our study, where a majority of patients were female from eastern zone of India [\[38](#page-20-4)].

Our fndings demonstrated that the genotype and allele frequencies of *ERBB2*/*Her2-neu Ile655Val* polymorphism among GBC was not signifcantly diferent, we also found that "*Val"* allele or carriers of "*Val*" allele or *(Ile/Val*+*Val/ Val*) genotype were not signifcantly associated with GBC patient in our cohort. Similarly, we also found that genotype and allele frequencies of the *CCND1 G870A* polymorphism were not signifcantly diferent in the same patient cohort. Our data showed that *ERBB2*/*Her2-neu Ile655Val*, and *CCND1 A870A* gene polymorphisms may not be potential markers for GBC prognosis at least in a hospital based Indian population.

Alterations in genes such as *EGFR*, *SMAD4*, and others in gallbladder cancer in this study could potentially be linked to arsenic or heavy metal contamination, as indicated by recent studies conducted in regions with heavy metal exposure. Arsenic, a prevalent heavy metal contaminant in groundwater, has been associated with various malignancies, including gall bladder. Studies conducted in areas like the Middle Ganga Plain in Bihar, India, have revealed signifcant arsenic contamination in tube wells, surpassing safety thresholds [\[39](#page-20-5)].Investigations in countries with high arsenic exposure, such as Chile, have demonstrated higher arsenic concentrations in gall bladder tissue samples compared to non-cancerous gallbladder tissues. These findings suggest a potential association between arsenic exposure and the molecular alterations observed in gall bladder, including changes in *EGFR*, *SMAD4*, and other genes implicated in cancer development and progression [\[40](#page-20-6)].

# **Conclusion**

In conclusion, combining the data, Epidemiology and clinical profle of GBC in our study was similar to the findings in previous literature. The sample data shows high prevalence of GBC among women compared to men. Approximately, 82% of GBC patients had a gallstone history as is consistent with other studies conducted in India on GBC. In our study, we found a negative correlation between *EGFR* and *SMAD4* expression levels in Indian GBC samples, in consistent with typical negative correlation reported in previous studies in other GI tract cancers. We also found *ERBB2/Her2-neu* amplifcation/overexpression in 30% of the GBC samples, which is

consistent with previous studies in this field. The study also demonstrates *SMAD4* downregulation and *MYC* overexpression to be mutually exclusive in majority of the GBC samples. We also found a low percentage (11.5%) of GBC samples to show *KRAS* codon 12 mutation, indicating a lower *KRAS* mutation frequency among Indian GBC patients. However, no GSD tissue samples showed *KRAS* mutation, indicating *KRAS* mutation has got no role in early gall bladder dysplasia to cancer progression. We also found a signifcant *TGF- β* downregulation in GBC tissue samples.

#### **Abbreviations**



#### **Supplementary Information**

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#### **Authors' contributions**

S.C. K.G. S.P. San.G. and P.C. performed the experiments and analyzed the data. S.S., V.S., J.M., and A.M., collected specimen and clinicopathological data from patients. San.G. and B.B helped in immunohistochemistry work. B.K.C., Shi.G., and S.D. are clinicians who collaborated in this project and have done clinical analysis, and are involved in the clinical part of the manuscript writing. S.C. and San.G are involved in manuscript writing. N.S. designed the experiments, performed the experiments, collected specimens, involved in data analysis, manuscript writing and editing, data interpretation and corresponds to the manuscript.

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We do not have funding availability for the publication.

#### **Data availability**

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

#### **Declarations**

#### **Ethics approval and consent to participate**

The studies involving human participants were reviewed and approved by the Institutional Research Ethics Review Board of every hospitals and institutions involved and the IRB certifcate is attached. The two state hospitals included are Medical College & Hospital, Kolkata, SSKM & I.P.G.M.E.&R Hospital, Kolkata. The study was approved by the Institutional Ethics Committee of Indian Statistical Institute (ISI). The patients/participants provided their written informed consent to participate in this study. All our methods followed the guidelines of the Helsinki declaration.

#### **Consent for publication**

Not applicable.

#### **Competing interests**

The authors declare no competing interests.

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