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Photocatalytic Substrate Oxidation Catalyzed by a Ruthenium(II) Complex with a Phenazine Moiety as the Active Site Using Dioxygen as a Terminal Oxidant

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bearing a pyrazine moiety as the active site in tetrapyrido[3,2a:2',3'-c:3",2"-h:2"'',3"'-j]phenazine (tpphz) as a ligand was employed as a photocatalyst. The active species for the photocatalysis was revealed to be not complex 1 itself but the protonated form, 1-H⁺, protonated at the vacant diimine site of tpphz. Upon photoexcitation in the presence of an organic substrate, 1-H⁺ was converted to the corresponding dihydro-intermediate (2-H⁺), where the pyrazine moiety of the ligand received 2e⁻ and 2H⁺ from the substrate. 2-H⁺ was facilely oxidized by O₂ to recover 1-



 H^+ . Consequently, an oxidation product of the substrate and H_2O_2 derived from dioxygen reduction were obtained; however, the H_2O_2 formed was also used for oxidation of 2- H^+ . In the oxidation of benzyl alcohol to benzaldehyde, the turnover number reached 240 for 10 h, and the quantum yield was determined to be 4.0%. The absence of ring-opening products in the oxidation of cyclobutanol suggests that the catalytic reaction proceeds through a mechanism involving formal hydride transfer. Mechanistic studies revealed that the photocatalytic substrate oxidation by 1- H^+ was achieved in neither the lowest singlet excited state nor triplet excited state (S_1 or T_1) but in the second lowest singlet excited state (S_2), i.e., ${}^1(\pi - \pi^*)^*$ of the tpphz ligand. Thus, the photocatalytic substrate oxidation by 1- H^+ can be categorized into unusual anti-Kasha photocatalysis.

INTRODUCTION

Dioxygen (O_2) is an abundant and clean oxidant; thus it has received considerable attention as one of the most important terminal oxidants in benchtop as well as industrial oxidative conversion of organic substrates.¹ However, O₂ in the triplet ground state is not thermodynamically reactive and difficult to be used under ambient conditions.² On the other hand, enzymes use O_2 as the terminal oxidant in an artful manner, in which a metal center such as iron is reduced at an appropriate timing through electron transfer (ET) from the corresponding reductases to reductively activate O_{2} , followed by the O-O bond cleavage to form the active species.^{3,4} As an alternative approach to use O₂ as the terminal oxidant, quinone derivatives have been employed as the responsible oxidant for the oxidation of organic substrates and the resultant reduced quinone, i.e., hydroquinone, is oxidized by O_2 to form the original quinone and H₂O₂.⁵ For example, pyrroloquinoline quinone (PQQ), found in methanol dehydrogenase,⁶ has been reported to oxidize organic substrates such as benzyl alcohol,7 when PQQ coordinates to a metal ion such as Ca²⁺ for activation of PQQ itself, and the formed $2e^{-}/2H^{+}$ -reduced PQQ is oxidized by O₂.

It is proposed that the substrate oxidation by PQQ proceeds through a hydride-transfer mechanism;⁹ thus, the product selectivity for substrate oxidation by PQQ is high in spite of the reaction performed under aerobic conditions.

On the other hand, it is very difficult to activate O_2 by an artificial system in the same manner with enzymes, and thus, photochemical pathways have been applied for artificial substrate oxidation with the use of a photosensitizer (PS) and O_2 as the terminal oxidant.² For example, flavin and the derivatives^{10,11} and other chromophores^{12,13} have been employed as PSs for photocatalytic oxidation of organic substrates. However, photoirradiation to a solution containing a PS under air or O_2 atmosphere causes the formation of reactive

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Figure 1. Proposed mechanism of the photocatalytic hydrogen evolution by 1 and recovery of 1 from 2 by O_2 oxidation (red line).²⁴



Figure 2. ORTEP drawing for the crystal structure of $[1-H^+](OTf)_3$ with the 50% probability level. Counter anions and protons except that at N5 and hydrogen-bonded H₂O molecule are omitted for clarity.

oxygen species such as singlet oxygen, ${}^{1}O_{2}$, and recently, several reports have revealed that ${}^{1}O_{2}$ is the actual active species for the substrate oxidation.^{14,15} Formation of ${}^{1}O_{2}$ usually causes decomposition of the PS and low product selectivity due to the high reactivity of ${}^{1}O_{2}$.¹⁶

To efficiently carry out photocatalytic reactions using O_2 as a terminal oxidant without formation of 1O_2 , faster ET from a substrate trapped in the close vicinity to a PS or photocatalyst in higher singlet excited states $(S_n (n \ge 2))$ than the energy transfer to generate 1O_2 should be required to oxidize organic substrates; the reduced PS or photocatalyst can be oxidized by O_2 to recover the resting state. This strategy allows us to oxidize organic substrates by a photocatalyst in a high-energy singlet excited state without passing through intersystem crossing to the low-energy triplet excited state. However, the $S_n (n \ge 2)$ states

usually decay to the lowest singlet excited states (S_1) with very short lifetime by Kasha's rule;¹⁷ thus, the S_n $(n \ge 2)$ states are generally difficult to be used for photocatalysis. As examples of photoprocesses breaking the Kasha's rule, emission from a higher excited state has been well investigated.^{18–20} In addition, photoinduced ET proceeding from S_n $(n \ge 2)$ states has been reported so far.^{21,22} To the best of our knowledge, there are no reports of photocatalysis using higher singlet excited states.²³

We have recently reported photocatalytic hydrogen evolution²⁴ catalyzed by a Ru^{II} complex, [Ru^{II}(tpphz)(bpy)₂](ClO₄)₂ (1; tpphz = tetrapyrido[3,2-*a*:2',3'-*c*:3",2"-*h*:2"",3"'-*j*]-phenazine, bpy = 2,2'-bipyridyl),²⁵ with the use of Et₃N as a sacrificial reductant. In the hydrogen evolution, a dihydro-intermediate, [Ru^{II}(H₂tpphz)(bpy)₂]²⁺ (2),²⁶ was formed and photoirradiation of 2 induces the reaction with H⁺ from the

solvent to form a H₂ molecule and recover 1 (Figure 1).²⁴ In addition, we have also reported that complex 2 is easily oxidized by the addition of a small amount of O_2 to regenerate 1.²⁴

In this work, based on the facile recovery of 2 to 1 with O_2 , we have developed a photocatalytic oxidation system of organic substrates using 1 as a photocatalyst and O_2 as a terminal oxidant in water. The point of the catalytic system described in this work has been revealed to be protonation of 1 at the vacant diimine site of the tpphz ligand. Photoirradiation to the protonated species, 1-H⁺, affords an emissive singlet $\pi - \pi^*$ excited state, which works as a reactive state for the oxidation of organic substrates and the resultant reduced species of 1-H⁺, that is 2-H⁺, is oxidized with use of O_2 to recover 1-H⁺. Herein, we report photocatalytic oxidation of organic substrates in acidic water and detailed mechanistic insights into photocatalysis of 1-H⁺ for substrate oxidation based on various spectroscopies, including transient absorption spectroscopy.

RESULTS AND DISCUSSION

Protonation of the tpphz Ligand in 1. We performed spectroscopic titration of 1 in Britton–Robinson (B.–R.) buffer²⁷ by the addition of NaOH aq (0.2 M) to monitor the spectral change in the UV region, and the pK_a value for the protonation/deprotonation process at the diimine site of the tpphz ligand in 1 was determined to be 2.99 ± 0.06 (Figure S1). Thus, complex 1 is protonated to be 1-H⁺ in an aqueous solution with a pH less than 3. To confirm the protonation of the diimine moiety of 1, the structure of 1-H⁺ was elucidated by a single-crystal X-ray diffraction analysis (Figure 2).

A single crystal of $[1-H^+](TfO)_3$ (TfO = trifluoromethanesulfonate) was obtained from an aqueous solution of 1-H⁺, acidified by the addition of an excess amount of TfOH. The number of TfO⁻ ion as the counteranion indicates that complex 1 is protonated to be a trication in the crystal. The bond angle of \angle C20-N5-C24 is 123.1(4)°, which is larger than that of \angle C15–N4–C19 (116.2(4)°) and the corresponding angles for $[Ru^{II}(TPA)(tpphz)](BPh_4)_2$ (116.8(8)° and 117.8(8)°).²⁸ Expansion of the $\angle C\alpha$ -N-C α angle is typical for protonation at pyridine nitrogen,²⁹ and thus, the diimine nitrogen, N5 in Figure 2, of the tpphz ligand is protonated in the crystal. As evidence of the monoprotonation at the diimine moiety of 1, one water molecule of crystallization resides in the distance of 2.69(1) Å from N5, indicating that N5 is protonated to form hydrogen bonding with the H₂O molecule. The tpphz ligand in 1-H⁺ showed $\pi - \pi$ stacking with the tpphz ligand in another 1-H^{+,} and the separation between the two tpphz ligand was calculated to be 3.268 Å (Figure S2).

Redox Properties of 1 and 1-H⁺. Electrochemical studies of 1 and 1-H⁺ were performed in CH₃CN containing tetrabutylammonium hexafluorophosphate (TBAPF₆) as the electrolyte (Figure S3 and Table S1). Complex 1 exhibited one reversible oxidation wave at +0.94 V vs Fc^+/Fc (Fc = ferrocene) and three reversible reduction waves at -1.36, -1.78, and -1.99V vs Fc⁺/Fc (Figure S3a and Table S1). The oxidation wave can be assigned to be the Ru^{III}/Ru^{II} process, whereas the reduction waves are ascribed to the processes of tpphz/tpphz^{•-}, bpy/ bpy^{•-}, and bpy/bpy^{•-}, respectively.³⁰ Upon adding TfOH (0.5 mM) to the solution of 1 in CH_3CN to form 1-H⁺, the oxidation wave derived from the Ru^{III}/Ru^{II} process was observed at +0.97 V vs Fc/Fc⁺ without a significant shift relative to that before TfOH was added (Figure S3b and Table S1). In contrast, the reduction waves of 1-H⁺ exhibited large positive shifts relative to those before adding TfOH and were observed at -0.48, -0.83,

and -1.09 V vs Fc⁺/Fc (Figure S3b and Table S1). The positive shift of the reduction potential for the tpphz/tpphz^{•-} process of 1-H⁺ indicates the enhancement of oxidation activity of 1-H⁺ in the photoexcited state, since the excitation energy of 1 and 1-H⁺ can be considered to be almost the same for both based on the emission maxima of phosphorescence derived from the MLCT triplet states as the lowest excited states (See below).

Photocatalytic Oxidation of Benzyl Alcohol by 1-H⁺. A solution containing benzyl alcohol (BnOH; 100 mM) as a substrate and complex 1 (0.1 mM) as a photocatalyst in B.-R.buffered D_2O_1 , whose pD was varied in the range of 5.0–1.5, was photoirradiated with white light ($\lambda > 380 \text{ nm}$) under O₂ (1 atm) for 10 h. The solution after photoreaction was analyzed by ¹H NMR spectroscopy. The ¹H NMR spectrum of the reaction mixture showed signals derived from benzaldehyde (PhCHO) as the sole oxidation product from BnOH (Figure S4a-e). The turnover number (TON) for the PhCHO formation for 10 h was plotted against the solution pD; the TON increased with lowering the solution pD and the inflection point was found to be around pD = 3 (Figure S4f), which matches the pK_a value of 1 determined above. In addition, we performed photocatalytic oxidation of BnOH in H₂O at pH 1.5 under the same conditions in D₂O. The TON of PhCHO production for 18 h quantified by GC-MS was determined to be 320, which was almost the same as that in D₂O as determined by ¹H NMR measurements. Thus, photocatalysis of BnOH oxidation should be performed by 1-H⁺ as a photocatalyst with complete selectivity for the 2e⁻-oxidation reaction by using O_2 as the oxidant (Scheme 1).

Scheme 1. Photocatalytic Oxidation of BnOH by 1-H $^+$ Using O₂ as the Terminal Oxidant



To clarify the fate of 1-H⁺ after photocatalysis, photocatalytic BnOH oxidation was performed at a higher concentration (0.2 mM) of 1-H⁺ in D₂O (pD = 1.5) (Figure 3). The ¹H NMR signals of 1-H⁺ were intact after the photocatalytic oxidation of BnOH performed under O₂ (1 atm) and white-light irradiation



Figure 3. ¹H NMR spectrum of 1-H⁺ (0.2 mM) (a) and those of 1-H⁺ (0.2 mM) and BnOH (100 mM) after photoirradiation ($\lambda > 380$ nm) for 12 h under O₂ (1 atm) (b) or Ar atmosphere (1 atm) (c). Solvent: B.-R.-buffered D₂O (pD = 1.5). The ¹H NMR signals of 1-H⁺, 2-H⁺ and PhCHO are indicated by red, green, and blue sticks, respectively.

Substrate	BDE _{C-H} ^{a,b}	Product	TON
ОН	87.5	0	210
OH	88.3	o l	152
МеО		MeO	100
NaO ₃ S	85.4	NaO ₃ S	45 (85) ^c
NaO ₃ S	88.6	NaO ₃ S	11 (45) ^c
	112.9	ОН	6 (23) ^c

Table 1. Summar	ry of TONs for the	Photocatalytic Subst	trate Oxidation by	1 under O_2 (1 atm)
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"In kcal mol⁻¹. ^bReference 34. ^cTONs obtained using an LED lamp ($\lambda_{center} = 365 \text{ nm}$) as a light source. Reaction conditions: [1-H⁺] = 10 μ M, [substrate] = 50 mM, T = room temperature. Reaction time: 10 h. Solvent: B.–R.-buffered D₂O (pD = 1.5). Light source: Xe lamp ($\lambda > 380 \text{ nm}$).

 $(\lambda > 380 \text{ nm})$ (Figure 3b), indicating the robustness of 1-H⁺ under the catalytic conditions. The amount of PhCHO formed was determined to be 11.2 mM based on the integration ratio of a signal due to the formyl group relative to that of 2,2-dimethyl-2-silapentane-5-sulfonate sodium salt (DSS) as an internal standard. The TON was calculated to be 56 for 12 h. On the other hand, when photocatalytic oxidation of BnOH by 1-H⁺ was performed in B.-R.-buffered D₂O (pD 1.5) under Ar atmosphere, only 0.2 mM of PhCHO, i.e., 1 mol eq against 1-H⁺, was observed and complex 1-H⁺ was fully converted to the dihydro-derivative, $2-H^+$ (Figure 3c). Therefore, the excited state of 1-H⁺, formed by photoirradiation, should accept 2H⁺ and 2e⁻ from BnOH at the phenazine moiety of the tpphz ligand to afford PhCHO and complex 2-H⁺. In the presence of O_{24} complex 2-H⁺ obtained is easily oxidized to regenerate 1-H⁺ (Figure S5), similar to $1.^{24,31}$

At solution pD = 1.5, effects of the catalyst concentration on the photocatalytic efficiency were investigated. Photoirradiation $(\lambda > 380 \text{ nm})$ was performed for 10 h to a B.–R.-buffered D₂O containing BnOH (100 mM) and various concentrations of 1- H^+ (5–50 μ M) and the amounts of PhCHO as the product were quantified by ¹H NMR spectroscopy. The time course of the PhCHO formation from the photocatalytic BnOH oxidation by 1-H⁺ (10 μ M) is provided in Figure S6, and the reaction was almost saturated at 10 h.³² The TONs for 10 h obtained from the experiments performed with various concentrations of 1-H⁺ were plotted against the concentration of $1-H^+$ (Figure S8a), and the plot exhibits the tendency that with the lowering of the concentration of 1-H⁺, the TON increases.³³ The TON reached 240, when the concentration of $1-H^+$ was 5 μ M. The substrate concentration was also optimized; as shown in Figure S8b, the TON for the PhCHO formation for 10 h was saturated over 50 mM. Complex 1'-H⁺ (See Figure 1) was also used as a photocatalyst instead of 1-H⁺ for the BnOH oxidation under the same conditions; the TON was determined to be 60. Thus, introduction of CF₃ groups as an electron-withdrawing group to the bpy ligand does not severely affect the activity of a photocatalyst for the photocatalytic oxidation of BnOH.

Substrate Scope for the Photocatalytic Oxidation by 1-H⁺. Other organic substrates were also oxidized by photocatalysis of 1-H⁺ (Table 1 and Figure S9); a solution of 1-H⁺ (10 μ M) and 50 mM of an organic substrate in D₂O (pD = 1.5) was photoirradiated for 10 h, and the products were characterized and quantified with ¹H NMR spectroscopy. BnOH derivatives, 1-phenyl-ethanol and 4-methoxy-benzyl alcohol, were oxidized to afford the corresponding ketone or aldehyde selectively, and the TONs were estimated to be 152 and 100, respectively. Sodium ethylbenzene-sulfonate and sodium toluenesulfonate as water-soluble alkylbenzene derivatives were also used as substrates for the photocatalytic oxidation by 1-H⁺, obtaining modest amounts of 1-phenylethanol and benzyl alcohol derivatives as the sole products, respectively. Benzene was also photocatalytically oxidized by 1-H⁺ to phenol without any overoxidation; however, the TON was modest. Thus, an LED lamp centered at 365 nm was used instead of white light from a Xe lamp. In consequence, the TON for the phenol formation from benzene was improved from 6 to 23 (Table 1).³⁵ There are a limited number of examples of photocatalytic systems by molecular catalysts to convert benzene to phenol using oxygen as the oxidant.³⁰

Mechanistic Insights of the Photocatalytic Oxidation by 1-H⁺. Generally, photoirradiation to a solution of a Ru^{II}tris(diimine) complex under a O₂ atmosphere affords singlet oxygen (¹O₂) through energy transfer from the triplet excited state of the Ru^{II}-tris(diimine) complex to the triplet O₂ (³O₂).³⁷ To confirm the hypothesis that the active species for the photooxidation of BnOH by 1-H⁺ is not ¹O₂ but the photoexcited state of 1-H⁺, we added NaN₃ (200 mM) as a quencher of ¹O₂³⁸ to the reaction solution (Figure S10). Consequently, even when adding NaN₃, the TON for the photocatalytic BnOH oxidation by 1-H⁺ was not lowered (Figure S10b); thus, ¹O₂ is not the active species for the

Scheme 2. Photoinduced Reactions of $1-H^+(a)$ and $[Ru^{II}-(bpy)_3]^{2+}(b)$ with O_2 and BnOH



photocatalytic oxidation (Scheme 2a). As a reference, we used $[Ru^{II}(bpy)_3]Cl_2$ as a PS instead of 1-H⁺; white-light irradiation to a solution containing BnOH (100 mM) and $[Ru^{II}(bpy)_3]Cl_2$ (0.2 mM) in B.–R.-buffered D₂O (pD = 1.5) under O₂ (1 atm) for 12 h afforded PhCHO as the product with TON of 33 (Figure S11a), which was almost the same with that using 1-H⁺ as a PS under the same conditions (Figure S10a). In contrast, when adding NaN₃ (200 mM) to the same aqueous solution of $[Ru^{II}(bpy)_3]Cl_2$ and performing photocatalytic oxidation, PhCHO was scarcely obtained (Figure S11b). Therefore, the active species of the photocatalytic oxidation by $[Ru^{II}(bpy)_3]Cl_2$ should be 1O_2 , formed through energy transfer from ${}^3{[Ru^{II}(bpy)_3]^{2+}}*$ to 3O_2 (Scheme 2b).³⁹

To gain mechanistic insights into the photocatalytic oxidation by 1-H⁺, the initial rates for the oxidation of BnOH and its derivative deuterated at the benzyl position (BnOH- d_2) were determined and the concentrations of PhCHO and PhCDO as the products were obtained from the ¹H NMR spectroscopy. The time course of the product formation exhibited linearity during the initial 8 h for both BnOH and BnOH- d_2 oxidation (Figure 4). The slope of the time courses afforded the initial rates, v_0 , for the BnOH and BnOH- d_2 oxidation as $v_0^{\rm H} = 0.174 \pm$ 0.002 mM h⁻¹ and $v_0^{\rm D} = 0.0532 \pm 0.0008$ mM h⁻¹, respectively. Therefore, the kinetic isotope effect (KIE = $v_0^{\rm H}/v_0^{\rm D}$) was calculated to be 3.3. The significant KIE indicates that the rate-



Figure 4. Time courses of the product formation for the photocatalytic oxidation of BnOH (black squares) and BnOH- d_2 (red circles) by 1-H⁺ as the photocatalyst under photoirradiation (Xe lamp; $\lambda > 380$ nm) and O₂ (1 atm) at 298 K in B.–R.-buffered D₂O (pD = 1.5). Conditions: [1-H⁺] = 0.1 mM, [substrate] = 100 mM.

determining step (RDS) of the photocatalytic BnOH oxidation by $1-H^+$ involves C-H bond cleavage at the benzylic position.

Photocatalytic oxidation of cyclobutanol (cBuOH) by 1-H⁺ was also performed. After photoirradiation ($\lambda > 380$ nm) for 12 h to a solution of cBuOH (100 mM) and 1-H⁺ (0.1 mM) in B.-R.-buffered D₂O (pD 1.5), the ¹H NMR spectrum of the reaction solution exhibited the signals of cyclobutanone (indicated by the blue line in Figure 5a) as the sole product



Figure 5. ¹H NMR spectra of the reaction mixtures for the photocatalytic oxidation of cBuOH by 1-H⁺ (a) or $[Ru^{II}(bpy)_3]Cl_2$ (b) under photoirradiation (Xe lamp; $\lambda > 380$ nm) and O₂ (1 atm) in B.–R.-buffered D₂O. Conditions: $[1-H^+] = 0.1$ mM, [cBuOH] = 100 mM, T = room temperature. Reaction time: 12 h. The ¹H NMR signals of $1-H^+$, $[Ru^{II}(bpy)_3]^{2+}$, cyclobutanone, and various ring-opening products are indicated by yellow, orange, blue, and green sticks, respectively. DSS was used as an internal standard, and its signals are indicated by red sticks.

accompanying the ¹H NMR signals of 1-H⁺ (indicated by yellow lines in Figure 5a). This result suggests that the photocatalytic oxidation by 1-H⁺ as the catalysts proceeds through formal hydride transfer from the substrate (Scheme 3a).⁴⁰ The TON for cyclobutanone formation for 12 h was determined to be 8. On the other hand, photocatalytic oxidation of cBuOH by [Ru^{II}(bpy)₃]Cl₂ as a PS under the same conditions afforded a





complicated mixture of various products including ring-opening ones (Figure 5b). This indicates that ${}^{1}O_{2}$ as the active species formed by the photoirradiation performs hydrogen-atom abstraction from cBuOH to induce ring-opening reactions (Scheme 3b).^{40,41}

Elucidation of Photochemical Formation of 2-H⁺ from 1-H⁺. To clarify the dependence of photocatalytic BnOH oxidation by 1-H⁺ on irradiation wavelength, we have monitored the formation process of 2-H⁺ by photoirradiation to an aqueous solution of $1-H^+(25 \,\mu\text{M})$ under Ar in the presence of BnOH (50 mM). At the solution pH of 7.0, photoirradiation with white light (λ > 380 nm) did not induce any spectral changes of 1 even after irradiation for 60 min (Figure S12a,d). In contrast, when the solution pH was lowered to be 1.3 by addition of TfOH (50 mM), white light irradiation ($\lambda > 380$ nm) only for 250 s fully converted 1-H⁺ to 2-H⁺, which was verified by disappearance of the $\pi - \pi^*$ transition of the tpphz ligand in 1-H⁺ observed around 350-390 nm^{24,30} (Figure \$12b,e).⁴² Instead of white light, to generate the triplet MLCT excited states,³⁰ light centered at 440 nm with a band-path filter was employed to irradiate an aqueous solution of 1-H⁺, whose pH was adjusted to be 1.3 by addition of TfOH; however, any spectral change was not observed after irradiation for 60 min (Figure S12c,f). Thus, the species in the MLCT excited states cannot perform photocatalytic oxidation of BnOH. When the same solution of 1-H⁺ was irradiated by light centered at 380 nm using another band-path filter, the spectral change from 1-H⁺ to 2-H⁺ was observed and completed within 1000 s (Figure 6).⁴² As mentioned above, the absorption band of 1-H⁺ around 350–390 nm is derived from the $\pi - \pi^*$ transition of the tpphz ligand;³⁰ thus, the photocatalytic oxidation of BnOH by 1-H⁺ requires photoexcitation of the $\pi - \pi^*$ transition of 1-H⁺.

Quantum Yield Determination of Formation of 2-H⁺ through the Photocatalytic Oxidation of BnOH by 1-H⁺. The light intensity at 380 nm was determined with an actinometer method⁴³ to be 2.34×10^8 einstein s⁻¹. Based on the initial rate for formation of 2-H⁺ from 1-H⁺ by irradiation at 380 nm in the presence of BnOH (50 mM) (Figure S14a), the quantum yield (Φ) for the formation of 2-H⁺ at 380 nm was determined to be 3.3%. The Φ values for the formation of 2-H⁺ were also determined by varying the concentrations of BnOH under similar conditions. The Φ values obtained were highly dependent on [BnOH]; the Φ values reached 4.0% as the maximum at [BnOH] = 75 mM (Figure S14b). Fukuzumi and co-workers also reported the decrease of the Φ values for photocatalytic substrate oxidation by a flavin derivative upon increasing the substrate concentration.^{10a} They attributed the decrease of the Φ values to acceleration of the back electron transfer (BET) due to formation of a dimer radical cation $([(substrate)_2]^{\bullet+})$ between the substrate and its radical cation.⁴⁴ The dependence of the quantum yield on the substrate



Figure 6. (a) UV-vis spectral change of 1-H⁺ in an aqueous solution containing TfOH (50 mM) by irradiation of light regulated with a band-path filter centered at 380 nm (indicated with yellow background). Irradiation time: 0 s (black) and 1000 s (red). (b) Time courses of the absorbance changes at 375 nm (black) and 391 nm (red), extracted from (a).

concentration is discussed in detail in the section regarding the transient absorption spectra of 1-H⁺ (see below).

Characteristics of Photoexcited States of 1-H⁺. Emission spectra of 1 and 1-H⁺ were measured to elucidate the properties of the excited states (Figure S15). The absorption spectra of 1 and 1-H⁺ are slightly different (Figure S15a); thus, the irradiation wavelengths were also adjusted to be appropriate for 1 and 1-H⁺, respectively. Here, both excitation at the $\pi - \pi^*$ transition (λ_{exc} = 361 nm for 1 and 356 nm for 1-H⁺) and MLCT transition (λ_{exc} = 444 nm for both 1 and 1-H⁺) were performed to measure the emission spectra. Emission spectra of 1 at pH 7 exhibited a broad emission band around 640 nm regardless of the irradiation wavelength (orange and gray lines in Figure S15b). The emission band can be assigned to phosphorescence from the ³MLCT* excited state.³⁰ Irradiation at 444 nm, corresponding wavelength to the MLCT transition, to an acidic aqueous solution of 1-H⁺ at pH 1.3, adjusted by addition of TfOH, also exhibited broad emission at 620 nm (yellow line in Figure S15b), which is ascribable to phosphorescence from the ³MLCT* excited state. On the other hand, an emission spectrum of 1-H⁺ with irradiation at 356 nm showed an intense emission band around 380 nm (blue line in Figure S15b). To confirm that the emission was derived from 1-H⁺, we measured an excitation spectrum of 1-H⁺ by monitoring the emission at 403 nm; the excitation spectrum obtained almost matched to the absorption spectrum of 1-H⁺ (Figure S15c), and thus the intense emission around 380 nm can be ascribed to an excited state of 1-H⁺. The quantum yield for the emission at 380 nm from 1-H⁺ was determined using phenanthrene as an external standard⁴⁵ to be 2.8%. In addition, the emission intensity of $1-H^+$ was not altered under Ar and O_2 (1 atm) (Figure S16), suggesting that

no energy transfer to ${}^{3}O_{2}$ and thus the emission around 380 nm is derived from a singlet excited state of 1-H⁺. Therefore, the ${}^{1}(\pi-\pi^{*})^{*}$ state of the tpphz ligand in 1-H⁺ as the second lowest singlet excited state does not decay to the ${}^{1}MLCT^{*}$ state as the lowest singlet excited state; that is, the Kasha's rule¹⁷ is broken here.

The fluorescence lifetime of 1-H⁺ at 384 nm was determined to be 235 \pm 2 ps (Figure S17a). A fluorescence quenching experiment of 1-H⁺ was performed with BnOH as a quencher, and upon the increasing concentration of BnOH, the fluorescence intensity gradually decreased (Figure S17b). A Stern–Volmer (S–V) plot⁴⁶ for the fluorescence at 389 nm of 1-H⁺ quenched by BnOH was provided to clarify that dependence of I_0/I against the concentration of BnOH was not linear at the high concentration of BnOH (Figure S17c). In addition, the k_Q value was determined to be $(6.1 \pm 0.1) \times 10^{10}$ M⁻¹ s⁻¹ from the slope for the linear fitting against the S–V plot at 389 nm in the lower concentration range of BnOH in Figure S17c; the rate constant exceeds the diffusion-limited rate constant in water (~1 × 10¹⁰ M⁻¹ s⁻¹).⁴⁷ Therefore, the photocatalytic oxidation of BnOH probably proceeds in its adduct with 1-H⁺.

Adduct Formation by 1-H⁺ with BnOH. To confirm the adduct formation between 1-H⁺ and BnOH, BnOH was titrated to an aqueous solution of $1-H^+$ (50 μ M) in D₂O, whose pD was adjusted to 1.3 by the addition of TfOH at 298 K, and the ¹H NMR spectra were measured (Figure 7a). Significant upfield shifts were observed for the ¹H NMR signals of the protons at the adjacent carbons of the vacant diimine nitrogens in the tpphz ligand, as well as those at the adjacent carbons of the diimine nitrogens coordinating to the Ru^{II} center (please also see the signal assignment in Figure S18a). This suggests that the benzene ring of BnOH moves between both of the diimine moieties of the tpphz ligand in the ¹H NMR time scale in the adduct with $\pi - \pi$ stacked structures, as shown in Figure S18b. The chemical shift change observed for the protons at the adjacent carbons of the vacant diimine nitrogens in the tpphz ligand of $1-H^+$ (blue star in Figure 7a) was plotted against the concentration of BnOH, and the plot was curve-fitted based on eq S2 appropriate for 1:1 adduct formation (Figure 7b). The curve fitting allowed us to determine the association constant (K) of 1-H⁺ with BnOH to be 2.4 \pm 0.7 M⁻¹.

Elucidation of Reaction of 2-H⁺ with O₂. To confirm that O_2 used for oxidation of 2-H⁺ turns to H₂O₂, we have performed quantification of H_2O_2 using titanium(IV)-oxo-5,10,15,20tetra(4-pyridyl)porphyrinato (TiTPyP) as a probe compound.⁴⁸ To a solution (0.2 mL) of TiTPyP (5 μ M) in 0.05 $M HCl_{a0}$, the reaction solution, containing BnOH (50 mM) and $1-H^+$ (0.01 mM) in D₂O (pD = 1.3 adjusted by addition of TfOH) and irradiated by white light ($\lambda > 380$ nm) for 10 h, was titrated, and then the solution was diluted to 2 mL with an acidic water. In the UV-vis spectrum of the diluted solution, the Soret band of TiTPyP, originally observed at 432 nm, bathochromically shifted to 450 nm (Figure S19a). Based on the titration curve (Figure S19b), the concentration of H_2O_2 was determined to be 0.17 mM, which does not match the concentration of PhCHO (4.68 mM) as the oxidation product from BnOH, determined by ¹H NMR spectroscopy, in the reaction solution. This can be explained by the assumption that H_2O_2 is also used for oxidation of 2-H⁺, producing complex 1-H⁺ and two molecules of H₂O.49

Then, we performed photocatalytic oxidation of BnOH by 1-H⁺ (0.01 mM) in the presence of H₂O₂ (7.0 mM) using whitelight (λ > 380 nm) irradiation for 10 h. After the reaction, the



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Figure 7. (a) ¹H NMR spectral titration of 1-H⁺ (50 μ M) in D₂O, whose pD was controlled to be 1.3 by addition of TfOH, with BnOH at 298 K. (b) Plot of the chemical shift change for the most upfield-shifted signal, observed at 10.30 ppm upon [BnOH] = 0 M, against [BnOH] and its curve fitting based on eq S2.

0.2

[BnOH], M

0.3

0.1

C

-0.05

-0.10

-0.15

-0.20 L

Δ*δ*(★), ppm

concentrations of PhCHO and H_2O_2 in the solution were determined to be 1.3 and 5.6 mM, respectively, by ¹H NMR spectroscopy and the TiTPyP method (Figure S21). Thus, the amounts of PhCHO obtained (1.3 mM) and H_2O_2 consumed (1.4 mM) were consistent, indicating that H_2O_2 also can function as the terminal oxidant for the photocatalytic oxidation of BnOH by 1-H⁺.

We have determined the rate constants of the reaction between 2-H⁺, photochemically formed under Ar in the presence of BnOH (50 mM), and H₂O₂ or O₂ in the dark by monitoring the UV-vis spectral changes (Figures S22-S24). To an aqueous solution of 2-H⁺ (0.022 mM) containing TfOH (50 mM) and BnOH (50 mM) after white-light irradiation ($\lambda >$ 380 nm) for 30 min, a large excess amount of H₂O₂ was added, and based on the absorbance change at 375 nm, the pseudo-firstorder rate constants (k_{obs}) were obtained (Figures S22a and S23). The k_{obs} values were plotted against the initial H₂O₂ concentration (Figure 8a), and the slope of the linear fitting provided the second-order rate constant (k_2) for the oxidation of 2-H⁺ by H₂O₂ as 0.039 ± 0.008 M⁻¹ s⁻¹. On the other hand, a



Figure 8. Plots of pseudo-first-order rate constants (k_{obs}) for the reaction of **2**-H⁺ (0.022 mM) with H₂O₂ (a) and O₂ (b) against initial concentration of H₂O₂ or O₂ added to the solution of **2**-H⁺.

similar experiment was performed with O_2 as the oxidant (Figures S22b and S24), and the k_{obs} values obtained also exhibited linear dependence against the concentration of O_2 (Figure 8b). Based on the slope, k_2 for the oxidation of 2-H⁺ by O_2 was determined to be $53 \pm 2 M^{-1} s^{-1}$. Thus, the reaction of 2-H⁺ by O_2 is 1300 times faster than that by H_2O_2 in the dark, which contradicts the assumption that H_2O_2 formed by the reduction of O_2 by 2-H⁺ is consumed by oxidation of another molecule of 2-H⁺. Therefore, to explain the reason that the amount of H_2O_2 detected in the reaction solution was much

smaller than that of the oxidation product obtained, we assume that the photoexcitation of $2-H^+$ accelerates the reaction of $2-H^+$ with H_2O_2 under the photocatalytic reaction conditions.

Femto-Second Transient Absorption Spectroscopy of 1-H⁺. A femto-second (fs)-transient absorption spectrum (TAS) of 1 in an aqueous solution at pH = 7 with photoexcitation at 440 nm, which is ascribed to the MLCT transition, exhibited a broad transient absorption band at 675 nm (Figure S25a). Analysis of the decay curve of the transient band at 675 nm with a single exponential indicates a lifetime of 1.78 ± 0.06 ns (Figure S25b). Comparison with TAS of similar $Ru^{II}(tpphz)$ complexes reported in literature⁵⁰ indicates that the band at 675 nm is attributed to the absorption of the ³MLCT* state. Photoexcitation at 375 nm, corresponding to the $\pi - \pi^*$ transition of the tpphz ligand, to the aqueous solution of 1 at pH = 7 also afforded almost the same fs-TAS (Figure S25c) as that observed by excitation at 440 nm. Therefore, the $(\pi - \pi^*)^*$ state of the tpphz ligand in 1 immediately decays to the ¹MLCT* state by internal conversion (IC); the ¹MLCT* state also immediately decays to the ³MLCT* state through intersystem crossing (ISC) accelerated by heavy atom effect of the Ru^{II} center.

A fs-TAS of 1-H⁺ in an aqueous solution at pH = 1.3, adjusted by the addition of TfOH, was also measured with photoexcitation at 440 nm, also ascribed to the MLCT transition (Figure S26). A broad transient absorption band assignable to the absorption of the ³MLCT^{*} state was observed at 740 nm. The decay of the absorbance at 740 nm was analyzed with a single exponential to determine the lifetime of the ³MLCT^{*} state as $(6.0 \pm 0.2) \times 10^2$ ps (Figure S26b). In contrast, photoexcitation at 375 nm derived from the π - π ^{*} transition of the tpphz ligand exhibited a transient absorption band with two peaks at 580 and 735 nm (Figure 9a) and the analysis of the decay of the absorbance at 735 nm with double exponentials afforded lifetimes of $(2.6 \pm 0.1) \times 10^2$ ps (86%) and 12 ± 2 ps (14%). The former lifetime is matched to that of emission of 1-



Figure 9. (a) fs-TAS of 1-H⁺ in an aqueous solution at pH = 1.3 (λ_{irr} = 375 nm) and the decay curve for the transient absorbance of 1-H⁺ monitored at 675 nm with the double-exponential fitting curve. (b) fs-TAS of 1-H⁺ in an aqueous solution at pH = 1.3, containing BnOH (100 mM): λ_{irr} = 375 nm. The NIR absorption bands derived from [(BnOH)₂]⁺⁺ are indicated by red arrows.

H⁺ observed at 380 nm determined by time-correlated single photon counting (see above), and thus, it corresponds to the singlet $\pi - \pi^*$ excited state of the tpphz ligand in 1-H⁺. In addition, in contrast to the case of 1, transition from the ${}^1(\pi - \pi^*)^*$ of the tpphz ligand to ${}^1\text{MLCT}^*$, which immediately transit to ${}^3\text{MLCT}^*$ through ISC, was not observed by fs-TAS. Thus, breaking of the Kasha's rule¹⁷ in 1-H⁺ was also verified by the fs-TAS.

When BnOH (100 mM) was added to an aqueous solution of 1-H⁺, whose pH was controlled to be 1.3 by addition of TfOH, and the fs-TAS was measured with irradiation at 375 nm, a transient absorption band with two peaks at 580 and 735 nm was observed (Figure S27a), similar to that observed in the absence of BnOH. The lifetime of the excited state, obtained from the analysis of the absorbance decay at 735 nm, was $(2.4 \pm 0.1) \times$ 10^2 ps (Figure S27b) and slightly shorter than that in the absence of BnOH. This is consistent with the fact that the addition of BnOH shortens the emission lifetime (see above), indicating that the $(\pi - \pi^*)^*$ state reacts with BnOH. In the near-infrared (NIR) region of the fs-TAS of 1-H⁺ in the presence of BnOH (100 mM) (Figure 9b), a broad transient absorption band was observed in the region of 1200-1400 nm, not observed in the absence of BnOH (Figure S28). The transient absorption band in the NIR region can be assigned to a radical ion pair between 1e⁻-reduced 1-H⁺, (1-H)[•], and 1e⁻-oxidized BnOH, BnOH^{•+}, formed through photoinduced ET to the singlet excited state of 1-H⁺ from neutral BnOH in the adduct (Scheme 4).⁵¹ Formation of the radical ion pairs is rationalized

Scheme 4. Photoinduced ET from BnOH to Photoexcited 1- H^+ in the Adduct



by the dependence of the quantum yield of the photocatalysis on the substrate concentration as described above.⁴⁴ Immediately after photoexcitation, the NIR band was observed in the fs-TAS spectrum (Figure 9b), indicating that the photoinduced ET in the adduct was very fast. The decay of the NIR band at 1315 nm was analyzed with double exponentials to afford a major and relatively long lifetime of $(1.9 \pm 0.2) \times 10^2$ ps as well as a minor and short lifetime of 8 ± 4 ps (Figure S29). The latter is probably ascribable to the photoinduced ET to afford the radical ion pair in the adduct, and the proportion of 1-H⁺ that forms the adduct is not so high in light of the equilibrium constant $(2.4 \pm 0.7 \text{ M}^{-1})$ at 298 K. The former lifetime can be assigned to that of the radical ion pair. Additionally, the fact that the subsequent reaction after the photoinduced ET proceeded to afford the oxidation product from the substrate indicates that the BET to recover the ground state is slow enough.⁵²

TD-DFT calculations on the adduct of BnOH with 1-H⁺ suggested that an absorption observed at 348 nm is derived from the transition from a π orbital of the tpphz-H⁺ ligand with contribution from a filled 2p orbital of the oxygen atom of BnOH to a π^* orbital of the ligand, as shown in Figure 10. This result suggested that photoinduced ET from π -stacked BnOH to the $\pi-\pi^*$ excited state of 1-H⁺ is plausible.

Photodynamics of 1-H⁺. Based on the results of fs-TAS of 1-H⁺ (see above), the emission of 1-H⁺ at 380 nm is ascribable to the singlet $\pi - \pi^*$ excited state of its tpphz ligand, which is also responsible for the photocatalysis of substrate oxidation by 1-H⁺. Normally, a higher excited state such as the S₂ state $[^1(\pi - \pi^*)^*]$ undergoes relaxation to form a lower excited state such as the S₁ state (¹MLCT^{*}) through thermal IC and finally reaches the lowest triplet excited state of T₁ (³MLCT^{*}) through intersystem crossing, which is radiatively relaxed to the ground state (Figure 11a), according to Kasha's rule.¹⁷ Not the lowest



Figure 10. Charge transfer from BnOH to the protonated tpphz ligand of $1-H^+$ suggested by TD-DFT calculations at the B3LYP-D3 level of theory with GD3 for the adjustment of dispersion forces. Transition occurs from brown orbitals to purple ones.

singlet excited state (S_1) , i.e., ¹MLCT*, but a higher singlet excited state (S₂), i.e., $(\pi - \pi^*)^*$, of 1-H⁺ performs the photocatalytic oxidation of organic substrates, that is, an example of anti-Kasha photocatalysis.¹⁷ A particularly unique feature of this system is that protonation at the vacant diimine site of the tpphz ligand in $1-H^+$ induces emission from the S₂ $(\pi - \pi^*)^*$ state and oxidation of external organic substrates (Figure 11b). Probably, due to the protonation at the vacant dimine site of the tpphz ligand, spin density in the $(\pi - \pi^*)^*$ excited state is biased toward the protonated diimine site, which retards the IC to ¹MLCT*, since the spin density in the ¹MLCT* mainly localizes at the Ru^{II} center and the other diimine site of the tpphz ligand bonded to the Ru^{II} center.⁵³ Insufficient orbital overlapping in the excited electronic structures is well-known to be important for anti-Kasha chromophores.^{20a}

Plausible Reaction Mechanism of Photocatalytic Substrate Oxidation by 1-H⁺. Combining the data described above, we have proposed a plausible reaction mechanism for the photocatalytic oxidation of aromatic alcohols by 1-H⁺ (Figure 12). At first, catalyst 1-H⁺ forms an adduct with an aromatic substrate. Photoirradiation to the adduct affords an emissive $\pi-\pi^*$ excited state at the tpphz ligand of 1-H⁺, and in the photoexcited adduct, photoinduced intrasupramolecular ET

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Figure 11. Jablonski diagrams for 1 (a) and energy diagram for photodynamics of 1-H⁺ (b). GS: Grand state. IC: Internal conversion. ISC: Intersystem crossing. Sub: Substrate. Pro: Product.



Figure 12. Plausible reaction mechanism for the photocatalytic oxidation of aromatic alcohols by 1-H⁺ in the presence of O_2 .

proceeds from the substrate to the photoexcited $1-H^+$ to form a radical ion pair. Then, hydrogen atom transfer proceeds from the $1e^-$ -oxidized substrate to $1e^-$ -reduced $1-H^+$ and the process is the RDS.⁵⁴ Subsequent proton transfer from a solvent H₂O molecule to reduced $1-H^+$ affords the dihydro-intermediate, $2-H^+$, and nucleophilic attack of a H₂O molecule to a carbocation derived from the substrate also proceeds to give the oxidized product from the substrate. Complex $2-H^+$ is immediately

oxidized with O_2 to reproduce 1-H^+ and complete the catalytic cycle.

CONCLUSIONS

Complex 1-H⁺, whose tpphz ligand is protonated at the vacant diimine site, catalyzes the oxidation of organic substrates under photoirradiation with the use of dioxygen as a terminal oxidant. In the photocatalytic cycle, photoexcited catalyst 1-H⁺ receives

Notes

The authors declare no competing financial interest.

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 $2H^+$ and $2e^-$ from an organic substrate through a formal hydride and proton transfer mechanism to afford complex 2-H⁺, in which the pyrazine moiety in the tpphz ligand is reduced to be the dihydro-pyrazine form. In addition, the photocatalysis by 1-H⁺ proceeds through the adduct formation of an aromatic substrate with the tpphz-H⁺ ligand by intermolecular $\pi-\pi$ interaction. Since catalyst 1-H⁺ performs photocatalytic proton-coupled $2e^-$ -oxidation through the second lowest singlet excited state, i.e., ${}^1(\pi-\pi^*)^*$, the photocatalysis by 1-H⁺ is classified as an anti-Kasha type.¹⁷ The results presented in this work indicate that using higher energy of the higher photoexcited state provides higher reactivity of the photocatalyst than that of the lowest excited state in the conventional matter.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.4c09962.

Experimental section, oxidation mechanism, and results of photocatalytic substrate oxidation and pH titration shown (PDF)

Accession Codes

Deposition Number 2372535 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via the joint Cambridge Crystallographic Data Centre (CCDC) and Fachinformationszentrum Karlsruhe Access Structures service.

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(32) The reason why the reaction was saturated at 10 h is probably because the catalyst 1-H⁺ was decomposed due to the photoirradiation (see Figure S7).

(33) As shown in Figure S2, a couple of complex 1-H⁺ tends to stack together through $\pi - \pi$ interaction of the tpphz-H⁺ ligands. Thus, the reason why complex 1-H⁺ shows larger TON for the photocatalytic BnOH oxidation under the diluted conditions is probably that complex 1-H⁺ forms a dimeric structure in the excited state, disturbing the photocatalytic oxidation.

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(52) The reorganization energy (λ) of this system is probably small, since the redox center is located at the π -expanded ligand. Therefore, the driving force of the BET, $-\Delta G_{\text{BET}}$, is presumed to be large (2.81 eV; see ref 47) enough to place the BET in the Marcus inverted region. See Marcus, R. A. On the Theory of Electron-Transfer Reactions. VI. Unified Treatment for Homogeneous and Electrode Reactions. *J. Chem. Phys.* **1965**, *43*, 679–701.

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