

# Natural and Artificial Strategies To Control the Conjugative Transmission of Plasmids

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**ABSTRACT** Conjugative plasmids are the main carriers of transmissible antibiotic resistance (AbR) genes. For that reason, strategies to control plasmid transmission have been proposed as potential solutions to prevent AbR dissemination. Natural mechanisms that bacteria employ as defense barriers against invading genomes, such as restriction-modification or CRISPR-Cas systems, could be exploited to control conjugation. Besides, conjugative plasmids themselves display mechanisms to minimize their associated burden or to compete with related or unrelated plasmids. Thus, FinOP systems, composed of FinO repressor protein and FinP antisense RNA, aid plasmids to regulate their own transfer; exclusion systems avoid conjugative transfer of related plasmids to the same recipient bacteria; and fertility inhibition systems block transmission of unrelated plasmids from the same donor cell. Artificial strategies have also been designed to control bacterial conjugation. For instance, intrabodies against R388 relaxase expressed in recipient cells inhibit plasmid R388 conjugative transfer; pIII protein of bacteriophage M13 inhibits plasmid F transmission by obstructing conjugative pili; and unsaturated fatty acids prevent transfer of clinically relevant plasmids in different hosts, promoting plasmid extinction in bacterial populations. Overall, a number of exogenous and endogenous factors have an effect on the sophisticated process of bacterial conjugation. This review puts them together in an effort to offer a wide picture and inform research to control plasmid transmission, focusing on Gram-negative bacteria.

## INTRODUCTION

Antibiotics have saved the lives of countless people suffering from bacterial infections since Alexander Fleming discovered penicillin in 1928 (1). Nevertheless, this success was accompanied by the emergence of antibiotic

resistance (AbR). It is thought that AbR arose originally as a self-protection mechanism of producer organisms (2). AbR genes rapidly disseminated through the biosphere as a result of the selection pressure established by human application of antibiotics (3). Resistance mechanisms capable of rendering newly discovered drugs ineffective emerged with astonishing speed, rapidly reaching human pathogens and increasingly invalidating newer antimicrobial therapies (4). Altogether, >20,000 potential resistance genes of nearly 400 types have been predicted from bacterial genome sequences (5). The danger created by the ever-increasing number of pathogens resistant to conventional antibiotics is further increased by a significant drop in the development of new antimicrobial compounds (6). This situation demands solutions to prevent the hundreds of thousands of people dying each year as a result of AbR from becoming millions (7). Proposed strategies include more-accurate prescription policies and a controlled use and release of antibiotics in

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animal husbandry and agriculture, restrictions difficult to implement on a global scale (3).

Alternatives to conventional antibiotics are emerging to treat this global crisis. For example, inhibitors of bacterial virulence are promising alternatives with an advantage over antibiotics in that selection for resistance might not occur because pathogen growth would not be impaired (8). Additional lines of attack under development are vaccines (9), phage therapy (10), predatory bacteria (11), and antiplasmid strategies (12–14), among others. In this context, this review focuses on natural and artificial strategies that could be employed in Gram-negative bacteria to control the transmission of conjugative plasmids, the main propagation devices involved in AbR dissemination.

## HORIZONTAL GENE TRANSFER

AbR genes are transferred either vertically when bacteria divide or laterally from one bacterium to another through horizontal gene transfer (HGT), an important source of bacterial variability (15). HGT is mediated by mobile genetic elements (MGEs), that is, DNA devices for the intra- or intercellular movement of DNA (16). Intracellular mobility is produced by transposons, DNA fragments with the ability to move from one genome location to another, including different replicons of the same cell. Intercellular mobility occurs by one of three main processes: transformation, conjugation, or transduction. Transformation involves extracellular DNA uptake, integration, and functional expression. Bacteria must be in a physiological state of competence to acquire exogenous DNA, which could be natural or artificially induced. Most naturally transformable bacteria develop competence in response to specific environmental conditions, such as altered growth conditions, nutrient access, cell density, or starvation (13). Conjugation requires genetic elements encoding the apparatus needed for their transfer from a donor to a recipient cell through direct contact (16). Transduction is mediated by bacteriophages when they accidentally pack segments of host DNA and inject them into a new host. Transduction may be generalized or specialized, depending on whether any gene may be transferred or only those located near the site of prophage integration (17).

## BACTERIAL CONJUGATION

Conjugation is arguably the most common mechanism of HGT (18), and that with the broadest host range (19). Encoded either in autonomously replicating conjugative

plasmids or in integrative and conjugative elements (ICEs) inserted in the bacterial chromosome, conjugation systems allow the transfer of large DNA fragments containing diverse adaptive traits (20). Indeed, they are major vehicles for the spread of AbR genes (21, 22).

Either double-stranded DNA (dsDNA) or single-stranded DNA (ssDNA) molecules can be transported from donor to recipient cells. dsDNA conjugation was described in Actinobacteria. The translocation mechanism involves a single protein, a plasmid-encoded septal DNA translocase similar to the segregation ATPase FtsK, unlike the complex machinery needed for “classic” ssDNA conjugation (23). Conjugative systems involved in ssDNA conjugation carry two sets of genetic components: mobility (MOB) for conjugative DNA processing, and mating-pair formation (MPF) for DNA delivery through the membranes of donor and recipient bacteria. The MOB component includes an origin of transfer (*oriT*), a short DNA sequence required in *cis* for plasmid transfer (24); a relaxase to initiate conjugation; and a type IV coupling protein (T4CP) to interconnect DNA processing with DNA transport. MPF genes code for a complex of proteins that build the type IV secretion system (T4SS).

Plasmids can be classified into three mobility categories: conjugative, mobilizable, and nonmobilizable. A conjugative plasmid contains the two sets of components necessary for its own transfer, whereas a mobilizable plasmid lacks MPF genes and uses the T4SS of a co-ident self-transmissible element, thus escaping from pilus synthesis burden (20). In general, conjugative plasmids are large (>30 kb) and of low copy number, while mobilizable plasmids are small (<15 kb) and have relatively higher copy number. Plasmids unable to transfer by conjugation or mobilization are called nonmobilizable (20). Nevertheless, nonmobilizable plasmids may be transferred by physical association with a transmissible plasmid (the process is called cointegration, if the resulting plasmid maintains the physical association, or conduction, if the two plasmids resolve in recipient cells) (25).

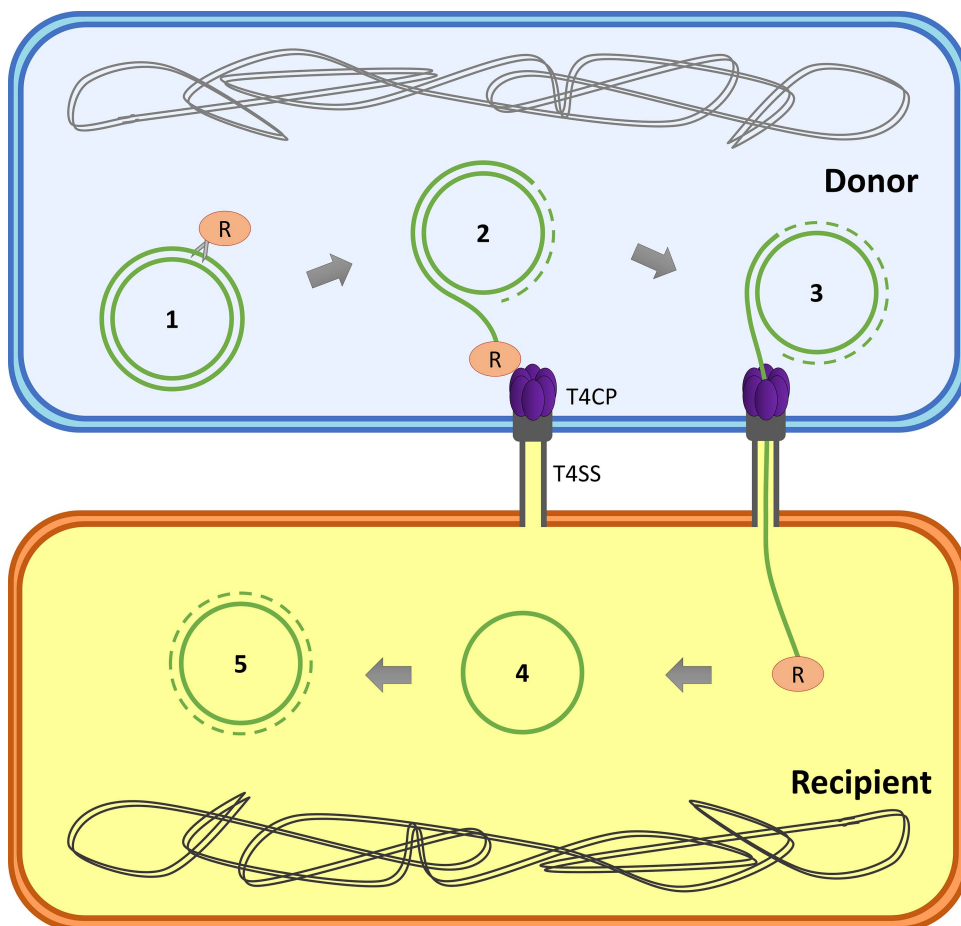
## Conjugative Transfer, the Process

The initial requirement for bacterial conjugation is the expression of MPF genes in donor cells. Four MPF classes are found in conjugative systems from *Proteobacteria*: MPF<sub>T</sub> (whose prototype is T-DNA transfer system of *Agrobacterium tumefaciens* pTi plasmid), MPF<sub>F</sub> (exemplified by conjugative plasmid F), MPF<sub>I</sub> (exemplified by IncI plasmid R64), and MPF<sub>G</sub> (related to a broad family of ICEs whose prototype is ICE*Hin1056* of

*Haemophilus influenzae*) (20). The MPF<sub>T</sub> class encodes the simplest T4SS, consisting of 11 proteins called VirB1 to VirB11 from *A. tumefaciens* T4SS (26). The T4SS complex can be divided in four parts: the pilus, the core channel complex, the inner membrane platform, and the cytoplasmic ATPases that supply the energy for pilus biogenesis and substrate transport (27). The conjugative pilus is the appendage that extends from the donor cell to reach the recipient cells within its proximity and subsequently retracts it to facilitate cell-to-cell contact (28). Retraction has not been demonstrated for all types of pili (29). Pilus morphology determines the ability of plasmids to transfer in liquid media or on solid surfaces

(such as biofilms). Plasmids that determine rigid pili (Inc groups M, N, P, and W) or thick flexible pili (Inc groups C, D, F, H, J, T, V, and X) transfer better on solid media, while plasmids encoding thin flexible pili (Inc groups I, B, and K) transfer equally well in both situations (30, 31). This feature, added to plasmid host range (32), and the contribution of pili to establishing bacterial biofilms (33), are important determinants for plasmid dissemination in the environment (22). Once donor-recipient contact is established, the next step in conjugation is DNA processing (Fig. 1), driven by the MOB proteins. Based on MOB sequences and DNA-processing mechanism, transmissible plasmids are classified into six MOB

**FIGURE 1 DNA processing during bacterial conjugation.** (1) The relaxase (R) cleaves plasmid DNA at the *nic* site and forms a covalent intermediate with the 5' end of the *oriT*. (2) The T4SS protein machinery recruits the relaxosome through interaction with the T4CP, while the donor DNA is replicated using the uncleaved DNA strand as a template. (3) The relaxase releases the T-strand by a second cleavage reaction at the *nic* site and acts as pilot protein for the ssDNA to be transferred through the T4SS, helped by the T4CP pumping activity. (4) In the recipient cell, the relaxase carries out the reverse nicking reaction to recircularize the T-strand. (5) The transferred ssDNA is replicated to generate a complete copy of the original plasmid.



families: MOB<sub>F</sub>, MOB<sub>H</sub>, MOB<sub>Q</sub>, MOB<sub>C</sub>, MOB<sub>P</sub>, and MOB<sub>V</sub> (34). The key protein for DNA transfer initiation, present in all transmissible plasmids, is the relaxase. Together with specific auxiliary factors, the relaxase assembles a nucleoprotein complex on the *oriT* called the relaxosome. The relaxase is directed to the *nic* site within the *oriT* by auxiliary factors, such as TrwA and the chromosomally encoded integration host factor (IHF) in the case of plasmid R388 (35), where the relaxase cleaves the phosphodiester bond of the DNA strand to be transferred (T-strand) (36). The transesterification reaction results in a covalent link between relaxase and ssDNA (37), followed by DNA replication from the 3' end of the cleaved strand, using the complementary circular strand as a template. A helicase domain, usually present at the C terminus of the relaxase domain (34), unwinds DNA to displace the T-strand (38). Then, the relaxase produces a second cleavage at the *nic* site to release the T-strand from the newly formed strand (39).

After the nicking reaction, the T4CP recruits the relaxosome to the T4SS (40) in order to start the DNA transfer process. Then, the nucleoprotein complex is delivered to the inner membrane platform at the base of the T4SS to cross the channel that connects donor with recipient cells (29). According to the shoot-and-pump model (40), once the relaxase is shot through the channel acting as a pilot protein for the T-strand, T4CP pumps remaining ssDNA using the energy derived from ATP hydrolysis. When a complete copy of plasmid ssDNA reaches the recipient cell, the relaxase recognizes the *nic* site as a termination site and carries out the reverse nicking reaction, resolving the covalent intermediate relaxase-DNA and resulting in recircularization of the T-strand in the recipient cell (41, 42). Finally, a second strand is synthesized by rolling-circle replication to generate a copy of the original conjugative plasmid in the recipient cell, thus turning it into a new donor.

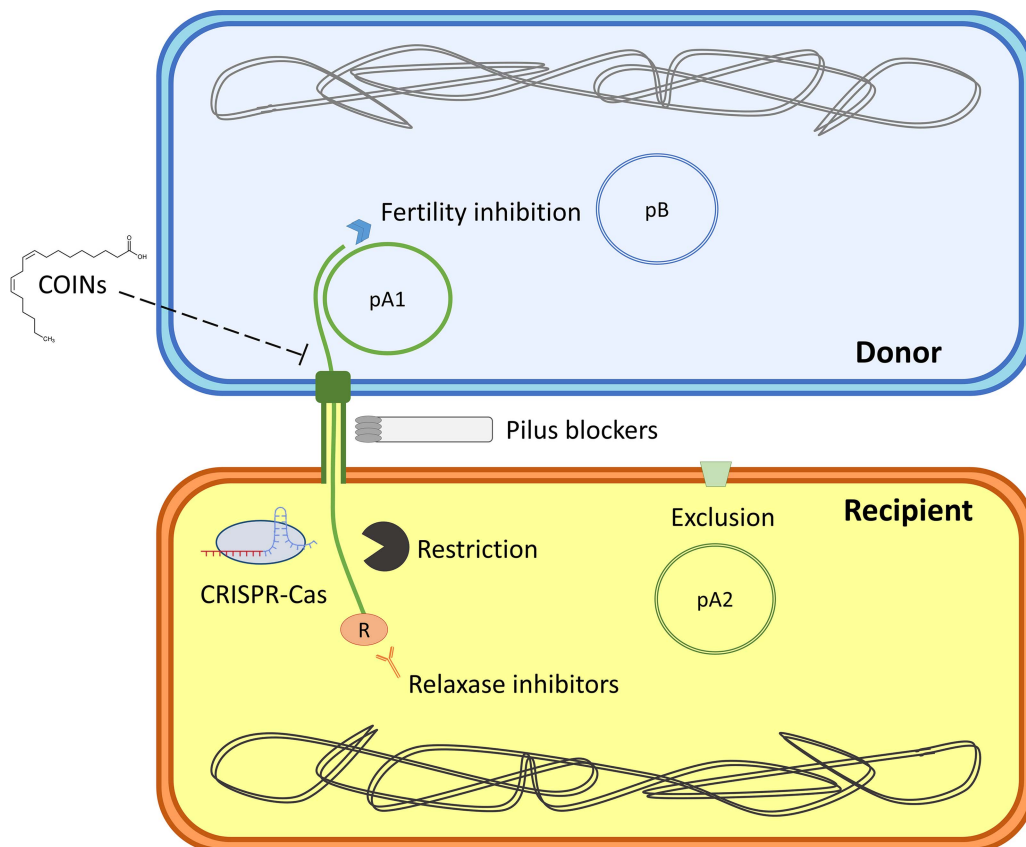
## NATURAL STRATEGIES THAT CONTROL CONJUGATION

Several strategies, called eco-evo (based on an ecological and evolutionary perspective), have been explored with the aim of restoring antibiotic susceptibility in the environment (14). Since conjugation is a key mechanism involved in AbR dissemination (18, 22), this review focuses on both natural and artificial strategies to control this process (Fig. 2). Natural strategies can be defined as mechanisms that bacteria already employ in the environment to prevent conjugation (for instance, exclusion systems), while artificial strategies are human-

based strategies not used by nature for this purpose (for example, antibodies targeting conjugative relaxases). It is worth noting that environmental factors like temperature, pH, chemical and physical composition, redox status, or moisture, as well as anthropogenic factors (e.g., organic or inorganic pollutants), significantly influence conjugation rates (43–45). Besides, the type of environment (human and animal microbiota, rhizosphere, manure, soil, wastewater treatment plants, aquatic environments that receive waste streams, etc.) is also an essential factor determining conjugation dynamics (17, 46). However, these factors are out of this review's scope due to the variability of effects in different conjugative systems and the difficulty of designing a strategy to control conjugation based on environmental factors. The genetic determinants that are the basis of natural strategies can be located in the host chromosome (host strategies) or in the plasmid genome (plasmid strategies). Among host strategies, restriction-modification (RM) and CRISPR-Cas systems are the most common mechanisms to prevent stable acquisition of foreign DNA in bacteria. Conjugative plasmids display mechanisms that regulate their own transfer, block the entry of related plasmids into the same cell, or inhibit conjugative transfer of plasmids present in the same donor bacteria. Regulatory networks for bacterial conjugation comprise a set of complex responses to maximize DNA transport and minimize the burden to cells carrying the conjugative machinery (47). However, plasmid regulatory factors are diverse between different groups of conjugative systems. To give an example, the regulatory proteins encoded by prototype plasmids F, RP4, and R388 bear no homology relationship whatsoever. Another example of this diversity could be the specific antagonistic signaling of the pair cCF10/iCF10 pheromone-inhibitor peptides in the regulation of the conjugative plasmid pCF10 of *Enterococcus faecalis* (48). Therefore, our analysis of plasmid barriers will focus on exclusion and fertility inhibition systems, which are more conserved between different conjugative plasmids.

### Host Strategies: Restriction

Restriction was first observed in the 1950s when bacteriophage  $\lambda$ , propagated in *Escherichia coli* B, was found to grow poorly on *E. coli* K-12 (49). RM systems code for a diverse group of enzymes, ubiquitous among prokaryotes, involved in defense against invading genomes, such as phages or plasmids (50). They comprise two opposing enzymatic activities, restriction endonuclease (REase) and methyltransferase (MTase) (51). The REase recognizes and cleaves foreign DNA at a specific



**FIGURE 2** Natural and artificial mechanisms that control the transmission of conjugative plasmids. Natural mechanisms include RM and CRISPR-Cas systems (encoded by the recipient chromosome), exclusion systems (used to prevent the entrance of related plasmids in the same recipient), and fertility inhibition systems (encoded by plasmids in donor bacteria). Artificial mechanisms interfere with key components of the conjugative process, such as the relaxase, the pilus, or conjugation-related ATPases.

site, whereas the MTase confers protection from cleavage to host genome by methylating a defined adenine or cytosine residue within the specificity site. Due to their ability to recognize self from nonself DNA, RM systems are considered a primitive, innate immune system (52). They are classified in four types, based on molecular structure, sequence recognition, cleavage position, and cofactor requirements (53).

RM systems are major players in the coevolutionary interaction between MGEs and their hosts (54). RM systems may have additional roles (51). For example, MGE-encoded RM systems act as toxin-antitoxin stability systems. During cell division, the failure to segregate RM systems efficiently results in postsegregational killing of the progeny lacking the RM-containing plasmids. This is due to the higher stability of the REase (toxin), which attacks the unmodified host genome of the progeny lacking the MTase (antitoxin) (55). Thus, the MGE is stabilized by the RM system and the RM

system acquires the ability to be transferred. Although this role contributes to the stability of RM-containing plasmids instead of being a barrier to conjugation, it seems to be a minor role, since only 10% of the plasmids encode RM systems, whereas 69% of the chromosomes do so (54).

While host defense against bacteriophage infection has been extensively described (56), inhibition of bacterial conjugation by RM systems has been reported to a lesser extent. Several reports revealed that inactivation of restriction systems in recipient cells (57–62) or deletion of methylation systems in donor cells (63) increases conjugation frequency, while others showed a reduction in conjugative transfer when the number of restriction sites in the donor plasmid was increased (64–66). Accordingly, the ability of phages and plasmids to escape restriction highlights the importance of RM systems as defense devices against foreign DNA. The mechanisms used in this coevolutionary arms race between bacteria

**TABLE 1** Antirestriction strategies

Mechanism	Antirestriction strategy	Examples	Reference(s)
Incoming genome modification	Reduction or reorientation of restriction sites	T3 or T7 phages, RP4 plasmid	<a href="#">70</a> , <a href="#">254</a> , <a href="#">255</a>
	Incorporation of unusual bases or methylation	Mu or SP $\beta$ phages	<a href="#">256</a>
Restriction site occlusion	Transient occlusion of restriction sites by proteins cotransported with the DNA	P1 phage DarA/DarB, IncW plasmids ArdC	<a href="#">69</a> , <a href="#">257</a>
Host RM system alteration	MTase stimulation to modify incoming DNA	$\lambda$ phage Ral protein	<a href="#">258</a>
	Destruction of REase cofactors	T3 phage SAMase	<a href="#">259</a>
REase inhibition	Direct inhibition of REases through mimicking DNA size, shape, and electric charge	T7 phage Ocr protein, IncN, IncF, and IncI plasmids ArdA/ArdB	<a href="#">68</a> , <a href="#">260</a>

and parasitic DNA molecules to avoid restriction include four different strategies ([Table 1](#)). A number of conjugative plasmids encode antirestriction proteins, named Ard (alleviation of restriction of DNA). ArdA and ArdB, encoded by conjugative transposons and plasmids of the IncN, IncI, and IncF groups, are examples of direct inhibitors of REases that mimic DNA after their rapid expression in recipient cells ([67](#), [68](#)). ArdC protein from IncW plasmid pSa protects incoming T-strand by transient occlusion of restriction sites after being pumped into recipient cells ([69](#)). Another strategy is the selection of plasmid variants that lost restriction sites, as seems to happen in the case of plasmid RP4 ([70](#)). A combination of more than one antirestriction strategy is exemplified by the case of the *E. faecalis* Tn916-like conjugative transposons, which confer antimicrobial resistance to both Gram-positive and Gram-negative bacteria. Two reasons for Tn916's broad host range are the presence within the element of *ardA* antirestriction systems and few restriction sites ([71](#)). This observation highlights the importance of antirestriction strategies to counteract RM systems of potential hosts, thus increasing the ability of conjugative elements to spread to a greater variability of bacteria.

### Host Strategies: CRISPR-Cas

Additional defense systems, sometimes operating synergistically with RM systems, are CRISPR-Cas systems ([72](#)). Unlike RM systems, which provide a primitive innate immunity, CRISPR-Cas systems can be thought of as providing adaptive immunity, sequence-directed against foreign elements ([73](#)). CRISPR loci, present in 45% of bacterial and 84% of archaeal sequenced genomes ([74](#)), consist of an array of repetitive sequences of 30 to 40 bp, partially palindromic, and interspersed by equally short spacer sequences of viral or plasmid origin ([75](#)).

The CRISPR-Cas defense mechanism can be divided in two phases: immunization and immunity ([76](#)). In the immunization phase, also known as adaptation or spacer acquisition, sequences from the invading genome

integrate into the CRISPR array. The acquisition of new spacers provides an efficient response against phages that escape immunity by mutating the target site ([77](#)). In the immunity phase, immunity is accomplished in two steps: guide RNA biogenesis, where a CRISPR array is transcribed and processed to generate small CRISPR RNAs (crRNAs); and targeting, in which the spacer in the crRNA serves as a guide to direct cleavage of the complementary sequence at the invading DNA (protospacer) by the Cas nucleases.

Bacteria must distinguish between protospacers of invading genomes and spacers of their CRISPR arrays to avoid cleavage of their own chromosome ([78](#)). CRISPR-Cas systems can be classified into three types, based on their Cas content, crRNA biogenesis mechanism, and targeting requirements ([79](#)). In type I and II systems, autoimmunity is prevented through a sequence called protospacer adjacent motif (PAM), only present in the invading DNA, upstream of the protospacer. The presence of this sequence is essential for foreign DNA cleavage by Cas nucleases ([80](#)). No PAM requirements have been described in type III systems, where autoimmunity inhibition is thought to occur through differential base pairing between crRNA and protospacer, preventing cleavage when full complementarity is detected ([81](#)). In addition, Chi sites (8-nucleotide motifs highly enriched in bacterial genomes) limit the acquisition of chromosomal fragments, favoring the acquisition of foreign elements, also more likely fragmented during replication ([82](#)). A failure in autoimmunity prevention leads to host death, a consequence that is being exploited for the use of CRISPR-Cas systems as genome-editing tools in both prokaryotes and eukaryotes ([83](#), [84](#)).

Among the numerous emerging applications of CRISPR-Cas systems ([85](#)), their ability to attack plasmid DNA during conjugation provides new weapons against AbR dissemination. In their first work, Marraffini and Sontheimer showed that a spacer from a clinical isolate of *Staphylococcus epidermidis*, which matched a region of the relaxase gene of staphylococcal conjugative

plasmids, prevented transfer of plasmids containing this sequence by conjugation and transformation (78). Moreover, the CRISPR-Cas target was shown to be DNA instead of RNA by placing a self-splicing intron in the relaxase target sequence. In this line of research, the analysis of CRISPR spacers related to conjugative plasmids revealed that protospacers are not randomly distributed but display a MOB family-dependent bias. Whereas MOB<sub>P</sub> plasmids are usually targeted within the lagging regions, protospacers of the MOB<sub>F</sub> family are mostly located in the leading region (the first plasmid section entering the recipient cell). Nevertheless, when conjugative transfer of the MOB<sub>F</sub> plasmid F was inhibited using a type I CRISPR-Cas system, the level of protection was independent of the protospacer position and the DNA strand, suggesting that the observed bias depends either on the spacer acquisition phase or on the first regions becoming double-stranded (86). Additional studies demonstrate the conjugation-interfering role of CRISPR-Cas in different bacteria (87) and highlight the importance of these systems in preventing the acquisition of MGEs carrying AbR genes (88). In addition to plasmid transfer inhibition, spacers of plasmid origin could target AbR genes to induce plasmid loss (89) or even trigger AbR pathogen death (90, 91), among other interesting alternatives with countless possibilities.

### Other Host Factors Involved in the Control of Conjugation

Recently discovered defense systems against phage infection and bacterial transformation might also be involved in protection against bacterial conjugation. This is the case for prokaryotic Argonaute proteins, homologs to the eukaryotic nucleases involved in RNA interference (92, 93), or bacteriophage exclusion, a mechanism that protects bacteria from phage replication (94, 95).

Besides the previously described defense barriers against incoming DNA, several studies aimed to find additional host barriers to conjugation or potential targets to control the process. Early studies demonstrated the contribution of the basic cellular machinery (replication, protein synthesis, or energy supply) in bacterial conjugation (96). In particular, DNA polymerase III was shown to be required in recipient cells for the synthesis of the transferred complementary strand (97), as well as in donors to replace the transferred strand (98). Another example is helicase PcrA of *Bacillus subtilis*, needed for ICEBs1 DNA unwinding after nicking (99). Although its *E. coli* homolog, UvrD, is not essential for growth, PcrA is a second helicase essential for *B. subtilis* viability (100). Nevertheless, targeting essential enzymes as a barrier to

conjugation would kill the host, acting therefore like a conventional antibiotic (101). To avoid the selective pressure that increases the probability of AbR emergence, nonessential functions are preferred to control bacterial conjugation. This may be the case of the stationary-phase sigma factor RpoS, which regulates ICE<sub>clc</sub> excision in *Pseudomonas knackmussii* and is required for its conjugative transfer (102).

A mechanism potentially deleterious for conjugation as well as for recipient cell viability is the SOS response. The SOS response is stimulated by the appearance of ssDNA and its interaction with the RecA protein, which inactivates the LexA repressor, thereby inducing several genes involved in DNA repair, recombination, and mutagenesis (103). Some conjugative plasmids are adapted to counteract the SOS response through a plasmid SOS interference (*psi*) system that inhibits RecA binding to ssDNA (104). Similarly, the SOS response to DNA damage inactivates the LexA repressor homolog, present in several ICEs, that controls integrase expression and ICE propagation (105). Therefore, the SOS response can be a positive or a negative regulator of bacterial conjugation.

Host factors involved in regulation of bacterial conjugation are exemplified by the case of plasmid F, a narrow-host-range plasmid well adapted to *E. coli* (106). While broad-host-range plasmids regulate their transfer mostly through plasmid-encoded repressors (107), narrow-host-range plasmids rely on several host-encoded regulatory factors that act at DNA, RNA, or protein levels (Table 2).

The first systematic screening for host genes involved in conjugation was carried out by Pérez-Mendoza and de la Cruz (108) using two collections of *E. coli* mutants as recipient cells: the Keio collection of 3,908 single-gene deletion mutants and a collection of 20,000 random transposon insertion mutants, which covered >99% of the *E. coli* nonessential genome. They studied the transfer of the broad-host-range IncW plasmid R388 on solid media through an automated conjugation assay based on the emission of luminescence by transconjugant bacteria. The work indicated that no nonessential recipient genes play a crucial role in conjugation. Therefore, required genes can be either essential for cell growth or redundant. The latter could be the case for the *uvrD* mutant, which showed 41% of wild-type conjugative transfer. UvrD is DNA helicase II, involved in rolling-circle replication of many plasmids (109). Despite being an interesting candidate, its barely significant effect suggested the involvement of an alternative helicase. Besides *uvrD* mutation, only mutations in

**TABLE 2** Host-encoded factors involved in conjugative transfer of IncF plasmids

Level	Host factor	Regulatory function	Reference(s)
DNA	ArcA/ArcB	Two-component regulatory system that activates transfer in response to oxygen levels	<a href="#">261</a>
	SdhABCD	Succinate dehydrogenase that has a repressive effect under aerobic conditions, probably by regulating transcription of the activator TraJ	<a href="#">261</a>
	Dam	Methylase that modifies certain promoter regions, changing their sensitivity to binding of activators, such as the leucine regulator Lrp to <i>traJ</i> promoter	<a href="#">262</a>
	H-NS	Global repressor that silences newly acquired DNA, including transfer genes	<a href="#">263</a>
	RpoS/RpoH	Alternate sigma factors that stimulate transcription from H-NS silenced promoters	<a href="#">264, 265</a>
	FIS	Activator or repressor, depending on whether it acts alone or in competition with H-NS	<a href="#">266</a>
	IHF	Transcriptional activator of transfer genes, besides its primary role as part of the relaxosome architecture	<a href="#">35, 267</a>
	CRP	The cyclic AMP receptor is also a positive regulator of <i>traJ</i> expression in response to glucose levels	<a href="#">268</a>
	Unknown	Host-encoded regulator involved in F transfer repression during stationary phase	<a href="#">269</a>
	RNA	RNase E	Ribonuclease that cleaves the antisense RNA FinP (downregulates the translation of the activator TraJ)
Hfq		Global regulator that binds <i>traJ</i> mRNA, promoting its degradation	<a href="#">270</a>
Protein	HslV/HslU	Heat shock protease-chaperone pair involved in TraJ degradation mediated by the two-component system CpxAR in response to extracytoplasmic stress	<a href="#">271</a>
	GroEL	Chaperonin that interacts with TraJ, promoting its proteolysis	<a href="#">272</a>

lipopolysaccharide (LPS) biosynthesis showed a significant but modest decrease in R388 transfer (6 to 32% of wild type). A more drastic effect was observed on F plasmid liquid transfer, suggesting a role for LPS in mating-pair stabilization. Accordingly, several mutants were described that affect membrane integrity and were defective in recipient ability while increasing susceptibility to antibiotics, detergents, or phages. Among them were particular mutants of LPS biosynthesis or the outer membrane protein OmpA ([110–117](#)). Other reports characterized the effect of *rfa* (LPS synthesis) and *ompA* mutants on conjugation, proposing an adhesin at the F pilus tip as the receptor of its specific LPS group ([118](#)). More recently, recipient LPS was established as the specific receptor for the PilV adhesin of IncI plasmid R64 during liquid conjugation ([119](#)), while OmpA was shown to interact with F plasmid TraN for mating-pair stabilization ([120](#)).

Additional approaches using transposon mutagenesis revealed the nitrogen-related phosphotransferase system as the responsible mechanism for conjugative transfer inhibition of IncP-9 naphthalene catabolic plasmid pNAH7 from *E. coli* to *Pseudomonas putida* ([121](#)). Besides, combining transposon mutagenesis and massive sequencing ([122](#)), Johnson and Grossman found that there were no nonessential genes crucial for *B. subtilis* ICEBs1 conjugation ([123](#)). Functions slightly affecting the process were associated with membrane composition, agreeing with previous reports.

Although this review focuses on conjugative plasmids from Gram-negative bacteria, Gram-positive hosts

also provide useful data on conjugation control. Gram-negative bacteria display a complex T4SS spanning two membranes with a cell-surface-attached filamentous pilus. In contrast, Gram-positive systems display a simpler T4SS for ssDNA translocation across their single cytoplasmic membrane, with a peptidoglycan hydrolase for local digestion of the cell wall, and adhesins that mediate cell contact ([124](#)). The signal for initiating conjugal transfer remains unknown in Gram-negative bacteria ([125](#)). On the contrary, many plasmids from Gram-positive bacteria rely on secreted signaling peptides called pheromones to initiate conjugation (for instance, *E. faecalis* plasmids pAD1 and pCF10). These pheromones, and the machinery needed for their processing and secretion, are encoded by the chromosome of recipient bacteria ([126](#)). The previously mentioned ICEBs1 from *B. subtilis* uses an opposite mechanism that requires the uptake of inhibitory peptides by recipient cells, using a host-encoded oligopeptide permease ([127](#)).

### Plasmid Strategies: Exclusion

The exclusion phenomenon was first observed when exponentially growing cells harboring plasmid F acted as poor conjugation recipients ([128](#)). This phenotype, later called “superinfection immunity” ([129](#)), was the combination of two independent mechanisms, plasmid incompatibility and exclusion. Both phenomena refer to an interference between related sex factors, associated with replication and conjugation, respectively ([130](#)). Plasmid F contains two exclusion systems, surface exclusion and entry exclusion, later considered as proto-



types for all others. Surface exclusion acts through the outer membrane protein TraT, by reducing the ability of recipient cells (~10-fold) to form stable mating aggregates, whereas entry exclusion involves the recipient inner membrane protein TraS, which inhibits DNA transfer (~100-fold) after mating pairs have stabilized (131, 132). The precise mechanism of action remains unclear in both cases. Some hypotheses proposed candidates for the TraT receptor in donor cells, including pilins, a hypothetical adhesin at the pilus tip, or the mating-pair stabilization protein TraN. However, none was confirmed (118, 133). The mechanism of TraS exclusion involves the inner membrane protein TraG in donor cells (134). TraG-TraS recognition was later confirmed, suggesting that TraG is translocated into recipient cells for transfer initiation, a process blocked by TraS (135). However, the interacting partner in conjugative plasmids not related to F is unknown, although TraG-VirB6 similarities point to VirB6 as the TraS counterpart (136).

All conjugative plasmids contain at least one exclusion gene, usually TraS-like, indicating their importance for the conjugative element. Exclusion systems may be used to prevent competition among identical plasmid backbones, for donor cells to avoid uneconomical excess of DNA transfer, or for recipient cells to prevent death by lethal zygosis (an excess of conjugative cell contacts causing membrane damage). Interestingly, only IncF and IncH plasmids, which produce pili that are firmly attached to the donor cell, encode both types of exclusion systems, while plasmids whose pili detach easily from the cell express only entry exclusion (136).

### Plasmid Strategies: Fertility Inhibition

Fertility inhibition was discovered when certain plasmids carrying multiple AbR determinants were introduced in cells containing plasmid F (137). These R plasmids were IncFII plasmids that produced protein FinO. FinO reduced F transfer by increasing intracellular levels of the antisense RNA FinP (138). FinP RNA specifically downregulates *traJ* mRNA translation, whose product is a transcriptional activator of the transfer region. FinO binds FinP and *traJ* mRNA helping duplex formation, which triggers *traJ* mRNA cleavage by RNase III and protects FinP from degradation by RNase E (139, 140). The F plasmid is naturally derepressed due to *finO* insertional inactivation by insertion sequence IS3, resulting in low levels of FinP (141). Therefore, the FinOP system results in a small fraction of cells being transfer competent, contributing to regulate the balance between conjugative transfer and plasmid burden (including metabolic overhead of

constitutive expression and vulnerability to pilus-specific phages) in IncF plasmids. The absence of FinOP regulation in early transconjugant cells produces a transient epidemic spread that ensures infection of the recipient cell population (106).

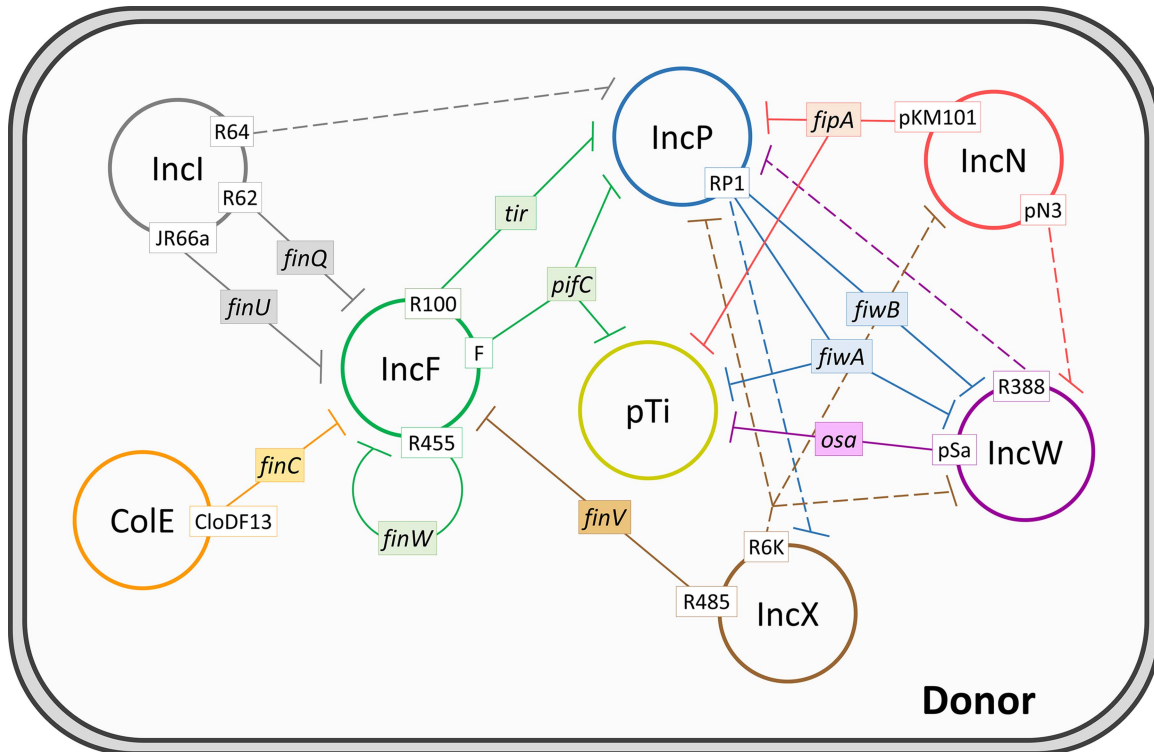
Besides the FinOP autoregulatory mechanism, which also affects other IncF plasmids due to FinO *trans* activity, additional fertility inhibition systems were identified that reduce conjugative transfer of unrelated coresident plasmids (142). These mechanisms may play a role as competition tools for colonization of new hosts (143). Eleven functions from different plasmid groups have been associated with fertility inhibition of IncF, IncW, IncP, and T-DNA of *A. tumefaciens* pTi plasmid, as schematized in Fig. 3.

### Transfer Inhibition of IncF Plasmids

Similar to the FinOP system, the FinQ and FinW systems act at the RNA level but independently of the main regulator TraJ. FinQ is encoded by IncI1 plasmids and acts via Rho-independent transcription termination at several sites of the *tra* operon (142–146). FinW is present in IncFI plasmids such as R455 and reduces transcription of TraM (142, 143, 145), a regulator activated by TraJ and essential for DNA processing during F transfer (147). FinC, FinU, and FinV fertility inhibition systems act posttranscriptionally. FinC is expressed by the mobilizable plasmid CloDF13 (which uses its own T4CP), probably to inhibit the function of the helper F T4CP during CloDF13 transfer (148). FinU and FinV are encoded by IncI1 plasmid JR66a and IncX plasmid R485, respectively. Since FinU inhibited both pilus assembly and entry exclusion, it was suggested to affect transcription of the *tra* operon (143). Since transcription reduction was not proportional to the observed effect, the primary target of FinU was suggested to be the translation or function of one or more transfer proteins (145). FinV reduced pilus formation but did not produce an effect on surface exclusion (143). Therefore, it was suggested to act posttranscriptionally, affecting the activity of one of the proteins required for pilus assembly (145).

### Transfer Inhibition of IncW Plasmids

The elements *fiwA* and *fiwB*, encoded by IncP1α plasmids such as RP1, inhibit transfer of IncW plasmids (149–151). When acting together, they reduce R388 conjugation 1 million times. While *fiwA* affects only R388 transferability, *fiwB* also affects pilus production, conferring resistance to PR4 bacteriophage (150). Unidentified genes in the IncX plasmid R6K also inhibited the fertility of IncW plasmid R388 (149) and IncN



**FIGURE 3** Network of interactions between conjugative plasmids that affects their conjugation capacity. Plasmid incompatibility groups are represented by colored circles. Continuous lines show fertility inhibition systems caused by genes in colored rectangles from plasmids in white boxes. Dashed lines show fertility inhibition systems caused by unidentified genes from plasmids in white boxes. See text for further details.

plasmid R46 (152). Similarly, IncP plasmid RP4 reduced conjugal transfer of the rhizobial plasmids pRmeGR4a and pRmeGR4b (153).

### Transfer Inhibition of IncP Plasmids

IncP plasmids are targets of fertility inhibition as well. The IncI plasmid R64 encodes a function that inhibits IncP plasmid RP4 conjugative transfer up to 100-fold (154). IncX plasmid R6K and IncP plasmid RP1 showed reciprocal fertility inhibition through an unknown mechanism that resembled the FinOP regulation system (149). The first IncN plasmids reported to inhibit IncP plasmids' fertility were pN3 (149) and R390, which also inhibited transfer of IncW plasmid pSa (155). Fertility inhibition of IncP plasmids (or *fip*) was localized in IncN plasmid pKM101, which reduced RP1 transfer by 10,000-fold (156). The absence of effect in pilus synthesis or entry exclusion suggested that *fip* acted in a different way than the FinOP system. An apparently independent function was found in F plasmid that inhibits plasmid RP4 conjugative transfer 1,000-fold (157). It was identified as *pifC* (or *repC*), a gene involved in the initiation of F replication (158) and in the regu-

lation of *pif* operon expression (phage interference function) (159). PifC inhibited both RP4 conjugation and RP4-assisted mobilization (160). As occurred with FipA of pKM101, PifC inhibition did not affect exclusion or pilus synthesis. A *pifC* functional homolog named *tir* (transfer inhibition of RP4) was discovered in the replication region of IncF plasmid R100 (161). The target of FipA and PifC in IncP plasmids was TraG, the T4CP that connects the relaxosome with the T4SS. Both proteins inhibited RSF1010 mobilization (which uses TraG of RP1), while CloDF13 mobilization (which codes for its own T4CP) was not affected. In addition, IncN-assisted RSF1010 mobilization enhanced by overexpression of *traG* was lost in the presence of *fipA* or *pifC* (162).

### Transfer Inhibition of pTi Plasmid's T-DNA

IncW plasmid pSa abolished the plant tumor-inducing activity of the pTi's T-DNA of *A. tumefaciens* (163, 164). This suppressive activity was attributed to the *osa* gene (oncogenic suppression activity) (165). In contrast, the oncogenic suppression caused by IncQ mobilizable plasmids seemed to act by recruiting T-DNA's MPF for

mobilization and competing for transfer more efficiently than T-DNA (166–169). Osa protein shows homology to FiwA from RP1 (170), responsible for IncW fertility inhibition (151), and was located at the bacterial inner membrane (171). Osa mode of action was related to export inhibition of VirE2 (172), a protein involved in T-DNA endonuclease protection and transport (173). Export of VirE3 and VirF virulence proteins was blocked by Osa (174). Afterwards, IncQ plasmid RSF1010 mobilization by T-DNA's transfer system was confirmed to be reduced by Osa (169). By the use of a transfer DNA immunoprecipitation assay, Cascales et al. (175) discovered that both IncQ mobilizable plasmids (which use the same pathway as T-DNA) and Osa fertility inhibitor suppressed plant tumorigenesis through inhibition of T-DNA and VirE2 binding to the T4CP (VirD4) receptor, blocking their passage through *A. tumefaciens* T4SS. In contrast to IncQ plasmids, which were proposed to block T-DNA's T4CP as a competing substrate with higher copy number and affinity for T4SS than T-DNA (167), Osa exerted its effects by modulating VirD4 receptor activity through direct protein-protein interaction. As occurred with FipA and PifC, Osa only inhibited mobilization of plasmids lacking their own T4CP (such as RSF1010), whereas mobilization of plasmids carrying their own T4CP (such as CloDF13) was not affected, thus confirming previous results (175). Recently, Osa crystal structure was solved and shown to belong to the ParB/Srx superfamily (176). ATPase and DNase activities were discovered within its active site, activities that were common to their homologs (fertility inhibition protein ICE1056Fin of *H. influenzae* ICEHin1056 and partition system elements KorB from IncP1 $\alpha$  plasmid RK2 and ParB from bacteriophage P1). In addition, it was shown that T-DNA transfer was inhibited by Osa homologs ICE1056Fin and FiwA, and even by the unrelated fertility inhibition factors FipA and PifC. Immunoprecipitation and Western blot analysis showed Osa interaction with two other T4SS components, VirB4 and VirB11 ATPases. By *in vitro* reconstitution of a partial T4SS (comprising VirB4, VirB11, and Osa), degradation of T-DNA covalently bound to VirD2 relaxase was observed. This observation has placed Osa DNase activity as a key function of a fertility inhibition mechanistic model (176).

## ARTIFICIAL STRATEGIES TO CONTROL CONJUGATION

Conjugation inhibitors (COINs) have been employed to target specific components of the conjugation machin-

ery, such as conjugative relaxases or the pilus tip. Other compounds considered to be COINs inhibited conjugative transfer of several plasmids in different hosts either indirectly, by affecting bacterial growth, or directly, by targeting a common conjugative function, such as T4SS.

### Relaxase Inhibitors

Garcillán-Barcia et al. obtained intracellular antibodies (intrabodies) able to inhibit conjugal transfer of plasmid R388 by blocking relaxase activity in recipient cells (42). After mice were immunized with TrwC relaxase, immunoglobulin variable sequences were PCR-amplified from mice mRNA, assembled as scFv (single-chain variable fragment) antibodies, and cloned into an M13 phagemid vector fused to pIII protein. The resulting library of phagemids was submitted to rounds of panning against the purified relaxase immobilized onto ELISA plates, to select antibodies that bind the relaxase more efficiently. The antibody with higher affinity for TrwC was expressed in an *E. coli* strain carrying mutations in the major disulfide bond reduction systems to allow intrabody folding and stability in the reducing cytoplasm of bacteria. It was used as a recipient in an R388 mating experiment, obtaining a 20-fold reduction in transfer frequency compared to a control expressing an unrelated intrabody. To search *in vivo* for more-potent intrabodies able to directly inhibit R388 conjugation, a second library from the first round of panning was expressed in recipient cells. The screening was performed by the use of a high-throughput conjugation assay that relies on the emission of luminescence in transconjugant cells (177). Several intrabodies inhibited R388 conjugation from 40- to 10,000-fold, confirming that R388 relaxase carried out an important function in recipient cells that could be blocked as a viable strategy to prevent plasmid transmission. When CloDF13 mobilization by R388 was tested, no change was detected, due to the usage of its own relaxase (MobC). An interesting broad-range intrabody was discovered that also reduced conjugative transfer of MOB<sub>F</sub> plasmids pKM101 and F, whose relaxase domains are 51 and 37% identical to TrwC, respectively. In addition, by mapping the epitopes recognized by one of the intrabodies, the R388 mechanism to terminate conjugative DNA processing was clarified, establishing the second catalytic tyrosine of the relaxase as an important player in this reaction. Although intrabodies are not the most suitable therapeutic candidates for conjugation control due to the pharmacokinetic problems of any macromolecule as a drug, the recognized epitopes of the relaxase could be targeted

by other means in order to generate better applicable COINs.

Relaxase activity of F plasmid TraI was targeted *in vitro* by bisphosphonates, a set of small molecules that could apparently interfere with the relaxase active site by mimicking a covalent phosphotyrosine intermediate (178). Two of the most potent compounds were promising hits because they had already been approved for bone loss treatment, a fact that would facilitate their inclusion into the market. However, besides inhibition of F transfer *in vivo*, they also caused unexpected selective death of bacteria containing both a catalytically active TraI and F plasmid. Moreover, it was later concluded that bisphosphonates act as mere chelating agents that could affect any metal-dependent cellular process (179).

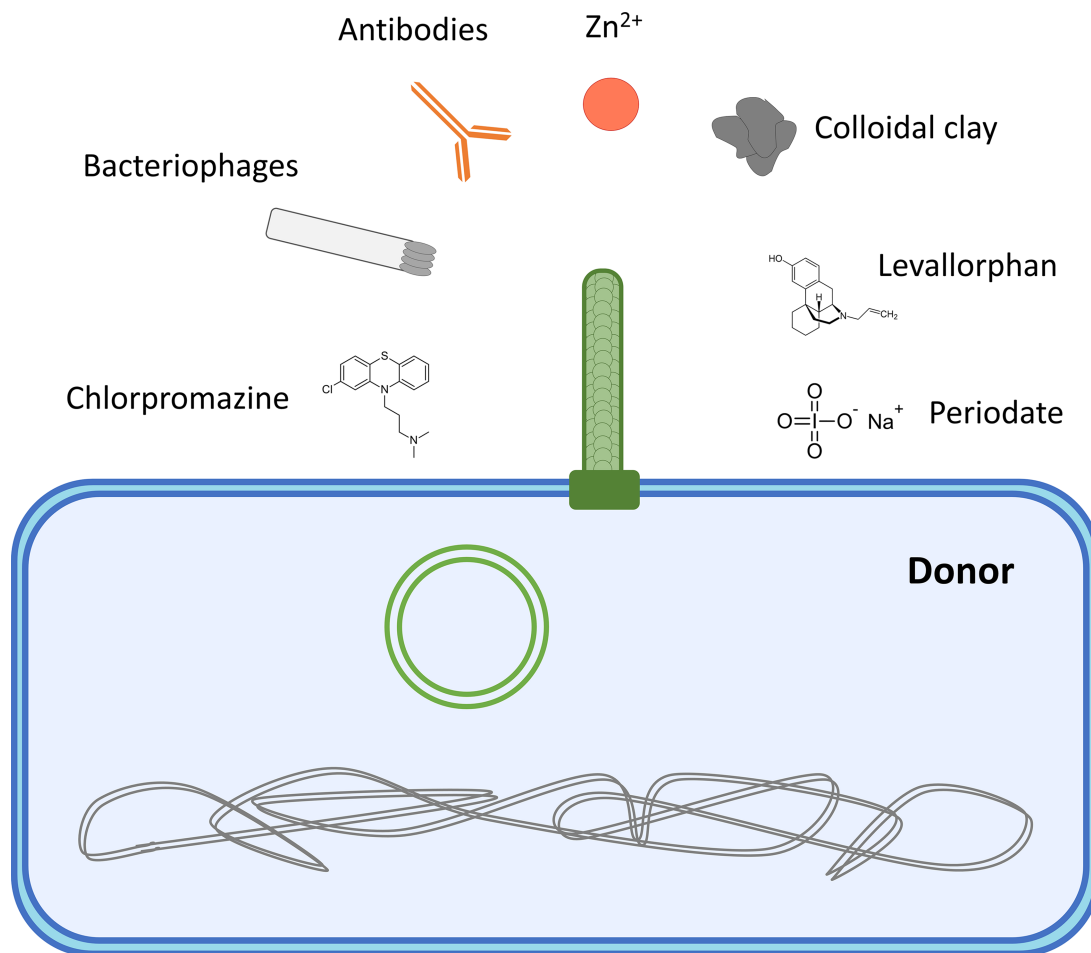
### Pilus Blockers

Donor-recipient contact, the first step for plasmid conjugative transmission, can be inhibited by interfering with the function of the conjugative pilus (Fig. 4). The best-known inhibitors of conjugation by blocking conjugative pili are bacteriophages. Some DNA and RNA bacteriophages use conjugative pili as receptors to infect bacteria containing certain plasmids. The attachment of these phages to conjugative pili can obstruct potential donor-recipient contacts. This section will focus on COIN activity of male-specific bacteriophages without lytic activity, although phages inducing bacterial lysis possess high potential as antimicrobials against AbR bacteria. In fact, the male-specific phage PRD1, with lytic activity against bacteria containing IncP plasmids, was described as an effective plasmid-curing agent. It reduced the frequency of AbR bacteria even under selective pressure for plasmid maintenance, which promoted the emergence of conjugation-deficient mutants resistant to PRD1 (180, 181). Furthermore, lytic phages cause faster extinction of conjugative plasmids in bacterial populations, probably due to selection for phage resistance mutations that increase genetic burden, indirectly affecting plasmid stability (182). Although lytic phages have been amply studied for their use in phage therapy, nonlytic bacteriophages infecting *Pseudomonas aeruginosa*, such as the filamentous Pf3 and Pf1 phages, could also be effective as antimicrobial adjuvants thanks to their ability to increase susceptibility to antibiotics (183). A similar effect was observed when Boeke et al. (184) expressed the pIII protein of F-specific filamentous phages, involved in pilus recognition. pIII causes pleiotropic effects in bacterial membranes, including increased sensitivity to detergents, antibiotics, and colicins

and even a reduction in F conjugation and male-specific phage infection, probably by blocking pilus retraction.

The first report about the COIN activity of bacteriophages originates from an investigation on pilus function. After Brinton et al. (185) suggested an association between RNA phage receptors and transport of genetic material, Knolle (186) found that an inactivated RNA phage (called fr) interfered with F conjugation in the same way that mating partially prevented phage invasion. Similar results were obtained with phages f1 and f2 as mating inhibitors, which attach to the tip and the sides of the F pilus, respectively (187). F-specific DNA and RNA phages (M13 and R17) were employed by Novotny et al. (188) to prevent the formation of mating pairs, providing evidence that supports F pilus as the common element involved in an early step of both phage infection and conjugation. To discard nonspecific inhibition of bacterial growth caused by phages, transfer of IncF or IncI plasmids from the same donor cell was blocked by inactivated F- or I-specific bacteriophages, respectively (189). Using a cell counter to measure mating pairs, Ou (190) demonstrated that phage f1 inhibited MPF function completely, while MS2 did so only partially. Since the filamentous DNA phage f1 attaches to the F pilus tip while the RNA bacteriophage MS2 attaches laterally along the pilus, the pilus tip was established as the specific attachment mating site. Schreil and Christensen (191) confirmed that MS2 interfered with F conjugation, but not due to competition for a common transport channel. Moreover, they disagreed with the reverse effect stated by Knolle (186), noticing that conjugation did not affect MS2 invasion.

A more recent study (192) revealed that M13 inhibition of F conjugation involved physical occlusion of the conjugative pilus by phage particles. Exogenous addition of pIII soluble fragment inhibited conjugation at nanomolar concentrations, whereas addition of the nonspecific protein bovine serum albumin did not. This result suggested that the effect was mediated by the phage coat protein pIII, known to interact with the F pilus (193). The concentration of pIII needed to inhibit F conjugation was 1,000-fold higher than the number of nonreplicating phage particles. The apparent higher affinity of phage particles when compared to isolated pIII protein could be due to cooperation between more than one pIII protein monomer to bind the pilus when they are attached to the phage structure, or to the irreversibility of the binding reaction in the case of phage particles. Lin et al. (192) also observed a 5-fold reduction in donor ability when bacteria were infected with replicating phages, probably due to decreased pilus



**FIGURE 4 Artificial inhibitors of donor-recipient contact (pilus blockers).** The tranquilizer chlorpromazine prevents plasmid conjugation and phage infection, possibly by modifying membrane topology. Male-specific bacteriophages bind the pilus tip through their pIII protein, blocking MPF and biofilm formation. Antibodies against conjugative pilus inhibit conjugation of specific plasmids.  $Zn^{2+}$  in the mating medium blocks F pilus contact with  $Zn^{2+}$ -containing receptor sites. Colloidal clay forms a coating on bacterial cells preventing liquid mating, phage infection, and predation. The opioid levallorphan inhibits MPF and adsorption of male-specific bacteriophages, probably by damaging pilus or bacterial membrane. Sodium periodate alters F pili, inhibiting donor fertility and bacteriophage infection. See the section on pilus blockers in the text for additional information.

elaboration. This effect could be important at low phage concentrations, when physical occlusion is less relevant. By constructing a chimeric phage in which the M13 N-terminal domain of pIII was substituted by the homologous sequence of If1 phage, M13 binding specificity was changed from F pilus to I pilus. Consequently, the chimeric phage inhibited conjugative transfer of IncI plasmids instead of F. They also presented a quantitative model for conjugation in the presence of phages that accurately described their COIN effect. Unlike other COINs, bacteriophages have the advantage of potential coevolution in case resistant bacteria appear.

A kinetic competition study between conjugation and M13 infection suggested that phage multiplicity of infection has to be high for the phages to act as effective antagonists to conjugation. At lower phage concentrations, conjugation persists despite phage inhibition, even in the absence of selective pressure (194). In spatially structured populations, such as surface-associated colonies and biofilms, M13 protein pIII effectively inhibited F conjugation. Moreover, spatial structure itself suppressed F conjugation due to isolation of donor and recipient populations, restricting conjugation to boundaries between them (195).

Besides conjugation and phage infection, conjugative pili are involved in the elaboration of biofilms, important targets in the battle against resistance (33). Therefore, bacteriophages affecting F conjugation could also prevent biofilm formation. Actually, male-specific filamentous DNA bacteriophage f1 prevented early biofilm formation by *E. coli* carrying F plasmid. Additionally, the fact that the RNA bacteriophage MS2 did not cause an inhibitory effect suggested that the pilus tip, not the sides, was important for early biofilm formation (196).

Antibodies directed against conjugative pili that are able to inhibit transfer of plasmids even more specifically than bacteriophages have been used to identify closely related resistance factors by analyzing the degree of inhibition (197). The results of this work agreed with previous serological analysis of sex pili detected through antigen-antibody reactions and observed by electron microscopy (198).

Other COINs could interfere with elaboration of mating pairs, either by blocking pilus tip in donors or pilus receptor in recipients or through nonspecific disorganization of bacterial membranes. A case in point is  $Zn^{2+}$ , which seemed contradictory at the start. First,  $Zn^{2+}$  prevented phage M13 adsorption to F pilus (199). Then, a reduction in F donor fertility was shown, probably by blocking pilus tip, thus inhibiting its interaction with recipient cells (200). Conversely, incubation with  $Zn^{2+}$  before mating enhanced the ability of recipients to form mating pairs (201). These paradoxical effects were explained by the use of the  $Zn^{2+}$  chelator orthophenanthroline (202).  $Zn^{2+}$  is probably involved in the formation of receptor sites on the recipient surface, and the initial contact could occur between the pilus tip and  $Zn^{2+}$  of receptor sites. Therefore, pretreatment of recipient cells increased their fertility through  $Zn^{2+}$  incorporation. However, an excess of  $Zn^{2+}$  in the mating medium would compete for the tips of F pili, hindering their access to receptor sites. The reduction of  $Zn^{2+}$  availability by the mentioned chelating agent drastically decreased conjugation, mainly acting during MPF.

Unlike  $Zn^{2+}$ , the effect caused by periodate in F donors was irreversible (203). After donor pretreatment, the number of transconjugant cells was greatly reduced, whereas treatment of recipient cells had no significant effect. Perborate and persulfate also decreased donor fertility, but to a lesser extent. The fact that addition of periodate to a mating in progress did not prevent conjugation between mating pairs already formed suggested an effect on MPF, probably by altering the surface of donor cells via polysaccharide oxidation. Consistent with this observation, Dettori et al. (204) showed that

periodate also inhibits the adsorption of RNA bacteriophages to the sides of F pili. Consequently, an alteration of F pili seems to inhibit both donor fertility and bacteriophage infection (200).

The morphine derivative levallorphan, like  $Zn^{2+}$  and periodate, inhibited adsorption of phage MS2 to F pili (205). This inhibition was comparable to inhibition of MPF during R-factor transfer from *Proteus rettgeri* (now *Providencia rettgeri*) to *E. coli*, since both effects can be observed at the same concentration of levallorphan. Both inhibitory effects, on phage adsorption and conjugation, could be caused by damage of F pilus or the whole bacterial membrane (206). The tranquilizer chlorpromazine also reduced both IncF plasmid conjugative transfer and adsorption of male-specific bacteriophages (207). Since this is a cationic amphipathic molecule, it could act by modifying membrane topology through its insertion in the lipid bilayer. Another COIN probably affecting MPF is ammonium (153). It inhibited conjugative transfer of the rhizobial plasmid pRmeGR4a and pRmeGR4a-assisted mobilization of pRmeGR4b between *Rhizobium meliloti* strains. However, ammonium did not affect transmission of IncP plasmid RP4 or the rhizobial plasmids to *A. tumefaciens*. Thus, its effect seemed to take place on *R. meliloti* recipient cells, probably on their surface, but not on the transfer machinery.

An inert barrier between donors and recipients is an additional possibility to control bacterial conjugation. Colloidal clay, typically present in natural waters, prevented the transfer of IncF plasmid R1drd19 by forming a coating on bacterial cells (208). This clay envelope was also responsible for *E. coli* protection from bacteriophage infection and bacterial predation (209, 210). In contrast, plasmids that promote conjugation less efficiently in liquid, such as IncP plasmid RP4, enhanced their transfer in water containing nanoalumina particles. In the presence of these particles, RP4 upregulated the expression of genes required for MPF (211). An unspecified component of *E. coli* cell wall was also described as an inhibitor of conjugal transfer. In this case, the inhibitory mechanism presumably involved competence between cell wall components and actual partners on the surface of cells, thereby preventing MPF (212, 213).

### Nonspecific COINs

Bisphosphonates were first described as relaxase-specific inhibitors (178) but then reappraised as chelating agents (179). Similarly, other reported COINs were later revealed as inhibitors of different cellular processes. For instance, the ability of some plasmid-curing agents to inhibit conjugative transfer is easily attributable to their

antiplasmid effect, which favors the growth of plasmid-free cells (214). The increased sensitivity of *E. coli* containing F plasmid to bile salts and SDS represents another example of this effect. While plasmid-free cells are resistant to these toxic detergents, cells with an active system for pilin secretion are more susceptible to their entry through the T4SS pore (215). A similar behavior was found by overexpressing RP4 genes, which caused enhanced cell permeability (216). Another interesting antiplasmid effect is mediated by the type VI secretion system (T6SS). T6SSs are produced by Gram-negative bacteria to kill prokaryotic and eukaryotic cells through contact-dependent delivery of toxic effectors (217). *P. aeruginosa* T6SS is assembled in response to T6SS attacks by competing bacteria in microbial communities (218). Besides T6SS, T4SS structural proteins of plasmid RP4 triggered *P. aeruginosa* attacks by T6SS (219). The work suggested that these donor-directed counterattacks are induced at MPF-mediated membrane perturbations in *P. aeruginosa* recipients to potentially block the acquisition of foreign DNA. Thus, T6SS would represent a new type of immune system against HGT, through a mechanism that indirectly inhibits conjugative transfer by killing donor cells.

Several antimicrobial drugs, even at subinhibitory concentrations, act as inhibitors of plasmid conjugative transfer. However, their lethal effects in donors and recipients, or the absence of COIN activity in nongrowing bacteria, suggested that these compounds interfere with essential bacterial functions rather than recognizing a specific plasmid target (220, 221). In fact, most of these antibiotics act on cellular functions, such as DNA replication, transcription, translation, or membrane integrity, which are also involved in conjugation (43). Similarly, COIN activity of other compounds could be related to their antibacterial activity. This is the case with nitrofurans (222) and pipemidic acid (223), which inhibited transfer of several plasmids in different hosts by interfering with DNA replication. Moreover, copper surfaces inhibit conjugal transfer indirectly (224), presumably by killing bacteria through DNA and membrane damage (225–227). Epigallocatechin gallate, an antimicrobial component of tea, inhibited conjugative transfer of plasmid R100 in *E. coli* (228). In addition, the phenolic compounds rottlerin and “the red compound” extracted from the plant *Mallotus philippensis* inhibit transfer of several plasmids at subinhibitory concentrations (229). Likewise, *Carica papaya* seed macerate, containing a previously detected antibacterial substance (230), was considered a COIN for a *Salmonella enterica* serovar Typhimurium conjugative plasmid

in the mouse digestive tract at nonlethal concentrations (231). Another example could be sodium propionate, produced by intestinal bacteria and abundant in the large intestine. It was found to reduce the transfer frequency of IncF plasmid pSLT in the mouse intestine (232). It also presented antibacterial properties against several microorganisms (233).

On the contrary, subinhibitory concentrations of certain antimicrobial agents can indirectly promote conjugation (234). For example, DNA damage caused by ciprofloxacin or mitomycin C induced SOS response, which is responsible for upregulating the excision and transfer of SXT ICE from *Vibrio cholerae* (235). In an SOS-independent manner, conjugative transposons from *Bacteroides* and *E. faecalis* increased their transfer when exposed to low concentrations of tetracycline (236, 237). Similarly,  $\beta$ -lactams stimulated the formation of bacterial aggregates, thus increasing conjugative transfer of a plasmid from *S. aureus* (238).

### Unsaturated Fatty Acids

The first systematic search for COINs used conjugative plasmid R388 in *E. coli* as a model system (177). A luminescence-based high-throughput assay was used to measure R388 conjugation in the presence of >12,000 microbial extracts containing a variety of bioactive compounds. A control assay discarded compounds affecting bacterial growth, plasmid stability, or light emission. The first hits were oleic and linoleic acids, C<sub>18</sub> unsaturated fatty acids (uFAs) containing one or two double bonds, respectively. The most potent compound was an atypical fatty acid named dehydrocrepenynic acid (DHCA), identified in an extract from the fungus *Sistotrema sernanderi*. DHCA is a C<sub>18</sub> fatty acid with double bonds at positions 9 and 14 and a triple bond at position 12. Conjugation analysis using related compounds (including saturated fatty acids), suggested that a carboxylic group, a long carbon chain, and a double bond position were important features of COINs. Plasmids affected by linoleic acid and DHCA were R388 and pOX38, whereas RP4 or R6K were not. The fact that some plasmids were not affected argues against general metabolic disturbances as a cause. In addition, these results suggested that the inhibition target was involved in DNA processing (MOB), more similar between R388 and pOX38 than RP4 and R6K (239). However, the absence of effect on IncN plasmid pKM101, with a MOB module more similar to R388 and pOX38 than to RP4, weakened this hypothesis.

Recently, a novel set of natural COINs was discovered by analyzing a collection of bioactive compounds

isolated from marine microorganisms (240). These compounds, called tanzawaic acids, are fungal polyketides more complex than uFAs. They are carboxylic acids with two aromatic rings at the end of an unsaturated aliphatic chain, thus confirming the importance of these two chemical characteristics for COIN activity.

## 2-Alkynoic Fatty Acids

A better understanding of COIN action in relevant conjugative systems is essential as a first step to treat complex environments. In order to chemically and biologically characterize the previously reported COIN activity, a set of 2-alkynoic fatty acids (2-AFAs) was synthesized (241). 2-Hexadecynoic acid (2-HDA) was identified as the most effective synthetic COIN, with similar potency to natural uFAs (177). A clinically representative set of conjugative plasmids was tested in the presence of 2-HDA to determine its activity range. Similarly to natural uFAs, 2-HDA inhibited transfer of IncF plasmids, the most common carriers of AbR genes in pathogenic *Enterobacteriaceae* (242). Transfer of IncW, IncF, and IncH plasmids was strongly inhibited by 2-HDA, while IncI, IncX, and IncL/M plasmid transfer was only moderately inhibited. On the other hand, IncN and IncP plasmids were resistant to COIN action. Also interesting for future applications was the fact that conjugation was inhibited irrespective of the bacterial host used as donor. The most remarkable result was obtained through a liquid mating experiment using the multiresistant plasmid R1drd19. In the absence of COINs, the IncF plasmid invaded the entire recipient population after just four generations. This was due to the high transmissibility of the plasmid, which caused plasmid dissemination even though plasmid-containing cells had slower growth rates than plasmid-free cells. Conversely, the presence of 2-HDA in the mating medium prevented plasmid conjugative transfer, flipping over the balance between plasmid transmission and burden, thus favoring colonization by plasmid-free cells. Consequently, 2-HDA was able to block plasmid invasiveness and reduce the prevalence of plasmid-containing cells in the bacterial population. Reversion from plasmid invasion to plasmid loss occurred at 50  $\mu$ M 2-HDA, comparable to the observed 50% inhibitory concentration in R388 transfer. This suggested that 50% inhibition of conjugation was sufficient to prevent plasmid spread in the absence of selective pressure. These observations highlight the potential application of COINs to prevent AbR dissemination.

A recent study analyzed the activity of conjugative ATPases in the presence of uFAs (243). The component

of R388 MPF system TrwD (VirB11 homolog), involved in pilus synthesis and DNA translocation (244, 245), was identified as the potential target. Conjugation frequency correlated with TrwD ATPase activity in the presence of different compounds, including saturated fatty acids, uFAs (177), synthetic AFAs, and AFA inactive analogs. Nevertheless, the absence of known TrwD homologs in IncF plasmids (246) still leaves unanswered questions. Given that transfer of mobilizable plasmids by IncF MPF is also affected by these COINs (241), the target in these plasmids, well adapted to *E. coli* after a long history of coevolution, could be an unidentified chromosomal ATPase involved in F conjugation and F-helped mobilization. Alternatively, another plasmid ATPase, such as the TrwK homolog TraC (VirB4), the only ATPase of plasmid F known to be required for MPF biogenesis (28), could be responsible.

## T4SS Inhibitors Discovered by Biochemical Analysis

Other approaches to discover COINs take advantage of newly generated biochemical knowledge concerning related processes, such as T4SS-related virulence inhibition. For example, VirB11 ATPase was used as a target for the development of inhibitors, with the aim of preventing *Helicobacter pylori* virulence. The first described inhibitors targeted the *H. pylori* VirB11-type ATPase Cag $\alpha$ , blocking CagA toxin transport to host cells. The most active compound (CHIR-1, identified by a high-throughput screening that measured ATPase activity in the presence of small compound libraries) reduced *H. pylori* pathogenic effects in gastric cells and the ability of treated bacteria to colonize gastric mucosa in mice (247). Docking analysis using Cag $\alpha$  allowed the identification of a series of competitive inhibitors with potential as antibacterial agents (248). These anti-virulence compounds could be tested in conjugative VirB11 homologs, such as TrwD of IncW plasmid R388.

Another example of how a T4SS inhibitor involved in protein secretion can extrapolate its activity to inhibit conjugative T4SS was reported by Shaffer et al. (249). They started from the structure of pilicides, small peptidomimetic molecules that target pilin chaperones and thereby inhibit assembly of type I pili, which mediate adhesion of uropathogenic *E. coli* (250). By screening a collection of pilicide derivatives with a central 2-pyridone scaffold, they found that compounds C10 and KSK85 disrupted *H. pylori* cag T4SS, thus inhibiting translocation of the oncogenic protein CagA and peptidoglycan to gastric cells. In addition, these molecules, in particular C10, effectively inhibited conjugative



transfer of *A. tumefaciens* T-DNA to plant cells, and transmission of plasmids pKM101 and R1-16 between *E. coli* strains.

Type III secretion systems (T3SSs) of several pathogens were also targeted by new antivirulence drugs. They generally act as needles to inject virulence effectors into host cells (251). Several compounds were found to block T3SSs in different pathogenic bacteria (252). An interesting work developed a whole-cell high-throughput screening of T3SS inhibitors based in *S. Typhimurium*. A compound was identified that inhibited both T3SSs and T2SSs, probably by targeting an outer membrane component conserved between these two secretion systems (253). These results provide a proof of concept that compounds with a broad spectrum of activity against different bacterial secretion systems could be developed.

## CONCLUSIONS

We have reviewed the multiple mechanisms by which conjugative transmission of plasmids can be affected. Given that conjugation is a sophisticated multistep process involving complexes of >15 proteins, it is reasonable that diverse mechanisms have been identified that disrupt one or more of the various steps. Up to now, the most efficient blocking mechanisms are the natural ones, such as RM, CRISPR, etc., that bacteria have used and perfected for millions of years to avoid "excessive" plasmid transmission. Artificial mechanisms found by scientific research are not yet as efficient but have the advantage over natural mechanisms of being less discriminating, which in our specific case is a valuable characteristic. The fight against AbR requires blocking mechanisms that impede its dissemination irrespective of the plasmid platform in which the resistance gene lies. Thus, COINs such as 2-HDA or other uFAs are broad-range inhibitors that can be used as lead compounds on which the pharmacological industry could work to obtain effective anticonjugation drugs. Alternative compounds and genetic devices have been reviewed that offer potentially different approaches to achieve the same goal. We hope that this review can inspire additional work that helps us win the fight against AbR transmission.

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