

1 **Probability of vertical HIV transmission: A systematic review and meta-regression**

2

3 Magdalene K. Walters,\* Michelle Bulterys,\* Michael Barry, Diana Louden, Sarah Hicks, Ann  
4 Richey, Margalit Sabin, Mary Mahy, John Stover, Robert Glaubius, Hmwe Kyu, Marie-Claude  
5 Boily, Lynne Mofenson, Kathleen Powis, Jeffrey Imai-Eaton

6

7 \*Indicates co-first authorship

8

## 9 **Abstract**

10 *Background:* Eliminating HIV vertical transmission (VT) and is a global priority. Estimates of  
11 paediatric HIV infections are commonly derived through mathematical models relying on rates of  
12 VT stratified by maternal immunological and treatment status from literature, namely the  
13 UNAIDS-supported Spectrum AIDS Impact Module (Spectrum-AIM) to assess progress towards  
14 eliminating VT. Default VT probabilities were last updated in 2018, since then there have been  
15 substantial changes to service delivery and ART regimens.

16  
17 *Methods:* We aimed to (1) update the systematic review of VT probabilities by maternal status  
18 compatible with Spectrum-AIM, (2) conduct a meta-regression to systematically pool studies to  
19 estimate VT probabilities with statistical uncertainty, and (3) assess determinants of VT,  
20 including maternal viral load. We searched PubMed, Embase, Global Health Database, WHO  
21 Global Index Medicus, CINAHL Complete, and Cochrane CENTRAL for peer-reviewed articles  
22 in English from all geographic regions with data on VT from randomized controlled trials, cohort  
23 studies, or observational studies. We excluded sources that did not stratify VT by maternal  
24 treatment or immunological status. We fit four meta-regression models to produce VT probability  
25 estimates compatible with stratifications used in Spectrum-AIM and assessed how updated VT  
26 probabilities estimated new paediatric infections compared to default parameters in Spectrum-  
27 AIM. We conducted subgroup analyses to assess how study inclusion affected model estimates.  
28 Finally, we fit a meta-regression model to assess ART class and initiation timing on viral load  
29 suppression at delivery.

30  
31 *Findings:* The updated systematic review identified 24 new studies published between January  
32 2018 and February 2024. Combined with previous review data, 110 studies were included in the  
33 meta-regression analysis. Estimates were broadly consistent with previous reviews. For women  
34 not receiving PMTCT, the odds of perinatal transmission decreased by 0.20 (0.16–0.25) for  
35 each 100 mm<sup>3</sup> increase in median CD4 of the study population. Among women on ART during  
36 pregnancy, each additional week on ART before delivery reduced the odds of VT by 5.6%  
37 (4.3%–6.8%). ART regimen class affected VT probability; the odds ratio of perinatal VT among  
38 WLHIV who initiated an INSTI-based regimen versus a NNRTI-based regimen 20 weeks before  
39 delivery was 0.355 (0.140–0.898). However, this effect was confounded by study region. Viral  
40 load suppression at delivery was significantly lower among women who started ART late during  
41 pregnancy ( $p=0.02$ ), but did not significantly differ by ART class ( $p>0.05$ ).

42  
43 *Interpretation:* Vertical transmission rates vary substantially according to maternal  
44 immunological stage, prophylactic regimen, and timing of treatment initiation. Time of initiation  
45 on ART before delivery was strongly associated with viral load suppression at delivery. Our  
46 estimates and their uncertainty can be used in Spectrum-AIM to produce estimates of paediatric  
47 incidence to inform funding and monitor progress towards eliminating VT.

48  
49 *Funding:* National Institutes of Health, UNAIDS, and the Medical Research Council

50 *Funding details:*

51 This research was supported by the National Institute of Allergy and Infectious Diseases of the  
52 National Institutes of Health under award number 1R01AI152721-01A1, UNAIDS, and the MRC  
53 Centre for Global Infectious Disease Analysis (reference MR/R015600/1), jointly funded by the  
54 UK Medical Research Council (MRC) and the UK Foreign, Commonwealth & Development  
55 Office (FCDO), under the MRC/FCDO Concordat agreement and is also part of the EDCTP2  
56 programme supported by the European Union.

57  
58 For the purpose of open access, the author has applied a 'Creative Commons Attribution' (CC  
59 BY) licence to any Author Accepted Manuscript version arising.

60  
61 *Conflicts of interest:*

62 The authors declare no conflicts of interest. A preliminary analysis of this work was virtually  
63 presented in October 2024 to the UNAIDS Reference Group on HIV Estimates, Modelling, and  
64 Projections.

65  
66 PROSPERO: [CRD42024511011](https://doi.org/10.1101/2024.12.03.24318418)

## 67 Introduction

68 Eliminating vertical transmission (VT) of HIV and addressing gaps in antiretroviral treatment  
69 coverage among children are global priorities.<sup>1</sup> Most countries use the UNAIDS-supported  
70 Spectrum AIDS Impact module (Spectrum-AIM), to quantify the national HIV epidemic, including  
71 the number of children acquiring HIV infection through vertical transmission over time. Due to  
72 incomplete HIV testing among children, Spectrum-AIM models the final vertical transmission  
73 rate (proportion of HIV exposed infants infected by the end of breastfeeding annually) as the  
74 function of the number of pregnant women living with HIV (WLHIV) by immunological and  
75 treatment status,<sup>2</sup> breastfeeding duration among WLHIV,<sup>3</sup> and VT probability stratified by  
76 prevention of mother to child transmission (PMTCT) regimen and transmission timing (perinatal  
77 or breastfeeding).<sup>4</sup> The final VT rate has declined over the past two decades commensurate  
78 with the scale-up of programmes to prevent VT.<sup>5</sup> These programmes identify pregnant WLHIV  
79 during antenatal care (ANC) and initiate them on antiretroviral regimens according to World  
80 Health Organization (WHO) guidelines, which reflect the best practices to prevent HIV VT and  
81 preserve maternal health at the time of diagnosis.<sup>6-8</sup>

82  
83 WHO-recommended and nationally implemented strategies to prevent VT have evolved over  
84 time.<sup>9-11</sup> Initially strategies consisted of short-course antiretroviral regimens for pregnant women  
85 and prophylactic antiretroviral regimens administered to infants, and, more recently, immediate  
86 lifelong ART initiated at diagnosis, before or during pregnancy. To reflect evolving PMTCT  
87 guidelines before universal ART, Spectrum-AIM stratifies VT probabilities as follows: women  
88 with existing HIV infection who did not receive PMTCT, women who seroconvert during  
89 pregnancy or breastfeeding, women who received short course PMTCT (maternal single-dose  
90 Nevirapine (SDNVP),<sup>12</sup> WHO 2006 dual ARV prophylaxis,<sup>13</sup> Option A,<sup>6</sup> and Option B<sup>6</sup>), and  
91 women on lifelong ART. Short course PMTCT options are defined in Supplementary Material  
92 S1. Women who discontinue ART before delivery are assigned the same VT probability as  
93 those without PMTCT to reflect rapid viral rebound after ART interruption.<sup>14</sup>

94  
95 Spectrum-AIM's default VT probabilities were initially estimated in a review conducted in 2012<sup>15</sup>  
96 and subsequently updated in 2015<sup>16</sup> and 2018<sup>17</sup> to reflect new empirical data on VT and the  
97 effects of newer PMTCT strategies. Most default VT probabilities in Spectrum-AIM are based on  
98 the weighted average of studies identified in the 2018 review (Supplementary Material, Table S1  
99 and Table S2). Probability of VT for WLHIV not receiving PMTCT have not been updated since  
100 the initial 2012 systematic review.

101  
102 In 2019, dolutegravir (DTG) became the recommended first-line ART for all PLHIV, including  
103 pregnant women.<sup>18</sup> Viral suppression occurs more rapidly in PLHIV on DTG than PLHIV using  
104 previous first-line regimens, thus DTG has greater potential to reduce VT when initiated late in  
105 pregnancy.<sup>19,20</sup> Since the 2018 systematic review, Universal Test and Treat and differentiated  
106 service delivery have increased the number of pregnant and breastfeeding women initiating  
107 ART early and remaining retained.<sup>21</sup> While PMTCT guidelines have not changed since the 2015  
108 recommendation of universal ART,<sup>8</sup> innovations in ART formulations and service delivery  
109 models have improved HIV treatment effectiveness, including prevention of VT.

110

111 We conducted an updated systematic review of VT probabilities to assess evidence on VT  
112 probability published from 2018 to 2024, following recent biomedical and implementation  
113 innovations aimed at increasing viral suppression among PLHIV, including among pregnant and  
114 postpartum WLHIV. Combining data identified in previous reviews and our updated review, we  
115 use a meta-regression framework to estimate VT probability compatible with Spectrum-AIM.  
116 Finally, we assessed the association between ART initiation timing and ART regimen class and  
117 viral load suppression (VLS) at delivery. Updated VT probability estimates improve the evidence  
118 informing estimates of paediatric HIV infections to assess progress towards identify remaining  
119 gaps in eliminating HIV vertical transmission.

120

## 121 **Methods**

122 The objectives of this analysis were to (1) update the systematic review of VT probabilities by  
123 including studies published since 2018, (2) use meta-regression to derive pooled VT  
124 probabilities estimates using all studies identified across the 2012, 2015, 2018, and 2024  
125 systematic reviews, and (3) assess ART class and time on ART before delivery as predictors of  
126 viral suppression at delivery. The systematic review and meta-analysis was pre-registered on  
127 PROSPERO (CRD: [42024511011](https://doi.org/10.1101/2024.12.03.24318418)) and reported according to the Preferred Reporting Items for  
128 Systematic Reviews and Meta-Analyses (PRISMA) guidelines (Supplementary Material Table  
129 S2.2.1 and Table S2.2.2).

130

### 131 *The 2024 systematic review update*

132 We systematically searched PubMed, Embase, Global Health Database, WHO Global Index  
133 Medicus, CINAHL Complete, and Cochrane CENTRAL for published literature with search term  
134 domains that mentioned “HIV”, “transmission”, “perinatal” and “breastfeeding periods”, and  
135 “infants born to women living with HIV” or related terms. The complete search strategies are  
136 detailed in Supplementary Material S2.3.<sup>22</sup>

137

138 Database search was completed on 8 February 2024 and included references published  
139 between 1 January 2018 and 8 February 2024. The systematic review was conducted and  
140 managed using Covidence Software.<sup>23</sup> Citations were uploaded to Covidence and de-  
141 duplicated. Title and abstracts were screened for eligibility and full-text articles were reviewed  
142 for inclusion by two independent reviewers (MKW, MBu, MBa, SH, AR, MS), with conflicts  
143 resolved by a third reviewer or through consensus. Inclusion criteria was as follows: full-text  
144 articles published in English from all geographic regions with data on VT from randomized  
145 controlled trials, cohort studies, or observational studies. Data on VT needed to be stratified by  
146 maternal PMTCT regimen (or CD4 for women not receiving PMTCT); if pregnant WLHIV  
147 received ART during pregnancy, the timing of ART initiation (preconception or during  
148 pregnancy) was required. Measuring VT probability did not need to be the primary outcome of  
149 the study for inclusion. Cross-sectional, case-control, case series, case reports, commentaries,  
150 letters to editors, study protocols, grey literature, and non-human, animal studies study designs  
151 were excluded.

152

153 Included studies were independently extracted by MKW and another reviewer (MBu, MBa, SH,  
154 AR, or MS), resolving discrepancies through consensus. We extracted study details (e.g.,

155 author name(s), study title, publication year, geographic regions covered, study years) and VT  
156 details (e.g., HIV exposed infants who were tested for HIV, HIV positive infants, timing of vertical  
157 HIV transmission, and infant feeding patterns). Additionally, we extracted details on maternal  
158 PMTCT (timing of ART initiation and regimen) and immunological data (viral load or viral  
159 suppression and CD4 for women not receiving PMTCT). The list of variables extracted is in  
160 Supplementary Materials S2.4.

### 161 162 *Meta-regression of HIV vertical transmission probabilities*

163 Using studies identified from the previous and 2024 systematic reviews (Supplementary  
164 Material S3), we fit four meta-regression models to estimate VT probabilities stratified according  
165 to vertical transmission categories in the Spectrum-AIM.<sup>16</sup> The four models estimated VT  
166 probability on the logit scale—the first among WLHIV not receiving PMTCT, the second among  
167 WLHIV who seroconverted during pregnancy or breastfeeding or received short course PMTCT  
168 regimens, the third for perinatal transmission among WLHIV receiving lifelong ART, and the  
169 fourth for monthly VT probability during breastfeeding among women receiving lifelong ART. We  
170 also assessed ART initiation timing and ART regimen class as predictors of viral suppression (<  
171 50 copies / mm) at delivery.

172  
173 We used the same definitions of perinatal and breastfeeding transmission as the 2012, 2015,  
174 and 2018 systematic reviews (Supplementary Material S1).<sup>15</sup> Perinatal VT was defined as HIV  
175 acquisition occurring before six weeks postpartum. For studies reporting transmission during  
176 breastfeeding, we converted cumulative acquisition probabilities to monthly probability for the  
177 period starting at the end of the perinatal period (6 weeks) and ending at the time of the last HIV  
178 test closest to the when women ceased breastfeeding. Most often this period spanned 1.5 and  
179 six months. Further details are in Supplementary Material S2.5 and S2.6.

### 180 181 *Model one: VT probability from women not receiving PMTCT*

182 Model one estimated VT among WLHIV not receiving PMTCT as a function of CD4 midpoint in  
183 the study population at baseline (Equation 1).

$$184 \quad \text{logit}(VT) = \beta_0 * [BF = 0] + \beta_1 * [BF = 1] + \beta_2 * CD4_{Midpoint} + \beta_3 * BF * CD4_{Midpoint} + \mu_0 + \mu_1$$

185 Equation 1

186 Model one included fixed effects for perinatal ( $\beta_0$ ) and monthly breastfeeding transmission ( $\beta_1$ ),  
187 a fixed effect for CD4 midpoint ( $\beta_2$ , per 100  $mm^3$  centred at 500  $mm^3$ ), and a fixed effect for the  
188 interaction between CD4 midpoint and breastfeeding transmission ( $\beta_3$ ). The CD4 midpoint was  
189 either the median CD4 of WLHIV not receiving PMTCT or the midpoint of a CD4 range in  
190 studies that reported VT by CD4 categories. More information on CD4 midpoint determination  
191 from each study and the sensitivity to this extraction is in Supplementary Material S5.1. Random  
192 effects were included for study and observation ( $\mu_0$  and  $\mu_1$ , respectively).

### 193 194 *Model two: VT probability from maternal seroconversion and short course PMTCT*

195 Model two estimated VT probability among WLHIV who acquired HIV infection during pregnancy  
196 or breastfeeding or received short course PMTCT (Equation 2).

$$197 \quad \text{logit}(VT) = \beta_{0,Category} + \mu_0 + \mu_1$$

198 Equation 2

199 Model two included fixed effects for the following categories ( $\beta_{0,Category}$ ) used in Spectrum-AIM:  
200 maternal seroconversion during pregnancy or breastfeeding, WLHIV receiving WHO 2006 dual  
201 ARV regimen, SDNVP, Option A, and Option B. For breastfeeding women receiving SDNVP,  
202 transmission rates were stratified by CD4 less 350 per cubic millimetre (CD <350) and CD4  
203  $\geq 350$ . Random effects were included for study and observation ( $\mu_0$  and  $\mu_1$ , respectively).

204  
205 *Model three: Perinatal transmission probability from women receiving ART by timing of initiation*  
206 Model three estimated perinatal transmission probabilities among women on lifelong ART by  
207 timing of maternal ART initiation (Equation 3).

$$\text{logit}(PVT) = \beta_0 + \beta_1 * T_{weeks} + \beta_2 * \text{late initiation} + \mu_0 + \mu_1$$

208  
209 Equation 3

210 Model three included an intercept term ( $\beta_0$ ), a fixed effect ( $\beta_1$ ) for weeks on ART during  
211 pregnancy before delivery ( $T_{Weeks}$ , centred on ART initiated 20 weeks before delivery), and a  
212 fixed effect for late ART initiation ( $\beta_2$ , ART initiated less than four weeks before delivery).  $T_{Weeks}$   
213 was preferentially extracted as the median weeks on ART before delivery. For studies that  
214 reported ART initiation during a range of gestational weeks, we extracted the midpoint of the  
215 range. Assumptions about weeks on ART before delivery are outlined in Supplementary  
216 Material S5.2. Random effects were included for study and observation ( $\mu_0$  and  $\mu_1$ ,  
217 respectively).

218  
219 *Model four: Monthly breastfeeding transmission from women receiving lifelong ART*

220 Model four estimated monthly breastfeeding transmission probabilities by time of ART initiation  
221 (preconception or during pregnancy, Equation 4).

$$\text{logit}(BFVT) = \beta_0 + \beta_1 * \text{ART started during pregnancy} + \mu_0$$

222  
223 Equation 4

224 For breastfeeding transmission, timing of ART initiation was classified as a binary covariate  
225 (preconception or during pregnancy) rather than continuous weeks before delivery (as in Model  
226 3 for perinatal transmission) because timing of ART initiation during pregnancy is less directly  
227 related to viral suppression during breastfeeding period than viral suppression at delivery. This  
228 model included random effects on by observation ( $\mu_0$ ). Study level random effects were not  
229 included because only two studies had multiple observations.

230  
231 *Effect of ART regimen class perinatal transmission probability and VLS at delivery*

232 We modified model three (Equation 3) to include fixed effects for ART regimen class (NNRTI  
233 (reference), INSTI, PI, and miscellaneous). We evaluated geographic region as a confounder of  
234 this effect in Supplementary Material S5.3. Additionally, we used studies that reported the  
235 proportion of WLHIV with VLS (<50) at delivery, time on ART, and ART regimen class to assess  
236 determinants of proportion of WLHIV with VLS at delivery (Equation 5).

$$\text{logit}(VLS) = \beta_0 + \beta_1 * \text{class} + \beta_2 * \text{time} + \beta_3 * \text{class} * \text{time} + \mu_0 + \mu_1$$

237  
238 Equation 5

239 This model included fixed effects for ART regimen class ( $\beta_1$ ), timing of ART initiation ('early':  
240 before the second trimester and 'late': after the first trimester), and an interaction between ART  
241 class and timing. Random effects were included for study and observation ( $\mu_0$  and  $\mu_1$ ,  
242 respectively).

243

### 244 *Implications of estimated VT probabilities for Spectrum-AIM's estimates of paediatric HIV* 245 *infections*

246 We used predicted values from models 1-4 to produce VT probability parameters compatible  
247 with Spectrum-AIM transmission categories. For VT probabilities among untreated women  
248 stratified by CD4 categories <200, 200-349, and  $\geq 350$ , model one predicted VT probabilities  
249 corresponding to CD4 midpoint values 100, 275, and 500 cells/mm<sup>3</sup>, respectively. Model two  
250 predicted VT probabilities for maternal seroconversion and short course PMTCT. For perinatal  
251 VT probabilities among women on ART <4 weeks, 4-39 weeks, and pre-conception, model  
252 three predicted probabilities corresponding to 2, 20, and 40 weeks on ART preconception,  
253 respectively. For VT during breastfeeding among WLHIV on ART, model 4 predicted VT  
254 probabilities corresponding to ART initiation before conception or during pregnancy.

255

256 Predicted transmission probabilities were input in Spectrum-AIM to calculate the number of  
257 paediatric infections in four countries (Rwanda, Malawi, Democratic Republic of Congo (DRC),  
258 and Burkina Faso) in years 2000, 2010, 2015, and 2023 using the Spectrum-AIM files published  
259 in 2023. Results were compared to the 2024 UNAIDS published HIV infections.<sup>5</sup>

260

261 All analyses were conducted in R 4.3.1.<sup>24</sup> Meta-regression models were fit using glmmTMB.<sup>25</sup>  
262 Data is available on request.

263

## 264 **Results**

### 265 *Updated 2024 systematic review and previous searches*

266 Our search identified 12,588 results, of which 6,730 were unique and underwent title and  
267 abstract screening (Figure 1). Among them, full-texts were reviewed for 424 studies and 400  
268 were excluded; the most common reason for exclusion was aggregation of VT across distinct  
269 ART groups (43%, Figure 1). The remaining 24 studies were extracted and included in the final  
270 meta-regression. The 24 studies published from 2018 to 2024 were combined with 30 studies  
271 from the 2012 review, 36 from the 2015 review, and 20 from the 2018 review (Figure 1), yielding  
272 110 studies included in the meta-regression analysis. All global regions were represented by at  
273 least one study, however most studies conducted in eastern and southern Africa (N=56,  
274 Supplementary Material Table S3). Studies were published between 1988 and 2023, with data  
275 collected between 1982 and 2022.

276

### 277 *Model one: VT probability from women not receiving PMTCT*

278 Model one included data from 17 studies on VT probability among women not receiving  
279 PMTCT, including two new studies published since the 2018 review (Figure 1; forest plots:  
280 Supplementary Material Figures S4.2.1 and S4.2.2). Observations from studies that reported VT  
281 stratified by CD4 range accounted for 80.2% of all observations. The odds of perinatal  
282 transmission decreased by 0.20 (0.16–0.25) for each 100 mm<sup>3</sup> increase in median CD4  
283 (Supplementary Material Table S4.1.1). When model one was fit to only studies that did not  
284 stratify VT by CD4 range, each 100 mm<sup>3</sup> increase in median CD4 increased the odds of  
285 transmission by 1.4 times (1.0–1.8, Supplementary Material Table S5.1.1.2). This was driven by  
286 three studies that are not representative of the modern HIV epidemic and is corrected for



287 through study-level random effects when model one is fit to all studies that describe VT among  
288 women not receiving PMTCT (Supplementary Material S5.1.1). The interaction between CD4  
289 midpoint and breastfeeding transmission was not statistically significant ( $p=0.54$ , Supplementary  
290 Material Table S4.1.1). The perinatal transmission probabilities for women with CD4 less than  
291 200, 200–350, and greater than 350 were 33.4% (27.8–39.0%), 25.1% (21.3–28.5%), and  
292 16.7% (13.8–20.0%) respectively (Table 1). The monthly breastfeeding transmission  
293 probabilities were 1.07% (0.37–3.15%), 0.94% (0.43–1.72%), and 0.80% (0.43–1.35%) for the  
294 same CD4 categories (Table 2).

295

#### 296 *Model two: VT probability from maternal seroconversion or short course PMTCT*

297 Model two included data from 58 studies, including four new studies from the updated  
298 systematic review (Figure 1; forest plots: Supplementary Material Figures S4.2.3– S4.2.13).  
299 Two new studies reported perinatal VT probability for women receiving Option A and two  
300 reported transmission from women who seroconverted, one during pregnancy and one during  
301 breastfeeding (Figure 2). Among women who seroconverted during pregnancy, perinatal  
302 transmission probability was 18.0% (12.9–24.4%, Table 1). Among women who seroconverted  
303 during breastfeeding, transmission probability was 28.20% (20.61–38.42%, Table 2). Among  
304 women who received short course PMTCT, the perinatal transmission probability was 8.3%  
305 (6.1–11.6%) for SDNVP, 3.1% (2.1–4.8%) for dual ARV, 3.1% (2.4–4.0%) for Option A, and  
306 1.8% (1.4–2.3%) for Option B (Table 1). The monthly breastfeeding transmission probabilities  
307 were 0.74% (0.15–4.05%) and 0.33% (0.04–1.68%) for SDNVP among women with CD4 less  
308 than 350 and greater than or equal to 350 respectively, 0.20% (0.06–0.66%) for dual ARV,  
309 0.20% (0.05–0.60%) for Option A, and 0.14% (0.07–0.29%) for Option B.

310

#### 311 *Model three: Perinatal transmission probability from women receiving lifelong ART by timing of* 312 *initiation*

313 Model three included 57 studies; 15 studies of which were identified in the 2024 systematic  
314 review (Figure 1 and Figure 2; forest plots: Supplementary Material Figure S4.2.14). Each  
315 additional week on ART before delivery reduced the odds of VT by 5.6% (4.3–6.8%,  
316 Supplementary Material Table S4.1.1). The odds ratio of perinatal transmission among WLHIV  
317 who initiate ART less than four weeks before delivery to those who initiated 20 weeks before  
318 delivery was 6.36 (3.82–8.58, Supplementary Material Table S4.1.1). When model three was fit  
319 to just studies that reported the median weeks on ART (rather than a range of weeks when ART  
320 was initiated) the same odds ratio was 12.1 (7.9–18.4, Supplementary Material S5.2). Perinatal  
321 transmission probability was 5.2% (2.9–10.7%) among women who initiated ART less than four  
322 weeks before delivery, 1.0% (0.8–1.3%) among women who initiated ART during pregnancy but  
323 before the final month, and 0.33% (0.23–0.48%) among women who initiated ART  
324 preconception (Table 1).

325

#### 326 *Model four: Monthly breastfeeding transmission from women receiving lifelong ART*

327 Model four included 16 studies, five of which were identified in the 2024 systematic review  
328 (Figure 1, Supplementary Material Figure S4.2.15). The monthly breastfeeding transmission  
329 probability was 0.13% (0.08–0.23%) for women who initiated ART during pregnancy and 0.02  
330 (0.00–0.06%) for women who initiated ART preconception (Table 3).

331

### 332 *Effect of ART regimen class perinatal transmission probability and VLS at delivery*

333 Using the 57 studies included in model three, we refit model three with fixed effects for ART  
334 regimen class. The odds ratio of perinatal VT among WLHIV who initiated an INSTI-based  
335 regimen versus a NNRTI-based regimen 20 weeks before delivery was 0.355 (0.140–0.898,  
336 Supplementary Material Table S4.1.2). When geographic region was added to the model,  
337 INSTI-based regimens did not have a significantly lower transmission rate than NNRTI-based  
338 regimens, suggesting that the effect of ART class on VT probability is confounded by study  
339 region (Supplementary Material S5.3).

340

341 Fourteen studies reported data on the proportion VL <50 copies/mL at delivery, three of which  
342 were identified in the 2024 systematic review (Figure 1). Most (11/14) studies were from  
343 Western and central Europe and North America. Probability of VLS at delivery was highest  
344 among WLHIV who initiated INSTI-based regimens before the second trimester (95.2% (87.7–  
345 98.5%), Supplementary Material Table S4.1.3), however there was no significant difference  
346 across ART classes. Probability of VLS among women who started ART before the second  
347 trimester was 90.7% (80.6–95.0%) for NNRTI, 82.6% (72.1–89.1%) for PI, and 90.6% (80.2–  
348 95.4%) for miscellaneous regimens. The probability of VLS among women who initiated ART  
349 after the first trimester was 40.4% (3.3–90.2%) for INSTI, 82.8% (70.2–90.4%) for NNRTI,  
350 65.6% (51.7–76.5%) for PI, and for miscellaneous regimens.

351

### 352 *Comparison with Spectrum-AIM default vertical transmission probabilities*

353 Table 1 and Table 2 compare the predicted VT probabilities from the meta-regression models  
354 with current default probabilities in Spectrum-AIM used for 2024 UNAIDS global HIV estimates.  
355 Overall patterns were similar; the mean percent difference between default Spectrum-AIM VT  
356 probabilities and those estimated in this analysis was 2.5%. The monthly breastfeeding  
357 transmission probability from women with CD4 greater than 350 had the largest percent  
358 difference, our estimate was 57% higher than the default Spectrum-AIM value (Table 2).  
359 Updated perinatal transmission probabilities were on average lower (mean percent difference:  
360 0.81% lower than default), whereas updated breastfeeding transmission probabilities were on  
361 average higher (mean percent difference: 5.5% higher).

362

### 363 *Implications of estimated VT probabilities for Spectrum-AIM's estimates of paediatric HIV* 364 *infections*

365 We applied the updated VT probabilities (Table 1 and Table 2) in Spectrum-AIM to estimate the  
366 number of paediatric infections in Rwanda, Malawi, DRC, and Burkina Faso in the years 2000,  
367 2010, 2015, and 2023 (Supplementary Material S6). In 2023, estimated perinatal infections  
368 were slightly lower using the updated VT probabilities in all countries except DRC (Figure 3).  
369 Across years and locations on average, perinatal infections were 2.4% lower than perinatal  
370 infections estimated using the default VT probabilities. In 2023, estimated infections from  
371 breastfeeding were higher using the updated VT probabilities in all countries (Figure 3).  
372 Across years and locations on average, breastfeeding infections were 26.0% higher than  
373 breastfeeding infections estimated using the default VT probabilities. Increases in estimated  
374 infections during breastfeeding were largest in countries and years in which PMTCT coverage

375 was low and ART interruption rates during pregnancy were high because updated breastfeeding  
376 transmission probabilities were most different among women who did not receive treatment  
377 (Table 2).

378

## 379 Discussion

380 Estimates of vertical transmission probability according to immunologic status, ARV-based  
381 preventive regimen, and timing of ART initiation are critical information for estimating children  
382 acquiring HIV infection and living with HIV and anticipated impact of efforts to eliminate vertical  
383 transmission. Since the last update of VT probabilities in 2018, Universal Test and Treat,  
384 differentiated service delivery, and DTG-based first-line regimens have expanded in aim of  
385 increasing the number of women receiving ART during pregnancy and remain retained through  
386 delivery<sup>26</sup> and increasing VLS among WLHIV. Our analysis estimates lower vertical  
387 transmission probability among women initiating ART during pregnancy than previous studies.  
388 Additionally, we find that women receiving INSTI-based regimens have lower perinatal  
389 transmission probability than women receiving other classes of regimens, however this effect is  
390 not significant when accounting for global region. Finally, we find ART initiation before the  
391 second trimester is associated with VLS at delivery across ART classes.

392

393 The relative levels of our estimates of VT probability are like previous estimates— women not  
394 receiving PMTCT have the highest VT probability, short course PMTCT regimens reduced VT  
395 probability before universal treatment, and women on ART have the lowest VT probability. Our  
396 perinatal VT probability estimates were systematically slightly lower than the default Spectrum-  
397 AIM values. These changes are related to both new data from the updated systematic search  
398 and the meta-regression model (versus the weighted average method).<sup>15–17</sup> The largest  
399 reduction from the default parameters was the estimate of perinatal transmission among WLHIV  
400 who initiate ART less than four weeks before delivery was 5.2% (2.9–10.7%), 35% lower than  
401 the current default value in Spectrum-AIM (8.2%). We show that this is due to more data on  
402 women starting ART during the third trimester and our model format but anticipate this will not  
403 have a large impact on Spectrum-AIM estimates as in 2023 only 1% of all pregnant WLHIV  
404 started ART less than four weeks before delivery. Our estimates of transmission probabilities  
405 during breastfeeding were systematically higher than the default Spectrum-AIM values. The  
406 largest differences occurred among women who did not receive any PMTCT. We did not identify  
407 any new studies that described breastfeeding transmission among women who did not receive  
408 PMTCT, so these differences are driven by the meta-regression model.

409

410 Our systematic review included randomized controlled trials, cohort studies, and other  
411 observational studies. Our global scope included heterogenous data that varied with respect to  
412 breastfeeding duration patterns for WLHIV, epidemic type, and ANC attendance.<sup>3,27,28</sup> The  
413 exclusion of non-English publications and grey literature may limit our coverage of published VT  
414 probabilities. Our inclusion criteria required studies to disaggregate VT by PMTCT regimen and  
415 ART initiation timing. We excluded 170 studies (43% of all full texts) that did not specify this  
416 information. Despite these limitations, combining studies identified across four different reviews  
417 allowed us to estimate VT probability for various maternal immunological statuses and treatment  
418 regimens.

419

420 Our estimates rely on assumptions. For model one, we approximated a CD4 midpoint for  
421 studies that reported median CD4 or a CD4 range among women who did not receive PMTCT.  
422 A subgroup analysis showed that differences in these reporting methods were confounded by  
423 older studies, which represent early epidemic dynamics. These studies make up a small  
424 proportion of all studies used in model one, so we do not feel that these biased our results.  
425 Similarly, for women initiating ART before or during pregnancy we approximated a time on ART  
426 midpoint for all studies. Studies included in model three reported either median weeks on ART  
427 before delivery or a range of weeks during which WLHIV initiated ART during pregnancy. For  
428 the 26 studies that reported a range of weeks, we requested more precise information about the  
429 median weeks on ART but only received additional information for four studies. Excluding  
430 studies that reported a range of weeks would exclude 76% of all studies that report ART  
431 initiation occurring during the third trimester. Their inclusion is crucial for estimates of VT  
432 probability among women who initiate ART late in pregnancy.

433

434 Our VT probability estimates can be utilized in the Spectrum-AIM paediatric model and their  
435 uncertainties can be incorporated in Spectrum-AIM's paediatric uncertainty analysis.  
436 Incorporating our VT probability estimates are unlikely to substantially change the number of  
437 perinatal infections but will result in more infections from breastfeeding transmission. The shift in  
438 infection timing to breastfeeding from perinatal has implications for HIV testing strategies.  
439 Retaining mother-infant pairs through the end of breastfeeding is essential to confirm final HIV  
440 outcomes. We anticipate that future studies will describe VT among women receiving DTG. We  
441 found that women who initiated INSTI-based regimens had the lowest VT probability compared  
442 to other regimen classes, however this was confounded by geographic region. Additionally,  
443 women on INSTI-based regimens had the highest probability of viral suppression, although  
444 differences across classes were not statistically significant, and data was limited on viral load  
445 suppression among women who initiated INSTI-based regimens late in pregnancy. Cohort  
446 studies have found no difference in vertical transmission and viral suppression among women  
447 on different ART regimens when ART is initiated early in or before pregnancy.<sup>29</sup> To assess  
448 possible differences by ART class when ART is initiated late in pregnancy, an updated  
449 systematic review and meta-regression should be completed when more observational data is  
450 available among populations who initiated INSTI or DTG based regimens late in pregnancy.

451

452 Vertical transmission probability as a function of CD4 and maternal PMTCT are essential to  
453 model paediatric HIV burden in the Spectrum-AIM model. Improving treatment formulations,  
454 differentiated service delivery, and universal test and treat have all improved the proportion of  
455 WLHIV who are virally suppressed at delivery, which is associated with lower probability of VT.  
456 Updating VT parameters to reflect these changes allows for accurate estimation of paediatric  
457 HIV burden. Maintaining accurate parameterizations is important as these estimates inform  
458 funding for prevention and treatment and allow for monitoring progress towards the elimination  
459 of vertical HIV transmission.

## 460 Acknowledgements

461 The authors acknowledge and thank the UNAIDS Reference Group on HIV Estimates,  
462 Modelling, and Projections for suggestions to refine the analysis, Caitlin Dugdale and Andrea  
463 Ciaranello for insights on the viral load analysis, and Edmond Brewer and Megan Verma for  
464 assistance with initial title abstract screening.

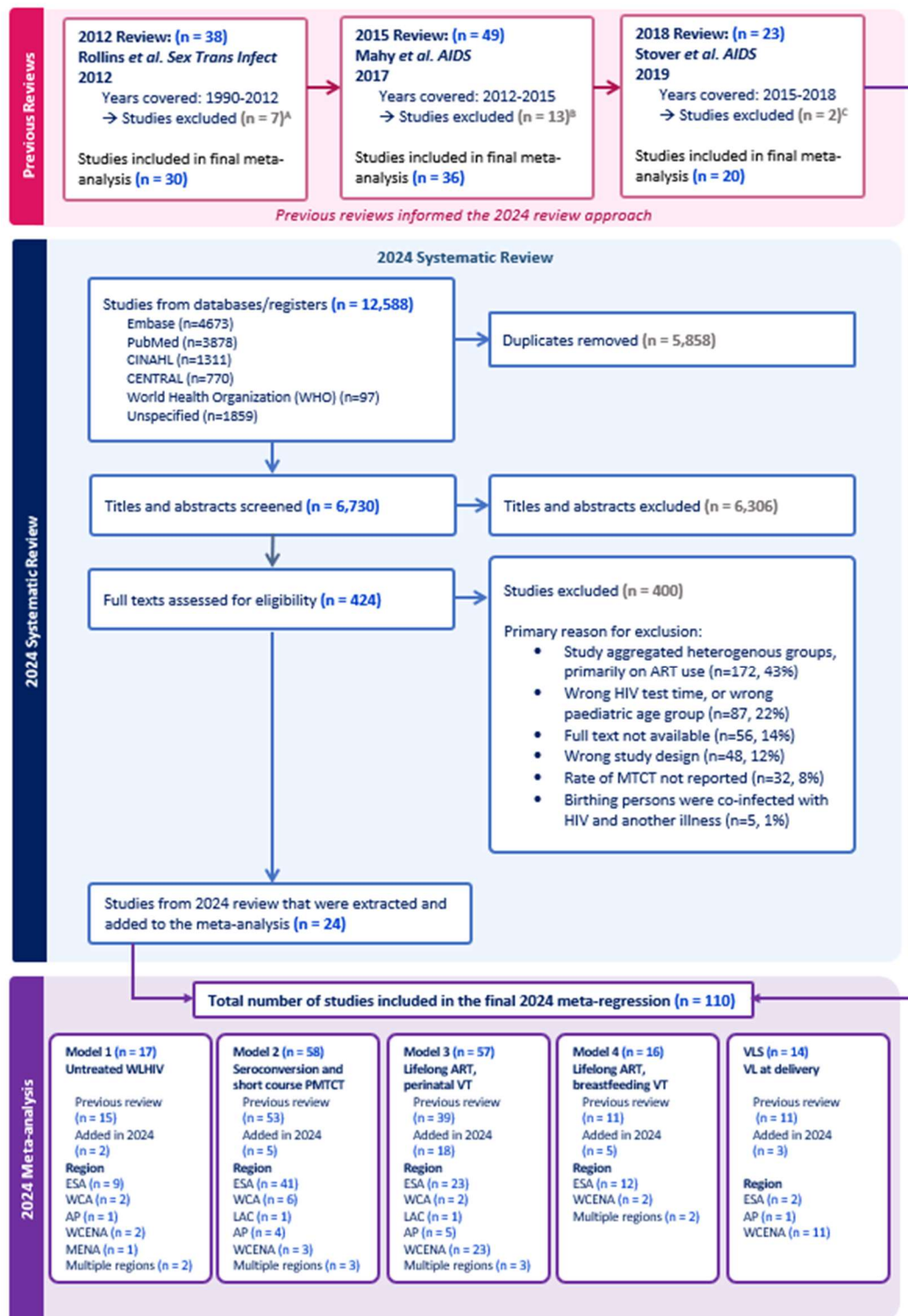
## 465 References

- 466 1 World Health Organization. Global guidance on criteria and processes for validation:  
467 elimination of mother-to-child transmission of HIV and syphilis. World Health Organization,  
468 2014 <https://apps.who.int/iris/handle/10665/112858> (accessed Aug 17, 2022).
- 469 2 Stover J, Glaubius R. Methods and Assumptions for Estimating Key HIV Indicators in the  
470 UNAIDS Annual Estimates Process. *JAIDS J Acquir Immune Defic Syndr* 2024; **95**: e5.
- 471 3 Glaubius R, Stover J, Johnson LF, Mahiane SG, Mahy MI, Eaton JW. Differences in  
472 Breastfeeding Duration by Maternal HIV Status: A Pooled Analysis of Nationally  
473 Representative Surveys in Sub-Saharan Africa. *JAIDS J Acquir Immune Defic Syndr* 2024;  
474 **95**: e81.
- 475 4 Mahy M, Marsh K, Sabin K, Wanyeki I, Daher J, Ghys PD. HIV estimates through 2018: data  
476 for decision-making. *AIDS Lond Engl* 2019; **33**: S203–11.
- 477 5 AIDSinfo | UNAIDS. <https://aidsinfo.unaids.org/> (accessed March 1, 2023).
- 478 6 Antiretroviral Drugs for Treating Pregnant Women and Preventing HIV Infection in Infants:  
479 Recommendations for a Public Health Approach: 2010 Version. Geneva: World Health  
480 Organization, 2010 <http://www.ncbi.nlm.nih.gov/books/NBK304944/> (accessed Nov 6, 2023).
- 481 7 World Health Organization. Consolidated guidelines on the use of antiretroviral drugs for  
482 treating and preventing HIV infection: recommendations for a public health approach.  
483 Geneva: World Health Organization, 2013 <https://iris.who.int/handle/10665/85321> (accessed  
484 Sept 3, 2024).
- 485 8 World Health Organization. Consolidated guidelines on the use of antiretroviral drugs for  
486 treating and preventing HIV infection: recommendations for a public health approach, 2nd ed.  
487 Geneva: World Health Organization, 2016 <https://iris.who.int/handle/10665/208825>  
488 (accessed Sept 3, 2024).
- 489 9 Idele P, Hayashi C, Porth T, Mamahit A, Mahy M. Prevention of Mother-to-Child  
490 Transmission of HIV and Paediatric HIV Care and Treatment Monitoring: From Measuring  
491 Process to Impact and Elimination of Mother-to-Child Transmission of HIV. *AIDS Behav*  
492 2017; **21**: 23–33.
- 493 10 Mwanza J, Kawonga M, Gray GE, Doherty T, Mutale W. Evolution of Prevention of Mother to  
494 Child transmission of HIV Policy in Zambia: Application of the Policy Triangle to Understand  
495 the Roles of Actors, Process and Power. *Glob Public Health* 2021; **17**: 2764.
- 496 11 Phanuphak N, Phanuphak P. History of the prevention of mother-to-child transmission of HIV  
497 in Thailand. *J Virus Erad* 2016; **2**: 107–9.

- 498 12Lallemant M, Jourdain G, Coeur SL, *et al.* Single-Dose Perinatal Nevirapine plus Standard  
499 Zidovudine to Prevent Mother-to-Child Transmission of HIV-1 in Thailand. *N Engl J Med*  
500 2004; **351**: 217–28.
- 501 13Dao H, Mofenson LM, Ekpini R, *et al.* International recommendations on antiretroviral drugs  
502 for treatment of HIV-infected women and prevention of mother-to-child HIV transmission in  
503 resource-limited settings: 2006 update. *Am J Obstet Gynecol* 2007; **197**: S42–55.
- 504 14Calin R, Hamimi C, Lambert-Niclot S, *et al.* Treatment interruption in chronically HIV-infected  
505 patients with an ultralow HIV reservoir. *AIDS* 2016; **30**: 761–9.
- 506 15Rollins N, Mahy M, Becquet R, Kuhn L, Creek T, Mofenson L. Estimates of peripartum and  
507 postnatal mother-to-child transmission probabilities of HIV for use in Spectrum and other  
508 population-based models. *Sex Transm Infect* 2012; **88**: i44–51.
- 509 16MAHY M, PENAZZATO M, CIARANELLO A, *et al.* Improving estimates of children living with  
510 HIV from the Spectrum AIDS Impact Model. *AIDS Lond Engl* 2017; **31**: S13–22.
- 511 17Stover J, Glaubius R, Mofenson L, *et al.* Updates to the Spectrum/AIM model for estimating  
512 key HIV indicators at national and subnational levels. *AIDS* 2019; **33**: S227.
- 513 18Update of recommendations on first- and second-line antiretroviral regimens.  
514 <https://www.who.int/publications/i/item/WHO-CDS-HIV-19.15> (accessed Sept 16, 2024).
- 515 19Waitt C, Orrell C, Walimbwa S, *et al.* Safety and pharmacokinetics of dolutegravir in pregnant  
516 mothers with HIV infection and their neonates: A randomised trial (DolPHIN-1 study). *PLOS*  
517 *Med* 2019; **16**: e1002895.
- 518 20Walmsley S, Antela A, Clumeck N. Dolutegravir plus Abacavir–Lamivudine for the Treatment  
519 of HIV-1 Infection | New England Journal of Medicine. [https://www.nejm-  
520 org/doi/10.1056/NEJMoa1215541?url\\_ver=Z39.88-  
521 2003&rfr\\_id=ori:rid:crossref.org&rfr\\_dat=cr\\_pub%20%200www.ncbi.nlm.nih.gov](https://www.nejm.org/doi/10.1056/NEJMoa1215541?url_ver=Z39.88-2003&rfr_id=ori:rid:crossref.org&rfr_dat=cr_pub%20%200www.ncbi.nlm.nih.gov) (accessed  
522 Sept 16, 2024).
- 523 21Abrams EJ, Langwenya N, Gachuhi A, *et al.* Impact of universal antiretroviral therapy for  
524 pregnant and postpartum women on antiretroviral therapy uptake and retention. *AIDS* 2019;  
525 **33**: 45.
- 526 22Louden DN. Rates of mother-to-child HIV transmission with new first-line antiretroviral  
527 therapies and associated viral suppression: updated systematic review and meta-regression.  
528 2024; published online Feb 8. <https://osf.io/uvnb9/> (accessed Nov 12, 2024).
- 529 23Covidence systematic review software. 2024. [www.covidence.org](http://www.covidence.org).
- 530 24R Core Team. R: A Language and Environment for Statistical Computing. 2023.  
531 <https://www.R-project.org/>.
- 532 25Brooks ME, Kristensen K, Benthem KJ van, *et al.* glmmTMB Balances Speed and Flexibility  
533 Among Packages for Zero-inflated Generalized Linear Mixed Modeling. *R J* 2017; **9**: 378–  
534 400.

- 535 26World Health Organization. Guideline on when to start antiretroviral therapy and on pre-  
536 exposure prophylaxis for HIV. Geneva: World Health Organization, 2015  
537 <https://iris.who.int/handle/10665/186275> (accessed Sept 16, 2024).
- 538 27Mujumdar V, Berman D, Schafer KR. Reproduction and Fertility Beliefs, Perceptions, and  
539 Attitudes in People Living with HIV. *AIDS Res Treat* 2018; **2018**: 5349793.
- 540 28Kowalska JD, Pelchen-Matthews A, Ryom L, *et al*. Prevalence and outcomes of pregnancies  
541 in women with HIV over a 20-year period. *AIDS* 2021; **35**: 2025.
- 542 29Davey S, Ajibola G, Maswabi K, *et al*. Mother-to-Child HIV Transmission With In Utero  
543 Dolutegravir vs. Efavirenz in Botswana. *JAIDS J Acquir Immune Defic Syndr* 2020; **84**: 235.
- 544

545 **Figures and tables**



546 **Figure 1.** PRISMA Flow Diagram for 2018-2024 review. Studies were excluded from previous  
547 reviews for the following reasons:  
548

- 549 <sup>A</sup> Not peer-reviewed (3), duplicate data (3), aggregates heterogeneous results (1)  
550 <sup>B</sup> Not peer-reviewed (5), duplicate data (4), aggregates heterogeneous results (4)  
551 <sup>C</sup> Not peer-reviewed (2)



553 **Table 1. Perinatal vertical transmission probabilities**

PMTCT Regimen	Default Spectrum value (%)	Vertical transmission (%)	Percent change <sup>1</sup>	Percent of pregnant WLHIV in each stratum		
				2010 <sup>2</sup>	2015 <sup>2</sup>	2023 <sup>2</sup>
<b>Model one: VT probability from women not receiving PMTCT</b>						
[0-200)	37.0	33.4 (27.8 - 39.0)	-10%	41%	20%	18%
[200-350)	27.0	25.1 (21.3 - 28.5)	-7%			
>350	15.0	16.7 (13.8 - 20.0)	+11%			
<b>Model two: VT probability from maternal seroconversion or short course PMTCT</b>						
Infection	18.1	18.0 (12.9 - 24.4)	-1%			
SDNVP	7.5	8.3 (6.1 - 11.6)	+11%	11%	1%	0%
Dual ARV	2.2	3.1 (2.1 - 4.8)	+41%	15%	0%	0%
Option A	4.1	3.1 (2.4 - 4.0)	-24%	11%	2%	0%
Option B	1.9	1.8 (1.4 - 2.3)	-5%	3%	4%	0%
<b>Model three: Perinatal transmission probability from women receiving ART by timing of initiation</b>						
Option B+, on ART <4 weeks	8.2	5.2 (2.9 - 10.7)	-35%	1%	3%	1%
Option B+, on ART 5-39 weeks	1.4	1.0 (0.8 - 1.3)	-29%	9%	36%	25%
Option B+, on ART pre-conception	0.26	0.33 (0.23 - 0.48)	+27%	9%	34%	56%

554 <sup>1</sup> Blue shading represents a percent decrease from the default value. Orange shading represents a percent increase  
 555 from the default value.

556 <sup>2</sup> The proportion of WLHIV who fall into each category each year globally

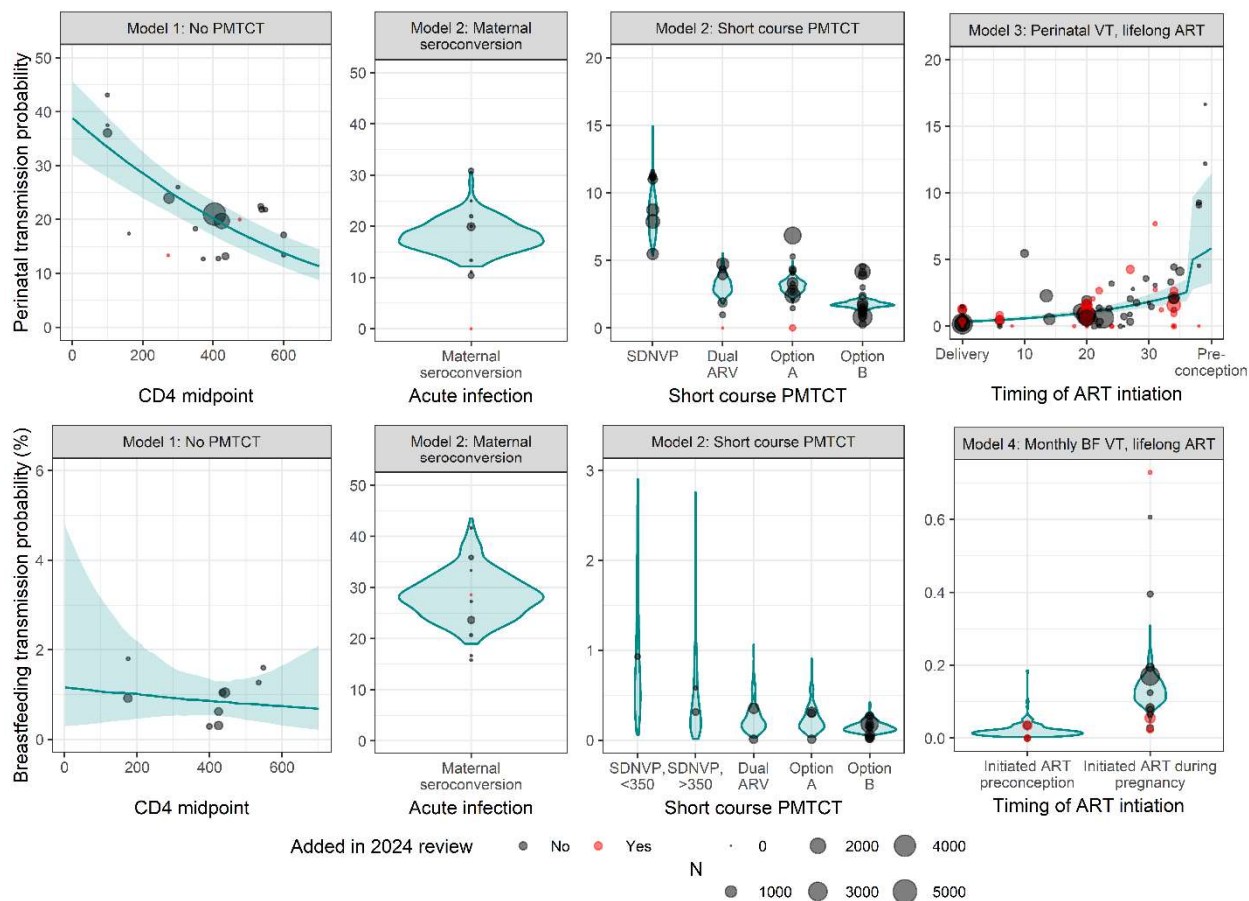
557

**Table 2. Monthly breastfeeding vertical transmission probabilities**

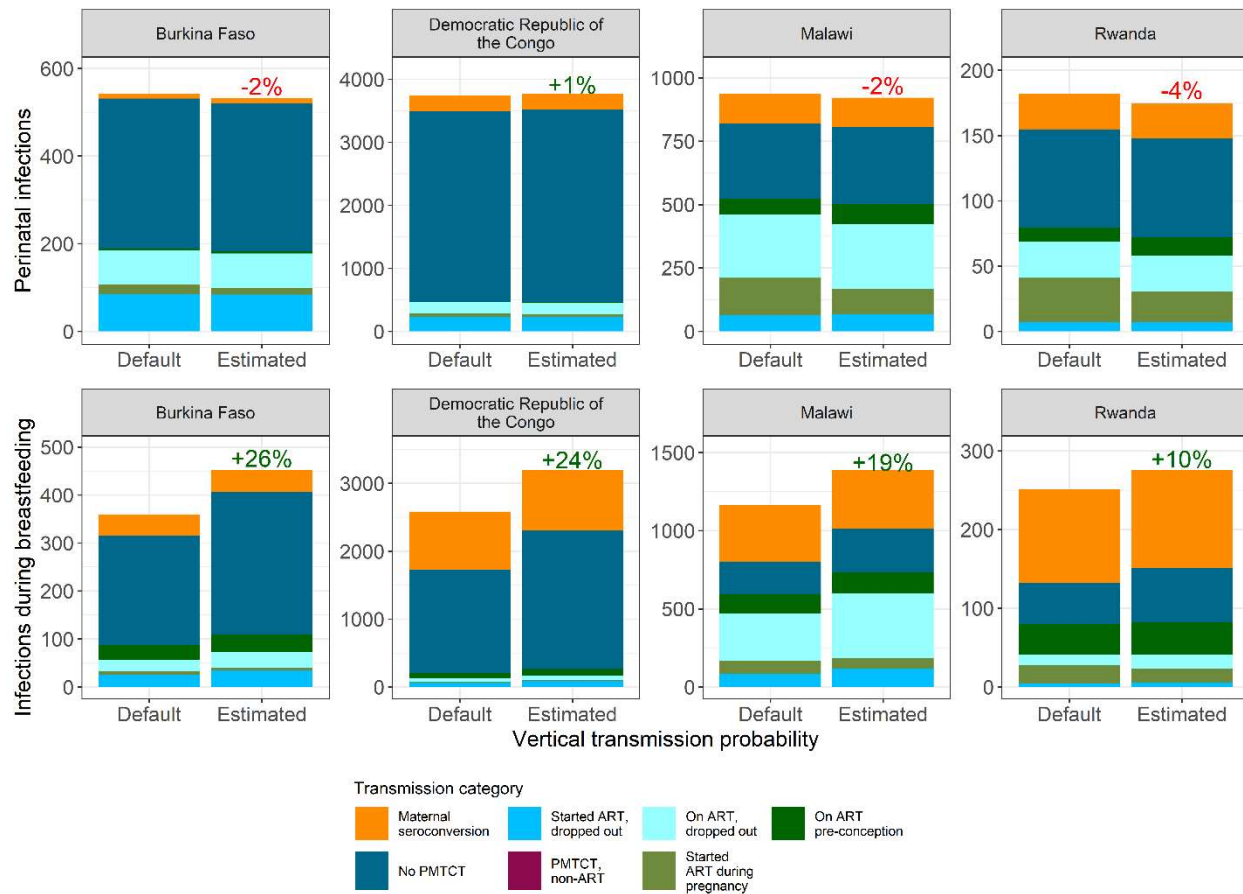
PMTCT Regimen	Default Spectrum value (%)	Vertical transmission (%)		Percent change <sup>1</sup>	Percent of pregnant WLHIV in each stratum		
					2010 <sup>2</sup>	2015 <sup>2</sup>	2023 <sup>2</sup>
<b>Model one: VT probability from women not receiving PMTCT</b>							
[0-200)	0.89	1.07	(0.37 - 3.15)	+20%	41%	20%	18%
[200-350)	0.81	0.94	(0.52 - 1.72)	+16%			
>350	0.51	0.80	(0.43 - 1.35)	+57%			
<b>Model two: VT probability from maternal seroconversion or short course PMTCT</b>							
Infection	26.90	28.20	(20.61 - 38.42)	+5%			
SDNVP, <350	0.99	0.74	(0.15 - 4.05)	-25%	11%	1%	0%
SDNVP, >350	0.40	0.33	(0.04 - 1.68)	-18%			
Dual ARV	0.18	0.20	(0.06 - 0.66)	+11%	15%	0%	0%
Option A	0.20	0.20	(0.05 - 0.60)	0%	11%	2%	0%
Option B	0.13	0.14	(0.07 - 0.29)	+8%	3%	4%	0%
<b>Model four: Monthly breastfeeding transmission from women receiving lifelong ART</b>							
Option B+, on ART <4 weeks	0.20	0.13	(0.08 - 0.23)	-35%	1%	3%	1%
Option B+, on ART 5-39 weeks	0.11			+18%	9%	36%	25%
Option B+, on ART pre-conception	0.02	0.02	(0.00 - 0.06)	0%	9%	34%	56%

558 <sup>1</sup> Blue shading represents a percent decrease from the default value. Orange shading represents a percent increase  
 559 from the default value.

560 <sup>2</sup> The proportion of WLHIV who fall into each category each year globally



561  
 562 **Figure 2.** Data used in models one through four and model estimates of VT probability. Point size  
 563 reflects study size and colour indicates whether the study was added in the 2024 review. Blue violin plots are used for categorical  
 564 models (model two and model four) and blue lines with ribbon are used for continuous models (model one and model three).  
 565



566  
567  
568  
569

**Figure 3.** Change in 2023 paediatric infections using the Spectrum-AIM default vs. estimated VT probabilities. Coloured percentage points represent the percent difference between the infections using the default vs estimated VT probabilities. Green percentages denote a percent increase and red percentages denote a percent decrease.