

Physiological response of longfin smelt to changing temperatures and turbidities

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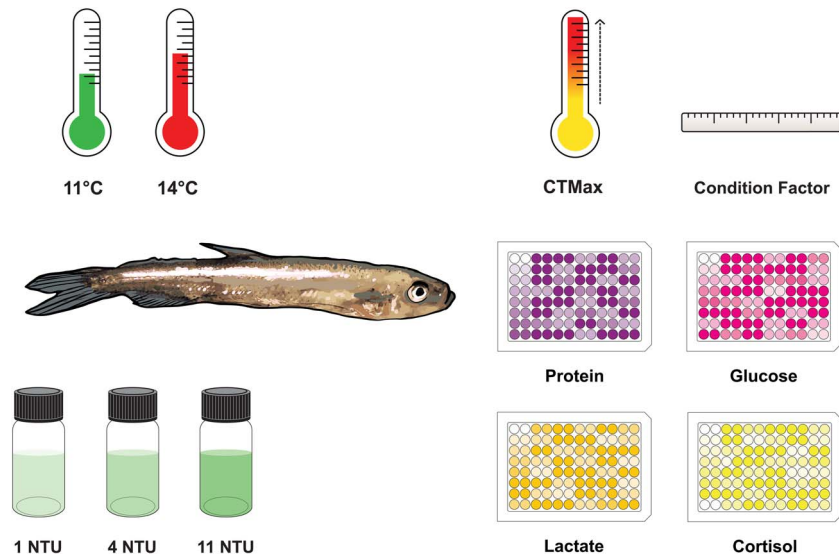
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Coastal estuaries globally, including the San Francisco Estuary (SFE), are experiencing significant degradation, often resulting in fisheries collapses. The SFE has undergone profound modifications due to population growth, industrialization, urbanization and increasing water exports for human use. These changes have significantly altered the aquatic ecosystem, favouring invasive species and becoming less hospitable to native species such as the longfin smelt (*Spirinchus thaleichthys*). With longfin smelt abundance declining to <1% of historical numbers, there is a pressing need for laboratory-based experiments aimed at investigating the effects of varying environmental conditions on their stress response and physiology. This study explored the impact of temperature (11 and 14°C) and turbidity maintained with algae (1, 4 and 11 nephelometric turbidity units (NTU)) on the physiological condition of juvenile longfin smelt. Fish were sampled after 2 and 4 weeks in experimental conditions and analysed for whole-body cortisol, glucose, lactate and protein. Condition factor was calculated using length and weight measurements. Critical thermal maximum trials were conducted to assess how prior rearing conditions affected upper thermal tolerance. Cortisol levels were significantly higher in fish held in low-turbidity conditions, whilst glucose levels were significantly greater at lower temperatures and higher turbidities. Protein-to-mass ratios were significantly greater in higher turbidity conditions, with a significant interaction between temperature and turbidity further influencing these ratios. Moreover, 14°C led to diminished condition factors but increased upper thermal tolerances (26.3 ± 0.05 vs 24.6 ± 0.18) compared to longfin smelt at 11°C, highlighting a potential trade-off between the induction of defense mechanisms and subsequent reductions in energy and growth. Data suggest that cooler temperatures (11°C) and elevated turbidities (11 NTU) can benefit juvenile longfin smelt by reducing stress and enhancing growth and energy. These findings hold significant implications for informing and optimizing future endeavours in the culturing and conservation of this species.

Lay Summary

Juvenile longfin smelt displayed increased stress at lower turbidity levels and reduced energy at both elevated temperatures and lower turbidity. Elevated temperatures increased upper thermal tolerance and reduced condition factor. Cooler temperatures and higher turbidities improved physiological condition and should be considered for future rearing and supplementation efforts.

Graphical Abstract



Key words: stress physiology, San Francisco Estuary, longfin smelt, climate change

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Introduction

The global issue of fisheries collapses is escalating in both frequency and severity, particularly in coastal regions (Jackson *et al.*, 2001; Worm *et al.*, 2006). The San Francisco Estuary (SFE), the largest estuary on the US Pacific coast, known for its diverse habitats and rich biodiversity, exemplifies this troubling trend (Myers *et al.*, 2000; Healey *et al.*, 2016). Significant alterations within the SFE began in the 19th century during California's Gold Rush, rendering it one of the most modified and controlled estuaries in the world (Nichols *et al.*, 1986). Continued population growth, urbanization and increased water demands prompted extensive infrastructure, channelization and dredging (Moyle *et al.*, 2010). These modifications irreversibly transformed the SFE, removing 95% of historical wetlands, disrupting salinity gradients, decreasing turbidity and fostering a pronounced increase in invasive species (Cohen and Carlton, 1998; Kimmerer, 2004; Cloern and Jassby, 2012). For decades, state and federal agencies have collaborated under the Interagency Ecological Program (IEP) to conduct continuous fish population monitoring in the SFE, resulting in one of the longest and most comprehensive fish abundance datasets globally (Honey *et al.*, 2004). Beginning in 2000, declines in four major fisheries within

the estuary, including delta smelt (*Hypomesus transpacificus*) and longfin smelt (*Spirinchus thaleichthys*), were observed by the IEP (Baxter *et al.*, 2008). The term 'Pelagic Organism Decline' (POD) was coined in 2004 to describe the escalating population declines as delta smelt and longfin smelt reached unprecedented low abundances (Sommer *et al.*, 2007). This POD has been attributed to multiple interacting stressors, adding complexity to conservation efforts aimed at protecting these fisheries from the threat of extinction.

The SFE is home to a genetically distinct population of longfin smelt, a planktivorous forage fish native to coastal and estuarine waters in the Northeastern Pacific and distributed from SFE to the Aleutian Islands in Alaska (Garwood, 2017; Sağlam *et al.*, 2021). Longfin smelt are semelparous, typically living for ~2 years (Moyle, 2002). Exhibiting a semi-anadromous life history, longfin smelt inhabit the coastal Pacific Ocean and migrate to low-salinity tidal habitats in the upper estuary to spawn during the winter months (Moyle, 2002; Rosenfield and Baxter, 2007). Once one of California's most abundant fish species, longfin smelt supported a small commercial fishery prior to the 1970s (Skinner, 1962; Lewis *et al.*, 2020). However, over the past few decades, the longfin smelt population in the SFE has plummeted to <1% of

pre-1980 levels, leading to its classification as ‘threatened’ under the California Endangered Species Act in 2009 (CDFG, 2009; Nobriga and Rosenfield, 2016). These population declines culminated in a near-complete collapse in 2015 when only three longfin smelt were captured in the Fall Midwater Trawl (FMWT) in December, during their peak spawning period (Hobbs *et al.*, 2017). This decline is particularly worrisome given that the longfin smelt population in the SFE likely serves as a source of genetic diversity for adjacent populations (Sağlam *et al.*, 2021).

Declines in longfin smelt populations, along with other Osmeridae species, are believed to result from a combination of interacting factors. These include habitat degradation, changing environmental conditions such as elevated temperatures, reduced turbidity, and decreased freshwater outflows, increased water pollution, and alterations to the food web due to invasive species introductions (Kimmerer, 2002; Brown *et al.*, 2016; Fong *et al.*, 2016; Hammock *et al.*, 2019). In response to significant reductions in longfin smelt abundance, the University of California Davis has undertaken efforts to establish a captive culture program aimed at advancing research and conservation initiatives for this species. Protocols for longfin smelt rearing and maintenance are modelled after methodologies developed for delta smelt (Baskerville-Bridges *et al.*, 2005; Lindberg *et al.*, 2013). However, longfin and delta smelt, whilst similar, have distinct differences that necessitate further study for the development of an optimal rearing program tailored specifically to the unique requirements of longfin smelt (Aghbolaghi *et al.*, 2024). They exhibit different life histories, such that the delta smelt exclusively inhabits and completes its life cycle in freshwater and low-salinity habitats, whereas only young longfin smelt rear in these conditions. Juvenile longfin smelt migrate to marine habitats in the San Francisco Bay and coastal Pacific Ocean and return to the upper SFE for spawning as adults (Yanagitsuru *et al.*, 2021). Furthermore, longfin smelt exhibit a lower upper thermal tolerance compared to delta smelt, potentially limiting their suitable habitat in natural environments (Jeffries *et al.*, 2016).

As aquatic ectotherms, fish conform to ambient water temperatures, rendering them highly susceptible to sudden and substantial temperature fluctuations (Beitinger *et al.*, 2000). Increases in temperatures due to climate change induce multi-faceted and complex consequences in fish, leading to alterations across all levels of biological organization, ranging from cellular- to ecosystem-level effects, ultimately impacting distribution and survival (Perry *et al.*, 2005; Graham and Harrod, 2009). Over the last 50 years, water temperature in the upper SFE has increased by an average of 0.017°C per year (Bashevkin *et al.*, 2022). As a migratory species, longfin smelt are particularly vulnerable to the impacts of climate change and increased temperatures (Robinson *et al.*, 2009). Their reliance on suitable habitat across multiple locations and life stages makes them highly sensitive to environmental shifts. Elevated temperatures can significantly influence cru-

cial life history events, including the timing and challenges associated with seaward migration (Taylor, 2008). Despite considerable research into the upper thermal tolerance and physiological response of early life stages of longfin smelt to increased temperature, there remains a data gap regarding later life stages, particularly the juvenile stage (Jeffries *et al.*, 2016; Yanagitsuru *et al.*, 2021, 2024). Physiological lab-based experiments are essential for predicting the sensitivity of longfin smelt to climate change, by providing insights into their response to temperature change at later life stages as well as their capacity to adjust thermal tolerance through acclimation. As temperatures continue to rise and anomalous climatic events continue to intensify, this knowledge will be invaluable for implementing cost-effective and proactive conservation management actions (Cooke *et al.*, 2022).

Turbidity, defined as the quantification of light scattered and absorbed by suspended particles within the water column, can have significant impacts on fish health and abundance (Kirk, 1985). Factors, such as water depth, light intensity and the physical characteristics of suspended materials, collectively influence the level of turbidity in a body of water (Davies-Colley and Smith, 2001; Davies-Colley and Nagels, 2008). Turbidity levels can naturally increase through processes like soil erosion and sediment transport following precipitation events, leading to suspended sediment or algal growth driven by increased nutrient availability (Henley *et al.*, 2000; Kang *et al.*, 2013). Anthropogenic activities, such as deforestation, mining and urbanization can lead to sudden increases in turbidity by accelerating sediment transport (Rodrigues *et al.*, 2023). The source of turbidity can cause varied physiological effects on fish. For example, suspended sediments may interfere with gill function, causing epithelial damage and increased mucus production, which could impair respiratory efficiency over time (Sutherland and Meyer, 2007). Elevated turbidity can also negatively impact fish by causing diminished visual acuity, reduced feeding and growth, limited mobility and altered diets and habitats (Gardner, 1981; Hecht and Van der Lingen, 1992; Ortega *et al.*, 2020; Rodrigues *et al.*, 2023). Conversely, low turbidity levels may induce heightened stress, increased predation and reduced feeding and growth (Boehlert and Morgan, 1985; Rieger and Summerfelt, 1997; Pasparakis *et al.*, 2023). Turbidity’s effects on fish are complex and diverse, depending on factors such as life history traits, life stages, feeding strategies, physiologies and interacting environmental variables (Utne-Palm, 2002; Hasenbein *et al.*, 2016; Rodrigues *et al.*, 2023). Consequently, many fish species exhibit an optimal turbidity range, above or below which they begin to encounter adverse consequences.

Turbidity levels in the SFE and Delta vary significantly across different locations, with values ranging from 1 to 220 nephelometric turbidity units (NTU), with peaks often associated with storm events (Werner *et al.*, 2010). The SFE has experienced a notable decrease in turbidity over recent decades, with a 36% reduction in suspended sediment observed between water years 1991–98 and 1999–2007,

attributed in part to the depletion of erodible sediment from hydraulic mining practices and sediment entrapment in reservoirs and dams (Wright and Schoellhamer, 2004; Schoellhamer, 2011). This decrease in turbidity is considered one of several contributing factors to the POD, with longfin smelt populations exhibiting a notable decline in abundance in the SFE when turbidity levels drop to lower values (Sommer *et al.*, 2007; Grimaldo *et al.*, 2017). Turbidity in the SFE is composed of a dynamic combination of suspended particles, including sediments, organic matter and algae. Although our treatments do not replicate the full range of environmental combinations, they align with conditions used for culturing and rearing smelt. In this study, turbidity was maintained using *Nannochloropsis* algae, as outlined in established protocols (Tigan *et al.*, 2020; Hung *et al.*, 2024).

The interactive effects of multiple stressors, such as temperature and turbidity, can have significant implications for fish physiology and overall health. For example, a recent study on adult pugnose shiner (*Miniellus anogenus*) found that fish exposed to both warmer and more turbid water exhibited a lower critical thermal maximum (CTMax) and reduced thermal safety margin when compared to those held in warmer, clear water, indicating that turbidity can exacerbate thermal stress responses (Fortin-Hamel and Chapman, 2024). Similarly, research from our group on juvenile delta smelt demonstrated that both elevated temperatures and lower turbidity levels led to diminished whole-body free glucose, with the highest available energy observed in fish reared under cooler temperatures and higher turbidity (Pasparakis *et al.*, 2023). These findings highlight the need to consider the combined effects of multiple, ecologically relevant stressors when evaluating fish species' physiological resilience, growth and survival in rapidly changing environments.

The primary aim of this study was to investigate how varying environmental conditions affect the physiology and upper thermal tolerance of juvenile longfin smelt, aiming to provide insights for aquaculture and future population supplementation practices. Juvenile longfin smelt were reared at fixed temperatures of 11 and 14°C, and under three turbidity levels (1, 4 and 11 NTU) for a period of 5 weeks. Our first objective was to evaluate how varying temperature and turbidity conditions impacted the stress response, physiological condition and growth of juvenile longfin smelt. Fish were sampled after two and four weeks in treatment conditions, with subsequent measurements of whole-body cortisol, glucose, lactate, protein-to-mass ratios and condition factor to assess overall health and growth. Our second objective was to determine the ability of longfin smelt to increase their upper thermal tolerance through acclimation to warmer water, using CTMax trials conducted after five weeks in treatment conditions. Given their natural preference for cooler waters and higher turbidities, we predicted that longfin smelt would show reduced stress and increased growth and energy at 11°C and 11 NTU. Additionally, we anticipated that rearing in warmer water would increase CTMax through thermal acclimation. Considering the limited research on the

juvenile stage in longfin smelt, the data from this study addresses critical knowledge gaps and has the potential to significantly contribute to the development of effective conservation strategies for this endangered species.

Materials and Methods

Study species and maintenance

Longfin smelt (49.4 ± 0.3 mm fork length, 0.68 ± 0.01 g) were acquired from the University of California Davis Fish Conservation and Culture Laboratory (FCCL) located in Byron, CA. Methodologies employed for rearing and spawning longfin smelt are similar to those utilized for delta smelt and can be found in Lindberg *et al.* (2013), Tigan *et al.* (2020), and Hung *et al.* (2021). Juvenile longfin smelt (~170 days post-hatch (dph)) were transferred from FCCL to UC Davis Putah Creek Aquaculture Facility (PCF) in early July 2020. Upon arrival, fish were immediately introduced into 24 15-gallon black polyethylene tubs (hereafter, sub-tanks), with 30 fish per sub-tank. The PCF consists of a recirculating aquaculture system with 8 400-l tanks (hereafter, holding tanks) that serve as experimental water baths and are maintained by external temperature control units. Each holding tank contained three sub-tanks (Supp. Fig. 1). To optimize holding conditions and maintain turbidity and salinity, sub-tanks received running flow-through treatment water from outdoor reservoir tanks via the recirculating system. Excess water overflowed through a 1-inch hole in sub-tanks covered in mesh to prevent fish from escaping. In addition, each sub-tank included its own external biofiltration unit, where sub-tank water was channeled through the unit filled with k1 biomedica (Evolution Aqua Ltd) and returned via an airlift mechanism. The airlift mechanism also ensured the maintenance of sufficient dissolved oxygen.

Longfin smelt were acclimated to ambient conditions of 12.5°C, 7 psu and 1.4 NTU for two weeks prior to the start of experimentation. Due to known light sensitivities of longfin smelt, care was taken to ensure low-light conditions throughout the acclimation period. Mesh lids were placed over sub-tanks, and additional lids made from thick light-blocking Styrofoam covered holding tanks. Lights in the building were kept off at all times. The only light in the room came from a small window on the top of the ceiling under a natural photoperiod. Fish were fed twice daily to satiation with freshly hatched *Artemia franciscana* (Argent Chemical Laboratories, WA).

To monitor water quality, temperature (°C), dissolved oxygen (mg O₂/l) and salinity (psu) were measured daily using a handheld YSI 556 MPS meter (YSI Inc., Yellow Springs, OH). Ammonia, nitrite and nitrate concentrations, as well as pH, were measured biweekly. pH was measured using a pinpoint pH monitor (American Marine Inc., Ridgefield, CT) or commercial pH strips. Ammonia concentrations were determined only in control groups after the acclimation period, as the

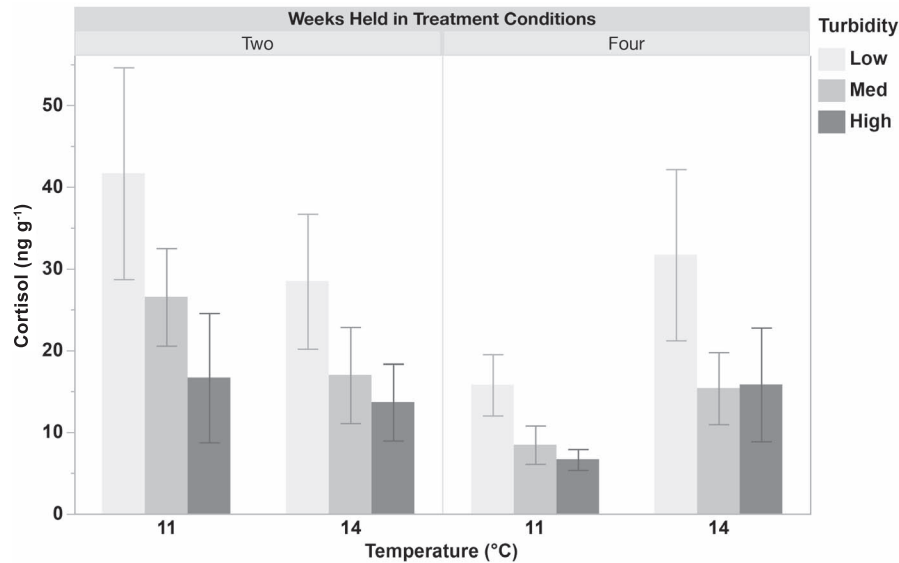


Figure 1: Whole-body cortisol measurements (ng g^{-1}) in juvenile longfin smelt held at two temperatures (11 or 14°C) and three turbidities (low (1), med (4) or high (11) NTU) for two and four weeks. Cortisol levels were significantly greater in fish exposed to lower turbidity conditions (1 NTU) compared to high turbidity (11 NTU) and decreased significantly over time. No significant effect of temperature was observed. Data ($n = 13\text{--}20$) are presented as mean \pm SEM.

colour of *Nannochloropsis* algae used to make treatment turbidities interfered with the measurement method. Ammonia measurements were conducted using a Hach pocket colorimeter (Hach Company, Loveland, CO), whilst a marine care multi-test kit (Red Sea, Houston, TX) was utilized for ammonia, nitrite and nitrate measurements. Mortality was quantified daily, with any dead fish immediately removed from sub-tanks. All handling, care and experimental procedures used were reviewed and approved by the UC Davis Institutional Animal Care and Use Committee (IACUC Protocol #16591).

Temperature and turbidity treatments

Juvenile longfin smelt were held at one of two temperatures (11 and 14°C) and one of three turbidities (1, 4 and 11 NTU) for a duration of five weeks. There were four holding tanks per experimental temperature, and within each holding tank, three sub-tanks were randomly assigned to each turbidity level. This set-up resulted in four replicates per treatment (Supp. Fig. 1). Prior to the start of the experimental period, acclimation temperatures and turbidities were gradually transitioned to treatment levels over a three-day period, with increments of 0.5°C and 3 NTU per day. Each of the 12 Styrofoam lids covering the holding tanks contained embedded LED light bulbs. Light fixtures were activated (12:12 photoperiod) at the beginning of the experimental period and once the desired temperature and turbidity treatments were achieved. Light intensity within the sub-tanks was measured using a portable digital light meter (LX1330B; Dr meter) and ranged from 7 to 120 lux, indicating the potential for fish to behaviourally avoid light by favouring areas with

lower light conditions. Temperatures were maintained using a recirculating water system, with each row of holding tanks connected to a separate chiller/heater. *Nannochloropsis* algae (Nanno 3600—High-yield grow-out feed; Reed Mariculture Inc., USA) were added to individual sub-tanks to achieve the desired turbidity levels. This is the same algal suspension used by the FCCL to rear larval longfin and delta smelt in increased turbidities (Tigan *et al.*, 2020; Hung *et al.*, 2024). To maintain treatment turbidity throughout the experimental period, sub-tanks were connected to three outdoor reservoir tanks held at 1, 4 and 11 NTU, respectively. These turbidity levels were selected for their ecological relevance in the SFE and for their alignment with rearing conditions (Werner *et al.*, 2010; Tigan *et al.*, 2020). Continuous flow of fresh algae-spiked water was ensured by connecting the reservoir tanks to individual sub-tanks via PFA standard tubing (inner diameter = 0.5 cm) connected to a standpipe located in the middle of each sub-tank. Salinity (7 psu) was maintained in a similar manner, by adding Instant Ocean (Aquarium Systems, Mentor, OH) to reservoir tanks every 2–3 days, ensuring that sub-tanks received fresh saline water via the same PFA standard tubing. Turbidity was measured daily using a Hach 2100q portable turbidimeter (Hach Company, Loveland, CO). A nephelometric turbidimeter is a standard instrument employed for turbidity measurement, determining scattered light at a 90-degree angle from the incident light beam through a water sample (Henley *et al.*, 2000; Rohan *et al.*, 2021). These findings are expressed in nephelometric turbidity units (NTU). In addition to daily temperature measurements, eight HOBO temperature loggers (Onset Computer Corporation, Bourne, MA) were swapped between sub-tanks every couple of days

and recorded temperatures every 15 min. Temperature and turbidity conditions during acclimation and experimental periods are reported as mean \pm standard error of the mean (SEM) in Supplementary Tables 1 & 2.

Sampling

Sampling occurred at two and four weeks following exposure of juvenile longfin smelt to their respective treatments. Prior to sampling, longfin smelt were fasted for 24 h. Individual fish were netted from their respective sub-tanks and immediately euthanized with an overdose of tricaine methanesulfonate (MS-222; Finquel) buffered to a neutral pH with sodium bicarbonate. Longfin smelt were weighed (g), measured for fork length (mm), and snap-frozen in liquid nitrogen for later analysis of whole-body cortisol, glucose, lactate and protein. The entire sampling process for all six fish from each treatment was completed in <3 min to ensure handling stress did not affect cortisol levels. Care was taken to catch fish as efficiently and quietly as possible to minimize disturbance to surrounding sub-tanks.

Upper thermal tolerance trials

The upper thermal tolerance of juvenile longfin smelt reared in different temperatures and turbidities was determined using critical thermal maximum (CTMax) methodology as previously described in Lapointe *et al.* (2018) and Davis *et al.* (2019). CTMax trials were conducted over a consecutive three-day period on the remaining 132 fish, resulting in 69–93 fish per treatment. Fish were randomly netted from sub-tanks and transferred to one of 12 glass mason jars, which were painted black on the outside to reduce visual stress. Mason jars contained 900 ml of treatment water, were held in a water table for temperature control and contained individual air stones to ensure adequate oxygen supply throughout trials. Longfin smelt were given 30 min in individual mason jars at their respective treatment temperatures to recover from handling stress before the start of trials. Temperature was increased at a rate of 0.3°C per min using four Finnex submersible heaters and water pumps for circulation. Loss of equilibrium (LOE), a common endpoint to assess upper thermal tolerance, was determined by reporting the temperature at which fish first lost equilibrium continuously for 10 s (Beitinger *et al.*, 2000; Komoroske *et al.*, 2014). Temperature at LOE was measured using a calibrated immersion thermometer, and fish were immediately transferred to recovery tanks at their respective rearing temperatures. In general, recovery for CTMax trials is determined after 24 h (Davis *et al.*, 2019); however, due to the extreme sensitivities of longfin smelt, recovery time was reduced in our trials. We opted to assess the survival of fish at three different recovery times: 3, 6 and 18 h post-trial. This approach allowed us to investigate whether acclimation temperature also influenced the recovery potential from heat stress.

Whole-body homogenization

A total of 232 longfin smelt (four replicates per treatment and four to six fish per replicate) were sampled for whole-body cortisol, glucose, lactate and total protein analysis. The head of each frozen fish was removed using a sterile razor blade and the remaining body was ground to a fine powder, using a mortar and pestle over liquid nitrogen. Whole-body fish powder was weighed prior to homogenization. Using a hand-held homogenizer (PRO Scientific, Oxford, CT), each sample was homogenized in 4 ml ice-cold 1 \times phosphate-buffered saline (PBS buffer: 137 mM sodium chloride, 2.7 mM potassium chloride, 10 mM disodium phosphate and 1.8 mM monopotassium phosphate (pH 7.4)) with the addition of protease inhibitors (Roche Molecular Systems, Inc). Samples were then divided into four equal volumes for whole-body cortisol, glucose, lactate and total protein measurements. Glucose, lactate and protein homogenates (1 ml) were centrifuged for 30 min at 14 500 *g* at 4°C and the supernatant was extracted and stored at –80°C for later analysis. Cortisol homogenates were added to a 9-ml Pyrex glass tube for same-day extraction.

Cortisol extraction and analysis

Cortisol extraction followed methods outlined in Pasparakis *et al.*, 2022, 2023. Briefly, cortisol homogenates were spiked with 2.5 ml of diethyl ether, vortexed for 1 min and then centrifuged for 7 min at 3200 *g* at 4°C. The resulting supernatant was carefully transferred to a new 9-ml Pyrex glass tube. To ensure maximal cortisol extraction, this process was repeated two more times, and the supernatant from all three washes was combined. Samples were left in the hood overnight for complete diethyl ether evaporation, resuspended the following day in 200- μ l 1 \times PBS, vortexed and stored at –80°C until later analysis. For whole-body cortisol quantification, an enzyme immunoassay (EIA) kit (Salivary Cortisol Immunoassay, Salimetrics LLC) was employed. Samples were run in duplicate, concentrations (μ g dl⁻¹) were calculated using a four-parameter sigmoidal standard curve and values were normalized to fish mass (ng g⁻¹).

Glucose and lactate assays

For the analysis of whole-body glucose and lactate, frozen tissue homogenates were first thawed on ice. Samples were analysed using commercial test kits and following the manufacturer's instructions for glucose (glucose assay kit, Sigma-Aldrich) and lactate (lactate assay kit II, Sigma-Aldrich). Samples were run in duplicate, concentrations (ng μ l⁻¹) were calculated using a linear standard curve and values were normalized to fish mass (μ g g⁻¹).

Protein-to-mass ratios

The bicinchoninic acid method (BCA; Pierce, Thermo Fisher Scientific Inc.) was employed to quantify whole-body protein concentrations in juvenile longfin smelt. Samples were run in

duplicate and concentrations ($\mu\text{g ml}^{-1}$) were calculated using a linear standard curve. Total protein was divided by mass of fish (g) to calculate protein-to-mass ratios.

For all four assays, if samples fell outside the range of standard curves, they were diluted and rerun. Those samples that remained outside the range of standard curves after dilution were eliminated from analysis. Standard curves of all assays had r^2 values ≥ 0.98 .

Condition factor

Longfin smelt mass and fork lengths were measured on both days of sampling, as well as on the day of CTMax trials. To calculate Fulton's condition factor, the following equation was employed: $CF = (W_B/FL^3) \times 100$, where W_B represents the body mass (g) and FL denotes the fork length (cm).

Statistical analysis

Statistical analyses were conducted using R version 4.3.2 (R Core Team, 2023), with the package 'nlme' (Pinheiro *et al.*, 2023). Non-parametric tests were employed to account for the random effects of sub-tanks. Linear mixed effect models (LMEs) were used to analyze whole-body cortisol, glucose and lactate concentrations, protein-to-mass ratios, condition factors and CTMax data in juvenile longfin smelt. Sub-tank was included as a random effect in all models. Whole-body cortisol, glucose, lactate and protein-to mass ratios were normalized to fish mass. Fixed effects in these models were temperature (11 and 14°C), turbidity (1, 4 and 11 NTU) and timing of exposure (two vs four weeks). Condition factor was analyzed at two and four weeks to account for changes in fish size due to growth, with temperature and turbidity as the fixed effects. CTMax was measured at five weeks, with temperature and turbidity as the fixed effects. Multiple LMEs using singular, combined and interactive effects of biological relevance were run and Akaike information Criteria (AICc) were calculated to determine the model of best fit for the data. Statistical output for these models can be found in [Supplementary Table 3](#), and AICc scores are reported in [Supplementary Table 4](#). The full model provided the best fit for cortisol and glucose data, whereas the interactive effects of temperature and turbidity offered the most robust explanation for lactate and protein-to-mass ratios. For condition factor and CTMax, temperature alone was identified as the strongest predictor. Detailed *post hoc* results, using the Tukey method for the most parsimonious LME models, are available in [Supplementary File 2](#). Data were tested for normality and homogeneity using the 'shapiro.test' function from the 'stats' package and the 'leveneTest' function from the 'car' package, respectively. The Kruskal–Wallis rank sum test was employed to test the effect of water conditions (temperature and turbidity) on longfin smelt mortality. The cortisol immunoassay yielded a few outliers that were found to lack biological relevance. Employing a quantile range outlier test (Tail Quantile = 0.1; Q = 3) through JMP® (Version 17.2., SAS Institute) led to the exclusion of four

data points, evenly distributed across various turbidity and temperature treatments. Data are presented as means \pm SEM and differences between means were deemed significant at $P < 0.05$.

Results

Mortality

Temperature and turbidity treatments had no effect on longfin smelt survival after either two ($H(5) = 3.2861$, $P = 0.656$) or four ($H(5) = 3.2336$, $P = 0.664$) weeks. This suggests that treatment conditions resulted only in sub-lethal effects during our study.

Cortisol

There was no effect of temperature on whole-body cortisol levels in juvenile longfin smelt. However, cortisol levels were significantly influenced by turbidity and the duration of exposure. Specifically, fish exposed to low-turbidity conditions (1 NTU) exhibited significantly higher cortisol levels compared to those in high-turbidity conditions (11 NTU; $P < 0.01$). Timing of exposure also had a significant effect, with cortisol levels decreasing over time ($P < 0.05$) (Fig. 1; [Supplementary Table 3](#)).

Glucose and lactate

Glucose levels in juvenile longfin smelt were significantly influenced by temperature, turbidity and time. Fish exposed to lower temperatures exhibited significantly higher glucose compared to those at higher temperatures ($P < 0.001$). Turbidity also had a significant effect, with fish in higher turbidity conditions (11 NTU) displaying elevated glucose levels compared to those in lower turbidity treatments ($P < 0.05$). Additionally, glucose levels increased significantly over time, with higher values observed after four weeks of exposure compared to two weeks ($P < 0.01$) (Fig. 2; [Supplementary Table 3](#)).

No significant effects of temperature, turbidity or their interaction were observed for lactate levels in juvenile longfin smelt (Fig. 3; [Supplementary Table 3](#)).

Protein-to-mass ratio

Turbidity, but not temperature or timing of exposure, had a significant effect on protein-to-mass ratios, with higher ratios observed in high-turbidity conditions (11 NTU) compared to low-turbidity conditions (1 NTU; $P < 0.05$). Significant interactions between temperature and turbidity were also detected. Specifically, fish held at 11°C in high turbidity (11 NTU) had significantly greater protein-to-mass ratios compared to those held at 14°C in either low (1 NTU) or medium turbidity (4 NTU) ($P < 0.05$) (Fig. 4; [Supplementary Table 3](#)).

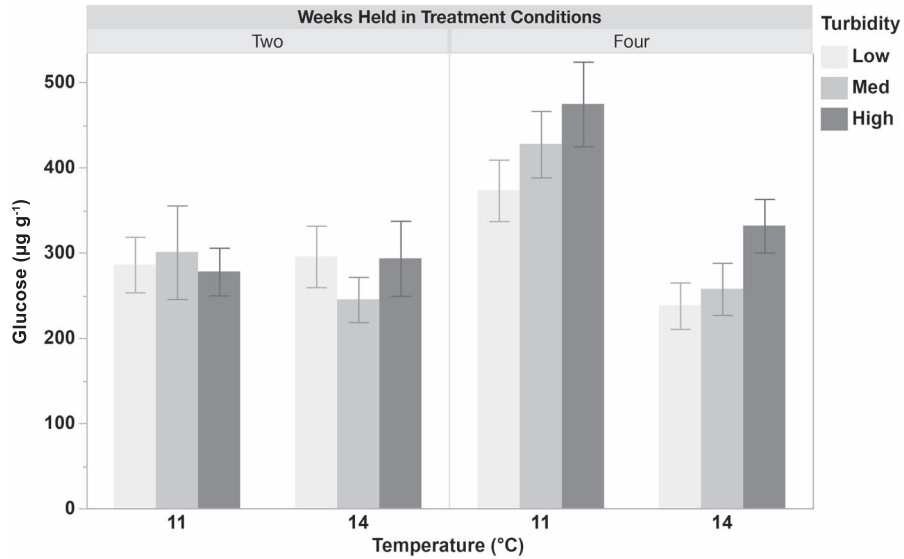


Figure 2: Whole-body glucose measurements ($\mu\text{g g}^{-1}$) in juvenile longfin smelt held at two temperatures (11 or 14°C) and three turbidities (low (1), med (4), or high (11) NTU) for two and four weeks. Glucose levels were significantly greater at lower temperatures and higher turbidity conditions (11 NTU compared to 1 NTU). Glucose also increased significantly over time. Data ($n = 10\text{--}20$) are presented as mean \pm SEM.

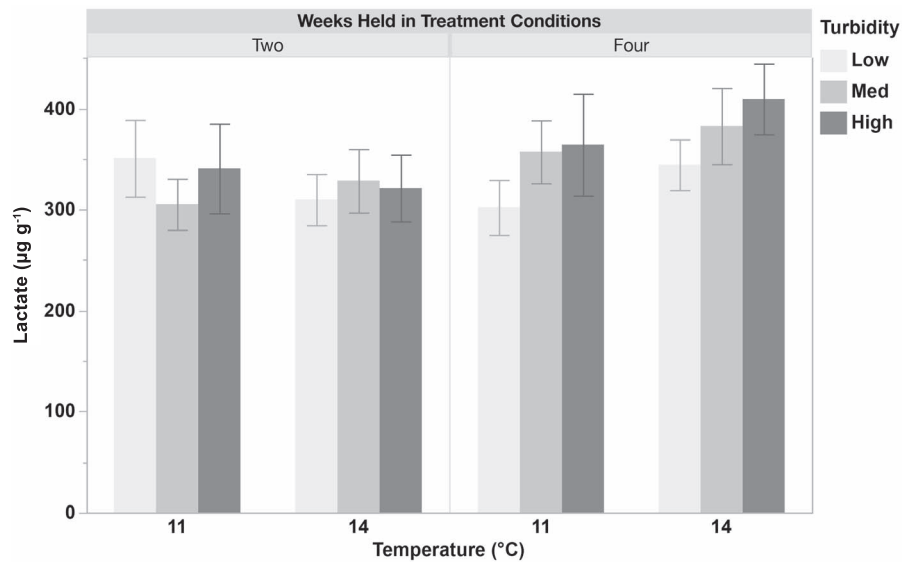


Figure 3: Whole-body lactate measurements ($\mu\text{g g}^{-1}$) in juvenile longfin smelt held at two temperatures (11 or 14°C) and three turbidities (low (1), med (4), or high (11) NTU) for two and four weeks. Lactate levels were not significantly affected by temperature, turbidity or their interaction. Data ($n = 16\text{--}20$) are presented as mean \pm SEM.

Condition factor

There was no effect of temperature on juvenile longfin smelt condition factor after two weeks of exposure. However, after four weeks, a significant effect of temperature was observed, with fish held at cooler temperatures (11°C) exhibiting significantly higher condition factor compared to those at 14°C ($P < 0.01$) (Table 1; Supplementary Table 3).

CTMax

The upper temperature tolerance (CTMax) of juvenile longfin smelt was measured after five weeks in treatment conditions. Temperature had a significant effect on CTMax, with fish held at higher temperatures (14°C) exhibiting greater CTMax values compared to those held at 11°C ($P < 0.001$). The CTMax for juvenile longfin smelt was 26.3 ± 0.05 for fish

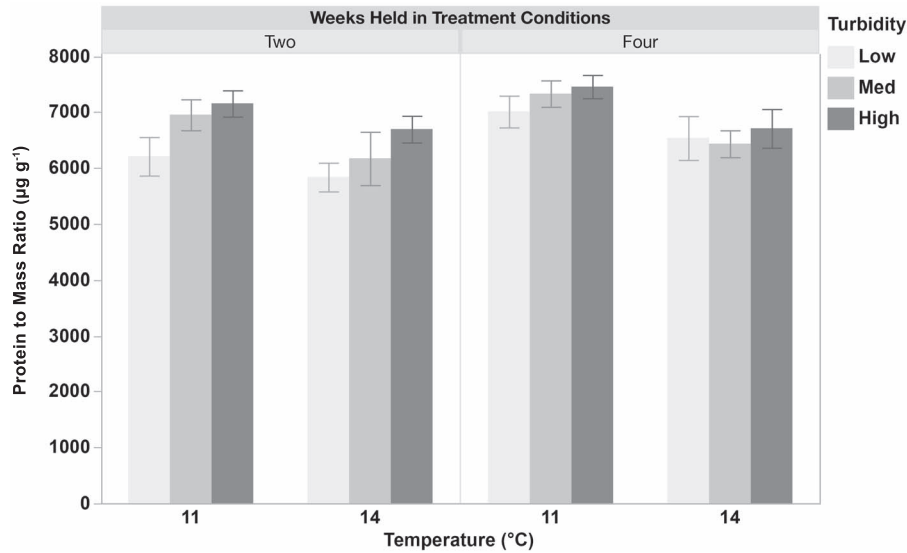


Figure 4: Protein-to-mass ratios ($\mu\text{g g}^{-1}$) in juvenile longfin smelt held at two temperatures (11 or 14°C) and three turbidities (low (1), med (4), or high (11) NTU) for two and four weeks. Turbidity had a significant effect on protein-to-mass ratios, with fish held at higher turbidity (11 NTU) exhibiting greater ratios compared to those at lower turbidity (1 NTU). Significant interactions between temperature and turbidity were also observed. Data ($n = 17\text{--}21$) are presented as mean \pm SEM.

Table 1: Condition factor data for juvenile longfin smelt held at different temperatures (11 or 14°C) and turbidities (1 (low), 4 (med), or 11 (high) NTU) for either two or four weeks. After four weeks in treatment conditions, fish had significantly greater condition factor when held at lower temperature of 11°C compared to 14°C. Values are presented as mean \pm SEM

Treatment conditions		Weeks held in treatment conditions	
Temperature	Turbidity	Two	Four
11	Low	0.50 \pm 0.01	0.53 \pm 0.01
11	Med	0.49 \pm 0.01	0.57 \pm 0.03
11	High	0.50 \pm 0.01	0.52 \pm 0.01
14	Low	0.43 \pm 0.01	0.47 \pm 0.01
14	Med	0.48 \pm 0.02	0.49 \pm 0.01
14	High	0.50 \pm 0.01	0.50 \pm 0.01

held at 14°C and 24.6 \pm 0.18 for fish held at 11°C. This resulted in a gain in CTMax of 1.7 for a 2.75°C (13.8–11.05°C) increase in temperature (Table 2; Supplementary Table 3). Additionally, fish held at higher temperatures had greater survival after recovery periods (Supplementary Table 5).

Detailed *post hoc* comparisons for all treatment interactions from the LME models are provided in Supplementary File 2.

Table 2: CTMax data for juvenile longfin smelt held at different temperatures (11 or 14°C) and turbidities (low (1), med (4), or high (11) NTU). Longfin smelt had significantly higher upper thermal tolerance when held at 14°C for five weeks compared to fish held at 11°C. Values are presented as mean \pm SEM

Temperature	Turbidity	CTMax
11	Low	24.5 \pm 0.25
11	Med	24.4 \pm 0.44
11	High	25 \pm 0.24
14	Low	26.4 \pm 0.12
14	Med	26.2 \pm 0.12
14	High	26.6 \pm 0.16

Discussion

This study investigates how varying temperatures (11 and 14°C) and turbidities (1, 4 and 11 NTU) influence survival, stress response and physiological condition of juvenile longfin smelt over time. Whilst these treatments did not impact survival, significant sub-lethal effects were observed from variations in both temperature and turbidity. Fish exposed to lower turbidity (1 NTU) experienced elevated stress, as indicated by increased cortisol levels, as well as reduced whole-body glucose and protein-to-mass ratios, compared to fish at higher turbidity (11 NTU). Higher temperatures resulted in significantly reduced whole-body glucose and condition factor. However, higher temperatures also yielded beneficial outcomes, including greater upper

thermal tolerance and enhanced recovery post CTMax trials. Whole-body lactate remained unaffected by either temperature or turbidity treatments (Fig. 2). Interactive effects of temperature and turbidity were observed in juvenile longfin smelt. There was a significant reduction in cortisol from Week two to Week four driven largely by changes in fish held at 11°C, indicating potential acclimation to turbidity stress in fish in this group (Fig. 1). Additionally, significant interactive effects were observed in protein-to-mass ratios, with fish at lower temperatures and higher turbidity exhibiting higher ratios compared to those at higher temperatures and lower turbidity (Fig. 4). These results are consistent with our predictions and correlate closely with observed longfin smelt abundances in the wild (Grimaldo *et al.*, 2017).

Investigating the generalized stress response in fish provides critical insight into optimal environmental and rearing conditions (Iwama *et al.*, 1997). The stress response serves as an adaptive mechanism, allowing fish to maintain homeostasis in response to perceived stressors (Barton, 2002). Central to this process is the activation of the hypothalamic–pituitary–interrenal (HPI) axis, which regulates the production and release of cortisol, a steroid hormone (Wendelaar Bonga, 1997). However, chronic stress and prolonged elevation of cortisol levels can have severe secondary and tertiary effects, posing significant threats to fish populations, particularly those already endangered (Barton and Iwama, 1991; Somero, 2010). Juvenile longfin smelt displayed significantly elevated whole-body cortisol levels at low turbidity of 1 NTU compared to higher turbidity of 11 NTU (Fig. 1). These findings are consistent with prior research on juvenile delta smelt, which indicated significantly heightened stress levels at lower turbidities (1–2 NTU) compared to higher turbidities (10–11 NTU) after a two-week period, irrespective of the presence of a largemouth bass predator cue (*Micropterus salmoides*) (Pasparakis *et al.*, 2023).

The reduced stress observed in smelt in turbid conditions may be attributed to their general preference for darker conditions, as smelt are known to be light-sensitive and actively avoid light in their natural habitats (Dembinski, 1971; Appenzeller and Leggett, 1995). Underscoring smelt's preference for low-light conditions, strobe lights were proposed as an effective means to reduce rainbow smelt (*Osmerus mordax*) entrainment losses through Oahe Dam by inducing behavioural avoidance (Hamel *et al.*, 2008). This behavioural preference for low-light likely influences their distribution and may contribute to habitat compression, as smelt seek out areas with higher turbidity that provide these preferred conditions (Heist and Swenson, 1983; Feyrer *et al.*, 2007). Indeed, multiple studies have noted a strong correlation between turbidity and longfin smelt abundance in their natural habitat, suggesting that long-term declines in turbidity within the SFE may have contributed to the drastic population declines in this species (Grimaldo *et al.*, 2017; Mahardja *et al.*, 2017; Bever *et al.*, 2018; Brennan *et al.*, 2022).

Turbidity is also believed to alleviate stress in fish by improving predator avoidance and offering protection through visual cover (Gregory and Northcote, 1993; Sirois and Dodson, 2000; De Robertis *et al.*, 2003). Despite the absence of predators or predator cues in our study, significant interactions occurred during feeding and maintenance, with longfin smelt being fed twice daily and water quality check and mortality removal taking place once a day. These human interactions closely resemble activities at an aquaculture facility and may have induced considerable stress in the fish. This could explain why longfin smelt in higher turbidities perceived greater protection, resulting in significantly lower whole-body cortisol levels compared to clearer waters (Fig. 1). These findings align with a study on late-larval delta smelt, which indicated minimal stress between 35 and 80 NTU and elevated cortisol at low turbidities (5, 12 and 25 NTU) after a 24-h exposure (Hasenbein *et al.*, 2016).

Prolonged stress may redirect metabolic energy towards maintaining homeostasis, ultimately reducing energy for growth and other important biological functions (Wendelaar Bonga, 1997). This relocation of energetic reserves could explain the significantly reduced whole-body glucose and protein-to-mass ratios observed in juvenile longfin smelt (Fig. 2 and Fig. 4). Glycogen, which was not measured in this study, would provide valuable insight into the energetic reserves of the fish, as it represents carbohydrate stores. The whole-body glucose levels presented here reflect the immediate energy status of the fish. Elevated cortisol levels at lower turbidities suggest that longfin smelt were experiencing prolonged stress, which likely led to increased energy demands. Similarly, juvenile delta smelt, exhibiting significantly increased whole-body cortisol levels in lower turbidities, also displayed significantly reduced whole-body glucose levels (Pasparakis *et al.*, 2023). The reduced protein-to-mass ratio observed in juvenile longfin smelt may suggest that energy was diverted from growth and protein synthesis towards stress-induced maintenance processes, reflecting physiological prioritization under prolonged stress.

Whilst feeding rate was not specifically evaluated in this study, it is plausible that the heightened stress and diminished energy observed in longfin smelt in clear waters could be attributed to increased difficulty and effort in locating artemia. Turbidity is believed to enhance visual acuity of small planktivorous or larval fish by increasing the contrast between prey and its background, thereby aiding in feeding (Utne-Palm, 2002). Longfin smelt are pelagic zooplanktivores, relying on their visual acuity to capture prey typically consisting of species such as copepods, cladocerans and mysid shrimp (Chigbu and Sibley, 1998; Barros *et al.*, 2022). Field studies indicate that the interplay between turbidity and light alters predator–prey dynamics and interactions by influencing the depth of smelt and their prey (Horppila *et al.*, 2004). In a laboratory setting, larval delta smelt displayed significantly reduced feeding in the absence of turbidity, with maximal feeding responses observed at 11 NTU, the highest tested

turbidity level (Baskerville-Bridges *et al.*, 2004; Tigan *et al.*, 2020). Late-larval delta smelt displayed peak feeding rates between 25 and 80 NTU, with reduced feeding at lower (5 and 12 NTU) and higher (120 and 250) turbidities (Hasenbein *et al.*, 2016). Juvenile delta smelt, on the other hand, exhibited reduced feeding >250 NTU, consistent feeding between 12 and 120 NTU, and the highest feeding rates <12 NTU, emphasizing the importance of investigating effects of turbidity at different life stages (Hasenbein *et al.*, 2013).

The turbidity treatments implemented in our study do not precisely replicate the conditions found in the SFE, where turbidity is composed of a diverse and variable amalgamation of suspended sediment, dissolved organic matter and algae. However, our turbidity conditions closely resemble those applied at the FCCL for rearing both delta and longfin smelt. At the FCCL, turbidity is maintained using the same commercially preserved algae (*Nannochloropsis*) utilized in this study (Tigan *et al.*, 2020). Larval longfin smelt are reared at ~10 NTU from 0 to 40 dph, after which they were transitioned to clear waters (Hung *et al.*, 2024). Subsequently, due to poor survival rates, turbidity has been increased to 5.5 NTU up to 100 dph. Given the positive impacts of turbidity observed in juvenile longfin smelt in our study (~170–225 dph), our findings suggest that maintaining higher turbidity levels (~11 NTU) for extended periods when rearing cultured longfin smelt is advantageous, potentially reducing stress and increasing available energy. Whilst no current plans exist, population supplementation may become necessary for the sustainability of longfin smelt in the near future. Our results propose that transportation and release conditions with mid-range turbidities could enhance the success of these efforts by mitigating stress in this highly sensitive fish.

Temperature is another key variable to consider in future rearing and conservation strategies, given its significant effects on fish physiology (Perry *et al.*, 2005; Pörtner and Peck, 2010). Rising temperatures in the SFE likely contributed to the POD, highlighting the need to incorporate temperature into multi-stressor experiments to better inform management decisions (Sommer *et al.*, 2007; Bashevkin *et al.*, 2022). Longfin smelt are thought to be especially sensitive to temperature stress and less tolerant of high temperatures than closely related delta smelt, based on both field and laboratory-based studies. Field data indicate larval delta smelt abundance peaks between 14 and 18°C, whilst larval longfin smelt are most abundant between 8 and 12°C (Bennett, 2005; Grimaldo *et al.*, 2017). Although both species exhibit a preference for lower temperatures, predictions suggest that young-of-year longfin smelt have reduced occupancy at higher temperatures compared to delta smelt, corroborating lab studies reporting lower upper thermal tolerances in larval longfin smelt (Jeffries *et al.*, 2016; Mahardja *et al.*, 2017). Indeed, juvenile longfin smelt in the current study displayed significantly reduced whole-body glucose and condition factor when held at 14°C compared to 11°C, supporting previous studies (Fig. 1 and Table 2). Water temperatures in the SFE regularly exceed

20°C during summer months, particularly in the Delta, where seasonal variations are most pronounced. Given their reduced thermal tolerance and high sensitivity to stress, such temperatures could potentially lead to reductions in energy reserves and overall fitness in longfin smelt (Vroom *et al.*, 2017).

Warmer temperatures incur energetic costs for various reasons, including increased metabolic rates, stimulated physiological and behavioural processes, faster swimming activities and thermal acclimation mechanisms (Dell *et al.*, 2011; Sandblom *et al.*, 2014). Prolonged exposure to thermal stress may result in latent adverse impacts, such as reduced growth and compromised health, providing support for reduced condition factor observed in juvenile longfin smelt after four weeks at higher temperatures (Sokolova *et al.*, 2012; Alfonso *et al.*, 2021). Acclimation to warmer temperatures increased the upper temperature tolerance of longfin smelt, demonstrating their capacity to extend thermal limits. Longfin smelt reared at 11°C exhibited CTMax values ranging from 24.4 ± 0.44 to 25 ± 0.24 °C, whilst smelt at 14°C displayed values ranging from 26.2 ± 0.12 to 26.6 ± 0.16 °C (Table 2). This resulted in a CTMax increase of 1.7 for a 2.75°C temperature rise. These findings align with CTMax values of 24.8 ± 0.38 °C in longfin smelt larvae (~50 dph) reared at 14°C in a previous study (Jeffries *et al.*, 2016). Longfin smelt held at warmer temperatures exhibited higher survival rates following CTMax trials, providing additional evidence of reduced sensitivity to high temperatures with warm acclimation (Supplementary Table 5). This suggests that longfin smelt raised at higher temperatures in natural habitats are likely better equipped to endure heat shocks. However, this increase in thermal tolerance may entail a significant trade-off, as it can lead to reduced growth and energy levels, both of which are essential for coping with other demanding environmental conditions.

In the context of rearing longfin smelt for culture in conservation hatcheries, our data suggests that lower temperatures of 11°C are preferable for promoting growth and conserving energy. This recommendation is consistent with a previous study on recently hatched longfin smelt larvae, which advocated for culturing temperatures of 9 or 12°C over 15°C, as the latter temperature induced decreased hatch success, diminished growth rates and earlier mass mortality, resulting in fewer and smaller larvae with reduced endogenous reserves (Yanagitsuru *et al.*, 2021). Conversely, if population supplementation becomes necessary for longfin smelt survival in the SFE, temperatures >11°C should be considered due to the benefits of enhancing thermal tolerance. Alternatively, supplementation could be strategically prioritized during winter months when the likelihood of thermal stress is reduced. Further research is necessary to gain a better understanding of the trade-offs involved in rearing longfin smelt at warmer temperatures to increase thermal tolerance and its subsequent impact on physiological performance.

Juvenile longfin smelt held at lower temperatures displayed signs of acclimation to turbidity stress, evidenced by a reduction in whole-body cortisol levels between the two- and

four-week time points, with values decreasing by more than half at each turbidity level. In contrast, longfin smelt held at higher temperatures showed no indications of acclimation or reduction in stress markers, as their cortisol levels remained similar at both time points and, in fact, displayed minor increases in two of the three turbidity treatments at the four-week mark (Fig. 1). These findings suggest that elevated temperature and reduced turbidity may interact by imposing substantial stress on juvenile longfin smelt, hindering their capacity for recovery. Furthermore, a significant interaction between temperature and turbidity was observed for protein-to-mass ratios, with higher ratios in fish held at cooler temperature and higher turbidity compared to those at warmer temperature and lower turbidity (Fig. 4). These results suggest that fish in cooler, more turbid conditions may allocate resources more effectively towards growth, likely enhancing their physiological condition and resilience. Research investigating the interactive effects of temperature, turbidity and other relevant stressors in juvenile longfin smelt is essential for conservation and warrants further study.

Concluding Remarks

Juvenile longfin smelt demonstrate improved physiological condition at lower temperatures of 11°C compared to 14°C and elevated turbidities of 11 NTU compared to 1 NTU. These findings are consistent with field abundance data, suggesting that cooler, more turbid environments provide more favourable conditions for these fish (Moyle, 2002; Grimaldo *et al.*, 2017). Prolonged exposure to stress and the reallocation of energy towards maintaining homeostasis likely contributed to observed reductions in energy and growth. Chronic stress in fish can lead to severe tertiary effects, including the suppression of immune function, increased susceptibility to disease and a reduction in overall fitness, fecundity and survival in their natural habitat (Wendelaar Bonga, 1997; Tort, 2011). Therefore, conditions that minimize stress and promote the growth and condition of fish should be prioritized in aquaculture settings and conservation initiatives. Results from our study indicate that lower temperatures and elevated turbidities enhance the physiological condition of the understudied juvenile stage of longfin smelt. Given the limited understanding of this critical developmental stage, the data presented herein fill an important knowledge gap, providing valuable insights for management-based conservation efforts.

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Author Contributions

This manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. C.P., F.B., D.E.C., R.E.C., N.A.F. and A.E.T. designed the experiment. C.P., F.B., F.D. and A.W. collected the data. E.W.C. contributed biological expertise and expertise in the management of longfin smelt. T-C.H. provided experimental fish. C.P. wrote the manuscript. R.E.C., N.A.F. and A.E.T. secured the funding that supported the work.

Conflicts of Interest

The authors have no conflict of interest to declare.

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Data availability

The data underlying this article are available in the online supplementary material.

Supplementary material

Supplementary material is available at *Conservation Physiology* online.

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