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# **The trials and tribulations of determining HbA1c targets for diabetes mellitus**

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# **Abstract**

Glycated haemoglobin ( $HbA_{1c}$ ) is considered the gold standard for predicting glycaemiaassociated risks for the microvascular and macrovascular complications of diabetes mellitus over 5–10 years. The value of  $HbA_{1c}$  in the care of patients with type 1 diabetes mellitus (T1DM) and type 2 diabetes mellitus (T2DM) is unassailable, yet  $HbA<sub>1c</sub>$  targets remain contentious. Guidelines from diabetes care organizations recommend conflicting  $HbA_{1c}$  targets — generally between 6.5% and 8%. However, all such organizations advocate for individualization of  $HbA_{1c}$  targets, leaving both health-care providers and their patients confused about what  $HbA<sub>1c</sub>$  target is appropriate in an individual patient. In this Review, we outline the landmark T1DM and T2DM trials that informed the current guidelines, we discuss the evidence that drives individualized  $HbA<sub>1c</sub>$  targets, we examine the limitations of  $HbA_{1c}$ , and we consider alternatives for monitoring glycaemic control. Ultimately, in synthesizing this literature, we argue for an  $HbA<sub>1c</sub>$  target of <7% for most individuals, but emphasize the importance of helping patients determine their own personal goals and determinants of quality of life that are independent of a particular glycaemic target. We also recognize that as newer technologies and anti-hyperglycaemic therapies emerge, glycaemic targets will continue to evolve.

> Diabetes mellitus affects upwards of 30 million people (~10% of the population) in the USA and 422 million adults worldwide, a number that is estimated to rise to 640 million by 2040 ( $REFS<sup>1-4</sup>$ ). This epidemic, which has mostly been mediated by the increase in type 2 diabetes mellitus (T2DM) over the last 30 years, has considerable consequences both individually and globally. Patients with diabetes mellitus have a twofold to tenfold higher risk of cardiovascular disease-related death than age-matched normoglycaemic individuals and are at substantially higher risk of all-cause mortality, cardiovascular complications (such as coronary heart disease, heart failure, stroke, and peripheral arterial disease) and microvascular complications (including retinopathy, neuropathy and nephropathy) $5-8$ . Furthermore, the estimated health-care expenditure on diabetes mellitus was US\$1.2 trillion globally in 2015 and US\$404 billion in the USA alone in 2017 (REFS<sup>9,10</sup>). This expenditure

Supplementary information

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includes the direct costs of treatment of diabetes mellitus and the indirect costs attributed to the complications of poor glycaemic control<sup>11</sup>. As such, much of the research effort in patient care has centred around attaining glycaemic control, with an aim of improving quality and length of life.

The history and popularization of glycated haemoglobin  $(HbA<sub>1c</sub>)$  are discussed in BOX 1. In the past decade,  $HbA_{1c}$  has become the universally accepted standard for the diagnosis and monitoring of diabetes mellitus. Yet, identifying the appropriate  $HbA<sub>1c</sub>$  targets for different patient groups remains difficult due to ongoing debate about the 'optimal'  $HbA<sub>1c</sub>$ . Guidelines provided by diabetes care organizations recommend conflicting targets (discussed in detail later) but share a common thread: choosing an  $HbA_{1c}$  target that fits an individual patient. In this Review, we summarize the clinical trials that have led to the discussion of  $HbA_{1c}$  targets, we outline the current guidelines for  $HbA_{1c}$  targets, and we discuss the future of monitoring glycaemic control.

# **Guideline-defining clinical trials**

Five large randomized clinical trials that we review, in addition to multiple smaller studies, have fuelled the  $HbA_{1c}$  target debate. These trials were designed to examine whether treatment intensification aiming for an  $HbA_{1c}$  in the normal range reduces complications in diabetes mellitus. These studies compared intensive treatment (achieving  $HbA_{1c}$  6.3– 7.4%) with standard treatment (achieving  $HbA_{1c}$  7.3–9%) and evaluated microvascular and macrovascular outcomes. The results of these trials are summarized below (TABLE 1; Supplementary Table 1). Long-term follow-up is summarized in BOX 2. Though at face value these trials seem similar, considerable heterogeneity regarding patient populations, glycaemic targets, baseline  $HbA_{1c}$ , intervention, the method of therapy escalation, length of follow-up and outcomes make it challenging to synthesize the trials into a recommendation for a single  $HbA_{1c}$  target. These differences, coupled with strong and varied opinions on essential elements of the trials, contribute to the ongoing debate.

#### **The Diabetes Control and Complications Trial.**

The Diabetes Control and Complications Trial (DCCT) evaluated whether intensive treatment aimed at maintaining near normal glucose levels with insulin delivered by multiple daily injections or continuous infusion pump and informed by frequent glucose monitoring would prevent or delay the onset of microvascular consequences in patients with type 1 diabetes mellitus (T1DM) of duration 1–15 years, compared with conventional treatment with one or two daily injections of insulin<sup>12,13</sup>. No  $HbA_{1c}$  target was set for the conventional treatment group. By contrast, the aim of intensive treatment was normoglycaemia and an  $HbA_{1c}$  of <6%. However, attaining and maintaining this  $HbA_{1c}$  target was difficult. Nearly half of the participants in the intensive treatment group achieved an  $HbA_{1c}$  of <6.05% once during the study, but <5% of this group maintained this target over the 6.5 years of the trial. Average  $HbA_{1c}$  in the intensive treatment group was approximately 7% versus 9% in the conventional treatment group.

The onset and progression of retinopathy were significantly reduced in the intensive treatment group compared with the conventional treatment group. Furthermore, the

incidence of nephropathy and neuropathy was also lower in the intensive treatment group<sup>14</sup>. Adverse events included weight gain and statistically significant increases in the incidence of severe hypoglycaemia in the intensive treatment group (TABLE 1; Supplementary Table 1).

Conclusions about macrovascular disease were less convincing, although a non-significant reduction in the rate of macrovascular disease was observed. Of note, no difference in mortality between the groups was observed. The authors attributed the lack of significant difference to the relative youth of the cohort and short follow-up time, leading to the development of the Epidemiology of Diabetes Interventions and Complications (EDIC) study15,16 .

#### **EDIC: long-term follow-up of DCCT.**

Regardless of their previous treatment arm in DCCT, all DCCT–EDIC participants were advised to follow the intensive treatment regimen.  $HbA<sub>1c</sub>$  levels in the conventional and intensive treatment groups thus converged during the follow-up years (8.2% and 8.0% respectively). However, the time-weighted mean  $HbA<sub>1c</sub>$  remained statistically significantly higher in the conventional treatment group due to the difference in  $HbA<sub>1c</sub>$  observed during the years of the DCCT<sup>17</sup>. Despite the convergence in  $HbA_{1c}$  levels, intensive treatment for 6.5 years during the randomized DCCT period statistically significantly reduced the risk of cardiovascular events at 17 and 30 years of follow-up<sup>15,18</sup> (BOX 2). Intensive control was associated with less atherosclerosis, as measured in terms of the coronary artery calcification score<sup>19,20</sup>. These data demonstrate that, compared with conventional treatment, intensive glycaemic control for 6.5 years in the first 7 to 20 years following the diagnosis of T1DM reduces the risk of developing cardiovascular disease later in life, in addition to the micro vascular benefits demonstrated in the DCCT. The mechanism behind this effect has been attributed to 'metabolic memory', also termed the 'legacy effect' in the UK Prospective Diabetes Study (UKPDS) described below.

Taken together, even though an  $HbA_{1c}$  of 6% was rarely achieved in the study itself, the DCCT–EDIC provided evidence of a benefit of aiming for an  $HbA_{1c}$  of near 6%, with caution for hypoglycaemia. The DCCT demonstrated beneficial effects on microvascular outcomes from achieving an average  $HbA_{1c}$  of 7% for 6.5 years in patients with a duration of T1DM of 1–15 years and minimal or no complications at study start. Whether this finding is generalizable to more diverse populations — such as those with long-standing T1DM, T2DM, substantial comorbidities or complications — or whether such intensive treatment could be managed in routine practice is less certain and was addressed in subsequent trials as discussed in the following sections.

#### **The UK Prospective Diabetes Study.**

The aim of the UKPDS was to determine whether intensive blood glucose control reduces the risk of microvascular and macrovascular complications in patients with newly diagnosed  $T2DM<sup>21</sup>$ . Approximately 4,000 participants were randomized to conventional treatment with continued diet and weight control or 'intensive treatment' aiming for fasting plasma glucose (FPG) levels of <6 mmol/l (108 mg/dl). Intensive treatment consisted of the addition of

sulfonylurea or once-daily insulin. In both arms, insulin was used as a rescue therapy for symptomatic hyperglycaemia or fasting glucose levels of >15 mmol/l (270 mg/dl)<sup>22</sup>. The intensive treatment group had an initial decrease in  $HbA_{1c}$  to ~6%, which subsequently increased over the course of the study. As in the DCCT, a statistically significant difference in microvascular end points was found between the intensive and conventional treatment groups. A non-significant 16% reduction in the risk of myocardial infarction was also found. However, T2DM-related all-cause mortality was not different between the treatment groups (TABLE 1; Supplementary Table 1).

In an integral stratification at the UKPDS sites, individuals with overweight (>120% ideal body weight) were randomized to treatment with diet alone (conventional treatment), sulfonylurea or insulin (as in the overall study intensive treatment group) or metformin  $(n)$  $= 342$ <sup>23</sup>. Treatment with metformin resulted in a 32% lower risk of developing any T2DMrelated end point, including microvascular and macrovascular complications. Metformin treatment also resulted in statistically significant reductions in all-cause mortality, and in the rates of myocardial infarction and composite macrovascular diseases. Metformin treatment minimally lowered the rate of progression of retinopathy.

The findings of the UKPDS studies supported the conclusion of the DCCT that tighter glycaemic control improves diabetes mellitus-related outcomes, but left many questions. The absolute reduction in the risk of microvascular outcomes with the use of sulfonylurea was modest and was coupled with statistically significant hypoglycaemia and weight gain. Treatment modality seems to be important, as sulfonylureas and insulin improved microvascular outcomes, whereas metformin improved macrovascular outcomes despite achieving similar  $HbA_{1c}$  levels. The persistent reduction in microvascular outcomes and small but statistically significant differences in the occurrence of myocardial infarction and death from any cause were seen in a 10-year observational extension of the UKPDS $^{24}$ . As such, the UKPDS findings support the DCCT–EDIC findings that early control could have lasting effects on diabetic complications, but the UKPDS findings also suggest that the medications utilized dictate outcomes.

#### **Action to Control Cardiovascular Risk of Diabetes.**

The aim of the Action to Control Cardiovascular Risk of Diabetes (ACCORD) trial was to examine the effects of intensive treatment in patients with long-standing T2DM and high cardiovascular risk<sup>25</sup>. Patients were randomized to intensive treatment that aimed for an HbA<sub>1c</sub> of <6%, or to standard treatment that aimed for an HbA<sub>1c</sub> of 7–7.9%. These targets were pursued with differential application of oral anti-hyperglycaemic agents, insulin, glucose monitoring and follow-up. The primary outcome of the ACCORD trial was the first occurrence of non-fatal myocardial infarction, non-fatal stroke or death from cardiovascular causes. At 1 year after randomization,  $HbA_{1c}$  had stabilized at 6.4% and 7.5% in the intensive treatment and standard treatment groups, respectively.

Intensive treatment resulted in statistically significantly higher rates of hypoglycaemia, weight gain and fluid retention. Moreover, the intensive treatment group had a statistically significantly higher mortality than the standard treatment group (TABLE 1; Supplementary Table 1). Thus, the study was terminated early. Long-term follow-up did not identify

improved outcomes for intensive control in participants, although trends towards a lower risk of non-fatal myocardial infarction persisted<sup>26</sup>. Many mechanisms have been proposed for this higher mortality without strong evidence of causation, including the rate or the magnitude of the reduction in  $HbA_{1c}$ , the occurrence of hypoglycaemia and interaction between drug classes. Regardless, the ACCORD trial was the first study to identify harms, specifically death, as a consequence of intensive glucose lowering with an  $HbA<sub>1c</sub>$  target of  $<$ 6%.

#### **The ADVANCE trial.**

Similar to the ACCORD trial, the aim of the Action in Diabetes and Vascular Disease: Preterax and Diamicron Modified Release Controlled Evaluation (ADVANCE) trial was to determine whether intensive glucose control to a target of 6.5% influenced the risk of complications in T2DM. The ADVANCE trial recruited geographically more broadly than the ACCORD trial and enrolled patients at high cardiovascular risk with long-standing T2DM<sup>27</sup>. At the end of the follow-up period, mean  $HbA_{1c}$  values were statistically significantly different between the two arms, with  $HbA_{1c}$  of 6.5% and 7.3% for the intensive and standard treatment groups, respectively. A small but statistically significant difference in the composite end point of the incidence of major microvascular and macrovascular events between groups was observed: 18.1% in the intensive treatment group versus 20% in the standard treatment group. Microvascular events, particularly new-onset or progression of nephropathy, contributed disproportionately to this difference, as no differences were observed in the incidence of macrovascular events or death between the groups. Moreover, no differences in retinopathy or mortality were observed during long-term follow-up<sup>28</sup> (TABLE 1; Supplementary Table 1). Unlike the ACCORD trial, this trial did not identify an increased risk of death as a potential harm of intensive treatment. However, the ADVANCE trial also did not confirm major benefits in pursuing the intensive treatment goals, at least in comparison with moderate control (that is,  $HbA_{1c}$  7.3%).

#### **The Veterans Affairs Diabetes Trial.**

The Veterans Affairs Diabetes Trial (VADT) was designed to evaluate the effect of intensive control on macrovascular complications in older patients with long-standing T2DM and complications29,30. The VADT randomized military veterans with poorly controlled T2DM (HbA<sub>1c</sub> >7.5%) to either intensive treatment (HbA<sub>1c</sub> target of <6% achieved with two oral agents and insulin) or standard treatment  $(HbA_{1c}$  target of <9%)<sup>31</sup>. The primary outcome was the time to the first occurrence of a major cardiovascular event<sup>31</sup>. VADT had a goal of 1.5% difference in  $HbA_{1c}$  levels between the treatment groups, which was achieved, with the intensive group approaching an  $HbA_{1c}$  of ~7%. Both treatment groups had fewer than predicted cardiovascular events, but no difference was observed in the time to the first occurrence of a major cardiovascular event. Of note, intensively treated individuals had statistically significantly more episodes of hypoglycaemia and more serious adverse events than the standard treatment group. The only statistically significant differences observed in microvascular events was a lower risk of progression of albuminuria in the intensive treatment group (TABLE 1; Supplementary Table 1).

At 10 years, intensive treatment was found to have resulted in a small but statistically significant 17% relative reduction in the time to first major cardiovascular event, suggesting a potential legacy effect<sup>32</sup>. This reduction was not maintained at 15 years, when the separation of  $HbA_{1c}$  levels between the intensive and standard treatment groups had vanished $33$ . These data indicate that long-term glycaemic control might result in a small reduction in the risk of cardiovascular events, but not mortality; however, the reduction in cardiovascular risk wanes as glycaemia worsens.

#### **Interpreting the trials as a whole.**

The marked differences in the design and results of these trials make synthesizing their outcomes into a single, cohesive  $HbA_{1c}$  target challenging. Overall, these data create a consistent picture that microvascular risk reduction is an expected benefit of more intensive treatment aiming for  $HbA_{1c}$  levels of  $\leq 6-7\%$ , with the greatest absolute risk and risk reduction occurring in patients with high  $HbA_{1c}$  levels at baseline (>9%). As  $HbA_{1c}$ approaches 7%, further  $HbA_{1c}$  lowering provides diminishing returns, in part because the absolute risk of complications is low at this level of  $HbA_{1c}$ . Whether these microvascular benefits are clinically important varies based on baseline  $HbA_{1c}$ , the change in  $HbA_{1c}$ , and the duration of intervention.

The case for aiming for a near-normal  $HbA_{1c}$  to achieve cardiovascular benefit is much less convincing34. The cause of the excess mortality in the ACCORD trial remains unclear; however, this excess mortality was probably unrelated to the HbA<sub>1c</sub> level achieved but could have been associated with the method used to lower glucose levels<sup>35</sup>. The findings of the UKPDS lend further support to the notion that outcomes might be related to the specific methods or medications employed to lower blood glucose concentrations. Finally, observational extensions of several of these studies support the existence of a legacy effect or metabolic memory, suggesting that good glycaemic control achieved in the first decades of disease could provide sustained benefits over time. As such, despite these well-designed, well-executed trials,  $HbA<sub>1c</sub>$  targets remain controversial and interpretation of these trials has led to conflicting guidelines.

# **Current guidelines**

Three US-based societies offer updated guidelines for  $HbA_{1c}$  targets: the American Diabetes Association (ADA), the American Association of Clinical Endocrinologists (AACE)–American College of Endocrinology (ACE), and the American College of Physicians (ACP)<sup>36–38</sup>. Additional guidelines are available from other US-based and international health organizations<sup>39–42</sup>. There are consistencies in the recommendations of these guidelines. For example, they all recommend that individualized  $HbA<sub>1c</sub>$  targets be established based on the patient's age, life expectancy, comorbidities and risk of hypoglycaemia. In general, an  $HbA_{1c}$  of <8% should be achieved in all individuals, unless they have substantially reduced life expectancy or the method employed will result in unacceptable consequences such as cost, complexity or hypoglycaemia. The guidelines (summarized in TABLE 2) diverge on the  $HbA<sub>1c</sub>$  target that should be aimed for in healthy individuals.

The ADA posits that an  $HbA_{1c}$  of <7% is a reasonable target for most non-pregnant adults, citing lower microvascular complications in patients who achieve this target<sup>36</sup>. However, they note a much smaller absolute reduction in risk in patients with microvascular disease with an  $HbA_{1c}$  of <6.5% and suggest that this level is an acceptable target only if it can be achieved without resulting in clinically significant hypoglycaemia or other adverse effects. The ADA cautions against aggressively reducing  $HbA<sub>1c</sub>$  in patients with high cardiovascular risk, reflecting the increased mortality observed in the ACCORD trial. In addition, the ADA offers different targets for older patients, with  $HbA_{1c}$  <8% being advised for healthy patients over 65 years of age and <8.5% for older patients with notable comorbidities. Of note, the recommendations of the European Association for the Study of Diabetes  $(EASD)$  align with those of the  $ADA^{43}$ . Most importantly, however, both the ADA and EASD qualify the  $HbA_{1c}$  targets in the setting of atherosclerotic cardiovascular disease, chronic kidney disease and heart failure in stating that "the decision to treat with a GLP1 receptor agonist or SGLT2 inhibitor to reduce major adverse cardiovascular events, heart failure hospitalization, cardiovascular death, or chronic kidney disease progression should be considered independently of baseline  $HbA_{1c}$  or individualized  $HbA_{1c}$  target<sup>143</sup>.

Although the target is slightly lower, the AACE–ACE and ADA guidelines are generally similar. For example, the AACE–ACE guidelines recommend individual targets but suggest that most patients should aim for an  $HbA_{1c}$  of <6.5% if safe and affordable<sup>37</sup>. This target is set to reduce the lifetime risk of microvascular and macrovascular events in individuals with recent onset diabetes mellitus and no cardiovascular risk. Less stringent targets are set for older individuals and patients with hypoglycaemia.

Conversely, the ACP guidelines strongly recommend an  $HbA_{1c}$  target between 7% and 8%<sup>38</sup>. The ACP approached the guidelines as a systematic review, collecting and rating the available guidelines<sup>36,37,39–42</sup> to form a guidance statement<sup>38</sup>. All guidelines included in the ACP review recommend an  $HbA_{1c}$  of 7% or less for most individuals. However, the ACP suggests a target between 7% and 8% for most individuals, citing evidence that targets of less than 7% do not reduce death or macrovascular outcomes. Hypoglycaemia and increased risk of death were named as concerns for targets less than  $7\%$ . No  $HbA<sub>1c</sub>$  target is suggested for patients who are 80 years of age or older, reside in a nursing home, or have chronic conditions (for example, dementia, cancer, end-stage kidney disease, severe chronic obstructive pulmonary disease or heart failure) that considerably limit life expectancy. They suggest that more aggressive targets might be acceptable for patients with more than 15 years life expectancy and who understand the risk of harms, "including but not limited to hypoglycaemia, patient burden, and pharmacologic costs".38 Although the AACE–ACE guidelines recommend a target of <6.5%, the ACP's third guidance statement suggests that if an  $HbA_{1c}$  of <6.5% is achieved, physicians should consider de-intensification of pharmacological therapy to reduce cost, patient burden and risk of hypoglycaemia.

Globally, hyperglycaemia management guidelines with regard to targets substantially overlap. The differences are due to varying opinions on how best to personalize targets; however, this has not been examined in trials and is informed by observational data. Regardless, the discordant nature of the guidelines is confusing for clinicians and patients<sup>44</sup>.

The question thus remains: is there an 'ideal' target and if not, how do we individualize  $HbA<sub>1c</sub>$  targets?

# **Epidemiology of HbA<sub>1c</sub>**

#### **At what HbA1c levels are outcomes affected — how low should we go?**

Large meta-analyses and observational studies have provided insight into the  $HbA_{1c}$ levels at which the rate of macrovascular outcomes is affected. For example, metaanalyses of randomized controlled trials have suggested that intensive treatment decreases macrovascular events in patients with  $T2DM^{45,46}$ . Consistently, in a cohort of  $>200,000$ patients with T2DM registered in the Swedish National Diabetes Register,  $HbA_{1c}$  above 7% was strongly associated with risk in all outcomes, including death from any cause, stroke, myocardial infarction and heart failure<sup>47</sup>. However, studies have also demonstrated a U-shaped relationship between  $HbA_{1c}$  and all-cause mortality and cardiac events<sup>48,49</sup>. One retrospective study of >900,000 patients with diabetes mellitus in the US Veterans Affairs Healthcare System demonstrated increasing cardiovascular disease-related death as  $HbA_{1c}$ exceeded 7%<sup>50</sup>. Yet, an HbA<sub>1c</sub> of <6% was associated with higher all-cause mortality compared with an  $HbA_{1c}$  between 6% and 6.9%, suggesting that a narrow range of  $HbA_{1c}$ provides the most clinical benefit in patients with T2DM.

To complicate matters further, it has long been appreciated that timing of glycaemic control might affect outcomes. As mentioned above, studies from the DCCT–EDIC and UKPDS suggest that early, intensive control could have lasting benefits, an outcome termed 'metabolic memory' or the 'legacy effect'<sup>15,24</sup>. The findings of observational studies support this hypothesis. For example, among patients with newly diagnosed T2DM, an  $HbA_{1c}$ of >6.5% in the first year after diagnosis was associated with a higher risk of diabetic complications than an HbA<sub>1c</sub> of <6.5%<sup>51</sup>. Furthermore, an HbA<sub>1c</sub> of >7% in the first year was associated with an increased risk of future mortality<sup>51</sup>. These data suggest that early, tight control is critical in diabetes mellitus management. However, it is not possible to determine whether these differences were due to differences in disease severity at the time of diagnosis, patient or provider characteristics, or genetic factors influencing HbA<sub>1c</sub>.

Multifactorial intervention trials have been designed to determine whether the predictive value of  $HbA_{1c}$  is improved by other factors. These trials are also affected by variable findings. For example, in the Steno-2 trial, 160 patients with T2DM were randomized to conventional treatment based on local guidelines ( $HbA<sub>1c</sub> < 7.5%$  for the majority of the trial) or intensive treatment aiming for an  $HbA_{1c}$  of <6.5% and tight blood pressure and cholesterol control<sup>52</sup>. Very few patients had achieved the target  $HbA_{1c}$  by the end of the trial, with patients achieving  $HbA_{1c}$  of 9.0% and 7.8% in the conventional and intensive treatment groups, respectively<sup>52</sup>. Patients in the intensive intervention group exercised more as recommended and achieved lower blood pressure, total cholesterol and LDL cholesterol than those in the conventional treatment group. Importantly, the intensive intervention reduced the risk of cardiovascular and microvascular events substantially, despite these patients achieving  $HbA_{1c}$  only modestly below 8%, suggesting that  $HbA_{1c}$  alone might not be the biggest predictor of outcomes<sup>52</sup>. These differences were maintained at 13 years follow-up, despite convergence of  $HbA_{1c}$ , blood pressure and cholesterol levels<sup>53</sup>.

Less impressive effects were seen in the Japan Diabetes Optimal Treatment study for three major risk factors of cardiovascular disease (J-D OIT3) trial. In the J-DOIT3 trial in patients with T2DM the target  $HbA_{1c}$  was <6.9% with similar blood pressure and lipid targets as in the Steno-2 trial; however, J-D OIT3 demonstrated minimal long-term benefit<sup>54</sup>. The HbA<sub>1c</sub> achieved in J-DOIT3 was 6.8% in the intensive treatment group as compared with 7.2% in the conventional treatment group. Moreover, blood pressure and LDL were statistically significantly lower in the intensive treatment group. No difference was seen in the primary outcome of major cardiovascular events, although post-hoc analysis revealed a reduction in cerebrovascular events in the intensive treatment group<sup>54</sup>. Of note, the conventional treatment group in the J-DOIT3 trial achieved good glycaemic control, and the limited contrast in  $HbA_{1c}$  between the treatment groups constrains conclusions; however, the study does suggest that a modest reduction in  $HbA_{1c}$  near 7% is associated with minimal benefit.

Perhaps more importantly, these studies recognize that  $HbA_{1c}$  is not the only predictor of macrovascular outcomes and acknowledge other modifiable risk factors, such as blood pressure and cholesterol levels<sup>52,54</sup>. The findings of these observational studies support and extend suggestions from the randomized controlled trials. Namely, it seems that attaining an average  $HbA_{1c}$  of 7.5% over decades is associated with generally good outcomes. Furthermore, early efforts at glycaemic control are associated with improvements in long-term prognosis. In addition, even when excellent glycaemic control is not achieved, good cardiovascular risk management is associated with improved outcomes for both cardiovascular and microvascular disease. However, an  $HbA_{1c}$  of <7% in epidemiological studies is not consistently associated with better outcomes in patients with T2DM.

Similarly, large cohort studies in patients with T1DM have suggested that  $HbA_{1c}$  is a strong predictor of death, myocardial infarction and stroke, together with albuminuria, duration of T1DM, blood pressure and LDL cholesterol<sup>55</sup>. In observational studies with up to 25 years follow-up in Swedish patients with T1DM, no patients with a long-term weighted mean  $HbA_{1c}$  of <7.6% developed proliferative retinopathy or persistent macroalbuminuria<sup>56</sup>. As the development of kidney disease is strongly correlated with mortality in patients with diabetes mellitus, these data indicate that maintenance of a mean  $HbA_{1c}$  of <7.6% over time could reduce mortality but provide no indication that normalizing  $HbA_{1c}$  improves outcomes<sup>56,57</sup>.

#### **What HbA1c level is achieved?**

The National Health and Nutrition Examination Survey (NHANES) has examined the prevalence and control of diabetes mellitus over the last three decades. These nationally representative cross-sectional studies suggest that the results of the DCCT reported in 1993 and the subsequent associated guidance to aim for an  $HbA_{1c}$  of <7% impacted national diabetes mellitus care. For example, the proportion of individuals with diabetes mellitus who achieved an  $HbA_{1c}$  of <7% improved from 43.2% in 1989–1994 to 57.0% in 2003– 2006 (REF.<sup>2</sup> ). However, the publication of the ACCORD, ADVANCE and VADT trials in 2008 and subsequent modifications in guidance towards greater individualization was temporally associated with a decline in the proportion of patients with diabetes mellitus achieving an HbA<sub>1c</sub> of <7% to 50.8% in 2011–2014 (REFS<sup>2,58</sup>). A similar trend was seen

for the prevalence of individuals with an  $HbA_{1c}$  of <8%, with a peak of well above 75% of people with diabetes mellitus achieving this target in 2003–2006, followed by a decline to 70% in 2011–2014 (REF.<sup>2</sup>). These observations were confirmed and extended by the US Healthcare Effectiveness Data and Information Set. This tool includes data from over 1,000 health plans covering more than 171 million people, and demonstrated in 2014 that only 40% of commercially insured health-care maintenance organization patients and 30% of government-insured patients achieved an  $HbA_{1c}$  of  $\langle 7\%^{59}$ . More disturbingly, younger patients (aged 20–49 years) were significantly less likely to achieve an  $HbA_{1c}$  of <7% than older adults (aged >75 years), which amplifies the long-term risk of poor outcomes in the young and could amplify the risk of adverse events in older people<sup>2</sup>.

At least in the clinical trial setting, an  $HbA_{1c}$  of <7% can be achieved with minimal pharmacotherapy by most patients with recent onset T2DM. For example, more than 70% of patients with an  $HbA_{1c}$  between 7% and 10% on no baseline pharmacotherapy who were randomized to subcutaneous semaglutide (0.5 or 1.0 mg weekly) had achieved an HbA<sub>1c</sub> of <7% after 30 weeks<sup>60</sup>. Furthermore, in trials, even patients with T2DM treated with basal insulin at baseline can achieve an  $HbA_{1c}$  of  $\langle 7\%$  with the addition of non-insulin pharmacotherapy. For example, 79% of Japanese patients with T2DM and an HbA1c between 7% and 10% treated with stable basal insulin with or without metformin at baseline achieved an  $HbA_{1c}$  of <7%, with the addition of subcutaneous semaglutide 1.0 mg weekly<sup>61</sup>. These examples suggest that  $HbA_{1c}$  targets are attainable. However, confusion about appropriate glycaemic targets, cost, access to health care, or other factors, are barriers that dominate the landscape of diabetes care.

# **Limitations of HbA**<sup>1c</sup>

The utility of  $HbA_{1c}$  as a marker for glycaemic control and diabetes mellitus outcomes is unassailable, but  $HbA_{1c}$  does have limitations. Years ago, variability within and among testing methods limited the clinical utility of  $HbA_{1c}$  (REF.<sup>62</sup>). Considerable efforts to standardize the measurement of  $HbA_{1c}$  have been essential to its rise as the gold standard for measuring glycaemic control<sup>63</sup>. Some variability remains across laboratories and different methods of measuring glycated haemoglobin; however,  $HbA<sub>1c</sub>$  is certainly more consistently and reproducibly measured than glucose. Moreover, it is perhaps under-appreciated that a wide range of mean glucose values can be associated with a given  $HbA_{1c}$  level<sup>64–66</sup>.

#### **Variability between HbA1c and glucose concentration.**

Continuous glucose monitors (CGM; discussed in more detail later) demonstrate variability in the correlation between  $HbA_{1c}$  and average CGM-measured glucose concentrations<sup>67,68</sup>. This variability could reflect imprecision in CGM measurements. One analysis compiled data from three randomized trials using CGM to determine the relationship between  $HbA_{1c}$ and mean glucose concentration in patients with  $T1DM<sup>67</sup>$ . The analysis showed that the 95% confidence interval for mean glucose concentration for an  $HbA_{1c}$  level of 8.0% substantially overlapped that for  $HbA_{1c}$  levels of 7.0% and 9.0%<sup>67</sup> (FIG. 1). Thus, an  $HbA_{1c}$  of 8% could represent good, moderate or poor glycaemic control as determined by CGM. This finding is not surprising, as any mean is susceptible to outliers and can be associated with

a wide distribution of values to achieve the same mean; however, glycaemic variability and imprecision in CGM data does not tell the whole story.

#### **Genetic and biological factors.**

In addition to mean glucose over time, genetic and biological factors affect haemoglobin glycation<sup>69</sup>. Studies in twins have suggested that population variance in  $HbA_{1c}$  levels is largely genetically determined<sup>70</sup>. Differences in red blood cell lifespan, erythrocyte membrane permeability and glucose variability affect haemoglobin glycation<sup>65,71,72</sup>. Additionally, conditions that affect haemoglobin will also affect its glycation, such as haemolysis, anaemia, transfusions and haemoglobinopathies.  $HbA<sub>1c</sub>$  can therefore be an inaccurate surrogate for average blood glucose concentrations in certain individuals and populations. Patients with kidney disease have reduced red blood cell survival, which artificially lowers  $HbA_{1c}$  (REF.<sup>73</sup>). Conversely, iron deficiency has been associated with increased  $HbA_{1c}$  (REF.<sup>64</sup>). Moreover, liver disease, which affects protein synthesis and causes anaemia, makes glycated haemoglobin an unreliable marker of glycaemia<sup>74</sup>. In addition, conditions such as haemolytic anaemia, thalassaemia and pregnancy will also alter erythrocyte lifespan and affect  $HbA_{1c}$  interpretation<sup>75,76</sup>.

Even in the absence of these conditions,  $HbA_{1c}$  can be misleading as an index of average glycaemia. For example, in cystic fibrosis-related diabetes,  $HbA_{1c}$  underestimates the degree of hyperglycaemia<sup>77</sup>. Additionally, older age is associated with higher  $HbA_{1c}$  for unclear reasons, although this effect is thought to be independent of glycaemia<sup>78</sup>. A study in middleaged and older Chinese individuals without a prior diagnosis of diabetes mellitus suggests that age-related increases in  $HbA_{1c}$  are associated with decreased erythrocyte count<sup>79</sup>. When data were adjusted for erythrocyte count, the negative association between age and  $HbA_{1c}$ disappeared.

Although contentious, evidence suggests that race and ethnicity can affect  $HbA_{1c}$ . For example, several studies have demonstrated that  $HbA_{1c}$  overestimates mean blood glucose concentrations in Black American individuals compared with white American individuals $80,81$ . Similar trends have been demonstrated in other racial–ethnic groups even after adjustment for factors that affect glycaemia<sup>81</sup>. Whether these differences are clinically meaningful remains controversial $82,83$ . Studies directly comparing the prognostic value of clinical categories of  $HbA_{1c}$  across ethnicities have found no differences in the association of  $HbA_{1c}$  with retinopathy<sup>84</sup>. Others have indicated that retinopathy begins at lower  $HbA_{1c}$  levels in Black American individuals than in white American individuals<sup>85</sup>. These studies provide evidence against setting a higher threshold for treatment of Black American people or other ethnic groups. Moreover, considerable and appropriate concern exists that interpretation of HbA<sub>1c</sub> differently for racial–ethnic minorities will increase health disparities<sup>86</sup>. Prior to use in clinical decision-making, more basic and clinical research is necessary to identify and understand specific genetic and socially-mediated risk that collectively result in racial–ethnic differences in  $HbA_{1c}$ .

Many investigators have attempted to understand how to assess variations in  $HbA_{1c}$  as compared to mean glucose concentrations between individuals. One proposed method is the haemoglobin glycation index (HGI), an index of the difference between observed  $HbA_{1c}$ 

and the  $HbA_{1c}$  predicted from mean plasma glucose or FPG concentrations, which might potentially be used to quantify interindividual differences in  $HbA_{1c}$  that are independent of glucose concentration<sup>87,88</sup>. A patient with a high HGI would have a higher  $HbA_{1c}$  than that predicted from glucose measurements. Numerous observational studies have demonstrated associations between high HGI and vascular complications, suggesting that the biological determinants of haemoglobin glycation affect outcomes independent of glycaemia<sup>88–90</sup>. Therefore, we suggest that lowering blood glucose concentrations, particularly with insulin, in patients with high HGI might result in unexpected hypoglycaemia. Evidence for this hypothesis has been provided by an analysis of intensive versus standard treatment in the ACCORD trial by HGI tertile, which demonstrated increasing frequency of adverse events and mortality with higher  $HGI<sup>91</sup>$ . Furthermore, machine learning analysis of the ACCORD trial data suggested that HGI could help individualize treatment and identify patients who might benefit from more intensive  $HbA_{1c}$  targets and patients in whom an intensive glycaemic control strategy could cause notable harm<sup>92</sup>. However, analysis of the ADVANCE trial data demonstrated that HGI predicted the risk for complications, but was not better than HbA<sub>1c</sub> (REF.<sup>93</sup>). These issues and others have stalled the clinical use of HGI; however, further investigation is warranted to tailor  $HbA_{1c}$  targets for individuals as suggested by the guidelines.

#### **Short-term variations in glucose.**

Another limitation of  $HbA_{1c}$  is that it cannot assess short-term variations in glucose (that is, variations over 2–4 weeks). As observed in many studies (for example, ACCORD and VADT) in which insulin and sulfonylureas were used in glycaemic management, dose titration to achieve a prespecified lower  $HbA_{1c}$  target results in significantly more hypoglycaemic episodes in intensively treated individuals<sup>25,31</sup>. These data demonstrate that adjusting anti-hyperglycaemic medications, particularly insulin, requires careful attention to daily blood glucose levels to mitigate hypoglycaemia.

#### **Glycaemic variability.**

Finally, some literature suggests that beyond  $HbA_{1c}$ , glycaemic variability drives the risk of complications. This variability can be within-day (for example, postprandial hyperglycaemia), between days (for example, variability in fasting glucose), or even over years (including variability over time in  $HbA_{1c}$  levels within a patient).  $HbA_{1c}$  variability has been associated with both microvascular and macrovascular complications and mortality independently of average  $HbA_{1c}$  level<sup>94</sup>. Studies to date have been limited with respect to adjustment for confounding but suggest that this is another area for debate and investigation.

#### **What does this mean for HbA1c targets?**

How should we incorporate these limitations with regard to the topic at hand  $-$  HbA<sub>1c</sub> targets? First, arguably the precision, accuracy and reproducibility of the  $HbA_{1c}$  test is excellent and exceeds that of all other measures of glycaemia, including plasma glucose<sup>95</sup>. Second, in some patients, the  $HbA_{1c}$  measure is known to not accurately reflect mean glycaemia. At extremes, the  $HbA_{1c}$  test would be inappropriate, such as after a blood transfusion. In particular, when using insulin as a treatment, the  $HbA<sub>1c</sub>$  test alone is clearly inadequate for decision-making with regard to short-term medication adjustment,

and routine glucose monitoring is recommended to prevent hypoglycaemia. Arguably, when using medications not associated with risk of hypoglycaemia, adjusting medications solely on the basis of  $HbA<sub>1c</sub>$  level is reasonable. The rationale being that in the trials and their subsequent epidemiologic analyses that demonstrated microvascular benefits of glucose lowering (TABLE 1; Supplementary Table 1), it was the  $HbA<sub>1c</sub>$  level that was aimed for and it was the  $HbA_{1c}$  level achieved that was associated with benefits. Of note, we argue that the variance in average glycaemia demonstrated by CGM between individuals with the same HbA<sub>1c</sub> level is not a fatal flaw of the HbA<sub>1c</sub> test<sup>67</sup>. This variance is in part due to limitations of the  $HbA_{1c}$  test and in part due to limitations of CGM. In most patients, the variance in mean glucose concentrations from the population mean is modest. And most importantly, in trials,  $HbA<sub>1c</sub>$  has been well validated both as a predictor of clinical outcomes and as a target for glycaemic interventions (TABLE 1; Supplementary Table 1) and is certainly easier and cheaper to obtain than frequent glucose measures<sup>64</sup>. Additional information is certainly obtainable from other measures. However, the  $HbA_{1c}$  test for now is the one test with prospective randomized clinical trial data that validate its effectiveness as a measure to monitor glycaemic control.

# **Alternatives to HbA<sub>1c</sub>**

Self-monitored blood glucose (SMBG) continues to be a commonly used tool in the assessment of glycaemia. Its utility in the management of insulin-treated patients with diabetes mellitus is incontrovertible. SMBG provides a single snapshot of blood glucose concentration as the levels fluctuate over time. By contrast, the  $HbA<sub>1c</sub>$  test provides an index of the overall effect of glucose on a tissue (that is, red blood cells) over months. These tests are complementary and are not substitutes for one another.

Glycated serum proteins have been proposed as an alternative to  $HbA<sub>1c</sub>$ . Serum proteins are not affected by erythrocyte turnover and as such are not influenced by conditions such as haemolysis and anaemia, or by blood transfusions. These proteins turn over more rapidly than red blood cells, and thus they can represent a shorter period of mean glucose levels  $(2-3$  weeks)<sup>64</sup>. Fructosamine and glycated albumin are both ketoamines, which form when glucose binds serum proteins in a non-enzymatic process<sup>96</sup>. Fructosamine assays measure all glycated serum, which in human serum largely reflects the levels of glycated albumin, as glycated albumin makes up about 80% of all glycated proteins<sup>96</sup>. Glycated albumin can also be reported as the proportion of total albumin. Fructosamine and glycated albumin are strongly associated with  $HbA_{1c}$  and fasting blood glucose<sup>96</sup>. These tools can be useful in practice in patients for whom  $HbA_{1c}$  might not be accurate or where detection of rapid changes in glycaemia are necessary (for example, when adjusting medication dosage). However, widespread use has been hampered by lack of trial-driven treatment targets and assay standardization<sup>64</sup>.

1,5-Anhydroglucitol (1,5-AG), a six-carbon monosaccharide that competes with glucose for renal reabsorption, has also been studied as an adjunctive measure to assess glycaemic excursions in combination with  $HbA_{1c}$  (REFS<sup>97,98</sup>). During periods of hyperglycaemia that are in excess of the renal threshold for glucose reabsorption, tubular glucose competes with 1,5-AG for reabsorption, leading to a reduction in 1,5-AG in serum. Despite similar

HbA1c and FPG values, patients treated with multiple daily insulin injections demonstrated fewer glycaemic excursions as measured by SMBG and significantly higher serum 1,5-AG concentrations compared with patients on basal insulin alone, thereby suggesting a role for the molecule as an index of postprandial glycaemic control throughout the  $day^{99}$ . Further studies have confirmed that 1,5-AG reflects glycaemic excursions more robustly than  $HbA_{1c}$ or fructosamine, making it a potentially valuable complementary measure in the assessment of glycaemic control<sup>100</sup>. 1,5-AG was approved for use as a short-term marker of glycaemic control in diabetes mellitus in the USA in 2003, but has not been widely adopted $97$ .

#### **Continuous glucose monitoring.**

The increasing availability and affordability of CGM has offered new insights into individual glycaemic patterns. If SMBG is a snapshot of glucose concentration at one specific moment, CGM is comparable to a movie of glucose fluctuations over time. CGM provides opportunities to evaluate glycaemic excursions, hypoglycaemia and hyperglycaemia, and daily patterns, thereby enabling subtle changes in treatment regimens in a way that was not previously possible. One particularly useful measure that CGM has identified is the time spent in the target glucose range (usually 70–180 mg/dl), referred to as time in range (TIR); another measure is time in ranges (TIRs), which includes TIR, time above range and time below range $101$ . TIRs provides information about the frequency and duration of hypoglycaemia or hyperglycaemia and gives an overall assessment of glycaemic control.

Importantly, TIR has also been shown to be strongly associated with outcome measures. For example, an elegant analysis of data from patients with T1DM in the DCCT was able to validate TIR as an outcome measure for clinical trials<sup>102</sup>. Every quarter during the DCCT, seven blood fingerstick samples were collected (before meals, after meals, and at bedtime) and glucose concentrations were measured at a central laboratory. These data were analysed to calculate TIR (70–180 mg/dl) and regression models were used to assess the effects of TIR on the primary outcomes of DCCT, that is, retinopathy and microalbuminuria102. In patients with evidence of developing retinopathy, TIR was 32% compared with 44% in those with no evidence of retinopathy<sup>102</sup>. The results were strikingly similar for microalbuminuria (TIR 32% versus 42% in patients with and without microalbuminuria, respectively). Of note, 10% lower TIR was associated with 64% and 40% increases in the adjusted hazard ratios for retinopathy and microalbuminuria, respectively. Studies have also demonstrated a similar association between TIR and diabetic retinopathy in  $T2DM^{103}$ .

CGM can be used to assess the correlation between the mean interstitial glucose concentration and  $HbA_{1c}$ . Formulas have been validated that determine the glucose management index (formerly known as estimated  $HbA_{1c}$ ), which provides an expected  $HbA_{1c}$  level from CGM-derived mean glucose concentration<sup>67,104</sup>. A glucose management index that does not match measured  $HbA_{1c}$  might suggest the need for alternative  $HbA_{1c}$ targets than those expected based on guidelines.

The measurement of TIRs has also been shown to empower individuals and help them manage their diabetes mellitus on a day-to-day basis  $105$ . For example, high and low glucose alerts can help patients adjust their insulin regimen, avoid hypoglycaemia, and increase awareness of nocturnal hypoglycaemia and hyperglycaemia. In these ways, CGM has

been shown to increase hypoglycaemic confidence and decrease distress associated with diabetes mellitus, thus improving diabetes-related quality of life<sup>106</sup>. However, CGM, too, has limitations. CGM measures glucose concentrations in interstitial fluid, which can vary from plasma glucose levels. Interpretation of CGM takes experience and is often difficult for health-care providers, and its clinical impact can therefore be variable  $107$ . Many patients can be overwhelmed by the data, rather than empowered. Most importantly, even in countries where  $HbA_{1c}$  is considered inexpensive, CGM remains unaffordable for most<sup>108</sup>. In the USA, CGM is not generally funded by insurers for T2DM, except in a patient using multiple daily injections of insulin and frequent blood glucose monitoring<sup>109</sup>.

#### **Summary.**

These alternative biomarkers and technologies are useful in particular contexts, but also have substantial limitations, which are largely outside the scope of this review. However, it remains clear that no single alternative is positioned to replace  $HbA_{1c}$  for assessing glycaemic control in most patients with diabetes mellitus. Both SMBG and CGM have a clear role in the management of diabetes mellitus, particularly in intensively treated patients treated with insulin in the context of team-based care. Glycated serum proteins are highly useful in certain patients and situations but have not been widely validated either as markers for outcomes or as targets in prospective studies<sup>96</sup>. As a measure of overall glycaemia and target for treatment, the  $HbA_{1c}$  test has myriad advantages over CGM including cost, patient burden, provider burden, precision, accuracy, reproducibility and a much larger evidence base supporting its use. That said, CGM provides much greater granularity regarding moment-to-moment glycaemic control. As technology improves and becomes more affordable, with the appropriate outcome trials, CGM could displace  $HbA<sub>1c</sub>$ as the optimal instrument, not only for patient self-management but also for guideline-driven advice from providers to target glycaemic control, particularly in patients with T1DM. For today, for most people with diabetes mellitus, the  $HbA_{1c}$  test remains the gold standard.

# **The elusive optimal HbA1c target**

Despite its shortcomings,  $HbA_{1c}$  is the best validated biomarker of glycaemic control for use as a prognostic factor of the complications of diabetes mellitus across the spectrum of disease. The remaining question is at what target should we aim?

Based on nearly three decades of accumulating data, we suggest that for people with diabetes mellitus and moderate life expectancies (10–25 years), achieving an average  $HbA_{1c}$ of 7.5% should minimize the risk of disabling microvascular complications. For patients with long life expectancies (for example, >30 years), one should aim to achieve near normal  $HbA<sub>1c</sub>$  as long as it can be practically and easily achieved without undue patient burden (such as cost or complexity) or notable adverse events. In most patients with T2DM early in the disease course, an  $HbA_{1c}$  of  $\lt 7\%$  is achievable and will be easier to achieve than later in the course of the disease (barring new developments in diabetes mellitus care). The overarching goal should be to maintain the average  $HbA_{1c}$  over the life-course at 7.5%. We would stipulate further that the effort to control glycaemia must be integrated into an overall programme of preventive care behaviours.

Finally, it is clear that the tools, including technology, pharmacotherapy and bedside manner, employed in managing diabetes mellitus are as important as the target in the effort to achieve the aim of lifelong optimal quality of life. In patients with T1DM, insulin is life-saving. In selected patients with T2DM, it is an acceptable option when used expertly, as using complex insulin regimens to pursue more stringent  $HbA<sub>1c</sub>$  targets in patients with T2DM might be associated with more harm than benefit. In patients with diabetes mellitus and diabetic kidney disease or heart failure, or who are at very high risk of cardiovascular events, an SGLT2 inhibitor and/or a GLP1 receptor agonist should be used in all patients, independently of  $HbA_{1c}$  target or level achieved. We do not favour the routine withdrawal of medications based on an  $HbA_{1c}$  level achieved, as suggested by the ACP<sup>38</sup>, although it is certainly appropriate in patients with any drug-related symptomatology, particularly weight gain or hypoglycaemia.

One reason to advocate with patients for an  $HbA<sub>1c</sub>$  target of <7% is to avoid therapeutic inertia. Data shows that in patients with T2DM with an  $HbA_{1c}$  of >7.0%, >7.5% or >8.0% on one oral anti-hyperglycaemic drug, the median times to drug intensification are 2.9, 1.9 or 1.6 years, respectively<sup>110</sup>. The time increases to  $>7$  years in patients on two oral anti-hyperglycaemic drugs<sup>110</sup>. Initiation of insulin took  $>6$  years in individuals on oral anti-hyperglycaemic agents<sup>110</sup>. Aiming for a more ambitious  $HbA_{1c}$  of <7% makes it more likely that the level achieved by a patient will be ~7.5% over time, and therefore more beneficial, than aiming for an  $HbA_{1c}$  of <8%. It is important to appropriately frame the result in each context. A target of <7% is a goal, aspiration and hope, but not a requirement. However, we suggest that an  $HbA_{1c}$  target of <8% should be considered a requirement in patients with a life expectancy of >10 years, as there are clear medium term measurable outcome differences in patients with persistent  $HbA_{1c}$  levels above and below 8%.

In patients with T1DM, CGM has quickly become the standard of care. TIR and glucose management index are being used in conjunction with  $HbA_{1c}$  to help optimize insulin regimens. In patients with T1DM, we advocate for the same targets  $(HbA<sub>1c</sub> < 7\%$  as an aspiration,  $HbA_{1c}$  <8% as a requirement) and arguably the evidence base for these targets is stronger<sup>13-15,17,18,20,55,56</sup>. Elimination of severe and asymptomatic hypoglycaemia is as important a goal as trying to achieve a lower  $HbA_{1c}$  or a higher TIR. CGM has also enabled major advances in insulin pump technology — hybrid closed loop systems — which are providing more TIR, whilst simultaneously reducing hypoglycaemia<sup>111</sup>. As these technologies become more advanced, there is the potential to nearly eliminate hypoglycaemia whilst achieving near normoglycaemia. Data from a 2019 study in individuals prior to the availability of this technology still suggest that aiming for an  $HbA_{1c}$ of <6.5% in patients with T1DM does not result in significant improvement in microvascular outcomes and might result in worse outcomes related to hypoglycaemia $^{112}$ .

## **Conclusions**

Unfortunately, disagreements between organizations and bluster among their advocates regarding specific  $HbA_{1c}$  targets has done little to inform clinical decision-making. Ultimately, as stated in the existing guidelines, glycaemic targets must be individualized. Shared decision-making between health-care providers and patients that is based on mutual

respect and an adequate understanding of the issues is essential<sup>113</sup>. A mutual understanding between patients and health-care providers of what a target means (aspirational target versus a requirement) is something that should be established. Plans should be in place for what occurs when a glycaemic target is reached. Frequent reviews of medications and potential adverse events of therapy are important.

Although an  $HbA_{1c}$  target of <7% is appropriate for most individuals, this target is set as an aspiration with the intention of having most individuals achieve a lifelong  $HbA_{1c}$ of 7.5%. We propose that targets should be more stringent in younger patients with long life expectancies with diabetes mellitus, due to the potential legacy effect (BOX 2) and the generally low risk of severe hypoglycaemia<sup>51</sup>. There is something to be said for aiming for 'better' (that is, any improvement as opposed to explicitly aiming for an  $HbA_{1c}$  of <7%) in patients who have chronically inadequately controlled hyperglycaemia. Although we reflect that the ADA target of <7% is still appropriate in patients with poor control (HbA<sub>1c</sub> >9%), aiming for modest improvements (0.5–1%) and incremental gains is optimal. Specifically, creating a flexible, iterative patient-specific plan where a goal is achieved is better than dogmatically sticking to guidelines. Much less-aggressive treatment is appropriate for patients with a life expectancy of <10 years, as complications take a long time to progress to consequences and the near-term risk of hypoglycaemia is arguably graver.

Hypoglycaemia avoidance should be as important a goal as hyperglycaemia avoidance at virtually every stage of life, but particularly in patients with a limited lifespan. This issue is most critical in the setting of T1DM, where hypoglycaemia is more common and more frequently severe<sup>114</sup>. However,  $HbA_{1c}$  levels substantially more than 8% are associated with progressive symptoms — polyuria, weight loss, fatigue, blurred vision, infections and thromboses. In frail older people and those with decreased life expectancy, carefully assessing symptoms and trying to find optimal care plans is just as challenging as the stringent efforts in young adults. With the availability of at least nine classes of medications that are not associated with hypoglycaemia approved for T2DM management, achieving targets without substantial hypoglycaemia risk is achievable in most patients with T2DM.

 $HbA<sub>1c</sub>$  is the gold standard for evaluating the medium term risk of complications related to hyperglycaemia. Adjunctive care with SMBG is essential in the setting of T1DM and CGM has emerged as an increasingly affordable and useful tool. No tool or technology will replace the art of helping patients determine their own personal goals and assess the determinants of their quality of life. Although  $HbA<sub>1c</sub>$  remains the general index of choice for monitoring glycaemia for most, health-care providers and their patients must work together to determine the appropriate  $HbA_{1c}$  target and optimal approach to its attainment.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Competing interests**

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#### **Key points**

- Glycated haemoglobin  $(HbA_{1c})$  targets are controversial due to conflicting results from large-scale clinical trials in patients with type 1 and type 2 diabetes mellitus.
- **•** Observational studies in patients with type 1 diabetes have shown that achieving an average  $HbA_{1c}$  of 7.5% over 25 years is associated with a low risk of disabling microvascular complications.
- **•** Data from large-scale outcome trials in patients with type 1 and type 2 diabetes mellitus have demonstrated that achieving an  $HbA_{1c}$  of ~7% is associated with microvascular benefit as compared with higher levels of  $HbA<sub>1c</sub>$ , but less clear evidence exists for macrovascular outcomes.
- Although it is the gold standard for monitoring glycaemic control, HbA<sub>1c</sub> has limitations that are not widely appreciated.
- **•** The advent of novel technology (especially continuous glucose monitors) and therapeutic agents (GLP1 receptor agonists and SGLT2 inhibitors) have created additional reasons for a more flexible approach to selecting  $HbA_{1c}$ treatment targets. No tool, technology or pharmacotherapy will replace the importance of shared decision-making based on mutual respect and understanding between patients and health-care providers to individualize  $HbA_{1c}$  targets.

#### **Box 1 |**

## **The history of HbA1c — a tool to measure glycaemic control**

The devastating cardiovascular and microvascular sequelae of diabetes mellitus became more apparent in the 1920s, after the diagnosis and prevalence of the disease increased, and the development of insulin extended survival  $115,116$ . At the time, whether these outcomes were a direct consequence of hyperglycaemia was difficult to determine due to the inability to track the glycaemic control of individuals over time. The discovery of  $HbA_{1c}$  changed the landscape of diabetes mellitus management by providing a tool to monitor overall glycaemia.

In the 1960s, fascinated by haemoglobin variants, Samuel Rahbar observed an unusual haemoglobin in patients with diabetes mellitus that made up 7.5–10.6% of total haemoglobin<sup>117,118</sup>. Normoglycaemic individuals also had this haemoglobin variant, but at consistently lower concentrations  $(4-6\%)^{117}$ . It was quickly established that this haemoglobin was haemoglobin A, the most common haemoglobin tetramer in red blood cells, with the addition of a hexose molecule. This haemoglobin variant was termed HbA<sub>1c</sub>. At the time, it was postulated that  $HbA<sub>1c</sub>$  could reflect blood sugar concentrations. Subsequent studies in diabetic mouse models revealed that increases in the percentage of  $HbA_{1c}$  occurred 3–4 weeks after the onset of hyperglycaemia in diabetic animals and declined with improved glycaemic control<sup>119</sup>. With these data in hand, Cerami and colleagues demonstrated that the  $HbA<sub>1c</sub>$  reflected urine glucose levels in humans<sup>120</sup>. Careful regulation of blood glucose concentrations in patients with diabetes mellitus normalized  $HbA_{1c}$  over 6 weeks<sup>120,121</sup>. It was thus acknowledged that a haemoglobin <6.0% was associated with normal glycaemia and, in 2010,  $HbA_{1c}$  = 6.5% was added to the diagnostic criteria for diabetes mellitus $^{122}$ .

With these studies, a new tool for monitoring glycaemic control was born. Commercial assays for measurement of  $HbA_{1c}$  were developed quickly. In 1985, the World Health Organization formally acknowledged the potential of  $HbA_{1c}$  and eventually updated their guidelines to include  $HbA_{1c} > 6.5\%$  as a diagnostic criterion for diabetes mellitus<sup>123,124</sup>. Defining optimal glycaemic and  $HbA_{1c}$  targets thus became an important focus of largescale clinical trials.

# **Box 2 |**

## **Long-term follow-up of major trials of glycaemic targets**

This box summarizes outcomes after long-term follow-up of the major trials of glycaemic targets and discusses the evidence for metabolic memory, or a legacy effect.

# **DCCT (1993)<sup>13</sup>**

- **EDIC** study of combined primary and secondary cohort<sup>15,18</sup>
- **•** Incidence of any CVD at 17 years and 30 years, respectively: RR 42% (95% CI 9–63%), RR 30% (95% CI 7–48%)
- **•** Incidence of non-fatal myocardial infarction, stroke or death from CVD at 17 years and 30 years respectively: RR 57% (95% CI 12–79%), RR 32% (95%  $CI - 3$  to 56%)

# **UKPDS (1998; SU, basal insulin)<sup>22</sup>**

- **•** 10-year follow up of UKPDS<sup>24</sup>
- **•** Any T2DM-related end point: RR 0.91 (95% CI 0.83–0.99)
- **•** Microvascular disease: RR 0.76 (95% CI 0.64–0.89)
- **•** T2DM-related mortality: HR 0.83 (95% CI 0.73–0.96)
- **•** Myocardial infarction: RR 0.85 (95% CI 0.74–0.97)

#### **UKPDS (1998; metformin)<sup>23</sup>**

- **•** 10-year follow up of UKPDS<sup>24</sup>
- **•** Any T2DM-related end point: RR 0.79 (95% CI 0.66–0.95)
- **•** Microvascular disease: RR 0.84 (95% CI 0.6–1.17)
- **•** T2DM-related mortality: HR 0.70 (95% CI 0.53–0.92)
- **•** Myocardial infarction: RR 0.67 (95% CI 0.51–0.89)

# **ACCORD (2008)<sup>25</sup>**

- **•** 9-year follow-up of ACCORD<sup>26</sup>
- **•** All-cause mortality: no difference
- **•** CV mortality: HR 1.2 (95% CI 1.03–1.39)

# **ADVANCE (2008)<sup>27</sup>**

- 10-year follow-up of ADVANCE<sup>28</sup>
- **•** Risk of ESKD: HR 0.54 (95% CI 0.34–0.85)
- **•** No difference in mortality outcomes

#### **VADT (2009)<sup>31</sup>**

- 10-year and 15-year follow-up of VADT<sup>32,33</sup>
- **•** Major CV event at 10 years and 20 years, respectively: HR 0.83 (95% CI 0.70–0.99), HR 0.91 (95% CI 0.78–1.06)
- **•** Any CV death: no difference
- **•** Death from any cause: no difference

ACCORD, Action to Control Cardiovascular Risk in Diabetes; ADVANCE, Action in Diabetes and Vascular Disease: Preterax and Diamicron Modified Release Controlled Evaluation; CV, cardiovascular; CVD, cardiovascular disease; DCCT, Diabetes Control and Complications Trial; EDIC, Epidemiology of Diabetes Interventions and Complications; ESKD, end-stage kidney disease; SU, sulfonylurea; T2DM, type 2 diabetes mellitus; UKPDS, UK Prospective Diabetes Study; VADT, Veterans Affairs Diabetes Trial.

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#### **Fig. 1 |. CGM-measured mean glucose concentration versus HbA1c.**

Continuous glucose monitoring (CGM) measurements from three randomized controlled trials were pooled and plotted against laboratory measured glycated haemoglobin A1c  $(HbA<sub>1c</sub>)$ . The 95% confidence interval for a patient's mean glucose concentration predicted from a laboratory measurement of  $HbA_{1c}$  is shown by the shaded area. This figure highlights the wide range of mean glucose concentrations obtained by cCGM that a single  $HbA_{1c}$  can represent. The figure has been reproduced with permission from REF.67, American Diabetes Association, Beck, R. W., Connor, C. G., Mullen, D. M., Wesley, D. M. & Bergenstal, R. M. The fallacy of average: how using HbA1c alone to assess glycemic control can be misleading. Diabetes Care **40**, 994–999 (2017). Copyright and all rights reserved. Material from this publication has been used with the permission of American Diabetes Association.



This is a revised summary table; the full table is available as Supplementary Table 1. ACCORD, Action to Control Cardiovascular Risk in Diabetes; ADVANCE, Action in Diabetes and Vascular Disease: Preterax and Diamicron Modified Release Controlled Evaluation; AE, adverse event; BMI, body mass index; CV, cardiovascular; DCCT, Diabetes Control and Complications Trial; FPG, fasting plasma glucose; HbA1c, glycated haemoglobin; HR, hazard ratio; MACE, major adverse cardiac event (defined as time to first major cardiovascular event: non-fatal myocardial infarction, non-fatal stroke or death

Preterax and Diamicron Modified Release Controlled Evaluation; AE, adverse event; BMI, body mass index; CV, cardiovascular; DCCT, Diabetes Control and Complications Trial; FPG, fasting plasma

glucose; HbA1<sub>1C</sub>, glycated haemoglobin; HR, hazard ratio; MACE, major adverse cardiac event (defined as time to first major cardiovascular event: non-fatal myocardial infarction, non-fatal stroke or death

**Table 1 |**

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from cardiovascular disease); MI, myocardial infarction; RRR, relative risk reduction; SU, sulfonylurea; TIDM, type 1 diabetes mellitus; T2DM, type 2 diabetes mellitus; UKPDS, UK Prospective Diabetes<br>Study; VADT, Veterans from cardiovascular disease); MI, myocardial infarction; RRR, relative risk reduction; SU, sulfonylurea; T1DM, type 1 diabetes mellitus; T2DM, type 2 diabetes mellitus; UKPDS, UK Prospective Diabetes Study; VADT, Veterans Affairs Diabetes Trial.

 $\frac{a}{a}$  Intensive arm versus control arm. Intensive arm versus control arm.

 $b$ UKPDS trial results are stratified according to whether the intensive intervention arm used sulfonylurea or basal insulin, or metformin. UKPDS trial results are stratified according to whether the intensive intervention arm used sulfonylurea or basal insulin, or metformin.

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Guidelines for  $\operatorname{HbA_{1c}}$  targets in non-pregnant adults Guidelines for  $HbA_{1c}$  targets in non-pregnant adults



disease; CKD, chronic kidney disease; EASD, European Association for the Study of Diabetes; HbA1c, glycated haemoglobin A1c; HF, heart failure; ICSI, Institute for Clinical Systems Improvement; uncesor, Oxer, vuroure Kuntoy unseast extraordinal controllegiate Guidelines Network; VA/DoD, US Department of Veterans Affairs and Department of Defense.<br>NICE, National Institute for Health and Care Excellence; SIGN, Scot NICE, National Institute for Health and Care Excellence; SIGN, Scottish Intercollegiate Guidelines Network; VA/DoD, US Department of Veterans Affairs and Department of Defense.