# **RESEARCH Open Access**



# Lung recruitment with HFOV versus VTV/AC in preterm infants with RDS



Marwa Eldegwi<sup>1</sup>, Ali Shaltout<sup>2</sup>, Osama Elagamy<sup>1</sup>, Dina Salama<sup>2</sup>, Mohammed Elshaer<sup>3\*</sup> and Basma Shouman<sup>2</sup>

# **Abstract**

**Objectives** To compare the effect of lung recruitment using high frequency ventilation versus volume targeted ventilation on duration of intubation as well as its effect on lung inflammation in preterm infants with respiratory distress syndrome.

**Methods** The study was conducted on a total of 40 preterm infants, 34 weeks gestational age or less, having RDS that needed intubation and mechanical ventilation within the first 72 h after their birth at the NICU of Mansoura University Children's Hospital during the period from July 2020 to July 2022. Infants included were randomly assigned into two groups, Group A who were subjected to LRM using HFOV (20 cases) and Group B who were subjected to LRM using VTV/AC (20 cases). TGF-β1 level was measured in BAL samples of all studied infants at two time points; before lung recruitment maneuver and at day 5 after lung recruitment or just before extubation if extubation occurs earlier than 5 days.

**Results** Lung recruitment maneuver had no significant effect on time to extubation. Both groups showed no significant difference in rate of prematurity complications nor delta change of TFG-β1 level in tracheal aspirate of those preterm infants measured before lung recruitment and five days after recruitment or at extubation when extubation occurred earlier.

**Conclusions** Lung recruitment maneuver was not associated with significant difference between both groups of preterm infants. The results obtained from our study, being the first of its kind to compare the effect of lung recruitment, provide a promising research area for further investigations.

**Keywords** Respiratory distress syndrome, Intensive care units, Neonatal, Bronchoalveolar lavage

\*Correspondence:

Mohammed Elshaer

Melshaer85@mans.edu.eg

<sup>1</sup>Pediatrics and Neonatology Department, Faculty of Medicine, Kafr El-Sheikh University, Kafr El-Sheikh, Egypt

<sup>2</sup> Pediatrics and Neonatology Department, Faculty of Medicine, Mansoura University, Mansoura, Egypt

<sup>3</sup>Department of Clinical Pathology, Faculty of Medicine, Mansoura University, P.O. Box 35516, Mansoura, Egypt

# **Introduction**

Respiratory distress syndrome (RDS) is one of the most common causes of premature infant respiratory failure. Despite better neonatal care, many infants with RDS require intubation, mechanical ventilation, and exogenous surfactant treatment to restore lung function and gas exchange [[1](#page-6-0)]. Mechanical ventilation, on the other hand, can harm the developing lung and is a major risk factor for developing bronchopulmonary dysplasia (BPD) [[2\]](#page-6-1). An optimal management strategy should begin at birth, with the goal of achieving an early functional residual capacity and maintaining a sufficient lung volume.



© The Author(s) 2024. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit [http://creativecommons.org/licenses/by/4.0/.](http://creativecommons.org/licenses/by/4.0/)

Recently, many techniques for optimizing fetal-neonatal transition and promoting lung recruitment have been accessible [\[3](#page-6-2)].

In preterm infants with RDS, high-frequency ventilation (HFV) is a common lung-protective ventilation mode [[4\]](#page-6-3). In preterm infants with severe RDS, initial ventilation using high frequency oscillatory ventilation (HFOV) lowers the incidence of death and BPD and improves long-term neurodevelopmental outcomes [\[5](#page-6-4)]. During HFV, the open lung strategy has become standard therapy, with gradual rise in continuous distending pressure (CDP) used to lessen the requirement for fraction of inspired oxygen (FiO<sub>2</sub>) via target oxygen saturation  $(SpO<sub>2</sub>)$  monitoring [[4\]](#page-6-3).

The "open lung" approach also applies to volume-targeted ventilation, which benefits from an equitable distribution of tidal volume throughout the lungs [\[6](#page-6-5)]. When compared to non-recruitment, early lung recruitment maneuver (LRM) in preterm infants with RDS resulted in quicker accomplishment of reduced  $FiO<sub>2</sub>$  and shorter oxygen dependency [\[7](#page-6-6)]. These findings imply that adding the open lung concept to volume-targeted ventilation in preterm infants with RDS is a reasonable approach [\[8](#page-6-7)].

Inflammatory markers in bronchoalveolar lavage (BAL) have been extensively employed to assess early lung injury in ventilated preterm newborns and the sub-sequent progression to BPD [\[9](#page-6-8)]. Human Transforming Growth Factor- $\beta_1$  (TGF- $\beta_1$ ) is a type of cytokine that is produced by lung epithelial cells and vascular endothelial cells. TGF- $\beta_1$  increases the synthesis and deposition of extracellular matrix during the wound healing process, which aids in wound repair. TGF-*β*<sub>1</sub> production is transitory in normal tissues, but repeated lung injury culminates in overexpression. As a result, it has been employed as a fibrosis and remodeling marker [[10\]](#page-6-9). TGF-*β*1 levels were observed to be elevated in BAL samples from premature neonates who later had chronic lung illness. TGF-*β*1 tracheal aspirate was considerably lower in high frequency ventilation (HFOV) compared to conventional breathing, indicating less lung inflammatory damage. These findings suggested that HFOV, rather than Continuous Mandatory Ventilation (CMV), may play a lung protective role [[11](#page-6-10)].

Therefore, this study was designed to compare the effect of lung recruitment using high frequency ventilation versus volume targeted ventilation (VTV) on duration of intubation as well as its effect on lung inflammation in preterm infants with respiratory distress syndrome as measured by TGF- $\beta_1$  level in samples of bronchoalveolar lavage from infants studied.

# **Methods**

The study was conducted on a total of 40 preterm infants, 34 weeks gestational age or less, having RDS that needed intubation and mechanical ventilation within the first 72 h after their birth at the Neonatal Intensive Care Unit (NICU) of Mansoura University Children's Hospital during the period from July 2020 to July 2022. The sample size of 20 infants per group was determined using formal sample size calculation based on previous studies:  $n=2[(a+b)^2 \times \sigma^2] / (\mu_1 - \mu_2)^2$  [[12–](#page-6-11)[14\]](#page-6-12). This calculation considered an 80% statistical power and a 5% alpha error. n=the sample size in each study group.

 $\mu$ 1 = mean time to extubation in days in group A. µ2=mean time to extubation in days in group B.

σ=time to extubation variance (SD).

 $\alpha$ =conventional multiplier for alpha=1.96.

b=conventional multiplier for beta=0.842.

The methods used for stabilizing infants in the delivery room included both Neopuff and Variable Pressure Positive (VPP) techniques, depending on the individual infant's condition. Infants included were randomly assigned into two groups, Group A who were subjected to LRM using HFOV (20 cases) and Group B who were subjected to LRM using VTV/AC (20 cases).

Preterm infants who met the eligibility criteria were randomly assigned into one of two groups (Group A & Group B) with allocation ratio 1:1 using sealed envelopes:

- **Group A (HFOV Group)**: Twenty preterm infants were included and subjected to lung recruitment maneuver using HFOV (*SLE 5000 infant ventilator*, *UK*) as follows: Continuous distending pressure (CDP) was started at  $6-8$  cmH<sub>2</sub>O then increased stepwise as long as oxygen saturation  $(SpO<sub>2</sub>)$ measured by pulse oximetry improved.  $FiO<sub>2</sub>$  was reduced stepwise, keeping  $SpO<sub>2</sub>$  within the target range (90–95%). The recruitment procedure was stopped if oxygenation no longer improved or if  $FiO<sub>2</sub>$  did not exceed 0.25. The corresponding CDP was called the opening pressure (CDPo). Next, the CDP was reduced stepwise until the  $SpO<sub>2</sub>$ deteriorates. The corresponding CDP was called the closing pressure  $(CDP<sub>c</sub>)$ . After a second recruitment maneuver, the optimal CDP (CDP<sub>opt</sub>) was set to 2 cm  $H<sub>2</sub>O$  above the CDPc [\[15](#page-6-13)].
- **Group B (VTV/AC Group)**: Twenty preterm infants were included and subjected to lung recruitment maneuver using VTV/AC (*SLE 5000 infant ventilator*, *UK*) as follows: The starting ventilation parameters were: Tidal volume (Vt) 6 mL/kg, inspiratory time (Ti) 0.3 s, respiratory rate (RR) 60/min, peak inspiratory pressure (PIP) 25  $\text{cmH}_2\text{O}$ , and an initial positive end expiratory pressure (PEEP) 5 cmH<sub>2</sub>O. The initial FiO<sub>2</sub> level was

adjusted to maintain a preductal  $SpO<sub>2</sub>$  of 90 to 95%. After setting a starting PEEP level of 5 cmH<sub>2</sub>O, a repeated increment of  $0.5 \text{ cmH}_2\text{O}$  of PEEP was done every 5 min while monitoring the  $FiO<sub>2</sub>$  requirements and  $SpO<sub>2</sub>$  levels. During the 5 min of monitoring, the fall of FiO<sub>2</sub> needs and the increase of the SpO<sub>2</sub> level are signals to proceed with the maneuver and progressively increase the PEEP level. When  $FiO<sub>2</sub>$  of 0.25 was reached, a slow stepwise PEEP reduction with  $SpO<sub>2</sub>$  levels monitoring was done. When the  $SpO<sub>2</sub>$  falls, the PEEP level was re-increased until target oxygenation was achieved, and the lowest  $FiO<sub>2</sub>$ level was reached [\[16\]](#page-6-14).

The Mean Airway Pressure (MAP) was calculated as [\[17](#page-6-15)]: (PIP - PEEP)  $\times$  (Ti/Ttot) + PEEP where:

- PIP: Peak Inspiratory Pressure
- PEEP: Positive End-Expiratory Pressure
- Ti: Inspiratory Time
- Ttot: Total Respiratory Cycle Time

The primary outcome of the study was the time to extubation, while transforming growth factor-β1 (TGF-β1) levels and prematurity complications were secondary outcomes. Human Transforming Growth Factor- $\beta_1$  (TGF- $\beta_1$ ) was tested in bronchial aspirates of preterm infants included by Enzyme Linked-Immunosorbent assay. Bronchial aspirate samples were obtained using a well-established technique in accordance with the European Respiratory Society guidelines [[18\]](#page-6-16). One ml/ kg sterile 0.9% saline was instilled using a 2.5-ml syringe through a 5 F-gauge feeding catheter placed in the

<span id="page-2-0"></span>**Table 1** Baseline characteristics of both studied groups

	Group A $(n=20)$	Group B $(n=20)$	P value
Gestational age (weeks)	$30.1 \pm 2.7$	$29.0 \pm 2.2$	0.164
Sex			
Male Female	8(40%) 12(60%)	11(55%) 9(45%)	0.342
Birth weight (g)	$1335.3 \pm 624.7$	$1130.3 \pm 417.1$	0.230
Age at inclusion			
1st DOI	14(70%)	15(75%)	0.915
2nd DOL	2(10%)	2(10%)	
3rd DOL	4(20%)	3(15%)	
Appropriateness for GA			
SGA	3(15%)	3(15%)	1.0
AGA	17(85%)	17(85%)	
CS delivery	19(95%)	15(75%)	0.077
APGAR score at 5 min	$8(8-9)$	$8.0(7-8)$	0.119
Antenatal steroid	8(40%)	4(20%)	0.264
<b>PROM</b>	$0(0\%)$	6(30%)	0.008

*Abbreviations DOL* day of life, *AGA* appropriate for gestational age, *SGA* small for gestational age, *CS* caesarean section, *PROM* prolonged rupture of membranes

endotracheal tube (ETT) so that the tip extended 0.5 cm beyond the distal end of the ETT. The saline was instilled and immediately aspirated back into the syringe. All samples were clarified by centrifugation, and the supernatant was immediately frozen at -70 °C and kept for subsequent analysis.

Bronchial samples were collected from each infant at two time points:

- a) After intubation and before surfactant administration and starting lung recruitment maneuver.
- b) At day 5 after intubation and lung recruitment or just before extubation if extubation occurs earlier than 5 days.

# **Results**

Table [1](#page-2-0) illustrates the demographic and clinical data of preterm infants included within Group A and Group B. It showed no significant differences between both studied groups as regards GA, sex, birth weight, age at inclusion, appropriateness for gestational age (GA), caesarian section (CS), APGAR score at 5 min or antenatal steroids. Most of the cases were included within the first day of life (70% among Group A and 75% among Group B preterm infants). However, PROM was significantly higher among Group B compared to Group A infants (*p=*0.008). 5% of Group A and 35% of Group B were on HFNC while 75% of Group A and 55% of Group B were on NCPAP.

The mean airway pressure (MAP) was significantly higher in the HFOV group (Group A) compared to the VTV/AC group (Group B), with values of  $13.1 \pm 2.1$  cm H<sub>2</sub>O and  $6.3\pm0.4$  cm H<sub>2</sub>O, respectively ( $p < 0.001$ ), highlighting the distinct strategies used in each mode of ventilation. 20% of Group A and 10% of Group B preterm infants needed endotracheal intubation in the delivery room. Time to extubation was nearly similar among both studied groups with a mean of 3.5 and 3.25 days among Group A and Group B preterm infants respectively. Thirty-seven preterm infants of both studied groups received first dose endotracheal surfactant (18 of Group A and 19 of Group B) before lung recruitment. Out of them, 4 preterm infants of Group A and five preterm infants of Group B needed a second dose surfactant with no significant difference between them in this respect. No significant difference was observed between both groups as regards type of respiratory support before inclusion in the study, CRIB score, time to extubation, need for reintubation, duration of oxygen supply or duration of hospitalization (Table [2\)](#page-3-0). The recorded cause of death varied between respiratory failure (2 infants of Group A and 3 infants of Group B), pulmonary hemorrhage (3 infants of Group A and 2 infants of Group B) and circulatory failure from severe IVH or LOS (3 infants of Group A and 2 infants of Group B).

<span id="page-3-0"></span>



*Abbreviations HFNC* High flow nasal cannula, *NCPAP* nasal continuous positive airway pressure, *CRIB* Clinical risk Index for babies

Tables [3](#page-3-1) and [4](#page-3-2) present the ventilatory parameters among Group A and Group B infants throughout the study. In Group A, both  $FiO<sub>2</sub>$  and MAP were significantly higher on the first day of the study compared to the third day ( $p < 0.001$ ,  $p < 0.001$  respectively). They were also significantly higher on the first day when compared to the fifth day of the study  $(p<0.001, p<0.001$  respectively). Frequency showed no significant differences throughout the first, third, and fifth days of the study, while delta P was significantly higher on the first day compared to the third day  $(p=0.004)$  and on the first day compared to the fifth day  $(p=0.038)$ . In Group B, all ventilatory parameters showed significant differences among the first, third, and fifth days of the study. They were all significantly lower on the third day and on the fifth day when compared to the first day. Only  $FiO<sub>2</sub>$  was significantly lower on the fifth day compared to the third day  $(p=0.03)$ .

There was no statistically significant difference in any of the arterial blood gases parameters included between both groups (Table [5\)](#page-4-0). There was also no significant difference in TGF- $\beta_1$  before recruitment nor at extubation between both groups as illustrated in Table [6](#page-4-1).

Secondary outcomes including bronchopulmonary dysplasia, pneumothorax, intraventricular hemorrhage grade≥3, patent ductus arteriosus, retinopathy of prematurity, necrotizing enterocolitis and late onset sepsis among both groups were illustrated in Table [7](#page-4-2). No statistically significant differences were found between both studied groups in any of them.

# **Discussion**

Lung recruitment maneuver is thought to reduce the incidence of lung injury, increase lung compliance, and minimize the complications associated with ETT

<span id="page-3-1"></span>**Table 3** Ventilatory parameters throughout the study among Group A preterm infants

<b>Parameters</b>	First dav	Third day	<b>Fifth dav</b>	n	p1ª	$D2^b$	p3 <sup>c</sup>
FiO <sub>2</sub> (%)	$66.8 \pm 19.4$	$38.1 \pm 9.3$	$40.1 \pm 15.3$	< 0.001	< 0.001	< 0.001	0.925
Frequency (Hz)	$12.4 \pm 0.9$	$12.4 \pm 1.0$	$12.3 \pm 1.4$	0.438	0.721	0.438	1.0
Delta P (cm H <sub>2</sub> O)	$25.6 \pm 2.7$	$24.2 \pm 2.4$	$23.3 \pm 3.2$	0.252	0.004	0.038	0.252
MAP (cm H <sub>2</sub> O)	$15.2 + 2.0$	$12.0 + 1.9$	$11.5 \pm 2.2$	< 0.001	< 0.001	< 0.001	0.192

All data were expressed as mean±standard deviation. *Abbreviations FiO2* fraction of inspired oxygen, *MAP* mean airway pressure

*a p1*: difference between first & third day

*b p2*: difference between first & fifth day

*c p3*: difference between third & fifth day

<span id="page-3-2"></span>



All data were expressed as mean±standard deviation. *Abbreviations FiO2* fraction of inspired oxygen, *PIP* peak inspiratory pressure, *PEEP* positive end expiratory pressure, *VT* tidal volume, *Ti* inspiratory time

*a p1*: difference between first & third day

*b p2*: difference between first & fifth day

*c p3*: difference between third & fifth day

<span id="page-4-0"></span>**Table 5** Arterial blood gases before and after lung recruitment among both studied groups

Parameter	Timing	Group A $(n=20)$	<b>Group B</b> $(n=20)$	p value
pH	<b>Before</b>	$7.24 + 0.1$	$7.24 + 0.1$	0.744
	After	$7.29 + 0.1$	$7.32 + 0.1$	0.319
PaO <sub>2</sub> (mmHq)	<b>Before</b>	$60.1 + 8.1$	$65.3 + 9.1$	0.127
	After	$69.2 + 10.1$	$69.9 + 11.0$	0.785
PaCO <sub>2</sub> (mmHq)	<b>Before</b>	$45.1 + 14.7$	$43.5 + 11.0$	0.708
	After	$41.1 + 8.5$	$36.1 + 10.2$	0.102
$HCO3$ (mmol/L)	<b>Before</b>	$18.1 + 4.1$	$18.4 + 3.3$	0.846
	After	$18.8 + 2.9$	$17.5 \pm 3.1$	0.157
BE (mmol/L)	<b>Before</b>	$-8.4 + 3.6$	$7.3 + 0.1$	0.487
	After	$-6.7 + 3.5$	$-7.0 + 4.1$	0.764

All data were expressed as mean±standard deviation. *Abbreviations pH* potential of hydrogen, *PaO2* partial arterial pressure of oxygen, *PaCO2* partial arterial pressure of carbon

dioxide, *HCO3* bicarbonate, *BE* base excess

<span id="page-4-1"></span>**Table 6** Human transforming growth factor β1 (TGF-*β*1) among both studied groups

	<b>Group A</b>	<b>Group B</b>	Ρ
	$(n=20)$	$(n=20)$	value
TGF- $\beta_1$ before recruitment	84.3 $(27.7 - 161.1)$	45.32 $(32.7 - 132.2)$	0.829
TGF- $\beta_1$ at time of extubation or 5 days after recruitment if extubation was earlier	51.8 $(25.0 - 153.6)$	52.84 $(36.4 - 150.0)$	0.387
Delta change	$-9.5$ $(-88.8 - 68.3)$	$-4.97$ $(-50.9 - 517.3)$	0.152
p value	0.575	0.765	

All data were expressed as mean±standard deviation. *Abbreviations TGF-β1* Transforming growth factor

<span id="page-4-2"></span>**Table 7** Prematurity complications among both studied groups

	Group A $(n=20)$	<b>Group B</b> $(n=20)$	p value
<b>BPD</b>	1(5%)	3(15%)	0.605
Pneumothorax	5(25%)	4(20%)	0.705
IVH grade $\geq$ 3	2(10%)	2(10%)	1.0
<b>PDA</b>	2(10%)	4(20%)	0.661
<b>ROP</b>	3(15%)	5(25%)	0.429
<b>NEC</b>	2(10%)	1(5%)	1.0
LOS	7(35%)	6(30%)	0.736

*BPD* bronchopulmonary dysplasia, *IVH*: intraventricular hemorrhage, *PDA* patent ductus arteriosus, *ROP* retinopathy of prematurity, *NEC* necrotizing enterocolitis, *LOS* late onset sepsis

suctioning and disconnection from the ventilator [[19](#page-6-17)]. By briefly elevating airway pressure to a higher level, LRM serve to recruit collapsed lung regions and increase the number of alveoli sharing in gas exchange, which helps to minimize physiological dead space and restore endexpiratory lung volume which results in increased alveolar stability and may reduce shearing injury to the alveoli associated with cyclic opening and closing [\[20](#page-6-18)].

The primary outcome of our study was time to extubation which showed no significant difference between both studied groups. This aligns with two RCTs conducted on preterm infants with RDS who were randomly allocated to receive HFOV or Synchronized intermittent mandatory ventilation (SIMV) with lung recruitment to ensure adequate lung inflation. Both studies showed no significant difference in duration of mechanical ventilation between both HFOV and SIMV groups [[14](#page-6-12)]. However, Sun et al. reported shorter mechanical ventilation duration in the HFOV group [[5\]](#page-6-4). Similarly, Wallström et al. compared VTV with pressure limited ventilation (PLV) in very preterm infants and reported no significant difference in duration of mechanical ventilation [[21\]](#page-6-19).

Regarding the need for a second dose of surfactant, we found no significant difference between the two studied groups. Vento et al. also observed no significant difference in the second dose of surfactant between the HFOV group and the SIMV group [[11\]](#page-6-10). In contrast, Sun and colleagues found that the second dose of surfactant was significantly lower in HFOV compared to SIMV [\[5](#page-6-4)]. Moreover, Gerstmann et al. reported less frequent surfactant redosing in surfactant-treated preterm infants with RDS receiving HFOV compared to CMV [\[22](#page-6-20)].

In our study, the lack of significant difference between both studied groups in duration of hospitalization may be explained by the comparable results of duration of oxygen supplementation, time to extubation and the rate of prematurity complications. We also demonstrated no significant difference in the rate of reintubation between both groups. This came in agreement with the results of Singh et al. who also found no significant difference in extubation failure between HFOV and SIMV groups [[14](#page-6-12)] and with the results by Castoldi et al. [[13](#page-6-21)].

In terms of duration of oxygen supplementation, we found no significant difference between HFOV and VTV groups. Similarly, Singh et al. showed no significant difference in need for oxygen supply beyond day 28 between both HFOV and SIMV groups [\[14](#page-6-12)]. However, Vento et al. reported shorter duration of oxygen supplementation in preterm infants subjected to LRM using HFOV compared to SIMV [\[11](#page-6-10)]. The difference between our results and those by Vento et al., may be attributed to increased rate of reintubation among HFOV group of our study (55% of the included preterm infants) compared to Vento et al. who reported 100% successful extubation among HFOV group. In addition, different protocols used to wean from HFOV may aid to the longer duration of oxygen supplementation in our study [[11](#page-6-10)]. Blazek et al. showed no evidence of difference in duration of oxygen supplementation between LRM using VTV and routine care of preterm infants with RDS [[23\]](#page-6-22).

Mortality was reported in 40% of HFOV group and in 35% of VTV/AC group of our study with no statistically significant difference. As detailed, high mortality rates among our demographic were influenced by several factors, including the limited accessibility to antenatal care and prematurity complications in our setting. Additionally, delayed admission from rural areas—often lacking in antenatal steroids and adequate neonatal support—likely contributed to the elevated mortality rate and relatively low rate of antenatal steroid use in our cohort. Similarly, several studies found no differences in mortality rates either when comparing LRM using HFOV versus SIMV [[14,](#page-6-12) [24\]](#page-6-23) or when comparing LRM using CMV versus no recruitment [\[7](#page-6-6), [13\]](#page-6-21).

HFOV delivers small tidal volumes at rapid frequencies. Therefore, in order to prevent alveolar collapse, it is generally recommended for the MAP to be set 4–5 cm  $H<sub>2</sub>O$  or 1.5 times higher than the MAP on continuous mandatory ventilation [[25](#page-6-24)[–27](#page-6-25)]. In contrast, VTV/ AC adjust oxygenation through PEEP modulation, which may explain the distinct MAP values yet similar clinical results [\[13](#page-6-21)].

The ventilatory parameters of HFOV used in Group A were significantly lower at both third and fifth days when compared to first day of the study. In accordance, Singh and his colleagues have also demonstrated a significant decline of mean  $FiO<sub>2</sub>$  and MAP when measured at 1 h, 6 h and 24 h, respectively in HFOV group compared to SIMV group [[14\]](#page-6-12). The MAP is a preset parameter in HFOV, and it is essential in the lung recruitment maneuver by this mode of ventilation, unlike in VTV/AC in which MAP is calculated mathematically and the lung recruitment maneuver was based on PEEP increment instead.

As regard the ventilatory parameters used in VTV/AC group, FiO $_2$ , rate, PIP, PEEP and Vt significantly declined at third and fifth day compared to first day of the study. Similarly, Castoldi et al. and Wu et al. concluded that LRM led to earlier lowest  $FiO<sub>2</sub>$  during the first 12 h of life and to a shorter  $O_2$  dependency [\[7](#page-6-6), [13\]](#page-6-21). The measured PIP in a study by Wallström et al. was significantly lower in the VTV group compared to the PLV group at 4, 8, 12, 16 and 20 h of age [[21\]](#page-6-19).

In our study, there was no statistically significant difference in any of the arterial blood gases parameters included between both groups. In contrast, Zheng et al. reported a significantly improved arterial blood gas variables in HFOV group after 6, 12, 24, and 48 h. Such improvement was explained by the fact that HFOV can achieve an alveolar ventilation mode of effective gas exchange, which can uniformly expand the alveoli in a short time and improve gas exchange and lung compliance [[28\]](#page-6-26).

In several studies,  $TGF-β1$  has been shown to be a marker of the activity of tissue repair and remodeling. In preterm neonates, increased levels of TGF-β1 have been found in the BAL fluid of those patients in whom chronic lung disease of prematurity developed [\[29](#page-7-0), [30](#page-7-1)]. Using these data, we measured the level of TGF-β1 in tracheal aspirates of included preterm infants of both studied groups at two points of time (before lung recruitment and at extubation or 5 days after recruitment if extubation was earlier) aiming to compare the effect of LRM using HFOV versus VTV and to assess the efficacy of lung recruitment in each group separately. TGF-*β*<sub>1</sub> levels in the endotracheal aspirate of extremely low birth weight neonates are generally low in the first 24 h of life. High levels and an early rise of TGF- $\beta_1$  in the BAL fluid were predictive for the development of chronic lung disease of prematurity and the need for home oxygen therapy [\[30](#page-7-1)]. In contrast to our results, Vento et al., found that TGF-*β*<sup>1</sup> level in tracheal aspirate was significantly less in HFOV compared to conventional ventilation indicating milder lung inflammatory injury and suggesting a possible lung protective role during HFOV rather than CMV [\[11](#page-6-10)]. This may be explained by different study design from ours as we compared HFOV to volume targeted ventilation rather conventional pressure limited ventilation and the superiority of VTV over PLV has been confirmed in meta-analyses of clinical trials [[31\]](#page-7-2).

The current study demonstrated no significant differences in BPD between both studied groups. In contrast to our results, studies comparing LRM using HFOV versus SIMV showed significant reduction in BPD rate among HFOV groups [[5,](#page-6-4) [14\]](#page-6-12). The small sample size in our study may limit the power to detect such differences. Moreover, previous studies reported that IVH grade≥3, ROP, pneumothorax, PDA and NEC were comparable between both studied groups treated either with HFOV or VTV compared to CMV [[5,](#page-6-4) [11](#page-6-10), [21\]](#page-6-19).

The conflicting reports about HFOV versus conventional mechanical ventilation are probably due to heterogeneity in study designs, subject characteristics, and outcome definitions. Although several studies conducted over a long period have explored ways to determine infants' readiness for extubation, and thereby increased extubation success  $[32-34]$  $[32-34]$ , no strong evidence supports the use of any predictor of extubation readiness over clinical judgment alone [[35](#page-7-5)]. Our study limitations included small sample size, variable time interval between the two measurements of TGF- $\beta_1$  tracheal aspirate due to different time to extubation, lack of precise clinical data during the period between resuscitation and admission to our NICU and during neonatal transport which may affect the outcome.

# **Conclusion**

The lung recruitment maneuver had no significant effect on time to extubation when comparing both HFOV and VTV/AC groups of preterm infants with RDS. Both of

our studied groups showed no significant difference in rate of prematurity complications nor delta change of TFG-*β*1 level in tracheal aspirate of those preterm infants measured before lung recruitment and five days after recruitment or at extubation when extubation occurred earlier. However, the results obtained from our study being the first of its kind to compare the effect of lung recruitment using HFOV versus VTV on duration of intubation and lung inflammation in preterm infants with RDS provide a promising research area for further investigations.

## **Author contributions**

M.E and M.E wrote the main manuscript text. M.E carried out study analysis and prepared figures and tables. A.S, O.E, D.S, and M.E contributed to samples ordering and data collection. B.S revised the final draft. All authors have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

#### **Funding**

Open access funding provided by The Science, Technology & Innovation Funding Authority (STDF) in cooperation with The Egyptian Knowledge Bank (EKB).

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

#### **Data availability**

All data supporting this article will be made available by the corresponding author to any qualified researcher upon request.

# **Declarations**

## **Ethical approval**

Approval was obtained from the ethics committee of Mansoura University (approval no. 16.07.64). The procedures used in this study adhere to the tenets of the Declaration of Helsinki.

## **Consent to participate**

Parental informed consent was obtained from all individual participants included in the study.

## **Competing interests**

The authors declare no competing interests.

Received: 12 June 2024 / Accepted: 21 November 2024 Published online: 23 December 2024

#### **References**

- <span id="page-6-0"></span>1. Yadav S, Lee B, Kamity R. Neonatal respiratory distress syndrome. Treasure Island (FL): StatPearls Publishing; 2023.
- <span id="page-6-1"></span>2. Dankhara N, Holla I, Ramarao S, Kalikkot Thekkeveedu R. (2023) Bronchopulmonary dysplasia: Pathogenesis and pathophysiology. J Clin Med. 12.
- <span id="page-6-2"></span>Lista G, Maturana A, Moya FR. Achieving and maintaining lung volume in the preterm infant: from the first breath to the NICU. Eur J Pediatr. 2017;176:1287–93.
- <span id="page-6-3"></span>4. Ackermann BW, Klotz D, Hentschel R, Thome UH, van Kaam AH. Highfrequency ventilation in preterm infants and neonates. Pediatr Res. 2023;93:1810–8.
- <span id="page-6-4"></span>Sun H, Cheng R, Kang W, Xiong H, Zhou C, Zhang Y, Wang X, Zhu C. Highfrequency oscillatory ventilation versus synchronized intermittent mandatory ventilation plus pressure support in preterm infants with severe respiratory distress syndrome. Respir Care. 2014;59:159–69.
- <span id="page-6-5"></span>Hysinger EB, Ahlfeld SK. Respiratory support strategies in the prevention and treatment of bronchopulmonary dysplasia. Front Pediatr. 2023;11:1087857.
- <span id="page-6-7"></span><span id="page-6-6"></span>2014;52:741–4. 8. Chakkarapani AA, Adappa R, Mohammad Ali SK, Gupta S, Soni NB, Chicoine L, Hummler HD. Current concepts in assisted mechanical ventilation in the neonate - part 2: understanding various modes of mechanical ventilation and recommendations for individualized disease-based approach in neonates. Int J Pediatr Adolesc Med. 2020;7:201–8.
- <span id="page-6-8"></span>9. Hayes J, Don, Feola DJ, Murphy BS, Shook LA, Ballard HO. Pathogenesis of bronchopulmonary dysplasia. Respiration. 2010;79:425–36.
- <span id="page-6-9"></span>10. Pakyari M, Farrokhi A, Maharlooei MK, Ghahary A. Critical role of transforming growth factor beta in different phases of wound healing. Adv Wound care. 2013;2:215–24.
- <span id="page-6-10"></span>11. Vento G, Matassa PG, Ameglio F, Capoluongo E, Zecca E, Tortorolo L, Martelli M, Romagnoli C. HFOV in premature neonates: effects on pulmonary mechanics and epithelial lining fluid cytokines. A randomized controlled trial. Intensive Care Med. 2005;31:463–70.
- <span id="page-6-11"></span>12. Noordzij M, Tripepi G, Dekker FW, Zoccali C, Tanck MW, Jager KJ. Sample size calculations: basic principles and common pitfalls. Nephrol Dial Transpl. 2010;25:1388–93.
- <span id="page-6-21"></span>13. Castoldi F, Daniele I, Fontana P, Cavigioli F, Lupo E, Lista G. Lung recruitment maneuver during volume guarantee ventilation of preterm infants with acute respiratory distress syndrome. Am J Perinatol. 2011;28:521–8.
- <span id="page-6-12"></span>14. Singh S, Malik G, Prashanth G, Singh A, Kumar M. High frequency oscillatory ventilation versus synchronized intermittent mandatory ventilation in preterm neonates with hyaline membrane disease: a randomized controlled trial. Indian Pediatr. 2012;49:405–8.
- <span id="page-6-13"></span>15. Miedema M, de Jongh FH, Frerichs I, van Veenendaal MB, van Kaam AH. Regional respiratory time constants during lung recruitment in highfrequency oscillatory ventilated preterm infants. Intensive Care Med. 2012;38:294–9.
- <span id="page-6-14"></span>16. Dargaville PA, Keszler M. (2015) Setting the ventilator in the NICU. Pediatric and Neonatal Mechanical Ventilation: From Basics to Clinical Practice. 1101–1125.
- <span id="page-6-15"></span>17. Hess DR. Respiratory mechanics in mechanically ventilated patients. Respir Care. 2014;59:1773–94.
- <span id="page-6-16"></span>18. De Blic J, Midulla F, Barbato A, Clement A, Dab I, Eber E, Green C, Grigg J, Kotecha S, Kurland G. Bronchoalveolar lavage in children. ERS Task Force on bronchoalveolar lavage in children. Eur Respiratory Soc Eur Respiratory J. 2000;15:217–31.
- <span id="page-6-17"></span>19. Lovas A, Szakmány T. (2015) Haemodynamic Effects of Lung Recruitment Manoeuvres. Biomed Res Int. 2015:478970.
- <span id="page-6-18"></span>20. Hodgson C, Goligher EC, Young ME, Keating JL, Holland AE, Romero L, Bradley SJ, Tuxen D. Recruitment manoeuvres for adults with acute respiratory distress syndrome receiving mechanical ventilation. Cochrane database of systematic reviews; 2016.
- <span id="page-6-19"></span>21. Wallström L, Sjöberg A, Sindelar R. Early volume targeted ventilation in preterm infants born at 22–25 weeks of gestational age. Pediatr Pulmonol. 2021;56:1000–7.
- <span id="page-6-20"></span>22. Gerstmann DR, Minton SD, Stoddard RA, Meredith KS, Monaco F, Bertrand JM, Battisti O, Langhendries JP, Francois A, Clark RH. The Provo multicenter early high-frequency oscillatory ventilation trial: improved pulmonary and clinical outcome in respiratory distress syndrome. Pediatrics. 1996;98:1044–57.
- <span id="page-6-22"></span>23. Blazek EV, East CE, Jauncey-Cooke J, Bogossian F, Grant CA, Hough J. Lung recruitment manoeuvres for reducing mortality and respiratory morbidity in mechanically ventilated neonates. Cochrane Database Syst Rev. 2021;3:Cd009969.
- <span id="page-6-23"></span>24. Johnson AH, Peacock JL, Greenough A, Marlow N, Limb ES, Marston L, Calvert SA. High-frequency oscillatory ventilation for the prevention of chronic lung disease of prematurity. N Engl J Med. 2002;347:633–42.
- <span id="page-6-24"></span>25. Sindelar R, Nakanishi H, Stanford AH, Colaizy TT, Klein JM. Respiratory management for extremely premature infants born at 22 to 23 weeks of gestation in proactive centers in Sweden, Japan, and USA. Seminars in perinatology. Elsevier; 2022. p. 151540.
- 26. Stawicki S, Goyal M, Sarani B. Analytic reviews: high-frequency oscillatory ventilation (HFOV) and airway pressure release ventilation (APRV): a practical guide. J Intensive Care Med. 2009;24:215–29.
- <span id="page-6-25"></span>27. Murthy PR, Ak AK. High frequency ventilation. Treasure Island (FL): StatPearls Publishing; 2020.
- <span id="page-6-26"></span>28. Zheng YR, Lei YQ, Liu JF, Wu HL, Xu N, Huang ST, Cao H, Chen Q. Effect of high-frequency Oscillatory Ventilation Combined with Pulmonary surfactant

in the treatment of Acute respiratory distress syndrome after cardiac surgery: a prospective Randomised Controlled Trial. Front Cardiovasc Med. 2021;8:675213.

- <span id="page-7-0"></span>29. Kotecha S, Wangoo A, Silverman M, Shaw RJ. Increase in the concentration of transforming growth factor beta-1 in bronchoalveolar lavage fluid before development of chronic lung disease of prematurity. J Pediatr. 1996;128:464–9.
- <span id="page-7-1"></span>30. Lecart C, Cayabyab R, Buckley S, Morrison J, Kwong K, Warburton D, Ramanathan R, Jones C, Minoo P. Bioactive transforming growth factor-beta in the lungs of extremely low birthweight neonates predicts the need for home oxygen supplementation. Neonatology. 2000;77:217–23.
- <span id="page-7-2"></span>31. Klingenberg C, Wheeler KI, McCallion N, Morley CJ, Davis PG. Volumetargeted versus pressure-limited ventilation in neonates. Cochrane Database Syst Rev. 2017;10:Cd003666.
- <span id="page-7-3"></span>32. Chawla S, Natarajan G, Gelmini M, Kazzi SN. Role of spontaneous breathing trial in predicting successful extubation in premature infants. Pediatr Pulmonol. 2013;48:443–8.
- 33. Kamlin CO, Davis PG, Argus B, Mills B, Morley CJ. A trial of spontaneous breathing to determine the readiness for extubation in very low birth weight infants: a prospective evaluation. Arch Dis Child Fetal Neonatal Ed. 2008;93:F305–306.
- <span id="page-7-4"></span>34. Mueller M, Wagner CC, Stanislaus R, Almeida JS. Machine learning to predict extubation outcome in premature infants. Proc Int Jt Conf Neural Netw. 2013;2013:1–6.
- <span id="page-7-5"></span>35. Shalish W, Latremouille S, Papenburg J, Sant'Anna GM. Predictors of extubation readiness in preterm infants: a systematic review and meta-analysis. Arch Dis Child Fetal Neonatal Ed. 2019;104:F89–97.

# **Publisher's note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.