early-stage NSCLC with rare targetable driver mutations

Nadia Ghazali , Jamie Feng, Katrina Hueniken, Khaleeq Khan, Karmugi Balaratnam, Thomas K. Waddell, Kazuhiro Yasufuku, Andrew Pierre, Laura Donahoe, Elliot Wakeam, Marcelo Cypel, Jonathan Yeung, Shaf Keshavjee, Marc de Perrot, Natasha B. Leighl, Geoffrey Liu, Penelope A. Bradbury, Adrian Sacher, Lawson Eng, Tracy Stockley, Ming Sound Tsao and Frances A. Shepherd

Abstract

Background: Given advancements in adjuvant treatments for non-small-cell lung cancer (NSCLC) with epidermal growth factor receptor (EGFR) and anaplastic lymphoma kinase (ALK)-targeted therapies, it is important to consider postoperative targeted therapies for other early-stage oncogene-addicted NSCLC. Exploring baseline outcomes for early-stage NSCLC with these rare mutations is crucial.

Objectives: This study aims to assess relapse-free survival (RFS) and overall survival (OS) in patients with resected early-stage NSCLC with rare targetable driver mutations.

Methods: This retrospective single-center study identified stage I–III NSCLC patients with rare targetable mutations who underwent curative surgery. Tissue-based molecular profiling identified mutations in *KRAS*G12C, *EGFR* Exon20, Erb-B2 receptor tyrosine kinase 2 (*ERBB2*), *ALK*, *ROS1*, B-Raf proto-oncogene (*BRAF*) V600E, mesenchymal–epithelial transition factor (*MET*) exon14 skipping, and rearranged during transfection (*RET*). Baseline patient and tumor characteristics, mutation subtype, and *TP53* co-mutation were correlated with RFS and OS using Cox regression. The *KRAS*G12C cohort was used as the reference for survival comparisons.

Results: Among 225 patients, mutations included the following: *KRAS*G12C (*n*=101, 45%), *MET* exon 14 skipping (*n*=26, 12%), *EGFR* Exon 20 (*n*=25, 11%), *ERBB2* (*n*=25, 11%), *ALK* fusion (*n*=16, 7%), *ROS1* fusion (*n*=14, 6%), *BRAF* V600E mutation (*n*=13, 6%), and *RET* fusion (*n*=5, 2%). Five-year survival probabilities were 76% for stage I, 60% for stage II, and 58% for stage III. RFS was shorter across most mutation subgroups compared to *KRAS*G12C, with *ROS1* mutations showing significantly poorer RFS (HR 2.70, *p*=0.019). By contrast, all mutation subgroups were associated with better OS than *KRAS*G12C. The incidence of brain metastasis was highest in *ERBB2* (22% at 5years). TP53 co-mutation was associated with significantly worse OS (HR 2.35, *p*=0.008).

Conclusion: While RFS was poorer for most mutations compared to *KRAS*G12C, OS generally was better, suggesting a potential role for postoperative targeted therapies. These findings warrant further investigation through prospective studies and clinical trials to optimize adjuvant treatment strategies for patients with early-stage NSCLC harboring rare driver mutations.

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Correspondence to: **Frances A. Shepherd**

Division of Medical Oncology and Hematology, Princess Margaret Cancer Centre (PMCC), University Health Network (UHN), 700 University Avenue, 7-812, Toronto, ON M5G 2M9, Canada

University of Toronto, Toronto, ON, Canada **frances.shepherd@uhn.ca**

Nadia Ghazali Jamie Feng Natasha B. Leighl Geoffrey Liu Penelope A. Bradbury Adrian Sacher Lawson Eng Division of Medical Oncology and Hematology, Princess Margaret Cancer Centre (PMCC), University

Health Network (UHN), Toronto, ON, Canada University of Toronto,

Toronto, ON, Canada

Katrina Hueniken

Department of Biostatistics, PMCC, UHN, Toronto, ON, Canada

Khaleeq Khan Karmugi Balaratnam

Division of Medical Oncology and Hematology, Princess Margaret Cancer Centre (PMCC), University Health Network (UHN), Toronto ON, Canada

Thomas K. Waddell Kazuhiro Yasufuku Andrew Pierre Laura Donahoe Elliot Wakeam Marcelo Cypel Jonathan Yeung Shaf Keshavjee Marc de Perrot Division of Thoracic Surgery, UHN, Toronto, ON, Canada

University of Toronto, Toronto, ON, Canada

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Ming Sound Tsao Tracy Stockley Laboratory Medicine Program, UHN, Toronto, ON, Canada

Department of Laboratory Medicine and Pathobiology, University of Toronto, Toronto, ON, Canada

Plain language summary

Outcomes of patients with resected early-stage lung cancer with rare targetable driver mutations

Early-stage non-small cell lung cancer (NSCLC) is often treated with surgery and sometimes chemotherapy after surgery. Recent advancement with discovery of mutations that drive cancer has led to improvement of outcomes in NSCLC with targeted therapies. Certain mutations in NSCLC are rare and how these mutations impact outcomes after surgery in early-stage NSCLC is less clear. Our study focused on patients with early-stage NSCLC who had surgery and specific rare mutations including EGFR Exon20 insertion, KRAS G12C, ALK, ROS1, BRAF, NTRK1/2/3, MET exon 14 skipping, RET, and ERBB2 mutations. We want to understand how presence of these mutations affects the chance of cancer returning (relapse-free survival) and overall survival after surgery. We found that most mutations had higher risk of cancer returning (relapse-free survival) compared to patients with KRAS mutation and ROS1 had highest risk of cancer returning in our study. However, for overall survival all mutations were linked to better overall survival than KRAS mutation. ERBB2 mutation had highest risk to develop brain metastasis when the cancer returns. Those with additional TP53 mutation, another genetic change had worse overall survival outcome. These results provide more evidence regarding the outcome of these patients with rare mutations in early-stage NSCLC. There might be a benefit of using targeted therapies early in the diagnosis of early-stage NSCLC. We hope our results help to inform potential studies in the future to show benefit of using targeted therapies after surgery in early-stage NSCLC.

Keywords: actionable genomic alteration, early-stage, non-small-cell lung cancer, rare driver mutation, surgery

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Introduction

Lung cancer is one of the leading causes of cancer death worldwide.1 Non-small-cell lung cancer (NSCLC) is the most common subtype of lung cancer, but only 25%–30% of patients with NSCLC present with potentially curable, surgically resectable disease.2 Furthermore, the percentage of patients who recur or die following surgery for early-stage NSCLC remains high (ranging from 45% with stage IB to 75% with stage III), even with the use of postoperative chemotherapy.3 This highlights the need for better adjuvant therapies to improve outcomes.

The treatment landscape of NSCLC has evolved recently with advances in molecular profiling especially next-generation sequencing leading to the discovery of treatable driver oncogenes and personalized medicine based on individual tumor genetic changes.4 The identification of actionable genomic alterations, such as epidermal growth factor receptor (*EGFR*) mutations and anaplastic

lymphoma kinase (*ALK*) fusions, has led to the development and approval of targeted therapies that inhibit these oncogenic pathways. These targeted therapies have become the standard of care in the first-line treatment of advanced NSCLC harboring these genomic alterations, resulting in improved outcomes compared to traditional chemotherapy. The National Comprehensive Cancer Network (NCCN) recommends complete molecular profiling to identify mutations in *EGFR*, Kirsten rat sarcoma viral oncogene homolog (*KRAS*), *ALK*, c-ros Oncogene 1 (*ROS1*), B-Raf proto-oncogene (*BRAF*), neurotrophic tyrosine receptor kinase 1/2/3 (*NTRK1/2/3*), mesenchymal–epithelial transition factor (*MET*), rearranged during transfection (*RET*), and Erb-B2 receptor tyrosine kinase 2 (*ERBB2*) mutations as they now all have targeted therapies available in the advanced setting.⁵

Targeted therapies have become the standard of care in the adjuvant setting for early-stage

NSCLC with common *EGFR* mutations and, most recently, *ALK* fusions. The ADAURA trial showed both overall survival (OS) and diseasefree survival (DFS) benefits with the use of the *EGFR* tyrosine kinase inhibitor (TKI) osimertinib as adjuvant treatment post-surgical resection of stage IB-III *EGFR*-mutant NSCLC.⁶ Recently, the ALINA study also showed an improvement in DFS with adjuvant alectinib compared to chemotherapy in patients with resected early-stage *ALK*positive NSCLC.7 However, the efficacy of targeted therapies in NSCLC with other rare driver mutations in the adjuvant setting remains less well-defined. Several clinical trials are recruiting or have been completed to evaluate the benefits of targeted therapies in the perioperative setting for resectable early-stage NSCLC. These include LIBRETTO-432 (NCT04819100), which studies the efficacy of selpercatinib in patients with *RET* fusion early-stage NSCLC post-surgery or radiation, NAUTIKA1 (NCT04302025), a multicenter, phase II study examining neoadjuvant and adjuvant therapies for biomarker-selected patients with *ALK*, *ROS1*, *NTRK*1/2/3 fusions, *BRAF* V600E mutation, *RET* fusions, and *KRAS* G12C expression and GEOMETRY-N (NCT04926831) trial investigating the use of capmatinib pre- and post-surgery in the patients with *MET* exon 14 skipping mutation or high *MET* amplification.⁸⁻¹⁰

With the evolving landscape of adjuvant treatment, there is a need for greater knowledge regarding the outcomes of patients with resected early-stage NSCLC that harbor actionable genomic alterations other than *EGFR* and *ALK*. This knowledge is crucial for optimizing patient outcomes and informing future research efforts to improve the management of early-stage NSCLC. This study aims to explore the survival outcomes of patients with resected early-stage NSCLC that harbor rare targetable driver mutations. Rare targetable driver mutations in our study refer to genomic alterations that occur in less than 15% of NSCLC cases. To our knowledge, this will be among the first few studies exploring this subset of lung cancer in the surgical setting.

Objectives

This study aims to assess relapse-free survival (RFS) and OS in patients with resected earlystage NSCLC with rare targetable driver mutations, including *EGFR* Exon20 insertion, *KRAS* G12C, *ALK*, *ROS1*, *BRAF*, *NTRK1/2/3*, *MET* exon 14 skipping, *RET*, and *ERBB2* mutations. We also aim to characterize the clinical-demographic profile of patients with early-stage NSCLC harboring these mutations, assess the incidence of brain metastasis, and explore factors that might impact OS and RFS in this study population.

Methods

This was a retrospective observational study. The study database contains clinical, pathological, and molecular data from patients diagnosed with early-stage NSCLC at institutions within the University Health Network, Toronto, ON, Canada. The database included patients seen in our center from 2015 to 2024.

The study was approved by the Research Ethics Board of the University Health Network with approval number REB#19-5099. All patient data were de-identified to ensure confidentiality.

We included patients with (1) a diagnosis of earlystage NSCLC (stage I–III) based on pathological staging according to the eighth edition of the Cancer Staging Manual of the American Joint Committee on Cancer and Union for International Cancer Control (AJCC); (2) who underwent curative-intent surgery, defined as complete resection of the primary tumor with or without lymph node dissection; and (3) presence of targetable driver mutations, including *EGFR* Exon20 insertion, *KRAS* G12C, *ALK*, *ROS1*, *BRAF*, *MET*, *RET*, and *ERBB2* mutations identified through molecular analysis.

Clinical data extracted from the electronic medical records of eligible patients included age at diagnosis, sex, smoking status (current/former/ never-smoker), pathologic stage, cancer histology, type of surgery (lobectomy, pneumonectomy, segmentectomy, or wedge resection), and use of perioperative chemotherapy. Molecular data, including genomic alterations and *TP53* comutation, were obtained from pathology reports. We used the STROBE cohort checklist when writing our report. 11

Molecular analysis

Molecular analysis of tissue samples was performed as per standard clinical practices at our institution. The molecular analysis methods included validated polymerase chain reaction

(PCR)-based assays to detect *EGFR*, break-apart fluorescence in situ hybridization (FISH) and immunohistochemistry (IHC) assays for *ALK* and *ROS1* rearrangements and a targeted nextgeneration sequencing (NGS) panel for NSCLC. Our study only included genomic alterations classified as Tier I variants of strong clinical significance with evidence of clinical utility that predicts response to targeted therapies.¹²

Statistical methods

Descriptive statistics were used to summarize the demographic and clinical characteristics of the patients. Categorical variables were reported as frequencies and percentages, while continuous variables were reported as means with standard deviations or medians with ranges. OS is defined as the time from surgical resection to death from any cause. RFS is defined as the time from surgical resection to the first documented relapse of NSCLC or death from any cause, whichever comes first. Kaplan–Meier survival curves estimated OS and RFS probabilities. Associations between baseline characteristics, adjuvant chemotherapy, mutation subtype, TP53 co-mutation, and survival were assessed using Cox regression. The incidence of brain metastases was described with cumulative incidence curves and compared among mutation groups using Gray's test. OS, RFS, and time to brain metastasis were censored if still alive and at risk after 5years of follow-up. All statistical analyses were conducted using R statistical software version 4.3.1, with p -values ≤ 0.05 considered statistically significant.

Results

A total of 225 patients were included in the analysis, and their baseline characteristics are summarized in Table 1. We identified the following mutations (Figure 1): *KRAS* G12C (*n*=101, 45%), *MET* exon 14 skipping (*n*=26, 12%), *EGFR* Exon 20 (*n*=25, 11%), *ERBB2* (*n*=25, 11%), ALK fusion (*n*=16, 7%), *ROS1* fusion (*n*=14, 6%), *BRAF* V600E mutation (*n*=13, 6%), and *RET* fusion (*n*=5, 2%) (Table S1). Smoking status was known for 206 patients; only 65 (29%) had no prior history of tobacco use. Smoking was associated with *KRAS* G12C mutation; among 101 patients with *KRAS* G12C mutations, only 3 were never-smokers, compared to 62 of 124 patients (55%) with other mutations $(p < 0.001)$.

Approximately one-third of patients had TP53 co-mutation (*n*=75, 35%). TP53 co-mutation was not significantly associated with smoking status $(p=0.19)$. Cancers from most of the neversmokers (72%) were TP53 wild type, although this finding was not statistically significant.

The median follow-up for this study was 3.58years (95% CI: 3.23–3.98). The 5-year RFS probabilities were 59% for stage I (95% CI: 48%, 73%), 53% for stage II (95% CI: 37%, 74%), and 53% for stage III (95% CI: 38%, 73%). The difference in RFS did not reach statistical significance in stage II compared to stage I (HR 1.70, 95% CI: 0.92, 3.14; *p*=0.091; Figure 2(a)). RFS was significantly shorter in stage III compared to stage I (HR 1.87, 95% CI: 1.03, 3.42; *p*=0.041).

For all patients, the 5-year survival probabilities were 76% for stage I (95% confidence interval (CI): 66%, 87%), 60% for stage II (95% CI: 43%, 84%), and 58% for stage III (95% CI: 43%, 80%). Compared to stage I patients, OS was significantly shorter in stage II (hazard ratio (HR) 2.11; 95% CI: 1.00, 4.48; *p*=0.051) and in stage III (HR 2.46; 95% CI: 1.20, 5.02; *p*=0.014; Figure 2(b)).

The analysis of outcomes by mutation (Table 2) showed that most mutation subgroups had numerically shorter RFS than those with *KRAS* G12C except for *BRAF* V600E mutation (HR 0.97, 95% 0.29, 3.24; *p*=0.96) and RET fusion (no events). The analysis showed that patients with *ROS1* fusion (*n*=14) had the worst RFS compared to *KRAS* G12C mutation (HR 2.70, 95% CI: 1.18, 6.20; *p*=0.019). Patients in the pooled fusion mutation subgroup (*ALK*, *ROS1*, and *RET*) showed numerically shorter RFS compared to *KRAS* G12C (HR 1.47, 95% CI: 0.75, 2.88; $p=0.26$) (Table 2 and Figure 3(a)).

All mutation subgroups were associated with numerically better OS although the difference was not statistically significant. We also compared the combined fusion mutation subgroup (*ALK*, *ROS1*, and *RET*) to *KRAS* G12C, and identified significantly longer OS compared to *KRAS* G12C (HR 0.10; 95% CI: 0.01, 0.77; *p*=0.027). Patients with *ALK* and *RET* fusion had 100% 5-year OS as no events were recorded. While the *ROS1* subgroup had the worst RFS, the overall 5-year OS was 88% (95% CI: 0.67, 1) (Table 2 and Figure 3(b)).

At 5years, patients with *ERBB2* mutations had the highest cumulative incidence of brain metastasis (22%; 95% CI: 6.4%, 44%), followed by those with *EGFR* Exon 20 mutations (19%; 95% CI: 5.7%, 39%). By contrast, *KRAS* G12C mutations had a lower incidence of brain metastasis (0.99%; 95% CI: 0.09%, 4.9%), and those with *MET* exon 14 skipping mutation showed no brain metastasis at 5years (Table 3).

The presence of TP53 co-mutation was associated with a significantly higher risk of death (HR 2.35; 95% CI: 1.26, 4.40; *p*=0.008) than TP53 wild type.

Discussion

The identification of multiple mutations in our study, including *KRAS* G12C, *EGFR* Exon 20, *ERBB2*, *MET* exon 14 skipping, *ALK*, *ROS1*, *RET* fusions, and *BRAF* V600E, reflects the molecular heterogeneity of NSCLC. The frequency of these alterations in NSCLC highlights the importance of performing next-generation sequencing (NGS) for full molecular profiling even in early-stage disease to direct potential targeted therapy decision-making after surgical resection. The American Association for Thoracic Surgery (AATS) 2023 Expert Consensus recommends molecular sequencing and biomarker testing for patients with early-stage NSCLC to guide perioperative treatment selection while currently, the NCCN recommends testing only for *EGFR* mutations and *ALK* fusions.^{5,13}

Indeed, randomized trials have documented OS and DFS benefits for adjuvant osimertinib and alectinib for *EGFR* and *ALK-*mutated NSCLC, respectively. Osimertinib, an EGFR tyrosine kinase inhibitor (TKI), is now the standard of care for adjuvant treatment for patients with resected, *EGFR*-mutated, stage IB to IIIA NSCLC.6 It is currently evaluated in the ADAURA2 trial to assess its efficacy as an adjuvant treatment in patients with resected stage IA2-IA3 *EGFR*-mutated NSCLC.14 Alectinib, an ALK TKI, also was recently approved as an adjuvant treatment for patients with completely resected, *ALK*-positive NSCLC of stage IB to IIIA.7 With the exception of *KRAS* G12C mutation, however, all other mutation subtypes are much rarer, and although molecularly targeted treatments are available for advanced cancers, less is known about their behavior in the postoperative setting.

Figure 1. Distribution of patients by mutation subtype.

In our study, *KRAS G12C* mutation was the most common mutation (45%). We elected to designate *KRAS G12C* as the reference cohort for our study as it is one of the most prevalent mutations in NSCLC, and its prognostic and predictive properties have been well characterized in the adjuvant setting.15–18 Five-year RFS and OS results in the current study are similar to those reported by the LACE-Bio group for *KRAS*, thus confirming the interpretation and applicability of our study findings.17

In our overall cohort, we observed a higher 5-year OS probability (58%) for stage III resected lung cancer compared to the International Association for the Study of Lung Cancer (IASLC) database, which shows a 5-year survival of 46% for pathological stage IIIA NSCLC.¹⁹

We observed significant differences in RFS and OS among patients with different mutations. RFS was shorter compared to *KRAS* for most rare subgroups, with a statistically significant difference seen only in the *ROS1* fusion cohort (HR 2.70, *p*=0.019). However, OS for the *ROS1* fusion cohort was not significantly worse compared to *KRAS* G12C. The fusion mutation subgroup of *ALK*, *ROS1*, and *RET* fusions is associated with better OS but worse RFS than *KRAS* G12C. Thus, our results are similar to previous studies which showed that *ALK* and *ROS1* fusion-positive early-stage NSCLC after curative resection had poorer RFS than *ALK* and *ROS1* fusion-negative NSCLC.20–22

Patients with *ERBB2* mutations had the lowest 5-year survival probability at only 60%. However, our findings differed from those of a study on human epidermal growth factor receptor 2 (*HER2*)-mutated lung cancer, which reported a median OS of 89.6months and a 5-year survival probability of 70% for stage I–III disease.23 A meta-analysis of over 6000 patients showed that HER2 protein overexpression is a poor prognostic factor in early-stage NSCLC (HR 1.48 (1.2– 0.80), $p < 0.0001$), but only a non-significant trend was seen for *HER2* amplification assessed by FISH (HR 1.14 $(0.72-1.83)$).²⁴ This metaanalysis did not report on *HER2* mutation status.

Despite numerically poorer RFS, however, the OS of all rare mutations showed a trend to be superior to *KRAS* G12C-mutated NSCLC. This dichotomy possibly could be attributed to the use of targeted therapies at relapse. Targeted treatments, such as TKIs for *ALK*, *ROS1*, and *RET* fusions, have shown higher response rates of up to 80% and longer duration of response compared to chemotherapy.25–27 KRAS G12C inhibitors have recently been approved for treatment in later-line settings for advanced-stage NSCLC

Figure 2. Kaplan–Meier curves of (a) relapse-free survival by stage at diagnosis and (b) overall survival by stage at diagnosis.

with a response rate of 40%.28,29 However, our study did not investigate the association between the use of targeted therapies at relapse and survival outcomes. Further research is needed to validate this potential explanation.

The analysis of brain metastasis showed variability among different types of mutation. Patients with *ERBB2* mutations had the highest cumulative incidence of brain metastasis at 22% at

5years, while those with *MET* exon 14 skipping mutations did not experience any brain metastasis at 5years. This finding is similar to a study that showed the cumulative incidence of brain metastasis was higher in *HER2* mutant lung cancer compared to *KRAS* and *EGFR* mutant lung cancer.30 This highlights the potential importance of monitoring for central nervous system metastases postoperatively in patients with NSCLC, particularly those with specific mutations.

Figure 3. Kaplan–Meier curves of (a) relapse-free survival by mutation subtype and (b) overall survival by mutation subtype.

The presence of TP53 co-mutation was associated with shorter OS. This is consistent with existing literature that TP53 co-mutation is associated with poorer outcomes and could be a prognostic marker in resected early-stage NSCLC.^{31,32}

Strengths of this study included its relatively large cohort of patients with rare targetable molecular alterations in a tertiary care clinical setting. Given the limited literature regarding the outcomes of patients with early-stage resected NSCLC with

Table 3. Cumulative incidence of brain metastasis at 2 and 5years by mutation.

*Other mutations include *ALK*, *BRAF* V600E, *RET*, *ROS1*.

BRAF, B-Raf proto-oncogene; EGFR, epidermal growth factor receptor; *ERBB2*, Erb-B2 receptor tyrosine kinase 2; *MET*, mesenchymal–epithelial transition factor; *NTRK1/2/3*, neurotrophic tyrosine receptor kinase 1/2/3; *RET*, rearranged during transfection; *ROS1*, c-ros Oncogene 1.

rare mutations, our findings provide valuable real-world evidence regarding their outcomes and prognosis. However, there were several limitations. This was a retrospective single-institution study, which may limit the generalizability of the results; more research is needed to determine whether the same associations persist in different clinical contexts. Although our total sample size is relatively large, the rarity of these molecular alterations limited the statistical power needed to conduct more in-depth multivariable comparisons of some of the mutation subgroups. The study's short follow-up period also led to immature data regarding OS and RFS. These limitations should be considered when interpreting the results of the study.

Conclusion

Our study provides an analysis of the outcomes and prognostic factors in patients with resected early-stage NSCLC harboring rare targetable driver mutations. RFS was shorter for most NSCLC with rare targetable mutations compared to *KRAS G12C-*mutated NSCLC. Despite poorer RFS, OS of all mutations was superior to *KRAS G12C*-mutated NSCLC. This suggests a potential role for targeted therapies in the adjuvant setting. These findings could be validated through prospective studies with larger patient cohorts and longer follow-ups. Future research should include clinical trials focusing on adjuvant treatments for rare driver mutations and exploring targeted therapies to improve outcomes for patients with early-stage NSCLC.

Declarations

Ethics approval and consent to participate

We obtained ethics approval from UHN REB. Patient consent was waived due to the retrospective nature of the study.

Consent for publication

Yes.

Author contributions

Nadia Ghazali: Conceptualization; Data curation; Formal analysis; Investigation; Methodology; Writing – original draft; Writing – review $\&$ editing.

Jamie Feng: Conceptualization; Investigation; Methodology; Writing – review & editing.

Katrina Hueniken: Data curation; Formal analysis; Investigation; Methodology; Writing – original draft; Writing – review & editing.

Khaleeq Khan: Investigation; Writing – review & editing.

Karmugi Balaratnam: Investigation; Writing – review & editing.

Thomas K. Waddell: Investigation; Writing – review & editing.

Kazuhiro Yasufuku: Investigation; Writing – review & editing.

Andrew Pierre: Investigation; Writing – review & editing.

Laura Donahoe: Investigation; Writing – review & editing.

Elliot Wakeam: Investigation; Writing – review & editing.

Marcelo Cypel: Investigation; Writing – review & editing.

Jonathan Yeung: Investigation; Writing – review & editing.

Shaf Keshavjee: Investigation; Writing – review & editing.

Marc de Perrot: Investigation; Writing – review & editing.

Natasha B. Leighl: Investigation; Writing – review & editing.

Geoffrey Liu: Investigation; Writing – review & editing.

Penelope A. Bradbury: Investigation; Writing – review & editing.

Adrian Sacher: Investigation; Writing – review & editing.

Lawson Eng: Investigation; Writing – review & editing.

Tracy Stockley: Investigation; Writing – review & editing.

Ming Sound Tsao: Investigation; Writing – review & editing.

Frances A. Shepherd: Conceptualization; Formal analysis; Investigation; Methodology; Supervision; Writing – original draft; Writing – review & editing.

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Competing interests

The authors declare that there is no conflict of interest.

Availability of data and materials

The data presented in this study are not publicly available due to the privacy of individuals. The data presented in this study may be made available upon reasonable request from the senior author.

ORCID iDs

Nadia Ghazali [https://orcid.org/0000-0002-](https://orcid.org/0000-0002-4824-5061) [4824-5061](https://orcid.org/0000-0002-4824-5061)

Ming Sound Tsao **[https://orcid.org/0000-](https://orcid.org/0000-0002-9160-5405)** [0002-9160-5405](https://orcid.org/0000-0002-9160-5405)

Supplemental material

Supplemental material for this article is available online.

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